# Fraïssé Limits by the Pound

Fraïssé sequences and their limits are universal constructions whose impact on functional analysis and Banach space theory is not yet well appreciated. There are very good expositions in which one can find the many subtleties and applications of Fraïssé constructions: an introduction to the basic algebraic theory dealing exclusively with countable structures is in Hodges' treatise [214, Chapter 7], but even Pestov [385, Section 6.5] can serve that purpose; Kubiś paper [308] develops a wide variety of examples in various areas, including universal algebra, continuum theory and general topology; Lupini's paper [342] has a more functional analysis orientation. Our rather pedestrian approach is aimed to the construction and study of two concrete examples: the p-Gurariy space  $G_p$ , a separable p-Banach space of almost universal disposition, and the *p*-Kadec space  $K_p$ , a separable *p*-Banach space of almost universal complemented disposition with a 1-FDD. In a sense, they are the same object in different categories:  $G_p$  is the Fraïssé limit in the category of finite-dimensional p-Banach spaces and isometric embeddings, and  $K_p$ is the Fraïssé limit in a related category whose morphisms are pairs of maps (a contractive embedding and a projection) between finite-dimensional p-Banach spaces whose 'separable' objects (those arising as inductive limits of sequences of finite-dimensional ones) are spaces with 1-FDD. Let us present a comparison table of their similarities and different structural properties, even if we are well aware that some entries might be unintelligible at this moment:

$K_p$
AUCD
Isometrically unique
Fraïssé limit of contractive pairs
Separating dual for all p
No
Never
1-FDD for all $p$
Only if $p = 1$
Complementably universal for
separable <i>p</i> -Banach with BAP

# 6.1 Fraïssé Classes and Fraïssé Sequences

A category C has the amalgamation property if each diagram of the form



and has the joint embedding property if, given two objects A, B, there is  $C \in \mathbb{C}$  such that both A and B have morphisms into C:



An object of **C** is initial if there is a unique morphism from it to any other object in **C**. Any category with an initial object *I* and the amalgamation property has the joint embedding property: just amalgamate the morphisms  $I \rightarrow A$ and  $I \rightarrow B$ . It is clear the categories  $p\mathbf{B}$  and **Q** have the joint embedding and amalgamation properties since direct sums and pushouts can be used to construct the required diagrams. Much more relevant for the purposes of this chapter is that the same is true, for each 0 , for the 'isometric' $subcategory of <math>p\mathbf{B}$  in which arrows are isometries and for the contractive subcategory  $p\mathbf{B}_1$ , as Lemma 2.5.2 says. The space 0 is initial in all these categories. **Proposition 6.1.1** Let **C** be a countable category (countable objects, countable arrows) having the amalgamation and joint embedding properties. Then there is a sequence of morphisms  $u_n: C_n \rightarrow C_{n+1}$  such that

- (a) if A is an object of **C** then there is n such that  $\text{Hom}(A, C_n) \neq \emptyset$ ;
- (b) if  $v: C_n \longrightarrow A$  is a morphism of **C** then there is m > n and a morphism  $w: A \longrightarrow U_m$  such that  $w \circ v$  is the bonding morphism  $U_n \longrightarrow U_m$ .

*Proof* Since there are only countable many morphisms in **C**, we can take a sequence  $(f_n, k_n)$  passing through all the pairs of the form (f, k), where f is a morphism of **C** and  $k \in \mathbb{N}$  is a 'control number', in such a way that each (f, k) appears infinitely many times. The sequence  $(u_n)$  is constructed by induction, starting with any morphism. If **C** has an initial object, choose any morphism whose domain is the initial object to start. Having defined  $u_{n-1}: U_{n-1} \longrightarrow U_n$ , we take a look at  $(f_n, k_n)$ , with  $f_n: A \longrightarrow B$  and control number  $k_n$ . If either  $k_n \ge n$  or the 'domain' of  $f_n$  (the object A) is not  $U_{k_n}$  just wait: set  $U_{n+1} = U_n$  and take  $u_n$  as the identity of  $U_n$ . Otherwise,  $k_n < n$  and the domain  $f_n$  is  $U_{k_n}$ . Thus we have two morphisms with domain  $A = U_{k_n}$ , namely the 'bonding morphism'  $\iota_{(k_n,n)}: U_{k_n} \longrightarrow U_{k_n+1} \longrightarrow \cdots \longrightarrow U_n$  and  $f_n$  itself. Since **C** has the amalgamation property, these fit into a commutative diagram



Then, setting  $U_{n+1} = C$  and  $u_n = f'_n$  completes the induction step. Let us check that the resulting sequence  $(u_n)_{n\geq 1}$  has the required properties. It is clear that (a) follows from (b) and the joint embedding property, so let us prove (b). Let  $f: U_n \longrightarrow A$  be a morphism. Take m > n such that  $(f_m, k_m) = (f, n)$ . Then the (m - 1)th morphism of the sequence  $(u_n)_{n\geq 1}$  arose from the amalgamation diagram



It follows that  $\iota'_{(n,m-1)} \circ f = \iota_{(n,m-1)} \circ \iota_{m-1} = \iota_{(n,m)}$  is the bonding morphism  $U_n \longrightarrow U_m$ .

A sequence of morphisms satisfying the conditions of the proposition is called a Fraïssé sequence. The diagram

$$C_1 \longrightarrow C_2 \longrightarrow \cdots \longrightarrow C_n \longrightarrow \cdots \longrightarrow C_m \longrightarrow \cdots$$

illustrates the relevant property of Fraïssé sequences.

## 6.2 Almost Universal Disposition

Fix  $p \in (0, 1]$  once and for all. All reasoning that follows is independent of the actual value of p, but it is required that p be the same everywhere. A p-Banach space X is said to be of *almost universal disposition* (AUD) if, given finite-dimensional p-normed spaces E, F and isometries  $u: E \longrightarrow X, v: E \longrightarrow F$ , for each  $\varepsilon > 0$ , there is an  $\varepsilon$ -isometry  $w: F \longrightarrow X$  such that u = wv. Diagramatically,



To be precise, one should speak of spaces of almost universal disposition for finite-dimensional p-Banach spaces, but let it stand. It is clear that assuming either that E is a subspace of X (and u is plain inclusion) or that E is a subspace of F (and v the inclusion) leads to equivalent formulations, a fact that will be used without further mention. The property of AUD was first considered for Banach spaces by Gurariy, who constructed the separable Banach space G that bears his name in 1966. Its general p-version is:

**Theorem 6.2.1** For each  $p \in (0, 1]$  there exists a unique, up to isometries, separable p-Banach space of almost universal disposition.

This space will be constructed, according to the general plan of the chapter, as the limit of a Fraïssé sequence of isometries between finite-dimensional spaces. It can also be constructed using the Device to obtain a 'countable and finite-dimensional' version of 2.13.1. Spaces of (almost) universal disposition will be encountered again in Section 7.3 and Note 7.5.4.

## From Rational *p*-Norms to Allowable Isometries

We define now a countable category admitting amalgamations and whose morphisms are a family of isometries that is 'dense' among all isometries. A point  $x \in \mathbb{K}^n$  is said to be rational if all its coordinates are rational. When  $\mathbb{K} = \mathbb{C}$ , this means that both the real and imaginary parts are rational numbers. A linear map  $f : \mathbb{K}^n \longrightarrow \mathbb{K}^m$  is said to be rational if it carries rational points into rational points. A rational *p*-norm on  $\mathbb{K}^n$  is one whose unit ball is the *p*-convex hull of a finite set of rational points. Thus, a rational *p*-norm is given by the formula

$$|x| = \inf\left\{\left(\sum_{i} |\lambda_{i}|^{p}\right)^{1/p} : x = \sum_{i} \lambda_{i} x_{i}\right\}$$

for some finite set  $x_1, \ldots, x_n$  of rational points. For each  $n \in \mathbb{N}$ , let  $\mathcal{N}_n$  be the set of all *p*-norms on  $\mathbb{K}^n$ , where  $\mathbb{K}^0$  is understood as 0, and set  $\mathcal{N} = \bigcup_{n\geq 0} \mathcal{N}_n$ . We recursively define a class of *p*-norms which, in the absence of an awe-inspiring name, we call 'allowed *p*-norms' (formally, a subset of  $\mathcal{N}$ ), as follows:

- (a) Each rational *p*-norm is allowed.
- (b) If  $f: \mathbb{K}^n \longrightarrow \mathbb{K}^m$  is rational and injective and  $|\cdot|$  is an allowed *p*-norm on  $\mathbb{K}^m$  then ||x|| = |f(x)| is an allowed *p*-norm on  $\mathbb{K}^n$ .
- (c) If  $f: \mathbb{K}^n \longrightarrow \mathbb{K}^m$  is rational and surjective and  $|\cdot|$  is an allowed *p*-norm on  $\mathbb{K}^n$  then  $||y|| = \inf |x|: y = f(x)$  is allowed on  $\mathbb{K}^m$ .
- (d) If  $|\cdot|_1$  and  $|\cdot|_2$  are allowed *p*-norms on  $\mathbb{K}^n$  and  $\mathbb{K}^m$ , respectively, then the *p*-sum  $||(x, y)|| = (|x|_1^p + |y|_2^p)^{1/p}$  is allowed on  $\mathbb{K}^{n+m}$ .
- (e) If  $|\cdot|_1$  and  $|\cdot|_2$  are allowed *p*-norms on  $\mathbb{K}^n$  and  $\mathbb{K}^m$ , respectively, then the direct product  $||(x, y)|| = \max(|x|_1, |y|_2)$  is an allowed *p*-norm on  $\mathbb{K}^{n+m}$ .
- (f) If  $|\cdot|_1$  and  $|\cdot|_2$  are allowed *p*-norms on  $\mathbb{K}^n$  and  $\mathbb{K}^m$ , respectively, and  $f: \mathbb{K}^n \longrightarrow \mathbb{K}^m$  is a rational map then the following *p*-norm is allowed on  $\mathbb{K}^m$  for every rational number  $\varepsilon > 0$ :

$$\|y\| = \inf\left\{ \left( |x|_1^p + (1+\varepsilon)^p |z|_2^p \right)^{1/p} : y = f(x) + z, x \in \mathbb{K}^n, g \in \mathbb{K}^m \right\}.$$

An allowed space is just the direct product of finitely many copies of the ground field furnished with an allowed *p*-norm. Finally, we declare an isometry  $u: E \longrightarrow F$  allowable if *E* and *F* are allowed *p*-normed spaces and *u* is rational. Conditions (a) to (f) enable us to perform the basic categorical constructions within the allowable category, as we will see along this chapter.

#### **Lemma 6.2.2** There is a Fraïssé sequence of allowable isometries.

*Proof* We need only check that the countable category of allowable isometries with initial object 0 admits amalgamations. The proof offers a good opportunity to review the pushout construction. Let  $f: E \longrightarrow F$  and  $g: E \longrightarrow G$  be allowable isometries. This means that E, F, G are  $\mathbb{K}^k, \mathbb{K}^n, \mathbb{K}^m$  equipped with allowed *p*-norms and with both *f* and *g* rational. Condition (d) implies

that  $F \oplus_p G$  is an allowed space and the map  $(f, -g) \colon E \longrightarrow F \oplus_p G$  is rational and injective. Let  $(e_i)_{1 \le i \le k}$  be the unit basis of *E* and let

$$(f_1, \ldots, f_k, f_{k+1}, \ldots, f_n)$$
 and  $(g_1, \ldots, g_k, g_{k+1}, \ldots, g_m)$ 

be rational bases of *F* and *G* with  $f_i = f(e_i)$  and  $g_i = g(e_i)$  for  $1 \le i \le k$ . Clearly,

$$(f_1 - g_1, \dots, f_k - g_k, f_1 + g_1, \dots, f_k + g_k f_{k+1}, \dots, f_n, g_{k+1}, \dots, g_m)$$

is a rational basis of  $F \oplus_p G = \mathbb{K}^{n+m}$  which we relabel as  $(v_1, \ldots, v_k, \ldots, v_{n+m})$ . We define a rational map  $h: F \oplus_p G \longrightarrow \mathbb{K}^{n+m-k}$  by  $h(\sum_{1 \le i \le n+m} c_i v_i) = (c_{k+1}, \ldots, c_{n+m})$ . Let H be  $\mathbb{K}^{n+m-k}$  equipped with the *p*-norm

$$||x|| = \inf \{ ||y|| \colon x = h(y), y \in F \oplus_p G \},\$$

which is allowed by (c). One has the commutative diagram



with  $\overline{f}$  and  $\overline{g}$  allowable since they are the inclusions of G and F into  $F \oplus_p G$  followed by h.

The isometric pushout diagram just constructed has the following additional property: for every pair of rational maps  $g': F \longrightarrow \mathbb{K}^r$  and  $f': G \longrightarrow \mathbb{K}^r$  such that g'f = f'g, there is a unique rational map  $h: H \longrightarrow \mathbb{K}^r$  such that  $h\overline{g} = h\overline{f}$ :



Thus, the allowable category has both amalgamations and pushouts.

## **Proof of Theorem 6.2.1: Existence**

Let us fix a Fraïssé sequence of allowable isometries

$$U_1 \longrightarrow U_2 \longrightarrow \cdots \longrightarrow U_n \longrightarrow U_{n+1} \longrightarrow \cdots$$
 (6.2)

and prove that the direct limit U of that sequence in p**B** is a space of AUD. We can identify each  $U_k$  with its image in U so that one can assume  $U = \overline{\bigcup_{k \ge 1} U_k}$ .

To understand why the Fraïssé character of the sequence (6.2) entails the AUD of its limit, pick an isometry  $E \longrightarrow F$  between finite-dimensional spaces and an isometry  $E \longrightarrow U$ . Assume first that we have been so lucky that  $v: E \longrightarrow F$  is allowable and  $E \longrightarrow U$  is the composition of an allowable isometry  $u: E \longrightarrow U_n$  and the inclusion  $U_n \longrightarrow U$ . Since (6.2) is Fraïssé, amalgamating u and v within the allowable category yields a commutative diagram



so that the required extension of u is even an isometry in this case. Before passing to the general case, let us perform a couple of mathematical asanas to gain some flexibility. The first one is just to relax the commutativity of Diagram 6.1:

**Lemma 6.2.3** Let *E* be a finite-dimensional subspace of a *p*-Banach space *X*, and let *F* be a finite-dimensional *p*-Banach space. Assume that for every  $\varepsilon > 0$ and every isometry  $v: E \longrightarrow F$ , there is an  $\varepsilon$ -isometry  $w: F \longrightarrow X$  such that  $||w(v(x)) - x|| \le \varepsilon ||x||$  for all  $x \in X$ . Then *X* is of almost universal disposition.

*Proof* This obviously follows from the fact that if  $\mathscr{H}$  is a basis of *E* then for every  $\varepsilon > 0$  there is  $\delta$  (depending on  $\varepsilon$  and  $\mathscr{H}$ ) such that if  $u: E \longrightarrow X$  is a linear map with  $||u(b)|| \le \delta$  for every  $b \in \mathscr{H}$  then  $||u|| \le \varepsilon$ .

The second one is to open the no-brainer chakra: allowable isometries are 'dense' among all isometries between finite-dimensional spaces.

**Lemma 6.2.4** Let  $u: E \longrightarrow F$  be an isometry where E is an allowed space and F is a finite-dimensional p-normed space. For each  $\varepsilon > 0$ , there is an allowable isometry  $u_0: E \longrightarrow F_0$  and a surjective  $\varepsilon$ -isometry  $g: F \longrightarrow F_0$ such that  $u_0 = g u$ .

*Proof* We may assume that  $\varepsilon$  is rational. Let  $(e_i)_{1 \le i \le n}$  be the unit basis of  $E = \mathbb{K}^n$  and pick  $(f_j)_{1 \le j \le m}$  such that  $\{u(e_1), \ldots, u(e_n), f_1, \ldots, f_m\}$  is a basis of F. Let  $g: F \longrightarrow \mathbb{K}^{n+m}$  be the isomorphism associated to that basis and take a rational *p*-norm  $|\cdot|_0$  on  $\mathbb{K}^{n+m}$  making g an  $\varepsilon$ -isometry such that  $(1 + \varepsilon)^{-1} ||y|| \le |g(y)|_0 \le (1 + \varepsilon) ||y||$ . Then  $u_0 = g u$  is a rational  $\varepsilon$ -isometry: in fact,  $u_0(x) = (x, 0)$ . We define a new *p*-norm on  $\mathbb{K}^{n+m}$  by the formula

$$|y| = \inf\left\{ \left( ||x||^p + (1+\varepsilon)^p |z|_0^p \right)^{1/p} \colon y = u_0(x) + z, x \in \mathbb{K}^n, z \in \mathbb{K}^{n+m} \right\}.$$

Note that the unit ball of  $|\cdot|$  is just the *p*-convex hull of the union of the unit ball of  $||\cdot||$  and the ball of radius  $(1 + \varepsilon)^{-1}$  of  $||\cdot||_0$ . This *p*-norm satisfies the

estimate  $(1 + \varepsilon)^{-1} |y|_0 \le |y| \le (1 + \varepsilon) |y|_0$  for  $y \in \mathbb{K}^{n+m}$ , has to be allowed on  $\mathbb{K}^{n+m}$ (by the last allowance rule) and makes  $u_0$  into an isometry, which is therefore allowable. Hence, if  $F_0$  is  $\mathbb{K}^{n+m}$  equipped with  $|\cdot|$ , we have  $(1 + \varepsilon)^{-2} ||y|| \le |g(y)| \le (1 + \varepsilon)^2 ||y||$  for  $y \in F$ .

We are now ready to handle the general case and show that U satisfies the hypothesis of Lemma 6.2.3. Let F be a finite-dimensional p-Banach space,  $v: E \longrightarrow F$  an isometry and E a subspace of U. Fix  $\varepsilon > 0$ . Since  $\bigcup_k U_k$  is dense in U, there is a contractive  $\varepsilon$ -isometry  $u_{\varepsilon}: E \longrightarrow U_n$ , for n sufficiently large, such that  $||u_{\varepsilon}(x) - x|| \le \varepsilon ||x||$  for all  $x \in E$ . Form the pushout in  $p\mathbf{B}$ ,

so that  $\overline{u}_{\varepsilon}$  is again a contractive  $\varepsilon$ -isometry, while  $\overline{v}$  is an isometry to which Lemma 6.2.4 can be applied to find an allowable isometry  $v_0: U_n \longrightarrow F_0$ together with a surjective  $\varepsilon$ -isometry  $g: PO \longrightarrow F_0$  such that  $v_0 = g\overline{v}$ . Finally, the Fraïssé character of (6.2) guarantees that for some m > n, there is an allowable  $w_0: F_0 \longrightarrow U_m$  such that  $w_0v_0$  is the inclusion of  $U_n$  into  $U_m$ . The full picture appears in the commutative diagram



By letting  $w = w_0 g \overline{u}_{\varepsilon}$ , we obtain a contractive  $3\varepsilon$ -isometry extending  $u_{\varepsilon}$  and so  $||w(x) - x|| \le \varepsilon ||x||$  for  $x \in E$ .

## **Proof of Theorem 6.2.1: Uniqueness**

Is it not almost obvious that any two separable *p*-Banach spaces of almost universal disposition are almost isometric? That is, that for each  $\varepsilon > 0$ , there is a surjective  $\varepsilon$ -isometry between them. Proposition 6.2.10 provides an explicit proof, just in case it is not clear. Much more surprising is that they are actually isometric, which we are going to prove now. Our approach to isometric properties of spaces of AUD depends one way or another on the following pair of lemmas: **Lemma 6.2.5** Fix  $\varepsilon \in (0, 1)$ . Let X and Y be p-normed spaces and let  $\iota: X \longrightarrow X \oplus Y$  and  $j: Y \longrightarrow X \oplus Y$  be the canonical inclusions. If  $f: X \longrightarrow Y$  is an  $\varepsilon$ -isometry then there is a p-norm on  $X \oplus Y$  for which  $\iota$  and  $\iota$  are isometries such that  $||_J f - \iota|| \le \varepsilon$ .

*Proof* The *p*-norm that does the trick is

$$\|(x,y)\| = \inf\left\{ \left( \|x_0\|_X^p + \|y_1\|_Y^p + \varepsilon^p \|x_2\|_X^p \right)^{1/p} : (x,y) = (x_0 + x_2, y_1 - f(x_2)) \right\}.$$

We must check that  $||(x, 0)|| = ||x||_X$  for all  $x \in X$ . The inequality  $||(x, 0)|| \le ||x||_X$  is obvious. For the converse, suppose  $x = x_0 + x_2$  and  $y_1 = f(x_2)$ . Then

$$\begin{aligned} \|x_0\|_X^p + \|y_1\|_Y^p + \varepsilon^p \|x_2\|_X^p &= \|x_0\|_X^p + \|f(x_2)\|_Y^p + \varepsilon^p \|x_2\|_X^p \\ &\geq \|x_0\|_X^p + (1-\varepsilon)^p \|x_2\|_X^p + \varepsilon^p \|x_2\|_X^p \\ &= \|x_0\|_X^p + \|(1-\varepsilon)x_2\|_X^p + \|\varepsilon x_2\|_X^p \\ &\geq \|x\|_X^p. \end{aligned}$$

Next we prove that  $||(0, y)|| = ||y||_Y$  for every  $y \in Y$ . That  $||(0, y)|| \le ||y||_Y$  is again obvious. To prove the reversed inequality, assume  $x_0 + x_2 = 0$  and  $y = y_1 - f(x_2)$ . As  $t \to t^p$  is subadditive on  $\mathbb{R}_+$  for  $p \in (0, 1]$ , we have

$$\begin{aligned} \|x_0\|_X^p + \|y_1\|_Y^p + \varepsilon^p \|x_2\|_X^p &= \|x_2\|_X^p + \|y_1\|_Y^p + \varepsilon^p \|x_2\|_X^p \\ &= \|y_1\|_Y^p + (1 + \varepsilon^p) \|x_2\|_X^p \\ &\geq \|y_1\|_Y^p + (1 + \varepsilon)^p \|x_2\|_X^p \\ &\geq \|y_1\|_Y^p + \|f(x_2)\|_Y^p \\ &\geq \|y\|_Y^p. \end{aligned}$$

Finally,  $||Jf - \iota|| = \sup_{||x|| \le 1} ||J(f(x)) - \iota(x)|| = \sup_{||x|| \le 1} ||(-x, f(x))|| \le \varepsilon.$ 

The indulgent reader will forgive us if, for the remainder of the chapter, we use the notation  $X \boxplus_f^{\varepsilon} Y$  for the space  $X \oplus Y$  endowed with the quasinorm defined in the preceding proof. This quasinorm depends on f and  $\varepsilon$  and also on p, but this should cause no confusion. A linear operator  $f: X \longrightarrow Y$  will be called a strict  $\varepsilon$ -isometry if  $(1 + \varepsilon)^{-1} ||x||_X < ||f(x)||_Y < (1 + \varepsilon)||x||_X$  for  $0 < \varepsilon < 1$  and every non-zero  $x \in X$ . When X is finite-dimensional, every strict  $\varepsilon$ -isometry is an  $\eta$ -isometry for some  $\eta < \varepsilon$ .

**Lemma 6.2.6** Let U be a p-Banach space of almost universal disposition. Let Y be a finite-dimensional p-Banach space, X a subspace of U and  $\varepsilon \in (0, 1)$ . If  $f: X \longrightarrow Y$  is a strict  $\varepsilon$ -isometry then for each  $\delta > 0$ , there exists a  $\delta$ -isometry  $g: Y \longrightarrow U$  such that  $||g(f(x)) - x|| < \varepsilon ||x||$  for every non-zero  $x \in X$ .

*Proof* Choose  $0 < \eta < \varepsilon$  for which *f* is an  $\eta$ -isometry. Reducing  $\delta$  if necessary, we may assume that  $\delta^p + (1 + \delta)^p \eta^p < \varepsilon^p$ . Form the space  $X \boxplus_f^{\eta} Y$  and let  $\iota: X \longrightarrow X \boxplus_f^{\eta} Y$  and  $j: Y \longrightarrow X \boxplus_f^{\eta} Y$  denote the canonical inclusions so that  $||jf - \iota|| \le \eta$ . If  $h: X \boxplus_f^{\eta} Y \longrightarrow U$  is a  $\delta$ -isometry such that  $||h(\iota(x)) - x|| \le \delta ||x||$  for  $x \in X$  then g = hj is a  $\delta$ -isometry from Y into U and

$$\begin{split} ||x - g(f(x))||^{p} &\leq ||x - h(\iota(x))||^{p} + ||h(\iota(x)) - h(j(f(x)))||^{p} \\ &\leq \delta^{p} ||x||^{p} + (1 + \delta)^{p} ||\iota(x) - j(f(x))||^{p} \\ &\leq (\delta^{p} + (1 + \delta)^{p} \eta^{p}) ||x||^{p} < \varepsilon^{p} ||x||^{p}. \end{split}$$

We need a technique to 'paste' operators defined on a chain of subspaces. Let *A* and *B* be *p*-Banach spaces and  $(A_n)$  a chain of subspaces whose union is dense in *A*. Let  $a_n: A_n \rightarrow B$  be a sequence of operators such that  $||a_{n+1}|_{A_n} - a_n|| \le \varepsilon_n$ , where  $\sum_n \varepsilon_n^p < \infty$ , with  $\sup_n ||a_n|| < \infty$ . For each  $x \in A_k$ , the Cauchy sequence  $(a_n(x))_{n\ge k}$  converges in *B* so there is a unique operator  $a: A \rightarrow B$  such that  $a(x) = \lim_{n\ge k} a_n(x)$  whenever *x* is in some  $A_k$ . This operator shall be referred to as the *pointwise limit* of the sequence  $(a_n)$ . The following remarkable result completes the proof of Theorem 6.2.1.

**Proposition 6.2.7** Fix  $\varepsilon \in (0, 1)$ . Let U, V be separable p-Banach spaces of almost universal disposition, and let X be a finite-dimensional subspace of U. If  $f: X \longrightarrow V$  is a strict  $\varepsilon$ -isometry then there exists a bijective isometry  $h: U \longrightarrow V$  such that  $||h(x) - f(x)|| \le \varepsilon ||x||$  for every  $x \in X$ . In particular, U and V are isometric.

*Proof* Fix  $0 < \varepsilon_0 < \varepsilon$  such that *f* is an  $\varepsilon_0$ -isometry. Let  $(\varepsilon_n)_{n\geq 1}$  be any decreasing sequence of positive numbers with  $\varepsilon_1 < \varepsilon_0$ . We inductively define sequences of linear operators  $(f_n), (g_n)$  and finite-dimensional subspaces  $(X_n)$ ,  $(Y_n)$  of *U* and *V*, respectively, such that the following conditions are satisfied for every  $n \ge 0$ :

- (0)  $X_0 = X$ ,  $Y_0 = f[X]$ , and  $f_0 = f$ ;
- (1)  $f_n: X_n \longrightarrow Y_n$  is an  $\varepsilon_n$ -isometry;
- (2)  $g_n: Y_n \longrightarrow X_{n+1}$  is an  $\varepsilon_{n+1}$ -isometry;
- (3)  $||g_n f_n(x) x|| < \varepsilon_n ||x||$  for every non-zero  $x \in X_n$ ;
- (4)  $||f_{n+1}g_n(y) y|| < \varepsilon_{n+1}||y||$  for every non-zero  $y \in Y_n$ ;
- (5)  $X_n \subset X_{n+1}, Y_n \subset Y_{n+1}, \bigcup_n X_n$  and  $\bigcup_n Y_n$  are dense in U and V, respectively.

We use (0) to start the inductive construction. Suppose that  $f_i$ ,  $X_i$ ,  $Y_i$ , for  $i \le n$ , and  $g_i$  for i < n, have been constructed. Applying Lemma 6.2.6 twice, we find  $g_n$ ,  $X_{n+1}$ ,  $f_{n+1}$  and  $Y_{n+1}$ . To guarantee that (5) holds, we may start by choosing sequences  $(x_n)$  and  $(y_n)$  dense in U and V, respectively, and then require first

that  $X_{n+1}$  contain both  $x_n$  and  $g_n[Y_n]$  and then that  $Y_{n+1}$  contain both  $y_n$  and  $f_{n+1}[X_{n+1}]$ . After that, fix  $n \ge 0$  and  $x \in X_n$  with ||x|| = 1. Using (4) and (1), we get  $||f_{n+1}g_nf_n(x) - f_n(x)|| < \varepsilon_{n+1}||f_n(x)|| \le \varepsilon_{n+1}(1 + \varepsilon_n)$ , while (3) and (2) yield  $||f_{n+1}g_nf_n(x) - f_{n+1}(x)|| \le ||f_{n+1}|| ||g_nf_n(x) - x|| < (1 + \varepsilon_{n+1})\varepsilon_n$  Combining,

$$\|f_n(x) - f_{n+1}(x)\|^p \le \|f_{n+1}g_nf_n(x) - f_n(x)\|^p + \|f_{n+1}g_nf_n(x) - f_{n+1}(x)\|^p \le \varepsilon_{n+1}^p (1 + \varepsilon_n)^p + (1 + \varepsilon_{n+1})^p \varepsilon_n^p.$$
(6.3)

If we agree that  $(\varepsilon_n)_{n\geq 1}$  was chosen so that

$$\sum_{n\geq 0} \left( \varepsilon_{n+1}^{p} (1+\varepsilon_{n})^{p} + (1+\varepsilon_{n+1})^{p} \varepsilon_{n}^{p} \right) < \varepsilon^{p}, \tag{6.4}$$

then  $(f_m(x))_{m\geq n}$  is a Cauchy sequence. We define  $h(x) = \lim_{m\geq n} f_m(x)$  for  $x \in \bigcup_n X_n$ . This *h* is an isometry since it is an  $\varepsilon_n$ -isometry for every *n*. Consequently, it extends to an isometry  $U \longrightarrow V$ , which we do not relabel. Furthermore, (6.3) and (6.4) imply  $||f(x) - h(x)||^p \le \sum_{n=0}^{\infty} ||f_n(x) - f_{n+1}(x)||^p \le \varepsilon^p ||x||^p$  for  $x \in X$ . It remains to see that *h* is a bijection. To this end, we check as before that  $(g_n(y))_{n\geq m}$  is a Cauchy sequence for every  $y \in Y_m$ . Once this is done, we obtain an isometry  $g: V \longrightarrow U$ . Conditions (3) and (4) inform us that  $gh = \mathbf{1}_U$  and  $hg = \mathbf{1}_V$ .

Let us denote (the isometric type of) this unique space  $G_p$  and call it the *p*-Gurariy space; when p = 1, we obtain the original Gurariy space, denoted G. Proposition 6.2.7 establishes that the spaces  $G_p$  are *almost isotropic*, in the sense that given  $x, y \in G_p$  with ||x|| = ||y|| = 1 and  $\varepsilon > 0$ , there is a bijective isometry *f* of  $G_p$  such that  $||y - f(x)|| \le \varepsilon$ . The next section uncovers some additional properties that  $G_p$  shares with all spaces of AUD.

#### Extension of Operators and Automorphisms

The second lesson we will learn in the forthcoming Section 7.1 is that extending operators to operators does not mean extending isomorphisms to isomorphisms. Even so, the first lesson is that extending isometries means extending operators. Thus, the AUD notion, which is more demanding than local injectivity or the forthcoming UFO (Definition 7.1.3), imposes severe restrictions on the extension of both operators and automorphisms.

**Proposition 6.2.8** Every p-Banach space U of AUD:

- (a) is locally  $1^+$ -injective; for p = 1, this means that it is a Lindenstrauss space,
- (b) contains an isometric copy of each separable p-Banach space.
- (c) Moreover, if  $p < q \le 1$  then  $\mathfrak{L}(U, Y) = 0$  for all q-Banach spaces Y; in particular, U has trivial dual.

*Proof* Part (a) is a dirty pushout trick. Assume  $\tau: E \longrightarrow U$  is contractive and that U is of almost universal disposition. Look at the diagram



and draw your own conclusions. Part (b) can be derived by iteratively applying Proposition 6.2.6: let X be a separable p-Banach space, and let  $(X_n)_{n\geq 1}$  be a chain of finite-dimensional subspaces whose union is dense in X. Then there is a sequence  $f_n: X_n \longrightarrow U$  in which  $f_n$  is a strict  $2^{-n}$ -isometry such that  $||f_{n+1}|_{X_n} - f_n|| < 2^{-n}$ . The pointwise limit of these operators is an isometry of X into U. To prove (c), we first prove that, given a normalised  $x \in G_p$  and  $\varepsilon > 0$ , there are  $x'_{\varepsilon}, x''_{\varepsilon} \in G_p$  such that  $x = x'_{\varepsilon} + x''_{\varepsilon}$  with  $||x''_{\varepsilon}||, ||x'''_{\varepsilon}|| \le (1 + \varepsilon)2^{-1/p}$ . Indeed, consider the isometry  $u: [x] \longrightarrow G_p$  given by plain inclusion and the isometry  $v: [x] \longrightarrow \ell_p^2$  given by  $v(x) = 2^{-1/p}(1, 1)$ . Let  $w: \ell_p^2 \longrightarrow G_p$  be any  $\varepsilon$ -isometry extending u, and set  $x'_{\varepsilon} = 2^{-1/p}w(1, 0)$  and  $x''_{\varepsilon} = 2^{-1/p}w(0, 1)$ . That done, the proof goes as in the  $L_p$  case in 1.1.5: if  $u: G_p \longrightarrow Y$  is an operator and ||x|| = 1, then taking  $\varepsilon > 0$  and  $x'_{\varepsilon}, x''_{\varepsilon} \in G_p$  as before, we have

$$||ux|| \le (||ux_{\varepsilon}'||^{q} + ||ux_{\varepsilon}''||^{q})^{1/q} \le (1+\varepsilon)2^{1-q/p}||u||.$$

Since x and  $\varepsilon$  are arbitrary,  $||u|| \le 2^{1-q/p} ||u||$ , which is only possible if u = 0.  $\Box$ 

**Lemma 6.2.9** Let A be a finite-dimensional subspace of a space U of AUD and let B be finite-dimensional. If  $g: A \longrightarrow B$  is an embedding then for each  $\varepsilon > 0$ , there is an embedding  $f: B \longrightarrow U$  such that f(g(a)) = a for every  $a \in A$ with  $||f|| \le (1 + \varepsilon)||g^{-1}||$  and  $||f^{-1}|| \le (1 + \varepsilon)||g||$ .

*Proof* We use an even dirtier trick than before. In less than no time, the reader will realise that one can assume  $||g^{-1}|| = 1$ . To ease notation, we will write  $h = g^{-1}$ . Let us take the pushout with the inclusion  $g[A] \longrightarrow B$  as follows:



It is clear that  $\iota$  is an isometry and  $||h^{-1}|| = ||g|| \ge 1$ . By Lemma 2.5.2,  $\overline{\iota}$  is an isometry and  $\overline{h}$  is an embedding with  $||\overline{h}|| \le 1$  and  $||(\overline{h})^{-1}|| \le ||h^{-1}|| = ||g||$ . Now let w: PO  $\longrightarrow U$  be an  $\varepsilon$ -isometry such that  $w\overline{\iota}(a) = a$  for all  $a \in A$ . Then  $f = w\overline{h}$  is an embedding which obviously satisfies fg(a) = a, for all  $a \in A$ , and  $||f|| \le (1 + \varepsilon)$ . Moreover,  $||f^{-1}|| \le ||(\overline{h})^{-1}||||w^{-1}|| \le ||g||(1 + \varepsilon)$ .

**Proposition 6.2.10** Let U and V be separable spaces of AUD. Let  $A \subset U$ and  $B \subset V$  be finite-dimensional subspaces. If  $\varphi_0 \colon A \longrightarrow B$  is an isomorphism then, for each  $\varepsilon > 0$ , there is an isomorphism  $\varphi \colon U \longrightarrow V$  extending  $\varphi_0$  and such that  $\|\varphi\| \le (1 + \varepsilon) \|\varphi_0\|$  and  $\|\varphi^{-1}\| \le (1 + \varepsilon) \|\varphi_0^{-1}\|$ .

*Proof* The result follows from Lemma 6.2.9 and a simple back-and-forth argument. Let (ε<sub>n</sub>)<sub>n≥0</sub> be a sequence of positive numbers such that  $\prod_n (1+ε_n) \le 1 + ε$ , and write  $U = \bigcup_n \overline{U_n}$ , where ( $U_n$ ) is an increasing sequence of finite-dimensional subspaces of *U* beginning with  $U_0 = A$ . Moreover, let ( $V_n$ ) be an increasing sequence of finite-dimensional subspaces of *V* such that  $V = \bigcup_n \overline{V_n}$ , with  $V_0 = B$ . Let  $φ_0: A \longrightarrow B$  be an isomorphism. By Lemma 6.2.9, let  $\psi_1: V_1 \longrightarrow U$  be an extension of  $φ_0^{-1}: φ_0[U_0] \longrightarrow U$ , with  $||ψ_1|| \le (1+ε_1)||φ_0^{-1}||$  and  $||ψ_1^{-1}|| \le (1+ε_1)||φ_0||$ . Then let  $φ_2: ψ_1[V_1] + U_2 \longrightarrow V$  be an extension of  $ψ_1^{-1}: ψ_1[V_1] \longrightarrow V$  such that  $||φ_2|| \le (1+ε_2)||ψ_1^{-1}||$  and  $||φ_2^{-1}|| \le (1+ε_2)||ψ_1||$  provided by Lemma 6.2.9. Continuing in this way, one obtains a pair of operators φ, ψ such that  $ψφ = \mathbf{1}_U, φψ = \mathbf{1}_V$ , with  $||φ|| \le (1+ε)||φ_0||$  and  $||ψ|| \le (1+ε)||φ_0^{-1}||$  and  $||ψ|| \le (1+ε)||φ_0^{-1}||$  and  $||ψ|| \le (1+ε)||φ_0^{-1}||$  and  $||ψ|| \le (1+ε)||φ_0||$ .

# 6.3 Almost Universal Complemented Disposition

The following notion is a kind of almost universal disposition focused only on 1-complemented subspaces; another possibility, considered in [116], is to additionally require that the projections be, in some sense, 'compatible'.

[ $\supset$ ] If *F* is a finite-dimensional *p*-normed space, *E* is a 1-complemented subspace of *F* and *u*: *E*  $\longrightarrow$  *X* is an isometry with 1-complemented range, then for every  $\varepsilon > 0$ , there is an  $\varepsilon$ -isometry *F*  $\longrightarrow$  *X* with  $(1 + \varepsilon)$ -complemented range extending *u*.

To properly frame it, we will consider the structure of embedding and projection as a whole.

### **Categories of Pairs**

We will find it convenient to use the notation  $u: E \xrightarrow{} F$  for pairs  $u = \langle u^{\flat}, u^{\sharp} \rangle$  consisting of operators  $u^{\flat}: E \longrightarrow F$  and  $u^{\sharp}: F \longrightarrow E$  such that  $u^{\sharp}u^{\flat} = \mathbf{1}_E$ . Thus,  $u^{\flat}$  is an embedding of E into F and  $u^{\sharp}$  is a projection along  $u^{\flat}$ . It is to be understood that the 'solid' arrow represents the embedding part  $u^{\flat}$  and the 'dotted' arrow is the projection part  $u^{\sharp}$ , so that the space E is the 'domain' of u and F is the 'codomain'. Our explanation for this musical

notation is that the reader should think of flat and sharp keys on a piano as modulations of the same note (in this case, the arrow). The composition of  $u: E \triangleleft F$  and  $v: F \triangleleft G$  is, as one would expect,  $v \circ u = \langle v^{\flat} u^{\flat}, u^{\sharp} v^{\sharp} \rangle$ . We measure the 'size' of a pair by taking  $||u|| = \max(||u^{\flat}||, ||u^{\sharp}||)$ . Note that  $||u|| \ge 1$  (unless E = 0) and that  $||u|| \le 1 + \varepsilon$  implies that  $u^{\flat}$  is an  $\varepsilon$ -isometry. If ||u|| = 1 (or u = 0), we say that u is contractive. Finally, we declare a contractive pair  $u: E \triangleleft F$  to be allowable if E and F are allowed p-normed spaces and both  $u^{\flat}$  and  $u^{\sharp}$  are rational maps. Clearly, the allowable pairs form a countable category.

**Definition 6.3.1** A *p*-normed space *X* is said to be of almost universal complemented disposition (AUCD) if, for all contractive pairs  $u: E \rightleftharpoons X$  and  $v: E \bumpeq F$  with *F* a finite-dimensional *p*-normed space, and every  $\varepsilon > 0$ , there exists a pair  $w: F \rightleftharpoons X$  such that  $u = w \circ v$  and  $||w|| \le 1 + \varepsilon$ .

The situation is illustrated by the following diagram in which both the solid arrows (embeddings) and the dotted arrows (projections) commute:



Hence, the AUCD property is formally stronger than [ $\Im$ ]. Note that, according to our definitions, the 'null pair'  $0 \iff F$  is contractive. Thus, spaces with trivial dual are excluded from Definition 6.3.1 and do not have property [ $\Im$ ].

## **Amalgamating Pairs**

We now establish that pairs have the amalgamation property.

**Lemma 6.3.2** Given pairs  $u: E \rightleftharpoons F$  and  $v: E \bumpeq G$  there are pairs  $\overline{u} = \langle \overline{u}^{\flat}, \overline{u}^{\sharp} \rangle$  and  $\overline{v} = \langle \overline{v}^{\flat}, \overline{v}^{\sharp} \rangle$  such that the following diagram commutes:



Moreover,

- *if u and v are contractive then so are*  $\overline{u}$  *and*  $\overline{v}$ *;*
- *if u is contractive and*  $||v^{\flat}|| \le 1$  *then*  $\overline{u}$  *is contractive and*  $||\overline{v}^{\sharp}|| \le ||v^{\sharp}||$ ;

• *if u and v are allowable pairs then*  $\overline{u}$  *and*  $\overline{v}$  *can be taken to be allowable.* 

*Proof* The proof is based on the isometric properties of the pushout construction presented in Section 2.5. We start with  $u^{\flat}$  and  $v^{\flat}$  so that H = PO is their pushout space and obtain the commutative diagram



The projection  $\overline{u}^{\sharp}$  is provided by the universal property of the pushout applied to the operators  $\mathbf{1}_{G}, v^{\flat} u^{\sharp}$ :



while  $\overline{v}^{\sharp}$  is obtained from  $\mathbf{1}_F$  and  $u^{\flat}v^{\sharp}$ . We have (see Lemma 2.5.2) that

- $\|\overline{u}^{\flat}\|, \|\overline{v}^{\flat}\| \leq 1,$
- $\|\overline{u}^{\sharp}\| \leq \|v^{\sharp}\| \|u^{\flat}\|,$
- $\|\overline{v}^{\sharp}\| \leq \|u^{\sharp}\| \|v^{\flat}\|,$
- $\overline{u}^{\sharp}\overline{u}^{\flat} = \mathbf{1}_{G}$ , that is,  $\overline{u} = \langle \overline{u}^{\flat}, \overline{u}^{\sharp} \rangle$  is a pair,
- $\overline{v}^{\sharp}\overline{u}^{\flat} = u^{\flat}v^{\sharp}$ ,
- $\overline{v}^{\sharp}\overline{v}^{\flat} = \mathbf{1}_{F}$ , that is,  $\overline{v} = \langle \overline{v}^{\flat}, \overline{v}^{\sharp} \rangle$  is a pair,
- $\overline{u}^{\sharp}\overline{v}^{\flat} = v^{\flat}u^{\sharp}.$

It only remains to check that the projections commute, that is,  $u^{\sharp}\overline{v}^{\sharp} = v^{\sharp}\overline{u}^{\sharp}$ . This follows from the uniqueness part of the universal property of the pushout construction: since  $u^{\sharp}u^{\flat} = v^{\sharp}v^{\flat}$  (they are the identity on *E*), there must be a unique operator  $\gamma$ : PO  $\longrightarrow E$  making the following diagram commute:



Since both  $u^{\sharp}\overline{v}^{\sharp}$  and  $v^{\sharp}\overline{u}^{\sharp}$  can be chosen for  $\gamma$ , they agree. This also proves the first two 'moreover' statements. To prove the third one, just use the allowable version of the pushout that appears in Lemma 6.2.2.

## **Correction and Approximation**

Before putting Fraïssé to work, let us state and prove three useful correction and approximation techniques that greatly simplify the manipulation of pairs. Before even that, we make the simple observation that every isomorphism  $f: X \longrightarrow Y$  can be understood as part of a pair  $\langle f, f^{-1} \rangle$ :  $X \xrightarrow{} Y$ .

**Lemma 6.3.3** Let *E* be a finite-dimensional subspace that is complemented by a projection *P* in a *p*-Banach space *X*, and let  $e_1, \ldots, e_k$  be a normalised basis of *E*. For every  $\varepsilon > 0$ , there is  $\delta > 0$ , depending on  $\varepsilon$ , ||P|| and the chosen basis, such that if  $x_i \in X$  satisfy  $||e_i - x_i|| < \delta$  for  $1 \le i \le k$  then the linear map  $f: X \longrightarrow X$  given by

$$f(x) = \begin{cases} x_i & \text{if } x = e_i \text{ for } 1 \le i \le k \\ x & \text{if } x \in \ker P \end{cases}$$

satisfies  $||f - \mathbf{1}_X|| < \varepsilon$ .

*Proof* Take K so large that  $(\sum_i |\lambda_i|^p)^{1/p} \le K ||\sum_i \lambda_i e_i||$ . Pick  $x \in X$  and write x = y + z with y = Px and then  $y = \sum_i \lambda_i e_i$ . Then, since  $z \in \ker P$ , one has

$$||fx - x|| = ||fy - y|| = \left\|\sum_{i} \lambda_{i}(x_{i} - e_{i})\right\| \le \delta\left(\sum_{i} |\lambda_{i}|^{p}\right)^{1/p} \le \delta K||y|| \le \delta K||P||||x||.$$

Hence  $\delta = \varepsilon/(K||P||)$  suffices.

In particular, f is an automorphism. The hypothesis that E is complemented is necessary: in a rigid space (where the only endomorphisms are the scalar multiples of the identity), such an f cannot exist.

**Lemma 6.3.4** If u: E = F is a pair with  $||u|| \le 1 + \varepsilon$  then there is a *p*-norm  $|\cdot|$  on *F* such that, for all  $f \in F$ , one has

$$(1+\varepsilon)^{-1}||f|| \le |f| \le (1+\varepsilon)||f||$$
(6.7)

and u becomes contractive when the original p-norm of F is replaced by  $|\cdot|$ .

*Proof* The hypotheses imply that  $u^{\flat}$  is an  $\varepsilon$ -isometry. The unit ball of the new *p*-norm of *F* has to be the *p*-convex hull of the set

$$u^{\flat}[B_E] \cup (1+\varepsilon)^{-1}B_F.$$

We thus define  $|f| = \inf \{ (||x||^p + (1 + \varepsilon)^p ||g||^p)^{1/p} : f = u^{\flat}(x) + g, x \in E, g \in F \}$ and check that everything works with this *p*-norm. First, taking x = 0 and g = f, we have  $|f| \le (1 + \varepsilon) ||f||$ . The other inequality of (6.7) is as follows: if  $f = u^{\flat}(x) + g$ , then  $|f| \ge (1 + \varepsilon)^{-1} ||f||$  since

$$||x||^{p} + (1+\varepsilon)^{p} ||g||^{p} = ||x||^{p} + (1+\varepsilon)^{p} ||f-u^{\flat}x||^{p} \ge \frac{||u^{\flat}x||^{p} + ||f-u^{\flat}x||^{p}}{(1+\varepsilon)^{p}} \ge \frac{||f||^{p}}{(1+\varepsilon)^{p}}.$$

Let us compute the 'new' quasinorms of  $u^{\flat}$  and  $u^{\sharp}$ . Given  $x \in E$ , one has  $|u^{\flat}x|^p \leq ||x||^p$ , so the quasinorm of  $u^{\flat}$  is at most 1. Actually, it is clear that  $|u^{\flat}x| = ||x||$  for all  $x \in E$ . Indeed, we have

$$\begin{split} |u^{b}x|^{p} &= \inf \left\{ ||y||^{p} + (1+\varepsilon)^{p} ||g||^{p} : u^{b}x = u^{b}(y) + g, y \in E, g \in F \right\} \\ &= \inf \left\{ ||y||^{p} + (1+\varepsilon)^{p} ||u^{b}(x-y)||^{p} : y \in E \right\} \\ &\geq \inf \left\{ ||y||^{p} + ||x-y||^{p} : y \in E \right\} \\ &= ||x||^{p}. \end{split}$$

Finally, we check that  $|u^{\sharp}| = \sup_{|f| \le 1} ||u^{\sharp}f|| = \sup_{|f| \le 1} ||u^{\sharp}f|| \le 1$ . If |f| < 1, we can write  $f = u^{\flat}(x) + g$ , with  $||x||^{p} + (1 + \varepsilon)^{p} ||g||^{p} < 1$ . Hence

$$\begin{aligned} \|u^{\sharp}f\| &= \|u^{\sharp}(u^{\flat}x+g)\| = \|x+u^{\sharp}g\| \\ &\leq \left(\|x\|^{p} + \|u^{\sharp}g\|^{p}\right)^{1/p} \leq \left(\|x\|^{p} + (1+\varepsilon)^{p}\|g\|^{p}\right)^{1/p} < 1. \end{aligned}$$

The following is a version of Lemma 6.2.4 for pairs.

**Lemma 6.3.5** Given a contractive pair  $u: E \triangleleft F$ , with allowed domain E, and  $\varepsilon > 0$ , there is an allowable pair  $u_0: E \triangleleft F_0$  and an  $\varepsilon$ -isometry  $g: F \longrightarrow F_0$  making the following diagram commute:



*Proof* Assume that  $\varepsilon$  is rational. Let  $(e_i)_{1 \le i \le n}$  be the unit basis of  $E = \mathbb{K}^n$ , and let  $(f_j)_{1 \le j \le m}$  be a basis of ker  $u^{\sharp}$ . Then  $\{u^{\flat}(e_1), \ldots, u^{\flat}(e_n), f_1, \ldots, f_m\}$  is a basis of *F*. Let  $g: F \longrightarrow \mathbb{K}^{n+m}$  be the induced isomorphism. Take a rational *p*-norm  $|\cdot|_0$  on  $\mathbb{K}^{n+m}$  such that  $(1 + \varepsilon)^{-1} ||y|| \le |g(y)|_0 \le (1 + \varepsilon) ||y||$  for every  $y \in F$ . Now consider the pair  $u_0 = \langle g, g^{-1} \rangle \circ u$ . Then  $u_0$  is rational (we have  $u_0^{\flat}(x) = (x, 0)$  and  $u_0^{\sharp}(x, y) = x$ ) and  $||u_0: E < \infty \in (\mathbb{K}^{n+m}, |\cdot|_0)|| \le 1 + \varepsilon$ . Finally, we define a new *p*-norm on  $\mathbb{K}^{n+m}$  by the formula

$$|y| = \inf\left\{ \left( ||x||^p + (1+\varepsilon)^p |z|_0^p \right)^{1/p} \colon y = u_0^{\flat}(x) + z, x \in \mathbb{K}^n, z \in \mathbb{K}^{n+m} \right\}.$$

This *p*-norm has to be allowed on  $\mathbb{K}^{n+m}$  (by the last condition of the list), satisfies the estimate  $(1 + \varepsilon)^{-1} |\cdot|_0 \le |\cdot| \le (1 + \varepsilon) |\cdot|_0$  and makes  $u_0$  into a contractive pair (see the proof of Lemma 6.3.4) which is therefore allowable. Hence, if  $F_0$  is  $\mathbb{K}^{n+m}$  equipped with  $|\cdot|$  then for every  $y \in F$ , we have

$$(1+\varepsilon)^{-2}||y|| \le |g(y)| \le (1+\varepsilon)^{2}||y||.$$

## A Space of Almost Universal Complemented Disposition

The allowable pairs form a countable category that admits amalgamations (Lemma 6.3.2) and has an initial object. It follows from Proposition 6.1.1 that there exists a Fraïssé sequence

$$U_1 \rightleftharpoons U_2 \rightleftharpoons \cdots \Huge{} e_m > U_n \Huge{} e_m > \cdots \Huge{} e_m > U_m \Huge{} e_m > \cdots$$

Define the *p*-Kadec space  $K_p$  to be the direct limit of the inductive system formed by the  $(u_n^b)$ :

$$U_1 \xrightarrow{u_1^b} U_2 \longrightarrow \cdots \longrightarrow U_n \xrightarrow{u_n^b} U_{n+1} \longrightarrow \cdots$$

**Theorem 6.3.6**  $K_p$  is a space of almost universal complemented disposition.

*Proof* We identify each  $U_n$  with its image in  $K_p$ . Let  $u: E \triangleleft K_p$  and  $v: E \triangleleft F$  be contractive pairs, where F is a finite-dimensional p-normed space, and let  $0 < \varepsilon < 1$ . We recommend that the reader work out the case in which both u and v are allowable pairs, using amalgamation and the properties of Fraïssé sequences. In the general case, we first push u into some  $U_n$  even if this spoils the isometric character of the embedding and the projection is no longer contractive. To this end, note that since the union of the subspaces  $U_n$  is dense in  $K_p$ , a straighforward application of Lemma 6.3.3 provides an integer n and an automorphism f of  $K_p$  such that  $f[u^b[E]] \subset U_n$  with  $||f - \mathbf{1}_{K_p}|| < \varepsilon$  and max  $(||f||, ||f^{-1}||) < 1 + \varepsilon$ . After dividing f by ||f|| and multiplying  $f^{-1}$  by ||f||, we may assume that ||f|| = 1 and  $||f^{-1}|| < (1 + \varepsilon)^2$ . Then  $\langle f, f^{-1} \rangle \circ u$  is a pair from E to  $K_p$  that 'factors' through the natural pair  $\iota_n: U_n \triangleleft K_p$  in the sense that  $\langle f, f^{-1} \rangle \circ u = \iota_n \circ u_\varepsilon$ , where  $u_\varepsilon: E \triangleleft W_n$  is defined as

$$u_{\varepsilon}^{\flat} = \iota_n^{\sharp} f u^{\flat}, \qquad u_{\varepsilon}^{\sharp} = u^{\sharp} f^{-1} \iota_n^{\flat}.$$

Indeed  $u_{\varepsilon}^{\sharp}$  is a projection along  $u_{\varepsilon}^{\flat}$  since  $u_{\varepsilon}^{\sharp}u_{\varepsilon}^{\flat} = u^{\sharp}f^{-1}\iota_{n}^{\flat}\iota_{n}^{\sharp}fu^{\flat} = \mathbf{1}_{E}$ . Now we work with this  $u_{\varepsilon}$  and return to u at the end of the proof. Let us amalgamate  $u_{\varepsilon}$  and v in the pushout diagram



Note that since  $||u_{\varepsilon}^{\flat}|| \leq 1$ , the lower pair  $\overline{v} = \langle \overline{v}^{\flat}, \overline{v}^{\sharp} \rangle$  is contractive. Then we apply Lemma 6.3.5 to  $\overline{v}$  to obtain an allowed space  $F_0$  together with an  $\varepsilon$ -isometry  $g: PO \longrightarrow F_0$  such that  $\overline{v}_0 = \langle g, g^{-1} \rangle \circ \overline{v}$  is an allowable pair. Finally, as the sequence  $(u_n)_{n\geq 1}$  is Fraïssé, there is m > n and an allowable pair  $w_0: F_0 \rightleftharpoons U_m$  such that  $w_0 \circ \overline{v}_0$  is the bonding pair  $U_n \rightleftharpoons U_m$ , so we have the following commutative diagram of pairs:



In particular, we have  $w_0 \circ \langle g, g^{-1} \rangle \circ \overline{u} \circ v = u_{\varepsilon} = \langle f, f^{-1} \rangle \circ u$ , and letting  $w = \langle f^{-1}, f \rangle \circ w_0 \circ \langle g, g^{-1} \rangle \circ \overline{u}_{\varepsilon}$ , we are done, since  $w \circ v = u$  and

$$||w|| \le ||\langle f^{-1}, f\rangle|| \, ||w_0|| \, ||\langle g, g^{-1}\rangle|| \, ||\overline{u}_*|| \le (1+\varepsilon)^3 < 1+7\varepsilon.$$

## **Further Properties of K**<sub>p</sub>

Next we study isometric properties of  $K_p$ : universality and uniqueness. There is a key fact that allows us to recover 'approximate pairs' (pairs of operators  $f^{\dagger}: X \longrightarrow Y$  and  $f^{\ddagger}: Y \longrightarrow X$  whose composition is close to the identity of X) as a composition of the arrows of two pairs with a common, ad hoc codomain.

**Lemma 6.3.7** Let  $f^{\dagger}: X \longrightarrow U$  and  $f^{\ddagger}: U \longrightarrow X$  be contractive operators such that  $||f^{\ddagger}f^{\dagger} - \mathbf{1}_{X}|| \leq \varepsilon$ . There are contractive pairs  $\alpha: X \Longrightarrow X \boxplus_{f^{\dagger}}^{\varepsilon} U$ and  $\beta: U \Longrightarrow X \boxplus_{f^{\dagger}}^{\varepsilon} U$  such that  $f^{\dagger} = \beta^{\sharp} \alpha^{\flat}, f^{\ddagger} = \alpha^{\sharp} \beta^{\flat}$  and  $||\alpha^{\flat} - \beta^{\flat} f^{\dagger}|| \leq \varepsilon$ .

The relevant diagram is



*Proof* We know from the proof of Lemma 6.2.5 that ||(x, 0)|| = ||x|| and ||(0, y)|| = ||y|| for every  $x \in X$  and every  $y \in U$ . Thus, letting  $\alpha^{\flat}(x) = (x, 0)$  and  $\beta^{\flat}(y) = (0, y)$ , we see that  $||\alpha^{\flat} - \beta^{\flat} f^{\dagger}|| \le \varepsilon$ . As for the projections, we are forced to define  $\alpha^{\sharp}(x, y) = x + f^{\ddagger}(y)$  and  $\beta^{\sharp}(x, y) = y + f^{\dagger}(x)$ . It is then clear that

$$\alpha^{\sharp}\alpha^{\flat} = \mathbf{1}_X, \quad \beta^{\sharp}\beta^{\flat} = \mathbf{1}_U, \quad f^{\dagger} = \beta^{\sharp}\alpha^{\flat}, \quad f^{\ddagger} = \alpha^{\sharp}\beta^{\flat}$$

To see that  $\alpha^{\sharp}$  and  $\beta^{\sharp}$  are contractive, pick  $(x, y) \in X \bigoplus_{f^{\dagger}}^{\varepsilon} U$  and assume

$$(x, y) = (x_0 + x_2, y_1 - f^{\dagger} x_2) = (x_0, 0) + (0, y_1) + (x_2, -f^{\dagger} (x_2)).$$

We then have

$$\begin{aligned} \|\alpha^{\sharp}(x,y)\| &= \|x_0 + x_2 + f^{\ddagger}(y_1) - f^{\ddagger}f^{\dagger}(x_2)\| \le (\|x_0\|^p + \|y_1\|^p + \varepsilon^p \|x_2\|^p)^{1/p}, \\ \|\beta^{\sharp}(x,y)\| &= \|f^{\dagger}(x_0) + y_1\| \le (\|x_0\|^p + \|y_1\|^p)^{1/p} \le (\|x_0\|^p + \|y_1\|^p + \varepsilon^p \|x_2\|^p)^{1/p} \end{aligned}$$

and, since ||(x, y)|| is the infimum of the numbers that might appear in the righthand side, we have  $||\alpha^{\sharp}||, ||\beta^{\sharp}|| \le 1$ .

## Universality

A *skeleton* in a quasi-Banach space X is an increasing chain  $(X_n)_{n\geq 1}$  of finitedimensional subspaces of X whose union is dense in X and such that each  $X_n$ is 1-complemented in  $X_{n+1}$ . Those inclusions and projections can be arranged into a sequence of contractive pairs  $X_1 \xrightarrow{} X_2 \xrightarrow{} X_2 \xrightarrow{} \cdots$  A quasi-Banach space has a skeleton if and only if it is the direct limit of a sequence of contractive pairs, and 'skeleton' is just a transcription of 1-FDD: if  $(Y_n)_{n\geq 1}$  is a 1-FDD of X then defining  $X_n = Y_1 + \cdots + Y_n$ , we obtain a skeleton; conversely, if  $(X_n)_{n\geq 1}$  is a skeleton then fixing contractive projections  $\pi_n \colon X_{n+1} \longrightarrow X_n$  and letting  $Y_1 = X_1$  and  $Y_{n+1} = \ker \pi_n$ , we get a 1-FDD.

**Proposition 6.3.8** Every p-Banach space with a skeleton is isometric to a 1-complemented subspace of  $K_p$ .

*Proof* Let  $(X_n)_{n\geq 1}$  be a skeleton of X. For each integer  $n \geq 1$ , we denote the 'bonding' pair  $X_n \triangleleft X_{n+1}$  by  $\xi_n$ , that is,  $\xi_n^{\flat}$  is the inclusion of  $X_n$  into

 $X_{n+1}$  and  $\xi_n^{\sharp} \colon X_{n+1} \longrightarrow X_n$  is a fixed contractive projection. Considering the spaces  $U_n$  as subspaces of  $\mathsf{K}_p$ , we shall construct an increasing sequence of integers  $(k(n))_{n\geq 0}$  and a system of contractive operators  $f_n^{\dagger} \colon X_n \longrightarrow U_{k(n)}$  and  $f_n^{\ddagger} \colon U_{k(n)} \longrightarrow X_n$  such that

(1)  $||f_n^{\ddagger} f_n^{\dagger} - \mathbf{1}_{X_n}|| < 2^{-n},$ (2)  $||f_{n+1}^{\dagger}|_{X_n} - f_n^{\dagger}|| < 2^{-n},$ (3)  $||f_{n+1}^{\ddagger}|_{U_{k(n)}} - f_n^{\ddagger}|| < 2^{-n}.$ 

Since  $\sum_n 2^{-np} < \infty$ , the pointwise limits of the sequences  $(f_n^{\dagger})$  and  $(f_n^{\ddagger})$  provide a contractive pair  $X \iff K_p$ , which will complete the proof. The required sequence is constructed by induction. We can assume  $X_1 = 0$  so that  $f_1^{\dagger} = 0$  and  $f_1^{\ddagger} = 0$ . Now suppose that  $f_n^{\dagger} : X_n \longrightarrow U_{k(n)}$  and  $f_n^{\ddagger} : U_{k(n)} \longrightarrow X_n$  have already been constructed and let us see how to get k(n+1) and the maps  $f_{n+1}^{\dagger} : X_{n+1} \longrightarrow$  $U_{k(n+1)}$  and  $f_{n+1}^{\ddagger} : U_{k(n+1)} \longrightarrow X_{n+1}$ . We suggest that the reader fetch a pencil and some paper for a bit of scribbling.



Set  $\varepsilon = \|f_n^{\ddagger} f_n^{\dagger} - \mathbf{1}_{X_n}\| < 2^{-n}$  and reserve a small  $\delta > 0$  of room. The precise value of  $\delta$  will be specified later.

• First, we apply Lemma 6.3.7 to  $f_n^{\dagger}$  and  $f_n^{\ddagger}$ . By doing so, we obtain the space *W* and the left triangle in the preceding diagram. Note that  $\alpha = \langle \alpha^{\flat}, \alpha^{\sharp} \rangle$  and  $\beta = \langle \beta^{\flat}, \beta^{\sharp} \rangle$  are contractive pairs such that

$$||\beta^{\flat} f_n^{\dagger} - \alpha^{\flat}|| \le \varepsilon, \qquad f_n^{\dagger} = \beta^{\sharp} \alpha^{\flat}, \qquad f_n^{\ddagger} = \alpha^{\sharp} \beta^{\flat}.$$

• Then, we amalgamate  $\xi_n$  and  $\alpha$  using Lemma 6.3.2, which yields the upper commutative trapezoid.

• Next, we apply Lemma 6.3.5 to the composition  $\overline{\xi}_n \circ \beta$  (which is a contractive pair), thus obtaining a surjective  $\delta$ -isometry  $g: PO \longrightarrow A$  in such a way that the composition  $\langle g, g^{-1} \rangle \circ \overline{\xi}_n \circ \beta$  turns out to be an allowable pair.

• Since the sequence of pairs  $(u_n)$  is Fraïssé, there must be some k(n + 1) > k(n) and an allowable pair  $u: A \rightleftharpoons U_{k(n+1)}$  such that  $u \circ \langle g, g^{-1} \rangle \circ \overline{\xi}_n \circ \beta$  is the bonding pair  $U_{k(n)} \rightleftharpoons U_{k(n+1)}$ .

• Now, look at the pair  $f = u \circ \langle g, g^{-1} \rangle \circ \overline{\alpha}$ . Note that f need not be contractive, as we only have the bound  $||f|| \le ||\langle g, g^{-1} \rangle|| \le 1 + \delta$ .

One has:

- (4)  $f^{\sharp}f^{\flat} = \mathbf{1}_{X_{n+1}},$
- (5)  $||f^{\flat}|_{X_n} f_n^{\dagger}|| \leq (1+\delta)\varepsilon,$
- (6)  $f^{\sharp}|_{U_{k(n)}} = \xi_n^{\flat} f_n^{\ddagger}.$

The first identity is trivial. As for (5), note that  $f^{b}|_{X_{n}} = u^{b} g \overline{\xi}_{n}^{b} \alpha^{b}$ , hence

$$\|f^{\flat}|_{X_{n}} - f_{n}^{\dagger}\| = \|u^{\flat} g \overline{\xi}_{n}^{\flat} \alpha^{\flat} - \underbrace{u^{\flat} g \overline{\xi}_{n}^{\flat} \beta^{\flat}}_{\text{inclusion}} f_{n}^{\dagger}\| \le \|g\| \|\beta^{\flat} f_{n}^{\dagger} - \alpha^{\flat}\| \le (1+\delta)\varepsilon.$$

To check (6), observe that the inclusion of  $U_{k(n)}$  into  $U_{k(n+1)}$  can be written as  $u^{\flat} g \overline{\xi}_{n}^{\flat} \beta^{\flat}$ . Besides,  $f^{\sharp} = \overline{\alpha}^{\sharp} g^{-1} u^{\sharp}$ , so, recalling that  $\overline{\alpha}^{\sharp} \overline{\xi}_{n}^{\flat} = \xi^{\flat} \alpha^{\sharp}$ , we have

$$f^{\sharp}|_{U_{k(n)}} = \overline{\alpha}^{\sharp} g^{-1} u^{\sharp} u^{\flat} g \overline{\xi}^{\flat}_{n} \beta^{\flat} = \overline{\alpha}^{\sharp} \overline{\xi}^{\flat}_{n} \beta^{\flat} = \xi^{\flat}_{n} \alpha^{\sharp} \beta^{\flat} = \xi^{\flat}_{n} f^{\ddagger}_{n}.$$

As a final touch to render the maps contractive, set  $f_{n+1}^{\dagger} = \frac{f^{\flat}}{1+\delta}$  and  $f_{n+1}^{\ddagger} = \frac{f^{\sharp}}{1+\delta}$ . Then  $f_{n+1}^{\ddagger}f_{n+1}^{\dagger} = (1+\delta)^{-2}\mathbf{1}_{X_{n+1}}$ . Hence, using (4) for small  $\delta$ , we get

$$\|f_{n+1}^{\ddagger}f_{n+1}^{\dagger} - \mathbf{1}_{X_{n+1}}\| \le 1 - \frac{1}{(1+\delta)^2} < \frac{1}{2^{n+1}}$$

And also for  $\delta$  sufficiently small, we get from (5) and (6) that

$$\begin{split} \|f_{n+1}^{\dagger}|_{X_{n}} - f_{n}^{\dagger}\|^{p} &\leq \|f_{n+1}^{\dagger} - f^{\flat}\|^{p} + \|f^{\flat}|_{X_{n}} - f_{n}^{\dagger}\|^{p} \leq \delta^{p} + (1+\delta)^{p}\varepsilon^{p} < 2^{-pn}, \\ \|f_{n+1}^{\ddagger} - \xi_{n}^{\flat}f_{n}^{\ddagger}\| &= \|f_{n+1}^{\ddagger} - f^{\sharp}\| \leq \delta < 2^{-n}. \end{split}$$

To deduce now that  $K_p$  is complementably universal for the spaces with the BAP, we need only firmly grab the trolley of Proposition 6.3.8 and push it resolutely towards Lemma 2.2.20's Platform 9 & 3/4: that we can freely assume that the space *Y* has a 1-FDD, and actually a skeleton, instead of a mere BAP. Do it without hesitation:

**Corollary 6.3.9** *Every separable p-Banach space with the BAP is isomorphic to a complemented subspace of*  $K_p$ .

It is difficult to imagine a space peskier than  $K_p$ . Indeed, the following spaces are all isomorphic to  $K_p$ :

- Products  $K_p \times X$ , when X is a separable p-Banach space with the BAP.
- Spaces of K<sub>p</sub>-valued sequences X(K<sub>p</sub>), when X is a p-Banach sequence space in particular, this includes ℓ<sub>q</sub>(K<sub>p</sub>) for p ≤ q < ∞ and c<sub>0</sub>(K<sub>p</sub>).
- The *p*-convex envelope of K<sub>q</sub> for 0 < q < p (see Corollary 6.3.12) and the space C(Δ, K<sub>p</sub>).

In contrast, if  $0 , the space <math>K_p \oplus_p L_p$  is of almost universal complemented disposition and not isomorphic to  $K_p$ . This assertion will later on be complemented by Propositions 6.3.13 and 10.7.2.

#### Uniqueness

We now address the uniqueness of  $K_p$ . The peak result here is Proposition 6.3.11, the 1-complemented companion of Proposition 6.2.7. We are pleased to make the reader aware that the skeleton assumption is quite natural: it corresponds, in the category of contractive pairs, to standard separability in p**B**. The route to the proof is now based on a stability result that is interesting in its own right:

**Proposition 6.3.10** Let *E* be a finite-dimensional *p*-Banach and  $\varepsilon > 0$ . Let *X* be a *p*-Banach space with a skeleton and that satisfies [ $\Box$ ]. If  $f^{\dagger}: E \longrightarrow X$  and  $f^{\ddagger}: X \longrightarrow E$  are contractive operators such that  $||f^{\ddagger}f^{\dagger} - \mathbf{1}_{E}|| < \varepsilon$  then there is an isometry  $f^{\flat}: E \longrightarrow X$  with 1-complemented range and such that  $||f^{\dagger} - f^{\flat}|| < \varepsilon$ .

*Proof* We fix a skeleton  $(X_n)$  of X, and we denote the corresponding pairs of operators by  $\xi_n: X_n \xrightarrow{} X$  and  $\xi_{(n,k)}: X_n \xrightarrow{} X_k$ . We also fix a sequence  $(\varepsilon_n)_{n\geq 0}$  of positive numbers with  $\varepsilon_1 < \varepsilon$  such that  $||f^{\ddagger}f^{\dagger} - \mathbf{1}_E|| < \varepsilon_1$  and  $\sum_{n\geq 0} \varepsilon_n^p < \varepsilon^p$ . Note that we must first choose  $\varepsilon_1$  and then the other  $\varepsilon_n$ . Using a small perturbation of the identity of X, we can obtain n(0) and contractive operators  $f_0^{\dagger}: E \longrightarrow X_{n(0)}$  and  $f_0^{\ddagger}: X_{n(0)} \longrightarrow E$  such that

$$||f^{\dagger} - f_0^{\dagger}|| < \varepsilon_0$$
 and  $||f_0^{\dagger}f_0^{\dagger} - \mathbf{1}_E|| \le \varepsilon_1$ .

Applying Lemma 6.3.7 to  $f_0^{\dagger}$ ,  $f_0^{\ddagger}$  and  $\varepsilon_1$ , we obtain the diagram



in which  $\alpha$  and  $\beta$  are contractive pairs and

$$f_0^{\dagger} = \beta^{\sharp} \alpha^{\flat}, \quad f_0^{\ddagger} = \alpha^{\sharp} \beta^{\flat}, \quad ||\alpha^{\flat} - \beta^{\flat} f_0^{\ddagger}|| \le \varepsilon_1.$$

Since *X* has property [ $\Im$ ], after normalising a suitable almost-isometry  $W \longrightarrow X$  and the corresponding projection, we obtain n(1) > n(0) and contractive operators  $\gamma^{\dagger} : W \longrightarrow X_{n(1)}$  and  $\gamma^{\ddagger} : X_{n(1)} \longrightarrow W$  satisfying

$$\|\gamma^{\dagger}\gamma^{\dagger}-\mathbf{1}_{W}\|<\varepsilon_{2}$$
 and  $\|\gamma^{\dagger}\beta^{\flat}-\xi^{\flat}_{(n(0),n(1))}\|<\varepsilon_{2}.$ 

Letting  $f_1^{\dagger} = \gamma^{\dagger} \alpha^{\flat}$  and  $f_1^{\ddagger} = \alpha^{\sharp} \gamma^{\ddagger}$ , we have  $||f_1^{\ddagger} f_1^{\dagger} - \mathbf{1}_E|| < \varepsilon_2$  and  $||f_1^{\dagger} - f_0^{\dagger}||^p = ||\gamma^{\dagger} \alpha^{\flat} - \gamma^{\dagger} \beta^{\flat} f_0^{\dagger} + \gamma^{\dagger} \beta^{\flat} f_0^{\dagger} - f_0^{\dagger}||^p$   $\leq ||\alpha^{\flat} - \beta^{\flat} f_0^{\dagger}||^p + ||\gamma^{\dagger} \beta - \xi^{\flat}_{(n(0),n(1))}||^p$  $< \varepsilon_1^p + \varepsilon_2^p.$ 

Continuing in this way, we obtain an increasing sequence  $(n(k))_{k\geq 0}$  and contractive operators  $f_k^{\dagger}: E \longrightarrow X_{n(k)}$  and  $f_k^{\ddagger}: X_{n(k)} \longrightarrow E$  satisfying

The second estimate implies that  $(f_k^{\dagger})_k$  is a Cauchy sequence in  $\mathfrak{L}(E, X)$  since

$$\|f_{k+m}^{\dagger} - f_{k}^{\dagger}\| \le \left(\sum_{i=0}^{m-1} \|f_{k+i+1}^{\dagger} - f_{k+i}^{\dagger}\|^{p}\right)^{1/p} \le \left(\sum_{i=0}^{m-1} \varepsilon_{k+i+2}^{p} + \varepsilon_{k+i+1}^{p}\right)^{1/p}$$

The first estimate then implies that the double sequence  $(f_k^{\ddagger} f_n^{\dagger})_{k,n}$  converges to the identity of E in the sense that for every  $\delta > 0$  there is m such that  $\|f_k^{\ddagger} f_n^{\dagger} - \mathbf{1}_E\| < \delta$  whenever  $k, n \ge m$ . Define  $f^{\flat} \colon E \longrightarrow X$  as the pointwise limit of the sequence  $(f_k^{\dagger})_k$ . To obtain a suitable projection along  $f^{\flat}$ , we can use the local compactness of E: pick a non-trivial ultrafilter  $\mathcal{U}$  on  $\mathbb{N}$  and set  $f^{\ddagger}(x) = \lim_{\mathfrak{U}(n)} f_n^{\ddagger}(x)$  for  $x \in \bigcup_k X_k$ , and extend by continuity to all of X. It is clear that  $f^{\flat}$  and  $f^{\ddagger}$  are contractive. Finally, given  $y \in E$ , we have

$$f^{\sharp}f^{\flat}y = \lim_{\mathcal{U}(n)} f_n^{\ddagger} \left( f^{\flat}y \right) = \lim_{\mathcal{U}(n)} f_n^{\ddagger} \left( \lim_k f_k^{\dagger}y \right) = \lim_{\mathcal{U}(n)} \left( \lim_k f_n^{\ddagger}f_k^{\dagger}y \right) = \lim_{k,n} f_n^{\ddagger}f_k^{\dagger}y = y.$$

This shows that  $f^{\flat}$  is an isometry whose range is 1-complemented in *X*.  $\Box$ 

**Proposition 6.3.11** Let X, Y be p-Banach spaces with skeletons and that satisfy [ $\square$ ], let A be a 1-complemented subspace of X and let B be a 1complemented subspace of Y. If  $f_0: A \longrightarrow B$  is a surjective isometry then for every  $\varepsilon > 0$ , there is a surjective isometry  $f: X \longrightarrow Y$  such that  $||f|_A - f_0|| < \varepsilon$ .

*Proof* The proof is a typical back-and-forth argument, oiled by Proposition 6.3.10. Fix a sequence of positive real numbers  $(\varepsilon_n)_{n\geq 0}$  such that  $\sum_{n\geq 0} \varepsilon_n^p < \varepsilon^p$ . Let  $(X_n)$  and  $(Y_n)$  be chains of finite-dimensional 1-complemented subspaces of X and Y, respectively, with dense union. Set  $A_1 = A + X_1$ . Then  $f_0^{-1}$  embeds isometrically B into  $A_1$ , as a 1-complemented subspace. Since Y has property [ $\Im$ ], for each  $\delta > 0$ , there is a  $\delta$ -isometry  $f_{1/2}: A_1 \longrightarrow Y$  whose range is  $(1 + \delta)$ complemented, extending the inclusion of B. Apply Proposition 6.3.10 to  $f_{1/2}$ with  $\delta$  small enough to obtain an isometry  $f_1: A_1 \longrightarrow Y$  with 1-complemented range such that  $||f_1(f_0^{-1}(y)) - y|| < \varepsilon_1 ||y||$  for all non-zero  $y \in B$ . Set  $B_1 =$  $f_1[A_1] + B + Y_1$  and apply the same argument to obtain an isometry  $g_1: B_1 \longrightarrow$ X with 1-complemented range with  $||g_1(f_1(x)) - x|| < \varepsilon_1 ||x||$  for all non-zero  $x \in A_1$ . Now set  $A_2 = g_1[B_1] + A_1 + X_2$  and let  $f_2: A_2 \longrightarrow Y$  be an isometry with 1-complemented range such that  $||f_2(g_1(y)) - y|| < \varepsilon_2 ||y||$  for all non-zero  $y \in B_1$ , and so on. Continuing in this way, we obtain increasing sequences  $(A_n)_{n\geq 0}$  and  $(B_n)_{n\geq 0}$  of finite-dimensional 1-complemented subspaces of X and Y, respectively, with dense union, where  $A_0 = A$  and  $B_0 = B$  together with isometries  $f_n: A_n \longrightarrow B_n$  and  $g_n: B_n \longrightarrow A_{n+1}$  satisfying

(1)  $||g_n(f_n(x)) - x|| < \varepsilon_n ||x||$  for all non-zero  $x \in A_n$ ,

(2)  $||f_{n+1}(g_n(y)) - y|| < \varepsilon_n ||y||$  for all non-zero  $y \in B_n$ ,

where  $g_0 = f_0^{-1}$ . The situation is illustrated in the following ('almost commutative') diagram



We define an operator  $f: X \longrightarrow Y$  as follows: if  $x \in A_k$ , set  $f(x) = \lim_{n \ge k} f_n(x)$ . The definition makes sense because  $(f_n(x))_{n \ge k}$  is a Cauchy sequence. Indeed, for  $x \in A_n$ , we have

$$\begin{split} \|f_{n+1}(x) - f_n(x)\|^p &\leq \|f_{n+1}(x) - f_{n+1}(g_n(f_n(x)))\|^p + \|f_{n+1}(g_n(f_n(x))) - f_n(x)\|^p \\ &\leq \|f_{n+1}\|^p \|x - g_n(f_n(x))\|^p + \varepsilon_n^p \|f_n(x)\|^p \\ &\leq 2\varepsilon_n^p \|x\|^p. \end{split}$$

Since  $\sum_{n\geq 0} \varepsilon_n^p$  is finite, we see that *f* is well defined on  $\bigcup_n A_n$ , and so it extends to a contractive operator on *X* which we also call *f*. Besides, for *x* normalised in  $A = A_0$ , we have

$$\|f(x) - f_0(x)\| \le \left(\sum_{n \ge 0} \|f_{n+1}(x) - f_n(x)\|^p\right)^{1/p} \le \left(\sum_{n \ge 0} 2\varepsilon_n^p\right)^{1/p} \le 2^{1/p}\varepsilon.$$

Proceeding analogously with the sequence  $(g_n)$ , we obtain a contractive operator  $g: Y \longrightarrow X$  given by  $g(y) = \lim_{n \ge k} g_n(y)$  for  $y \in B_k$ . It follows from (1) and (2) that  $gf = \mathbf{1}_X$  and  $fg = \mathbf{1}_Y$ .

Now that Proposition 6.3.11 is complete, let us stop and smell the roses it has brought to blossom. One result with a fine scent is that any *p*-Banach space with a skeleton and property  $[\Im]$  is isometric to  $K_p$  and, therefore, of almost universal complemented disposition. (Intrigued by the role of the skeleton? Please move to the next section.) Another fragrant one is:

#### **Corollary 6.3.12** If $0 then the q-Banach envelope of <math>K_p$ is $K_q$ .

**Proof** We only sketch the proof. Fix  $0 . The key point is that a contractive pair <math>u: E \rightleftharpoons F$  between finite-dimensional *p*-normed spaces is also a contractive pair  $u: E_{(q)} \Longleftarrow F_{(q)}$ . Thus, taking the pairs between finite-dimensional *q*-normed spaces that arise from the *q*-Banach envelopes of the allowable pairs of *p*-Banach spaces, the class of which we will momentarily call  $\mathfrak{A}$ , we obtain a Fraïssé class  $\mathfrak{A}_{(q)}$  since the amalgamation property is inherited from  $\mathfrak{A}$ . These pairs are 'dense' among the contractive pairs of finite-dimensional *q*-normed spaces because each *q*-norm is also a *p*-norm. Moreover, if  $U^1 \rightleftharpoons U^2 \rightleftharpoons U^2 \rightleftharpoons \dots$  is the Fraïssé sequence used to define  $K_p$ , it is clear that the *q*-Banach envelope of  $K_p$  arises from the sequence  $U_{(q)}^1 \rightleftharpoons U_{(q)}^2 \rightleftarrows \dots$ , which is easily seen to be a Fraïssé sequence for  $\mathfrak{A}_{(q)}$ . Therefore, its limit is isometric to  $K_q$ .

The Banach space  $K_1$  is almost isotropic too. This follows from Proposition 6.3.11 and the fact that all lines are 1-complemented. It is not isotropic (almost isotropic with  $\varepsilon = 0$ ) since the unit sphere of  $K_1$  contains points where the norm is smooth and points where it is not (think of an isometric copy of, say  $\ell_{\infty}^2$ ), while a surjective isometry should preserve each class. In sharp contrast, there is no equivalent *p*-norm rendering  $K_p$  almost isotropic when p < 1: if *X* is almost isotropic and isomorphic to  $K_p$  then the functional  $|x| = ||x|| + \sup_{||x^*|| \le 1} |x^*(x)|$  is another *p*-norm which must be preserved by every isometry of the original *p*-norm of *X*. It quickly follows (see the complete argument in [57, Theorem 3.3]) that  $|\cdot| = 2||\cdot||$ . Thus,  $||x|| = \sup_{||x^*|| \le 1} |x^*(x)|$  and *X* would be locally convex, which is not the case.

## **Other Spaces of Kadec Type**

Let us examine now what occurs when dropping the skeleton assumption:

**Proposition 6.3.13** *Every separable p-Banach space is isometric to a* 1-*complemented subspace of a separable p-Banach space with property* [D]*.* 

The proof is based on a slight weakening of our notion of pair introduced in Section 6.3.

**Definition 6.3.14** A  $\lambda$ -pair  $u = \langle u^{\flat}, u^{\sharp} \rangle$  consists of two contractive operators  $u^{\flat} : E \longrightarrow F$  and  $u^{\sharp} : F \longrightarrow E$  such that  $u^{\sharp}u^{\flat} = \lambda \mathbf{1}_E$ , where  $\lambda > 0$ . A  $\bullet$ -pair is a  $\lambda$ -pair for some unspecified  $\lambda$ .

Thus, 1-pairs are the former contractive pairs. Note that if  $u = \langle u^{\flat}, u^{\sharp} \rangle$  is a  $\lambda$ -pair then  $\langle u^{\flat}, \lambda^{-1}u^{\sharp} \rangle$  is a pair which is not contractive in general and  $u^{\flat}$  is a contractive  $\varepsilon$ -isometry, where  $\varepsilon = \lambda^{-1} - 1$ . Also, if  $u = \langle u^{\flat}, u^{\sharp} \rangle$  is a pair then the normalisation  $\langle u^{\flat}/||u^{\flat}||, u^{\sharp}/||u^{\sharp}||\rangle$  is a  $\lambda$ -pair, where  $\lambda = (||u^{\flat}||||u^{\sharp}||)^{-1}$ . We extend the use of the notation  $u: E \triangleleft f$  to  $\bullet$ -pairs as well as most of the conventions of Section 6.3. If  $u: E \triangleleft f$  is a  $\lambda$ -pair and  $v: F \triangleleft f$  is a  $\mu$ -pair, then  $v \circ u = \langle v^{\flat}u^{\flat}, u^{\sharp}v^{\sharp} \rangle$  is a  $\lambda\mu$ -pair. The distance between two  $\bullet$ -pairs u, v between the same spaces is defined as  $||u - v|| = \max(||u^{\flat} - v^{\flat}||, ||u^{\sharp} - v^{\sharp}||)$ .

**Lemma 6.3.15** Let X be a p-Banach space and I an index set. For each  $i \in I$ , let  $u_i: E_i \triangleleft F_i$  be a 1-pair and  $v_i: E_i \triangleleft X$  a  $\lambda_i$ -pair. Then there is a p-Banach space X', a 1-pair  $\xi: X \triangleleft F_i$  and, for each  $i \in I$ , a  $\lambda_i$ -pair  $\overline{v_i}: F_i \triangleleft F_i$  such that  $\xi \circ v_i = \overline{v_i} \circ u_i$ ; i.e. the diagram



is commutative. Moreover, if I is finite and each  $F_i$  is finite-dimensional then  $X'/\xi^b[X]$  is finite-dimensional.

*Proof* This is a combination of the Device technique and Lemma 6.3.2. Consider the 1-pair  $\Pi$ :  $\ell_p(I, E_i) = \ell_p(I, F_i)$  given by  $\Pi^{\flat} = \prod_i u_i^{\flat}, \Pi^{\sharp} = \prod_i u_i^{\sharp}$  and the operator  $\Sigma = \bigoplus_i v_i^{\flat} : \ell_p(I, E_i) \longrightarrow X$  and form the pushout



where  $\overline{\Pi^{\sharp}}$  arises from the universal property of PO applied to the pair of operators  $(\mathbf{1}_{X}, \Sigma\Pi^{\sharp})$ . This provides the 1-pair  $\xi$ . As for the  $\bullet$ -pairs  $\overline{\nu}_{j}$  we first define  $\overline{\nu}_{j}^{b}$  as the restriction of  $\overline{\Sigma}$  to  $F_{j}$ . To get  $\overline{\nu}_{j}^{\sharp}$ , just consider the pair of operators  $\lambda_{j}\pi_{j}: \ell_{p}(I, F_{i}) \longrightarrow F_{j}$  and  $u_{j}^{b}v_{j}^{\sharp}: X \longrightarrow F_{j}$ , where  $\pi_{j}$  sends  $(x_{i})_{i \in I}$  to  $x_{j}$ . Note that  $u_{j}^{b}v_{j}^{\dagger}\Sigma = \lambda_{j}\pi_{j}\Pi^{b}$ , as both send  $(y_{i})_{i \in I}$  to  $\lambda_{j}y_{j}$ .

*Proof of Proposition 6.3.13* Let  $\mathfrak{A}$  be the set of allowable pairs of *p*-normed spaces, and let  $(a_n)_{n\geq 1}$  be an enumeration of  $\mathfrak{A}$ , where  $a_1 = \mathbf{1}_{\mathbb{K}}$ . We are going to construct a chain of contractive pairs

$$X_1 \xrightarrow{\xi_1^{\mathfrak{h}}} X_2 \xrightarrow{\xi_2^{\mathfrak{h}}} X_3 \xrightarrow{\epsilon_2^{\mathfrak{h}}} \cdots$$

$$(6.8)$$

together with a sequence of sets of  $\bullet$ -pairs  $(D^n)_{n\geq 1}$  and enumerations  $(u_k^n)_{k\geq 1}$  in such a way that

- (1)  $X_1 = X$  and  $X_{n+1}/\xi_n^{\flat}[X_n]$  is finite-dimensional;
- (2) the elements of  $D^n$  are •-pairs  $u: E \triangleleft X_n$  with allowed domain;
- (3)  $D^n$  has the following density property: for every •-pair  $v : E \rightleftharpoons X_n$ with allowed domain and each  $\varepsilon > 0$  there is  $u \in D^n$  such that  $||v^{\flat} - u^{\flat}|| < \varepsilon$ and  $||v^{\sharp}v^{\flat} - u^{\sharp}u^{\flat}|| < \varepsilon$  (note that we don't care about  $||v^{\sharp} - u^{\sharp}||$ );
- (4)  $D^n \subset D^{n+1}$  in the sense that if  $u \in D^n$  then  $\xi_n \circ u \in D^{n+1}$ ;
- (5) if  $a \in \mathfrak{A}_{\leq n}$  and  $u \in \bigcup_{k \leq n} D_{\leq n}^k$  is a  $\lambda$ -pair with the same domain then there is a commutative diagram



where  $\overline{u}$  is a  $\lambda$ -pair,  $\mathfrak{A}_{\leq n} = \{a_1, \ldots, a_n\}$  and similarly with  $D_{\leq n}^k$ .

For the initial step, we set  $X_1 = X$  and choose  $D^1$  as in (3). This can be done because each  $\mathfrak{L}(E, X)$  is separable and there are countably many allowed spaces. Condition (5) is automatic because of our choice of  $a_1$ . For the inductive step, assume that one has constructed  $X_1, \ldots, X_n$  together with  $D^1, \ldots, D^n$  and the corresponding enumerations that satisfy (1)–(5). Then we apply the preceding lemma to the 1-pairs of  $\mathfrak{A}_{\leq n}$  and the  $\bullet$ -pairs in  $\bigcup_{k\leq n} D_{\leq n}^k$ that have the same domain, and we set  $X_{n+1} = X'_n$  and  $\xi_n = \xi$ . Note that  $X_{n+1}/\xi_n^{\flat}[X_n]$  is finite-dimensional. Finally, we choose a countable set of  $\bullet$ -pairs  $D^{n+1}$  'containing' every  $\bullet$ -pair of the form  $\xi_n \circ v$  for  $v \in D^n$  and satisfying (3), and we enumerate it. Let  $\Im(X)$  be the direct limit of the system (6.8). Let us verify that  $\partial(X)$  has property [ $\partial$ ]. Assume *E* is 1-complemented in a finite-dimensional space *F* and that  $u^{\flat}: E \longrightarrow \partial(X)$  is an isometry with 1-complemented range. We consider 1-pairs  $v: E \rightleftharpoons F$  and  $u: E \Longleftarrow X$ in which  $v^{\flat}$  is the inclusion of *E* into *F*,  $v^{\sharp}$  is a contractive projection onto *E* and  $u^{\sharp}$  is a contractive projection along  $u^{\flat}$ . Fix  $\varepsilon > 0$ . Furthermore,  $\varepsilon_1, \varepsilon_2, \varepsilon_3$ will appear in the course of the proof, and the only thing that we care about is that  $\varepsilon_{n+1} \to 0$  as  $\varepsilon_n \to 0$ . Pick  $\delta > 0$ . First, we use Lemma 6.3.3 to obtain a small automorphism *f* of  $\partial(X)$  and *n* such that  $fu^{\flat}[E] \subset X_n$ . Let  $u_1$  be the normalisation of  $\langle fu^{\flat}, u^{\sharp}f^{-1} \rangle$ . This can be done in such a way that  $\|f - \mathbf{1}_{\partial(X)}\| < \varepsilon_1$ ;  $\|f\|, \|f^{-1}\| < 1 + \varepsilon_1$ ; and  $\|u_1 - u\| < \varepsilon_1$ . We can assume that  $E = \mathbb{K}^k$  with some *p*-norm  $\|\cdot\|$ . Let  $\|\cdot\|_0$  be a small allowed perturbation of the *p*-norm of *E*, and let  $E_0 = (E, \|\cdot\|_0)$ . Let  $u_2$  be the normalisation of the formal identity  $\langle \mathbf{1}, \mathbf{1} \rangle: E \lll E_0$ , and let



be provided by Lemma 6.3.2. As  $\overline{v}$  is a 1-pair with allowed domain, we can immediately activate Lemma 6.2.4 to get an almost isometry  $g: H \longrightarrow F_0$  such that  $v_0 = \langle g, g^{-1} \rangle \circ \overline{v}$ :  $E_0 \longrightarrow F_0$  is allowable. This can be done in such a way that (a) max  $(||u_2^{\sharp}u_2^{\flat} - \mathbf{1}_E||, ||\overline{u}_2^{\sharp}\overline{u}_2^{\flat} - \mathbf{1}_E||) < \varepsilon_2$ ; (b)  $||g||, ||g^{-1}|| < 1 + \varepsilon_2$  and (c)  $||x||_0 \le ||x|| < (1 + \varepsilon_2)||x||_0$  for all non-zero  $x \in E$ . Let  $u_3: E_0 \longrightarrow \mathcal{O}(X)$ be the normalisation of  $u_1$  with respect to the *p*-norm of  $E_0$ . This is clearly a  $\lambda$ -pair, with  $1 - \lambda < \varepsilon_3$ , provided  $\varepsilon_1$  and  $\varepsilon_2$  are sufficiently small. By (3), we can find a  $\mu$ -pair  $u_4 \in D^n$  such that  $||u_4^{\flat} - u_3^{\flat}|| < \varepsilon_3$ , still with  $1 - \mu < \varepsilon_3$ . By (5), there is m > n and a  $\mu$ -pair  $\overline{u}_4$ , making the following diagram commute:

$$E_{0} \xrightarrow{v_{0}^{b}} F_{0}$$

$$u_{4}^{\mu} \bigvee_{u_{4}^{b}} v_{0}^{\mu} \xrightarrow{v_{0}^{\mu}} \overline{u}_{4}^{\mu} \bigvee_{u_{4}^{b}} \overline{u}_{2}^{\mu}$$
bonding pair
$$X_{n} \xrightarrow{K_{n}} X_{m}$$

Let us consider the operator  $U = \overline{u}_4^{\flat} g \overline{u}_2^{\flat} : F \longrightarrow X_m$ . It should be obvious that if  $\varepsilon_1, \varepsilon_2, \varepsilon_3$  are sufficiently small,

- $||U|_E u \colon E \longrightarrow \Im(X)|| < \delta;$
- $||U|| < 1 + \delta;$
- if  $P = \overline{u}_2^{\sharp} g^{-1} \overline{u}_4^{\sharp}$ , then  $PU = \eta \mathbf{1}_F$ , with  $|\eta 1| < \delta$  and  $||P|| < 1 + \delta$ .

We now write  $F = E \oplus \ker v^{\sharp}$  in order to then set  $\tilde{U}(x, y) = u^{\flat}(x) + U(y)$ , a true extension of  $u^{\flat}$ . If  $\delta$  is sufficiently small, then  $||U - \tilde{U}|| < \varepsilon$  and  $||P\tilde{U} - \mathbf{1}_F|| < \varepsilon$ . To obtain a small norm projection of  $\partial(X)$  along  $\tilde{U}$ , we use:

**6.3.16 Correcting a defective pair** Let *F* and *Y* be *p*-Banach spaces. Let  $f^{\dagger}: F \longrightarrow Y$  and  $f^{\ddagger}: Y \longrightarrow F$  be operators satisfying  $||f^{\ddagger}f^{\dagger} - \mathbf{1}_{F}|| \leq \varepsilon$ , where  $\varepsilon < 1$ . There is an automorphism *a* of *F* such that

- $||a \mathbf{1}_F|| \le \varepsilon (1 \varepsilon^p)^{-1/p}$ ,
- $||a|| \le (1 \varepsilon^p)^{-1/p}$ ,
- $||a^{-1}|| \le (1 + \varepsilon^p)^{1/p}$
- $af^{\ddagger}f^{\dagger} = \mathbf{1}_{F}$ .

The proof is straightforward: set  $a = \sum_{n\geq 0} (\mathbf{1}_F - f^{\ddagger} f^{\dagger})^n$  and check the required properties.

The inexorable conclusion is that when X doesn't have the BAP, the space  $\Im(X)$  cannot be isomorphic to  $K_p$  since it cannot have the BAP either. Therefore:

**6.3.17** For every  $p \in (0, 1]$ , there exist non-isomorphic separable *p*-Banach spaces with property [ $\Im$ ].

It is clear that if *X* has a skeleton then so does  $\partial(X)$ , and Proposition 6.3.13 provides an alternative construction of  $K_p$  and a new proof of Proposition 6.3.8. On the other hand, it is clear that the only reason  $\partial(X)$  could fail the BAP (or any other approximation property) is because *X* already lacks it: *X* is 1-complemented in  $\partial(X)$  and  $\partial(X)/X$  has a 1-FDD.

# 6.4 A Universal Operator on G<sub>p</sub>

Finding operators on a given quasi-Banach space can be a difficult task. Or an impossible one, since rigid spaces exist. The space  $G_p$  is not rigid: Propositions 6.2.7 and 6.2.10 say that it has plenty of automorphisms. Our aim is to construct a contraction  $u \in \mathfrak{L}(G_p)$  with ker  $u \approx G_p$  and satisfying the following condition:

( $\heartsuit$ ) For every separable *p*-Banach space *X* and every contractive operator  $s: X \longrightarrow G_p$ , there exists an isometry  $e: X \longrightarrow G_p$  such that s = ue.

This will show that  $G_p$  has non-trivial projections since, taking as *s* the identity of  $G_p$ , one obtains an isometric embedding  $e: G_p \longrightarrow G_p$  and eu is a projection on  $G_p$  with kernel and range isometric to  $G_p$ . To get the announced

construction, we will fix a separable *p*-Banach space  $\mathbb{H}$  and develop some abstract nonsense. Piece by piece, everything will make sense. We start by defining a special category **H** whose objects are contractive operators from finite-dimensional *p*-Banach spaces into  $\mathbb{H}$ ; a morphism from  $e: E \longrightarrow \mathbb{H}$  to  $f: F \longrightarrow \mathbb{H}$  is an isometry  $\iota: E \longrightarrow F$  such that  $e = f \iota$ :



Although this category is conceptually more complex than those used in the preceding sections, our treatment, based on purely formal properties, is similar. Our nonsense training begins with:

## Lemma 6.4.1 The category H admits amalgamations.

What does this mean? It means that when one has three objects  $e: E \longrightarrow \mathbb{H}$ ,  $f: F \longrightarrow \mathbb{H}$ ,  $g: G \longrightarrow \mathbb{H}$  in **H** and morphisms  $\iota: e \longrightarrow f$ ,  $j: e \longrightarrow g$ , there is another object  $h: H \longrightarrow \mathbb{H}$  and morphisms  $\iota': g \longrightarrow h$ ,  $j': f \longrightarrow h$  such that  $j' \circ \iota = \iota' \circ j$ . The point is, we *do* know that the lemma is true and *how* to prove it: just stare at the commutative diagram



and set H = PO, the pushout of *i* and *j*. Great. We also need an '**H**-version' of Lemma 6.2.5:

**Lemma 6.4.2** Let  $f: X \longrightarrow Y$  be an  $\varepsilon$ -isometry between finite-dimensional p-Banach spaces, and let  $r: X \longrightarrow \mathbb{H}$  and  $s: Y \longrightarrow \mathbb{H}$  be contractive operators such that  $||sf - r|| \le \varepsilon$ . Let  $\iota$  and j be the inclusions of X and Y, respectively, into  $X \boxplus_f^{\varepsilon} Y$ . The operator  $r \oplus s: X \boxplus_f^{\varepsilon} Y \longrightarrow \mathbb{H}$  is contractive, and  $(r \oplus s) i = r, (r \oplus s) j = s$ . In particular,  $\iota: r \longrightarrow (r \oplus s)$  and  $j: s \longrightarrow (r \oplus s)$  are morphisms in **H**.

*Proof* Fix  $(x, y) \in X \oplus Y$  and assume  $x = x_0 + x_2$ ,  $y = y_1 - f(x_2)$ . Then

$$\|(r \oplus s)(x, y)\|^p = \|r(x_0) + r(x_2) + s(y_1) - s(f(x_2))\|^p \le \|x_0\|_X^p + \|y_1\|_Y^p + \varepsilon^p \|x_2\|_X^p$$

As  $||(x, y)||^p$  is the infimum of all expressions that can arise as the right-hand side of the preceding inequality, we see that  $||(r \oplus s)(x, y)||^p \le ||(x, y)||^p$ .  $\Box$ 

To return to Fraïssé's world, we need a countable 'dense' subcategory of **H** having amalgamations. Let *D* be a dense, countable, linearly independent subset of  $\mathbb{H}$  and let  $\mathbb{H}_0$  denote the dense subspace of all finite linear combinations of elements of *D* with rational coefficients. We define a subcategory  $\mathbf{H}_0$  of **H** as follows:

- The objects of **H**<sub>0</sub> are contractive operators *e*: *E* → 𝔄 whose domain is allowed and that send the rational vectors of *E* into 𝔄<sub>0</sub>.
- Given objects  $e: E \longrightarrow \mathbb{H}$  and  $f: F \longrightarrow \mathbb{H}$  in  $\mathbf{H}_0$ , an  $\mathbf{H}_0$ -morphism  $\iota: e \longrightarrow f$  is an **H**-morphism whose underlying isometry is allowable.

**Lemma 6.4.3**  $H_0$  has amalgamations.

*Proof* (Proof of) Lemma 6.2.2 + Diagram 6.9.

Proposition 6.1.1 says that  $H_0$  has a Fraissé sequence

$$u_1 \xrightarrow{l_1} u_2 \xrightarrow{l_2} \cdots$$
 (6.10)

Since each  $u_n : U_n \longrightarrow \mathbb{H}$  is an object of  $\mathbf{H}_0$  and the arrows  $\iota_n$  are morphisms in  $\mathbf{H}_0$ , what one actually has is a commutative diagram



having the following property:

(†) Given a finite-dimensional *p*-Banach space *V*, an isometry  $e: U_n \longrightarrow V$ and a contractive operator  $v: V \longrightarrow \mathbb{H}$  such that  $ve = u_n$ , for each  $\varepsilon > 0$ , there exist m > n and an  $\varepsilon$ -isometry  $e': V \longrightarrow U_m$  such that  $||e'e - \iota_{(n,m)}|| < \varepsilon$ and  $||u_me' - v|| < \varepsilon$ , where  $\iota_{(n,m)} = \iota_{m-1} \cdots \iota_n$ .

Of course, all this comes preloaded in the definition of a Fraïssé sequence when v and e are in  $\mathbf{H}_0$ , even with  $\varepsilon = 0$ . In the general case, we first apply Lemma 6.2.4 to e, thus obtaining an allowable  $e_0: U_n \longrightarrow V_0$  and a surjective  $\varepsilon$ -isometry  $g: V \longrightarrow V_0$  such that  $e_0 = ge$ . Although  $u_n = vg^{-1}e_0$ , which is Ok, we cannot apply the preceding case to  $vg^{-1}$  because we do not know that  $vg^{-1}$  is contractive or that it takes rational vectors to  $\mathbb{H}_0$ . It is clear, however, that there is  $v_0: V_0 \longrightarrow \mathbb{H}_0$  in  $\mathbf{H}_0$  such that  $||v_0 - vg^{-1}|| < \varepsilon$ :



The dashed part of the diagram is there to remind us that it is merely *almost* commutative. Construct the space  $U_n \boxplus_{e_0}^{\varepsilon} V_0$ , equipped with the direct sum operator  $u_n \oplus v_0$ , and activate Lemmas 6.2.5 and 6.4.2: if we denote the inclusions of  $U_n$  and  $V_0$  into  $U_n \boxplus_{e_0}^{\varepsilon} V_0$  by  $\iota$  and j, we have  $||je_0 - \iota|| \leq \varepsilon$  by Lemma 6.2.5, and since  $||v_0e_0 - u_n|| \leq \varepsilon$ , we conclude that  $\iota: u_n \longrightarrow u_n \oplus v_0$  and  $j: v_0 \longrightarrow u_n \oplus v_0$  are **H**-morphisms. But  $u_n \oplus v_0$  maps the rational vectors of  $U_n \boxplus_{e_0}^{\varepsilon} V_0$  to  $\mathbb{H}_0$ , and  $\iota: U_n \longrightarrow U_n \boxplus_{e_0}^{\varepsilon} V_0$  is allowable, by (f). It follows that  $\iota: u_n \longrightarrow u_n \oplus v_0$  and  $k: u_n \oplus v_0$  is actually in **H**<sub>0</sub>. Since (6.10) is Fraïssé for **H**<sub>0</sub>, there is m > n and  $k: u_n \oplus v_0 \longrightarrow u_m$  such that  $k \circ \iota = \iota_{(n,m)}$ , the bonding morphism  $u_n \longrightarrow u_m$ . Let us ignore V for a moment and depict the situation in the diagram



It is now clear that the required map is  $e' = k_J g$ :

- e' is an  $\varepsilon$ -isometry since g is and j, k are isometries.
- $||e'e \iota_{(n,m)}|| = ||k_{j}ge \iota_{(n,m)}|| = ||k_{j}e_{0} \iota_{(n,m)}|| = ||k_{j}e_{0} k\iota + k\iota \iota_{(n,m)}|| \le \varepsilon.$
- As  $||v_0 vg^{-1}|| < \varepsilon$ , we have  $||v v_0g|| \le \varepsilon ||g|| \le \varepsilon (1 + \varepsilon)$ . But  $u_m e' = u_m k_j g = v_0 g$ , so  $||u_m e' v|| \le 2\varepsilon$ .

Consider the directed system of *p*-Banach spaces  $U_0 \xrightarrow{l_1} U_1 \xrightarrow{l_2} Y_2 \longrightarrow \cdots$ underlying the sequence (6.11). Set  $U = \varinjlim U_n$  and let  $u: U \longrightarrow \mathbb{H}$  be the direct limit of the operators  $u_n$ . The main properties of these objects can be summarised as follows: we know now that *U* contains an isometric copy of *X* as long as *X* is separable and  $\mathfrak{L}(X, \mathbb{H}) \neq 0$ , and will know soon (Theorem 6.4.5) that *U* is isometric to  $G_p$ . In the meantime:

**Proposition 6.4.4** For every separable p-Banach space X and every contractive operator  $s: X \longrightarrow \mathbb{H}$ , there exists an isometry  $e: X \longrightarrow U$  such that s = ue. In particular, u is surjective and right-invertible.

*Proof* We identify each  $U_n$  with its image in U so that  $u_n = u|_{U_n}$  and all the bonding maps are plain inclusions. Fix an operator  $s: X \longrightarrow \mathbb{H}$  with  $||s|| \le 1$ . Let  $(X_n)_{n\ge 1}$  be an increasing sequence of finite-dimensional subspaces whose union is dense in X, with  $X_1 = 0$ . Set  $s_n = s|_{X_n}$  and  $\varepsilon_n = 2^{-n/p}$ . We shall inductively construct an increasing sequence  $k: \mathbb{N} \longrightarrow \mathbb{N}$  and contractive  $\varepsilon_n$ -isometries  $e_n: X_n \longrightarrow U_{k(n)}$  satisfying  $||u_{k(n)}e_n - s_n|| \le \varepsilon_n$  and also  $||e_{n+1}|_{X_n} - e_n|| \le (\varepsilon_n^p + \varepsilon_{n+1}^p)^{1/p}$ . This clearly implies that the sequence  $(e_n)$  converges pointwise to an isometry  $e: X \longrightarrow U$  such that s = ue. We set k(1) = 1 and  $e_1 = 0$ . Having defined  $e_n: X_n \longrightarrow U_{k(n)}$  with  $||s_n - u_{k(n)}e_n|| \le \varepsilon_n$ , we may apply Lemma 6.4.2 with  $f = e_n$  to get the commutative diagram



which shows that  $\iota$  is a **H**-morphism from  $s_n$  to  $s_n \oplus u_{k_n}$ . On the other hand, the inclusion of  $X_n$  into  $X_{n+1}$ , which we momentarily denote by  $\xi$ , is clearly an **H**-morphism from  $s_n$  to  $s_{n+1}$ , and amalgamating  $\iota$  and  $\xi$ , we arrive at the diagram



Here, *W* is a finite-dimensional *p*-normed space and  $||w|| \le 1$ . Applying (†) to the isometry  $\xi'_{J}$ , we find k(n + 1) > k(n) and obtain a contractive  $\varepsilon_{n+1}$ -isometry  $e': W \longrightarrow U_{k_{n+1}}$  such that

$$||u_{k(n+1)}e' - w|| \le \varepsilon_{n+1}$$
 and  $||e'\xi' J - \iota_{(k(n),k(n+1))}|| \le \varepsilon_{n+1}$ . (6.12)

Setting  $e_{n+1} = e'\iota' \colon X_{n+1} \longrightarrow W \longrightarrow U_{k(n+1)}$ , we complete the induction step. Indeed,  $e_{n+1}$  is a contractive  $\varepsilon_{n+1}$ -isometry. Moreover,

$$||u_{k(n+1)}e_{n+1} - s_{n+1}|| = ||u_{k(n+1)}\varepsilon'\iota' - w\iota'|| \le ||u_{k(n+1)}e' - w|| \le \varepsilon_{n+1}$$

since  $s_{n+1} = w\iota'$ , while

$$||e_n - e_{n+1}|_{X_n}||^p = ||e_n - e'\iota'\xi||^p = ||e_n - e'\xi'\iota||^p \leq \underbrace{||e_n - e'\xi'je_n||^p}_{(\star)} + \underbrace{||e'\xi'(\iota - je_n)||^p}_{(\star\star)}.$$

We have  $(\star) = ||\iota_{(k(n),k(n+1))}e_n - e'\xi' je_n|| \le ||e'\xi' j - \iota_{(k(n),k(n+1))}|| \le \varepsilon_{n+1}$  by (6.12) and  $(\star\star) \le ||\iota - je_n|| \le \varepsilon_n$  by Lemma 6.2.5. This completes the induction step and the proof.

Taking  $s = \mathbf{1}_{\mathbb{H}}$ , we see that *u* is surjective and right-invertible. Thus, we have a split exact sequence  $0 \longrightarrow \ker u \longrightarrow U \stackrel{u}{\longrightarrow} \mathbb{H} \longrightarrow 0$ .

**Theorem 6.4.5** Whatever the space  $\mathbb{H}$  could be, ker u is isometric to  $G_p$  and so U is isomorphic to  $G_p \times \mathbb{H}$ . If, additionally,  $\mathbb{H}$  is a locally 1<sup>+</sup>-injective p-Banach space then also U is isometric to  $G_p$  and, therefore,  $G_p$  is isomorphic to  $G_p \times \mathbb{H}$ .

*Proof* To prove that ker *u* is isometric to  $G_p$  we first check that the operator  $u: U \longrightarrow \mathbb{H}$  has the following additional property:

(‡) If *E* is a subspace of a finite-dimensional *p*-Banach space *F*, *g*: *F* → H is contractive and *e*: *E* → *U* is an isometry such that *ue* = *g*|<sub>*E*</sub> then for each δ > 0, there is a δ-isometry *f*: *F* → *U* satisfying ||*f*|<sub>*E*</sub> - *e*|| < δ and ||*uf* - *g*|| < δ.</p>

Indeed, after taking a small perturbation, we may assume that  $e: E \longrightarrow U_n$ is an  $\varepsilon$ -isometry with  $||ue - g|_E|| < \varepsilon$ . Apply Lemma 6.4.2 to  $e: E \longrightarrow U_n$ ,  $g: E \longrightarrow \mathbb{H}$  and  $u_n: U_n \longrightarrow \mathbb{H}$  to obtain a commutative diagram



with  $||_{Je} - \iota|| \le \varepsilon$ . Now, amalgamating  $\iota: E \longrightarrow E \boxplus_e^{\varepsilon} U_n$ , which is a morphism from  $g: E \longrightarrow \mathbb{H}$  to  $g \oplus u_n: E \boxplus_e^{\varepsilon} U_n \longrightarrow \mathbb{H}$ , with the inclusion  $\xi: E \longrightarrow F$ regarded as a morphism from  $g: E \longrightarrow \mathbb{H}$  to  $g: F \longrightarrow \mathbb{H}$ , we obtain a finitedimensional *p*-normed space *W* and a commutative diagram



with  $||w|| \le 1$ . Now applying (†) to *w* and the embedding  $\xi' J$ , we obtain m > n and an almost isometry  $w' : W \longrightarrow U_m$  such that  $u_m w'$  is close to *w* and  $w'\xi' J$  is close to  $\iota_{(n,m)}$ . Finally, the composition

$$f: F \xrightarrow{\iota'} W \xrightarrow{w'} U_m \longrightarrow U$$

is the desired  $\delta$ -isometry. Returning to ker u, let F be a finite-dimensional p-normed space;  $e: E \longrightarrow \ker u$  an isometry, where E is a subspace of F; and  $\varepsilon > 0$ . We shall construct an  $\varepsilon$ -isometry  $f: F \longrightarrow \ker u$  such that  $||f(x) - e(x)|| \le \varepsilon ||x||$  for every  $x \in E$ . This will show that ker u is of AUD, thus completing the proof. To do so, fix some small  $\delta$  and apply (‡), taking g as the zero operator from F to  $\mathbb{H}$  to get a  $\delta$ -isometry  $f': F \longrightarrow U$  such that  $||f'|_E - e|| < \delta$  and  $||uf'|| < \delta$ . Of course, we cannot guarantee that f' takes values in ker u. To amend this, let  $r: \mathbb{H} \longrightarrow U$  be a right-inverse for u, with  $||r|| \le 1$ , and set  $f = (\mathbf{1}_U - ru)f'$ , that is, f(x) = f'(x) - r(u(f'(x))). Then f takes values in ker u since uf = 0 and, moreover,  $||f - f'|| = ||ruf'|| \le \delta$ . Thus, for  $\delta$  sufficiently small,  $f: F \longrightarrow \ker u$  is an  $\varepsilon$ -isometry with  $||f|_E - e|| < \varepsilon$  and we are done.

We now assume that  $\mathbb{H}$  is locally 1<sup>+</sup>-injective among *p*-Banach spaces and prove that *U* is isometric to  $G_p$ . It suffices to check it is of AUD by showing that it satisfies the hypothesis of Lemma 6.2.3. Let  $v: E \longrightarrow F$  be an isometry, where *E* is a subspace of *U* and *F* a finite-dimensional *p*-normed space. Fix  $\delta > 0$ , and pick a contractive  $\delta$ -isometry  $u: E \longrightarrow U_n$  such that  $||u(x) - x|| \le \delta ||x||$  for  $x \in E$ . Let us form the pushout square



Here  $\overline{v}$  is an isometry and  $\overline{u}$  is a contractive  $\delta$ -isometry as in Lemma 2.5.2. Since  $\mathbb{H}$  is locally 1<sup>+</sup>-injective and PO is finite-dimensional, there is an operator  $\tilde{u}_n$ : PO  $\longrightarrow \mathbb{H}$  such that  $u_n = \tilde{u}_n \overline{v}$ , with  $\|\tilde{u}_n\| \le 1 + \delta$ . Next we touch up the *p*-norm of PO to render  $\tilde{u}_n$  contractive: for instance, we may take  $|x| = \max(\|x\|_{\text{PO}}, \|\widehat{u}_n(x)\|_{\mathbb{H}})$ . If *V* denotes the space PO so *p*-normed then  $\overline{v}: U_n \longrightarrow V$  is still isometric and  $\|\tilde{u}_n: V \longrightarrow \mathbb{H}\| \le 1$  and we may use (†) to get m > n and a  $\delta$ -isometry  $v': V \longrightarrow U_m$  such that  $\|v'\overline{v} - \iota_{(n,m)}\| \le \delta$ . Finally, if  $\delta > 0$  is sufficiently small, the composition

$$w: F \xrightarrow{\overline{u}} PO \xrightarrow{\text{identity}} V \xrightarrow{\nu'} U_m \xrightarrow{\text{inclusion}} U$$

is an  $\varepsilon$ -isometry such that  $||w(v(x)) - x|| \le \varepsilon ||x||$  for every  $x \in E$ . This shows that *U* is isometric to  $G_p$ .

Time for applications.

# **Corollary 6.4.6** $G_p \simeq G_p \times G_p \simeq c_0(\mathbb{N}, G_p) \simeq C(\Delta, G_p).$

*Proof* The theorem just proved yields that if  $\mathbb{H}$  is a separable locally 1<sup>+</sup>-injective *p*-Banach space then  $G_p \times \mathbb{H}$  is isomorphic to  $G_p$ . Pick  $\mathbb{H} = G_p$ , which is locally 1<sup>+</sup>-injective according to Proposition 6.2.8(a), to obtain that  $G_p$  is isomorphic to  $G_p \times G_p$  and thus to any finite product  $G_p \times \cdots \times G_p$ . The spaces  $c_0(G_p)$  and  $C(\Delta, G_p)$  can be written as the limit of a chain of subspaces isometric to  $G_p \oplus_{\infty} \cdots \oplus_{\infty} G_p$ , and so they are locally 1<sup>+</sup>-injective; since  $G_p \cong G_p \times c_0(\mathbb{N}, G_p)$  and  $G_p \cong G_p \times C(\Delta, G_p)$ , the Pełczyński decomposition method applies.

The applications of Theorem 6.4.5 are seriously limited by the scarcity of examples of locally injective *p*-Banach spaces for p < 1, which basically are reduced to ...  $G_p$ ! When p = 1, all Lindenstrauss spaces are locally 1<sup>+</sup>-injective Banach spaces, and Corollary 6.4.6 can be strengthened to:

**Corollary 6.4.7** Every separable Lindenstrauss space is isometric to a subspace of G that is complemented by a contractive projection whose kernel is isometric to G.

Thus, if *X* is a separable Lindenstrauss space, then  $G \simeq X \times G \simeq X \bigotimes_{\varepsilon} G$ . This does not mean that every copy of *X* is complemented in G. Also, Theorem 6.4.5 shows that some hyperplanes of the almost isotropic space G are isometric to the whole space, since when p = 1, the base field is 1-injective, and we can fix  $\mathbb{H} = \mathbb{K}$ . To the best of our knowledge, Hilbert spaces were the only previously known spaces combining both properties.

# 6.5 Notes and Remarks

## **6.5.1 What If** $\varepsilon = 0$ ?

Upon moving  $\varepsilon$  from *here* to *there* in the definitions of Section 6.3 (and there are various heres and theres to choose), we obtain more or less equivalent variants of the definitions appearing in the text. Actually, the version of property [ $\Im$ ] and the definition of AUCD we used do not match those of [183] or [116]. While 6.3.16 clearly shows that [ $\Im$ ] is equivalent to Garbulińska's property (E) of [183], we cannot ensure that Definition 6.3.1 is equivalent to Definition 2.1 in [116]. And yet, as the following shows, an  $\varepsilon$  of room is necessary to stay in the separable world.

**Proposition** Let X be a p-Banach space containing a 2-dimensional Euclidean subspace E and having the following property: for every 3-dimensional p-normed space F and every isometry  $v: E \longrightarrow F$  with 1-complemented range, there is an isometry  $w: F \longrightarrow X$  such that wv is the inclusion of E into X. Then the dimension of X is at least the continuum.

*Proof* The proof uses an idea of Haydon, taken from [80; 75]. Let us follow it in the real case. Let *E* be the Euclidean plane and *S* the unit sphere of *E*. For each  $u \in S$ , we consider the *p*-norm

$$|(x,t)|_{u} = \max\left(||x||_{2}, ||\langle x, u\rangle, t\rangle||_{p}\right)$$

on  $E \times \mathbb{R}$  and let  $F_u$  denote the resulting 3-dimensional space. (The unit ball of  $F_u$  is the intersection of a 'vertical' right cylinder and a 'horizontal' right prism whose basis is the 2-dimensional  $\ell_p$ -ball with 'peaks' at (0, 1) and (u, 0).) Note that  $||(x, 0)||_u = ||x||_2$  (so E is isometric to a subspace of  $F_u$ ) and that  $|(x, t)|_u \ge ||x||_2$  for each  $(x, t) \in F_u$  (so the obvious projection is contractive). Now we consider E as a subspace of X and assume that for every  $u \in S$ , we can find an isometry  $f_u: F_u \longrightarrow X$  such that  $f_u(x, 0) = x$ . Clearly,  $f_u$  must have the form  $f_u(x, t) = x + te_u$  for some fixed  $e_u$  in the unit sphere of X. Now let  $S_+$  be the 'positive part' of *S* so that  $0 < \langle u, v \rangle < 1$  for different  $u, v \in S_+$ . We claim that  $||e_u - e_v|| = 1$  for  $u, v \in S_+$  unless u = v. Pick  $\lambda > 0$ ; we have

$$||e_{u} - e_{v}||^{p} \ge ||e_{u} + \lambda u||^{p} - ||e_{v} + \lambda u||^{p} = |(\lambda u, 1)|_{u}^{p} - |(\lambda u, 1)|_{v}^{p}.$$

But  $|(\lambda u, 1)|_u^p = 1 + \lambda^p$ , while for large  $\lambda$ ,  $|(\lambda u, 1)|_v^p = \max(\lambda^p, 1 + \lambda^p \langle u, v \rangle^p) = \lambda^p$ . Hence the dimension of *X* is, at least, the cardinality of *S*<sub>+</sub>.

Thus any space of 'universal (complemented) disposition for spaces of dimension up to 3' has dimension at least c.

## 6.5.2 Before G<sub>p</sub> Spaces Fade Out

Shortly hereafter, Fraïssé constructions will fade away in the remainder of this volume, although spaces of (almost) universal (complemented) disposition will not. But first, a few remarks that, once you are told, become very noticeable. The headline is that very few things are known about operators on  $G_p$  when p < 1. Indeed, the behaviour of operators on  $G_p$  is puzzling. On one hand,  $\mathfrak{L}(\mathsf{G}_p)$  contains a large number of automorphisms and isometries as well as some projections. It follows from Proposition 6.2.7 that if F is a finitedimensional subspace of  $G_p$  then  $G_p/F$  depends only on the dimension of F, up to isomorphisms. Let us denote the isomorphism type of the quotient of  $G_p$  by an *n*-dimensional subspace by  $G_p/(n)$ . Since  $G_p$  is isomorphic to its square,  $G_p/(n + m) \simeq G_p/(n) \times G_p/(m)$  and also  $G_p/(n) \simeq (G_p/(1))^n$ . The sequence  $0 \longrightarrow \mathbb{K} \longrightarrow G_p(1) \longrightarrow 0$  is not trivial because  $G_p$  has trivial dual and therefore  $G_p/(1)$  is not a  $\mathcal{K}$ -space. So, the prickly issue is whether  $G_p$  is a  $\mathcal{K}$ -space. If the answer were yes then  $G_p$  could not be isomorphic to  $G_p/(1)$  (something we do not know either). When p = 1, both questions have an affirmative answer: G is isomorphic to its hyperplanes and, as for any  $\mathscr{L}_{\infty}$ -space, it is a  $\mathscr{K}$ -space by 3.4.6. However, we do not know whether  $\mathsf{G}_p$ is prime or primary when 0 or how to find an uncomplementedcopy of  $G_p$  in the whole space, which is quite irritating. And since we cannot discard the existence of non-zero separable and separably injective *p*-Banach spaces,  $G_p$  could actually be such a space. In any case, all such spaces must be complemented subspaces of  $G_p$ . Ironically, it is the abundance of operators with values in  $G_p$  that makes it very difficult to define operators on  $G_p$ :

**Proposition** If X is a separable p-Banach space and Y is a topological vector space such that  $\mathfrak{L}(X, Y) = 0$ , then  $\mathfrak{L}(\mathsf{G}_p, Y) = 0$ .

Indeed, assume  $u: \mathbb{G}_p \longrightarrow Y$  is non-zero and take  $g \in \mathbb{G}_p$  such that  $u(g) \neq 0$ . Let  $v: X \longrightarrow \mathbb{G}_p$  be an embedding, pick  $x \in X$  and let  $w \in \mathfrak{L}(\mathbb{G}_p)$  be such that w(v(x)) = g. Then *uwv* is a non-zero operator in  $\mathfrak{L}(X, Y)$ , a contradiction. Thus, for instance,  $\mathfrak{L}(\mathbf{G}_p, Y) = 0$  if Y is either an ultrasummand, by the Corollary in Note 1.8.3, or  $Y = L_0$  since  $\mathfrak{L}(L_p/H_p, L_0) = 0$  for exactly the same reasons that  $\mathfrak{L}(L_p/H_p, L_p) = 0$  for  $0 (see Kalton [250, Theorem 7.2] or Aleksandrov [6, Corollary 4.4 on p. 49]). The same reasoning shows that every non-zero operator defined on <math>\mathbf{G}_p$  must be an isomorphism on some copy of  $\ell_2$  because this is what happens in  $L_p$  when 0 ; see [283, Theorem 7.20] for perhaps the simplest proof.

#### 6.5.3 Fraïssé Classes of Banach Spaces

Knowing that a given structure is a Fraïssé limit opens a door to a deeper appreciation of its properties. It is therefore not unproductive to ask which classes of finite-dimensional Banach spaces have the amalgamation property. Two obvious answers are 'all finite-dimensional spaces' (whose Fraïssé limit is G) and 'the Euclidean ones' (whose Fraïssé limit is the separable Hilbert space). To be true, what people knowledgeable about (continuous) Fraïssé structures work with are separable classes with stable versions of the amalgamation property. What is required is that, given  $\delta$ -isometries  $f: E \longrightarrow F$ and  $g: E \longrightarrow G$ , there exist some space H in the class and *isometries*  $\overline{g}: F \longrightarrow H, \overline{f}: G \longrightarrow H$  such that  $\|\overline{g}f - \overline{f}g\| < \varepsilon$ , with  $\varepsilon$  depending on  $\delta$ , and perhaps on dim E. Our naive approach relies on Lemma 6.2.5 to guarantee stability. Very recently [170], the Banach spaces  $L_p$  for  $p \neq 4, 6, 8...$  have gained access to the elite club of Fraïssé spaces, which means that the class of finite-dimensional subspaces of  $L_p$  has a certain (stable) amalgamation property for those values of p. Those amalgamations are not plain pushouts, though. What prevents  $L_p$  from being Fraissé when p = 4, 6... depends on the fact, proved by B. Randrianantoanina, that those  $L_p$  contain isometric copies of the same finite-dimensional spaces with very different projection constants [398], which is in turn connected with [345, Theorem 3] where, elaborating earlier work of Plotkin/Rudin, Lusky had shown that if 0 is not4, 6, 8,... then, given an isometry  $\varphi_0 \colon E \longrightarrow L_p$  from a finite-dimensional subspace E of  $L_p$  and  $\varepsilon > 0$ , there is an automorphism  $\varphi \in \mathfrak{L}(L_p)$  extending  $\varphi_0$ such that  $\|\varphi\|, \|\varphi^{-1}\| < 1 + \varepsilon$  (the reader can check this with Proposition 6.2.10).

The Kechris–Pestov–Todorcevic (KPT) correspondence [293] provides an unexpected connection between Fraïssé structures and topological dynamics. A topological group is extremely amenable if every continuous action on a compact set has a fixed point. The KPT correspondence states that, given a Fraïssé class **C**, the group of automorphisms of its Fraïssé limit is extremely amenable in the strong operator topology if and only if **C** has the approximate Ramsey property, something that has to do with continuous colorings; see

[385, Section 6.6] for a very readable introduction. Neither implication in the KPT correspondence is trivial. One can count among the applications that the otherwise mysterious isometry group of G is extremely amenable, because the class of all finite-dimensional normed spaces has the approximate Ramsey property; see [32]. Moving in the opposite direction, it implies that finite-dimensional Euclidean spaces have the approximate Ramsey property since the isometry group of a separable Hilbert space is (a Lévy group and thus) extremely amenable; see [385, Section 2.2]. More information on extreme amenability and approximate Ramsey properties can be found in [385] and more examples of Fraïssé classes in functional analysis in [342].

#### Sources

This chapter's blueprints were drawn in [80; 75; 116], which, in turn, are based on ideas of [310; 183]. The spaces underpinning the chapter are very classical objects in Banach space theory. In [203] Gurariy constructed the space that bears his name, coined the term AUD and proved Proposition 6.2.10 and, in particular, that any two separable AUD Banach spaces are *almost* isometric. The prefix was eliminated by Lusky in [343], a fine paper (which goes without saying when talking about Lusky's papers) which contains the additional result that the isometry group of G acts transitively on the set of smooth points of the unit sphere. More information about G and related constructions can be found in [22, Section 3.4]. A new proof of the uniqueness of Gurariy space was given by Kubiś and Solecki in [310]: the proof basically consists in showing that any separable AUD Banach space is the Fraïssé limit of the class of finitedimensional spaces and isometries. Given the potential target of their paper, a tactical move was not to pronounce the word 'Fraïssé'. The paper contains the Banach ancestor of the key Lemma 6.2.5 and has (perhaps shared with [308]) the unquestionable merit of introducing Fraïssé structures into the Banach space business. The construction of  $G_p$  in Section 6.2 just transplants Kubiś and Solecki's ideas to the soil of *p*-Banach spaces; the presentation in [80] is more akin to [22, Chapter 3] and uses the Device. A forerunner of  $G_p$  appears in [248, Theorem 4.3]. The construction of  $K_p$ , taken from the 'related issues' of [75], is an adaptation for quasi-Banach spaces of Garbulińska-Węgrzyn's [183], where the idea of regarding spaces of Kadec type as Fraïssé structures appears for the first time and property  $[\partial]$  is introduced as property (E). Categories of embedding-projection pairs had been defined and exploited in [308, Section 6]. Proposition 6.3.13 is the  $[\Im]$  version of [116, Theorem 4.1]; there, it is shown that if X is a Banach space with separable dual then the output  $\partial(X)$  is a Banach space with the additional property that given contractive pairs

 $u: E \triangleleft X$  and  $v: E \triangleleft F$ , where F is a finite-dimensional normed space, for every  $\varepsilon > 0$ , there exists a pair w: F = X such that  $||u - w \circ v|| < V$  $\varepsilon$  and  $||w|| < 1 + \varepsilon$ . This property was called 'almost universal complemented disposition' in [116]. We are not as convinced today that it deserves that name, mainly because, as mentioned before, we cannot ensure it is equivalent to AUCD. The topic of complementably universal spaces for a class  $\mathscr{A}$  (spaces in the class containing complemented copies of every space in  $\mathscr{A}$ ) emerges in 1969 when Pełczyński [381] exhibits his celebrated 'universal basis' space: a complementably universal space for the class of Banach spaces with basis. In 1971, Kadec [240] obtains the first complementably universal member of the class of separable Banach spaces with the BAP. Back to back with it, the next article in the same issue of Studia is from Pełczyński and Wojtaszczyk [384] and shows the existence of a complementably universal space for FDD, necessarily isomorphic to Kadec's. Still in the same volume, Pełczyński proved [382] that every Banach space with the BAP is complemented in a space with a basis, thus making it clear that his own universal space was complementably universal for the BAP and thus isomorphic to Kadec space. Kalton (who else?) performs in [247] a study of universal and complementably universal F-spaces and mentions the existence a complementably universal p-Banach space for the BAP for fixed 0 . He just adds that 'it is easy to duplicate the results forBanach spaces'. It is clear from [247, Theorem 4.1 (b) and Corollary 7.2] that Kalton is alluding to Pełczyński's universal space. He concludes by remarking that 'there are a number of other existence and non-existence results known for other classes of separable spaces'. From the Pełczyński decomposition method, it follows that two separable complementably universal p-Banach spaces for the BAP are isomorphic, and thus it turns out that  $K_p$  is isomorphic to Kalton's space, while K1 is just a renorming of the spaces of Pełczyński, Kadec and Pełczyński and Wojtaszczyk. Several questions can be posed about those spaces, but two especially burning ones are: Does Kadec's space have property [ $\Im$ ] in its own norm? Are the isometry groups of the spaces K<sub>p</sub> extremely (or otherwise) amenable in the SOT? It cannot go unmentioned that no separable complementably universal space exists for the class of separable Banach spaces [233]. Universal operators date back to Rota's celebrated 'model operator' on Hilbert space. The material of Section 6.4 is taken from [80]. The category H is a typical *slice* category; see [27, Section 1.6, Example 4]. Theorem 6.4.5 subsumes several results scattered in the literature.