## POINTWISE FINITE FAMILIES OF MAPPINGS

## BY JAMES W. ROBERTS

In [3], Montgomery proved that if h is a pointwise periodic homeomorphism of a connected manifold without boundary onto itself, then h is periodic. Kaul generalized this result in [2] by showing that if X is a connected metrizable manifold without boundary and if (X, T) is a transformation group with T countable such that T is pointwise periodic, then T is periodic. Yang [6] has shown that Kaul's theorem remains true if the assumption of metrizability is dropped. In this note we prove a fairly general theorem about families of continuous mappings. We then apply this theorem to obtain the theorems of Kaul and Yang.

THEOREM 1. Suppose X and Y are topological spaces such that X is a locally connected Baire space and Y is a Hausdorff space. If F is a family of continuous maps from X to Y such that for every  $x \in X$ ,  $F(x) = \{t(x): t \in F\}$  is finite, then there exists U open in X and  $t_1, \ldots, t_n \in F$  such that if  $t \in F$ , then for some  $i, 1 \le i \le n, t = t_i$  on U.

**Proof.** If E is a finite set, then we shall let |E| denote the number of elements in E. Now for K=1, 2, ... let  $E_K = \{x \in X : |F(x)| \le K\}$ . Each  $E_K$  is closed and  $\bigcup_{k=1}^{\infty} E_K = X$ . Thus since X is a Baire space, there exists a positive integer m such that  $E_m^0 \ne \emptyset$ . Now choose  $x \in E_m^0$  such that  $|F(x)| = \max\{|F(y)| : y \in E_m^0\} = n \le m$ . Then there exists  $t_1, \ldots, t_n \in F$  such that  $F(x) = \{t_1(x), \ldots, t_n(x)\}$ . Let  $V_1, \ldots, V_n$  be pairwise disjoint open sets in Y such that  $t_i(x) \in V_i$ . Since X is locally connected, there exists an open connected set U such that  $x \in U$  and  $U \subset \bigcap_{i=1}^n t_i^{-1}(V_i) \cap E_m^0$ . If  $y \in U$ , then  $|F(y)| \le n$ . Since each  $t_i(y) \in V_i$ , the  $t_i(y)$ are all distinct. Thus |F(y)| = n and in fact  $F(y) = \{t_1(y), \ldots, t_n(y)\}$  for every  $y \in U$ . Hence  $F(U) \subset \bigcup_{i=1}^n V_i$ . Now suppose  $t \in F$ . Then  $t(x) = t_i(x)$  for some i,  $1 \le i \le n$ . But  $t(U) \subset \bigcup_{i=1}^n V_i$ . Since U is connected  $t(U) \subset V_i$ . Hence if  $y \in U$  $t(y) = t_i(y)$ . Thus  $t = t_i$  on U.

Let (X, T) be a transformation group. In what follows we shall use the terminology and notation in [1]. If  $x \in X$ , then T=EA is a decomposition of T for x if A is compact and  $E \subset \{t: xt=x\}$ . In this case T is said to be periodic at x. T=EA is a decomposition of T if it is a decomposition for every  $x \in X$ . T is said to be periodic when such a decomposition exists. T is pointwise periodic if T is periodic at every point of X. Now suppose that T is a countable Hausdorff topological group and T=EA is a decomposition of T for  $x \in X$ . We may suppose that

767

 $E = \{t: xt = x\}$ . Hence E is a closed subgroup. But then T/E = A/E is a countable compact Hausdorff topological group and is therefore finite. Thus there exists  $A_0$  finite such that  $T = EA_0$  is a decomposition of T for x. Similarly it can be shown that if T = EA is a decomposition of T with  $E = \{t \in T: xt = x \text{ for every } x \in X\}$ , then there exists  $A_0$  finite such that  $T = EA_0$ . Thus the theorems of Kaul and Yang can be equivalently stated as follows:

THEOREM 2 (Kaul, Yang). If X is a connected manifold without boundary and (X, T) is a transformation group such that for every  $x \in X$ , xT is finite, then there exists  $A \subset T$  such that A is finite and if  $E = \{t \in T: xt = x \text{ for all } x \in X\}$  then  $A \cdot E = T$ .

**Proof.** By theorem 1 there exists U open and  $t_1, \ldots, t_n \in T$  such that if  $t \in T$ , then for some  $i, 1 \le i \le n, xt = xt_i$  for all  $x \in U$ . Now the homeomorphism induced by  $t_i t^{-1}$  is pointwise periodic by assumption and therefore is periodic by the result of Montgomery [3]. But then  $t_i t^{-1} \in E$  by Smith [5] (or see Montgomery and Zippin [4]). Thus if we let  $A = \{t_1, \ldots, t_n\}$  then AE = T.

## REFERENCES

1. W. E. Gottschalk and G. A. Hedlund, *Topological dynamics*, Amer. Math. Soc. Colloq. Publ. Vol. 36, 1955.

2. S. K. Kaul, on pointwise periodic transformation groups, Proc. Amer. Math. Soc. Vol. 27 (1971), pp. 391–394.

3. D. Montgomery, *Pointwise periodic homeomorphisms*, American J. Math. Vol. **59** (1937), pp. 118-120.

4. D. Montgomery and L. Zippin, *Topological transformation groups*, Interscience Publication, Inc. N.Y. 1955.

5. P. A. Smith, Transformations of finite period, III, Newman's theorem, Ann. of Math. Vol. 42 (1941), pp. 446-458.

6. J. S. Yang, On pointwise periodic transformation groups, Notices Amer. Math. Soc. Vol. 18 (1971), p. 830 (71T-G125).

UNIVERSITY OF SOUTH CAROLINA

768