

## IS MORE PRECISE DATING OF PALEOINDIAN EXPANSION FEASIBLE?

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**ABSTRACT.** Recent efforts to precisely date the florescence of the Clovis culture in North America have been hampered by both practical and theoretical problems: 1) The era of Clovis expansion (about 11,200–10,700 BP or 13,200–12,700 cal BP) coincides with the gap between the anchored central European tree-ring sequence (back to 12,400 cal BP) and the floating Bølling-Allerød sequence; 2) Clovis seems to immediately precede the onset of the Younger Dryas (YD) stadial. The “black mats” of the US Southwest appear to mark the regional occurrence of this climatic downturn. However, the timing and means of long-distance propagation of this climatic event are not yet well understood. Greenland ice cores (GISP2, GRIP, and NGRIP) remain poorly synchronized, with a discrepancy of 100 to 250 yr for the date of onset (as late as 12,700 cal BP, or as early as 12,950 cal BP); 3) The YD onset was accompanied by a rapid drop of radiocarbon ages from 11,000 to 10,600 BP in less than a century. The mechanism causing this was probably a change in overturning circulation in the North Atlantic. Do variable Clovis ages, often from what appear to be single-occupation contexts, reflect this “cliff” effect, slightly earlier minor reversals during the late Allerød, or simply the practical limitations of precision of the  $^{14}\text{C}$  method? 4) Dates for Fishtail or Fell I sites (with fluted, stemmed points) in southern South America are statistically indistinguishable from Clovis dates in North America. Does this imply very rapid population expansion, diffusion of tool-making techniques through long-established local populations (as argued by Waters and Stafford 2007), or abnormally large interhemispheric  $^{14}\text{C}$  offsets? 5) Are recent ostensibly high-precision collagen-derived dates for Paleoindian-associated fauna (e.g. horse and mammoth) reliable? Are interlaboratory blind tests of the new filtration processes necessary?

### EXACTLY HOW OLD IS CLOVIS?

Despite recurrent claims that several ostensibly older archaeological sites have “broken the Clovis barrier,” the Clovis culture, characterized by its beautiful fluted spearpoints, still represents the first unambiguously documented human occupation of North America (e.g. G Haynes 2002). Based upon conventional radiocarbon dates run in the 1960s, it became evident that the Clovis culture dated to about 11,500–10,800 BP. Because the anchored tree-ring sequence now extends only to ~12,450 cal BP, we still cannot yet really *calibrate* Clovis-associated dates; however, the dates can be *corrected* with some assurance to about 13,000 cal BP, by reference to climate events in ice cores, and to the floating Allerød tree ring series from central Europe (Kromer et al. 2004).

Based upon application of a rigorous “hygiene” to the available  $^{14}\text{C}$  dates, Roosevelt et al. (2002) contended that the oldest Clovis dates were no earlier than 11,200 BP. Waters and Stafford (2007) have similarly reviewed the whole corpus of dates, added 10 new high-precision accelerator mass spectrometry (AMS)  $^{14}\text{C}$  dates from T W Stafford’s laboratory, and have subtracted another century, leaving the range of Clovis as about 11,100–10,800 BP. Of the few dates that appear older, most are imprecise conventional  $^{14}\text{C}$  dates with large errors, run on loosely associated carbon (such as 11,630 ± 400 BP from Blackwater Draw and 11,470 ± 110 BP from Lehner; see Waters and Stafford 2007, Supporting online material). Charcoal-derived  $^{14}\text{C}$  dates of 11,540 ± 110 BP (AA-5271) and 11,590 ± 90 BP (AA-5274) are reported from the Aubrey site in northern Texas (Ferring 1995, 2001). However, these were not from a defined hearth, and could represent either non-associated natural burning or an old-wood effect. Stafford (see Stafford and Waters 2007, Supporting online material, p 3) has dismissed a  $^{14}\text{C}$  date of 11,550 ± 60 BP (CAMS-35912) that he obtained for the Anzick infant (the only known Clovis burial) as erratic, because numerous additional dates on the

bones average about 10,750 BP. J E Morrow submitted 2 antler tools from the grave assemblage, and both were  $^{14}\text{C}$  dated by Beta Analytic, Inc. to 11,040 BP ( $\pm 40$  and  $\pm 60$ ). A date on the infant's rib was more recent,  $10,780 \pm 40$  BP (Morrow and Fiedel 2006).

The best case for a Clovis site much older than  $\sim 11,100$  BP may be at the East Wenatchee site (Washington State) where a spectacular cache of giant bifaces was discovered. Three of these tools bore particles of Glacier Peak tephra adhering to their lower faces (Mehring and Foit 1990). It seems that the points must have been deposited soon after the Glacier Peak volcano erupted. Based upon a rather small set of imprecise  $^{14}\text{C}$  dates from pond sediments in northwestern North America, the eruption has been dated until very recently as around 11,200 BP (Foit et al. 1993). However, Kuehn et al. (2009) have now proposed redating to  $\sim 11,600$  BP (13,700–13,400 cal BP). Of particular note is a spruce cone that lay immediately above the G tephra in the Van Wyck bog in Idaho; this has been AMS  $^{14}\text{C}$  dated to  $11,540 \pm 70$  BP (CAMS-19138) (Doerner and Carrara 1999). The span of time that may have elapsed between the eruption and the deposition of the East Wenatchee points cannot be reliably estimated, so the tephra, even if older than previously thought, offers only a *terminus post quem* for the Clovis tool cache. Given the absence of soil formation in the interim, however, it seems likely that the artifacts were laid on the tephra very soon after the eruption.

#### WHY DO SOUTH AMERICAN PALEOINDIAN SITES SEEM SO EARLY?

Accumulating genetic evidence from mtDNA and Y chromosome lineages (e.g. Zegura et al. 2004; Tamm et al. 2007) has only reinforced the long-held assumption, based upon other biological similarities including dental traits, that Native Americans' ancestors came across the Bering land bridge from Siberia about 14,000 cal BP. Not coincidentally, that is also the age of the oldest known habitation sites in central Alaska (Swan Point and Broken Mammoth; see e.g. Hoffecker and Elias 2007). Contrary speculations about a European origin and transatlantic migration of Paleoindians (Stanford and Bradley 2002; Bradley and Stanford 2004) do not warrant serious consideration. Given their northern Asian and Beringian origin, the geographic logic of expansion requires that Paleoindians colonized North America first, then pushed on through Central America into South America. The evident stylistic progression from classic Clovis points to the Fishtail or Fell I fluted points of Patagonia (typically stemmed and narrower at the base than the northern points) would seem to correlate nicely with this basic model (Morrow and Morrow 1999).

However, the ostensible style sequence, in fact, seems to have no temporal depth. Both Roosevelt et al. (2002) and Waters and Stafford (2007) correctly observe that the  $^{14}\text{C}$  dates associated with "fishtail" points are equivalent to Clovis dates, for example: Piedra Museo,  $11,000 \pm 65$  BP (AA-27950) and  $10,925 \pm 65$  BP (OxA-8528); Tres Arroyos,  $11,085 \pm 70$  BP (OxA-9248); Cerro Tres Tetas,  $10,915 \pm 65$  BP (AA-22233); Cueva Casa del Minero,  $10,999 \pm 55$  BP (AA-37207) and  $10,967 \pm 55$  BP (AA-37208); and Quebrada Santa Julia,  $11,090 \pm 80$  BP (Beta-215089),  $11,060 \pm 80$  BP (Beta-215090), and  $10,920 \pm 80$  BP (Beta-194725) (see original sources in Faught 2008:675). Evidently, the makers of Fell I fishtail points had already reached the southern tip of South America by  $\sim 11,000$  BP (Flegenheimer and Zarate 1997; Steele and Politis 2008). Roosevelt et al. (2002) and Waters and Stafford (2007) infer from this apparent contemporaneity that the South American Paleoindians cannot have been actual descendants of northern migrants; rather, some archaeologically invisible, previously dispersed earlier populations must have adopted the new lithic technology from the north. Given the absence of a coherent archaeological signature of this hypothetical indigenous population, that is not a satisfactory model (G Haynes et al. 2007). Humans who had successfully colonized a continent should have already possessed an adaptive and efficient lithic tool kit. We would be able to recognize it easily (unlike the randomly cracked and rounded stream pebbles that form most of

the Monte Verde II “assemblage”; see Dillehay 1997; Fiedel 1999); also, there might have been some reluctance to adopt an untried new suite of tools, and the various local imitations of Clovis would be expected to display some regionally specific stylistic distinctions and persistent features of the precursor technology. But, what we actually see instead is an amazingly rapid spread of a uniform tool style from Central America all the way to the southern tip of South America (Tierra del Fuego). Rather than postulate an invisible, long-resident pre-Clovis population, it is more sensible to suggest that there is a very sparse record representing the expansion and growth of the colonizing Paleoindian population, over a period of about 2 centuries, from a founding macroband of about 200 Beringian emigrants to roughly 50,000–100,000 people in North America and about the same number in South America. As modeled mathematically by Hazelwood and Steele (2003), a rapid continental expansion of this order would appear effectively instantaneous, leaving no visible cline of <sup>14</sup>C dates to track the wave from its presumed northwestern source.

How, then, can we explain the equivalent ages of Clovis and Fishtail assemblages (Figure 1)? First, the Paleoindian hunters may simply have continued moving across the landscape very rapidly (Fiedel 2000, 2002, 2006a). It is often objected that, as they trekked southward, they would have encountered very different environments that would each have required a long period of re-adaptation. But, if they lived mainly by hunting big game (as their Beringian ancestors surely did), they would have continually encountered mammal species related or analogous to those they already knew (Kelly and Todd 1988): there were horses in Alberta and Tierra del Fuego; ground sloths in Arizona and Florida, and in Patagonia; mastodons in New York; and gomphotheres in Chile. Second, the inter-hemispheric offset of <sup>14</sup>C in the terminal Pleistocene may have been significant. Between AD 950 and 1850, the <sup>14</sup>C offset between the hemispheres varied periodically, with values ranging from 8 to 80 yr (McCormac et al. 2004). A comparison of <sup>14</sup>C dates from ~12,500 cal BP from Argentina, Tasmania, and Venezuela suggests that the offset may have then have been on the order of 100–200 yr; that is, Paleoindian sites in the south that appear coeval with Clovis may actually be a century or two younger. However, the Argentine <sup>14</sup>C dates, derived from bulk samples of gyttja in lakes Huelmo and Mascardi (Hajdas et al. 2003), are not as reliable as <sup>14</sup>C dates on terrestrial plant macrofossils; so they must be regarded as merely suggestive.

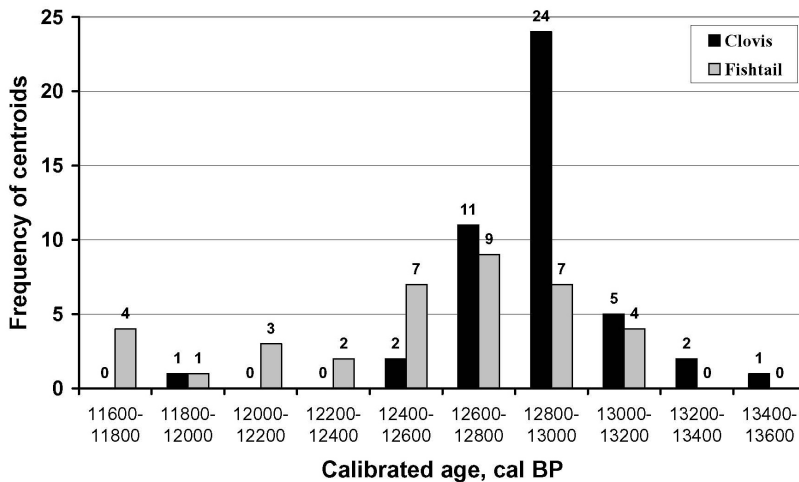


Figure 1 Chronological relationship between the Clovis and Fishtail complexes (occupation episodes counting after Fiedel and Kuzmin 2007; original <sup>14</sup>C dates are taken from Stafford and Waters 2007; Faught 2008).

Although interhemispheric  $^{14}\text{C}$  offsets may be involved, an alternative approach to this problem would focus solely on the plateau effect that is evident in the floating Allerød tree rings (Kromer et al. 2004). Clovis-era  $^{14}\text{C}$  dates of about 11,100–10,950 BP fall on this plateau. The same plateau appears in the dated sediments from Patagonian lakes (Hajdas et al. 2003) (Table 1). Unfortunately, these  $^{14}\text{C}$  dates cannot yet be confidently translated into calendar time. The era of Clovis expansion (about 11,200–10,700 BP) coincides with the gap between the anchored central European tree-ring sequence (now extending back to 12,400 cal BP) and the floating Bølling-Allerød sequence (Figure 2). Clovis expansion seems to have immediately preceded the abrupt onset of the Younger Dryas (YD) stadial. The “black mats” of the US Southwest appear to mark the regional occurrence of this climatic downturn (CV Haynes 2007, 2008). We will not address here the intriguing but improbable hypothesis that the mats also manifest a comet impact attested by magnetic spherules and nanodiamonds (Firestone et al. 2007; but see Paquay et al. 2009; Surovell et al. 2009; CV Haynes et al. 2010).

Table 1 Allerød-era  $^{14}\text{C}$  inversion in Lake Mascardi sediment record (after Hajdas et al. 2003).

Depth (cm)	$^{14}\text{C}$ date, BP	Cariaco calendar year equivalent, cal BP
783.4	$10,480 \pm 180$	12,600
789.4	$10,900 \pm 110$	~12,800
791.5	$10,810 \pm 85$	~12,900
796.5	$10,960 \pm 85$	~12,950 (YD onset)
801.5	$11,240 \pm 85$	13,000
811.1	[ $10,440 \pm 95$ ] (rejected)	
812.1	$11,050 \pm 90$	~13,100
813.5	$10,930 \pm 80$	~13,100
816	$11,170 \pm 110$	~13,150

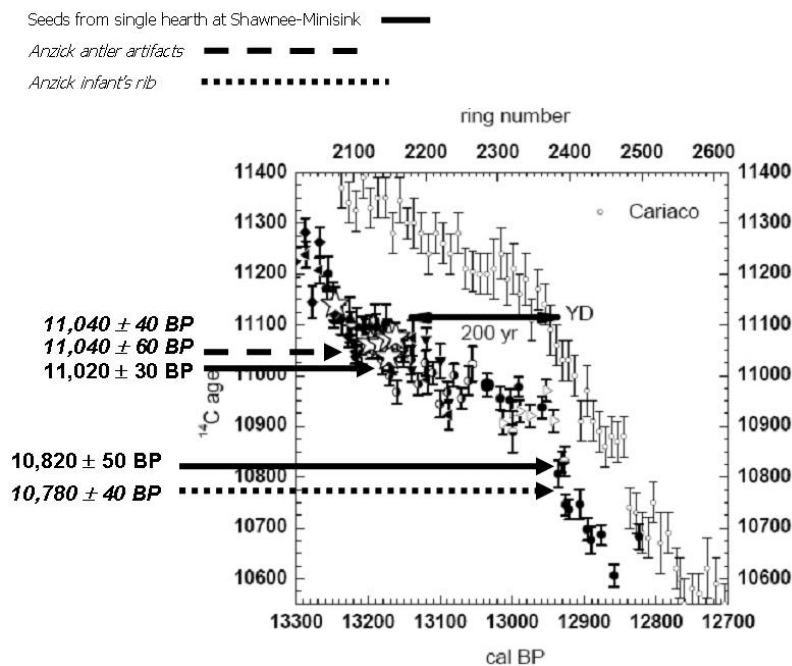


Figure 2 Position of the Shawnee-Minisink and Anzick  $^{14}\text{C}$  dates relative to the Kromer et al. (2004) floating tree-ring sequence (modified from Kromer et al. 2004, Figure 2).

The YD onset may not have been provoked by an impact, but the orthodox theory—disruption of North Atlantic circulation by rerouting of Lake Agassiz overflow through the St. Lawrence waterway—does not look very credible now, either (Lowell et al. 2005). The tempo and means of long-distance propagation of the YD climate signal beyond the North Atlantic region are not yet well understood. The onset date, marked by rapid deuterium and oxygen isotope shifts in the Greenland ice cores (GISP2, GRIP, and NGRIP) remains poorly synchronized (Southon 2002), with a discrepancy of up to 300 yr [12,650 cal BP in GRIP; 12,850 in NGRIP (Steffensen et al. 2008); or 12,950 cal BP in GISP2].

The YD onset coincided with a rapid drop of  $^{14}\text{C}$  ages from 11,000 to 10,600 BP in less than a century (e.g. Broecker 2009). It is widely assumed that this jump or cliff was caused by a change in overturning circulation in the North Atlantic leading to reduced absorption of  $\text{CO}_2$  at the ocean surface (Goslar et al. 1995), although a minority view attributes it to a solar fluctuation (Renssen et al. 2000). This cliff seems to be a global phenomenon, seen in stratified sediments in Sweden, Alaska, Venezuela, and Argentina (Hajdas et al. 1998, 2003; Hughen 2000; Wohlfarth et al. 1998). Whatever the cause, it is a critical marker for absolute dating of Clovis, which falls on the preceding plateau (prior to 10,900 BP). Southon et al. (2007) has recently suggested that the cliff should be uncoupled from the YD onset. Based upon wiggle-matching of  $^{10}\text{Be}$  in ice cores with  $^{14}\text{C}$  fluctuations, Muscheler et al. (2008) contend that the recent end of the German floating tree-ring sequence lies at 12,500 cal BP, and that the rings record a YD onset signal at  $\sim 12,630$  cal BP. In view of the seemingly pan-hemispheric, isochronous warm peak just before the YD onset, seen in China (Wang et al. 2001), Venezuela (Hughen et al. 2000; Lea et al. 2003), and Europe and Greenland at 13,000 cal BP, it seems impossible to shift the European YD onset so drastically. Hua et al. (2009) have now wiggle-matched tree rings from Europe and Tasmania, to close the gap between the floating Late Glacial pine record and the anchored, absolute tree-ring timescale. They date the  $^{14}\text{C}$  cliff to  $\sim 12,760$  cal BP. If this revision is correct, the calendar age of the preceding plateau, and of Clovis, would have to shift accordingly from 12,950–13,200 to 12,760–13,000 cal BP.

Whatever may be the true age of the pre-YD plateau, the simplest solution to the conundrum of equivalent Clovis and Fishtail dates is to place some Clovis sites at the early end of the plateau, about 200 or 250 yr before YD onset (i.e.  $\sim 13,100$  cal BP), and the first Fell I sites at the cusp of the YD onset, at  $\sim 12,900$  cal BP (Fiedel 2006b). This solution allows some 8 to 12 human generations for the migration from a presumed Clovis staging area in Texas to the tip of South America. If it turns out that the Aubrey and East Wenatchee dates of about 11,500–11,600 BP ( $\sim 13,500$  cal BP) are correct, the time available for migration and population growth could be as much as 700 yr. Although we would all be more comfortable with this extended frame, the rapid population growth (doubling or tripling per generation) and long-distance movement necessary to fill the continents are still feasible within the more compressed chronology.

#### **ARE THERE PRACTICAL LIMITATIONS TO GREATER PRECISION?**

The Shawnee-Minisink site in Pennsylvania is now the most precisely  $^{14}\text{C}$ -dated Clovis site (Waters and Stafford 2007; Gingerich 2007). The AMS  $^{14}\text{C}$  dates were run on samples of burnt hawthorn plum seeds from 2 hearths. There are hundreds of these seeds, which must have been simultaneously deposited over a very brief period of days or weeks. The dates are  $10,820 \pm 50$  BP (Beta-203865);  $10,900 \pm 40$  BP (Beta-127162);  $10,915 \pm 25$  BP (UCIAMS-24865);  $10,940 \pm 90$  BP (Beta-101935);  $10,970 \pm 50$  BP (OxA-1731); and  $11,020 \pm 30$  BP (UCIAMS-24866) (see the youngest and the oldest  $^{14}\text{C}$  values in Figure 2). Now, with 6  $^{14}\text{C}$  dates, the average is  $10,935 \pm 15$  BP, and theoretically even greater precision could be achieved with additional values. However, the total span of these



dates is from ~10,800 to ~11,000 BP (Figure 2), perhaps too broad considering the site's tight stratigraphic and planigraphic features.

Next, take into account these Paleoindian sites with multiple  $^{14}\text{C}$  dates for the same samples or contexts (see original sources in Faught 2008): 1) Lange-Ferguson, 1 mammoth:  $10,710 \pm 130$  BP (UCIAMS-11344) and  $11,110 \pm 40$  BP (UCIAMS-11345) BP; 2) Dent, 1 mammoth:  $11,065 \pm 35$  BP (UCIAMS-11339) and  $10,940 \pm 30$  BP (UCIAMS-11340); 3) Anzick: a) antler rod 1,  $11,040 \pm 60$  BP (Beta-163832); b) antler rod 2,  $11,040 \pm 40$  BP (Beta-168967); c) infant rib,  $10,780 \pm 40$  BP (Beta-163833); and d) infant skull,  $10,705 \pm 35$  BP (CAMS-80538) (plus multiple dates from  $10,240 \pm 120$  to  $11,550 \pm 60$  BP; see Waters and Stafford 2007, Supporting online material, p 8–9); and 4) Piedra Museo, *Hippidion saldiasi*, cut-marked distal humerus:  $9950 \pm 95$  BP (AA-39362),  $10,925 \pm 65$  BP (OxA-8528), and  $10,675 \pm 55$  BP (OxA-15870; ultrafiltrated). What does it mean, logically, when the dates' stated precision exceeds their accuracy?

We note the conclusion of Shukurov et al. (2009), who examined numerous European and Near Eastern  $^{14}\text{C}$  dates for presumably short-lived archaeological events from about 9000–4000 cal BP, that “the intrinsic accuracy of  $^{14}\text{C}$  dating for the period is 100–200 yr regardless of the dating technique (AMS versus conventional).” For the period around 13,000 cal BP, we suggest that “intrinsic accuracy” might be about the same, or proportionally greater; see also N A Krenke and L D Sul'erzhitsky's concept of “practicable accuracy” (e.g. Kuzmin and Orlova 1998:24).

#### TESTING OF “KNOWN-AGE” BONE: A SUGGESTION

Some of the most precise Paleoindian  $^{14}\text{C}$  dates, with errors of only 20–30 yr, are now being reported for bone collagen samples (e.g. the example of the Dent mammoth). Are we really at the point where these dates are fully trustworthy? Are T W Stafford's XAD filters (e.g. Stafford et al. 1991) or Oxford's ultrafiltration method, which produced exaggerated ages for a while before the filter leaching problem was recognized (Higham et al. 2006), or any other laboratory's techniques, the most reliable? We strongly suggest that an interlaboratory blind test be conducted, and our candidate for this is the elk (or moose) from the Miesenheim IV site in the Rhineland (Germany), which was buried under Laacher See tephra at ~11,060 BP. Oxford's ORAU Laboratory previously  $^{14}\text{C}$  dated the bones to ~11,200 BP (Hedges et al. 1993:149–50). The tephra was deposited during the Clovis era, 190 yr before the YD onset. In this case, we at least know the precise upper limit of any future bone  $^{14}\text{C}$  dates.

#### CONCLUSION

The pre-YD plateau may be an insuperable barrier to a precise chronology of Paleoindian migrations with a resolution better than 200 yr. The high-resolution coral  $^{14}\text{C}$  dates with paired U-Th dates from Huon Peninsula (New Guinea) reported by Burr et al. (2004) appear to fall within this period, but they require marine reservoir correction, which may be erratic for that time. With more dates for tree rings in this interval and multiple high-precision  $^{14}\text{C}$  values for extraordinary sites such as Shawnee-Minisink, perhaps we will reach a point where fine-scale wiggle-matching could be applied to the problem. We still need to determine exactly when Paleoindians arrived in the Americas, which routes they traversed, how long it took them to people both continents, and how they accomplished the extinction of the large-bodied native fauna, which were all extinct by ~10,700 BP in North America and ~10,200 BP in South America (Fiedel 2009). If we can establish a precise chronology for the colonization process, there will be profound theoretical repercussions for ethnology, genetics, historical linguistics, demography, and ecology.

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