

## Original Papers

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**Cite this article:** Song YX *et al* (2018). Prevalence of transmitted drug resistance among HIV-1 treatment-naive patients in Beijing. *Epidemiology and Infection* **146**, 339–344. <https://doi.org/10.1017/S0950268817003016>

Received: 20 March 2017  
Revised: 1 November 2017  
Accepted: 14 November 2017  
First published online: 18 January 2018

### Key words:

HIV-1 mutation; prevalence; transmitted drug resistance

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# Prevalence of transmitted drug resistance among HIV-1 treatment-naive patients in Beijing

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## Abstract

To optimise patients' outcomes and gain insight into transmitted drug resistance (TDR) among human immunodeficiency virus (HIV)-1 treatment-naive patients in Beijing, the prevalence of TDR was assessed. Demographic and clinical data of 1241 treatment-naive patients diagnosed between April 2014 and February 2015 were collected. TDR was defined using the Stanford University HIV drug resistance mutations database. The risk factors were evaluated by multi-logistic regression analysis. Among 932 successfully amplified cases, most were male (96.78%) and infected through men having sex with men (91.74%). Genotype were CRF01\_AE (56.44%), B (20.60%), CRF07\_BC (19.96%), C (1.61%) and other genotypes (1.39%). The overall prevalence of TDR was 6.12%. Most frequent mutations occurred in non-nucleoside reverse transcriptase inhibitors (NNRTIs) (3.11%), followed by protease inhibitors (PIs) (2.25%) and nucleoside reverse transcriptase inhibitors (NRTIs) (1.32%). Furthermore, HIV-1 genotype was associated with high risk of resistance, in which genotype C and other genotype may have higher risk for resistance. The prevalence among treatment-naive patients in Beijing was low. Resistance to NNRTIs was higher than with PIs or NRTIs. Continuous monitoring of regional levels of HIV-1 TDRs would contribute to improve treatment outcomes and prevent failures.

## Introduction

The application of highly active anti-retroviral therapy (HAART) has decreased human immunodeficiency virus (HIV)-caused mortality rates for a long period. However, as the HIV-1 virus is highly variable, the high-frequency mutations introduced during its replication could induce the development of HIV quasi-species in the body. Therefore, resulting mutations of proteins that are targeted by antiretroviral (ARV) drugs could cause drug resistance, and thus, induce antiretroviral treatment (ART) failure [1].

In recent years, 'primary drug resistance' or 'transmitted drug resistance' (TDR) has become more and more prevalent [2, 3]. TDR is a global problem. Previous studies have shown that in developed countries, the incidence of primary drug resistance is about 10–20% [1]. TDR may induce treatment failure and compromise treatment efficacy. Therefore, to improve treatment outcomes, both the Department of Health and Human Services (DHHS) and the European AIDS Clinical Society (EACS) guidelines recommend resistance testing for naive patients at entry into HIV care and before initiation of ART. The present study was performed to investigate the prevalence of HIV TDR in Beijing (China), according to the methods of HIV drug resistance threshold survey issued by the World Health Organization (WHO).

## Materials and methods

### Ethical approval

This study was approved by the Beijing Center for Diseases Prevention and Control. All procedures performed involving human participants were in accordance with the 1964 Helsinki declaration and its later amendments or comparable ethical standards. Informed consent was obtained from all individual participants included in the study.

### Patients

From April 2014 to February 2015, 1241 newly diagnosed HIV-1/AIDS patients from Beijing were included. All of them were ART-naive patients. The baseline data including age, sex, ethnicity and transmission routes were collected.

### Sample collection and virus gene amplification

Venous blood was collected from all the patients, and the viral RNA was isolated with the viral RNA Mini Kit (Qiagen, Germany). RT-PCR was used to amplify the full-length pol-protease and the first 300 amino acids of reverse transcriptase. The primers are listed in Table 1. MAW26 and RT21 were used as the outer primer pair for the first cycle in the nested PCR approach while PRO-1 and RT20 were used as the inner primer pair for the second cycle. The reaction system and amplification conditions were as described previously [4]. The PCR products were resolved by 1% agarose gel electrophoresis and identified in reference to the molecular weight standards. The specific bands were excised from the gel, purified by gel extraction kit (Qiagen), and sequenced on an ABI 377 sequencer (USA).

### Phylogenetic analysis

Sequencher 5.1 was used for splicing of all the sequences, followed by alignment using BioEdit 7.1. In order to identify the subtype of the virus gene, all the subtyping reference sequences were downloaded from the Los Alamos HIV database. Finally, manual corrections were performed as required. Mega 5.0 software was used to establish the neighbour-joining phylogenetic tree, which was used for the identification of gene subtypes, with the support by Simplot 3.1.

### Drug resistance analysis

Among 1241 patients, 932 patients' pol regions sequences were amplified and analysed. The obtained sequences were submitted to the Stanford HIV Drug Resistance Database (<http://hivdb.stanford.edu>). The drug resistance sequence analysis provided by HIVdb software was used to identify the drug-related mutations. Thus, conclusions about the resistance to different drugs, as well as the degree of drug resistance (mild, moderate and high) for each sample could be obtained. The existence of surveillance drug resistance mutation (SDRM) was decided according to the drug resistance mutations described in the 2009WHO SDRM list [5].

### Statistical analysis

SPSS 19.0 software (IBM, Beijing, China) was used for the statistical analysis. The data in this study were categorical variables and were described with frequencies and percentages. The  $\chi^2$  test or Fisher's exact test, as appropriate, were used for the analysis of the baseline data, gene subtypes and drug resistance. For the

**Table 1.** Primers for amplification and sequence analysis of HIV-1 *pol* gene

Primers	Sequence (5'-3')
MAW26	TTGGAATGTGGAAAGGAAGGAC
RT21	CTGTATTCTGCTATTAAGTCTTTGATGGG
PRO-1	CAGAGCCAACAGCCCCACCA
RT20	CTGCCAGTTCTAGCTCTGCTTC
RTAS	CTCAGATTGGTTGCAC
RTB	CCTAGTATAAACAATGAGACAC
PROC1S	GCTGGGTGTGGTATTCC

**Table 2.** Baseline characteristics of HIV/AIDS patients

	Female (n = 30)	Male (n = 902)	Total (n = 932)
<b>Age</b>			
≤ 20	0	30 (3.33)	30 (3.22)
21–30	10 (33.33)	442 (49.00)	452 (48.50)
31–40	9 (30.00)	244 (27.05)	253 (27.15)
41–50	6 (20.00)	122 (13.53)	128 (13.73)
51–60	2 (6.67)	41 (4.55)	43 (4.61)
>61	3 (10.00)	23 (2.55)	26 (2.79)
<b>Ethnicity</b>			
Han	29 (96.67)	865 (95.90)	894 (95.92)
Hui	0	8 (0.89)	8 (0.86)
Manchu	0	12 (1.33)	12 (1.29)
Mongolia	0	10 (1.11)	10 (1.07)
Others	1 (3.33)	7 (0.78)	8 (0.86)
<b>Route of transmission</b>			
MSM	0	852 (94.46)	855 (91.74)
IDU	0	3 (0.33)	3 (0.32)
Heterosexual	30 (100.00)	45 (4.99)	72 (7.73)
Blood transfusion	0	2 (0.22)	2 (0.21)
<b>CD4 cell count</b>			
≤50	1 (3.33)	87 (9.65)	88 (9.44)
51–100	2 (6.67)	52 (5.76)	54 (5.79)
101–200	6 (20.00)	122 (13.53)	128 (13.73)
201–350	14 (46.67)	320 (35.55)	335 (35.94)
351–500	6 (20.00)	204 (22.62)	210 (22.53)
>501	1 (3.33)	116 (12.86)	117 (12.55)
<b>Genotype</b>			
CRF07-BC	5 (16.67)	181 (20.07)	186 (19.96)
CRF01-AE	10 (33.33)	516 (57.21)	526 (56.44)
B	10 (33.33)	182 (20.18)	192 (20.60)
C	4 (13.33)	11 (1.22)	15 (1.61)
Others	1 (3.33)	12 (1.33)	13 (1.39)
<b>Drug resistance</b>			
PI	0	21 (2.33)	21 (2.25)
NRTI	0	13 (1.44)	13 (1.32)
NNRTI	2 (6.67)	27 (2.99)	29 (3.11)

Data is shown as n (%).

MSM, men having sex with men; IDU, injection drug use; PI, protease inhibitor; NRTI, nucleoside reverse transcriptase inhibitor; NNRTI, non-nucleoside reverse transcriptase inhibitor.

analysis of TDR-related risk factors, drug resistance was used as the dependent variable. In addition, independent variables such as sex, ethnicity, route of transmission, CD4 cell count and gene subtype were included in the binary logistic regression to investigate whether these variables were risk factors of HIV-1 drug resistance. Factors that showed a frequency of zero in the

**Table 3.** Drug resistance to PIs in the patients

	ATV N (%)	DRV N (%)	FPV N (%)	IDV N (%)	LPV/r N (%)	NFV N (%)	SQV N (%)	TPV N (%)
P	14 (1.50)	0	17 (1.82)	14 (1.50)	15 (1.61)	7 (0.75)	0	11 (1.18)
L	0	0	0	1 (0.11)	0	14 (1.50)	1 (0.11)	4 (0.43)
I	0	0	0	0	0	2 (0.21)	0	0
H	1 (0.11)	0	0	0	0	1 (0.11)	0	0
Drug resistance	1 (0.11)	0	0	1 (0.11)	0	17 (1.82)	1 (0.11)	4 (0.43)

P, potential resistance; L, low resistance; I, intermediate resistance; H, high resistance.

ATV, atazanavir; DRV, darunavir; FPV, fosamprenavir; IDV, indinavir; LPV/r, kaletra; NFV, nelfinavir; SQV, saquinavir; TPV, tipranavir.

Drug resistance=L+H.

univariate regression analysis were analysed with Cornfield estimates of odds ratio and 95% confidence interval using Stata 14 (StataCorp LLC, Texas, USA).  $P < 0.05$  was considered statistically significant.

## Results

### Baseline characteristics of the patients

The blood samples from all 1241 treatment-naive HIV/AIDS patients from Beijing were collected for amplification and sequencing. Finally, sequences of the pol regions could be analysed in 932 samples, including 902 males (96.78%). The mean age of the patients was 34 years (17–81 years). Eight hundred and ninety-four patients (95.92%) were Chinese Han origin, and 855 (91.74%) were men who have sex with men. Seven hundred and ninety (84.75%) patients' baseline CD4 cell count was above 100 cells/ $\mu$ L. The most common HIV-1 gene subtype was CRF01-AE ( $n = 526$ , 56.44%). The total number of the treatment-naive patients with drug resistance was 63 (6.76%). The TDR rates to the three major types of drugs in treatment-naive patients in Beijing area were significantly different ( $P = 0.044$ ) (Table 2).

### Resistance to ARV drugs

#### Protease inhibitors

Twenty-one patients were resistant to protease inhibitors (PIs), including one patient (0.11%) each was resistant to atazanavir, indinavir and saquinavir; four (0.43%) were resistant to tipranavir; and 17 (1.82%) patients to nelfinavir. However, no patient was

found with drug resistance to darunavir or kaletra (LPV/r) (Table 3).

#### Nucleoside reverse transcriptase inhibitors

Thirteen patients showed drug resistance to nucleoside reverse transcriptase inhibitors (NRTIs), including three (0.32%), three (0.32%), four (0.43%), six (0.64%), nine (0.97%), 10 (1.07%) and 10 (1.07%) patients who were resistant to zidovudine, tenofovir disoproxil fumarate, stavudine, didanosine, emtricitabine, lamivudine and abacavir, respectively (Table 4).

#### Non-nucleoside reverse transcriptase inhibitors

The number of the patients with drug resistance to non-nucleoside reverse transcriptase inhibitors (NNRTIs) was the highest ( $n = 29$ ), of which 10 (1.07%), 23 (2.47%), 23 (2.47%) and 23 (2.47%) were resistant to etravirine, efavirenz (EFV), nevirapine (NVP) and rilpivirine (RPV), respectively (Table 5).

#### HIV-1 gene subtype and drug resistance

As shown in Table 6, the TDR rate was 4.84%, 6.65%, 5.21%, 33.33%, 30.77% in patients with CRF07-BC, CRF01-AE, B, C subtypes and other genotypes, respectively ( $\chi^2 = 35.683$ ,  $P < 0.001$ ). Similar to overall drug resistance, the TDR to NNRTIs were also significantly different among the genotypes ( $\chi^2 = 80.979$ ,  $P < 0.001$ ): the TDR rate in the C subtype was the highest (33.33%). Moreover, patients with C and other subtypes showed TDR only to NNRTIs. NRTIs and PIs resistance mutations mainly occur in genotypes CRF07-BC and CRF01-AE. While, there was no resistance to PIs in genotype B.

**Table 4.** Drug resistance to NRTIs in the patients

	3TC N (%)	ABC N (%)	AZT N (%)	d4T N (%)	ddI N (%)	FTC N (%)	TDF N (%)
P	1 (0.11)	4 (0.43)	2 (0.21)	12 (1.29)	20 (2.15)	1 (0.11)	1 (0.11)
L	0	6 (0.64)	2 (0.21)	1 (0.11)	2 (0.21)	1 (0.11)	1 (0.11)
I	1 (0.11)	2 (0.21)	0	2 (0.21)	2 (0.21)	0	1 (0.11)
H	9 (0.97)	2 (0.21)	1 (0.11)	1 (0.11)	2 (0.21)	8 (0.86)	1 (0.11)
Drug resistance	10 (1.07)	10 (1.07)	3 (0.32)	4 (0.43)	6 (0.64)	9 (0.97)	3 (0.32)

P, potential resistance; L, low resistance; I, intermediate resistance; H, high resistance.

3TC, lamivudine; ABC, abacavir; AZT, zidovudine; d4T, stavudine; ddI, didanosine; FTC, emtricitabine; TDF, tenofovir disoproxil fumarate.

Drug resistance=L+H.

**Table 5.** Drug resistance to NNRTIs in the patients

	EFV N (%)	ETR N (%)	NVP N (%)	RPV N (%)
P	62 (6.65)	73 (7.83)	62 (6.65)	60 (6.44)
L	3 (0.32)	7 (0.75)	2 (0.21)	17 (1.82)
I	12 (1.29)	3 (0.32)	10 (1.07)	6 (0.64)
H	8 (0.86)	0	11 (1.18)	0
Drug resistance	23 (2.47)	10 (1.07)	23 (2.47)	23 (2.47)

P, potential resistance; L, low resistance; I, intermediate resistance; H, high resistance.  
EFV, efavirenz; ETR, etravirine; NVP, nevirapine; RPV, rilpivirine.  
Drug resistance=L+I+H.

### Risk factors of TDR by binary logistic regression

In order to further identify the independent risk factors of HIV-1 drug resistance, sex, age, ethnicity, route of transmission, baseline CD4 cell count and HIV-1 gene subtype were included as independent variables in the binary logistic regression. Result showed that the HIV-1 genotype was a potential factor associated with TDR. TDR rates in CRF01-AE, B subtypes and CRF07-BC were similar. However, comparing with the CRF07-BC, the odds ratio values of C and other subtypes were 14.416 (95% CI 3.582–58.020,  $P < 0.001$ ) and 7.880 (95% CI 1.745–35.587,  $P = 0.007$ ), respectively (Table 7).

### Discussion

HIV drug resistance emerges when HIV replicates in the presence of ARV drugs. Transmission of drug-resistant HIV strains is associated with suboptimal virologic response to initial ART. Resistance testing at baseline can guide regimen selection to optimize virologic response.

The present study showed that the TDR rate in naïve patients in Beijing from April 2014 to February 2015 was 6.76%, in which the TDR rate for PIs, NRTIs and NNRTIs was 2.25%, 1.32% and 3.11%, respectively. According to the WHO SDRM list, the TDR rates in Beijing were at a moderate level, and this should be taken into account by clinicians and others concerned with disease control and prevention systems.

We found, drug resistance was more prevalent in genotype C and other genotypes than CRF01-AE, CRF07-BC and B subtypes. In the resistance to NNRTIs, TDR rates were significantly different between HIV subtypes, among which the rate in the C subtype was the highest (33.33%). Similar to the C subtype, we only found TDR to NNRTIs in the subtypes classed as 'others'.

In contrast to the results in NNRTIs, the major gene subtypes with drug resistance to NRTIs and PIs were CRF07-BC and CRF01-AE. There was no NRTIs or PIs resistance in the B genotype. These findings suggested that genotype C was the dominant strain which was resistant to ART drugs. These results, showing more TDR in subtype C and no PI or NRTI TDR in subtype B, differ from previous studies in China [6, 7] and worldwide [8–11]. However, in particular, there are reasons why our results for subtype C may be different from other studies. We found that only 15 patients (1.61%) were infected by subtype C in our study. Subtype C is apparently at a low rate in Beijing, and these cases may be due to people coming to Beijing from other provinces. Among them, five out of 15 cases possessed NNRTI mutations, a rate of nearly 33%. We think there are some occasional factors with a comparable lower number of cases, and the same phenomena were observed in other subtypes (30.77%, four in 33). The rate of PI use in our current ART regimen was low, and virological failure was occasionally observed. It is important to consider that some mutations are in fact natural polymorphisms in subtype C, as interpreted by the Stanford University HIV-1 DR database; here, the PI 'mutations' are most likely to be characteristic of some of the prevailing strains, rather than an indication of the existence of lower sensitivity to certain PIs. Although genotype C is not the main strain in Beijing area, in other areas in China, such as Yunnan province, it accounts for about 41.7% [2]. Thus, physicians should pay attention to TDR of NNRTIs in regions with genotype C is the dominant strain in that region.

In the present study, the TDR among 932 patients was 6.8% which was in agreement with the findings from Beijing area in 2011 [12, 13]. Further analysis showed that these patients were mainly resistant to NNRTIs, among which 23 patients each (2.47%) were resistant to EFV, NVP and RPV. No drug resistance to LPV/r was found in these patients. Currently, EFV and NVP are the first-line anti-HIV drugs in China; therefore, in regions where baseline drug resistance test is available, genotypic testing is recommended as the preferred resistance testing to guide therapy in ARV-naïve patients. In addition, the responses to the ART should be closely monitored. In the case of the regions where the baseline drug resistance surveillance could not be performed, the treatment of the patients should be closely monitored. In patients with good treatment adherence, while not achieving HIV suppression after 6 months' treatment, TDR should be considered as a possibility and the treatment should be modified.

On a technical note, we must highlight that among the 1241 patients, 932 patients' pol regions sequences were amplified and analysed in this study. However, in nearly one-fourth of the patients, we failed to get pol gene amplification. There may be some factors influencing the poor rate of PCR amplification, including: (1) some patients may have received prophylaxis

**Table 6.** HIV-1 genotype and drug resistance

	CRF07-BC (N = 186) N (%)	CRF01-AE (N = 526) N (%)	B (N = 192) N (%)	C (N = 15) N (%)	Others (N = 13) N (%)	Total (N = 932) N (%)	$\chi^2$	P
NRTI	1 (0.05)	7 (1.33)	5 (2.60)	0	0	13 (1.32)	3.447	0.631
NNRTI	4 (2.15)	11 (2.09)	5 (2.60)	5 (33.33)	4 (30.77)	29 (3.11)	80.979	<0.001
PI	4 (2.15)	17 (3.23)	0	0	0	21 (2.25)	7.368	0.195
TDR	9 (4.84)	35 (6.65)	10 (5.21)	5 (33.33)	4 (30.77)	63 (6.12)	35.683	<0.001

TDR, total drug resistance.

**Table 7.** Univariate and multivariate logistic regression for risk factors of TDR

	Total (n = 932)	Drug resistance, n (%)	Univariate			Multivariate		
			OR	95% CI	P	OR	95% CI	P
<b>Sex</b>								
Male	902	55 (6.10)	1.000			1.000		
Female	30	2 (6.67)	1.100	0.255–4.738	0.898	2.329	0.181–30.019	0.517
<b>Age</b>								
≤20	30	2 (6.67)	1.000			1.000		
21–30	452	21 (4.64)	0.682	0.152–3.057	0.617	0.600	0.127–2.830	0.518
31–40	253	20 (7.91)	1.202	0.267–5.415	0.811	1.155	0.242–5.521	0.857
41–50	128	10 (7.81)	1.186	0.246–5.720	0.831	1.121	0.219–5.738	0.891
51–60	43	3 (6.98)	1.050	0.165–6.699	0.959	0.776	0.109–5.514	0.800
>61	26	1 (3.85)	0.560	0.048–6.557	0.644	0.391	0.030–5.031	0.471
<b>Ethnicity</b>								
Han	856	54 (6.31)	1.000			1.000		
Hui	8	0	0	0–7.245	0.463			
Manchu	12	0	0	0–4.817	0.369			
Mongolia	10	2 (20.00)	3.814	0.791–18.392	0.095	2.559	0.389–16.835	0.328
Others	8	0	0	0–7.245	0.463			
<b>Route of transmission</b>								
MSM	855	54 (6.32)	1.000			1.000		
IDU	3	0	0	0–19.350	0.653			
Heterosexual	72	3 (4.17)	0.616	0.188–2.019	0.469	0.293	0.038–2.262	0.239
Blood transfusion	2	0	0	0–28.966	0.714			
<b>CD4 + T cell count</b>								
≤50	88	6 (6.82)	1.000			1.000		
51–100	54	8 (14.81)	2.377	0.777–7.273	0.129	2.123	0.664–6.788	0.204
101–200	128	7 (5.47)	0.791	0.256–2.437	0.683	0.599	0.181–1.978	0.400
201–350	335	18 (5.37)	0.778	0.299–2.024	0.607	0.659	0.242–1.789	0.416
351–500	210	10 (4.76)	0.683	0.241–1.942	0.475	0.591	0.197–1.774	0.348
>501	117	8 (6.84)	1.003	0.335–3.003	0.996	0.921	0.293–2.891	0.888
<b>Genotype</b>								
CRF07-BC	186	9 (4.86)	1.000					
CRF01-AE	526	32 (6.08)	1.274	0.596–2.722	0.532	1.092	0.495–2.409	0.827
B	192	7 (3.65)	0.744	0.271–2.041	0.566	0.659	0.233–1.863	0.432
C	15	5 (33.33)	9.833	2.775–34.851	<b>&lt;0.001</b>	14.416	3.582–58.020	<b>&lt;0.001</b>
Others	13	4 (30.77)	8.741	2.255–33.882	<b>0.002</b>	7.880	1.745–35.587	<b>0.007</b>

OR, odds ratio. CI, confidence interval. The values with OR of 0 by univariate analysis are Cornfield estimates.

treatment after exposure to high-risk behaviour, and they may conceal that they received official treatment; (2) some cases may have a relatively low viral load, even without drug treatment; (3) some failure may be caused by the low connection of primer pairs for pol gene to the genome of HIV-1 strains. Empirically, we would expect nearly 10–15% of the failures may be caused by this factor.

In summary, the major causes of treatment failure in patients on ART are mainly due to infection with virus strains that have

drug resistance mutations. Therefore, for treatment-naïve HIV/AIDS patients with TDR mutations in Beijing, the medication strategy should be decided according to pre-treatment drug resistance testing results. For patients not subjected to drug resistance testing before treatment, the HIV viral load should be closely monitored after treatment. In cases of virological failure, drug resistance testing should be performed for early identification of drug resistance and treatment should be appropriately modified.

**Acknowledgements.** This study was supported by The National Natural Science Foundation of China (8127316 & 81601795), The Preclinical Medicine-Clinical Medicine Scientific Research Cooperation Fund of Capital Medical University (16JL18) and The Capital Health Research and Development of Special Found (2016-1-2182).

**Declaration of interest.** The authors declare that they have no conflict of interest.

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