

## THE EXTREME POINTS OF A CLASS OF FUNCTIONS WITH POSITIVE REAL PART

N. SAMARIS

Let  $P_1$  be the class of holomorphic functions on the unit disc  $U = \{z : |z| < 1\}$  for which  $f(0) = 1$  and  $\operatorname{Re} f > 0$ . Let also  $P_n$  be the corresponding class on the unit disc  $U^n$ . The inequality  $|a_k| \leq 2$  is known for the Taylor coefficients in the class  $P_1$ . In this paper, it is generalised for the class  $P_n$ . If  $\rho = (\rho_1, \rho_2, \dots, \rho_n)$ , with  $\rho_1, \rho_2, \dots, \rho_n$  nonnegative integers whose greatest common divisor is equal to 1, we describe the form of the functions  $f \in P_n$  under the restriction  $|a_\rho| = 2$ . Under the same restriction, we give conditions for a function to be an extreme point of the class  $P_n$ .

### 1. INTRODUCTION

Let  $U$  be the open unit disc in the complex plane  $C$ . If  $n$  is any natural number,  $P_n$  represents the class of all holomorphic functions in  $U^n$ , which have positive real part and assume the value 1 at the origin  $\theta = (0, 0, 0, \dots, 0)$ . These functions can be expanded in Taylor series of the form

$$f(z) = \sum_{k \geq 0} a_k z^k$$

where:  $k = (k_1, k_2, \dots, k_n) \in \mathbb{N}_0^n$ ,  $\mathbb{N}_0 = \{0, 1, 2, \dots\}$ ,  $z^k = z_1^{k_1} z_2^{k_2} \dots z_n^{k_n}$ ,  $a_\theta = 1$  and  $\operatorname{Re} f > 0$ .

In the case of  $n = 1$ , the Carathéodory-Toeplitz determinants describe the behaviour of the Taylor coefficients of class  $P_1$ . An immediate conclusion is the Carathéodory relation  $|a_k| \leq 2$ ,  $k = 1, 2, \dots$  and that if  $a_1 = 2e^{i\varphi}$ , then  $a_k = 2e^{ik\varphi}$  and  $f(z) = (1 + e^{i\varphi}z)(1 - e^{i\varphi}z)^{-1}$ . Moreover the functions of the above type constitute the extreme elements of the class  $P_1$  (see [3]).

References [1, 2] deal with the problem of locating the extreme elements of the class  $P_2$  and some of them were located.

In Section 2, by Theorem 2.3 of the present study, we achieve a generalisation of Carathéodory's conclusion for any class  $P_n$  in the case of  $a_\rho = 2e^{i\varphi}$ , where  $\rho = (\rho_1, \rho_2, \dots, \rho_n) \geq \theta$  and  $\rho_1, \rho_2, \dots, \rho_n$  are numbers prime to each other.

---

Received 12 October 1990

---

Copyright Clearance Centre, Inc. Serial-fee code: 0004-9729/91 \$A2.00+0.00.

The above are related to Theorem 3.

For the deduction of the results in Section 2 some simple relations from classical harmonic analysis will be used.

In Section 3, we will investigate the problem of locating the extreme points of any class  $P_n$ , by means of Theorem 2.3, and it will become possible to find some of them.

2. SOME PROPERTIES OF CLASS  $P_n$

**LEMMA 2.1.** *If  $K(x) = K(x_1, x_2, \dots, x_n)$  is a Lebesgue integrable function in  $\mathbb{R}^n$ , such that  $\text{Re } K \geq 0$ , and  $\widehat{K}(t) = \int_{\mathbb{R}^n} e^{-izt} K(x) dx$  is the Fourier transform of  $K(x)$ , then the relation*

$$|\widehat{K}(t) + \overline{\widehat{K}(-t)}| \leq 2 \text{Re } \widehat{K}(0)$$

holds for all  $t \in \mathbb{R}^n$ .

The proof is straight-forward by the definition of the Fourier transform.

**LEMMA 2.2.** *If  $K(x)$  is a function as in Lemma 2.1, such that the function  $\widehat{K}$  has compact support and  $K \geq 0$ , then for every  $f(z) = \sum_{\rho \geq \theta} a_\rho z^\rho \in P_n$  the inequality*

$$\left| \sum_{\rho \geq \theta} a_\rho \widehat{K}(s - \rho) + \sum_{\rho \geq \theta} \overline{a_\rho} \overline{\widehat{K}(-s - \rho)} \right| \leq 2 \text{Re} \sum_{\rho \geq \theta} a_\rho \widehat{K}(-\rho)$$

holds for every  $s \in \mathbb{R}^n$ .

**PROOF:** If  $z = re^{iz} = (r_1 e^{iz_1}, r_2 e^{iz_2}, \dots, r_n e^{iz_n})$  and  $F_r(x) = K(x) f(re^{iz}) = \left( \sum_{\rho \geq \theta} a_\rho r^\rho \cdot e^{i\rho x} \right) K(x)$  then the function  $F_r(x)$  satisfies the conditions of Lemma 2.1. We apply this Lemma, take the limit as  $r \rightarrow (1, 1, \dots, 1)$  and the requested result is obtained.

**THEOREM 2.3.** *If  $f(z) = \sum_{k \geq \theta} a_k z^k \in P_n$ , then:*

- (a)  $|a_k| \leq 2, k \in \mathbb{N}_0^n$
- (b) *If a certain index  $\rho = (\rho_1, \rho_2, \dots, \rho_n)$  with  $\rho_1, \rho_2, \dots, \rho_n$  numbers prime to each other, satisfies the relation  $a_\rho = 2e^{i\varphi}$  then:*
  - (i)  $a_{\lambda\rho} = 2e^{i\lambda\varphi}$ , for every natural number  $\lambda$ .
  - (ii) If  $\theta \leq k \leq \rho, k \neq \rho, k \neq \theta$  then  $a_k = \overline{a_{\rho-k}} e^{i\varphi}$  and  $a_{k+\lambda\rho} = a_k e^{i\lambda\varphi}$ , for every natural number  $\lambda$ .
  - (iii) If for some index  $k$  none of the relations  $k \geq \rho, k \leq \rho$  is valid then  $a_k = a_{k+\lambda\rho} = 0$ , for every natural number  $\lambda$ .

**PROOF:** (a) If we set  $K(x) = \prod_{k=1}^n (\sin^2 \delta_k x_k) / x_k^2$ , we obtain  $\widehat{K}(t) = 1/\pi^n \prod \sup(0, 2\delta_k - |t_k|)$ . By setting  $\delta_1 = \delta_2 = \dots = \delta_n = 1/2$  and applying Lemma 2.2 we have the requested result.

(b) Let  $A$  be a rotation transform of  $\mathbb{R}^n$ , such that  $A\rho = (|\rho|, 0, 0, \dots, 0)$ . Since the inverse matrix of  $A$  is equal to its transpose, we have the relation  $t \cdot (A^{-1}\mathbf{x}) = (At)\mathbf{x}$ . If we set  $P(\mathbf{x}) = K(A\mathbf{x})$  and  $\mathbf{x}' = A\mathbf{x}$  we obtain:

$$\widehat{P}(t) = \int_{\mathbb{R}^n} K(A\mathbf{x})e^{i\mathbf{x}t} d\mathbf{x} = \int_{\mathbb{R}^n} K(\mathbf{x}')e^{it(A^{-1}\mathbf{x}')} d\mathbf{x}' = \widehat{K}(At) \text{ or}$$

$$\widehat{P}(A^{-1}t) = \widehat{K}(t) = \frac{1}{\pi^n} \prod_{k=1}^n \sup(0, 2\delta_k - |t_k|)$$

Since the matrix  $A^{-1}$  leaves lengths and angles invariant, the rectangular region  $S = \prod_{k=1}^n (-2\delta_k, 2\delta_k)$  is transformed into  $A^{-1}(S)$  which has the same dimensions as  $S$ .

If we set  $\delta_1 = |\rho|$ , then for suitably small  $\delta_2, \delta_3, \dots, \delta_n$  no integer indices are contained in  $A^{-1}(S)$  other than  $\theta, \rho, -\rho$ . This is further supported by the fact that the numbers  $\rho_1, \rho_2, \dots, \rho_n$  are prime to each other, so that the line segment  $(-2\rho, 2\rho)$  contains no integer indices other than  $\theta, \rho, -\rho$ . In the above mentioned case we have:

$$\widehat{P}(\theta) = (2/\pi)^n \delta_1 \delta_2 \dots \delta_n, \widehat{P}(\rho) = \widehat{K}(|\rho|, 00 \dots 0)$$

$$= (2^{n-1}/\pi^n) \delta_1 \delta_2 \dots \delta_n = \widehat{P}(-\rho)$$

and  $\widehat{P}(k) = 0$  for all the rest of the indices. Now applying Lemma 2.2 we obtain the relation:

$$|a_{k-\rho} + a_{k+\rho} + 2a_k + \bar{a}_{\rho-k}| \leq 2 \operatorname{Re}(2 + a_\rho) \text{ for every } k \in \mathbb{N}_0^n$$

(it is understood that  $a_s = 0$  when  $s \not\geq \theta$ ).

If part (b) holds for the case  $a_\rho = -2$  it holds generally. Indeed, if we consider the function  $g(z) = f(\eta_1 z_1, z_2, \dots, z_n)$  where  $a_\rho = 2\eta$  and  $\eta = -\eta_1^{-\rho_1}$  ( $|\eta| = 1$ ), we observe that the Taylor coefficient of order  $\rho$  of the function  $g$  is equal to  $-2$ .

Applying now (b) in this case, we obtain the required result for the function  $f$ .

The hypothesis  $a_\rho = -2$  yields the relations:

$$\begin{array}{cccccc} a_{k-\rho} & + & a_{k+\rho} & + & 2a_k & + & \bar{a}_{-k-\rho} & = & 0 & (0) \\ a_k & + & a_{k+2\rho} & + & a_{k+\rho} & & & = & 0 & (1) \\ \cdot & & \cdot & & \cdot & & & & & \\ \cdot & & \cdot & & \cdot & & & & & \\ \cdot & & \cdot & & \cdot & & & & & \\ a_{k+\lambda\rho} & + & a_{k+(\lambda+2)\rho} & + & a_{k+(\lambda+1)\rho} & & & = & 0 & (\lambda + 1) \end{array}$$

Subtracting successively the above relations we have:

$$\omega = (a_{k+2\rho} - a_k) = -(a_{k+3\rho} - a_{k+\rho}) = +(a_{k+4\rho} - a_{k+2\rho}) = \dots$$

and consequently:

$$a_{k+2\lambda\rho} = a_k + \lambda\omega, \quad a_{k+\rho} + \lambda\omega = a_{k+(2\lambda+1)\rho}.$$

Since  $|a_s| \leq 2$  for every index  $s$  we infer that  $\omega = 0$ , so that returning to equations (0) and (1) in the case of  $k \leq \rho, k \neq \theta, k \neq \rho$  we obtain that  $a_k = -\bar{a}_{\rho-k}$ ; furthermore, if  $k \not\leq \rho, k \not\leq \rho, a_k = 0$  and for any  $k \neq \theta$  it is true that  $a_{k+\lambda\rho} = (-1)^\lambda a_k$ .  $\square$

### 3. EXTREME ELEMENTS OF CLASS $P_n$

Let  $S \subset N_0^n$  such that  $\theta \in S$ .  $HS$  represents the set of the holomorphic functions on  $U^n$  which assume the form:

$$f(z) = \sum_{n \in S} a_n z^n, \quad \text{with } a_\theta = 1.$$

By  $PS$  we denote the set  $P_n \cap HS$ . Let  $S_\rho \subset N_0^n$  for which the following conditions hold:

- ( $\alpha$ )  $\rho = (\rho_1, \rho_2, \dots, \rho_n) \in N_0^n$  with  $\rho_1, \rho_2, \dots, \rho_n$  numbers prime to each other;
- ( $\beta$ )  $n \leq \rho$  and  $n \neq \rho$  for every  $n \in S_\rho$ ;
- ( $\gamma$ )  $\theta \in S_\rho$ ;
- ( $\delta$ ) when  $n \leq \rho$  and  $n \neq \theta$  then exactly one of the indices  $n, \rho - n$  belongs to  $S_\rho$ .

If  $f \in HN_0^n$ , which satisfies the propositions (i), (ii), (iii) of Theorem 2.3 for  $\varphi = 0$ , then, obviously,

$$f(z) = \left[ p(z) + z^\rho \bar{p}\left(\frac{1}{\bar{z}}\right) \right] (1 - z^\rho)^{-1} \text{ and } p \in HS_\rho.$$

The inverse is also obvious.

If  $\Omega_\rho(\varphi) = \left\{ \sum_{n \geq \theta} a_n z^n \in P_n : a_\rho = 2e^{i\varphi} \right\}$  then on the above basis we are able to define the class  $QS_\rho$  by means of the relation

$$\Omega_\rho(0) = \left\{ \left[ p(z) + z^\rho \bar{p}\left(\frac{1}{\bar{z}}\right) \right] (1 - z^\rho)^{-1} : p \in QS_\rho \right\}.$$

If  $EA$  represents the set of the extreme points of the convex set  $A$ , it is evident that

- (i)  $\Omega_\rho(0) \cap EP_n = \{ [p(z) + z^\rho \bar{p}(1/\bar{z})](1 - z^\rho)^{-1} : p \in EQS_\rho \}$ ;
- (ii)  $\Omega_\rho(\varphi) \cap EP_n = \{ f(e^{i\varphi} z_1, z_2, \dots, z_n) : f \in \Omega_\rho(0) \cap EP_n \}$ .

The following theorem provides a useful necessary and sufficient condition for a function to belong to  $QS_\rho$ .

**THEOREM 3.1.** *If  $p \in HS_\rho$ ,  $\rho^* = (\rho_2, \rho_3, \dots, \rho_n)$  and  $\tilde{\rho} = \rho_1 + \rho_2 + \dots + \rho_n$  the following are equivalent:*

- (i)  $p \in QS_\rho$ ;
- (ii)  $\operatorname{Re} p\left(z^{-\rho^*}, z^{-\rho^*} z_2^{\tilde{\rho}}, \dots, z^{-\rho^*} z_n^{\tilde{\rho}}\right) \geq 0$  for every  $z = (z_2, z_3, \dots, z_n) \in (\partial U)^{n-1}$ .

PROOF: (i)  $\rightarrow$  (ii).

If  $f(z) = [p(z) + z^\rho \bar{p}(1/\bar{z})](1 - z^\rho)^{-1}$ ,  $p \in HS_\rho$  and  $s = (s_2, s_3, \dots, s_n) \in (\partial U)^{n-1}$  then

$$f(z_1, s_2 z_1, \dots, s_n z_1) = \sum_{k=0}^{\tilde{\rho}-1} \lambda_k(s) (\varepsilon_k + z_1) (\varepsilon_k - z_1)^{-1}$$

where  $\{\varepsilon_k\}_{k=0}^{\tilde{\rho}-1}$  are the solutions of the equation

$$z_1^{\rho_1} \cdot s_2^{\rho_2} \dots s_n^{\rho_n} = 1 \text{ and } \lambda_k(s) = \operatorname{Re} p(\varepsilon_k, s_2 \varepsilon_k, \dots, s_n \varepsilon_k) \tilde{\rho}^{-1}.$$

Indeed, it is obvious that

$$f(z_1, s_1 z_1, \dots, s_n z_1) = \sum_{k=0}^{\tilde{\rho}-1} \lambda_k(s) (\varepsilon_k + z_1) (\varepsilon_k - z_1)^{-1} + C(s)$$

where 
$$\lambda_k(s) = \lim_{z_1 \rightarrow \varepsilon_k} f(z_1, s_2 z_1, \dots, s_n z_1) \cdot (\varepsilon_k - z_1) (\varepsilon_k + z_1)^{-1} = \tilde{\rho}^{-1} \operatorname{Re} p(\varepsilon_k, s_2 \varepsilon_k, \dots, s_n \varepsilon_k).$$

Because  $\sum_{k=0}^{\tilde{\rho}-1} \tilde{\rho}^{-1} \operatorname{Re} p(\varepsilon_k, s_2 \varepsilon_k, \dots, s_n \varepsilon_k) = 1$  we have that  $C(s) = 0$ . If  $f \in \Omega_\rho(0)$

and  $f(z_1, s_2 z_1, \dots, s_n z_1) = 1 + \sum_{n=1}^{\infty} \beta_n(s) z_1^n,$

$$\bar{\beta}_{\tilde{\rho}-n}(s) = 2 \sum_{k=0}^{\tilde{\rho}-1} \lambda_k(s) \bar{\varepsilon}_k^{\tilde{\rho}-n} = s_2^{\rho_2} s_3^{\rho_3} \dots s_n^{\rho_n} \beta_n(s)$$

when  $n = 1, 2, \dots, \tilde{\rho} - 1$  and  $\bar{\beta}_{\tilde{\rho}}(s) = 2s_2^{\rho_2} s_3^{\rho_3} \dots s_n^{\rho_n}$  so that  $\Delta_{\tilde{\rho}} = 0$ , where

$$\Delta_{\tilde{\rho}} = \begin{vmatrix} 2 & \beta_1(s) & \dots & \beta_\rho(s) \\ \bar{\beta}_1(s) & 2 & \dots & \bar{\beta}_{\rho-1}(s) \\ \vdots & \vdots & & \vdots \\ \bar{\beta}_\rho(s) & \bar{\beta}_{\rho-1}(s) & \dots & 2 \end{vmatrix} = 0.$$

Since  $\text{Re } f > 0$  and the above Carathéodory-Toeplitz determinant  $\Delta_{\tilde{\rho}}$  is zero we have that

$$x_k(s) = \tilde{\rho}^{-1} \text{Re } p(\varepsilon_k, s_2\varepsilon_k, \dots, s_n\varepsilon_k) \geq 0, \quad k = 0, 1, \dots, \tilde{\rho} - 1.$$

If we set  $s_2 = \tilde{z}_2^{\tilde{\rho}}, s_3 = \tilde{z}_3^{\tilde{\rho}}, \dots, s_n = \tilde{z}_n^{\tilde{\rho}}, \varepsilon_0 = z_2^{-\rho_2} z_3^{-\rho_3} \dots z_n^{-\rho_n} = z^{-\rho^*}$  where  $z \in (\partial U)^{n-1}$  we obtain the result.

(ii)  $\rightarrow$  (i).

Let  $f(z) = [p(z) + z^{\tilde{\rho}}\bar{p}(1/\bar{z})](1 - z^{\tilde{\rho}})^{-1}$  and  $p \in HS_{\tilde{\rho}}$ .

If we prove that  $x_k(s) \geq 0, k = 0, 1, \dots, \tilde{\rho} - 1$ , we, essentially, have the desired conclusion, since we can apply the maximum principle for harmonic functions, for each variable separately, thus obtaining that  $f \in \Omega_{\tilde{\rho}}(0)$ .

We consider the system

$$\begin{aligned} -(\rho_2\theta_2 + \dots + \rho_n\theta_n) &= 2k\pi\tilde{\rho}^{-1} - 2\pi\omega_1, \\ \tilde{\rho}\theta_{\lambda} - (\rho_2\theta_2 + \rho_3\theta_3 + \dots + \rho_n\theta_n) &= 2k\pi\tilde{\rho}^{-1} - 2\pi\omega_{\lambda}, \quad \lambda = 2, 3 \dots n \end{aligned}$$

and  $k, \omega_1, \omega_2, \dots, \omega_n$  integers.

The above system has a unique solution if  $k = \sum_{\lambda=1}^n \rho_{\lambda}\omega_{\lambda}$ . Since  $\rho_1, \rho_2, \dots, \rho_n$  are numbers prime to each other, the above can be obtained by choosing suitable  $\omega_{\lambda}$ .

Now, if we set  $s_2 = \tilde{z}_2^{\tilde{\rho}}, s_3 = \tilde{z}_3^{\tilde{\rho}}, \dots, s_n = \tilde{z}_n^{\tilde{\rho}}, \varepsilon_0 = z_2^{-\rho_2} z_3^{-\rho_3} \dots z_n^{-\rho_n} = z^{-\rho^*}$ , then  $x_0(s) \geq 0$  since  $p \in QS_{\tilde{\rho}}$ . If we replace each variable  $z_{\lambda}$  by  $z_{\lambda}e^{i\theta_{\lambda}}$ , where  $\theta_{\lambda}$  are the solutions of the previous system, we have  $\text{Re } p(u_1, u_2, \dots, u_n) \geq 0$  where

$$\begin{aligned} u_1 &= \varepsilon_0 e^{-i(\rho_2\theta_2 + \rho_3\theta_3 + \dots + \rho_n\theta_n)} = \varepsilon_0 e^{2\pi i k \tilde{\rho}^{-1}} = \varepsilon_k \text{ and} \\ u_m &= \tilde{z}_m^{\tilde{\rho}} z^{-\rho^*} e^{i\tilde{\rho}\theta_m - (\rho_2\theta_2 + \rho_3\theta_3 + \dots + \rho_n\theta_n)} = s_m \varepsilon_k \end{aligned}$$

with  $k = 1, 2, \dots, \tilde{\rho} - 1$  and  $m = 2, 3, \dots, n$ . □

REMARKS 3.2.

1. If  $a = (\rho_1, \rho_2, \dots, \rho_n, 0, 0, \dots, 0) \in \mathbb{N}_0^n$  and  $\rho = (\rho_1, \rho_2, \dots, \rho_n)$ , then  $\Omega_{\rho}(0) = \Omega_a(0)$ .
2. If  $\rho \in \mathbb{N}_0^n$  with  $\rho_1 = 1$  and  $S_{\rho} = \{n \in \mathbb{N}_0^n, \theta \leq n \leq \rho, n \neq \rho, n_1 = 0\}$ ,  $S(\rho^*) = \{k \in \mathbb{N}_0^{n-1}, k \leq \rho^*\}$  then it is obvious that  $HS_{\rho}$  is identical to  $HS(\rho^*)$ . Moreover, if  $(\omega_2, \omega_3, \dots, \omega_n) \in (\partial U)^{n-1}$  it is easy to find a  $z = (z_2, z_3, \dots, z_n) \in (\partial U)^{n-1}$  such that  $\omega_{\lambda} = z^{-\rho^*} z_{\lambda}^{\rho}$ ,  $\lambda = 2, 3, \dots, n$ . Hence, for every  $p \in QS_{\rho}$  the relation  $\text{Re } p(\varepsilon_0, \omega_2, \omega_3, \dots, \omega_n) \geq 0$  holds. Since  $n_1 = 0$ , it is  $\text{Re } p(\omega_1, \omega_2, \dots, \omega_n) \geq 0$  for every  $(\omega_1, \omega_2, \dots, \omega_n) \in (\partial U)^n$ . Applying the maximum principle theorem for harmonic functions we obtain that  $PS(\rho^*) = PS_{\rho} = QS_{\rho}$ .

By means of this equality we conclude that the determination of the extreme elements of class  $\Omega_\rho(0) \cap EP_n$  reduces to locating those of the class of polynomials  $EPS(\rho^*)$ .

Especially in the case  $\rho = (n, 1)$  the problem reduces to locating the extreme elements of the class of polynomials of degree at most  $n$ , which belong to the class  $P_1$ .

3. In the case of two variables the problem of locating the extreme elements of the class  $\Omega_\rho(0) \cap EP_2$  is always reduced to finding the extreme elements of a class of polynomials with one variable. Indeed, if

$$S_\rho = \{n \in N_0^2 : n_1\rho_2 - n_2\rho_1 < 0, n \leq \rho\} \cup \{\theta\} \quad \text{and}$$

$$S_1 = \{n_2\rho_1 - n_1\rho_2 : (n_1, n_2) \in S_\rho\}$$

we observe that the map  $x(n_1, n_2) = n_2\rho_1 - n_1\rho_2$  is one-to-one from the set  $S_\rho$  onto  $S_1$ , since the numbers  $\rho_1, \rho_2$  are prime to each other.

If  $p(z) = \sum_{n \in S_\rho} a_n z^n$  and define  $\mathcal{L}(p) = \sum_{n \in S_\rho} a_n z^{n_2\rho_1 - n_1\rho_2}$  then the transformation  $\mathcal{L}$  is an isomorphism of the space  $HS_\rho$  onto the space  $HS_1$ .

By means of Theorem 3.1 we obtain  $\mathcal{L}[QS_\rho] = PS_1$ ; hence

$$\Omega_\rho(0) \cap EP_2 = \{[\mathcal{L}^{-1}(p_1)(z) + z^\rho \mathcal{L}^{-1}(p_1)(1/\bar{z})](1 - z^\rho)^{-1} : p_1 \in EPS_1\}.$$

#### 4. APPLICATIONS

(a) If  $s = (s_1, s_2, \dots, s_n) \in (\partial U)^n$  and  $\tilde{s} = (x_1, x_2, \dots, x_{2n}) = (\rho_1, \rho_1, \rho_2, \rho_2, \dots, \rho_n, \rho_n) \in (\partial U)^{2n}$ , we denote by  $A_k(s)$  the sum of products which are formed by considering all the permutations of the components of the vector  $\tilde{s}$  taken  $k$  at a time. Moreover we define  $A_0(s) = 1$ .

If  $S_n = \{0, 1, 2, \dots, n\}$ , then it is known that the class  $EPS_n$  is formed by the elements of the class  $PS_n$  which obey the relationship

$$\text{Re } p(z) = K(-1)^n (s_1 s_2 \dots s_n)^{-1} z^{-n} (z - s_1)^2 \dots (z - s_n)^2$$

for all  $z \in (\partial U)^n$ . The number  $K > 0$  is exactly determined through the relationship  $p(0) = 1$  connected with  $s \in (\partial U)^n$ . On the basis of the above considerations we conclude the following relationship:

$$EPS_n = \{1 + 2(-1)^n A_n^{-1}(s) \sum_{k=1}^n A_{n-k}(s) z^k : s \in U^n\} \quad (\text{see [4]}).$$

If we take into consideration Theorem 3.1, the above relationship solves the problem of locating the elements of class  $\Omega_\rho(0) \cap EP_2$ , where  $\rho = (n, 1)$  or  $\rho = (1, n)$ . Moreover, it

solves the problem of finding the elements of class  $\Omega_\rho(\varphi) \cap EP_2$ . These elements have the form  $p(e^{i\varphi} z_1, z_2)$ , where  $p(z_1, z_2) \in \Omega_\rho(0) \cap EP_2$ . The elements of the class  $\Omega(\varphi) \cap EP_2$  coincide with the elements of the class  $\Omega_k(\varphi) \cap EP_\lambda$ , where  $k = (n, 1, 0, 0 \dots 0) \in N_0^\lambda$ .

For  $\rho = (1, 1)$  we have

$$\Omega_\rho(0) \cap EP_2 = \{(1 + sz_1 + \bar{s}z_2 + z_1z_2)(1 - z_1z_2)^{-1} : |s| = 1\}.$$

The above result is a generalisation of the result [2, 3.3, p.280] (that is, the function of the form  $(1 + sz_1 + \bar{s}z_2 + z_1z_2)(1 - z_1z_2)^{-1}$ ,  $|s| = 1$ , belongs to the class  $EP_2$ ).

(b) In the case  $\rho = (1, 1, 1)$ , by means of Theorem 3.1, the problem is reduced to locating the elements of class  $EPS_\rho$  where

$$S_\rho = \{(0, 0), (1, 0), (0, 1), (1, 1)\}.$$

If a polynomial belongs to the class  $EPS_\rho$  then there is a  $(\omega_0, \varphi_0) \in [0, 2\pi]^2$  such that

$$\text{Re}\{1 + \alpha e^{i\omega_0} + \beta e^{i\varphi_0} + \gamma e^{i(\omega_0 + \varphi_0)}\} = 0.$$

Without loss of generality we assume that  $(\omega_0, \varphi_0) = (0, 0)$ . Now, since there is a minimum at this position, we obtain the following relationships:

$$1 + \alpha + \beta + \gamma = iA, \alpha + \gamma = B - 1, \beta + \gamma = \Gamma, (A, B, \Gamma) \in \mathbb{R}^3.$$

The relationship  $\text{Re}\{1 + \alpha z_1 + \beta z_2 + \gamma z_1 z_2\} > 0$  for all  $(z_1, z_2) \in U^2$  by means of the maximum principle for harmonic functions, is equivalent to the relationship  $|a + \gamma e^{i\varphi}| \leq 1 + \text{Re} \beta e^{i\varphi}$ ,  $\varphi \in [0, 2\pi)$ , so  $\beta^2 = B^2 + A^2 \leq 1$ . After some algebraic manipulations we obtain

$$\Delta_1 \cos \varphi + \Delta_2 \sin \varphi + \Delta_3 \sin^2 \varphi - \Delta_2 \cos \varphi \sin \varphi - \Delta_1 \geq 0$$

where  $\Delta_1 = 2(\Gamma^2 + A^2 + \Gamma + B)$ ,  $\Delta_2 = -2AB$ ,  $\Delta_3 = A^2 - B^2$ .

Now, by setting  $x = \tan(\varphi/2)$  ( $-\infty < x < \infty$ ) we take  $\Delta_1^2 - 2\Delta_1\Delta_3 - 4\Delta_2^2 \geq 0$ ,  $\Delta_1 \leq 0$  which are equivalent to  $\Delta_1 \leq 2B^2$ . Next, if  $H = \{(A, B, \Gamma) \in \mathbb{R}^3 : \Gamma^2 + A^2 + \Gamma + \Gamma B \leq 0, A^2 + B^2 \leq 1\}$  we prove that

$$\text{Re}\{1 + (iA - 1 - \Gamma)z_1 + (iA - B)z_2 + (\Gamma + B - iA)z_1z_2\} > 0$$

for all  $(A, B, \Gamma) \in H$  and  $(z_1, z_2) \in U^2$ .

Now using a direct reversal process we can prove the converse of the previous result.

Obviously for the extreme elements which have the above form, the following holds:  $f(A, B, \Gamma) \equiv \Gamma^2 + A^2 + \Gamma + \Gamma B = 0$ . If  $(A, B, \Gamma) \in H$  with  $f(A, B, \Gamma) = 0$  and



$s = (s_1, s_2, s_3) \neq (0, 0, 0)$  the polynomial  $\tau(\lambda) = f(A + \lambda s_1, B + \lambda s_2, \Gamma + \lambda s_3)$  has the form  $\lambda[\lambda(s_1^2 + s_2^2 + s_1 s_2) + k]$ ,  $k \in \mathbb{R}$ .

By the fact that  $\tau(\lambda)$  assumes nonpositive values which are all to the right (or all to the left) of zero we obtain the converse result. Finally, the set  $EPS_\rho$  is composed of polynomials of the form

$$p(z) = 1 + (iA - 1 - \Gamma)e^{i\omega} z_1 + (iA - B)e^{i\varphi} z_2 + (\Gamma + B - iA)e^{i(\omega+\varphi)} z_1 z_2$$

where  $(\omega, \varphi) \in [0, 2\pi)^2$ ,  $(A, B, \Gamma) \in \mathbb{R}^3$ ,  $\Gamma^2 + A^2 + \Gamma + \Gamma B = 0$ ,  $A^2 + B^2 \leq 1$ .

#### REFERENCES

- [1] F. Forelli, 'A necessary condition on the extreme points of a class of holomorphic functions', *Pacific J. Math.* **73** (1977), 81–86.
- [2] F. Forelli, 'A necessary condition on the extreme points of a class of holomorphic functions II', *Pacific J. Math.* **92** (1981), 277–281.
- [3] F. Holland, 'The extreme points of a class of functions with positive real part', *Math. Ann.* **202** (1973), 85–87.
- [4] J.N. McDonald, 'Convex sets of operators on the disk algebra', *Duke Math. J.* **42** (1975), 787–796.

Department of Mathematics  
 Faculty of Sciences  
 University of Patras  
 26110 Patras  
 Greece