

## BOUNDED ENDOMORPHISMS OF LATTICES OF FINITE HEIGHT

M. E. ADAMS AND J. SICHLER

Every monoid  $M$  is isomorphic to the monoid  $\text{End}_{0,1}(L)$  of all  $(0,1)$ -preserving endomorphisms of a bounded lattice  $L$ , see [3]. The lattices  $L$  with  $M \cong \text{End}_{0,1}(L)$  that are constructed there are of an arbitrary infinite cardinality not smaller than that of  $M$ , and they all have infinite chains. The aim of the present article is to supplement these results. It will be shown that every finite monoid  $M$  is representable as  $\text{End}_{0,1}(L)$  of a finite lattice. In addition, an account of the difficulties involved in attempting to characterize endomorphism monoids of lattices of a fixed finite height will be given.

**1. Definitions and notation.** A *bounded lattice*  $L$  is, as usual, a lattice with a largest element  $1$  and a smallest element  $0$ ; only bounded lattices will be considered here. An *endomorphism*  $f$  of  $L$  is a *bounded endomorphism*, or a  $(0,1)$ -*preserving endomorphism* if  $f(0) = 0$  and  $f(1) = 1$ ; if  $\{a, b\}$  is a complemented pair of elements of  $L$ , so is the pair  $\{f(a), f(b)\}$ . The set  $C(L)$  of all complemented pairs of a bounded lattice  $L$  can be viewed as an undirected graph whose vertex set is the underlying set of  $L$ . If  $L$  is nontrivial, then  $C(L)$  is a graph with no loops, and one of the *components* (i.e., maximal connected subsets) of  $C(L)$  is the two-element set  $\{0, 1\}$ . It is clear that every bounded endomorphism of  $L$  induces a unique graph endomorphism of  $C(L)$ . Let  $G = (X, R)$  be a graph without loops; i.e., let  $X$  be the vertex set of  $G$  and let the set  $R$  of all edges of  $G$  consist of two-element subsets of  $X$ . The *chromatic number* of  $G$  is the smallest number  $n > 1$  for which there exists a graph homomorphism (compatible mapping) of  $G$  into the complete graph  $K_n$  without loops on  $n$  vertices. A finite set  $Y \subseteq X$  is said to be an *independent set* of  $G$  if it contains no element of  $R$ . Thus  $\emptyset$  and every singleton  $\{x\}$  are independent sets of a graph  $G$  without loops and the system  $I(G)$  of all independent sets of  $G$  is hereditary.

A chain  $C_{n+1}$  of  $n + 1$  elements is said to have *length*  $n$ . A lattice  $L$  has *finite height*  $n$  if  $C_{n+1} \subseteq L$  and no chain of  $L$  is of length exceeding  $n$ . Any finite lattice is an example of a bounded lattice of finite height.

A semigroup with an identity element is called a *monoid*; a *transformation monoid* is a pair  $(X, M)$  in which  $X$  is a set and  $M \subseteq X^X$  is a set of transformations of  $X$  closed under composition and containing the identity transformation

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$1_X$  of  $X$ . If  $L$  is a bounded lattice, then  $(L, \text{End}_{0,1}(L))$  is a transformation monoid.

## 2. Endomorphism monoids of lattices of finite height.

*Definition.* Let  $E_n$  be the class consisting of all (pairwise nonisomorphic) monoids  $M$  isomorphic to  $\text{End}_{0,1}(L)$  of a lattice  $L$  of height not larger than  $n \geq 1$ .  $F_n$  will denote the set of all  $M \in E_n$  representable by bounded endomorphisms of a finite lattice of height  $\leq n$ .

It is clear that  $E_1 = F_1$  (each contains only the trivial monoid), and that  $E_n \subseteq E_{n+1}$  and  $F_n \subseteq F_{n+1}$  for all  $n \geq 1$ . The lattices of length two are exactly all lattices  $M_\alpha$  with  $\alpha > 0$  atoms. Since  $M_\alpha$  is simple for every cardinal  $\alpha > 2$ ,  $E_2$  consists of the trivial monoid,  $\text{End}_{0,1}(C_3)$ ,  $\text{End}_{0,1}(C_2 \times C_2)$ , and all monoids of all one-to-one mappings into itself of a set  $X$  with more than two elements. Thus, for instance, the cyclic group of order two is not in  $E_2$ , and  $F_2$  contains every finite symmetric group with more than two elements. Every  $E_n$  ( $n \geq 2$ ) has infinite members; hence  $F_n$  is a proper subset of  $E_n$  for all  $n \geq 2$ .

The following lemma is needed to show that  $E_n \neq E_{n+1}$ .

**LEMMA 1.** *For every  $n > 1$  there is a finite monoid  $A_n$  such that  $A_n \subseteq M \in E_k$  only if  $k > n$ .*

*Proof.* Let  $A_n$  be generated by  $\{a\}$  and let  $a^n = a^{n+1}$ , that is,  $A_n = \{1, a, a^2, \dots, a^n\}$ . Let  $A_n$  be isomorphic to a submonoid of  $\text{End}_{0,1}(L)$  and let  $f \in \text{End}_{0,1}(L)$  represent  $a$ ; as  $a^{n-1} \neq a^n$ , there is an  $x \in L$  such that  $f^{n-1}(x) \neq f^n(x)$ . Denote  $x = f^0(x)$  and for every  $k \in \{0, 1, \dots, n\}$  set

$$b_k = f^k(x) \vee f^{k+1}(x) \vee \dots \vee f^n(x),$$

$$c_k = f^k(x) \wedge f^{k+1}(x) \wedge \dots \wedge f^n(x).$$

It is easy to see that  $f(b_k) = b_{k+1}$ ,  $f(c_k) = c_{k+1}$  for every  $k \in \{0, \dots, n-1\}$ , and that

$$(1) \quad c_0 \leq c_1 \leq \dots \leq c_n = f^n(x) = b_n \leq b_{n-1} \leq \dots \leq b_0.$$

We will show that the set of elements listed in (1) contains a chain of length  $n$ ; this is certainly true if all elements  $b_j, c_k$  are distinct. If, on the other hand,  $b_j = b_{j-1}$  for some  $j \in \{1, \dots, n\}$ , then  $f^{n-1}(x) \vee f^n(x) = b_{n-1} = f^{n-j}(b_{j-1}) = f^{n-j}(b_j) = f^n(x)$  and hence  $f^{n-1}(x) \leq f^n(x)$ . In a similar way,  $c_{k-1} = c_k$  for some  $k \in \{1, \dots, n\}$  implies  $f^{n-1}(x) \geq f^n(x)$ . Thus either  $\{b_n, \dots, b_0\}$  or  $\{c_0, \dots, c_n\}$  is a chain of length  $n$  contained in  $L$ . If the height of  $L$  is  $n$ , then either  $f^n(0) = 1$  or  $f^n(1) = 0$ ; therefore the height of  $L$  is strictly larger than  $n$ .

**PROPOSITION 2.**  *$E_n \neq E_{n+1}$  and  $F_n \neq F_{n+1}$  for all  $n \geq 1$ .*

*Proof.* The claim is clearly true for  $n = 1$ . If  $n > 1$ , let  $X = \{x_0, x_1, \dots, x_n\}$  be an  $(n+1)$ -element set. It is well known that the distributive lattice  $2^X$  has height  $n+1$  and that  $\text{End}_{0,1}(2^X) = B_{n+1}$  is dually isomorphic to the monoid

$X^X$  of all transformations of  $X$  into itself. If  $f \in X^X$  is the mapping defined by  $f(x_i) = x_{i+1}$  for every  $i \in \{0, \dots, n-1\}$ ,  $f(x_n) = x_n$ , then  $f$  generates a submonoid of  $X^X$  isomorphic to the monoid  $A_n$  from Lemma 1.  $A_n$  is a commutative monoid and therefore  $A_n \subseteq B_{n+1}$  as well. Applying Lemma 1 now shows that  $B_{n+1} \in F_{n+1} \setminus E_n$ , which proves both claims.

Little else is known about the classes  $E_n$  and  $F_n$ . It will be seen, however, that  $E_3$  is considerably larger than  $E_2$ , and that lattices of height three are no longer in a one-to-one correspondence with their monoids of bounded endomorphisms.

*Definition.* A one-to-one graph homomorphism  $f: (X_1, R_1) \rightarrow (X_2, R_2)$  is called *algebraic* if  $\{x, y\} \in R_1$  is equivalent to  $\{f(x), f(y)\} \in R_2$ . Let  $\mathbf{A}$  denote the category whose objects are all undirected graphs  $(X, R)$  without loops such that for every  $x \in X$  there are distinct  $y_1, y_2, y_3$  with  $\{x, y_1\} \in R$ ,  $\{x, y_2\} \in R$ ,  $\{x, y_3\} \notin R$ ; the morphisms of  $\mathbf{A}$  will be all one-to-one algebraic homomorphisms.

Note that every isomorphism is algebraic and that the (algebraic) one-to-one endomorphisms of a finite graph are exactly its automorphisms.

LEMMA 3. *There is a one-to-one functor  $H$  from  $\mathbf{A}$  to the category of all lattices of height three and all their bounded homomorphisms such that every  $g: H(X_1, R_1) \rightarrow H(X_2, R_2)$  is of the form  $g = H(f)$  for some  $f: (X_1, R_1) \rightarrow (X_2, R_2)$  in  $\mathbf{A}$ ; in other words,  $H$  is a full embedding.*

*Proof.* Let  $I_2(X, R)$  denote the set of all those independent sets of an  $(X, R) \in \mathbf{A}$  which have less than three elements. Set  $H(X, R) = I_2(X, R) \cup \{1\}$ , where  $1 \notin I_2(X, R)$ . Let  $H(X, R)$  be ordered by the inclusion order of elements of  $I_2(X, R)$  together with the requirement that 1 be the largest element of  $H(X, R)$ . Thus  $H(X, R)$  is a lattice of height three, with  $\emptyset$  as its least element. For every morphism  $f: (X_1, R_1) \rightarrow (X_2, R_2)$  of  $\mathbf{A}$  define  $H(f)$  by  $H(f)(1) = 1$ ,  $H(f)(\emptyset) = \emptyset$ ,  $H(f)(\{x\}) = \{f(x)\}$  for all  $x \in X$ , and  $H(f)(\{x, y\}) = \{f(x), f(y)\}$  for every two-element independent subset of  $(X_1, R_1)$ . As  $f$  is a one-to-one algebraic homomorphism,  $H(f)$  is well-defined; it is easy to see that  $H(f)$  is a bounded lattice homomorphism and that  $H$  is, indeed, a one-to-one functor.

To show that  $H$  is full, choose an arbitrary bounded lattice homomorphism  $g: H(X_1, R_1) \rightarrow H(X_2, R_2)$  and assume that  $g(a) = g(b)$  for some elements  $a < b$  of  $H(X_1, R_1)$ . As every nonzero element of  $H(X_1, R_1)$  is a join of its atoms, there is an  $x_0 \in X_1$  such that  $\{x_0\} \leq b$  and  $a \wedge \{x_0\} = \emptyset$ . If  $y_1 \neq y_2$  are such that  $\{x_0, y_1\}, \{x_0, y_2\} \in R$ , then  $\emptyset = g(\emptyset) = g(\{x_0\}) \wedge g(a) = g(\{x_0\} \wedge b) = g(\{x_0\})$ , and  $1 = g(1) = g(\{x_0\} \vee \{y_i\}) = g(\{y_i\})$  for  $i = 1, 2$ . However,  $\{y_1\} \wedge \{y_2\} = \emptyset$  and, consequently,  $\emptyset = g(\{y_1\} \wedge \{y_2\}) = g(\{y_1\}) \wedge g(\{y_2\}) = 1$ , which is a contradiction. Thus  $g$  is a one-to-one homomorphism. Since every atom  $\{x\}$  of  $H(X_1, R_1)$  is covered by some  $\{x, y_3\}$ ,  $g(\{x\})$  must be an atom again; denote  $g(\{x\}) = \{f(x)\}$ . Accordingly,  $f$  is a one-to-one mapping of  $X_1$

into  $X_2$  and if  $\{x, y\} \in R_1$ , then  $\{x\} \vee \{y\} = 1$  in  $H(X_1, R_1)$ . Hence  $\{f(x)\} \vee \{f(y)\} = g(\{x\}) \vee g(\{y\}) = g(1) = 1$ , which means that  $\{f(x), f(y)\} \in R_2$ . If, on the other hand,  $\{x, y\} \notin R_1$ , then  $\{x, y\} < 1$  in  $H(X_1, R_1)$  and  $\{f(x)\} \vee \{f(y)\} = g(\{x\}) \vee g(\{y\}) = g(\{x, y\}) < g(1) = 1$ . Hence  $g(\{x, y\}) \notin R_2$  and  $g(\{x, y\}) = \{f(x), f(y)\}$ . Altogether,  $f$  is an algebraic one-to-one graph homomorphism and  $H(f) = g$ .

A monoid  $M$  is *left cancellative* if  $xy = xz$  implies  $y = z$  for all  $x, y, z \in M$ , or, equivalently, if all left translations of  $M$  are one-to-one mappings of  $M$  into itself. Since the left translations of  $M$  form the endomorphism monoid of the multi-unary algebra on  $M$  whose operations are all right translations of  $M$ , Lemma 7 and Lemma 8 of [5] imply that  $M$  is isomorphic to the monoid of all one-to-one endomorphisms of an algebra  $B$  with two unary operations;  $B$  is finite for a finite (group)  $M$ , and the cardinalities of  $M$  and  $B$  are equal if  $M$  is an infinite left-cancellative monoid. The main result of [4] implies that for every infinite cardinal  $c \geq \text{card}(M)$  there are exactly  $2^c$  pairwise nonisomorphic algebras  $B_i$  with two unary operations and  $\text{card}(B_i) = c$  that represent  $M$  in this way. If  $M$  is a finite group, then an application of [4] will give  $\aleph_0$  pairwise nonisomorphic finite such algebras  $B_n$ . The full embedding  $\Psi \circ \Phi$  defined in [5] translates one-to-one homomorphisms of algebras with two unary operations into one-to-one algebraic homomorphisms of graphs from **A**. Since the composite full embedding  $H \circ \Psi \circ \Phi$  does not increase infinite cardinalities and since it assigns finite lattices to finite algebras, these remarks can be combined to obtain the following statement.

**PROPOSITION 4.**  *$E_3$  contains all left-cancellative monoids and  $F_3$  contains all finite groups. Moreover, for any left-cancellative monoid  $M$  and any infinite cardinal  $c \geq \text{card}(M)$  there are exactly  $2^c$  pairwise nonisomorphic lattices  $L_i$  of height three and  $\text{card}(L_i) = c$  such that  $M \cong \text{End}_{0,1}(L_i)$  for every  $i \in 2^c$ . If  $M$  is a finite group, then there are infinitely many pairwise nonisomorphic finite lattices  $L_n$  of height three with  $M \cong \text{End}_{0,1}(L_n)$  for every  $n \in \omega$ .*

Hence, in particular,  $E_3$  includes all groups and every group appears as the endomorphism monoid of a proper class of pairwise nonisomorphic lattices of height three.

As no  $E_n$  contains all monoids, it is, perhaps, natural to ask whether bounded lattices whose chains are all finite (with no common bound for their lengths) can be utilized as representing lattices for the class of all monoids. The last theorem of this section gives a negative answer to this question.

*Notation.* Define a binary operation  $*$  on the interval  $[0, 1]$  of real numbers by  $a * b = \min(a + b, 1)$ . The operation  $*$  is associative and commutative; the set  $D$  of all rational numbers  $d = m \cdot 2^{-n} \in [0, 1]$  contains 0 and is closed under  $*$ . Therefore,  $M = (D, *)$  is a countable commutative monoid.

**PROPOSITION 5.** *If  $M \cong M' \subseteq \text{End}_{0,1}(L)$ , then  $L$  has an infinite chain.*

*Proof.* For every  $n \geq 0$ , let  $f_n$  denote the endomorphism of  $L$  corresponding to  $2^{-n} \in D$ . Note that  $f_n^2 = f_{n-1}$  for all  $n \geq 1$ , so that  $0 \leq m < n$  implies that  $f_m = f_n^k$  for some  $k \geq 2$ . Further, observe that if  $y \in L$  is such that  $f_{n+1}^k(y) \leq f_0(y)$ , then  $f_{n+1}^j(y) = f_{n+1}^{j-k} f_{n+1}^k(y) \leq f_{n+1}^{j-k} f_0(y) = f_0(y)$  for every  $j \geq k$ .

There must be an  $x \in L$  for which  $f_0(x) \neq f_1(x)$ . For every  $n \geq 1$  set

$$b_n = f_1(x) \vee f_2(x) \vee \dots \vee f_n(x),$$

$$c_n = f_1(x) \wedge f_2(x) \wedge \dots \wedge f_n(x)$$

and observe that

$$(2) \quad \dots \leq c_{n+1} \leq c_n \leq \dots \leq c_1 = f_1(x) = b_1 \leq b_2 \leq \dots \leq b_n \leq \dots$$

We will show that the set in (2) contains an infinite chain. If all elements listed under (2) are distinct, there is nothing to prove. If  $b_{n+1} = b_n$  for some  $n \geq 1$ , then

$$(3) \quad f_{n+1}(x) \leq f_1(x) \vee \dots \vee f_n(x).$$

By a previous observation, there is a  $k_0$  such that  $f_{n+1}^{k_0+1}(x) \leq f_0(x)$ , namely  $k_0 = 2^{n+1} - 1$ . Assuming that  $k \geq 2$  and  $f_{n+1}^{k+1}(x) \leq f_0(x)$ , we will first show that  $f_{n+1}^k(x) \leq f_0(x)$ . To this end, apply the mapping  $f_{n+1}^{k-1}$  to both sides of (3) to obtain

$$(4) \quad f_{n+1}^k(x) \leq f_{n+1}^{k-1} f_1(x) \vee f_{n+1}^{k-1} f_2(x) \vee \dots \vee f_{n+1}^{k-1} f_n(x).$$

If  $m \leq n$ , then  $f_{n+1}^{k-1} f_m = f_{n+1}^j$  for some  $j \geq k + 1$  and, consequently, using a previous observation and the inductive hypothesis,  $f_{n+1}^{k-1} f_m(x) \leq f_0(x)$  for all  $m \in \{1, \dots, n\}$ . This, together with (4), gives  $f_{n+1}^k(x) \leq f_0(x)$ . A simple induction based on this argument leads to the inequality  $f_n(x) = f_{n+1}^2(x) \leq f_0(x)$ . As  $n \geq 1, f_1 = f_n^j$  for some  $j \geq 1$ ; thus  $f_1(x) = f_n^{j-1} f_n(x) \leq f_n^{j-1} f_0(x) = f_0(x)$ . Now  $\{c_n : n \geq 1\}$  is the desired infinite chain, for if  $c_m = c_{m+1}$  for some  $m \geq 1$ , then an argument dual to that given above yields  $f_1(x) \geq f_0(x)$ , contradicting the choice of  $x$ .

**3. Endomorphisms of finite lattices.** This section is concerned with the construction of a finite lattice  $L$  with  $\text{End}_{0,1}(L)$  isomorphic to a given finite monoid  $M$ .

The starting point is a result, used already in [3], stating that every finite monoid  $M$  is isomorphic to the full endomorphism monoid of a finite connected graph  $G$  without loops and of a chromatic number at least three. The full embedding  $\Psi \circ \Phi$  of [5] can again be used (in conjunction with arguments analogous to those of the preceding section) to show that every finite monoid possesses infinitely many pairwise nonisomorphic finite graphs  $G_n$  of this type with  $\text{End}(G_n) \cong M$  for each  $G_n$ .

To explain the method used here, we return to the basic construction of [3]: given a graph  $G = (X, R)$  satisfying the requirements listed above, define a congruence  $\theta_G$  on the bounded lattice  $F(X)$  freely generated by  $X$  as the

smallest congruence that identifies  $x \vee y$  with 1 and  $x \wedge y$  with 0 for any pair  $\{x, y\} \subseteq X$  which belongs to  $R$ ; let  $M(G) = F(X)/\theta_G$ , and let  $\pi : F(X) \rightarrow M(G)$  be the canonical homomorphism whose kernel is  $\theta_G$ . If  $F(f)$  is the free extension of a graph endomorphism  $f$  of  $G$ , then  $\theta_G$  is contained in the kernel of  $\pi \circ F(f)$ ; hence there is a unique endomorphism  $M(f)$  of  $M(G)$  defined by  $\pi \circ F(f) = M(f) \circ \pi$ . It is easy to see that  $f \mapsto M(f)$  is a homomorphism of  $\text{End}(G)$  into  $\text{End}_{0,1}(M(G))$ . It is proved in [3] that the restriction of  $\pi$  to the set  $X$  of generators of  $F(X)$  is a one-to-one mapping, so that  $M$  is a one-to-one monoid homomorphism. If  $\pi(x)$  is denoted as  $x$  for every  $x \in X$ , then  $C(M(G)) \supseteq R \cup \{\{0, 1\}\}$ . Once it is known [3] that  $C(M(G)) = R \cup \{\{0, 1\}\}$ , then every bounded endomorphism  $g$  of  $M(G)$  must map the set  $X \cup \{0, 1\}$  into itself. There are just two components of  $C(M(G))$ : the component  $(X, R)$  whose chromatic number is larger than two, and the 2-colourable component  $\{0, 1\}$ . Therefore  $g(X) \subseteq X$  and the restriction  $f$  of  $g$  to  $X$  is an endomorphism of  $G$ . As  $X$  generates  $M(G)$ ,  $g = M(f)$ ; hence  $M$  is an isomorphism of  $\text{End}(G)$  onto  $\text{End}_{0,1}(M(G))$ .

This construction can obviously be performed in any nontrivial variety  $\mathbf{V}$  of lattices once  $F(X)$  is replaced by the bounded lattice  $V(X)$  generated freely by  $X$  in  $\mathbf{V}$ . The relation  $\theta_G$  is now interpreted as the smallest congruence on  $V(X)$  which turns edges of  $G$  into complemented pairs. If, moreover,  $\mathbf{V}$  is a locally finite variety, then  $V(G) = V(X)/\theta_G$  will be finite for every finite graph  $G$ . If  $\theta_G$  separates elements of  $X$  and if  $C(V(G)) = R \cup \{\{0, 1\}\}$ , then an argument identical to that concerning  $M(G)$  will show that  $\text{End}(G) \cong \text{End}_{0,1}(V(G))$ . The task on hand is, therefore, to find a locally finite variety  $\mathbf{V}$  of lattices in which  $V(G)$  will satisfy these requirements for a given finite graph  $G$ .

*Definition and notation.* Let  $I(G)$  denote the set of independent sets of a nontrivial connected finite graph  $G = (X, R)$  without loops. Set  $I^*(G) = I(G) \cup \{1\}$ , where  $1 \notin I(G)$ . If the elements of  $I(G)$  are ordered by inclusion and if 1 is the largest element of  $I^*(G)$ , then  $I^*(G)$  is a lattice in which the join of independent sets  $a, b$  is their union  $a \cup b$  if the latter set is independent, and  $a \vee b = 1$  if  $a \cup b$  is dependent. Let  $I_*(G)$  denote the dual of  $I^*(G)$  and let  $A(G)$  be the sublattice of  $I^*(G) \times I_*(G)$  generated by the set

$$S = \{\langle \emptyset, \emptyset \rangle\} \cup \{\langle \{x\}, \{x\} \rangle : x \in X\}.$$

Figure 1 gives an example of the lattice  $A(G)$  for the four-element graph  $G$  shown there. If  $\delta^* : F(X) \rightarrow I^*(G)$  and  $\delta_* : F(X) \rightarrow I_*(G)$  are homomorphisms for which  $\delta^*(x) = \delta_*(x) = \{x\}$  for all  $x \in X \subset F(X)$ , then  $\delta = \delta^* \times \delta_*$  maps  $F(X)$  into  $A(G)$ ; let  $\Delta$  be the kernel of  $\delta$ .

If  $\theta \supseteq \theta_G$  is a congruence on  $F(X)$  and  $\tau : F(X) \rightarrow F(X)/\theta = T(G)$  is the canonical homomorphism, then  $T(G)$  is a bounded lattice generated by  $\tau(X)$  such that  $0 \leq \tau(x) \leq 1$  for all  $x \in X$ ; note also that  $\bigvee (\tau(x) : x \in Y) = 1, \bigwedge (\tau(x) : x \in Y) = 0$  if  $Y$  is a dependent set of  $G$ .

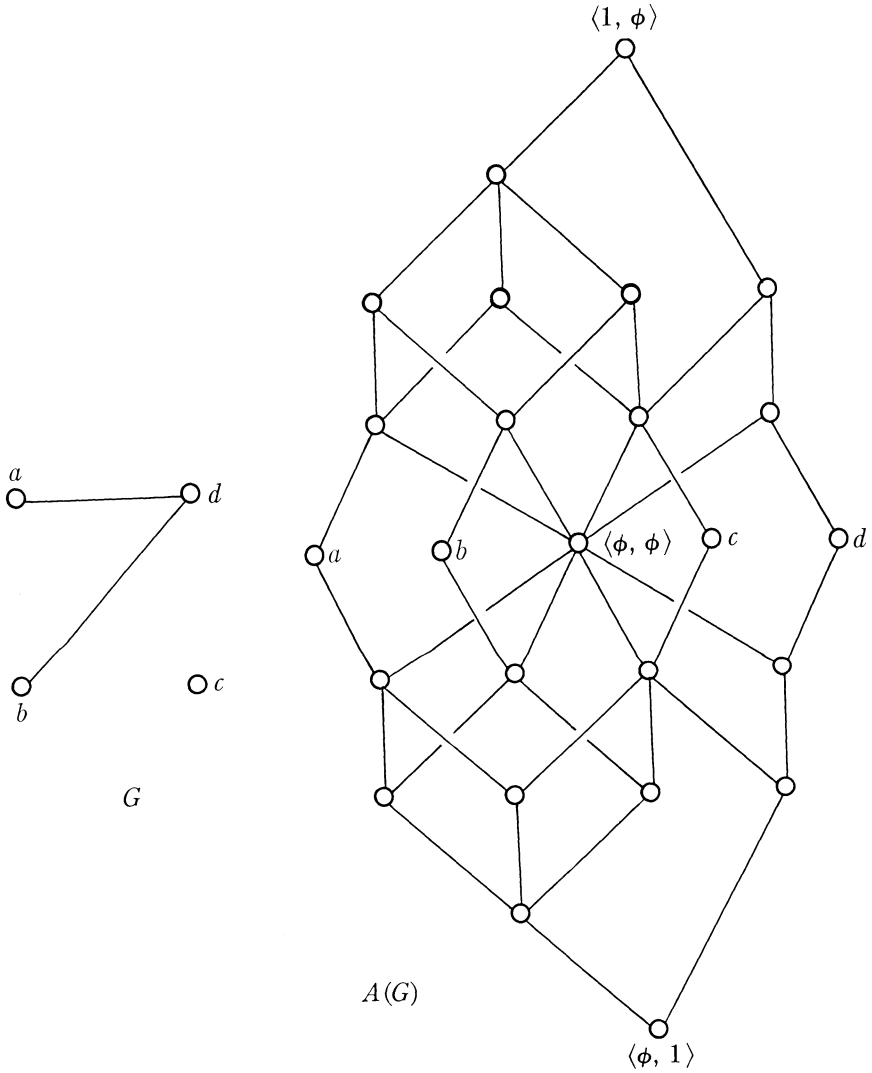


FIG. 1. A generator  $\langle \{x\}, \{x\} \rangle$  of  $A(G)$  is denoted by  $x$ .

LEMMA 6. For every  $x \in X$  and every  $P \in F(X)$

- (i)  $\{x\} \leq \delta^*(P)$  implies  $\tau(x) \leq \tau(P)$ ,
- (ii)  $\{x\} \geq \delta_*(P)$  implies  $\tau(x) \geq \tau(P)$ .

*Proof.* We will prove (i) by an induction on the rank of  $P$ —see, for instance, [2].

If  $\text{rank}(P) = 1$ , then  $P = y \in X$  and  $\{x\} \leq \delta^*(P) = \{y\}$  implies  $x = y$  as the elements of  $I^*(G)$  are ordered by inclusion. Thus, in this case,  $\tau(x) = \tau(y)$ .

Assume (i) to be true for all elements of  $F(X)$  of rank smaller than  $n$  and let  $\text{rank}(P) = n > 1$ .

If  $P = Q \vee R$  in  $F(X)$ , then  $Q$  and  $R$  have rank smaller than  $n$ . Let  $\{x\} \leq \delta^*(P)$ . If  $\delta^*(Q) = 1$ , then  $\{x\} \leq \delta^*(Q)$  and, by the induction hypothesis,  $\tau(x) \leq \tau(Q) \leq \tau(P)$ . We may therefore assume that  $\delta^*(Q) < 1$  and  $\delta^*(R) < 1$ . If  $\delta^*(P) = 1$ , then  $\delta^*(Q)$  and  $\delta^*(R)$  are independent sets of  $G$  whose union is dependent; the induction hypothesis implies that  $\tau(y) \leq \tau(Q)$  for all  $y \in \delta^*(Q)$ , and that  $\tau(y) \leq \tau(R)$  for all  $y \in \delta^*(R)$ . Hence  $\tau(y) \leq \tau(Q) \vee \tau(R) = \tau(P)$  for all  $y \in \delta^*(Q) \cup \delta^*(R)$  and, consequently,

$$\tau(P) \geq \bigvee (\tau(y) : y \in \delta^*(Q) \cup \delta^*(R)) = 1,$$

since  $\delta^*(Q) \cup \delta^*(R)$  is dependent. Thus  $\tau(x) \leq \tau(P)$  for all  $x \in X$ . If  $\delta^*(P) < 1$ , then  $\delta^*(P) = \delta^*(Q) \cup \delta^*(R)$  is independent. Hence  $\{x\} \leq \delta^*(P)$  and the induction hypothesis imply that  $\tau(x) \leq \tau(Q)$  or  $\tau(x) \leq \tau(R)$ ; in either case,  $\tau(x) \leq \tau(Q) \vee \tau(R) = \tau(P)$  as required.

If  $P = Q \wedge R$  and  $\{x\} \leq \delta^*(P)$ , then  $\{x\} \leq \delta^*(Q)$  and  $\{x\} \leq \delta^*(R)$ ; the induction hypothesis gives  $\tau(x) \leq \tau(Q)$  and  $\tau(x) \leq \tau(R)$ , so that  $\tau(x) \leq \tau(Q) \wedge \tau(R) = \tau(P)$  as was to be shown.

This finishes the proof, for (ii) is a statement which is dual to (i).

LEMMA 7. *If  $\theta \subseteq \Delta$ , then  $\tau(X)$  is an antichain of  $T(G)$  bijective to  $X$ ; if  $\tau(x)$  is denoted as  $x$  for every  $x \in X$ , then  $C(T(G)) = R \cup \{\{0, 1\}\}$ .*

*Proof.*  $\text{Ker } \tau \subseteq \Delta$  implies the existence of a unique homomorphism  $\epsilon : T(G) \rightarrow A(G)$  such that  $\delta = \epsilon \circ \tau$ ; as  $\tau$  is onto,  $\epsilon = \epsilon_1 \times \epsilon_2$ , where  $\epsilon_1 \circ \tau = \delta^*$  and  $\epsilon_2 \circ \tau = \delta_*$ .

If  $\tau(x) \leq \tau(y)$ , then  $\{x\} = \delta^*(x) = \epsilon_1(\tau(x)) \leq \epsilon_1(\tau(y)) = \delta^*(y) = \{y\}$ , that is,  $x = y$ . Thus  $\tau(X)$  is an antichain bijective to  $X$ ; set  $x = \tau(x)$ .

If  $\{x, y\} \in R$ , then  $\epsilon_1(1) = \epsilon_1(x \vee y) = \epsilon_1(\tau(x \vee y)) = \delta^*(x \vee y) = 1$  and, similarly,  $\epsilon_2(0) = 1$  in  $I_*(G)$ .

Let  $a, b \in T(G)$  be such that  $a \vee b = 1$  and  $a \wedge b = 0$ , let  $a = \tau(A)$ ,  $b = \tau(B)$ , where  $A, B \in F(X)$ . Then  $1 = \epsilon_1(a \vee b) = \delta^*(A) \vee \delta^*(B)$  in  $I^*(G)$  and if  $\delta^*(A) = 1$ , then  $\{x\} \leq \delta^*(A)$  and  $\{y\} \leq \delta^*(A)$  for every  $\{x, y\} \in R$ . Lemma 6 implies that  $\tau(x) \vee \tau(y) \leq \tau(A) = a$ ; since  $\{x, y\}$  is dependent,  $\tau(x) \vee \tau(y) = 1$  in  $T(G)$ . Hence  $a = 1$  and  $b = 0$ . Now if  $\delta^*(A), \delta^*(B)$  are independent sets of  $G$  whose union is dependent, then there is an  $\{x_1, y_1\} \in R$  such that  $\{x_1\} \leq \delta^*(A)$  and  $\{y_1\} \leq \delta^*(B)$ . Lemma 6 now implies that  $x_1 = \tau(x_1) \leq \tau(A) = a$  and  $y_1 = \tau(y_1) \leq \tau(B) = b$ .

Furthermore,  $\delta_*(A) \wedge \delta_*(B) = \epsilon_2(a \wedge b) = 1$  in  $I_*(G)$ . If  $\delta_*(A) = 1$ , then  $\{x\} \geq \delta_*(A)$  and  $\{y\} \geq \delta_*(A)$  hold in  $I_*(G)$  for every  $\{x, y\} \in R$ . An application of Lemma 6 yields  $0 = \tau(x) \wedge \tau(y) \geq \tau(A) = a$  and this contradicts the inequality  $a \geq x_1$  obtained earlier. Therefore  $\delta_*(A), \delta_*(B)$  are independent sets; as  $\delta_*(A) \cup \delta_*(B)$  is dependent, there must be  $x_2 \in \delta_*(A), y_2 \in \delta_*(B)$  such that  $\{x_2, y_2\} \in R$ . Now Lemma 6 implies  $x_2 = \tau(x_2) \geq \tau(A) = a$  and  $y_2 = \tau(y_2) \geq$



$\tau(B) = b$ . Altogether, since  $X$  forms an antichain in  $T(G)$ ,  $x_1 \leq a \leq x_2$  and  $y_1 \leq b \leq y_2$  imply  $a = x_1 = x_2$  and  $b = y_1 = y_2$ . This finishes the proof.

*Remark.* It should be pointed out that since  $\theta_G$  itself is contained in  $\Delta$ , Lemma 7 applied to  $T(G) = M(G)$  implies that  $M(G)$  has only the complements induced by the graph  $G$ . If the definition of  $A(G)$  is suitably extended in order to accommodate infinite connected graphs, the basic result of [3] would become a special case of Lemma 7.

**THEOREM 8.** *For every finite monoid  $M$  there are countably many pairwise nonisomorphic finite lattices  $L_n$  with  $\text{End}_{0,1}(L_n) \cong M$ .*

*Proof.* Let  $(G_n : n \in \omega)$  be a set of pairwise nonisomorphic finite connected graphs of chromatic number at least three, let  $\text{End}_{0,1}(G_n) \cong M$  for every  $n \in \omega$ . Let  $\mathbf{V}_n$  be the variety generated by  $A(G_n)$ ; as  $A(G_n)$  is finite,  $\mathbf{V}_n$  is a locally finite variety. The fully invariant congruence  $\Theta_n$ , such that  $F(X_n)/\Theta_n = F_n(X_n)$  is the lattice freely generated by  $X_n$  in  $\mathbf{V}_n$ , is clearly contained in  $\Delta$ ; the same is true for  $\theta_G$ . Thus the finite lattice  $V_n(G_n) \cong F(X_n)/\theta_{G_n} \vee \Theta_n$  satisfies the hypothesis of Lemma 7. To show that  $\text{End}_{0,1}(V_n(G_n)) \cong \text{End}(G_n) \cong M$ , it is enough to use the conclusion of Lemma 7 in an argument duplicating that which concerns  $M(G)$ . Finally, if  $V_n(G_n) \cong V_m(G_m)$ , then the components of  $C(V_n(G_n))$ ,  $C(V_m(G_m))$  of chromatic number  $> 2$  must be isomorphic, that is,  $G_n \cong G_m$  or, equivalently,  $n = m$ .

**4. Concluding remarks.** Interestingly enough, lattices  $A(G)$  themselves cannot be used to represent  $\text{End}(G)$ : all but finitely many lattices  $I^*(G)$  are simple and, consequently,  $\text{End}_{0,1}(A(G)) \cong \text{Aut}(G)$  for almost every finite connected graph  $G$ . For a similar reason, no essential advantage is to be gained by an increase in height, obtained by including larger independent sets, of lattices used to prove Lemma 3.

A naturally arising question of whether all lattices  $L_n$  in Theorem 8 may be chosen in a single locally finite variety, thereby turning the present construction into a functorial one, is answered positively in [1], where lattices  $A(G)$  and somewhat more general *cover set lattices* are investigated and employed to generalize some well-known theorems on  $\mathcal{C}$ -reduced free products.

Theorem 8 together with Lemma 1 enable us to define meaningfully a *lattice rank* of a finite monoid  $M$  as the smallest natural  $n$  for which  $M \in F_n$  (e.g., the dual of the monoid  $X^X$  with  $\text{card}(X) = n$  is of lattice rank  $n$ ). Thus it appears to be natural enough to ask for a characterization of finite monoids of rank  $n$ ; a problem little understood by the authors.

A different approach to that given here is to consider nonconstant endomorphisms of lattices. However, for a given *finite* lattice  $L$ , it is not too difficult to construct a finite lattice, extending  $L$ , whose nonconstant endomorphisms are closed under composition and form a monoid isomorphic to  $\text{End}_{0,1}(L)$ .

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*University of Manitoba,  
Winnipeg, Manitoba*