RESEARCH ARTICLE

Characterisation and position-aiding performance analysis of Doppler observation from android smartphones

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Abstract

It has been acknowledged that the Doppler is beneficial to the GNSS positioning of smartphones. However, analysis of Doppler precision on smartphones is insufficient. In this paper, we focus on the characteristic analysis of the raw Doppler measurement from Android smartphones. A comprehensive investigation of the Doppler was conducted. The results illustrate that the availability of Doppler is stable and higher than that of carrier measurements, which means that the Doppler-smoothed code (DSC) method is more effective. However, there is a constant bias between the Doppler and the code rate in Xiaomi MI8, which indicates that extra processing of the DSC method is necessary for this phone. Additionally, it is demonstrated that the relationship between the Doppler and C/N0 can be expressed as an exponential function, and the fitting parameters are provided. The numerical experiment in car-borne and hand-held scenes was conducted for evaluating the performance of the Doppler-aided positioning algorithm. For positioning, the improvement reaches $37 \cdot 69\% / 37 \cdot 14\% / 26 \cdot 61\%$ in the east, north and up components, respectively, after applying the Doppler aiding. For velocity estimation, the improvement reaches 29 · 62%/39 · 63%/29 · 37% in the three components, respectively.

1. Introduction

Google announced that the operating system after the Android 7.0 would open an application programming interface (API) for obtaining raw GNSS (Global Navigation Satellite System) observations in 'I/O of 2016'. This allows researchers to develop the algorithms for accurate position estimation with Android smart devices. Initially, Pesyna et al. demonstrated that it is indeed possible to achieve centimetre-accurate positioning with a smartphone by applying real-time kinematic (RTK) techniques in a static ideal environment (Pesyna et al., [2014\)](#page-18-0). For this goal, several studies on positioning algorithms for Android smartphones were conducted, in which observation analysis and positioning algorithms update were the two major parts (Paziewski, [2020\)](#page-18-1).

The characteristic of raw measurements has become a key subject of positioning research on smartphones, typically including signal intensity and data noise. Previous research conducted by Pesyna et al. indicated that the carrier-to-noise ratio (C/N0) of smartphones is about 10 dB-Hz lower than that of geodetic receivers (Pesyna et al., [2014\)](#page-18-0). This means that the signal power of smartphone GNSS antennas is approximately 8% of survey-grade antennas. Furthermore, Zhang et al. confirmed this result by analysing the data from a Nexus tablet (Zhang et al., [2018\)](#page-18-2). Generally, signal power could be influenced by multipath, and Humphreys et al. demonstrated that multipath affects the signal strength of smartphones deeply (Humphreys et al., [2016\)](#page-17-0). Gogoi et al. placed the phones in a controlled-environment anechoic chamber for experiment and proved this view (Gogoi et al., [2019\)](#page-17-1). Due to the poor quality of

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the signal strength, the measurement noise of smartphones is larger than that of geodetic receivers. Li et al. applied a third-derivative approach to estimate the noise of measurement from a Nexus 9, Samsung Galaxy S8 and Huawei Honor v8 (Li and Geng, [2019\)](#page-18-3). The study reported that the code noise of the phones is about 10 times that of the receiver, and the carrier phase noise is about 3–5 times. Lachapelle et al. reached a similar conclusion from the research on a Huawei P10 (Lachapelle and Gratton, [2019\)](#page-17-2). Paziewiski et al. proposed a short baseline double-differenced (DD) method to calculate the data noise and found that the measurement noise of the Huawei P20 is obviously greater than that of geodetic receivers (Paziewski et al., [2019\)](#page-18-4). In summary, the quality of raw GNSS measurement on smartphones is obviously weaker than that on geodetic receivers.

As a response to the data difference between smartphones and geodetic receivers, the positioning algorithms of the smartphone should be studied in depth. Initially, Banville and Diggelen conducted a precision-positioning experiment with a Samsung Galaxy S7 and obtained a decimetre-level positioning result (Banville and Diggelen, [2016\)](#page-17-3). Sikirica et al. focus on the single-point positioning (SPP) method (Sikirica et al., [2017\)](#page-18-5). They used this method on a Huawei P10 and demonstrated that the positioning accuracy can reach 10 m. For the precise point-positioning (PPP) model, Wu et al. adopted the mobile dual-frequency PPP model and obtained decimetre-level positioning accuracy (Wu et al., [2019\)](#page-18-6). In addition, Chen et al. improved the PPP model with dual-clock difference parameters (Realini et al., [2017;](#page-18-7) Chen et al., [2019\)](#page-17-4). Geng et al. developed a three-threshold and single-difference (TT-SD) Hatch filter method which considers the characteristic of smartphone GNSS measurements. They reported that submetre-level accuracy can be achieved under dynamic or static conditions. Moreover, a large number of studies focus on a differential positioning method on smartphones. The results of different models show that the decimetre-level accuracy positioning result can be reached, at the least (Dabove and Di Pietra, [2019a,](#page-17-5) [2019b;](#page-17-6) Geng et al., [2019;](#page-17-7) Liu et al., [2019a;](#page-18-8) Zhang et al., [2019\)](#page-18-9). Furthermore, Geng et al. proved the possibility of ambiguity fixing under the data pre-processing (Geng and Li, [2019\)](#page-17-8), and the centimetre-accuracy result is available after ambiguity resolution (AR).

It has been proved that the Doppler measurement is also practical for GNSS positioning by providing additional information (Zhang et al., [2019\)](#page-18-9). However, the current studies on smartphone positioningmainly focus on the characteristic of code and carrier phase measurement, and lack of the quality evaluation of the Doppler from smartphones. In this paper, we aimed to analyse the characteristic of Doppler measurement from smartphones and its position-aiding performance. Firstly, the noise estimation method was introduced, and then we analysed the characteristic of Doppler measurement in four aspects. Thirdly, the performance of the Doppler-aided positioning algorithm in dynamic experiments was validated. Finally, the conclusions of this paper are presented.

2. Methodology

This section provides the approach to data processing used in this study. Firstly, we introduce the noise estimation method and then reviewed the algorithm for velocity estimation. Finally, the Doppler-aid positioning algorithm is introduced.

2.1. Noise estimation

The code, phase and Doppler measurements between the satellite *s* and the receiver *r* can be expressed as $(Zhou and Li, 2016)$ $(Zhou and Li, 2016)$:

$$
\begin{cases}\nP_{r,i}^s &= \rho_r^s + c(dt_r - dT^s) + I_{r,i}^s + T_r^s + d_{r,i} - d_i^s + m_{r,i}^s + \epsilon_{P_{r,i}^s} \\
\lambda_i \Phi_{r,i}^s &= \rho_r^s + c(dt_r - dT^s) - I_{r,i}^s + T_r^s + \lambda_i (\phi_{r,0,i} - \phi_{0,i}^s + N_{r,i}^s) \\
+ \delta_{r,i} - \delta_i^s + M_{r,i}^s + d\Phi_{r,i}^s + \epsilon_{\Phi_{r,i}^s} \\
- \lambda_i D_{r,i}^s &= \dot{\rho}_r^s + c(dt_r - d\dot{T}^s) - \dot{I}_{r,i}^s + \dot{T}_r^s + \dot{M}_{r,i}^s + \epsilon_{D_{r,i}^s}\n\end{cases} (1)
$$

where P , $\lambda_i \Phi$ and $\lambda_i D$ are, respectively, the code, carrier phase and Doppler in metres and in metres/second; ρ is the distance between the receiver and the satellite; ρ is the change rate of the distance; dt and dT represent the receiver and satellite clock offsets; dt and dT are the change rate of clock offsets; I and T are the ionospheric delay and tropospheric delay; I and T are the change rate of I ; *T*, d_r , and d_i^s represent the receiver and satellite code hardware delays; $m_{r,i}^s$ is code multipath delay; λ_i indicates the wavelength for carrier frequency *i*; $N_{r,i}^s$ is the integer ambiguity; $\phi_{r,0,i}$ and $\phi_{0,i}^s$ are the initial phase of the receiver and the satellites, respectively; $\delta_{r,i}$ and δ_i^s are hardware delays of phase; $M_{r,i}^s$ is the carrier multipath delay, and $M_{r,i}^s$ is the change rate of it; $d\Phi_{r,i}^s$ is the phase correction term which includes phase centre offsets, earth tides, and relativity correction; and $\epsilon_{P_{r,i}^s}$, $\epsilon_{\Phi_{r,i}^s}$ and $\epsilon_{D_{r,i}^s}$ are the noises.

We used the third-derivative approach to estimate the residual of carrier phase measurements for eliminating the ambiguities. The form of the approach is:

$$
\epsilon_L = \frac{1}{\sqrt{20}} \frac{L(k + 3\Delta t) - 3L(k + 2\Delta t) + 3L(k + \Delta t) - L(k)}{\Delta t^3}
$$
(2)

where ϵ_L is the residual; $L(k)$ indicates the code, phase or Doppler measurement; k is the observed epoch; and Δt is the sampling interval. $\frac{1}{\sqrt{20}}$ is the normalisation factor calculated by the error propagation law. The third-derivative approach requires data that are continuous and acquired with a high sampling rate. In addition, the approach cannot separate the clock noise (Pirazzi et al., [2017\)](#page-18-11). Note that cycle slips will lead to an incorrect estimation of carrier noise. In this paper, we detected the cycle slips by combining the carrier and Doppler measurements. The method is based on the single-differenced (SD) carrier and Doppler observations between satellites, and it can be expressed as:

$$
\lambda \left| \left(\Phi^{m,n}(k + \Delta t) - \Phi^{m,n}(k) \right) - \frac{D^{m,n}(k + \Delta t) + D^{m,n}(k)}{2} \Delta t \right| \le \xi \tag{3}
$$

where the *m* and *n* are, respectively, the reference satellite and another satellite; and ξ is an empirical constant that is set to 1 m in this paper. A detailed introduction to this method can be found in Geng and Li [\(2019\)](#page-17-8). In reality, the result of this method could be influenced by a small cycle slip, but we estimate this to be infrequent and tolerable. In addition, it is hard to mark the cycle slip on carrier phase of *m* or *n* specially. However, we apply the DD observation for noise estimation, and it will not affect the calculation if we delete the pairs of carrier phase of satellites.

We use the short-baseline DD observation to calculate the data noise in this paper, as the ionospheric and tropospheric delays can be eliminated in this case. The data was collected in an open-sky environment, and multipath can be ignored. Note that the precise position of the phones and reference receiver was known, and we can get the geodetic distance by it. Furthermore, the velocity of smartphones is 0 m/s since they are static, and the satellites' velocity can be calculated using ultra-rapid precise ephemeris. Therefore, the distance change rate can be estimated and eliminated as well. In summary, the DD observation can be expressed as:

$$
\begin{cases}\n\hat{P}_{rb,i}^{jk} = P_{rb,i}^{jk} - \rho_{rb,0}^{jk} = \epsilon_{P_{rb}^{jk}} \\
\hat{\Phi}_{rb,i}^{jk} = \lambda_i \Phi_{rb,i}^{jk} - \rho_{rb,0}^{jk} = \lambda_i B_{rb,i}^{jk} + \epsilon_{\Phi_{rb}^{jk}} \\
\hat{D}_{rb,i}^{jk} = \lambda_i D_{rb,i}^{jk} - \dot{\rho}_{rb,0}^{jk} = \epsilon_{D_{rb}^{jk}}\n\end{cases}
$$
\n(4)

where *r* and *b* are the rover and reference receivers; *j* and *k* are different satellites; $(\cdot)^{jk}_{rb,i}$ represents the DD observations; $\rho_{rb,0}^{jk}$ and $\rho_{rb,0}^{jk}$ are the distance and its change rate, which are calculated by the known position and velocity of smartphones and satellites; $B_{rb,i}^{jk}$ is the DD phase bias in cycle, which includes DD ambiguity and other unknown errors, and mainly manifests as low-frequency changes; $\hat{P}_{rb,i}^{jk}, \hat{\Phi}_{rb,i}^{jk}$ and $\hat{D}_{rb,i}^{jk}$ are the DD code, DD carrier and DD Doppler observations, respectively, that have deleted the distance or distance rate. According to the existing studies, the accuracy of the receiver observation is much better than that of smartphones. In this paper, the influence of the receiver observation error is ignored. The approach to residual calculation is then:

$$
\begin{cases}\n\epsilon_{p_{rb}^{jk}} = \hat{P}_{rb,i}^{jk} \\
\epsilon_{\Phi_{rb}^{jk}} = \frac{1}{\sqrt{20}} \frac{\hat{\Phi}_{rb,i}^{jk}(k + 3\Delta t) - 3\hat{\Phi}_{rb,i}^{jk}(k + 2\Delta t) + 3\hat{\Phi}_{rb,i}^{jk}(k + \Delta t) - \hat{\Phi}_{rb,i}^{jk}(k)}{\Delta t^3} \\
\epsilon_{D_{rb}^{jk}} = \hat{D}_{rb,i}^{jk}\n\end{cases} (5)
$$

2.2. Velocity calculation

The Doppler measurement can be used to calculate the velocity of the receiver. For the Doppler measurement in Equation [\(1\)](#page-1-0), when the sampling rate is high enough, we can ignore the influence of the atmospheric rate delays and multipath rate. Then the Doppler measurement can be transformed into:

$$
-\lambda_i D_{r,i}^s = \dot{\rho}_r^s + c(dt_r - d\dot{T}^s) + \epsilon_{D_{r,i}^s}
$$
(6)

The distance rate can be calculated as:

$$
\begin{cases}\n\dot{\rho}_r^s = \frac{(x^s - x_r)(v_x^s - v_{x,r}) + (y^s - y_r)(v_y^s - v_{y,r}) + (z^s - z_r)(v_z^s - v_{z,r})}{\rho_r^s} \\
= \left[e_{r,x}^s \ e_{r,y}^s \ e_{r,z}^s \right] (v^s - v_r) \\
e_{r,x}^s = \frac{(x^s - x_r)}{\rho_r^s}, e_{r,y}^s = \frac{(y^s - y_r)}{\rho_r^s}, e_{r,z}^s = \frac{(z^s - z_r)}{\rho_r^s} \\
v^s = \left[v_x^s \ v_y^s \ v_z^s \right]^T, \ v_r = \left[v_{x,r} \ v_{y,r} \ v_{z,r} \right]^T\n\end{cases}
$$
\n(7)

where $e_{r,x}^s$, $e_{r,y}^s$ and $e_{r,z}^s$ are the cosine functions of the satellite *s*; and v_s and v_r are the velocity of satellites and the smartphone, respectively. When the number of satellites is greater than 4, the velocity can be calculated by the least square method:

$$
\begin{cases} \Delta x_r = \left(\boldsymbol{B}^{\mathrm{T}} \boldsymbol{P} \boldsymbol{B} \right)^{-1} \boldsymbol{B}^{\mathrm{T}} \boldsymbol{P} L \\ x_r = x_{r,0} + \Delta x_r \end{cases} \tag{8}
$$

where x_r is the unknown parameters vector, including velocity and clock rate; $x_{r,0}$ is the initial parameters; \bf{B} is the design matrix; \bf{P} is the weight matrix for all satellites; and \bf{L} is the observation vector. These quantities are defined as:

$$
\begin{cases}\n\Delta \mathbf{r} = \begin{bmatrix}\n\Delta \mathbf{v}_r \\
\Delta cdi\n\end{bmatrix} \mathbf{B} = \begin{bmatrix}\n-e_{r,x}^1 - e_{r,y}^1 - e_{r,z}^1 & 1 \\
-e_{r,x}^2 - e_{r,y}^2 - e_{r,z}^2 & 1 \\
\vdots & \vdots & \vdots \\
-e_{r,x}^s - e_{r,y}^s - e_{r,z}^s & 1\n\end{bmatrix} \mathbf{L} = \begin{bmatrix}\n-\lambda_i D_i^1 - (\dot{\rho}_{r,0}^1 + cdi_0 - cdf^1) \\
-\lambda_i D_i^2 - (\dot{\rho}_{r,0}^2 + cdi_0 - cdf^2) \\
\vdots \\
-\lambda_i D_i^s - (\dot{\rho}_{r,0}^s + cdi_0 - cdf^s)\n\end{bmatrix}\n\end{cases}
$$
\n(9)

where $\dot{\rho}_{r,0}^s$ and cdi_0 are the initial velocity and clock rate of the receiver, respectively; and diag $(\sigma_1^{-2}, \sigma_2^{-2} \cdots \sigma_s^{-2})$ is a diagonal matrix in which components are the inverse of the Dopplers' variances, which is calculated using the C/N0-based stochastic model that is established in this paper.

2.3. Doppler-aided positioning algorithm

The velocity of the smartphone is quite important in the dynamic situation. There are two common models to describe the movement state. One is the constant velocity (CV) model and the other is the constant acceleration (CA) model (Yang, [2017\)](#page-18-12). Because of the high sampling rate of smartphones' GNSS measurement collection, the CA model is more applicable, and we used it in this paper.

Kalman filter is adopted to estimate the parameters. The state equation and measurement equation of the filter are:

$$
\begin{cases} X_k = F_{k,k-1} X_{k-1} + w_k \\ L_k = B_k X_k + v_k \end{cases}
$$
 (10)

where *k* is the epoch; X and F represent the state vector and the transition matrix, respectively; B is the design matrix; \bf{L} is the measurement vector; and \bf{w} and \bf{v} represent the system noise vector and the observation noise vector, respectively. Generally, it is assumed that the system noise and observation noise are not correlated with each other and conform to the characteristics of Gaussian white noise. The variance–covariance (VC) matrix of the system is expressed as \mathbf{Q}_k , and the VC matrix of the measurement is \mathbf{R}_k . Under dynamic conditions, the specific representation of these vectors is:

$$
X_k = \begin{bmatrix} r \\ v \\ a \\ N \end{bmatrix}, F_p = \begin{bmatrix} 1 & \Delta t & 0.5\Delta t^2 \\ 1 & \Delta t \\ 1 & 1 \end{bmatrix} \otimes \text{diag}(1, 1, 1), F_{k-1,k} = \begin{bmatrix} F_p & 0 \\ 0 & I \end{bmatrix}, Q_k = \begin{bmatrix} Q_r & & \\ & Q_v & \\ & & Q_n \end{bmatrix} \tag{11}
$$

where *r*, *v* and *a* are, respectively, positions, velocity and acceleration in ECEF frame. Moreover, Δ is the sampling interval; \mathbf{Q}_r , \mathbf{Q}_v , \mathbf{Q}_a and \mathbf{Q}_N represent the system noise matrix of position, velocity, acceleration and the carrier phase ambiguities, respectively; \boldsymbol{I} is an identity matrix for ambiguities transition; and ⊗ represents the Kronecker product. Note that we neglected the atmospheric delay, including tropospheric and ionospheric delay, since the reference station is near the smartphones. In addition, clock offsets are eliminated by the DD processing. In this paper, we used the 'Current' model to calculate the system noise matrix of the acceleration parameters (Yang, 2017). In addition, the observation noise matrix is calculated using the results described in Section [3.3.](#page-8-0)

When fusing Doppler data for dynamic positioning, the observation value vector and design matrix form are:

$$
L_k = \begin{bmatrix} P \\ \Phi \\ -\lambda D \end{bmatrix} B_k = \begin{bmatrix} 1 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \otimes \begin{bmatrix} -e_{r,x}^1 & -e_{r,y}^1 & -e_{r,z}^1 \\ -e_{r,x}^2 & -e_{r,y}^2 & -e_{r,z}^2 \\ \vdots & \vdots & \vdots \\ -e_{r,x}^s & -e_{r,y}^s & -e_{r,z}^s \end{bmatrix} \begin{bmatrix} 0 \\ \hat{I} \\ 0 \end{bmatrix}
$$
(12)

where $\hat{\boldsymbol{l}}$ is a matrix which relates to the subvector of carrier phase ambiguities; and \boldsymbol{P} , Φ and $-\lambda \boldsymbol{D}$ are the code, carrier phase and Doppler measurements.

3. Characterisations of Doppler measurement on smartphones

In this section, we introduce the characteristics of smartphones' Doppler measurement. Doppler data availability of different phones was compared first. Then we analysed the code rate and phase rate minus Doppler. According to these results, the limitation in DSC is discussed (Zhou and Li, [2016\)](#page-18-10). Thirdly, the noise of Doppler was calculated. Finally, we analysed the velocity calculation performance.

In this part, observation under the static situation was performed to analyse the characteristics of the Doppler measurements from smartphones. We utilised a Xiaomi MI8, a Huawei P30 and a Huawei P40, since all of these smartphones can obtain the raw code, carrier phase and Doppler measurements,

Figure 1. Relative position of the smartphones and the reference station.

Station	Device	Antenna/System	GNSS Available		
MIA8	Xiaomi MI8	Embedded/Android 8	GPS/BDS/Galileo/GLONASS		
HP30	Huawei P30	Embedded/Android 10	GPS/BDS/Galileo/GLONASS		
HP40	Huawei P40	Embedded/Android 10	GPS/BDS/Galileo/GLONASS		

Table 1. Android smartphones.

which is helpful for the data analysis. Measurements are collected by the GEO++ APP and the sampling rate is 1 Hz, and the cut-off elevation is 10° (Geo++ GmbH., [2018\)](#page-17-9). The observation date is day of year (DOY) 110 of 2021, and the observation time length is 1827 s. The distance between the phones and the reference station is $257 \cdot 94$ m, and the relative position is shown later. The specific information about smartphones is detailed in [Figure 1](#page-5-0) and [Table 1.](#page-5-1)

3.1. Data availability

Data availability is an important indicator to assess its suitability for positioning. During the positioning process, we needed to detect the errors and eliminate them, so data availability cannot always reach 100%. In this paper, the geometry-fixed (GFix) model was applied to detect the outliers in code and Doppler measurements (Yuan et al., [2022\)](#page-18-13). Specifically, the known position and velocity can be added to the observation to eliminate their influence. In addition, we estimate the ionosphere and troposphere by the Klobuchar model and Saastamoinen model, respectively, and the satellite clock offset was calculated using ephemeris. Overall, only the receiver clock offset should be estimated in an epoch. And we can detect the errors in the estimation. As for the carrier phase, a measurement with cycle slip was treated as an outlier, because that cycle slip repairing for carriers from smartphones is difficult. The method for cycle slip detection was introduced in [Section 2.1.](#page-1-1) The data availability of the three smartphones is detailed in [Figure 2](#page-6-0) and in [Table 2.](#page-6-1) Note that the numerical result in the figure and the table is aggregated data for the GPS, BDS and Galileo system on all available frequencies.

It can be seen from [Table 2](#page-6-1) that the code and Doppler availabilities are greater than 97% , while the phase availability is significantly smaller. Especially for HP30, it is only $39 \cdot 31\%$. Further analysis indicates that the reason for the low phase availability is that HP30 suffers from frequent cycle slips. Therefore, the DSC method is more suitable for HP30 compared with the carrier-phase smoothed code (CPSC) method, because we should repair the phase or initial the smoothing window when the cycle slips occur, and numerous cycle slips can degrade smoothing performance.

Detailed information on the data availability of the three smartphones is illustrated in [Figure 3,](#page-6-2) where subfigures (a), (b) and (c) are, respectively, the result of code, Doppler and carrier phase, and the red

Figure 2. Data availability of three smartphones.

	Code	Phase	Doppler
MIA8	98.57%	$86 \cdot 43\%$	$98 \cdot 21\%$
HP30	99.92%	39.31%	$97 \cdot 47\%$
HP40	99.93%	97.56%	$97 \cdot 39\%$

Table 2. Data availability of three smartphones.

Figure 3. Data availability on different frequencies of the three smartphones.

line in the figures represents the 90% availability. Note that the carrier measurement of the GLONASS constellation is not available on Huawei phones, so the analysis of GLONASS observation from the HP30 and HP40 is not contained in the figure. [Figure 3\(a\)](#page-6-2) shows the code availability, depicting that the value is higher than 90% for the three smartphones and there is no obvious difference between the three phones. It indicates that the code availability of the smartphone is ideal. In this case, the position could always be provided to users by the single-point positioning (SPP) method. [Figure 3\(b\)](#page-6-2) depicts the information on Doppler measurement. Similar to the code, the availability of Doppler is higher than 90%, which means that the Doppler observation is indeed relevant for the DSC method in smartphones. However, the availability on E1 of HP30 and HP40 is imperfect compared to the MIA8, which could negatively impact DSC. [Figure 3\(c\)](#page-6-2) represents the information about the carrier phase. It is obvious that the carrier usability of HP30 is unsatisfactory, and the value is smaller than 50% in most frequencies. This indicates that the CPSC cannot work well in the HP30. In addition, carrier measurement from MIA8 on B1 is imperfect. Overall, the carrier phase from HP40 is the most stable, and it is positive for high-accuracy positioning.

3.2. Bias between data rate and Doppler

Generally, the Doppler can be treated as the code or carrier change rate when the atmospheric delay rate is neglected. In other words, when we use the data rate minus the Doppler, the result should stay around zero. However, this goal cannot always be reached for some types of smartphones because of the difference in clock offsets. In terms of the CPSC and DSC methods, the impact should be taken into consideration. To solve this problem, Liu et al. suggested that the size of the smoothing window of all satellites should be equal (Liu et al., [2019b\)](#page-18-14), and Geng et al. adopted a single-difference between the satellites smoothing method (Geng et al., [2019\)](#page-17-7).

Figure 4. Date rate and Doppler values of the three smartphones. (a) MIA8. (b) HP30. (c) HP40.

In this section, the bias between the Doppler observation and code or carrier phase rate is calculated by the raw observations. An analysis of this bias is presented, as it is important for applications of CPSC and DSC methods. Note that we named the bias as code IRDB (Inter-Rate-Doppler Bias) and carrier IRDB for convenience. The data change rate and Doppler observations of the three smartphones are shown in [Figure 4.](#page-7-0) We only plot the data on L1 frequency of G10 satellite in these plots, and similar features can be found on the data of other satellites. Green points, red points and orange points in the figure are, respectively, the code rate, Doppler and phase rate. For the HP30 and HP40, it is obvious that the three types of data are overlapping over periods, which means that the data are equivalent. However, the code rate of MIA8 is separated from phase rate and Doppler, which means that code rate is not equal to Doppler observations, and an extra process is necessary in the DSC method on this smartphone.

Code IRDBs and carrier IRDBs of all visible satellites are depicted in [Figure 5](#page-8-1) under the boxplot, in which a box represents statistical values for the different carrier frequencies of the GNSS systems. From the left panel of the figure, it is intuitive that the code IRDB and carrier IRDB of the three smartphones are stable, and the average value for different frequencies stays around a certain value. This means that the DSC method is feasible for the three smartphones. Specifically, we can find that the code IRDB of the HP30 and HP40 is close to 0, which indicates that the influence of the bias can be ignored. However,

Figure 5. Code IRDB and carrier IRDB of three smartphones. (a) MIA8. (b) HP30. (c) HP40.

the code IRDB of the MIA8 is about $86 \cdot 8$ m/s, which means that constrained processing is necessary for the DSC. In addition, the box range on the R1 is absolutely wider than the others, which indicates that the code noise on the R1 is the largest.

The right panel of the figure depicts the carrier IRDB of the three smartphones. Similar to the code IRDB, the value is stable for all the phones. The difference is mainly in the MIA8, and the carrier is also around the 0, which is different from the code IRDB. In this case, Doppler can also be applied in cycle-slip detection. Nevertheless, there is a bias on the R1 of the MIA8, and this should be taken into consideration when applying the carrier or Doppler on Xiaomi 8 smartphones.

Note that the carrier phase measurement on GLONASS cannot be obtained from Huawei smartphones, which leads to the blanks in Figure $5(b)$ and $5(c)$.

3.3. Measurement noise

It has been proven by several studies that there is a strong correlation between data noise and C/N0 on smartphones (Sikirica et al., [2017\)](#page-18-5). However, the Doppler noise of smartphones is rarely analysed. This paper calculated the noise of code, carrier phase, and Doppler by Equation [\(5\)](#page-3-0). After noise estimation, we calculated the statistics for noise as a function of C/N0, and the interval was set as 1 dB-Hz. Note that it is assumed that the data noise in the interval is constant. The results are depicted in [Figure 6](#page-9-0) with the C/N0 setting as the independent variable. The left panels of the figure show the noise of the code, the middle panels show the noise of the carrier phase, and the right panels show the noise of the Doppler measurements. Note that the results of the three utilised smartphones are shown in the figure. Specifically, (a) is for MIA8, (b) is for HP30 and (c) is for HP40.

The measurement of noise of different data was calculated separately. Firstly, we checked the figure in the column, and noted that the trend of data noise on the three smartphones is similar. And if we analyse the figure in rows, obviously the range of phase noise and Doppler noise is approximately equal, while code noise is much higher. In addition, data points for different frequencies are quite scattered for code noise, and they overlap in the middle and right figures. It means that the accuracy of code measurements on smartphones is influenced by the GNSS signal used, but the accuracy of carrier phase and Doppler will not be.

Figure 6. Data noise of three smartphones. Left panels: code noise; middle panels: carrier noise; right panels: Doppler noise. (a) MIA8. (b) HP30. (c) HP40.

Figure 7. Curve-fitting results of code, phase, and Doppler noise for the three smartphones; the red lines are the curves fitted based on the exponential function. (a) MIA8. (b) HP30. (c) HP40.

Besides, a significant exponential trend can be seen in the figures. In this paper, we fit it by the SIGMA function, which is given as Equation [\(13\)](#page-9-1). The curve fitting results are shown in [Figure 7,](#page-9-2) and the parameters are shown in [Table 3:](#page-10-0)

$$
\sigma_{C/N_0} = c + d \cdot 10^{-\frac{C/N_0}{10}} \tag{13}
$$

		Code		Carrier phase	Doppler		
Mobiles	\mathcal{C}	a	c	d	с		
MIA8 HP30 HP40	$2 \cdot 35$ $1 \cdot 34$ $2 \cdot 18$	$943 \cdot 70$ $951 \cdot 49$ $919 \cdot 40$	0.05 0.13 0.04	29.63 50.56 $35 \cdot 86$	0.03 0.06 0.17	$20 \cdot 34$ 60.02 $58 \cdot 81$	

Table 3. Fitting parameter of the stochastic model.

Devices		STD (cm/s)		$RMSE$ (cm/s)			
	E	N	U	Е	N		
MIA8	0.92	$1 \cdot 22$	2.56	$2 \cdot 17$	1.50	4.51	
HP30	$2 \cdot 23$	2.69	5.99	2.43	2.73	$6 \cdot 10$	
HP40	2.96	$3 \cdot 49$	$6 \cdot 80$	$3 \cdot 27$	3.59	6.82	

Table 4. STD and RMSE of velocity result.

3.4. Velocity estimation

In this section, velocity was estimated by Doppler measurement based on the method introduced in Section [2.2](#page-3-1) to evaluate its effectiveness. The result is shown in [Figure 8,](#page-11-0) in which the Ve , Vn and Vu represent the velocity in east, north and up components. Pictures (a), (b) and (c) in this figure are the results of MIA8, HP30, and HP40, respectively. The blue stars in the left pictures are the reference velocity which are zero, since smartphone are static in the data collection. In terms of the velocity in horizontal, it can be seen that the results of the MIA8 are more concentrated than the result of HP30 and HP40. Specifically, the results of the MIA8 are mainly concentrated within 0.05 m/s, while the results of the Huawei smartphones are within $0 \cdot 1$ m/s. The analysis in Section [3.3](#page-8-0) shows that this is caused by the noise difference between the three smartphones. In the vertical, the results of the MIA8 are still stable. However, as time passes, the noise of the estimation of the MIA8 increases and outliers appear, while the results of the Huawei phones do not suffer from this change. This could be led by the accuracy decrease of the Doppler observations of the MIA8 with a lone working time. Note that all three phones show similar trends in results between 0 and 1000 epochs, which may be due to changes in the satellite/receiver geometry. Nevertheless, compared with the results of HP30 and HP40, a significant bias is contained in the result of MIA8, this could be affected by the unmodeled errors, and it should be taken into consideration in further research.

[Table 4](#page-10-1) illustrates the STD and RMSE of velocity estimation. As can be found in the table, the RMSE of three smartphones is smaller than 5 cm/s in the horizontal, and the vertical RMSE is smaller than 10 cm/s, which proves that velocity at centimetre/second-level can be obtained by the Doppler measurements from Android smartphones. Additional, thanks to the highest accuracy of the Doppler observations, the MIA8 achieves the highest accuracy of velocity results. Take the comparison between the HP30 and HP40, the difference is not significant.

4. Performance of Doppler-aided positioning

4.1. Data collection

To evaluate the effectiveness of Doppler-aided positioning in various situations, we conducted numerical experiments in car-borne and hand-held scenes. The dataset was collected by smartphones and a

Figure 8. Velocity results of the three smartphones in static situation. (a) MIA8. (b) HP30. (c) HP40.

geodetic receiver including a Xiaomi 8, a Huawei P30, and a Huawei P40, and a CHCNAV i90. GNSS measurements were collected on the DOY 243 of 2021 for two different experiments.

[Figure 9](#page-12-0) shows the trajectory of two sets of experimental data collection: the left panel of the figure is for car-borne (mostly poor environment), and the right is for hand-held (mostly open-sky environment). The sampling interval of the collection is set to 1 s and the cut-off elevation is 10°. The maximum speed in the collection is about 30 km/h for car-borne and about 4 km/s for hand-held. Note that the reference position of the test smartphones was calculated by the post-processing strategy on the geodetic receivers' data. Since the centre of the receiver cannot be overlapped with that of smartphones perfectly, there would be a bias of about 5 cm, and this systematic bias would be ignored in the analysis, as it is insignificant. The true velocity was estimated by the combination of carrier and Doppler measurements from the geodetic receiver, which could provide the velocity at cm/s-level accuracy.

The real-time kinematic (RTK) positioning method was applied in our experiment, and the reference station is shown in [Figure 9.](#page-12-0) Benefiting from the short distance between the smartphones and the reference station, atmospheric delays, such as ionospheric and tropospheric delays, can be ignored. In addition, we calculate the satellite position and clock offset by the ultra-rapid precise

Figure 9. Track of dynamic experiment. (a) Trajectory of car-borne test. (b) Trajectory of hand-held test.

	Smartphone	Without Doppler (m)			With Doppler (m)				Improvement $(\%)$		
		E	N	U	E	N	U	E	N	U	
Car-borne	MIA8		$0.82 \quad 1.03 \quad 1.44$			0.76 1.02 1.39		7.73	$1 \cdot 20$	$3 \cdot 44$	
	HP30		1.94 2.17 3.84			$1 \cdot 21$ $1 \cdot 36$ $2 \cdot 82$			37.69 37.14 26.61		
	HP40		$0.73 \quad 1.08 \quad 1.56$			$0.65 \quad 1.02 \quad 1.48$			$10.79 \quad 5.59$	4.61	
Hand-held MIA8			$0.63 \quad 1.03 \quad 1.11$			$0.59 \quad 1.02 \quad 1.08$		$6 \cdot 03$	0.64	2.66	
	HP30		$0.45 \quad 0.63 \quad 1.15$			$0.43 \quad 0.60 \quad 1.09$		3.53	$3 \cdot 71$	$5 \cdot 25$	
	HP40		0.67 0.96 1.29			$0.49 \quad 0.58 \quad 0.91$			$26.92 \quad 39.63$	29.37	

Table 5. RMSE of the positioning error on different scenes.

ephemeris, which can be downloaded from ftp:/igs.gnsswhu.cn/pub/gnss/products/mgex/. Since the carrier on GLONASS cannot be obtained on the two Huawei smartphones, we utilised the observations on the GPS/BDS/Galileo systems. Nevertheless, all smartphones provide double-frequency measurement, including the L1/L5 on GPS and E1/E5a on Galileo. We applied an uncombined model to combine the data on different frequencies. Note that ambiguity resolution (AR) is essential to achieve a high-accuracy positioning result. However, the carrier on smartphones suffers from the influence of IPBs (initial-phase biases), and the AR is hard to accomplish in real-time (Gao et al., [2021\)](#page-17-10). In this case, we only analyse the float result under the Doppler-aided method.

4.2. Positioning result

In this section, we evaluated the positioning performance with and without the Doppler-aided algorithm. In addition, data collected in the car-borne and the hand-held scene were utilised. Note that we only plot the result of the HP40 as the example, and the result statistics of other smartphones are shown in the [Table 5.](#page-12-1)

For the experiment under the car-borne scene, the positioning error on horizontal and vertical are depicted in [Figure 10,](#page-13-0) in which the Figure $10(a)$ is the scatters of horizontal error, and Figure $10(b)$ is the cumulative distribution function (CDF) of vertical error. We added the confidence ellipse in the horizontal results for comparing the difference (without Doppler in blue, and with Doppler in orange). Can be found in this figure that a significant improvement can be reached after utilising the Doppler, numerical results are displayed in [Table 5.](#page-12-1) The 95% level of positioning error in the up component is displayed in [Figure 10\(b\),](#page-13-0) and 95th percentile of the error decreases from $2 \cdot 60$ m to $2 \cdot 56$ m. The reason

Figure 10. Positioning error of car-borne test. (a) Horizontal errors. (b) Vertical errors.

Figure 11. Car-borne positioning error series on east and north.

for this slight improvement is that Doppler is beneficial for positioning indirectly, and the effectiveness in the position part can sometimes be less obvious.

[Figure 11](#page-13-1) shows the positioning error series with respect to time; since the up-error of the two methods is close, we only plotted the positioning error on the east and north components. In this figure, we can find that the positioning results are close with and without the Doppler-aided algorithm. In other words, the improvement is not significant compared with the left panel of [Figure 10.](#page-13-0) The reason is that the major advantage of using Doppler is avoiding serious positioning errors, especially for the north component. Therefore, the difference is not obvious in the error series but can be performed by the error ellipses.

Compared with the experiment in the car-borne scene, the human body will block the satellite signal to an extent in the hand-held scene. In addition, shaking of the body can also influence the performance of positioning. The influence of the human body should be taken into consideration since it can degrade the positioning performance. [Figure 12](#page-14-0) displays the horizontal and the vertical positioning error. A similar conclusion can be obtained from the figure that the positioning performance will be enhanced after applying the Doppler measurement. However, a significant improvement can be found in the hand-held case and the error series on east and north components are depicted in [Figure 13.](#page-14-1) It is intuitive that an obvious improvement can be reached by utilising the Doppler, while the positioning without Doppler

Figure 12. Positioning error of hand-held test. (a) Horizontal errors. (b) Vertical errors.

Figure 13. Hand-held positioning error series on east and north.

is unstable. Furthermore, there is a difference from [Figure 10](#page-13-0) that the 95th percentile of the vertical error can be improved significantly, which decreases from $2 \cdot 56$ m to $1 \cdot 76$ m, with an improvement percentage of $31 \cdot 25\%$.

With the reference position of trajectory which was calculated based on the observation from the geodetic receiver, we can calculate the root mean square error (RMSE) of the positioning error. The RMSE of the two methods in the different scenes is detailed in [Table 5.](#page-12-1) It is intuitive that the Doppleraided algorithm is always better for positioning. However, the improvements in different smartphones are distinct. For the car-borne scene, the improvement of the HP30 is the maximum, while in the handheld scene it is the HP40. The reason for the obvious improvements is that using the Doppler-aided method enhances the velocity calculation. Then outliers can be detected and excluded indirectly, thus improving the position estimation performance. In this case, the position under the Doppler-aiding can be more stable and reliable. Regardless, the Doppler is helpful, and it is recommended to apply the Doppler measurement in smartphone positioning.

Figure 14. Velocity result for the car-borne test. (a) Horizontal errors. (b) Vertical errors.

4.3. Velocity estimation

Velocity information is also crucial in some applications, such as intelligent driving or velocity monitoring. In general, velocity can be estimated when applying the motional model which includes the velocity component, such as the CA or CV model. In this case, velocity can also be estimated without the Doppler observation. In this section, we evaluated the effectiveness of the velocity estimation by restricting the implementation of the Doppler measurement on smartphones. [Figures 14](#page-15-0) and [15](#page-16-0) illustrate the velocity estimation with or without the application of the Doppler-aided algorithm on the HP40. Specifically, [Figure 14](#page-15-0) is the result of the car-borne scene and Figure 14 is the result of the hand-held scene. Figures 14(a) and [15\(a\)](#page-16-0) [show](#page-15-0) [horizontal](#page-15-0) [error.](#page-15-0) [Note](#page-15-0) [that](#page-15-0) [confidence](#page-15-0) [ellipses](#page-15-0) [are](#page-15-0) [shown](#page-15-0) [in](#page-15-0) [the](#page-15-0) [figures](#page-15-0) [to](#page-15-0) [c](#page-15-0)ompare the differences. [Figures 14\(b\)](#page-15-0) and [15\(b\)](#page-16-0) are the CDF of the errors in the up component. In addition, we add a red-dashed line in the CDF figures to depict the value under the 95% level. From [Figure 14,](#page-15-0) it is clear that performance of velocity estimation is facilitated by implementing Doppler, which can be proved by the decrease of the confidence ellipse. Moreover, shown in [Figure 14\(b\),](#page-15-0) the board value of 95% is 1 · 02 m/s with Doppler and the value is 1 · 33 m/s without the Doppler, with an improvement of $23 \cdot 31\%$. Similar enhancement can be found in [Figure 15,](#page-16-0) which indicates the result on the hand-held scene. However, the improvement is not significant in this case. For instance, the error in the up component on the 95% level is decreased from 0.40 m/s to 0.39 m/s, with a slight improvement of 2.50% . The reason is that the environment across the hand-held period is ideal, which means that the quality of the carrier measurement is excellent. A satisfactory velocity estimation can also be provided without the Doppler.

The RMSE of velocity estimation on the three tested smartphones are depicted in [Table 6.](#page-16-1) There is a common trend that the accuracy of the hand-held scene is much higher than that of the car-borne scene. This could be mainly influenced by the different observation environments. In addition, the speed of the smartphones can also be related to estimation performance. It is also illustrated in [Table 6](#page-16-1) that the improvement of the Doppler-aided algorithm is much more significant in the case of the car-borne

Figure 15. Velocity result for the hand-held test. (a) Horizontal errors. (b) Vertical errors.

	Smartphone	Without Doppler (m/s)			With Doppler (m/s)			Improvement $(\%)$		
		E.	N	U	E.	N	U	E	N	U
Car-borne	MIA8 HP30 HP40		0.67 0.76 0.53 1.43 1.42 1.29 0.75 0.92 0.57			0.53 0.63 0.41 0.78 0.81 0.86 0.58 0.74 0.45			$21 \cdot 51$ $16 \cdot 42$ $23 \cdot 56$ $45 \cdot 88$ $43 \cdot 18$ $33 \cdot 28$ 22.97 19.91 20.44	
Hand-held MIA8	HP30 HP40		$0.13 \quad 0.18 \quad 0.23$ 0.15 0.16 0.25 $0.11 \quad 0.14 \quad 0.18$			$0.12 \quad 0.17 \quad 0.21$ $0.13 \quad 0.15 \quad 0.21$ $0.10 \t 0.13 \t 0.17$		9.39 $11 \cdot 59$ 3.84	$8 \cdot 26$ $6 \cdot 11$	9.39 6.93 14.82 5.66

Table 6. RMSE of velocity estimation on different smartphones.

experiment than in the hand-held experiment. This means that Doppler is helpful for velocity calculation in a poor environment.

5. Conclusion

This paper comprehensively analyses the characterisation of smartphone Doppler measurement and its position-aiding performance. Based on the static and dynamic experiment on three smartphones, the following conclusions can be obtained:

Firstly, the availability and continuity of Doppler measurement are better than that of carrier phase; on this point, the DSC method is more effective than the CPSC method for the positioning of smartphones. Then we used the data rate minus Doppler which indicates the bias between the Doppler and other types of measurement. The results show that there is a deviation between the code rate and Doppler on the

Xiaomi MI8, which means that additional processing should be taken into consideration when applying the DSC algorithm on the Xiaomi MI8. On the contrary, this bias is not significant in the Huawei P30 and the Huawei P40, which means that the DSC algorithm can be utilised directly.

Secondly, we estimated and analysed the noise of the Doppler measurement and then evaluated the performance of velocity estimation in a static situation. For the noise of Doppler, it can be modelled by an exponential function with regard to C/N0, and the parameters of the function were then fitted. The experiment about the velocity estimation indicates that centimetre/second-level accuracy on velocity results can be provided by the Doppler from smartphones.

Thirdly, the positioning performance by the Doppler-aided algorithm was evaluated with the measurement collected in the car-borne scene and the hand-held scene by the three smartphones. The results show that a consistent improvement can be reached after applying the Doppler on smartphones. For the experiment in the car-borne scene, the percentage of improvement in positioning is up to $37 \cdot 69\%$, $37 \cdot 14\%$ and $26 \cdot 61\%$ in the east, north and up components, respectively. About the velocity estimation, the percentage of improvement is up to $45 \cdot 88\%$, $43 \cdot 18\%$ and $33 \cdot 28\%$ in the three directional components, respectively, for the Huawei P30. For the experiment in the hand-held scene, the effectiveness of the algorithm is not as significant compared to the car-borne scene case with a maximum improvement percentage of 29 \cdot 62%/39 \cdot 63%/29 \cdot 37% for positioning and 11 \cdot 59%/6 \cdot 93%/14 \cdot 82% for velocity estimation. It should be noted that, based on the analysis, when the environment of observation is poor, the application of Doppler has a more significant impact on positioning and velocity estimation.

In the future, Doppler from more brands of smartphones should be analysed in depth. In addition, there is a systematic deviation in velocity result of the Xiaomi MI8, and the reason for this problem should be discussed.

Competing interests.. The authors declare no conflict of interest.

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