

# GENERALIZED FRIEZES AND A MODIFIED CALDERO–CHAPOTON MAP DEPENDING ON A RIGID OBJECT

THORSTEN HOLM AND PETER JØRGENSEN

**Abstract.** The (usual) Caldero–Chapoton map is a map from the set of objects of a category to a Laurent polynomial ring over the integers. In the case of a cluster category, it maps *reachable* indecomposable objects to the corresponding cluster variables in a cluster algebra. This formalizes the idea that the cluster category is a *categorification* of the cluster algebra. The definition of the Caldero–Chapoton map requires the category to be 2-Calabi–Yau, and the map depends on a cluster-tilting object in the category. We study a modified version of the Caldero–Chapoton map which requires only that the category have a Serre functor and depends only on a rigid object in the category. It is well known that the usual Caldero–Chapoton map gives rise to so-called *friezes*, for instance, Conway–Coxeter friezes. We show that the modified Caldero–Chapoton map gives rise to what we call *generalized friezes* and that, for cluster categories of Dynkin type  $A$ , it recovers the generalized friezes introduced by combinatorial means in recent work by the authors and Bessenrodt.

## §0. Introduction

The (usual) Caldero–Chapoton map is an important object in the homological part of cluster theory (see [9, Section 3.1]). Among other things, it gives rise to so-called *friezes*. In particular, Conway–Coxeter friezes can be recovered like this (see [9, Section 5]).

This paper studies a modified version of the Caldero–Chapoton map. We show that it gives rise to what we call *generalized friezes*. In particular, the generalized friezes which were introduced by combinatorial means in [6] can be recovered like this.

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**0.1. Background**

We first explain what the usual Caldero–Chapoton map is. If  $Q$  is a finite quiver without loops and 2-cycles, then there is a cluster algebra  $A(Q)$  and a cluster category  $\mathcal{C}(Q)$  of type  $Q$  (see [8], [16]).

The algebra  $A(Q)$  and the category  $\mathcal{C}(Q)$  are linked by the Caldero–Chapoton map  $\rho_T$ , which depends on a cluster-tilting object  $T \in \mathcal{C}(Q)$  (see [9], [11], [12], [21], [22]). It is a map from the set of objects of  $\mathcal{C}(Q)$  to a Laurent polynomial ring over  $\mathbb{Z}$ . Its image generates  $A(Q)$ , which embeds into Laurent polynomials. Indeed,  $\rho_T$  maps *reachable* indecomposable objects to cluster variables and formalizes the idea that the cluster category is a *categorification* of the cluster algebra.

Note that  $\rho_T$  can actually be defined on any 2-Calabi–Yau category  $\mathcal{C}$  with a cluster-tilting object  $T$ , and that one of its good properties is that it is a so-called *frieze* (see [1, Definition 1.1], [9, Proposition 3.10], [15, Theorem]). This means that it is a map from the set of objects of  $\mathcal{C}$  to a ring, satisfying  $\rho_T(c_1 \oplus c_2) = \rho_T(c_1)\rho_T(c_2)$ , such that if  $\tau c \rightarrow b \rightarrow c$  is an Auslander–Reiten (AR) triangle in  $\mathcal{C}$ , then

$$(0.1) \quad \rho_T(\tau c)\rho_T(c) - \rho_T(b) = 1.$$

Moreover, since  $\rho_T$  has values in a Laurent polynomial ring over  $\mathbb{Z}$ , setting all the variables equal to 1 gives a frieze with values in  $\mathbb{Z}$ .

A classic case of this arises for  $\mathcal{C}(A_n)$ , the cluster category of Dynkin type  $A_n$ . For example, the AR quiver of  $\mathcal{C}(A_7)$  is shown in Figure 1. The quiver is  $\mathbb{Z}A_7$  modulo a glide reflection, so the two dotted line segments in the figure should be identified with opposite orientations. Figure 2 shows a  $\mathbb{Z}$ -valued frieze, obtained as described, by giving its values on the indecomposable objects of  $\mathcal{C}(A_7)$ . Observe that (0.1) implies that if

$$(0.2) \quad \begin{array}{ccc} & \beta & \\ \alpha & & \delta \\ & \gamma & \end{array}$$

is a *diamond* in the frieze, then  $\alpha\delta - \beta\gamma = 1$ . This is because such a diamond corresponds to a *mesh* in the AR quiver, hence to an AR triangle.

Friezes like this are known as *Conway–Coxeter friezes* and were studied long before cluster theory (see [13], [14]). They can also be defined by combinatorial means based on triangulations of polygons (see [7]).

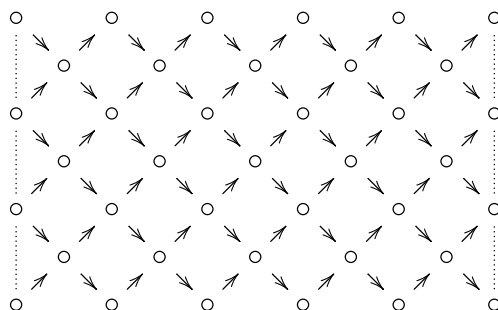


Figure 1: The Auslander–Reiten quiver of the cluster category  $C(A_7)$ .

4	4	1	2	2	4
⋮	15	3	1	3	7
11	11	2	1	10	5
⋮	8	7	1	3	7
5	5	3	2	2	11
⋮	3	2	5	1	3
4	1	3	2	1	4

Figure 2: A frieze on the cluster category  $C(A_7)$ . This is also known as a Conway–Coxeter frieze.

**0.2. This paper**

We study a modified version of the Caldero–Chapoton map which does not require the category  $C$  to be 2-Calabi–Yau but merely that it has a Serre functor. Moreover, it does not depend on a cluster-tilting object  $T$  but on a rigid object  $R$ , that is, an object satisfying the weaker condition  $C(R, \Sigma R) = 0$ . Note that  $C(-, -)$  is shorthand for the Hom functor in  $C$ .

To be precise, let  $C$  be the field of complex numbers, let  $C$  be an essentially small  $C$ -linear Hom-finite triangulated category with split idempotents and a Serre functor, let  $R \in C$  be a rigid object, and let  $E = C(R, R)$  be the

endomorphism algebra. Consider the category  $\text{Mod } E$  of  $E$ -right modules and the functor

$$(0.3) \quad \begin{aligned} \mathbb{C} &\xrightarrow{G} \text{Mod } E, \\ c &\longmapsto \mathbb{C}(R, \Sigma c). \end{aligned}$$

Note that  $G$  actually has values in  $\text{mod } E$ , the category of  $E$ -modules which are finite-dimensional over  $\mathbb{C}$ , but we prefer to view it as having values in  $\text{Mod } E$  because of a later generalization.

The modified Caldero–Chapoton map determined by  $R$  is given by the formula

$$\rho_R(c) = \sum_e \chi(\text{Gr}_e(Gc)),$$

where  $c \in \mathbb{C}$  is an object,  $\text{Gr}_e(Gc)$  is the Grassmannian of  $E$ -submodules  $M \subseteq Gc$  with  $K_0$ -class satisfying  $[M] = e$ , and  $\chi$  is the Euler characteristic defined by cohomology with compact support (see [17, p. 93]). The sum is over  $e \in K_0(\text{mod } E)$ . This gives a map  $\rho_R : \text{obj } \mathbb{C} \rightarrow \mathbb{Z}$ .

One of our main results is the following.

**THEOREM A.** *The map  $\rho_R : \text{obj } \mathbb{C} \rightarrow \mathbb{Z}$  is a generalized frieze. That is,*

- (i)  $\rho_R(c_1 \oplus c_2) = \rho_R(c_1)\rho_R(c_2)$ ;
- (ii) *if  $\Delta = \tau c \rightarrow b \rightarrow c$  is an AR triangle in  $\mathbb{C}$ , then the difference  $\rho_R(\tau c) \times \rho_R(c) - \rho_R(b)$  equals 0 or 1.*

In fact, the difference in (ii) is 0 or 1 depending on whether  $G(\Delta)$  is a split short exact sequence or not. If the difference in (ii) were always 1, then  $\rho_R$  would be a frieze in the earlier sense.

The idea of permitting the difference to be 0 or 1 occurred in [6], where generalized friezes on  $\mathbb{C}(A_n)$  were introduced by purely combinatorial means based on higher angulations of polygons (see Paragraph 5.3 below for details). For example, Figure 3 shows the values of such a generalized frieze on the indecomposable objects of  $\mathbb{C}(A_7)$ . Note that for each diamond as in (0.2) we have  $\alpha\delta - \beta\gamma$  equal to 0 or 1.

It is another main result that the generalized friezes of [6] can be recovered from the modified Caldero–Chapoton map.

**THEOREM B.** *Let  $\mathbb{C} = \mathbb{C}(A_n)$  be the cluster category of type  $A_n$ . It follows from [10] that a rigid object  $R \in \mathbb{C}$  without repeated indecomposable summands corresponds to a polygon dissection of an  $(n + 3)$ -gon  $P$ . By [6]*

3	2	1	1	2	2	
⋮	6	2	1	2	4	⋮
6	6	1	1	4	4	
⋮	6	3	1	2	4	⋮
4	3	2	1	2	6	
⋮	2	2	2	1	3	⋮
2	1	2	1	1	3	

Figure 3: A generalized frieze on the cluster category  $C(A_7)$ , as introduced in [6].

such a polygon dissection defines a generalized frieze on  $C$ , and this generalized frieze equals  $\rho_R$ .

Note that it is not explicit in [6] that its generalized friezes are defined on  $C(A_n)$ , but it is established that they have the requisite periodicity to be so. Moreover, [6] requires that  $R$  corresponds not just to a polygon dissection of  $P$  but to a higher angulation. However, this turns out to be an unnecessary restriction, both for the combinatorial definition in [6] and for  $\rho_R$ .

This paper considers only the above version of the Caldero–Chapoton map with values in  $\mathbb{Z}$ . In the sequel [18] we consider a more elaborate version,

$$\rho_R(c) = \alpha(c) \sum_e \chi(\text{Gr}_e(Gc)) \beta(e),$$

where  $\alpha$  and  $\beta$  have values in a Laurent polynomial ring. In particular, we will obtain a version of the generalized friezes of [6] with values in Laurent polynomials.

The paper is organized as follows. Section 1 gives some background from representation theory, and Section 2 shows a few properties of Grassmannians. Section 3 proves Theorem A, Section 4 proves another useful property of  $\rho_R$ , and Section 5 proves Theorem B.

Note that Sections 1 and 2 sum up and adapt some well-known material to our setting. In these sections we make no claim to originality. However, it did not seem feasible to replace them with references.

**§1. Modules over  $\mathbb{R}$**

This section sums up some items from representation theory. Most of them go back to [2]–[4], and [5].

SETUP 1.1. Throughout,  $\mathbb{C}$  is the field of complex numbers and  $\mathcal{C}$  is an essentially small  $\mathbb{C}$ -linear Hom-finite triangulated category with split idempotents and Serre functor  $S$ . The suspension functor of  $\mathcal{C}$  is denoted  $\Sigma$ .

Moreover,  $\mathbb{R}$  is a functorially finite subcategory of  $\mathcal{C}$ , closed under direct sums and summands, which is rigid; that is,  $\mathcal{C}(\mathbb{R}, \Sigma\mathbb{R}) = 0$ . Here  $\mathcal{C}(-, -)$  is short for  $\text{Hom}_{\mathcal{C}}(-, -)$ .

1.2 (The case  $\mathbb{R} = \text{add } R$ ). An important special case is  $\mathbb{R} = \text{add } R$  where  $R \in \mathcal{C}$  is rigid; that is,  $\mathcal{C}(R, \Sigma R) = 0$ . Then  $\mathbb{R}$  is automatically functorially finite, and we have the endomorphism algebra  $E = \mathcal{C}(R, R)$ , the category of  $E$ -right modules  $\text{Mod } E$ , and the functor  $G$  from (0.3). This is the situation from the Introduction.

However,  $\mathbb{R}$  has the form  $\text{add } R$  only when it has finitely many indecomposable objects, and we want to permit infinitely many because there are nice examples where it is relevant (see, e.g., [20, Section 6]). This requires the following, more general machinery.

1.3 (Krull–Schmidt categories). Since  $\mathcal{C}$  is  $\mathbb{C}$ -linear Hom-finite with split idempotents, it is Krull–Schmidt. So is  $\mathbb{R}$ , since it is closed under direct sums and summands. We denote the sets of indecomposable objects by  $\text{ind } \mathcal{C}$  and  $\text{ind } \mathbb{R}$ . Note that  $\mathbb{R}$  being rigid implies that  $\Sigma^{-1}(\text{ind } \mathbb{R})$  and  $\text{ind } \mathbb{R}$  are disjoint.

1.4 (The category  $\text{Mod } \mathbb{R}$ ). We let  $\text{Mod } \mathbb{R} = (\mathbb{R}^{\text{op}}, \text{Mod } \mathbb{C})$  denote the category of  $\mathbb{C}$ -linear contravariant functors  $\mathbb{R} \rightarrow \text{Mod } \mathbb{C}$ . It is an abelian category where a sequence  $K \rightarrow L \rightarrow M$  is exact if and only if its evaluation at each object of  $\mathbb{R}$  is exact (see [3, Section 2]).

There is a functor

$$\begin{aligned} \mathcal{C} &\xrightarrow{G} \text{Mod } \mathbb{R}, \\ c &\longmapsto \mathcal{C}(-, \Sigma c)|_{\mathbb{R}}. \end{aligned}$$

Note that  $G(\mathbb{R}) = 0$ .

If  $\mathbb{R} = \text{add } R$  where  $R$  is a rigid object, and  $E = \mathcal{C}(R, R)$  is the endomorphism algebra, then there is an equivalence

$$\begin{aligned} \text{Mod } R &\xrightarrow{\sim} \text{Mod } E, \\ M &\longmapsto M(R) \end{aligned}$$

which identifies the two versions of  $G$  given in this paragraph and (0.3).

Note that  $\text{Mod } R = (R^{\text{op}}, \text{Mod } \mathbb{C})$  has the subcategory  $(R^{\text{op}}, \text{mod } \mathbb{C})$  of  $\mathbb{C}$ -linear contravariant functors  $R \rightarrow \text{mod } \mathbb{C}$ . It is closed under subobjects and quotients, so it is an abelian subcategory of  $\text{Mod } R$  with exact inclusion functor.

1.5 (Projective objects). An object  $r \in R$  gives a projective object

$$P_r(-) = R(-, r) = G(\Sigma^{-1}r)$$

in  $\text{Mod } R$ . For an object  $M \in \text{Mod } R$ , Yoneda’s lemma says that there is an isomorphism

$$(1.1) \quad \text{Hom}_{\text{Mod } R}(P_r, M) \rightarrow M(r)$$

given by mapping a natural transformation  $P_r = R(-, r) \rightarrow M$  to its evaluation on  $\text{id}_r$ .

If  $r \in \text{ind } R$ , then  $P_r$  is indecomposable and has a unique maximal proper subobject,  $\text{rad } P_r$ . Hence, a morphism  $M \rightarrow P_r$  which is not an epimorphism factors through  $\text{rad } P_r \hookrightarrow P_r$  (see [3, Section 2], [4, Propositions 2.2 and 2.3]).

1.6 (The category  $\text{mod } R$ ). An object  $M \in \text{Mod } R$  is called *coherent* if there is an exact sequence

$$P_{r_1} \rightarrow P_{r_0} \rightarrow M \rightarrow 0$$

with  $r_0, r_1 \in R$ . The full subcategory of coherent objects is denoted by  $\text{mod } R$ . It is clearly contained in  $(R^{\text{op}}, \text{mod } \mathbb{C})$ . Since  $R$  is functorially finite in  $\mathbb{C}$ , the category  $\text{mod } R$  is abelian by [19, Remark after Definition 2.9], and the inclusion  $\text{mod } R \hookrightarrow \text{Mod } R$  is exact by [2, Section III.2].

1.7 (Dualizing variety). Composition with the functor  $D(-) = \text{Hom}_{\mathbb{C}}(-, \mathbb{C})$  gives a duality

$$(R^{\text{op}}, \text{mod } \mathbb{C}) \rightarrow (R, \text{mod } \mathbb{C}).$$

By [19, Propositions 2.10 and 2.11] the category  $R$  is a dualizing variety in the sense of [5, Section 2], so the displayed duality restricts to a duality

$$\text{mod } R \rightarrow \text{mod } R^{\text{op}}.$$

1.8 (Simple and finite length objects). The simple objects of  $\text{Mod } R$  are precisely those of the form

$$S_r = P_r / \text{rad } P_r$$

for  $r \in \text{ind } R$  (see [4, Propositions 2.2 and 2.3]). Since  $R$  is a dualizing variety,  $S_r \in \text{mod } R$  for each  $r \in \text{ind } R$  by [5, Proposition 3.2(c)]. As in [20, (1.4)] it follows that  $\text{mod } R$  and  $\text{Mod } R$  have the same simple and the same finite length objects. We denote the full subcategory of finite length objects by  $\text{fl } R$ . It is closed under subobjects and quotients in  $\text{mod } R$  and in  $\text{Mod } R$ , so it is abelian, and the inclusion functors  $\text{fl } R \hookrightarrow \text{mod } R$  and  $\text{fl } R \hookrightarrow \text{Mod } R$  are exact.

1.9 (K-theory). It is immediate from Paragraph 1.8 that  $K_0(\text{fl } R)$  is a free group on the generators  $[S_r]$  for  $r \in \text{ind } R$ , where  $[-]$  denotes the  $K_0$ -class of an object. If  $M \in \text{fl } R$ , then  $M$  has a finite filtration with simple quotients and the  $K_0$ -class  $[M]$  is the sum of the  $K_0$ -classes of the simple quotients. For  $M' \subseteq M$  this implies that

$$(1.2) \quad [M'] = [M] \Leftrightarrow M' = M, \quad [M'] = 0 \Leftrightarrow M' = 0.$$

1.10 (Injective objects). The previous items are left/right symmetric, so if  $r \in \text{ind } R$ , then  $\overline{P}_r = R(r, -)$  is indecomposable projective in  $\text{Mod } R^{\text{op}}$  and there is a short exact sequence

$$0 \rightarrow \text{rad } \overline{P}_r \rightarrow \overline{P}_r \rightarrow \overline{S}_r \rightarrow 0$$

in  $\text{Mod } R^{\text{op}}$  where  $\overline{S}_r$  is simple in  $\text{Mod } R^{\text{op}}$ . The sequence is in  $(R, \text{mod } \mathbb{C})$ , and dualizing it gives a short exact sequence

$$0 \rightarrow S_r \rightarrow I_r \rightarrow \text{corad } I_r \rightarrow 0,$$

where

$$I_r = \text{DR}(r, -) = R(-, S_r)$$

is indecomposable injective in  $\text{Mod } R$ . A morphism  $I_r \rightarrow N$  which is not a monomorphism factors through  $I_r \rightarrow \text{corad } I_r$ .

The next two lemmas follow by standard methods. We include short proofs for completeness. Note that if  $A$  and  $B$  are full subcategories of  $C$ , then  $A * B$  denotes the full subcategory of objects  $x$  appearing in distinguished triangles  $a \rightarrow x \rightarrow b$  with  $a \in A$ ,  $b \in B$ .



LEMMA 1.11.

- (i) For  $M \in \text{mod } \mathbf{R}$  there is  $z \in (\Sigma^{-1}\mathbf{R}) * \mathbf{R}$  such that  $Gz \cong M$ .
- (ii) For  $z \in (\Sigma^{-1}\mathbf{R}) * \mathbf{R}$  and  $c \in \mathbf{C}$ , the map

$$\mathbf{C}(z, c) \xrightarrow{G(-)} \text{Hom}_{\text{Mod } \mathbf{R}}(Gz, Gc)$$

is surjective.

*Proof.* (i) For  $M \in \text{mod } \mathbf{R}$  there is an exact sequence  $P_{r_1} \rightarrow P_{r_0} \rightarrow M \rightarrow 0$  with  $r_0, r_1 \in \mathbf{R}$ . By (1.1) the first arrow is induced by a morphism  $r_1 \rightarrow r_0$  in  $\mathbf{R}$ . Desuspending and completing to a distinguished triangle  $\Sigma^{-1}r_1 \rightarrow \Sigma^{-1}r_0 \rightarrow z \rightarrow r_1$  in  $\mathbf{C}$ , it is easy to check that  $M \cong Gz$ .

(ii) For  $r \in \mathbf{R}$ , (1.1) gives an isomorphism  $\text{Hom}_{\text{Mod } \mathbf{R}}(P_r, Gc) \rightarrow (Gc)(r)$ , which can also be written  $\text{Hom}_{\text{Mod } \mathbf{R}}(G(\Sigma^{-1}r), Gc) \rightarrow \mathbf{C}(\Sigma^{-1}r, c)$ . One checks that its inverse is  $G(-)$ , which is hence bijective in this case.

Now let  $z \in (\Sigma^{-1}\mathbf{R}) * \mathbf{R}$  be given. There is a distinguished triangle  $\Sigma^{-1}r_1 \rightarrow \Sigma^{-1}r_0 \rightarrow z \rightarrow r_1$  which induces an exact sequence  $G(\Sigma^{-1}r_1) \rightarrow G(\Sigma^{-1}r_0) \rightarrow Gz \rightarrow 0$  and a commutative diagram

$$\begin{array}{ccccccc} \mathbf{C}(r_1, c) & \longrightarrow & \mathbf{C}(z, c) & \longrightarrow & \mathbf{C}(\Sigma^{-1}r_0, c) & \longrightarrow & \mathbf{C}(\Sigma^{-1}r_1, c) \\ \downarrow & & \downarrow^{G(-)} & & \downarrow^{G(-)} & & \downarrow^{G(-)} \\ 0 & \longrightarrow & \text{Hom}_{\text{Mod } \mathbf{R}}(Gz, Gc) & \xrightarrow{\cong} & \text{Hom}_{\text{Mod } \mathbf{R}}(G(\Sigma^{-1}r_0), Gc) & \xrightarrow{\cong} & \text{Hom}_{\text{Mod } \mathbf{R}}(G(\Sigma^{-1}r_1), Gc) \end{array}$$

with exact rows. The first vertical arrow is surjective, and the third and fourth vertical arrows are bijective by the previous part of the proof. This *four lemma* implies that the second vertical arrow is surjective, as claimed. □

Now let

$$\Delta = \tau c \rightarrow b \xrightarrow{\beta} c$$

be an AR triangle in  $\mathbf{C}$ , whence

$$G(\Delta) = G(\tau c) \rightarrow Gb \rightarrow Gc$$

is an exact sequence.

LEMMA 1.12.

- (i) If  $c = \Sigma^{-1}r \in \Sigma^{-1} \text{ind } \mathbf{R}$ , then  $G(\Delta) = 0 \rightarrow \text{rad } P_r \rightarrow P_r$ .
- (ii) If  $c = r \in \text{ind } \mathbf{R}$ , then  $G(\Delta) = I_r \rightarrow \text{corad } I_r \rightarrow 0$ .
- (iii) If  $c \notin \Sigma^{-1}(\text{ind } \mathbf{R}) \cup \text{ind } \mathbf{R}$ , then  $G(\Delta)$  is a short exact sequence.

*Proof.* (i) Let  $c = \Sigma^{-1}r$ , whence  $Gc = P_r$ .

Pick a right  $\mathbf{R}$ -approximation  $r' \xrightarrow{\rho'} \Sigma b$ . It is easy to see that composing with  $\Sigma b \xrightarrow{\Sigma\beta} r$  gives a morphism  $r' \rightarrow r$  which is almost splittable in the sense of [4, Section 2], so the row in the following diagram is exact by [4, Corollary 2.6]:

$$\begin{array}{ccccccc}
 \mathbf{C}(-, r')|_{\mathbf{R}} & \xrightarrow{\quad\quad\quad} & \mathbf{C}(-, r)|_{\mathbf{R}} & \xrightarrow{\quad\sigma\quad} & S_r & \xrightarrow{\quad\quad\quad} & 0 \\
 & \searrow \rho'_* & & \nearrow (\Sigma\beta)_* & & & \\
 & & \mathbf{C}(-, \Sigma b)|_{\mathbf{R}} & & & & 
 \end{array}$$

Since  $\sigma$  is the canonical epimorphism  $P_r \rightarrow S_r$ , the diagram shows  $\text{Im}(\Sigma\beta)_* = \text{rad } P_r$ . This can also be written  $\text{Im } G\beta = \text{rad } P_r$ .

Finally,  $c = \Sigma^{-1}r$  implies that

$$\begin{aligned}
 G(\tau c) &= \mathbf{C}(-, \Sigma\tau c)|_{\mathbf{R}} = \mathbf{C}(-, \Sigma(S\Sigma^{-1})(\Sigma^{-1}r))|_{\mathbf{R}} \\
 &= \mathbf{C}(-, S\Sigma^{-1}r)|_{\mathbf{R}} = \text{DC}(\Sigma^{-1}r, -)|_{\mathbf{R}} = 0.
 \end{aligned}$$

The sequence  $G(\Delta)$  is exact and, combining with what we have shown, gives  $G(\Delta) = 0 \rightarrow \text{rad } P_r \rightarrow P_r$  as desired.

(ii) Apply (i) to  $\mathbf{C}^{\text{op}}$  and  $\mathbf{R}^{\text{op}}$  and dualize.

(iii) There is a long exact sequence

$$G(\Sigma^{-1}b) \xrightarrow{G(\Sigma^{-1}\beta)} G(\Sigma^{-1}c) \longrightarrow G(\tau c) \longrightarrow Gb \xrightarrow{G\beta} Gc.$$

The first morphism can also be written  $\mathbf{C}(-, b)|_{\mathbf{R}} \xrightarrow{\beta_*} \mathbf{C}(-, c)|_{\mathbf{R}}$ . It is an epimorphism when  $c \notin \text{ind } \mathbf{R}$ , since  $\beta$  is right almost split. Similarly, the last morphism in the long exact sequence is an epimorphism when  $\Sigma c \notin \text{ind } \mathbf{R}$ , and (iii) of the proposition follows.  $\square$

### §2. Grassmannians

This section adapts some material from [9], [11], [12], [21], and [22] to our setting.

**DEFINITION 2.1** (Grassmannians). Let  $M \in \text{Mod } \mathbf{R}$  and  $e \in K_0(\text{fl } \mathbf{R})$  be given. Let  $\text{Gr}(M)$  be the Grassmannian of subobjects  $M' \subseteq M$  with finite length, and let  $\text{Gr}_e(M) \subseteq \text{Gr}(M)$  be the Grassmannian of subobjects  $M' \subseteq M$  with finite length and  $[M'] = e$ .

2.2 (Constructible maps). A morphism  $M \xrightarrow{j} N$  in  $\text{fl R}$  induces constructible maps of Grassmannians as follows:

$$\begin{aligned} \text{Gr}(M) &\rightarrow \text{Gr}(N), & \text{Gr}(N) &\rightarrow \text{Gr}(M), \\ M' &\mapsto jM', & N' &\mapsto j^{-1}N' \end{aligned}$$

(see [22, Section 2.1] for the definitions of constructible sets and maps). Note that, in particular, the image and the inverse image under a constructible map of a constructible set are constructible.

SETUP 2.3. For the rest of this section,  $a \rightarrow b \rightarrow c$  are fixed morphisms in  $\mathbf{C}$ . We assume that applying  $G$  gives a short exact sequence

$$(2.1) \quad 0 \rightarrow Ga \xrightarrow{i} Gb \xrightarrow{p} Gc \rightarrow 0$$

and that  $Ga, Gb, Gc$  have finite length in  $\text{Mod R}$ .

DEFINITION 2.4. For  $e, f \in K_0(\text{fl R})$ , there is a constructible subset

$$X_{e,f} = \{L \in \text{Gr}(Gb) \mid [i^{-1}L] = e, [pL] = f\} \subseteq \text{Gr}(Gb)$$

and a morphism

$$\begin{aligned} X_{e,f} &\xrightarrow{\pi_{e,f}} \text{Gr}_e(Ga) \times \text{Gr}_f(Gc), \\ L &\longmapsto (i^{-1}L, pL). \end{aligned}$$

LEMMA 2.5. For each  $g \in K_0(\text{fl R})$  we have

$$\text{Gr}_g(Gb) = \bigcup_{e+f=g} X_{e,f},$$

where the right-hand side is a finite disjoint union.

*Proof.* Each  $L \in \text{Gr}(Gb)$  is a subobject of  $Gb$  and so sits in a short exact sequence  $0 \rightarrow i^{-1}L \rightarrow L \rightarrow pL \rightarrow 0$ , whence  $[L] = [i^{-1}L] + [pL]$  in  $K_0(\text{fl R})$ . This gives the disjoint union in the lemma which is clearly finite.  $\square$

LEMMA 2.6.

- (i) If the sequence (2.1) is split exact, then  $\pi_{e,f}$  is surjective.
- (ii) If  $(e, f) \neq (0, [Gc])$  and  $a \rightarrow b \rightarrow c$  is an AR triangle, then  $\pi_{e,f}$  is surjective.

- (iii) If  $(e, f) = (0, [Gc])$ , then either  $\pi_{e,f}$  is surjective or  $X_{e,f} = \emptyset$ . The former happens if and only if the sequence (2.1) is split exact.
- (iv) If  $(e, f) = (0, [Gc])$ , then  $\text{Gr}_e(Ga) \times \text{Gr}_f(Gc) = \{(0, Gc)\}$  has only one point.
- (v) Each fiber of  $\pi_{e,f}$  is an affine space over  $\mathbb{C}$ .

*Proof.* For (i) and (ii) let  $(K, M) \in \text{Gr}_e(Ga) \times \text{Gr}_f(Gc)$  be given. That is,  $K \subseteq Ga$ ,  $M \subseteq Gc$  are subobjects with  $[K] = e$  and  $[M] = f$ .

(i) When the sequence (2.1) is split exact, we set  $L = K \oplus M \subseteq Ga \oplus Gc = Gb$ , whence  $i^{-1}L = K$ ,  $pL = M$ , so  $\pi_{e,f}(L) = (K, M)$ .

(ii) Pick  $z \in (\Sigma^{-1}\mathbf{R}) * \mathbf{R}$  such that there is an isomorphism  $Gz \xrightarrow{\sim} M$  (see Lemma 1.11(i)). Composing it with the inclusion  $M \subseteq Gc$  gives a monomorphism  $Gz \rightarrow Gc$  which has the form  $G(z \xrightarrow{\zeta} c)$  by Lemma 1.11(ii). Note that  $M = \text{Im } G\zeta$ .

First, suppose that  $e \neq 0$ . In this case,  $K \neq 0$  by (1.2).

By Paragraphs 1.6 and 1.8 we can pick  $r \in \mathbf{R}$  such that there is an epimorphism  $P_r = G(\Sigma^{-1}r) \rightarrow K$ . Composing it with the inclusion  $K \subseteq Ga$  gives a morphism  $G(\Sigma^{-1}r) \rightarrow Ga$  which has the form  $G(\Sigma^{-1}r \xrightarrow{\varphi} a)$  by Lemma 1.11(ii). Note that  $K = \text{Im } G\varphi$  and that  $K \neq 0$  implies that  $\varphi \neq 0$ .

We are assuming that there is an AR triangle  $a \rightarrow b \rightarrow c \xrightarrow{\gamma} \Sigma a$ , and since  $\varphi$  and hence  $\Sigma\varphi$  are nonzero,  $\gamma$  factors as  $c \xrightarrow{\varepsilon} r \xrightarrow{\Sigma\varphi} \Sigma a$ . We can spin this into the following commutative diagram where the top row is also a distinguished triangle:

$$\begin{array}{ccccccc}
 \Sigma^{-1}r & \longrightarrow & y & \longrightarrow & z & \xrightarrow{\varepsilon\zeta} & r \\
 \varphi \downarrow & & v \downarrow & & \zeta \downarrow & \nearrow \varepsilon & \downarrow \Sigma\varphi \\
 a & \longrightarrow & b & \longrightarrow & c & \xrightarrow{\gamma} & \Sigma a
 \end{array}$$

Applying  $G$  gives a commutative diagram with exact rows:

$$\begin{array}{ccccccccc}
 G(\Sigma^{-1}r) & \longrightarrow & Gy & \longrightarrow & Gz & \longrightarrow & 0 \\
 G\varphi \downarrow & & Gv \downarrow & & \downarrow G\zeta & & \\
 0 & \longrightarrow & Ga & \xrightarrow{i} & Gb & \xrightarrow{p} & Gc & \longrightarrow & 0
 \end{array}$$

Set  $L = \text{Im } Gv$ . A diagram chase using that  $G\zeta$  is a monomorphism shows that  $i^{-1}L = \text{Im } G\varphi = K$  and that  $pL = \text{Im } G\zeta = M$ , so  $\pi_{e,f}(L) = (K, M)$ .

Second, suppose that  $e = 0$ . We are assuming that  $(e, f) \neq (0, [Gc])$ , so  $f \neq [Gc]$  follows. In this case,  $K = 0$  and  $M \neq Gc$  by (1.2).

Since  $M = \text{Im } G\zeta$ , the morphism  $Gz \xrightarrow{G\zeta} Gc$  is not an epimorphism; thus,  $\zeta$  is not a split epimorphism, so  $\zeta$  factors as  $z \xrightarrow{v} b \rightarrow c$ . Applying  $G$  gives the following commutative diagram:

$$\begin{array}{ccccccc}
 & & & & Gz & \xlongequal{\quad} & Gz \\
 & & & & \downarrow Gv & & \downarrow G\zeta \\
 0 & \longrightarrow & Ga & \xrightarrow{i} & Gb & \xrightarrow{p} & Gc \longrightarrow 0
 \end{array}$$

Set  $L = \text{Im } Gv$ . As above, a diagram chase using that  $G\zeta$  is a monomorphism shows that  $i^{-1}L = 0 = K$  and that  $pL = \text{Im } G\zeta = M$ , so  $\pi_{e,f}(L) = (K, M)$ .

(iii) When  $(e, f) = (0, [Gc])$ , it is clear from (iv) that either  $\pi_{e,f}$  is surjective or  $X_{e,f} = \emptyset$ . The former happens if and only if there is a subobject  $L \subseteq Gb$  such that  $i^{-1}L = 0$  and  $pL = Gc$ . This is clearly equivalent to the existence of a morphism  $Gc \xrightarrow{q} Gb$  with  $pq = \text{id}$ , that is, equivalent to the short exact sequence (2.1) being split exact.

(iv) This follows from (1.2).

(v) See [9, Lemma 3.11], which is stated for AR sequences but has a proof that also works in the present situation. □

### §3. Generalized friezes

This section shows Theorem 3.3, which is a refined version of Theorem A from the Introduction.

DEFINITION 3.1. For  $c \in \mathcal{C}$  set

$$\rho_{\mathbb{R}}(c) = \sum_e \chi(\text{Gr}_e(Gc)).$$

Recall that  $Gc$  is the  $\mathbb{R}$ -module  $\mathbb{C}(-, \Sigma c)|_{\mathbb{R}}$  and that  $\text{Gr}_e(Gc)$  is the Grassmannian of subobjects  $M \subseteq Gc$  with finite length and  $[M] = e$ , while  $\chi$  is the Euler characteristic defined by cohomology with compact support (see [17, p. 93]). The sum is over  $e \in K_0(\text{fl } \mathbb{R})$ .

Note that if  $Gc = 0$ , then  $\rho_{\mathbb{R}}(c) = 1$ . However, for other objects  $c$  the formula may not make sense because  $Gc$  may have infinite length, in which case the sum may be infinite.

DEFINITION 3.2. If  $R = \text{add } R$  for a rigid object  $R$ , then we write  $\rho_R$  instead of  $\rho_{\mathbf{R}}$ ; this is the situation from the Introduction.

For the rest of this section and the next,  $\rho_{\mathbf{R}}$  is abbreviated to  $\rho$ .

THEOREM 3.3.

- (i) If  $Gc$  is of finite length, then the formula for  $\rho(c)$  makes sense.
- (ii) If  $c_1, c_2 \in \mathbf{C}$  have  $Gc_1, Gc_2$  of finite length, then  $G(c_1 \oplus c_2)$  has finite length and  $\rho(c_1 \oplus c_2) = \rho(c_1)\rho(c_2)$ .
- (iii) If

$$\Delta = \tau c \rightarrow b \rightarrow c$$

is an AR triangle in  $\mathbf{C}$  and  $G(\tau c), Gc$  have finite length, then so does  $Gb$  and

$$\rho(\tau c)\rho(c) - \rho(b) = \begin{cases} 0 & \text{if } G(\Delta) \text{ is a split short exact sequence,} \\ 1 & \text{if } G(\Delta) \text{ is not a split short exact sequence.} \end{cases}$$

*Proof.* (i) If  $Gc$  has finite length, then  $\text{Gr}_e(Gc)$  is nonempty only for finitely many values of  $e$  (see [20, Paragraphs 1.6 and 1.8]). Hence, the formula for  $\rho$  makes sense.

(iii) Consider the AR triangle  $\Delta$ , and suppose that  $G(\tau c), Gc$  have finite length. The exact sequence  $G(\Delta)$  shows that  $Gb$  has finite length. We now split into cases.

Case (a):  $c = \Sigma^{-1}r \in \Sigma^{-1} \text{ind } \mathbf{R}$ . Lemma 1.12(i) says that

$$G(\Delta) = 0 \rightarrow \text{rad } P_r \rightarrow P_r;$$

in particular,  $G(\Delta)$  is not a split short exact sequence. We have

$$\begin{aligned} \rho(c) &= \sum_e \chi(\text{Gr}_e(P_r)) \\ &= \chi(\text{Gr}_{[P_r]}(P_r)) + \sum_{e \neq [P_r]} \chi(\text{Gr}_e(P_r)) \\ &= 1 + \sum_e \chi(\text{Gr}_e(\text{rad } P_r)) \\ &= 1 + \rho(b). \end{aligned}$$

The penultimate  $=$  holds because (1.2) implies that  $\text{Gr}_{[P_r]}(P_r) = \{P_r\}$  has only one point and that each subobject  $M \subseteq P_r$  with  $[M] \neq [P_r]$  is proper and hence contained in  $\text{rad } P_r$ . Moreover,

$$\rho(\tau c) = 1$$

since  $G(\tau c) = 0$ . Combining the equations shows that

$$(3.1) \quad \rho(\tau c)\rho(c) - \rho(b) = 1.$$

Case (b):  $c = r \in \text{ind } R$ . We can use the dual argument to Case (a), based on Lemma 1.12(ii). We get that  $G(\Delta)$  is not a split short exact sequence, and (3.1) remains true.

Case (c):  $c \notin \Sigma^{-1}(\text{ind } R) \cup \text{ind } R$ . We will use the machinery of Section 2, so set  $a \rightarrow b \rightarrow c$  of Setup 2.3 equal to  $\Delta = \tau c \rightarrow b \rightarrow c$ . The requirements of the setup are satisfied because  $G(\Delta)$  is a short exact sequence by Lemma 1.12(iii).

We have

$$\begin{aligned} \rho(\tau c)\rho(c) &= \sum_{e,f} \chi(\text{Gr}_e(G(\tau c)))\chi(\text{Gr}_f(Gc)) \\ &= \sum_{e,f} \chi(\text{Gr}_e(G(\tau c)) \times \text{Gr}_f(Gc)) \\ &= \chi(\text{Gr}_0(G(\tau c)) \times \text{Gr}_{[Gc]}(Gc)) \\ &\quad + \sum_{(e,f) \neq (0,[Gc])} \chi(\text{Gr}_e(G(\tau c)) \times \text{Gr}_f(Gc)) \\ &= \chi(\text{Gr}_0(G(\tau c)) \times \text{Gr}_{[Gc]}(Gc)) + \sum_{(e,f) \neq (0,[Gc])} \chi(X_{e,f}). \end{aligned}$$

The second = is by [17, p. 92, item (4)], and the last = is by [17, p. 93, Exercise] and Lemma 2.6(ii), (v). On the other hand,

$$\rho(b) = \sum_g \chi(\text{Gr}_g(Gb)) = \sum_{e,f} \chi(X_{e,f}) = \chi(X_{0,[Gc]}) + \sum_{(e,f) \neq (0,[Gc])} \chi(X_{e,f}),$$

where the second = is by [17, p. 92, item (3)] and Lemma 2.5. It follows that

$$\rho(\tau c)\rho(c) - \rho(b) = \chi(\text{Gr}_0(G(\tau c)) \times \text{Gr}_{[Gc]}(Gc)) - \chi(X_{0,[Gc]}) = (\dagger).$$

If  $G(\Delta)$  is split exact, then  $\pi_{0,[Gc]}$  is surjective by Lemma 2.6(i), whence  $(\dagger) = 0$  by [17, p. 93, Exercise] and Lemma 2.6(v). If  $G(\Delta)$  is not split exact, then Lemma 2.6(iii), (iv) implies that  $(\dagger) = 1 - 0 = 1$ .

(ii) Suppose that  $Gc_1, Gc_2$  have finite length. It is clear that  $G(c_1 \oplus c_2)$  has finite length. Set  $a \rightarrow b \rightarrow c$  of Setup 2.3 equal to  $c_1 \rightarrow c_1 \oplus c_2 \rightarrow c_2$ .

A simplified version of the above computation for Case (c), using part (i) of Lemma 2.6 instead of (ii), shows that  $\rho(c_1 \oplus c_2) = \rho(c_1)\rho(c_2)$ .  $\square$

DEFINITION 3.4. Let  $A$  be a commutative ring. A *generalized frieze* on  $\mathbf{C}$  with values in  $A$  is a map  $\varphi : \text{obj } \mathbf{C} \rightarrow A$  satisfying

- (i)  $\varphi(c_1 \oplus c_2) = \varphi(c_1)\varphi(c_2)$ ;
- (ii) if  $\tau c \rightarrow b \rightarrow c$  is an AR triangle in  $\mathbf{C}$ , then  $\varphi(\tau c)\varphi(c) - \varphi(b)$  equals 0 or 1.

COROLLARY 3.5. *If  $Gc$  has finite length for each  $c \in \mathbf{C}$ , then  $\rho$  is a generalized frieze with values in  $\mathbb{Z}$ .*

*Proof.* This is immediate from Theorem 3.3.  $\square$

REMARK 3.6. Theorem A in the Introduction follows from this since it is clear that each  $Gc$  has finite length when  $R = \text{add } R$  for a rigid object  $R$ .

However, Theorem 3.3 is a bit finer because it also deals with situations where  $\rho$  is not defined on every  $c \in \mathbf{C}$ .

**§4. An extension formula**

This section shows Proposition 4.4 which is akin to the *exchange relation* or *multiplication property* for cluster maps, albeit in a special case (see [12, Introduction] and [21, Introduction]).

SETUP 4.1. In this section  $\mathbf{C}$  is assumed to be 2-Calabi–Yau; that is, its Serre functor is  $S = \Sigma^2$ .

Moreover,  $m \in \text{ind } \mathbf{C}$  and  $r \in \text{ind } \mathbf{R}$  denote objects satisfying

$$\dim_{\mathbb{C}} \text{Ext}_{\mathbf{C}}^1(r, m) = \dim_{\mathbb{C}} \text{Ext}_{\mathbf{C}}^1(m, r) = 1,$$

and  $m \rightarrow a \rightarrow r$  and  $r \rightarrow b \rightarrow m$  are the ensuing nonsplit extensions.

REMARK 4.2. Being more verbose, we have the following distinguished triangles with  $\delta, \varepsilon \neq 0$ :

$$m \xrightarrow{\mu} a \rightarrow r \xrightarrow{\delta} \Sigma m, \quad r \rightarrow b \xrightarrow{\beta} m \xrightarrow{\varepsilon} \Sigma r.$$

Applying  $G$  gives exact sequences in  $\text{Mod } \mathbf{R}$ :

$$G(\Sigma^{-1}r) \xrightarrow{G(\Sigma^{-1}\delta)} Gm \xrightarrow{G\mu} Ga \rightarrow 0, \quad 0 \rightarrow Gb \xrightarrow{G\beta} Gm \xrightarrow{G\varepsilon} G(\Sigma r).$$

LEMMA 4.3. *If  $M \subseteq Gm$ , then either  $\text{Ker } G\mu \subseteq M$  or  $M \subseteq \text{Im } G\beta$ , but not both.*



*Proof.* Equivalently, either  $\text{Im } G(\Sigma^{-1}\delta) \subseteq M$  or  $M \subseteq \text{Ker } G\varepsilon$ , but not both.

*Not both:* Since  $\mathbb{C}$  is 2-Calabi–Yau, its AR translation is  $\tau = \Sigma$ , so there is an AR triangle  $\Sigma r \rightarrow y \rightarrow r \xrightarrow{\sigma} \Sigma^2 r$ . The morphism  $r \xrightarrow{\delta} \Sigma m$  is nonzero, so  $\sigma$  factors as  $r \xrightarrow{\delta} \Sigma m \xrightarrow{\psi} \Sigma^2 r$ . Since  $\psi\delta = \sigma \neq 0$ , we have  $\psi \neq 0$ . It therefore follows from  $\dim_{\mathbb{C}} \mathbb{C}(\Sigma m, \Sigma^2 r) = \dim_{\mathbb{C}} \mathbb{C}(m, \Sigma r) = 1$  that  $\Sigma m \xrightarrow{\Sigma\varepsilon} \Sigma^2 r$  is a nonzero scalar multiple of  $\psi$ , whence  $\psi\delta \neq 0$  implies that  $\Sigma(\varepsilon)\delta \neq 0$ . Hence,  $G(\varepsilon\Sigma^{-1}\delta) \neq 0$ , because this morphism is

$$\mathbb{C}(-, r)|_{\mathbb{R}} \xrightarrow{(\Sigma(\varepsilon)\delta)_*} \mathbb{C}(-, \Sigma^2 r)|_{\mathbb{R}}.$$

Now suppose that  $\text{Im } G(\Sigma^{-1}\delta) \subseteq M$ . Applying  $G\varepsilon$  gives  $\text{Im } G(\varepsilon\Sigma^{-1}\delta) \subseteq (G\varepsilon)M$ . By what we have shown above, this implies that  $(G\varepsilon)M \neq 0$ , that is, that  $M \not\subseteq \text{Ker } G\varepsilon$  as claimed.

*Either/or:* Suppose that  $M \not\subseteq \text{Ker } G\varepsilon$ . Since  $G\varepsilon$  is

$$\mathbb{C}(-, \Sigma m)|_{\mathbb{R}} \xrightarrow{(\Sigma\varepsilon)_*} \mathbb{C}(-, \Sigma^2 r)|_{\mathbb{R}},$$

this means that there exist  $r' \in \text{ind } \mathbb{R}$  and a morphism  $r' \xrightarrow{\rho'} \Sigma m$  in  $M(r')$  such that the composition  $r' \xrightarrow{\rho'} \Sigma m \xrightarrow{\Sigma\varepsilon} \Sigma^2 r$  is nonzero. Hence, the map  $\mathbb{C}(\Sigma m, \Sigma^2 r) \xrightarrow{\rho'^*} \mathbb{C}(r', \Sigma^2 r)$  is nonzero, whence the lower horizontal map is nonzero in the following commutative square which exists by Serre duality:

$$\begin{CD} \mathbb{C}(r, r') @>\rho'^*>> \mathbb{C}(r, \Sigma m) \\ @V\cong VV @VV\cong V \\ \text{DC}(r', \Sigma^2 r) @>\text{D}(\rho'^*)>> \text{DC}(\Sigma m, \Sigma^2 r) \end{CD}$$

It follows that the upper horizontal map is nonzero and so is surjective since  $\dim_{\mathbb{C}} \mathbb{C}(r, \Sigma m) = 1$  by assumption. Hence,  $r \xrightarrow{\delta} \Sigma m$  factors as  $r \rightarrow r' \xrightarrow{\rho'} \Sigma m$ .

However, for  $r'' \in \mathbb{R}$  each element of  $(\text{Im } G(\Sigma^{-1}\delta))(r'')$  is a composition  $r'' \rightarrow r \xrightarrow{\delta} \Sigma m$ . By what we have shown, such a composition can also be written as a composition  $r'' \rightarrow r' \xrightarrow{\rho'} \Sigma m$  and so is in  $M(r'')$ . Hence,  $\text{Im } G(\Sigma^{-1}\delta) \subseteq M$  as desired.  $\square$

**PROPOSITION 4.4.** *In the situation of Setup 4.1, if  $Gm$  has finite length, then so do  $Ga$  and  $Gb$ , and*

$$\rho(m) = \rho(a) + \rho(b).$$

*Proof.* The claim about lengths follows from the exact sequences in Remark 4.2.

When  $Gm$  has finite length there are injections

$$\begin{aligned} \text{Gr}_{e-[\text{Ker } G\mu]}(Ga) &\hookrightarrow \text{Gr}_e(Gm) \hookrightarrow \text{Gr}_e(Gb), \\ K &\longrightarrow (G\mu)^{-1}K, \\ (G\beta)L &\longleftarrow L. \end{aligned}$$

The images are constructible by Paragraph 2.2, and they are disjoint with union equal to  $\text{Gr}_e(Gm)$  by Lemma 4.3, whence

$$\chi(\text{Gr}_e(Gm)) = \chi(\text{Gr}_{e-[\text{Ker } G\mu]}(Ga)) + \chi(\text{Gr}_e(Gb))$$

by [17, p. 92, item (3)]. Summing over  $e \in K_0(\text{fl } R)$  proves the proposition.  $\square$

REMARK 4.5. Since  $Gr = 0$ , we have  $\rho(r) = 1$ , so Proposition 4.4 can also be written as

$$(4.1) \quad \rho(m)\rho(r) = \rho(a) + \rho(b).$$

This makes it clearer that it is akin to the exchange relation or multiplication property for cluster characters (see [12, Introduction], [21, Introduction]).

If  $r \in \text{ind } C$ , then (4.1) holds for cluster characters but may fail for  $\rho$  (see Remark 5.5).

**§5. The generalized friezes of [6]**

This section shows Theorem 5.4, which is a reformulation of Theorem B in the Introduction.

SETUP 5.1. In this section,  $n \geq 3$  is an integer,  $C = C(A_n)$  is the cluster category of type  $A_n$  (see [8], [10]), and  $R$  is a rigid object of  $C$  without repeated indecomposable summands. We set  $R = \text{add } R$  (see Paragraph 1.2).

5.2 (Coordinates and diagonals). It is clear that  $Gc$  has finite length for each  $c \in C$ , and it is well known that  $C$  and  $R$  satisfy the conditions of Setups 1.1 and 4.1, so the results of Sections 3 and 4 apply.

The following properties were shown in [10]. The AR quiver of  $C$  is  $\mathbb{Z}A_n$  modulo a certain glide reflection. There is a coordinate system on the AR

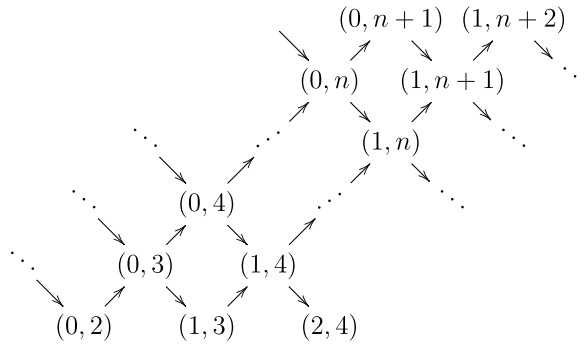


Figure 4: The coordinate system on the AR quiver of  $C(A_n)$ .

quiver of  $C$ , part of which is shown in Figure 4. It is continued with the stipulations that the order of the coordinates does not matter and that individual coordinates are taken modulo  $n + 3$ ; this emulates the action of the glide reflection. We think of the coordinate pair  $(i, j)$  as the diagonal connecting vertices  $i$  and  $j$  in a regular  $(n + 3)$ -gon  $P$  with vertex set  $\{0, \dots, n + 2\}$ . This identifies the indecomposable objects of  $C$  with the diagonals of  $P$ . The identification has the property that if  $M, S \in \text{ind } C$ , then

$$(5.1) \quad \dim_C \text{Ext}_C^1(M, S) = \begin{cases} 1 & \text{if } M \text{ and } S \text{ cross,} \\ 0 & \text{if not.} \end{cases}$$

In particular, the indecomposable summands of the rigid object  $R$  are a set of pairwise noncrossing diagonals of  $P$ , that is, a polygon dissection of  $P$  which will also be denoted by  $R$ .

5.3 (The generalized friezes of [6]). Let us recall the algorithm of [6, Section 3], which uses the polygon dissection  $R$  of the  $(n + 3)$ -gon  $P$  to define a generalized frieze on  $C = C(A_n)$ . Note that in [6] the polygon dissection was assumed to be a higher angulation, but this restriction is unnecessary.

Define nonnegative integers  $m_R(i, j)$ , indexed by vertices  $i, j$  of  $P$ , by the following inductive procedure. Let  $i$  be fixed. Set  $m_R(i, i) = 0$ . The polygon dissection  $R$  splits  $P$  into smaller polygonal pieces. If  $\alpha$  is a piece containing  $i$ , and  $j$  is another vertex of  $\alpha$ , then set  $m_R(i, j) = 1$ . If  $\alpha$  is a piece not containing  $i$ , then we can assume that there is a piece  $\alpha'$  sharing an edge

$(k, \ell)$  with  $\alpha$ , such that  $m_R(i, j)$  has already been defined for the vertices  $j$  of  $\alpha'$ . Set

$$(5.2) \quad m_R(i, j) = m_R(i, k) + m_R(i, \ell)$$

for each vertex  $j \neq k, \ell$  of  $\alpha$ . Note that  $(k, \ell)$  is a diagonal in  $R$ , that is, an indecomposable summand of  $R$ .

It was proved in [6, Theorem 3.3] that  $m_R(i, j) = m_R(j, i)$ , so  $m_R$  can be viewed as being defined on the diagonals of  $P$ , that is, on the indecomposable objects of  $\mathbf{C}$ . It is extended to all objects by the rule  $m_R(c_1 \oplus c_2) = m_R(c_1)m_R(c_2)$ .

Moreover, the AR triangles in  $\mathbf{C}$  have the form

$$(i - 1, j - 1) \rightarrow (i - 1, j) \oplus (i, j - 1) \rightarrow (i, j),$$

where  $(i - 1, j)$  and  $(i, j - 1)$  have to be interpreted as 0 if their coordinates are neighboring vertices of  $P$ , and it was proved in [6, Theorem 5.1] that each difference

$$(5.3) \quad m_R(i - 1, j - 1)m_R(i, j) - m_R(i - 1, j)m_R(i, j - 1)$$

equals 0 or 1.

Hence,  $m_R$  is a generalized frieze on  $\mathbf{C}$ .

**THEOREM 5.4.** *Consider the situation of Setup 5.1. The rigid object  $R$  gives a polygon dissection of the  $(n + 3)$ -gon  $P$  (see Paragraph 5.2), and the dissection gives a generalized frieze  $m_R$  on  $\mathbf{C}$  (see Paragraph 5.3).*

*The rigid object  $R$  also gives a generalized frieze  $\rho_R$  on  $\mathbf{C}$  (see Definition 3.1 and Corollary 3.5).*

*These generalized friezes agree; that is,  $m_R = \rho_R$ .*

*Proof.* Since  $m_R(c_1 \oplus c_2) = m_R(c_1)m_R(c_2)$  by definition and since  $\rho_R(c_1 \oplus c_2) = \rho_R(c_1)\rho_R(c_2)$  by Theorem 3.3(ii), it is enough to let  $i$  be a fixed vertex of  $P$  and to show that

$$(5.4) \quad m_R(i, j) = \rho_R((i, j))$$

for each vertex  $j$  of  $P$ , and we do so inductively.

The polygon dissection  $R$  splits  $P$  into smaller polygonal pieces. If  $\alpha$  is a piece containing  $i$ , and  $j$  is another vertex of  $\alpha$ , then by definition  $m_R(i, j) = 1$ . The diagonal  $(i, j)$  crosses none of the diagonals in  $R$ , so  $\text{Ext}_{\mathbb{C}}^1(R, (i, j)) = 0$  by (5.1). That is,  $G((i, j)) = 0$ , so  $\rho_R((i, j)) = 1$ , verifying (5.4).

If  $\alpha$  is a piece not containing  $i$ , then we can assume that there is a piece  $\alpha'$  sharing an edge  $S = (k, \ell)$  with  $\alpha$ , such that, if  $j$  is a vertex of  $\alpha'$ , then (5.4) has already been verified, and such that, if  $j \neq k, \ell$  is a vertex of  $\alpha$ , then  $M = (i, j)$  crosses  $S$ . For such a  $j$ ,

$$\dim_{\mathbb{C}} \text{Ext}_{\mathbb{C}}^1(M, S) = \dim_{\mathbb{C}} \text{Ext}_{\mathbb{C}}^1(S, M) = 1$$

by (5.1), and there are nonsplit extensions

$$M \rightarrow A \oplus A' \rightarrow S, \quad S \rightarrow B \oplus B' \rightarrow M$$

in  $\mathbb{C}$  where  $A, A', B, B' \in \text{ind } \mathbb{C}$  are the diagonals in Figure 5. Note that if one or more of  $A, A', B, B'$  are edges of  $P$ , then they must be interpreted as zero objects, and note that  $S$  is a diagonal in  $R$ , that is, an indecomposable summand of  $R$ .

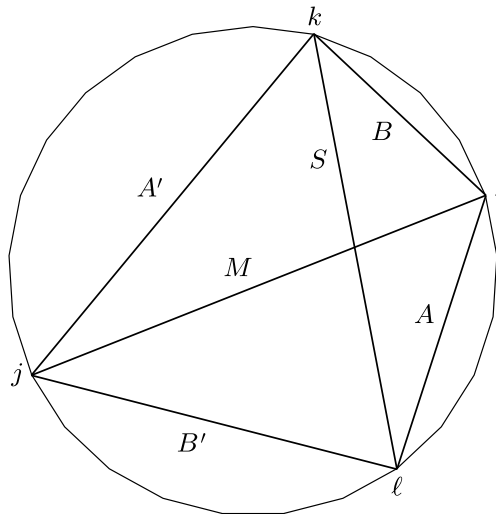


Figure 5: There are nonsplit extensions  $M \rightarrow A \oplus A' \rightarrow S$  and  $S \rightarrow B \oplus B' \rightarrow M$  in  $\mathbb{C}(A_n)$ .

Combining Proposition 4.4 and Theorem 3.3(ii) gives

$$(5.5) \quad \rho_R(M) = \rho_R(A)\rho_R(A') + \rho_R(B)\rho_R(B').$$

Since  $j, k, \ell$  are vertices of  $\alpha$ , the diagonals  $A' = (j, k)$  and  $B' = (j, \ell)$  cross none of the diagonals in  $R$ , so  $GA' = GB' = 0$  by (5.1), and hence  $\rho_R(A') = \rho_R(B') = 1$ . Equation (5.5) therefore reads  $\rho_R(M) = \rho_R(A) + \rho_R(B)$ , giving the first of the following equalities:

$$\rho_R((i, j)) = \rho_R((i, \ell)) + \rho_R((i, k)) = m_R(i, \ell) + m_R(i, k) = m_R(i, j).$$

The second equality is by assumption since  $k, \ell$  are vertices of  $\alpha'$ , and the third equality is (5.2).

This shows (5.4) for the vertices  $j$  of  $\alpha$ , completing the induction. □

REMARK 5.5. Consider the situation of Setup 4.1. Remark 4.5 proved (4.1) for  $r \in \text{ind } R$ . The remark claimed that if  $r \in \text{ind } C$ , then (4.1) may fail. We can now prove this: if it did always hold, then for  $C = C(A_n)$  we could let the extensions in Setup 4.1 be

$$(i - 1, j - 1) \rightarrow (i - 1, j) \oplus (i, j - 1) \rightarrow (i, j), \quad (i, j) \rightarrow 0 \rightarrow (i - 1, j - 1),$$

where the first is the AR triangle ending in  $(i, j)$  and the second has connecting morphism equal to the identity on  $(i - 1, j - 1)$ . Then (4.1) would give

$$\rho_R((i - 1, j - 1))\rho_R((i, j)) = \rho_R((i - 1, j))\rho_R((i, j - 1)) + 1,$$

and Theorem 5.4 would imply that the difference (5.3) was always 1. That is false, however (see [6, Theorem 5.1(c)]).

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Thorsten Holm

*Institut für Algebra, Zahlentheorie und Diskrete Mathematik*

*Fakultät für Mathematik und Physik*

*Leibniz Universität Hannover*

*30167 Hannover*

*Germany*

[holm@math.uni-hannover.de](mailto:holm@math.uni-hannover.de)

<http://www.iazd.uni-hannover.de/~tholm>

Peter Jørgensen

*School of Mathematics and Statistics*

*Newcastle University*

*Newcastle upon Tyne NE1 7RU*

*United Kingdom*

[peter.jorgensen@ncl.ac.uk](mailto:peter.jorgensen@ncl.ac.uk)

<http://www.staff.ncl.ac.uk/peter.jorgensen>