

# ON THE NILPOTENT RANKS OF CERTAIN SEMIGROUPS OF TRANSFORMATIONS

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(Received 5 November, 1991)

**1. Introduction.** Let  $P_n$  be the semigroup of all partial transformations on the set  $X_n = \{1, \dots, n\}$ . As usual, we shall say that an element  $\alpha$  in  $P_n$  is of type  $(k, r)$  or belongs to the set  $[k, r]$  if  $|\text{dom } \alpha| = k$  and  $|\text{im } \alpha| = r$ . The *completion*  $\alpha^*$  of an element  $\alpha \in [n-1, n-1]$  is an element in  $[n, n]$  defined by

$$i\alpha^* = j, \quad x\alpha^* = x\alpha \text{ otherwise,}$$

where  $\{i\} = X_n \setminus \text{dom } \alpha$  and  $\{j\} = X_n \setminus \text{im } \alpha$ .

For  $n$  even, the subsemigroup  $SI_n$  of  $P_n$  consisting of all strictly partial one-one transformations was proved to be nilpotent-generated by Gomes and Howie [2]. If  $n$  is odd, they showed that the nilpotents in  $SI_n$  generate  $SI_n \setminus W_{n-1}$ , where  $W_{n-1}$  consists of all  $\alpha \in [n-1, n-1]$  whose completions are odd permutations.

Simultaneously and independently, Sullivan [7] showed that the subsemigroup  $SP_n$  of  $P_n$  consisting of all strictly partial transformations of  $X_n$  is nilpotent-generated if  $n$  is even. If  $n$  is odd, the nilpotents in  $SP_n$  generate  $SP_n \setminus W_{n-1}$ .

The *rank* of a semigroup  $S$  is the cardinality of any subset  $A$  of minimal order in  $S$  such that  $\langle A \rangle = S$ . If the generating set  $A$  consists of nilpotent elements only, then we shall refer to the cardinality of  $A$  as the *nilpotent rank* of  $S$ . Since one of the semigroups we will be considering is an inverse semigroup, we would like to clarify the notion of a generating set in an inverse semigroup. Given a subset  $A$  in an inverse semigroup  $S$ , we shall always want to consider the smallest inverse subsemigroup containing  $A$ . In effect this is the set of all finite products of elements of  $A$  and their inverses. Following [3], we shall use the notation  $\langle\langle A \rangle\rangle$  for this inverse subsemigroup. Accordingly, by the rank of an inverse semigroup  $S$  we shall mean the cardinality of any subset  $A$  of minimal order in  $S$  such that  $\langle\langle A \rangle\rangle = S$ .

Let  $N$  and  $M$  be the sets of all nilpotent elements in  $SI_n$  and  $SP_n$  respectively. In [3], Gomes and Howie proved that the rank and the nilpotent rank of  $\langle\langle N \rangle\rangle$  are both equal to  $n+1$  for all  $n$ , and in [1], Garba showed that the rank and the nilpotent rank of  $\langle M \rangle$  are both equal to  $n+2$  for all  $n$ . In Section 2 we generalize the results of Gomes and Howie [3] (in line with Howie and McFadden [6]) by showing that if  $1 \leq r \leq n-2$  then the rank and the nilpotent rank of the inverse semigroup

$$U(n, r) = \{\alpha \in SI_n : |\text{im } \alpha| \leq r\}$$

are both equal to  $\binom{n}{r} + 1$ . In Section 3 we generalize the results of Garba [1] by showing that if  $1 \leq r \leq n-2$  then the rank and the nilpotent rank of the semigroup

$$V(n, r) = \{\alpha \in SP_n : |\text{im } \alpha| \leq r\}$$

are both equal to  $(r+1)S(n, r+1)$ , where  $S(n, r+1)$  is the Stirling number of the second kind, defined by

$$S(n, 1) = S(n, n) = 1, \quad S(n, r) = S(n-1, r-1) + rS(n-1, r).$$

For standard terms in semigroup theory see [4]. In all that follows, we consider  $n \geq 3$ .

**2. One-one partial transformations.**

LEMMA 2.1. For all  $r \leq n - 2$ , we have

$$J_r \subseteq (N \cap J_r)^2,$$

where  $J_r = \{\alpha \in SI_n : |\text{im } \alpha| = r\}$  is the  $\mathcal{J}$ -class of all elements in  $SI_n$  with rank  $r$ .

*Proof.* The result is trivial for  $r \leq 1$ . If  $r \geq 2$  then the result follows from Remark 3.16 in [2], where the authors prove that  $J_{n-2} \subseteq (N \cap J_{n-2})^2$ , and from Lemma 4.1 in the same paper, which states that if  $J_r \subseteq (N \cap J_r)^k$  then  $J_{r-1} \subseteq (N \cap J_{r-1})^k$  for  $2 \leq r \leq n - 1$ .

It follows from this lemma that the nilpotents in  $J_r$  generate  $U(n, r)$ .

Denote by  $P_r$  the principal factor  $U(n, r)/U(n, r - 1)$ . Then  $P_r$  may be thought of in the usual way as  $J_r \cup \{0\}$ . Also,  $P_r$  has  $\binom{n}{r}$  non-null  $\mathcal{R}$ -classes corresponding to the  $\binom{n}{r}$  possible domains of cardinality  $r$ , and  $\binom{n}{r}$  non-null  $\mathcal{L}$ -classes corresponding to the  $\binom{n}{r}$  possible images. It is a Brandt semigroup isomorphic to  $B(S_r, \{1, \dots, m\})$ , where  $S_r$  is the symmetric group on  $X_r$  and  $m = \binom{n}{r}$ . Hence, since the rank of  $S_r$  is known to be 2, it follows by Theorem 3.3 in [3] that  $P_r$  has inverse semigroup rank  $\binom{n}{r} + 1$ .

From [2], we borrow the notation  $\|a_1 a_2 \dots a_{r+1}\|$  ( $1 \leq r \leq n - 1$ ) for the nilpotent  $\alpha$  with domain  $\{a_1, \dots, a_r\}$  and image  $\{a_2, \dots, a_{r+1}\}$  for which  $a_i \alpha = a_{i+1}$  ( $i = 1, \dots, r$ ). We shall refer to these type of nilpotents as *primitive* in the next section.

THEOREM 2.2. Let  $n \geq 3$  and let  $r \leq n - 2$ . Then

$$\text{rank} \langle\langle U(n, r) \rangle\rangle = \text{nilrank} \langle\langle U(n, r) \rangle\rangle = \begin{cases} \binom{n}{r} + 1 & \text{if } r \geq 3, \\ \binom{n}{r} & \text{if } r = 2, \\ n - 1 & \text{if } r = 1. \end{cases}$$

*Proof.* From the fact that  $P_r$  (as an inverse semigroup) has rank  $\binom{n}{r} + 1$  it follows that  $\text{rank} \langle\langle U(n, r) \rangle\rangle \geq \binom{n}{r} + 1$ . To complete the proof we must find a generating set of  $\langle\langle U(n, r) \rangle\rangle$  consisting of  $\binom{n}{r} + 1$  nilpotents.

Let  $A_1, A_2, \dots, A_m$  be a list of the subsets of  $X_n$  of cardinality  $r$ . Thus  $m = \binom{n}{r}$ . Let  $H_{A_i, A_j}$  denote the  $\mathcal{H}$ -class in  $J_r$  consisting of all the elements whose domain is  $A_i$  and image  $A_j$  ( $i, j = 1, 2, \dots, m$ ). Suppose that  $A_1 = \{1, 2, \dots, r\}$ . Then the  $\mathcal{H}$ -class  $H_{A_1, A_1}$  is the symmetric group on  $\{1, 2, \dots, r\}$ , and if  $r \geq 3$  then it is generated by the elements  $\sigma, \tau$ , where

$$\sigma = (12), \quad \tau = (12 \dots r).$$

We now show that each of  $\sigma, \tau$  can be expressed as a product of nilpotents. For this purpose, we will suppose that  $A_2 = \{2, \dots, r, r + 1\}$ ,  $A_3 = \{1, \dots, r - 1, r + 1\}$  and  $A_4 = \{2, \dots, r - 1, r + 1, r + 2\}$ . The proof depends on whether  $r$  is odd or even. For  $r$  odd we have

$$\sigma = \alpha_2^{-1} \beta \alpha_3 \quad \text{and} \quad \tau = \gamma_2^{-1} \alpha_2,$$

where

$$\begin{aligned} \alpha_2 &= \|r + 1 r r - 1 \dots 2 1\| \in H_{A_2, A_1}, \\ \beta &= \|r r - 2 r - 4 \dots 3 r + 1 r - 1 \dots 4 2 1\| \in H_{A_2, A_3}, \\ \alpha_3 &= \|r + 1 1 2 \dots r\| \in H_{A_3, A_1}, \\ \gamma_2 &= \|r + 1 r - 1 \dots 2 r r - 2 \dots 3 1\| \in H_{A_2, A_1}. \end{aligned}$$

If for this case we now choose a nilpotent  $\alpha_i \in H_{A_i, A_1}$  for  $i = 4, \dots, m$  in an arbitrary way, we see that

$$\sigma, \tau, \alpha_2, \dots, \alpha_m \in \langle \langle \alpha_2, \dots, \alpha_m, \beta, \gamma_2 \rangle \rangle.$$

By the remark before Theorem 3.3 in [3], the elements  $\sigma, \tau, \alpha_2, \dots, \alpha_m$  generate  $P_r$ . It follows that  $P_r$ , and hence also  $U(n, r)$  is generated by the  $m + 1$  nilpotents  $\alpha_2, \dots, \alpha_m, \beta, \gamma_2$  provided  $r$  is odd.

For  $r$  even we have

$$\sigma = \alpha_3^{-1} \beta \alpha_4 \quad \text{and} \quad \tau = \gamma_4^{-1} \alpha_4,$$

where

$$\begin{aligned} \alpha_3 &= \|r + 123 \dots r - 2r - 11r\| \in H_{A_3, A_1}, \\ \beta &= \|1r - 2r + 13254 \dots r - 5r - 6r - 3r - 4r - 1r + 2\| \in H_{A_3, A_4}, \\ \alpha_4 &= \|r + 224 \dots r\| \cup \|r + 1r - 1 \dots 31\| \in H_{A_4, A_1}, \\ \gamma_4 &= \|r + 1r - 2r - 1r - 4r - 3r - 6r - 5 \dots 9674523r\| \cup \|r + 21\| \in H_{A_4, A_1}. \end{aligned}$$

In this case  $P_r$  and hence  $U(n, r)$  is generated by the  $m + 1$  nilpotents  $\alpha_2, \dots, \alpha_m, \beta, \gamma_4$ , where  $\alpha_i \in H_{A_i, A_1}$  are chosen arbitrarily for  $i = 2, 5, 6, \dots, m$ .

It now remains to show that the result is true for  $r = 2$  and  $r = 1$ .

If  $r = 2$ ,  $S_2$  is cyclic and thus has only one generator. For this case we will suppose that  $A_1 = \{1, 2\}$  and  $A_m = \{n - 1, n\}$ . The  $\mathcal{H}$ -class  $H_{A_1, A_1}$  is the symmetric group on  $A_1$  and is generated by

$$\sigma = (12).$$

Now,

$$\sigma = \gamma_m^{-1} \alpha_m,$$

where

$$\begin{aligned} \alpha_m &= \|n - 12\| \cup \|n1\| \in H_{A_m, A_1}, \\ \gamma_m &= \|n - 11\| \cup \|n2\| \in H_{A_m, A_1}. \end{aligned}$$

So, if we choose nilpotents  $\alpha_2, \dots, \alpha_{m-1}$  as in the above cases, we see that  $\alpha_2, \dots, \alpha_m, \gamma_m$  generate  $U(n, r)$ . Thus  $U(n, r)$  has rank  $1 + m - 1 = m$ .

If  $r = 1$ , the symmetric group  $S_1$  has rank 0, and it is easy to verify that the following  $n - 1$  nilpotents generate  $U(n, r)$ :

$$\|21\|, \|31\|, \|41\|, \dots, \|n1\|.$$

**3. Partial transformations.** The semigroup  $V(n, r)$  has  $r + 1$   $\mathcal{J}$ -classes, namely  $J_r, J_{r+1}, \dots, J_0$  (where  $J_0$  consists of the empty map). For each  $t$  such that  $1 \leq t \leq r$  we have

$$J_t = \bigcup_{k=t}^{n-1} [k, t].$$

The number of  $\mathcal{L}$ -classes in the  $\mathcal{J}$ -class  $J_r$  of  $V(n, r)$  is the number of image sets in  $X_n$  of cardinality  $r$ , namely  $\binom{n}{r}$ , and the number of  $\mathcal{R}$ -classes in  $J_r$  is the number of equivalence relations  $\rho$  on each of the subsets  $A$  of cardinality  $k$  (where  $n - 1 \geq k \geq r$ ) for

which  $|A/\rho| = r$ , and this number is

$$\begin{aligned} \sum_{k=r}^{n-1} \binom{n}{k} S(k, r) &= \sum_{k=r}^n \binom{n}{k} S(k, r) - S(n, r) \\ &= S(n+1, r+1) - S(n, r) \\ &= (r+1)S(n, r+1). \end{aligned}$$

Like  $U(n, r)$ , the semigroup  $V(n, r)$  is generated by the nilpotent elements in  $J_r$  (see Lemma 2.3 in [1]). We also have from Lemma 3 in [6] that for  $2 \leq r \leq n-2$ ,

$$\text{rank}(V(n, r)) \geq (r+1)S(n, r+1).$$

**THEOREM 3.1.** *For  $n \geq 3$  and  $2 \leq r \leq n-2$ , we have*

$$\text{rank}(V(n, r)) = \text{nilrank}(V(n, r)) = (r+1)S(n, r+1).$$

The proof depends on the following lemma.

**LEMMA 3.2.** *Suppose that we can arrange the subsets  $A_1, \dots, A_m$  (where  $m = \binom{n}{r}$  and  $2 \leq r \leq n-2$ ) of  $X_n$  of cardinality  $r$  in such a way that  $|A_i \cap A_{i-1}| = r-1$  for  $i = 1, \dots, m-1$  and  $|A_m \cap A_1| = r-1$ . Then there exist nilpotents  $\alpha_1, \dots, \alpha_p$  (where  $p = (r+1)S(n, r+1)$ ) such that  $\{\alpha_1, \dots, \alpha_p\}$  is a set of generators for  $V(n, r)$ .*

*Proof.* Notice first that every element  $\alpha \in [k, r]$ ,  $r < k \leq n-1$ , is expressible as a product of a nilpotent in its own  $\mathcal{R}$ -class and an element in  $[r, r]$ . For

$$\alpha = \begin{pmatrix} A_1 & A_2 & \dots & A_{r-1} & A_r \\ a_2 & a_3 & \dots & a_r & x \end{pmatrix} \begin{pmatrix} a_2 & a_3 & \dots & a_r & x \\ b_1 & b_2 & \dots & b_{r-1} & b_r \end{pmatrix},$$

where

$$\alpha = \begin{pmatrix} A_1 & \dots & A_r \\ b_1 & \dots & b_r \end{pmatrix},$$

$a_i \in A_i$  for all  $i \in \{2, \dots, r\}$  and  $x \in X_n \setminus \text{dom } \alpha$ .

In the arrangement of our subsets  $A_1, \dots, A_m$  we shall assume that  $A_1 = \{n-r+1, n-r+2, \dots, n\}$ ,  $A_2 = \{n-r, \dots, n-1\}$  and  $A_m = \{1, n-r+2, \dots, n\}$ . We shall also represent any two adjacent subsets  $A_i, A_{i+1}$  by the two subsets  $\{x_1, \dots, x_{r-1}, y_i\}$  and  $\{x_1, \dots, x_{r-1}, z_{i+1}\}$ , where  $z_{i+1} \neq y_i$ , and  $z_{i+1}, y_i \neq x_i$  for any  $i$ . Define  $H_{A_i, A_j}$  to consist of all elements  $\alpha \in [r, r]$  for which  $\text{dom } \alpha = A_i$  and  $\text{im } \alpha = A_j$ . For  $i = 1, \dots, m$  define a mapping  $\xi_i \in H_{A_i, A_m}$  as follows:

$$\begin{aligned} \xi_1 &= \begin{pmatrix} n-r+1 & n-r+2 & \dots & n \\ 1 & n-r+2 & \dots & n \end{pmatrix}, \\ \xi_2 &= \begin{pmatrix} n-r & n-r+1 & n-r+2 & \dots & n-1 \\ n-r+2 & 1 & n-r+3 & \dots & n \end{pmatrix}, \end{aligned}$$

and for  $i = 2, \dots, m-1$  if

$$\xi_i = \begin{pmatrix} x_1 & x_2 & \dots & x_{r-1} & y_i \\ t_1 & t_2 & \dots & t_{r-1} & t_r \end{pmatrix}$$

define

$$\xi_{i+1} = \begin{pmatrix} x_1 & x_2 & \dots & x_{r-1} & z \\ t_2 & t_3 & \dots & t_r & t_1 \end{pmatrix}.$$

Then it is easy to see that the mapping

$$\phi : B(S_r, \{1, \dots, m\}) \rightarrow Q_r$$

defined by  $(i, \eta, j)\phi = \xi_i \eta \xi_j^{-1}$  is an isomorphism. Here  $S_r$  is the symmetric group on  $\{1, n - r + 2, \dots, n\}$ ,  $Q_r$  is the principal factor

$$[r, r] / \bigcup_{l=0}^{r-1} [l, l] = [r, r] \cup \{0\}.$$

From Proposition 2.4 in [1], the set

$$T = \{(1, g_1, 1), (1, g_2, 2), (2, e, 3), \dots, (m - 1, e, m), (m, e, 1)\},$$

where  $g_1 = (1\ n - r + 2 \dots n)$ ,  $g_2 = (1\ n - r + 2)$  and  $e$  is the identity permutation in  $S_r$ , generates  $B(S_r, \{1, \dots, m\})$ . Thus  $T\phi$  generates  $Q_r$  and hence  $[r, r]$ . If we now define

$$\alpha_1 = \xi_1 g_2 \xi_2^{-1}, \alpha_i = \xi_i \xi_{i+1}^{-1} \quad \text{for } i = 2, \dots, m - 1$$

and

$$\beta = \xi_m \xi_1^{-1}, \quad \delta = \xi_1 g_1 \xi_1^{-1},$$

we obtain a generating set  $\{\beta, \delta, \alpha_1, \dots, \alpha_{m-1}\}$  of  $[r, r]$ , where

$$\alpha_1 = \|n\ n - 1 \dots n - r + 1\ n - r\|,$$

$$\alpha_i = \|y_i x_{r-1} \dots x_1 z_{i+1}\| \quad \text{for } i = 2, \dots, m - 1$$

are all nilpotents. On the other hand,

$$\delta = \begin{pmatrix} n - r + 1 & n - r + 2 & \dots & n - 1 & n \\ n - r + 2 & n - r + 3 & \dots & n & n - r + 1 \end{pmatrix}$$

is clearly non-nilpotent. However if  $r$  is odd we have

$$\delta = \alpha_1 \lambda_1, \tag{3.3}$$

where

$$\lambda_1 = \|n - r\ n - r + 2 \dots n - 1\ n - r + 1\ n - r + 3 \dots n - 2\ n\|.$$

If  $r$  is even, and is of the form  $4q + 2 (q \geq 0)$ , then

$$\delta = \alpha_1 \eta_1 \eta_2, \tag{3.4}$$

where

$$\eta_1 = \|n - r + 1\ n - r + 5 \dots n - 1\ n - r + 3\ n - r + 7 \dots n - 3\ n - r\ n - r + 4 \dots n - 2\ 1\| \\ \cup \|n - r + 2\ n - r + 6 \dots n\|$$

and

$$\eta_2 = \|n - r\ n - 1\ n - 3 \dots n - r + 3\ n - r + 1\| \cup \|1\ n\ n - 2\ n - 4 \dots n - r + 2\|.$$

If  $r$  is even and of the form  $4q (q \geq 1)$  then

$$\delta = \alpha_1 \psi_1 \psi_2, \tag{3.5}$$

where

$$\psi_1 = \|n - r + 1 \ n - r \ n - r + 3 \ n - r + 2 \ n - r + 5 \ n - r + 4 \ \dots \ n - 1 \ n - 2 \ 1\|$$

and

$$\psi_2 = \|n - r \ n - r + 3 \ n - r + 2 \ n - r + 5 \ \dots \ n - 2 \ n - r + 1\| \cup \|1 \ n\|.$$

Next,  $\beta$  may or may not be nilpotent. However, as  $\beta \in [r, r]$ , if  $\beta$  is non-nilpotent, then by Lemma 2.1 it is expressible as a product of two nilpotents in  $[r, r]$ , say

$$\beta = \zeta_1 \zeta_2. \quad (3.6)$$

It is clear that  $\beta \mathcal{R} \zeta_1$  and  $\beta \mathcal{L} \zeta_2$ , that is  $R_{\zeta_1} = A_m$  and  $L_{\zeta_2} = A_1$ .

We now define  $\lambda'_1, \eta'_1, \eta'_2, \psi'_1, \psi'_2$  and  $\zeta'_2$  as follows:

$$\begin{aligned} \lambda'_1 &= \lambda_1 \cup (1, n), \\ \eta'_1 &= \eta_1 \cup (n, 1), \quad \eta'_2 = \eta_2 \cup (n - r + 1, n), \\ \psi'_1 &= \psi_1 \cup (n, n - 2), \quad \psi'_2 = \psi_2 \cup (n, n - r + 1). \end{aligned}$$

Before we define  $\zeta'_2$ , we note that from Theorem 2.8 in [2],  $\zeta_2$  can be expressed as a disjoint union of  $k$  primitive nilpotents, say

$$\zeta_2 = \mu_1 \cup \mu_2 \cup \dots \cup \mu_k.$$

If  $k \geq 2$ , then assume

$$\mu_1 = \|x_1 \ \dots \ x_s\| \quad \text{and} \quad \mu_2 = \|y_1 \ \dots \ y_t\|$$

and define  $\zeta'_2$  as

$$x \zeta'_2 = x \zeta_2 \quad \text{if} \quad x \in \text{dom } \zeta_2$$

and

$$x_s \zeta'_2 = y_t.$$

On the other hand if  $k = 1$  then  $|\text{dom } \zeta_2 \cup \text{im } \zeta_2| = r + 1$ , and since  $r \leq n - 2$  we have  $X_n \setminus (\text{dom } \zeta_2 \cup \text{im } \zeta_2)$  to be non-empty. Then define  $\zeta'_2$  as

$$\zeta'_2 = \zeta_2 \cup (x, n - r + 1),$$

where  $x \in X_n \setminus (\text{dom } \zeta_2 \cup \text{im } \zeta_2)$ .

Note that  $\lambda'_1, \eta'_1, \eta'_2, \psi'_1, \psi'_2$  and  $\zeta'_2$  are distinct, and belong to  $[r + 1, r]$ . If we now replace  $\lambda_1, \eta_1, \eta_2, \psi_1$  and  $\psi_2$  by  $\lambda'_1, \eta'_1, \eta'_2, \psi'_1$  and  $\psi'_2$  respectively in equations (3.3)–(3.5) then it is easy to see that the equations remain unaltered. Since  $\beta, \zeta_1, \zeta_2$  are all one-one and of the same height, we must have

$$\text{dom } \beta = \text{dom } \zeta_1, \quad \text{im } \zeta_1 = \text{dom } \zeta_2,$$

and since  $x_s, x \notin \text{dom } \zeta_2 = \text{im } \zeta_1$  we conclude that

$$\zeta_1 \zeta_2 = \zeta_1 \zeta'_2.$$

Now, if  $\beta$  is nilpotent then  $V(n, r)$  is generated by

$$\{\beta, \lambda'_1, \alpha_1, \dots, \alpha_{p-2}\}, \quad \{\beta, \eta'_1, \eta'_2, \alpha_1, \dots, \alpha_{p-3}\}$$

or

$$\{\beta, \psi'_1, \psi'_2, \alpha_1, \dots, \alpha_{p-3}\}$$

according to whether  $r$  is odd, even and of the form  $4q + 2$  ( $q \geq 0$ ) or even and of the form  $4q$  ( $q \geq 1$ ), and  $\alpha_m, \dots, \alpha_{p-k}$  ( $k = 2, 3$ ) are chosen arbitrarily to cover all the  $\mathcal{R}$ -classes in  $J_r$ .

If  $\beta$  is non-nilpotent, then  $V(n, r)$  is generated by

$$\{\xi_1, \xi'_2, \lambda'_1, \alpha_1, \dots, \alpha_{p-3}\}, \quad \{\xi_1, \xi'_2, \eta'_1, \eta'_2, \alpha_1, \dots, \alpha_{p-4}\}$$

or

$$\{\xi_1, \xi'_2, \psi'_1, \psi'_2, \alpha_1, \dots, \alpha_{p-4}\}$$

according to whether  $r$  is odd, even and of the form  $4q + 2$  ( $q \geq 0$ ) or even and of the form  $4q$  ( $q \geq 1$ ), and  $\alpha_m, \dots, \alpha_{p-k}$  ( $k = 3, 4$ ) are chosen arbitrarily to cover all the  $\mathcal{R}$ -classes in  $J_r$ .

To conclude the proof of Theorem 3.1, it remains to prove that the listing of the subsets of  $X_n$  of cardinality  $r$  as postulated in the statement of Lemma 3.2 can actually be carried out. Let  $n \geq 4$  and  $2 \leq r \leq n - 2$ , and consider the following proposition.

**(P(n, r)):** *there is a way of listing the subsets of  $X_n$  of cardinality  $r$  as  $A_1, A_2, \dots, A_m$  (with  $m = \binom{n}{r}$ ,  $A_1 = \{n - r + 1, \dots, n\}$ ,  $A_2 = \{n - r, \dots, n - 1\}$ ,  $A_m = \{1, n - r + 2, \dots, n\}$ ) such that  $|A_i \cap A_{i+1}| = r - 1$  for  $i = 1, \dots, m - 1$  and  $|A_m \cap A_1| = r - 1$ .*

We shall prove this by a double induction on  $n$  and  $r$ , the key step being a kind of Pascal's Triangle implication.

$$\mathbf{P}(n - 1, r - 1) \quad \text{and} \quad \mathbf{P}(n - 1, r) \Rightarrow \mathbf{P}(n, r).$$

First, however, we anchor the induction with two lemmas.

LEMMA 3.7. **P(n, 2)** holds for every  $n \geq 4$ .

*Proof.* Consider the following arrangement of the subsets of  $X_n$  of cardinality 2.

$$\begin{array}{ccccccc} \{1, 2\}, & \{1, 3\}, & \dots, & \{1, n - 1\}, & \{1, n\}, & & \\ & \{2, 3\}, & \dots, & \{2, n - 1\}, & \{2, n\}, & & \\ & & \ddots & \vdots & \vdots & & \\ & & & \{n - 2, n - 1\}, & \{n - 2, n\}, & & \\ & & & & \{n - 1, n\}. & & \end{array}$$

If we denote the first row by  $R_1$ , second row by  $R_2$ , etc., then we note that the first entry in  $R_i$  is  $\{i, i + 1\}$  and the last entry is  $\{i, n\}$ . Thus the number of elements in  $R_i$  is  $n - i$ , and the total number of subsets in all the rows is

$$\sum_{i=1}^{n-1} (n - i) = \frac{n}{2} (n - 1) = \binom{n}{2}.$$

Hence above is a complete list of the subsets of  $X_n$  of cardinality 2.

Note that for any two subsets  $A_s, A_r$  in  $R_i$ ,  $A_s \cup A_r = \{i\}$ , and the intersection of the last entry in  $R_{i+1}$  with the first entry in  $R_i$  is  $\{i + 1\}$ . Hence the following arrangement satisfies **P(n, 2)**:

$$R_{n-1}, R_{n-2}, \dots, R_{i+1}, R_i, \dots, R_2, R_1.$$

That is, the list begins with all the subsets in  $R_{n-1}$ , followed by the subsets in  $R_{n-2}$ , followed by the subsets in  $R_{n-3}$ , and so on, until  $R_1$  is reached.

LEMMA 3.8.  $\mathbf{P}(n, n - 2)$  holds for every  $n \geq 4$ .

*Proof.* Note that  $\mathbf{P}(4, 2)$  follows from Lemma 3.7. So we will assume that  $n \geq 5$ . Let  $R'_i$  be the list of the complements of the subsets in  $R_i$  (defined in the proof of Lemma 3.7) arranged in the same order as in  $R_i$ . Let  $(R'_i)^{-1}$  be  $R'_i$  arranged in the reverse order. For example

$$\begin{aligned} R_{n-2} &= \{n - 2, n - 1\}, \{n - 2, n\}, \\ R'_{n-2} &= \{1, \dots, n - 3, n\}, \{1, \dots, n - 3, n - 1\}, \\ (R'_{n-2})^{-1} &= \{1, \dots, n - 3, n - 1\}, \{1, \dots, n - 3, n\}. \end{aligned}$$

Let  $T = \{1, 3\}, \{1, 4\}, \dots, \{1, n - 1\}$  and  $T' = R'_1 \setminus (\{1, 2\}', \{1, n\}')$ .

It is clear that, for any two subsets  $A'_s, A'_t$  in  $R'_i$ , we have  $|A'_s \cap A'_t| = n - 3$ , and the intersection of the last subset in  $R'_{i+1}$  and the first subset in  $R'_i$  also contains  $n - 3$  elements. We also have  $n - 3$  elements in the intersection of the last subset in  $R'_3$  with the first subset in  $(R'_2)^{-1}$ , and the same number of elements in the intersection of the last subset in  $T'$  with the subset in  $R'_{n-1}$ . We now have the following arrangement satisfying  $\mathbf{P}(n, n - 2)$ :

$$A'_1, A'_2, T', R'_{n-1}, R'_{n-2}, \dots, R'_3, (R'_2)^{-1},$$

where  $A'_1 = \{1, 2\}'$  and  $A'_2 = \{1, n\}'$ .

LEMMA 3.9. Let  $n \geq 6$  and  $3 \leq r \leq n - 3$ . Then  $\mathbf{P}(n - 1, r - 1)$  and  $\mathbf{P}(n - 1, r)$  together imply  $\mathbf{P}(n, r)$ .

*Proof.* From the assumption  $\mathbf{P}(n - 1, r)$  we have a list  $A_1, \dots, A_m$  (where  $m = \binom{n-1}{r}$ ) of the subsets of  $X_{n-1}$  with cardinality  $r$  such that  $|A_i \cap A_{i+1}| = r - 1$  for  $i = 1, \dots, m - 1$ , and

$$A_1 = \{n - r, \dots, n - 1\}, A_2 = \{n - r - 1, \dots, n - 2\}, A_m = \{1, n - r + 1, \dots, n - 1\}.$$

From the assumption  $\mathbf{P}(n - 1, r - 1)$ , we have a list  $B_1, \dots, B_t$  (where  $t = \binom{n-1}{r-1}$ ) of subsets of  $X_{n-1}$  of cardinality  $r - 1$  such that  $|B_i \cap B_{i+1}| = r - 2$  for  $i = 1, \dots, r - 1$ , and

$$B_1 = \{n - r + 1, \dots, n - 1\}, B_2 = \{n - r, \dots, n - 2\}, B_t = \{1, n - r + 2, \dots, n - 1\}.$$

Let  $B'_i = B_i \cup \{n\}$ . Then

$$A_1, \dots, A_m, B'_1, \dots, B'_t$$

is a complete list of the subsets of  $X_n$  of cardinality  $r$ . (Notice that  $t + m = \binom{n}{r}$ .) Now, arrange the above subsets as follows:

$$B'_1, A_1, A_m, \dots, A_2, B'_2, \dots, B'_t.$$

Then it is easy to verify that this arrangement satisfies  $\mathbf{P}(n, r)$ . Hence the induction is complete and we may deduce that  $\mathbf{P}(n, r)$  is true for all  $n \geq 4$  and all  $r$  such that  $2 \leq r \leq n - 2$ .

ACKNOWLEDGEMENT. My sincere thanks are due to my research supervisor, Professor J. M. Howie, for his helpful suggestions and encouragement.

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