

## $A_\phi$ -INVARIANT SUBSPACES ON THE TORUS

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**ABSTRACT.** Generalizing the notion of invariant subspaces on the 2-dimensional torus  $T^2$ , we study the structure of  $A_\phi$ -invariant subspaces of  $L^2(T^2)$ . A complete description is given of  $A_\phi$ -invariant subspaces that satisfy conditions similar to those studied by Mandrekar, Nakazi, and Takahashi.

**1. Introduction.** Let  $L^2(T^2)$  and  $L^\infty(T^2)$  be the usual Lebesgue spaces on the 2-dimensional torus  $T^2$ . We use  $(z, w)$  or  $(e^{i\theta}, e^{i\psi})$  as variables in  $T^2$ . Let  $Z$  and  $Z_+$  be the sets of integers and non-negative integers respectively. A closed subspace  $M$  of  $L^2(T^2)$  is called  $z$ -invariant if  $zM \subset M$ , and called invariant if  $zM \subset M$  and  $wM \subset M$ . For a function  $f$  in  $L^2(T^2)$ , let

$$\hat{f}(n, k) = \int_0^{2\pi} \int_0^{2\pi} f(e^{i\theta}, e^{i\psi}) e^{-(n\theta + k\psi)} d\theta d\psi / (2\pi)^2, \quad (n, k) \in Z^2,$$

where  $d\theta d\psi / (2\pi)^2$  is normalized Lebesgue measure on  $T^2$ . The Hardy space  $H^2(T^2)$  is the space of  $f \in L^2(T^2)$  such that  $\hat{f}(n, k) = 0$  for every  $(n, k) \in Z^2 \setminus Z_+^2$ . For  $f, g \in L^2(T^2)$ , we write  $f \perp g$  if  $\int_0^{2\pi} \int_0^{2\pi} f\bar{g} d\theta d\psi / (2\pi)^2 = 0$ . Subsets  $E$  and  $F$  of  $L^2(T^2)$  are called *mutually orthogonal* when  $f \perp g$  for every  $f \in E$  and  $g \in F$ , and in this case  $E \oplus F$  denotes the direct sum of  $E$  and  $F$ . When  $F \subset E \subset L^2(T^2)$ , we denote by  $E \ominus F$  the orthogonal complement of  $F$  in  $E$ .

The Beurling theorem says that every invariant subspace  $N$  on the unit circle  $T$  has the form  $N = q(z)H^2(T)$  or  $N = \chi_E L^2(T)$ , where  $q(z)$  is a unimodular function on  $T$  and  $\chi_E$  is the characteristic function for a subset  $E \subset T$ . To avoid confusion, we use the notation  $T_z$  for the unit circle with the variable  $z$ . Hence every  $f$  in  $L^2(T_z)$  is a  $z$ -variable function and  $f = f(z)$ . We may consider  $L^2(T_z), H^2(T_z), L^2(T_w)$ , and  $H^2(T_w)$  as closed subspaces of  $L^2(T^2)$  by the natural way. We note that  $T^2 = T_z \times T_w$ .

For a subset  $E$  of  $L^2(T^2)$ , we denote by  $[E]$  the closed linear span of  $E$  in  $L^2(T^2)$ . Let  $H_z^2(T^2) = [\bigcup\{z^{-n}H^2(T^2) ; n \in Z_+\}]$ . Then

$$H_z^2(T^2) = \sum_{j=-\infty}^{\infty} \oplus z^j H^2(T_w) = \sum_{j=0}^{\infty} \oplus w^j L^2(T_z).$$

Now we give notations and definitions to state our results. Our main purpose is to study generalized invariant subspaces. To define them, let

$$\phi: Z_+ \rightarrow Z \cup \{-\infty\} \quad \text{and} \quad \phi(0) = 0,$$

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and let

$$A_\phi = \{z^i w^j ; i \geq \phi(j), j \in \mathbb{Z}_+\}.$$

When  $\phi(j) = -\infty$ , we mean that  $\{i \in \mathbb{Z} ; i \geq \phi(j)\} = \mathbb{Z}$ . Moreover we assume that

(#)  $A_\phi$  is a semigroup.

Then, if  $\phi(j) = -\infty$  then  $\phi(i) = -\infty$  for every  $i \geq j$ . For each  $n \in \mathbb{Z}_+$ , let  $A_{\phi,n} = \{z^i w^k ; i \geq \phi(k), k \geq n\}$ .  $A_\phi$  is called *cyclic* if there exists  $p \geq 1$  such that  $\phi(p) \neq -\infty$  and  $A_{\phi,p} = z^{\phi(p)} w^p A_\phi$ . It is not difficult to see that  $A_\phi$  is cyclic if and only if there exists  $p \geq 1$  such that  $\phi(p) \neq -\infty$  and  $\phi(p) + \phi(j) = \phi(p+j)$  for every  $j \in \mathbb{Z}_+$ . When  $A_\phi$  is cyclic, we have  $\phi(j) > -\infty$  for  $j \in \mathbb{Z}_+$ .

A closed subspace  $M$  of  $L^2(T^2)$  is called  $A_\phi$ -invariant (see [7]) if

$$A_\phi M = \{fg ; f \in A_\phi, g \in M\} \subset M.$$

Moreover if  $A_\phi$  is cyclic,  $M$  is called *cyclic  $A_\phi$ -invariant*. Since  $A_{\phi,n} \setminus A_{\phi,n+1} = \{z^i w^n ; i \geq \phi(n)\}$ ,  $[A_{\phi,n} \setminus A_{\phi,n+1}] = w^n z^{\phi(n)} H^2(T_z)$ , where we consider that  $z^{\phi(n)} H^2(T_z) = L^2(T_z)$  if  $\phi(n) = -\infty$ . Then  $[A_\phi] = \sum_{n=0}^{\infty} \oplus w^n z^{\phi(n)} H^2(T_z)$ , and  $[A_\phi]$  is an  $A_\phi$ -invariant subspace. For a  $z$ -invariant subspace  $S$  of  $L^2(T^2)$ , let

$$z^{\phi(n)} S = \bigcup_{i > \phi(n)} z^i S \quad \text{if } \phi(n) = -\infty.$$

In this paper, we study the structure of  $A_\phi$ -invariant subspaces. Since  $z \in A_\phi$ ,  $A_\phi$ -invariant subspaces are  $z$ -invariant. When  $\phi_0(j) = 0$  for every  $j \in \mathbb{Z}_+$ , the family of  $A_{\phi_0}$ -invariant subspaces coincides with the family of usual invariant subspaces. In [2], Curto, Muhly, Nakazi, and Yamamoto studied  $A_n$ -invariant subspaces for a positive integer  $n$ , where  $A_n = \{z^i w^j ; i \in \mathbb{Z} \text{ for } n \leq j, i \in \mathbb{Z}_+ \text{ for } 0 \leq j < n\}$ . Also Helson and Lowdenslager [4] studied invariant subspaces for  $A_1$ . When  $\phi_1(j) = 0$  for  $0 \leq j < n$ , and  $\phi_1(j) = -\infty$  for  $n \leq j$ , we have  $A_{\phi_1} = A_n$ . Hence the concept of  $A_\phi$ -invariant subspaces is a generalization of invariant and  $A_n$ -invariant subspaces. We note that  $A_\phi$ -invariant subspaces need not be invariant subspaces. For, let  $\phi_2(j) = j$  for  $j \in \mathbb{Z}_+$ ; then  $[A_{\phi_2}] = \sum_{j=0}^{\infty} \oplus (zw)^j H^2(T_z)$  is cyclic  $A_{\phi_2}$ -invariant but not an invariant subspace. It is not difficult to see that for a given  $\phi$ , every  $A_\phi$ -invariant subspace is invariant if and only if  $w \in A_\phi$ .

In Section 2, we give *the basic procedure* to study  $A_\phi$ -invariant subspaces which is used several times later.

In Section 3, we determine the  $A_\phi$ -invariant subspaces  $M$  such that  $M \ominus [A_{\phi,1}M]$  is a nonzero  $z$ -invariant subspace. This is a generalization of the work by Nakazi [10]. Also we give a characterization of closed subspaces of the form  $\sum_{j=0}^{\infty} \oplus q_j(z) w^j H^2(T_z)$ , where  $q_j(z)$  is a unimodular function on  $T_z$ . These invariant subspaces are studied in [1].

In Sections 4, 5 and 6, we discuss the following special type of  $\phi$ . Let  $p \in \mathbb{Z}_+ \setminus \{0\}$  and  $k \in \mathbb{Z}$ . For each  $n \in \mathbb{Z}_+$ , let  $\phi(n)$  be the smallest integer such that  $p\phi(n) - kn \geq 0$ . Then  $A_\phi = \{z^i w^j ; pi - kj \geq 0, (i, j) \in \mathbb{Z} \times \mathbb{Z}_+\}$ . To have a one to one correspondence

between  $A_\phi$  and  $(p, k)$ , we assume that  $p$  and  $|k|$  are mutually prime if  $k \neq 0$ , and  $p = 1$  if  $k = 0$ . In the case  $k = 0$ , the family of  $A_\phi$ -invariant subspaces coincides with the family of usual invariant subspaces. We have  $\phi(p) = k$  and  $k + \phi(j) = \phi(p + j)$  for every  $j \in \mathbb{Z}_+$ , so that  $A_\phi$  is cyclic. In Section 4, we solve the following problem.

**PROBLEM 1.** Describe every  $A_\phi$ -invariant subspace  $M$  such that  $M = [A_{\phi,1}M]$  and  $zM \neq M$ .

Let  $M$  be an  $A_\phi$ -invariant subspace. For  $h \in A_\phi$ , let  $V_h: M \ni f \rightarrow hf \in M$ . Let  $P$  be the orthogonal projection of  $L^2$  onto  $M$ . Then the adjoint operator  $V_h^*$  on  $M$  is given by  $V_h^*f = P(\bar{h}f)$  for  $f \in M$ . In Section 5, we solve the following problem.

**PROBLEM 2.** Describe the  $A_\phi$ -invariant subspaces  $M$  such that  $V_{z^k w^p} V_z^* = V_z^* V_{z^k w^p}$ .

The motivation of this problem comes from [9, 12], but obtained  $A_\phi$ -invariant subspaces resemble the invariant subspaces given in [11, 13].

In Sections 6 and 7, we define (see Section 6) a homogeneous-type  $A_\phi$ -invariant subspace. This definition is similar to the one given in [11, 13], and we study the following problem.

**PROBLEM 3.** Determine the homogeneous-type  $A_\phi$ -invariant subspaces  $M$  with  $z^k w^p M \subset zM$  and  $z^k w^p M \neq zM$ .

We cannot give the complete answer. It seems very complicated. In Section 7, we consider two special cases.

**2. The Basic Procedure.** The following lemma follows from [2, Lemma 2.2].

**LEMMA 2.1.** *Let  $M$  be an invariant subspace of  $L^2(T^2)$ . Suppose that  $M = zM$  and  $M \neq wM$ . Then  $M$  can be represented as follows*

$$M = \psi(\chi_K(z)H_z^2(T^2) \oplus \chi_E L^2(T^2)),$$

where  $\psi$  is a unimodular function on  $T^2$ ,  $K \subset T_z$ ,  $d\theta/2\pi(K) > 0$ ,  $E \subset T^2$ , and  $(K \times T_w) \cap E = \emptyset$ . Moreover if  $\bigcap_{k=0}^\infty w^k M = \{0\}$ , we have  $M = \psi \chi_K(z)H_z^2(T^2)$ .

**LEMMA 2.2.** *Let  $M$  be an  $A_\phi$ -invariant subspace. If  $zM = M$ , then  $M$  is an invariant subspace and  $wM = [A_{\phi,1}M]$ .*

**PROOF.** Since  $A_{\phi,n} \setminus A_{\phi,n+1} = \{z^i w^n ; i \geq \phi(n)\}$ , by our assumption we have  $(A_{\phi,n} \setminus A_{\phi,n+1})M = w^n M$  for every  $n \in \mathbb{Z}_+$ . Since  $M$  is  $A_\phi$ -invariant,  $wM \subset M$ , so that  $M$  becomes an invariant subspace. Hence we get

$$[A_{\phi,1}M] = \left[ \bigcup_{n=1}^\infty (A_{\phi,n} \setminus A_{\phi,n+1})M \right] = \left[ \bigcup_{n=1}^\infty w^n M \right] = wM.$$

Let  $M$  be an  $A_\phi$ -invariant subspace with  $zM = M$ . Moreover if  $M = wM$  then  $M = \chi_E L^2(T^2)$  for some  $E \subset T^2$ , and if  $M \neq wM$  then the form of  $M$  is determined by Lemma 2.1. So that we are interested in the case of  $M \neq zM$ .

We use the following procedure (developed in the remainder of this section) several times in this paper.

THE BASIC PROCEDURE. Let  $M$  be an  $A_\phi$ -invariant subspace of  $L^2(T^2)$  and let  $p \geq 1$ . Suppose that there exists a nonzero  $z$ -invariant subspace  $N$  such that

$$N \subset M \ominus [A_{\phi,p}M].$$

Let

$$\tilde{M} = \left[ \bigcup \{z^n M; n \in \mathbb{Z}\} \right].$$

Then  $\tilde{M}$  is  $A_\phi$ -invariant and  $z\tilde{M} = \tilde{M}$ . Hence by Lemma 2.2,  $\tilde{M}$  is an invariant subspace and  $M \subset \tilde{M}$ . Since  $N \perp [A_{\phi,p}M]$  and  $N$  is  $z$ -invariant,  $z^n N \perp z^i w^p M$  for  $n \in \mathbb{Z}_+$  and  $i \geq \phi(p)$ . Hence

$$(2.1) \quad N \perp w^p \tilde{M},$$

so that  $\tilde{M} \neq w\tilde{M}$ . Then by Lemma 2.1,  $\tilde{M}$  has the following form

$$\tilde{M} = \psi \left( \chi_K(z) H_z^2(T^2) \oplus \chi_E L^2(T^2) \right),$$

where  $\psi$  is a unimodular function on  $T^2$ ,  $K \subset T_z$ ,  $d\theta/2\pi(K) > 0$ ,  $E \subset T^2$ , and

$$(2.2) \quad (K \times T_w) \cap E = \emptyset.$$

For the sake of simplicity, we assume

$$\psi = 1,$$

so that  $\tilde{M} = \chi_K(z) H_z^2(T^2) \oplus \chi_E L^2(T^2)$ . Since  $H_z^2(T^2) = \sum_{j=0}^\infty \oplus w^j L^2(T_z)$ ,

$$(2.3) \quad \tilde{M} = \left( \sum_{j=0}^\infty \oplus w^j \chi_K(z) L^2(T_z) \right) \oplus \chi_E L^2(T^2).$$

Since  $M \subset \tilde{M}$ , for each  $f \in M$  we can write as

$$f = \left( \sum_{j=0}^\infty \oplus w^j \chi_K(z) f_j(z) \right) \oplus g,$$

where  $f_j(z) \in L^2(T_z)$  and  $g \in \chi_E L^2(T^2)$ . Using the above representation of  $f$ , we set

$$(2.4) \quad S_j = \{ \chi_K(z) f_j(z); f \in M \} \subset \chi_K(z) L^2(T_z), \quad j \in \mathbb{Z}_+.$$

Then  $S_j$  is a linear subspace of  $L^2(T_z)$ . Since  $\tilde{M} \neq w\tilde{M}$ , we have

$$S_j \neq \{0\} \text{ for every } j \in \mathbb{Z}_+.$$

We note that  $S_j$  may not be closed. Since  $zM \subset M$ ,

$$(2.5) \quad zS_j \subset S_j, \quad j \in \mathbb{Z}_+.$$

We have also that

$$(2.6) \quad M \subset \left( \sum_{j=0}^{\infty} \oplus w^j S_j \right) \oplus \chi_E L^2(T^2).$$

By (2.1), (2.3), (2.4), and (2.6)

$$(2.7) \quad N \subset \sum_{j=0}^{p-1} \oplus w^j S_j \subset \chi_K(z) \sum_{j=0}^{p-1} \oplus w^j L^2(T_z).$$

By (2.4) and (2.6),

$$(2.8) \quad [A_{\phi,n}M] \subset \left( \sum_{j=n}^{\infty} \oplus w^j S_j \right) \oplus \chi_E L^2(T^2) \quad \text{for } n \in \mathbb{Z}_+.$$

Since  $1 \in A_\phi$ ,  $A_\phi M = M$ , so that by (2.6) and the definition of  $S_n$

$$(2.9) \quad S_n = \sum_{j=0}^n z^{\phi(n-j)} S_j = \bigcup_{j=0}^n z^{\phi(n-j)} S_j, \quad n \in \mathbb{Z}_+,$$

here by (2.5),

$$z^{\phi(n-j)} S_j = \bigcup_{i \geq \phi(n-j)} z^i S_j.$$

By (2.7) and (2.9),

$$(2.10) \quad A_\phi N \subset \sum_{j=0}^{\infty} \oplus w^j S_j.$$

Here we have the following lemma for a cyclic  $A_\phi$ .

LEMMA 2.3. *Suppose that  $A_\phi$  is cyclic and  $z^{\phi(p)} w^p A_\phi = A_{\phi,p}$ . Let  $M$  be a cyclic  $A_\phi$ -invariant subspace such that  $N = M \ominus [A_{\phi,p}M]$  is nonzero and  $z$ -invariant. Then we have  $w^{p-1} z^{\phi(p-1)} \bar{S}_0 \subset N$  and  $z^{\phi(1)+\phi(p-1)-\phi(p)} \bar{S}_0 \subset N \cap S_0$ , where  $\bar{S}_0$  is the closure of  $S_0$  in  $L^2(T_z)$ .*

PROOF. Since  $N = M \ominus [A_{\phi,p}M]$ , by (2.4), (2.6), (2.7) and (2.8) we obtain

$$(2.11) \quad S_j = \{ \chi_K(z) f_j(z) ; f \in N \}, \quad 0 \leq j \leq p-1.$$

Let  $\zeta = z^{\phi(p)} w^p$ . By our assumption,  $\zeta M = \zeta[A_\phi M] = [A_{\phi,p}M]$  and  $N = M \ominus \zeta M$ . Hence we can write  $M$  as

$$(2.12) \quad M = \left( \sum_{j=0}^{\infty} \oplus \zeta^j N \right) \oplus \left( \bigcap_{j=0}^{\infty} \zeta^j M \right).$$

By (2.4) and (2.6),  $\zeta^j M \subset \left( \sum_{i=j}^{\infty} \oplus w^i \chi_K(z) L^2(T_z) \right) \oplus \chi_E L^2(T^2)$ , so that

$$(2.13) \quad \bigcap_{j=0}^{\infty} \zeta^j M \subset \chi_E L^2(T^2).$$

Since  $M$  is  $A_\phi$ -invariant, by (2.10), (2.12), and (2.13),

$$(2.14) \quad A_\phi N \subset \sum_{j=0}^{\infty} \oplus \zeta^j N.$$

To prove our assertion, let  $f \in N$ . By (2.7) we can write  $f$  as

$$(2.15) \quad f = \sum_{j=0}^{p-1} \oplus w^j \chi_K(z) f_j(z), \quad f_j(z) \in L^2(T_z),$$

where  $\chi_K(z) f_j(z) \in S_j$ . By (2.14),  $z^{\phi(p-1)} w^{p-1} f \in \sum_{j=0}^{\infty} \oplus \zeta^j N$ . Moreover by (2.7) and (2.15),

$$z^{\phi(p-1)} w^{p-1} \chi_K(z) f_0(z) \oplus \left( \sum_{j=1}^{p-1} \oplus z^{\phi(p-1)} w^{p-1+j} \chi_K(z) f_j(z) \right) \in N \oplus \zeta N.$$

Therefore by (2.11),  $z^{\phi(p-1)} w^{p-1} S_0 \subset N$ . Since  $N$  is a closed subspace,

$$(2.16) \quad z^{\phi(p-1)} w^{p-1} \bar{S}_0 \subset N.$$

Next we prove that

$$(2.17) \quad z^{\phi(1)+\phi(p-1)-\phi(p)} \bar{S}_0 \subset N \cap S_0.$$

In the same way as in the first paragraph, we have  $w z^{\phi(1)} N \subset N \oplus \zeta N$ . Then by (2.16),  $w^p z^{\phi(1)+\phi(p-1)} \bar{S}_0 \subset z^{\phi(1)} w N \subset N \oplus \zeta N$ . Since  $A_\phi$  is a semigroup, by (2.5) and (2.7) it is easy to see that  $w^p z^{\phi(1)+\phi(p-1)} \bar{S}_0 \subset \zeta(N \cap S_0)$ . Consequently we get (2.17).

Now we continue the basic procedure. We consider the following two cases separately;  $zN = N$  and  $zN \neq N$ .

CASE 1. Suppose that  $zN = N$ . Then we have the following lemma.

LEMMA 2.4. *If  $p = 1$  and  $zN = N$ , then  $M$  is an invariant subspace with  $zM = M$  and  $wM \neq M$ .*

PROOF. Suppose that  $zN = N$ . By (2.7) for  $p = 1$ ,  $N \subset \chi_{K_0}(z) L^2(T_z)$ . Hence by the Beurling theorem,

$$(2.18) \quad N = \chi_{K_0}(z) L^2(T_z),$$

where  $K_0 \subset K$  and  $d\theta/2\pi(K_0) > 0$ . Since  $A_{\phi,n} \setminus A_{\phi,n+1} = \{z^i w^n ; i \geq \phi(n)\}$ ,  $w^n N = [(A_{\phi,n} \setminus A_{\phi,n+1})N]$ . Since  $N \subset M$  and  $A_\phi M \subset M$ ,

$$(2.19) \quad \sum_{n=0}^{\infty} \oplus w^n N = [A_\phi N] \subset M.$$

Let  $M_1 = M \ominus [A_\phi N]$ . Then

$$(2.20) \quad M = [A_\phi N] \oplus M_1.$$

Since  $M_1 \subset \tilde{M}$ ,  $w^j M_1 \subset w^j \tilde{M}$  for  $j \geq 1$ . By (2.1) for  $p = 1$ ,  $w^{-j} N \perp M_1$  for  $j \geq 1$ . Hence by (2.18), (2.19), and (2.20), we have  $\chi_{K_0}(z)L^2(T^2) = \sum_{n=-\infty}^{\infty} \oplus w^n N \perp M_1$ . Thus we get

$$(2.21) \quad \chi_{K_0}(z)M_1 = M_1.$$

Since  $zM \subset M$ ,  $zM_1 \subset M$ . Since  $zN = N$  and  $M_1 \perp [A_\phi N]$ ,  $zM_1 \perp [A_\phi N]$ . Hence by the definition of  $M_1$ ,  $zM_1 \subset M_1$ . We note that  $\{f \in L^\infty(T_z) ; fM_1 \subset M_1\}$  is a weak\*-closed  $z$ -invariant subalgebra of  $L^\infty(T_z)$ . Since  $d\theta/2\pi(K_0) > 0$ , the Beurling theorem says that the weak\*-closed invariant subspace  $\left[\{z^n \chi_{K_0}(z) ; n \in \mathbb{Z}_+\}\right]_\infty$  of  $L^\infty(T_z)$  generated by  $\{z^n \chi_{K_0}(z) ; n \in \mathbb{Z}_+\}$  coincides with  $\chi_{K_0} L^\infty(T_z)$ . Since  $zM_1 \subset M_1$ , by (2.21) we have  $zM_1 = M_1$ . Therefore by (2.18), (2.19), and (2.20),  $zM = M$ . Hence by Lemma 2.2,  $M$  is an invariant subspace. By (2.18), (2.19), (2.20), and (2.21),  $wM \neq M$ .

CASE 2. Suppose that  $zN \neq N$ . To prove

$$(2.22) \quad K = T_z,$$

suppose that  $K \neq T_z$ . By (2.7),  $\chi_K(z)N = N$ . Then in the same way as in the last paragraph of Lemma 2.4, we have  $zN = N$ . This is a contradiction. Hence we get (2.22).

By (2.2) and (2.22),  $E = \emptyset$ . As a consequence, by (2.3), (2.4) and (2.6)

$$(2.23) \quad M \subset \sum_{j=0}^{\infty} \oplus w^j S_j \subset \tilde{M} = \sum_{j=0}^{\infty} \oplus w^j L^2(T_z).$$

By (2.7),

$$(2.24) \quad N \subset \sum_{j=0}^{p-1} \oplus w^j S_j \subset \sum_{j=0}^{p-1} \oplus w^j L^2(T_z).$$

By (2.8),

$$(2.25) \quad [A_{\phi,n}M] \subset \sum_{j=n}^{\infty} \oplus w^j S_j \subset \sum_{j=n}^{\infty} \oplus w^j L^2(T_z), \quad n \in \mathbb{Z}_+.$$

This is the end of the basic procedure. In the rest of this paper, we use the same notations in the basic procedure.

**3. Simple  $A_\phi$ -Invariant Subspaces.** An  $A_\phi$ -invariant subspace  $M$  of  $L^2(T^2)$  is called simple if  $z(M \ominus [A_{\phi,1}M]) \subset M \ominus [A_{\phi,1}M]$ . The following theorem is a generalization of Nakazi's theorem [10].

**THEOREM 3.1.** *Let  $M$  be an  $A_\phi$ -invariant subspace of  $L^2(T^2)$  such that  $M \ominus [A_{\phi,1}M]$  is a nonzero  $z$ -invariant subspace. Then*

- (i)  $z(M \ominus [A_{\phi,1}M]) = M \ominus [A_{\phi,1}M]$  if and only if  $M$  is an invariant subspace with  $M = zM$  and  $M \neq wM$ .
- (ii)  $z(M \ominus [A_{\phi,1}M]) \neq M \ominus [A_{\phi,1}M]$  if and only if there exists a unimodular function  $\psi$  on  $T^2$  such that  $M = \psi[A_\phi]$ .

PROOF. Suppose that  $M \ominus [A_{\phi,1}M]$  is a nonzero  $z$ -invariant subspace. Then we can use the basic procedure in Section 2 for  $p = 1$  and  $N = M \ominus [A_{\phi,1}M]$ . Now we have

$$(3.1) \quad M = N \oplus [A_{\phi,1}M].$$

By (2.7),  $N \subset S_0 \subset \chi_K(z)L^2(T_z)$ . Since  $N = M \ominus [A_{\phi,1}M]$ , (2.11) holds for  $p = 1$ , hence

$$(3.2) \quad N = S_0 \subset \chi_K(z)L^2(T_z).$$

(i) Suppose that  $zN = N$ . Then by Lemma 2.4,  $M$  is an invariant subspace with  $M = zM$  and  $M \neq wM$ .

To prove the converse assertion, suppose that  $M$  is an invariant subspace with  $M = zM$  and  $M \neq wM$ . Then we can use Lemma 2.1 to describe  $M$ , and it is not difficult to see that  $z(M \ominus [A_{\phi,1}M]) = M \ominus [A_{\phi,1}M]$ .

(ii) Suppose that  $N \neq zN$ . Then Case 2 in the basic procedure in Section 2 occurs. By (2.22) and (3.2),  $S_0 = N \subset L^2(T_z)$ . Since  $N$  is  $z$ -invariant and  $N \neq zN$ , by the Beurling theorem  $S_0 = N = q(z)H^2(T_z)$ , where  $q(z)$  is a unimodular function on  $T_z$ . By induction, we shall prove

$$(3.3) \quad S_j = q(z)z^{\phi(j)}H^2(T_z) \quad \text{for } j \in \mathbb{Z}_+,$$

where  $S_j$  is defined in (2.4). Suppose that  $n \geq 1$  and

$$(3.4) \quad S_j = q(z)z^{\phi(j)}H^2(T_z) \quad \text{for } 0 \leq j \leq n - 1.$$

By (3.1) and (3.2),  $[A_{\phi,1}M] = M \ominus N = M \ominus S_0$ . By (2.9),  $\sum_{j=0}^{n-1} z^{\phi(n-j)}S_j \subset S_n \subset [\sum_{j=0}^{n-1} z^{\phi(n-j)}S_j]$  for  $n \geq 1$ . Hence by (3.4),

$$(3.5) \quad q(z) \sum_{j=0}^{n-1} z^{\phi(n-j)}z^{\phi(j)}H^2(T_z) \subset S_n \subset q(z) \left[ \sum_{j=0}^{n-1} z^{\phi(n-j)}z^{\phi(j)}H^2(T_z) \right].$$

Since  $A_\phi$  is a semigroup,  $\phi(n) \leq \phi(n - j) + \phi(j)$ , so that  $\sum_{j=0}^{n-1} z^{\phi(n-j)}z^{\phi(j)}H^2(T_z) = z^{\phi(n)}H^2(T_z)$ . Hence by (3.5),  $S_n = q(z)z^{\phi(n)}H^2(T_z)$ . Therefore we obtain (3.3).

Since  $q(z)H^2(T_z) = S_0 = N \subset M$ , by (3.3) and  $A_\phi M \subset M$  we have

$$w^j S_j = w^j q(z)z^{\phi(j)}H^2(T_z) \subset M \quad \text{for } j \in \mathbb{Z}_+.$$

Hence by (2.23),  $M \subset \sum_{j=0}^\infty \oplus w^j S_j \subset M$ . As a consequence,

$$M = \sum_{j=0}^\infty \oplus w^j S_j = q(z) \sum_{j=0}^\infty \oplus w^j z^{\phi(j)}H^2(T_z) = q(z)[A_\phi].$$

To prove the converse assertion, let  $M = \psi[A_\phi]$  for a unimodular function  $\psi$  on  $T^2$ . Since  $A_{\phi,1}A_\phi = A_{\phi,1}$ ,  $[A_{\phi,1}M] = \psi[A_{\phi,1}]$ . Since  $[A_\phi] \ominus [A_{\phi,1}] = [\{z^n ; n \in \mathbb{Z}_+\}] = H^2(T_z)$ ,  $M \ominus [A_{\phi,1}M] = \psi H^2(T_z)$ . Of course,  $\psi H^2(T_z)$  is  $z$ -invariant and  $z\psi H^2(T_z) \neq \psi H^2(T_z)$ . This completes the proof.

The following is a characterization of the invariant subspaces studied in [1].



**THEOREM 3.2.** *Let  $M$  be an  $A_\phi$ -invariant subspace of  $L^2(T^2)$  with  $M \neq zM$ . For each  $n \in \mathbb{Z}_+$ , let  $N_n$  be the largest  $z$ -invariant subspace which is contained in  $M \ominus [A_{\phi,n+1}M]$ . Then  $N_0 \neq \{0\}$  and for each  $n \in \mathbb{Z}_+$*

(a) 
$$M \ominus ([A_{\phi,n+1}M] \oplus N_n) \perp z^i N_n \quad \text{for every } i \in \mathbb{Z}$$

if and only if  $M$  is represented as follows

(b) 
$$M = \psi \left( \sum_{j=0}^{\infty} \oplus q_j(z) w^j H^2(T_z) \right)$$

or there exists a positive integer  $l$  such that

(c) 
$$M = \psi \left( \left( \sum_{j=0}^{l-1} \oplus q_j(z) w^j H^2(T_z) \right) \oplus \left( \sum_{j=l}^{\infty} \oplus w^j L^2(T_z) \right) \right),$$

where  $\psi$  and  $q_j(z), j \in \mathbb{Z}_+$ , are unimodular functions on  $T^2$  and  $T_z$ , respectively, and

$$z^{\phi(i)} q_j(z) H^2(T_z) \subset q_{i+j}(z) H^2(T_z) \quad \text{for } (i, j) \in \mathbb{Z}_+^2.$$

**PROOF.** First, suppose that  $M$  is represented by the form in (b). Since  $M$  is  $A_\phi$ -invariant, by the form in (b) we have  $\phi(i) > -\infty$  for  $i \in \mathbb{Z}_+$  and

$$z^{\phi(i)} w^i q_j(z) w^j H^2(T_z) \subset q_{i+j}(z) w^{i+j} H^2(T_z) \quad \text{for } i, j \in \mathbb{Z}_+.$$

Then for each  $t \in \mathbb{Z}_+$ , we have  $\sum_{i=0}^t \oplus z^{\phi(t-i)} q_i(z) H^2(T_z) \subset q_t(z) H^2(T_z)$ . Hence  $M \ominus [A_{\phi,n+1}M]$  equals

$$\psi \left\{ \left( \sum_{j=0}^n \oplus q_j(z) w^j H^2(T_z) \right) \oplus \left( \sum_{j=n+1}^{\infty} \oplus w^j \left( q_j(z) H^2(T_z) \ominus \left[ \sum_{i=0}^{j-n-1} \oplus z^{\phi(j-i)} q_i(z) H^2(T_z) \right] \right) \right) \right\}.$$

Now it is easy to see that  $N_n = \psi \left( \sum_{i=0}^n \oplus q_i(z) w^i H^2(T_z) \right)$ ,  $N_0 \neq \{0\}$  and condition (a) is satisfied. In the same way, we can prove the same conclusion for  $M$  in (c).

Next, suppose that  $N_0 \neq \{0\}$  and  $M$  satisfies condition (a). Then we can use the basic procedure in Section 2. For the space  $N_0$ , we can apply the case  $p = 1$ . If  $zN_0 = N_0$ , then by Lemma 2.4 we have  $zM = M$ . Hence by our assumption,  $zN_0 \neq N_0$ . By (2.22),  $K = T_z$ . Then by (2.24) for  $p = 1$  and the Beurling theorem,

(3.6) 
$$N_0 = q(z) H^2(T_z)$$

for a unimodular function  $q(z)$  on  $T_z$ . By (2.23),

(3.7) 
$$M \subset \sum_{j=0}^{\infty} \oplus w^j S_j, \quad S_j \subset L^2(T_z),$$

By (2.25),

$$(3.8) \quad [A_{\phi, n+1}M] \subset \sum_{j=n+1}^{\infty} \oplus w^j L^2(T_z).$$

Also for the space  $N_n$ , we can apply the basic procedure for the case  $p = n + 1$ . Since  $zN_0 \neq N_0$ , by (3.8) we have  $zN_n \neq N_n$ . Then by (2.24),

$$(3.9) \quad N_n \subset \sum_{j=0}^n \oplus w^j S_j \subset \sum_{j=0}^n \oplus w^j L^2(T_z).$$

Since  $N_0 \subset M$ ,  $w^j z^{\phi(j)} N_0 \subset M$  for  $j \in \mathbb{Z}_+$ . By (3.9),  $N_0 \subset S_0$ , so that by (2.9) we have  $\sum_{j=0}^n \oplus w^j z^{\phi(j)} N_0 \subset M \cap (\sum_{j=0}^n \oplus w^j S_j)$ . Then by (3.6), (3.8) and the definition of  $N_n$ , we obtain

$$(3.10) \quad q(z) \sum_{j=0}^n \oplus w^j z^{\phi(j)} H^2(T_z) \subset N_n.$$

Here we shall use condition (a). Then by (a) and (3.10),

$$M \ominus ([A_{\phi, n+1}M] \oplus N_n) \perp \sum_{j=0}^n \oplus w^j L^2(T_z).$$

Then by (3.7), (3.8) and (3.9), we have  $M \subset N_n \oplus (\sum_{j=n+1}^{\infty} \oplus w^j L^2(T_z))$  for  $n \in \mathbb{Z}_+$ . By this fact and the definition of  $S_j$ ,

$$(3.11) \quad \sum_{j=0}^n \oplus w^j S_j = N_n \subset M.$$

Hence  $\sum_{j=0}^{\infty} \oplus w^j S_j \subset M$ . Therefore by (3.7),

$$(3.12) \quad M = \sum_{j=0}^{\infty} \oplus w^j S_j.$$

By (3.11),  $w^j S_j = N_j \ominus N_{j-1}$  for  $j \geq 1$  and  $S_0 = N_0$ , so that  $S_j$  is a closed  $z$ -invariant subspace of  $L^2(T_z)$  for every  $j \in \mathbb{Z}_+$ . By the Beurling theorem,

$$(3.13) \quad S_j = q_j(z) H^2(T_z)$$

or

$$(3.14) \quad S_j = \chi_{E_j} L^2(T_z),$$

where  $q_j(z)$  is a unimodular function on  $T_z$  and  $E_j \subset T_z$ . If (3.13) happens for every  $j \in \mathbb{Z}_+$ , by (3.12)  $M$  has the form of (b). Suppose that (3.14) happens for some  $j \in \mathbb{Z}_+$ . Let  $l$  be the smallest integer in  $\mathbb{Z}_+$  such that  $S_l = \chi_{E_l} L^2(T_z)$ . Then  $S_j = q_j(z) H^2(T_z)$  for  $0 \leq j < l$ . Since  $S_0 = N_0$ , by (3.6) we have  $l \geq 1$ . By (2.9),

$$q(z) z^{\phi(l+j)} H^2(T_z) + z^{\phi(j)} \chi_{E_l} L^2(T_z) = z^{\phi(l+j)} S_0 + z^{\phi(j)} S_l \subset S_{l+j}, \quad j \in \mathbb{Z}_+.$$

Hence  $S_{l+j} = L^2(T_z)$  for  $j \in \mathbb{Z}_+$ . Therefore, in this case,  $M$  has the form (c). This completes the proof.

**4. A Semi-Double Type of  $A_\phi$ -Invariant Subspace.** In this section, we study an  $A_\phi$ -invariant subspace  $M$  with  $M = [A_{\phi,1}M]$  which is called of semi-double type. A closed subspace  $M$  of  $L^2(T^2)$  is called doubly invariant if  $zM = wM = M$ . In this case  $M = \chi_E L^2(T^2)$  for some  $E \subset T^2$ . First we prove the following.

**PROPOSITION 4.1.** *Suppose that there exists a sequence of positive integers  $\{k_n\}_{n=1}^\infty$  such that  $k_n \rightarrow \infty$  and  $z^{-k_n}(A_{\phi,1})^n \cup w^{-k_n}(A_{\phi,1})^n \subset A_{\phi,1}$ . If  $M$  is an  $A_\phi$ -invariant subspace with  $M = [A_{\phi,1}M]$ , then  $M$  is doubly invariant.*

**PROOF.** Suppose that  $M = [A_{\phi,1}M]$ . Then  $M = [(A_{\phi,1})^j M]$  for every  $j \in \mathbb{Z}_+$ . Hence by our condition, for  $n \geq 1$  we have

$$z^{-k_n} A_{\phi,1} M = z^{-k_n} A_{\phi,1} [(A_{\phi,1})^{n-1} M] \subset [z^{-k_n} (A_{\phi,1})^n M] \subset [A_{\phi,1} M] = M.$$

In the same way,  $w^{-k_n} A_{\phi,1} M \subset M$ . We note that  $\{f \in L^\infty(T^2) ; fM \subset M\}$  is a semigroup. Since the semigroup generated by  $\{z^{-k_n} A_{\phi,1} \cup w^{-k_n} A_{\phi,1} ; n \geq 1\}$  coincides with  $\{z^i w^j ; i, j \in \mathbb{Z}\}$ , by the above two inclusions  $M$  becomes doubly invariant.

**EXAMPLE 4.1.** Let  $\phi(0) = 0$  and  $\phi(j) = 1$  for  $j \geq 1$ . Then  $\phi$  satisfies the condition of Proposition 4.1.

**EXAMPLE 4.2.** Let  $n \geq 1$ . Let  $\phi_n(j) = 0$  for  $0 \leq j \leq n - 1$  and  $\phi_n(j) = -\infty$  for  $j \geq n$ . Then  $\phi_n$  satisfies the condition of Proposition 4.1.

As mentioned in Section 1, in the rest of this paper we consider the following special  $\phi$ . Let  $p \in \mathbb{Z}_+ \setminus \{0\}$ ,  $k \in \mathbb{Z}$ , and assume that  $p, |k|$  are mutually prime if  $k \neq 0$ , and  $p = 1$  if  $k = 0$ . For each  $n \in \mathbb{Z}_+$ , let  $\phi(n)$  be the smallest integer such that  $p\phi(n) - kn \geq 0$ . Then

$$A_\phi = \{z^i w^j ; pi - kj \geq 0, (i, j) \in \mathbb{Z} \times \mathbb{Z}_+\}.$$

It is trivial that  $A_\phi$  is a semigroup. In this section, we solve the following problem.

**PROBLEM 1.** Describe every  $A_\phi$ -invariant subspace  $M$  such that  $M = [A_{\phi,1}M]$  and  $zM \neq M$ .

By our definition of  $\phi$ ,  $\phi(p) = k$ ,  $\phi(p) + \phi(j) = \phi(p + j)$  for  $j \in \mathbb{Z}_+$ , and hence  $A_\phi$  is cyclic, that is,

$$(4.1) \quad A_{\phi,p} = z^{\phi(p)} w^p A_\phi = z^k w^p A_\phi.$$

Since  $p$  and  $|k|$  are mutually prime (when  $k \neq 0$ ),

$$p\phi(j) - kj \neq p\phi(i) - ki \quad \text{for } 0 \leq i, j \leq p - 1, i \neq j,$$

and  $p\phi(j) - kj > 0$  for  $1 \leq j \leq p - 1$ . Rearranging the order, let  $\{j_0, j_1, \dots, j_{p-1}\} = \{0, 1, \dots, p - 1\}$  such that

$$p\phi(j_i) - kj_i < p\phi(j_{i+1}) - kj_{i+1}, \quad 0 \leq i \leq p - 2.$$

We note that  $j_0 = 0$  and

$$(4.2) \quad p\phi(j_i) - kj_i = i, \quad 0 \leq i \leq p - 1.$$

When  $p = 1$  and  $k = 0$ , we do not need the above argument. Also we have the following lemma.

LEMMA 4.1.

- (i)  $\phi(p) = k$ .
- (ii)  $\phi(j) + \phi(p - j) = k + 1$  for  $1 \leq j \leq p - 1$ .
- (iii)  $j_1 + j_{p-1} = p$ .
- (iv) If  $j_1 + j_i < p, 0 \leq i \leq p - 1$ , then  $j_1 + j_i = j_{i+1}$  and  $\phi(j_1) + \phi(j_i) = \phi(j_{i+1})$ .
- (v) If  $j_1 + j_i > p, 0 \leq i \leq p - 1$ , then  $j_1 + j_i = p + j_{i+1}$  and  $\phi(j_1) + \phi(j_i) = k + \phi(j_{i+1})$ .

PROOF. (i) is already mentioned.

(ii) Let  $1 \leq j \leq p - 1$ . Then  $1 \leq p - j$ , so that by the definition of  $\phi$  we have  $p(\phi(j) - 1) - kj < 0 < p\phi(j) - kj$  and  $p(\phi(p - j) - 1) - k(p - j) < 0 < p\phi(p - j) - k(p - j)$ . Hence

$$p(\phi(j) + \phi(p - j) - 2) - kp < 0 = pk - kp < p(\phi(j) + \phi(p - j)) - kp.$$

This means that  $\phi(j) + \phi(p - j) - 2 < k < \phi(j) + \phi(p - j)$ . Therefore we get (ii).

(iii) Since  $p$  and  $|k|$  are mutually prime, (4.2) gives (iii).

(iv) Suppose that  $0 \leq i \leq p - 1$  and  $j_1 + j_i < p$ . By (4.2),  $p\phi(j_i) - kj_i = i$ . Then  $p(\phi(j_1) + \phi(j_i)) - k(j_1 + j_i) = i + 1$ . Since  $j_1 + j_i < p$ , (4.2) implies that  $j_1 + j_i = j_{i+1}$  and  $\phi(j_1) + \phi(j_i) = \phi(j_{i+1})$ .

(v) Suppose that  $j_1 + j_i > p$ . By (4.2),  $p(\phi(j_1) + \phi(j_i) - k) - k(j_1 + j_i - p) = i + 1$ . Since  $j_1 + j_i - p < p$ , by (4.2) again we get  $j_1 + j_i - p = j_{i+1}$  and  $\phi(j_1) + \phi(j_i) - k = \phi(j_{i+1})$ . Thus we get (v).

The following lemma follows from the Beurling theorem (see the proof of [11, Theorem 3]).

LEMMA 4.2. Let  $S$  be a closed subspace of  $L^2(T^2)$  such that  $z^k w^p S = S$ . Moreover suppose that  $S \perp z^i w^j S$  for  $(i, j) \notin \{(nk, np) ; n \in \mathbb{Z}\}$ . Then there exist a unimodular function  $\psi$  on  $T^2$  and  $E_0 \subset T^2$  such that  $S = \psi \chi_{E_0} [\{z^k w^p\}^n ; n \in \mathbb{Z}]$  and  $\chi_{E_0} \in \{\{z^k w^p\}^n ; n \in \mathbb{Z}\}$ .

Let

$$H_{p,k} = \{z^i w^j ; pi - kj \geq 0, (i, j) \in \mathbb{Z}^2\}.$$

Then  $A_\phi \subset H_{p,k}$  and

$$(4.3) \quad H_{p,k} = \bigcup \{(z^k w^p)^n A_\phi ; n \in \mathbb{Z}\} = \bigcup \{(z^{\phi(p)} w^p)^n A_\phi ; n \in \mathbb{Z}\}.$$

Now we solve Problem 1.

THEOREM 4.1. Let  $M$  be an  $A_\phi$ -invariant subspace such that  $M = [A_{\phi,1} M]$  and  $zM \neq M$ . Then

$$M = \psi \chi_{E_0} [H_{p,k}] \oplus \chi_E L^2(T^2)$$

for a unimodular function  $\psi$  on  $T^2$ ,  $\chi_{E_0} \in \{\{z^k w^p\}^n ; n \in \mathbb{Z}\}$ ,  $E \subset T^2$ , and  $E_0 \cap E = \emptyset$ .

Moreover

- (i) if  $\bigcap_{n=0}^\infty z^n M = \{0\}$ , then  $M = \psi \chi_{E_0} [H_{p,k}]$ ;
- (ii) if  $\bigcap_{n=0}^\infty z^n M = \{0\}$  and there exists  $h \in M$  such that  $|h| > 0$  a.e. on  $T^2$ , then  $M = \psi [H_{p,k}]$ .

It is not difficult to prove our theorem for the case  $p = 1$  and  $k = 0$  (see Lemma 2.1).

PROOF OF THEOREM 4.1. Let  $D = M \ominus zM$ . Since  $zM \neq M$ ,  $D \neq \{0\}$ . Since  $M$  is  $z$ -invariant,

$$(4.4) \quad M = D \oplus zM = \left( \sum_{n=0}^{\infty} \oplus z^n D \right) \oplus D_\infty \quad \text{and} \quad D_\infty = \bigcap_{n=0}^{\infty} z^n M.$$

Then  $D_\infty$  is  $A_\phi$ -invariant and  $zD_\infty = D_\infty$ . By Lemma 2.2,  $D_\infty$  is an invariant subspace. Since  $M = [A_{\phi,1}M]$ ,  $M = [(A_{\phi,1})^p M]$ . Since  $(A_{\phi,1})^p \subset A_{\phi,p}$ ,  $M = [A_{\phi,p}M]$ . Then by (4.1),

$$(4.5) \quad M = [A_{\phi,p}M] = z^k w^p [A_\phi M] = z^k w^p M.$$

By (4.4) and (4.5),

$$w^p D_\infty = \bigcap_{n=0}^{\infty} z^n w^p M = \bigcap_{j=-k}^{\infty} z^j (z^k w^p M) = \bigcap_{j=-k}^{\infty} z^j M = D_\infty.$$

Since  $D_\infty$  is an invariant subspace,  $wD_\infty = D_\infty$ . Therefore  $D_\infty$  is a doubly invariant subspace and

$$(4.6) \quad D_\infty = \chi_E L^2(T^2), \quad E \subset T^2.$$

By (4.3), (4.5) and  $M = [A_\phi M]$ , we have  $M = [H_{p,k}M]$ . Hence by (4.4),

$$(4.7) \quad M = D \oplus z[H_{p,k}M].$$

Let  $\{j_0, j_1, \dots, j_{p-1}\} = \{0, 1, \dots, p-1\}$  such that (see above Lemma 4.1)  $p\phi(j_i) - kj_i < p\phi(j_{i+1}) - kj_{i+1}$ ,  $0 \leq i \leq p-2$ . Let

$$(4.8) \quad L_p = zH_{p,k} \quad \text{and} \quad L_i = z^{\phi(j_i)} w^{j_i} H_{p,k} \quad \text{for } 0 \leq i \leq p-1.$$

Since  $j_0 = 0$ ,  $L_0 = H_{p,k}$ . Then  $H_{p,k} = L_0 \supset L_i \supset L_{i+1} \supset L_p = zH_{p,k}$  for  $0 \leq i \leq p-1$ . By the definition of  $H_{p,k}$ ,

$$(4.9) \quad z^k w^p H_{p,k} = H_{p,k}.$$

Hence by Lemma 4.1,  $z^{\phi(j_i)} w^{j_i} L_i = L_{i+1}$ , and then

$$(4.10) \quad L_{i+1} = z^{\phi(j_i)} w^{j_i} L_i.$$

Let  $D_i = [L_i M] \ominus [L_{i+1} M]$ . Then by (4.7),

$$(4.11) \quad D = \sum_{i=0}^{p-1} \oplus D_i.$$

Here we have

$$\begin{aligned} D_i &= z^{\phi(j_i)} w^{j_i} \left( z^{-\phi(j_i)} w^{-j_i} [L_i M] \ominus [L_{i+1} M] \right) \quad \text{by (4.10)} \\ &= z^{\phi(j_i)} w^{j_i} \left( [H_{p,k} M] \ominus [L_1 M] \right) \quad \text{by (4.8)} \\ &= z^{\phi(j_i)} w^{j_i} D_0. \end{aligned}$$

Thus we get

$$(4.12) \quad D_i = z^{\phi(j_i)} w^{j_i} D_0, \quad 0 \leq i \leq p - 1.$$

By (4.8) and (4.9),  $z^k w^p L_i = L_i$ . Hence  $z^k w^p D_i = D_i$ , so that by (4.11) and (4.12),  $z^k w^p D_0 = D_0$ , and  $D_0 \perp z^t w^s D_0$  for  $(t, s) \in \mathbb{Z}^2$  and  $pt - ks \neq 0$ . Then by Lemma 4.2, there exists a unimodular function  $\psi$  on  $T^2$  and  $E_0 \subset T^2$  such that

$$(4.13) \quad D_0 = \psi \chi_{E_0} \left[ \left\{ (z^k w^p)^n ; n \in \mathbb{Z} \right\} \right] \quad \text{and} \quad \chi_{E_0} \in \left[ \left\{ (z^k w^p)^n ; n \in \mathbb{Z} \right\} \right].$$

Therefore by (4.3), (4.4), (4.6), (4.11), (4.12) and (4.13),

$$\begin{aligned} M &= \left( \sum_{n=0}^{\infty} \oplus z^n \left( \sum_{i=0}^{p-1} \oplus D_i \right) \right) \oplus \chi_E L^2(T^2) \\ &= \left( \sum_{n=0}^{\infty} \oplus z^n \left( \sum_{i=0}^{p-1} \oplus z^{\phi(j_i)} w^{j_i} D_0 \right) \right) \oplus \chi_E L^2(T^2) \\ &= \left( \psi \chi_{E_0} [H_{p,k}] \right) \oplus \chi_E L^2(T^2). \end{aligned}$$

The rest is easy to prove. This completes the proof.

**5. Commuting Operators and  $A_\phi$ -Invariant Subspaces.** In this section, we discuss a special type of  $\phi$  which is studied in Section 4. Let  $p \in \mathbb{Z}_+ \setminus \{0\}$  and  $k \in \mathbb{Z}$  such that  $p$  and  $|k|$  are mutually prime if  $k \neq 0$ , and  $p = 1$  if  $k = 0$ . For each  $n \in \mathbb{Z}_+$ , let  $\phi(n)$  be the smallest integer which satisfies  $p\phi(n) - kn \geq 0$ . We note that  $\phi(p) = k$ . Let  $A_\phi = \{z^i w^j ; pi - kj \geq 0, (i, j) \in \mathbb{Z} \times \mathbb{Z}_+\}$ . Rearranging the order, let  $\{j_0, j_1, \dots, j_{p-1}\} = \{0, 1, \dots, p - 1\}$  such that  $p\phi(j_i) - kj_i < p\phi(j_{i+1}) - kj_{i+1}$  for  $0 \leq i \leq p - 2$ . We note that  $j_0 = 0$ . When  $p = 1$  and  $k = 0$ , we do not need the above argument.

Let  $M$  be an  $A_\phi$ -invariant subspace. For  $h \in A_\phi$ , let

$$V_h : M \ni f \rightarrow hf \in M.$$

Let  $P$  be the orthogonal projection of  $L^2$  onto  $M$ . Then the adjoint operator  $V_h^*$  on  $M$  satisfies

$$V_h^* f = P(\bar{h}f) \quad \text{for } f \in M.$$

Hence we have that

$$(5.1) \quad \text{Ker } V_{z^n}^* = M \ominus z^n M \quad \text{for } n \geq 1 ;$$

$$(5.2) \quad \text{Ker } V_{z^k w^p}^* = M \ominus z^k w^p M.$$

We study the following problem (see [9, 12]).

**PROBLEM 2.** Describe  $A_\phi$ -invariant subspaces  $M$  such that  $V_{z^k w^p}^* V_z = V_z V_{z^k w^p}^*$ .

PROPOSITION 5.1. *Let  $M$  be an  $A_\phi$ -invariant subspace. Then the following three conditions are equivalent.*

- (i)  $V_{z^k w^p}^* V_z = V_z V_{z^k w^p}^*$ .
- (ii)  $V_{z^k w^p}^* V_{z^n} = V_{z^n} V_{z^k w^p}^*$  for every  $n \geq 1$ .
- (iii)  $V_{z^k w^p}^* V_{z^n} = V_{z^n} V_{z^k w^p}^*$  for some  $n \geq 1$ .

PROOF. It is easy to prove that (i)  $\iff$  (ii) and (ii)  $\implies$  (iii). So we only have to prove that (iii)  $\implies$  (i). Suppose that  $V_{z^k w^p}^* V_{z^n} = V_{z^n} V_{z^k w^p}^*$  for  $n \geq 2$ . Then

$$(5.3) \quad V_{z^n} V_{z^k w^p}^* = V_{z^k w^p}^* V_{z^n}.$$

By (5.1),  $\text{Ker } V_{z^n}^* = M \ominus z^n M$ . Hence by (5.3),

$$(5.4) \quad z^k w^p (M \ominus z^n M) \subset M \ominus z^n M.$$

To prove  $V_{z^k w^p}^* V_z = V_z V_{z^k w^p}^*$ , we need to prove that

$$(5.5) \quad z^k w^p (M \ominus zM) \subset M \ominus zM.$$

We note that  $zM \subset M$ . If  $zM = M$ , there is nothing to prove. Suppose that  $zM \neq M$ . Then

$$(5.6) \quad M = \left( \sum_{j=0}^{n-1} \oplus z^j (M \ominus zM) \right) \oplus z^n M.$$

To prove (5.5), suppose not. Then there exists an  $f$  in  $M \ominus zM$  such that

$$(5.7) \quad z^k w^p f = f_1 \oplus z f_2 \in (M \ominus zM) \oplus zM, \quad f_2 \neq 0.$$

Then

$$(5.8) \quad z^k w^p z^{n-1} f = z^{n-1} f_1 \oplus z^n f_2 \in \left( \sum_{j=0}^{n-1} \oplus z^j (M \ominus zM) \right) \oplus z^n M.$$

Since  $f \in M \ominus zM$ ,  $z^{n-1} f \in M \ominus z^n M$ , so that by (5.4) we have  $z^k w^p z^{n-1} f \in M \ominus z^n M$ . But by (5.6), (5.7) and (5.8),  $z^k w^p z^{n-1} f \notin M \ominus z^n M$ . This is a contradiction. Hence we get (5.5).

Then by (5.1) and (5.5),  $V_{z^k w^p}^* V_z = V_z V_{z^k w^p}^* = 0$  on  $M \ominus zM$ . Also we have  $V_{z^k w^p}^* V_z = V_z V_{z^k w^p}^*$  on  $zM$ . Hence  $V_{z^k w^p}^* V_z = V_z V_{z^k w^p}^*$  on  $M = (M \ominus zM) \oplus zM$ . Therefore  $V_{z^k w^p}^* V_z = V_z V_{z^k w^p}^*$ .

In the same way as in the proof of Proposition 5.1, we can prove the following.

LEMMA 5.1. *Let  $M$  be an  $A_\phi$ -invariant subspace. Then  $V_{z^k w^p}^* V_z = V_z V_{z^k w^p}^*$  if and only if  $z(M \ominus z^k w^p M) \subset M \ominus z^k w^p M$ .*

**THEOREM 5.1.** *Let  $M$  be an  $A_\phi$ -invariant subspace with  $[A_{\phi,1}M] \neq M$ . Then  $V_{z^k w^p}^* V_z = V_z V_{z^k w^p}^*$  if and only if one of the following happens.*

- (i) *There exists a unimodular function  $\psi$  on  $T^2$  and a positive integer  $n$  such that  $1 \leq n \leq p$  and*

$$M = \psi \sum_{j=0}^{\infty} \oplus (z^k w^p)^j \left\{ \left( \sum_{i=0}^{n-1} \oplus z^{\phi(ji)} w^{ji} H^2(T_z) \right) \oplus \left( \sum_{i=n}^{p-1} \oplus z^{\phi(ji)-1} w^{ji} H^2(T_z) \right) \right\}.$$

- (ii)  *$M$  is an invariant subspace with  $zM = M$  and  $wM \neq M$ .*

The case  $p = 1$  and  $k = 0$  of this theorem is proved in [9, 12].

**PROOF OF THEOREM 5.1.** Let

$$(5.9) \quad \zeta = z^k w^p.$$

Suppose that

$$(5.10) \quad V_\zeta^* V_z = V_z V_\zeta^*.$$

Let  $N = M \ominus \zeta M$ . By (4.1) and (5.9),  $\zeta A_\phi = A_{\phi,p}$ . Since  $[A_\phi M] = M$ ,  $\zeta M = [A_{\phi,p} M]$ . Then  $N = M \ominus [A_{\phi,p} M]$ . Since  $A_{\phi,p} \subset A_{\phi,1}$ ,  $\zeta M \subset [A_{\phi,1} M]$ . Hence by our assumption,  $N \neq \{0\}$ . Then we have the following decomposition

$$(5.11) \quad M = \left( \sum_{j=0}^{\infty} \oplus \zeta^j N \right) \oplus \bigcap_{j=0}^{\infty} \zeta^j M.$$

By (5.10) and Lemma 5.1,  $zN \subset N$ . Therefore we can use the basic procedure in Section 2. Using it, we shall study the structures of  $N$  and  $M$ . As in Section 2, let  $\tilde{M} = [\cup\{z^l M ; l \in \mathbb{Z}\}]$ . Then by (5.9),  $\zeta \tilde{M} = z^k w^p \tilde{M} = w^p \tilde{M}$ , and by (2.1),  $N \perp w^p \tilde{M}$ . By (2.4) and (2.7),

$$(5.12) \quad N \subset \sum_{j=0}^{p-1} \oplus w^j S_j \subset \chi_K(z) \left( \sum_{j=0}^{p-1} \oplus w^j L^2(T_z) \right) \quad \text{and} \quad S_j \subset \chi_K(z) L^2(T_z).$$

By (2.3),

$$(5.13) \quad M \subset \tilde{M} = \chi_K(z) \left( \sum_{j=0}^{\infty} \oplus w^j L^2(T_z) \right) \oplus \chi_E L^2(T^2).$$

Then we have

$$(5.14) \quad \bigcap_{j=0}^{\infty} \zeta^j M \subset \bigcap_{j=0}^{\infty} w^{jp} \tilde{M} = \chi_E L^2(T^2).$$

By Lemma 4.1 (ii),  $\phi(1) + \phi(p-1) - k = 1$ . Since  $\phi(p) = k$ , by Lemma 2.3 we have

$$(5.15) \quad z^{\phi(p-1)} w^{p-1} \bar{S}_0 \subset N;$$

$$(5.16) \quad z \bar{S}_0 \subset N \cap S_0.$$

Now we separate the proof into two cases;  $z \bar{S}_0 \neq \bar{S}_0$  and  $z \bar{S}_0 = \bar{S}_0$ .



CASE 1. Suppose that  $z\bar{S}_0 \neq \bar{S}_0$ . Then by (2.5) and the Beurling theorem,

$$(5.17) \quad \bar{S}_0 = q(z)H^2(T_z)$$

for a unimodular function  $q(z)$  on  $T_z$ . By (5.12),  $\bar{S}_0 \subset \chi_K(z)L^2(T_z)$ . Hence in this case, we have  $K = T_z$ , and by (2.2),  $E = \emptyset$ . Hence by (5.11)–(5.14),

$$(5.18) \quad M = \sum_{j=0}^{\infty} \oplus \zeta^j N \subset \sum_{j=0}^{\infty} \oplus \left( \sum_{i=0}^{p-1} \oplus z^{jk} w^{jp+i} S_i \right) \subset \tilde{M} = \sum_{t=0}^{\infty} \oplus w^t L^2(T_z).$$

We note that for each pair of  $i$  and  $j$  there corresponds a unique  $t$  such that  $z^{jk} w^{jp+i} S_i \subset w^t L^2(T_z)$  and  $t = jp + i$ . By (5.16),  $z\bar{S}_0 \subset S_0 \subset \bar{S}_0$ , hence by (5.17) we have  $q(z)zH^2(T_z) \subset S_0 \subset qH^2(T_z)$ . Since  $\dim(H^2(T_z) \ominus zH^2(T_z)) = 1$ ,  $S_0$  becomes a closed subspace, and

$$(5.19) \quad S_0 = \bar{S}_0 = q(z)H^2(T_z).$$

Since  $S_0$  is a closed subspace, by (5.16) we have

$$(5.20) \quad zS_0 \subset N \cap S_0 \subset S_0.$$

Here we want to prove

$$(5.21) \quad S_0 \subset N.$$

To prove this, suppose not. Then by (5.19) and (5.20),

$$(5.22) \quad N \cap S_0 = zS_0.$$

For  $f \in N$ , by (5.12) we can write  $f$  as  $f = \sum_{j=0}^{p-1} \oplus w^j f_j(z)$ ,  $f_j \in S_j$ . By (5.18), using the above representation of  $f \in N$  we have

$$(5.23) \quad S_i = \{f_i ; f \in N\} \quad \text{for } 0 \leq i \leq p - 1.$$

Then  $z^{\phi(1)} w f = \sum_{j=0}^{p-1} \oplus z^{\phi(1)} w w^j f_j(z) \in M$ . Since  $M = N \oplus \zeta N \oplus \zeta^2 M$ , by (5.12) and (5.22) we have  $z^{\phi(1)} w w^{p-1} S_{p-1} \subset \zeta(N \cap S_0) = \zeta z S_0$ . Therefore by (5.15) and Lemma 4.1 (ii),

$$(5.24) \quad w^{p-1} S_{p-1} \subset z^{-\phi(1)} w^{-1} \zeta z S_0 = z^{\phi(p-1)} w^{p-1} S_0 \subset N.$$

Next we shall prove

$$(5.25) \quad w^{p-2} S_{p-2} \subset N.$$

Since  $z^{\phi(2)} w^2 N \subset M$  and  $f = \sum_{j=0}^{p-1} \oplus w^j f_j(z) \in N$ , we have  $\sum_{j=0}^{p-1} \oplus z^{\phi(2)} w^2 w^j f_j(z) \in M$ . Then by (5.18),  $z^{\phi(2)} w^2 w^{p-1} f_{p-1}(z) + z^{\phi(2)} w^2 w^{p-2} f_{p-2}(z) \in \zeta N$ . By (5.18) and (5.24),  $z^{\phi(2)} w^2 w^{p-1} f_{p-1}(z) \in \zeta N$ , so that  $z^{\phi(2)} w^2 w^{p-2} f_{p-2}(z) \in \zeta(N \cap S_0)$ . Therefore by (5.22),

$z^{\phi(2)}w^2w^{p-2}S_{p-2} \subset \zeta(N \cap S_0) = \zeta zS_0$ . Since  $z^{\phi(2)}w^2z^{\phi(p-2)}w^{p-2} = \zeta z$  by Lemma 4.1 (ii), we obtain

$$(5.26) \quad w^{p-2}S_{p-2} \subset z^{\phi(p-2)}w^{p-2}S_0.$$

Since  $z^{\phi(p-2)}w^{p-2}f = \sum_{j=0}^{p-1} \oplus z^{\phi(p-2)}w^{p-2}w^j f_j(z) \in M$ , we have

$$z^{\phi(p-2)}w^{p-2}f_0(z) \oplus z^{\phi(p-2)}w^{p-1}f_1(z) \in N.$$

Then  $z^{\phi(p-2)}w^{p-1}f_1(z) \in w^{p-1}S_{p-1}$ , so that by (5.24) we have  $z^{\phi(p-2)}w^{p-2}S_0 \subset N$ . Therefore by (5.26), we obtain (5.25). In the same way, we can prove by induction that  $w^{p-i}S_{p-i} \subset N$  for  $1 \leq i \leq p-1$ . Since  $f = \sum_{j=0}^{p-1} \oplus w^j f_j(z) \in N$  and  $f_j(z) \in S_j$ , by the above we have  $f_0(z) \in N$ . By (5.23),  $S_0 \subset N$  and this contradicts (5.22). Thus we get (5.21).

Now we shall prove that

$$(5.27) \quad w^j S_j \subset N \quad \text{for } 0 \leq j \leq p-1.$$

The reader may think that (5.27) is already proved in the last paragraph. But these arguments are done under the assumption  $N \cap S_0 = zS_0$ . Here we want to prove (5.27) under the assumption  $N \cap S_0 = S_0$ . By (5.21), (5.27) is true for  $j=0$ . By induction we prove (5.27). Suppose that

$$(5.28) \quad w^j S_j \subset N \quad \text{for } 0 \leq j \leq n-1$$

for  $n$  with  $1 \leq n \leq p-1$ . We prove that  $w^n S_n \subset N$ . When  $n = p-1$ , by (5.12), (5.23) and (5.28) we have  $w^n S_n = w^{p-1}S_{p-1} \subset N$  easily. Hence we assume  $n < p-1$ . For  $f = \sum_{j=0}^{p-1} \oplus w^j f_j(z) \in N$ ,  $z^{\phi(p-n-1)}w^{p-n-1}f \in M$ . Then

$$\left( \sum_{j=0}^n \oplus z^{\phi(p-n-1)}w^{p+j-n-1}f_j \right) \oplus \left( \sum_{j=n+1}^{p-1} \oplus z^{\phi(p-n-1)}w^{p+j-n-1}f_j \right) \in N \oplus \zeta N.$$

Hence by our assumption (5.28),  $z^{\phi(p-n-1)}w^{p-1}f_n \in N$ . By (5.23),

$$(5.29) \quad z^{\phi(p-n-1)}w^{p-1}\bar{S}_n \subset N.$$

This implies that  $z^{\phi(n+1)}w^{n+1}z^{\phi(p-n-1)}w^{p-1}\bar{S}_n \subset \zeta(N \cap w^n S_n)$ . Since  $\phi(p) = k$ , by Lemma 4.1 we have

$$(5.30) \quad zw^n \bar{S}_n \subset N \cap w^n S_n \subset w^n \bar{S}_n.$$

We note that (5.29) and (5.30) correspond to (5.15) and (5.16) respectively. By the same argument used to prove (5.21), we can prove  $w^n S_n \subset N$ . Here we only give an outline of this proof. If  $z\bar{S}_n = \bar{S}_n$ , (5.30) immediately gives  $w^n S_n \subset N$ . Next suppose that  $z\bar{S}_n \neq \bar{S}_n$ .

Then  $S_n$  becomes a closed subspace of  $L^2(T_z)$ . To prove  $w^n S_n \subset N$ , suppose not. Then by (5.30),

$$(5.31) \quad N \cap w^n S_n = z w^n S_n.$$

By the fact  $z^{\phi(n+1)} w^{n+1} N \subset N \oplus \zeta N$  and (5.31), we have  $w^{p-1} S_{p-1} \subset N$ . By induction, we can prove that  $w^n S_n \subset N$ . As a consequence, we get (5.27).

Therefore by (5.12) and (5.27), we obtain

$$(5.32) \quad N = \sum_{j=0}^{p-1} \oplus w^j S_j.$$

Here we note that  $z^{\phi(p-j)} w^{p-j} S_j \subset \zeta S_0$  for  $0 \leq j \leq p-1$ . By Lemma 4.1 (ii),  $\phi(p-j) + \phi(j) = \phi(p) + 1$ , so that by (5.19) we have

$$(5.33) \quad S_j \subset q(z) z^{\phi(j)-1} H^2(T_z), \quad 0 \leq j \leq p-1.$$

Now we shall prove that there exists an integer  $n$  such that  $1 \leq n \leq p$  and

$$(5.34) \quad N = q(z) \left( \left( \sum_{i=0}^{n-1} \oplus z^{\phi(i)} w^i H^2(T_z) \right) \oplus \left( \sum_{i=n}^{p-1} \oplus z^{\phi(i)-1} w^i H^2(T_z) \right) \right).$$

By (5.19) and (5.21),

$$(5.35) \quad q(z) \left( \sum_{j=0}^{p-1} \oplus z^{\phi(j)} w^j H^2(T_z) \right) \subset N.$$

If  $q(z) \left( \sum_{j=0}^{p-1} \oplus z^{\phi(j)} w^j H^2(T_z) \right) = N$ , then  $N$  has the desired form and in this case we have  $n = p$ . Suppose that  $q(z) \left( \sum_{j=0}^{p-1} \oplus z^{\phi(j)} w^j H^2(T_z) \right) \neq N$ . Then there is a positive integer  $n$  such that

$$(5.36) \quad w^{j_n} S_{j_n} \neq q(z) z^{\phi(j_n)} w^{j_n} H^2(T_z), \quad 1 \leq n \leq p-1.$$

Here we may assume that  $n$  is the smallest integer which satisfies (5.36). Then

$$(5.37) \quad w^{j_i} S_{j_i} = q(z) z^{\phi(j_i)} w^{j_i} H^2(T_z), \quad 0 \leq i < n.$$

By (5.32) and (5.35),  $w^{j_n} S_{j_n} \supset z^{\phi(j_n)} w^{j_n} S_0 = q(z) z^{\phi(j_n)} w^{j_n} H^2(T_z)$ . Then by (5.33) and (5.36),

$$(5.38) \quad w^{j_n} S_{j_n} = q(z) z^{\phi(j_n)-1} w^{j_n} H^2(T_z).$$

When  $n = p-1$ ,  $N$  has the desired form in (5.34), so that we may assume  $n < p-1$ .

We shall prove that

$$(5.39) \quad w^{j_i} S_{j_i} = q(z) z^{\phi(j_i)-1} w^{j_i} H^2(T_z) \quad \text{for } n < i \leq p-1.$$

By (5.32) and (5.38),

$$(5.40) \quad z^{\phi(j_1)} w^{j_1} w^{j_n} S_{j_n} = q(z) z^{\phi(j_1)+\phi(j_n)-1} w^{j_1+j_n} H^2(T_z) \subset M.$$

We note that  $p \neq j_1 + j_n$ , because  $n < p - 1$ . Hence it happens  $j_1 + j_n < p$  or  $p < j_1 + j_n$ .

First, suppose that  $j_1 + j_n < p$ . Then by Lemma 4.1 (iv),  $\phi(j_1) + \phi(j_n) = \phi(j_1 + j_n)$  and  $j_1 + j_n = j_{n+1}$ . Hence by (5.40),  $q(z) z^{\phi(j_{n+1})-1} w^{j_{n+1}} H^2(T_z) \subset M$ . Since  $j_{n+1} < p$ , by (5.32) we have  $q(z) z^{\phi(j_{n+1})-1} w^{j_{n+1}} H^2(T_z) \subset w^{j_{n+1}} S_{j_{n+1}}$ . Then by (5.33),  $S_{j_{n+1}} = q(z) z^{\phi(j_{n+1})-1} H^2(T_z)$ .

Next, suppose that  $p < j_1 + j_n$ . Then by Lemma 4.1 (v),  $j_1 + j_n = p + j_{n+1}$  and  $\phi(j_1) + \phi(j_n) = k + \phi(j_{n+1})$ , so that by (5.40),  $q(z) \zeta z^{\phi(j_{n+1})-1} w^{j_{n+1}} H^2(T_z) \subset M$ . By (5.18),  $q(z) \zeta z^{\phi(j_{n+1})-1} w^{j_{n+1}} H^2(T_z) \subset \zeta N$ . Hence  $q(z) z^{\phi(j_{n+1})-1} w^{j_{n+1}} H^2(T_z) \subset w^{j_{n+1}} S_{j_{n+1}}$ . By (5.33), we get  $S_{j_{n+1}} = q(z) z^{\phi(j_{n+1})-1} w^{j_{n+1}} H^2(T_z)$ . Therefore by induction, we can prove (5.39). By (5.32), (5.37) and (5.39), we get (5.34), so that by (5.18)  $M$  is of the form (i).

CASE 2. Suppose that  $z\bar{S}_0 = \bar{S}_0$ . By (5.16),  $z\bar{S}_0 \subset N \cap S_0 \subset \bar{S}_0$ . Hence  $S_0$  is a closed subspace of  $L^2(T_z)$  and  $zS_0 = S_0 \subset N$ . By (5.8),  $S_0 \subset M \ominus [A_{\phi,1}M]$ , so that  $S_0$  plays the role of  $N$  in the basic procedure in Section 2 for  $p = 1$ . Since  $zS_0 = S_0$ , Case 1 happens in the basic procedure. Then by Lemma 2.4,  $M$  is an invariant subspace with  $zM = M$  and  $wM \neq M$ . Therefore  $M$  satisfies the condition (ii).

By Lemma 5.1, it is not difficult to prove the converse assertion.

**6. Homogeneous-Type  $A_\phi$ -Invariant Subspaces.** We discuss the same  $\phi$  which is studied in Section 4. Let  $p \in \mathbb{Z}_+ \setminus \{0\}$  and  $k \in \mathbb{Z}$  such that  $p$  and  $|k|$  are mutually prime if  $k \neq 0$ , and  $p = 1$  if  $k = 0$ . For each  $n \in \mathbb{Z}_+$ , let  $\phi(n)$  be the smallest integer such that  $p\phi(n) - kn \geq 0$ .

Let  $M$  be an  $A_\phi$ -invariant subspace. For  $n \in \mathbb{Z}_+$ , let

$$(6.1) \quad M_n = \left[ \sum_{j=0}^n (z^k w^p)^j z^{n-j} M \right].$$

Then  $M_n$  is  $A_\phi$ -invariant and  $M = M_0 \supset M_1 \supset M_2 \supset \dots$ . Let  $X_n = M_n \ominus M_{n+1}$  for  $n \in \mathbb{Z}_+$ . Then we have the following decomposition

$$(6.2) \quad M = \left( \sum_{n=0}^\infty \oplus X_n \right) \oplus M_\infty,$$

where  $M_\infty = \bigcap_{n=0}^\infty M_n$ . Here we call  $M$  a *homogeneous-type  $A_\phi$ -invariant subspace* if

$$(6.3) \quad zX_n \subset X_{n+1} \quad \text{and} \quad z^k w^p X_n \subset X_{n+1} \quad \text{for } n \in \mathbb{Z}_+$$

and

$$(6.4) \quad M_\infty = \{0\}.$$

In this section, we study the following problem (see [11, 13]).

PROBLEM 3. Determine the homogeneous-type  $A_\phi$ -invariant subspaces  $M$  with  $z^k w^p M \subset zM$  and  $z^k w^p M \neq zM$ .

In [11], Nakazi gave an answer for the case  $p = 1$  and  $k = 0$ .

LEMMA 6.1. *Let  $M$  be  $A_\phi$ -invariant. Then  $M$  is of homogeneous-type if and only if there is a closed subspace  $E$  of  $L^2(T^2)$  such that  $M = \sum_{n=0}^\infty \oplus [\sum_{j=0}^n (z^k w^p)^j z^{n-j} E]$ .*

PROOF. Suppose that  $M$  is of homogeneous-type. Then by (6.2) and (6.4),

$$(6.5) \quad M = \sum_{n=0}^\infty \oplus X_n.$$

We shall show that

$$(6.6) \quad X_n = \left[ \sum_{j=0}^n (z^k w^p)^j z^{n-j} X_0 \right] \quad \text{for } n \in \mathbb{Z}_+.$$

By (6.3),  $[z^k w^p X_n + zX_n] \subset X_{n+1}$ . Then by (6.1) and (6.5),  $M_1 = \sum_{n=0}^\infty \oplus [z^k w^p X_n + zX_n]$ , so that  $X_0 = M \ominus M_1 = X_0 \oplus (\sum_{n=1}^\infty \oplus (X_n \ominus [z^k w^p X_{n-1} + zX_{n-1}]))$ . Thus  $X_n = [z^k w^p X_{n-1} + zX_{n-1}]$  for  $n \geq 1$ . Hence we have (6.6). Set  $E = X_0$ ; then  $M$  has the desired form.

Next, suppose that there exists a closed subspace  $E$  of  $L^2(T^2)$  such that

$$M = \sum_{n=0}^\infty \oplus \left[ \sum_{j=0}^n (z^k w^p)^j z^{n-j} E \right].$$

Then we have

$$M_i = \sum_{n=i}^\infty \oplus \left[ \sum_{j=0}^n (z^k w^p)^j z^{n-j} E \right].$$

Hence

$$X_n = \left[ \sum_{j=0}^n (z^k w^p)^j z^{n-j} E \right] \quad \text{and} \quad M_\infty = \{0\}.$$

Now it is easy to see that  $X_n$  satisfies (6.3), so that  $M$  is of homogeneous-type.

LEMMA 6.2. *Let  $M$  be an  $A_\phi$ -invariant subspace with  $z^k w^p M \subset zM$  and  $M \neq \{0\}$ . Suppose that  $M$  is of homogeneous-type. Let  $E$  be the closed subspace of  $L^2(T^2)$  which is given in Lemma 6.1. Then  $M = \sum_{n=0}^\infty \oplus z^n E$  and  $z^{k-1} w^p E \subset E$ .*

PROOF. Let  $\zeta = z^k w^p$ . Suppose that  $M$  is of homogeneous-type. Then by Lemma 6.1, there is a nonzero closed subspace  $E$  of  $L^2(T^2)$  such that

$$(6.7) \quad M = \sum_{n=0}^\infty \oplus X_n, \quad X_n = \left[ \sum_{j=0}^n \zeta^j z^{n-j} E \right].$$

By our assumption,  $\zeta M \subset zM$ , so that  $\zeta M = \sum_{n=0}^\infty \oplus \zeta X_n \subset \sum_{n=0}^\infty \oplus zX_n$ . Since  $\zeta X_n \cup zX_n \subset X_{n+1}$ , by the above inclusion we have  $\zeta X_n \subset zX_n$ . Hence

$$\left[ \sum_{j=0}^n \zeta^{j+1} z^{n-j} E \right] \subset \left[ \sum_{j=0}^n \zeta^j z^{n+1-j} E \right], \quad n \in \mathbb{Z}_+.$$

When  $n = 0$ ,  $\zeta E \subset zE$ . Hence  $X_n = [\sum_{j=0}^n \zeta^j z^{n-j} E] \subset z^n E \subset X_n$ , so that we get  $X_n = z^n E$ . Therefore by (6.7),  $M = \sum_{n=0}^\infty \oplus z^n E$ .

**THEOREM 6.1.** *Let  $M$  be an  $A_\phi$ -invariant subspace with  $z^k w^p M \subset zM$  and  $z^k w^p M \neq zM$ . Suppose that  $M$  is of homogeneous-type. Then  $M$  has one of the following forms.*

$$(i) \quad M = \psi \sum_{j=0}^{\infty} \oplus (z^{k-1} w^p)^j \left( G \oplus \left( \sum_{i=0}^{p-1} \oplus z^{\phi(i)} w^i H^2(T_z) \right) \right),$$

where  $\psi$  is a unimodular function on  $T^2$  and  $G$  is a closed subspace such that

$$G \subset \left[ \{z^{\phi(i)-1} w^i, z^{\phi(i)-2} w^i ; 1 \leq i \leq p-1\} \right].$$

$$(ii) \quad M = \psi \sum_{j=0}^{\infty} \oplus (z^{k-1} w^p)^j \left( G \oplus \left( \sum_{i=0}^{p-1} \oplus z^{\phi(i)+1} w^i H^2(T_z) \right) \right),$$

where  $\psi$  is a unimodular function on  $T^2$  and  $G$  is a closed subspace such that

$$G \subset \left[ \{1, z^{\phi(i)} w^i, z^{\phi(i)-1} w^i, z^{\phi(i)-2} w^i ; 1 \leq i \leq p-1\} \right].$$

The structure of  $G$  is in general too complicated to describe more explicitly. In Section 7, we determine  $G$  for two special kinds of  $\phi$ .

**PROOF OF THEOREM 6.1.** Let

$$(6.8) \quad \zeta = z^k w^p.$$

Since  $M$  is of homogeneous-type, by Lemmas 6.1 and 6.2 there is a nonzero closed subspace  $E$  of  $L^2(T^2)$  such that

$$(6.9) \quad M = \sum_{n=0}^{\infty} \oplus \left[ \sum_{j=0}^n \zeta^j z^{n-j} E \right] = \sum_{n=0}^{\infty} \oplus z^n E, \quad \zeta z^{-1} E \subset E.$$

If  $\zeta z^{-1} E = E$ , then by (6.9),  $\zeta M = zM$ . This contradicts our assumption. Therefore  $\zeta z^{-1} E \neq E$ . Let  $Y = E \ominus \zeta z^{-1} E \neq \{0\}$ . Then

$$(6.10) \quad E = Y \oplus \zeta z^{-1} E.$$

By (6.9),  $z^i Y \perp z^j Y$  for  $i, j \in \mathbb{Z}_+, i \neq j$ . Let

$$(6.11) \quad N = \sum_{i=0}^{\infty} \oplus z^i Y.$$

Then by (6.9), (6.10) and (6.11),

$$(6.12) \quad M = N \oplus \zeta z^{-1} M.$$

Here let  $B$  be the semigroup in  $\{z^i w^j ; i, j \in \mathbb{Z}\}$  generated by  $\zeta z^{-1}$  and  $A_\phi$ . For each  $n \in \mathbb{Z}_+$ , we put  $\mu(n) = \min\{i \in \mathbb{Z} ; z^i w^n \in B\}$ . Then  $\mu(0) = 0$  and  $A_\mu = B$ . By (6.8) and the definition of  $\phi$ ,

$$(6.13) \quad \mu(ip + j) = \phi(ip + j) - i \quad \text{for } i \in \mathbb{Z}_+, 0 \leq j \leq p-1 ;$$

$$(6.14) \quad \mu(p) = k - 1;$$

$$(6.15) \quad \zeta z^{-1} A_\mu = A_{\mu,p}.$$

Hence  $A_\mu$  is cyclic. By our assumption,  $\zeta z^{-1} M \subset M$ , so that  $M$  is  $A_\mu$ -invariant. Then by (6.15),  $[A_{\mu,p}M] = \zeta z^{-1} M$ . Hence (6.11) and (6.12) imply that  $N$  is a nonzero  $z$ -invariant subspace,  $zN \neq N$  and

$$(6.16) \quad N = M \ominus [A_{\mu,p}M].$$

Now we can use Case 2 of the basic procedure in Section 2 for  $\mu(n)$  instead of  $\phi(n)$ . Then by (2.23), there is a nonzero subspace  $S_j$  of  $L^2(T_z)$  (perhaps not closed) such that

$$(6.17) \quad M \subset \sum_{j=0}^{\infty} \oplus w^j S_j \subset \tilde{M} = \sum_{j=0}^{\infty} \oplus w^j L^2(T_z),$$

and by (2.24),

$$(6.18) \quad N \subset \sum_{j=0}^{p-1} \oplus w^j S_j \subset \sum_{j=0}^{p-1} \oplus w^j L^2(T_z).$$

By (6.12) and the definition of  $S_j$  (see (2.4)),

$$(6.19) \quad \zeta z^{-1} S_j = w^p S_{j+p}, \quad j \in \mathbb{Z}_+.$$

By (2.25),

$$(6.20) \quad [A_{\mu,n}M] \subset \sum_{j=n}^{\infty} \oplus w^j S_j \subset \sum_{j=n}^{\infty} \oplus w^j L^2(T_z), \quad n \in \mathbb{Z}_+.$$

By (2.9),

$$(6.21) \quad \sum_{j=0}^n z^{\mu(n-j)} S_j \subset S_n \subset \left[ \sum_{j=0}^n z^{\mu(n-j)} S_j \right], \quad n \in \mathbb{Z}_+.$$

By (6.13), (6.14) and Lemma 4.1 (ii),  $\mu(1) + \mu(p-1) - \mu(p) = 2$ . Hence by Lemma 2.3,

$$(6.22) \quad z^2 \bar{S}_0 \subset N \cap S_0.$$

By (2.5),  $\bar{S}_0$  is a  $z$ -invariant subspace of  $L^2(T_z)$ , so that by the Beurling theorem  $\bar{S}_0 = q(z)H^2(T_z)$  or  $\bar{S}_0 = \chi_F(z)L^2(T_z)$ , where  $q(z)$  is a unimodular function on  $T_z$  and  $F \subset T_z$ . By (6.22),  $z^2 \bar{S}_0 \subset S_0 \subset \bar{S}_0$ . Then for both cases,  $S_0$  becomes a closed subspace and  $S_0 = q(z)H^2(T_z)$  or  $S_0 = \chi_F(z)L^2(T_z)$ . Moreover by (6.22),

$$(6.23) \quad z^2 S_0 \subset N.$$

Here we note that  $S_0 \neq \chi_F(z)L^2(T_z)$ . For, suppose that  $S_0 = \chi_F(z)L^2(T_z)$ . By (6.20),  $S_0 \perp [A_{\mu,1}M]$ . Then by Lemma 2.4,  $M$  is an invariant subspace with  $zM = M$  and  $wM \neq M$ . But by (6.9),  $M$  satisfies  $zM \neq M$ . This is a contradiction. Therefore  $S_0 = q(z)H^2(T_z)$ .

For the sake of simplicity we assume that

$$(6.24) \quad S_0 = H^2(T_z).$$

Now recall the proof of (5.21) in the proof of Theorem 5.1. In the same way, from (6.23) we can prove  $zS_0 \subset N$ . Since  $z^2S_0 \subset N \cap S_0 \subset S_0$ , by the above inclusion we have

$$(6.25) \quad N \cap S_0 = S_0 \quad \text{or} \quad N \cap S_0 = zS_0.$$

By (6.19) for  $j = 0$ ,  $\zeta z^{-1}S_0 = w^p S_p$ . Then by (6.21),

$$(6.26) \quad z^{\mu(p-j)}w^{p-j}w^j S_j \subset \zeta z^{-1}S_0, \quad 0 \leq j \leq p-1.$$

By (6.13), (6.14) and Lemma 4.1 (ii),  $\mu(p) - \mu(p-j) = \mu(j) - 2$ . Hence by (6.8) and (6.26),  $S_j \subset z^{\mu(j)-2}S_0$ . On the other hand, by (6.21) we have  $z^{\mu(j)}S_0 \subset S_j$ ,  $0 \leq j \leq p-1$ . Hence  $z^{\mu(j)}S_0 \subset S_j \subset z^{\mu(j)-2}S_0$  for  $0 \leq j \leq p-1$ . Then by (6.24),  $S_j$  is a closed subspace of  $L^2(T_z)$  and

$$(6.27) \quad S_j = z^{\mu(j)-\epsilon(j)}S_0 = z^{\mu(j)-\epsilon(j)}H^2(T_z) \quad \text{for some } \epsilon(j) = 0, 1, 2.$$

Since  $\mu(0) = 0$ ,

$$(6.28) \quad \epsilon(0) = 0.$$

By (6.16), (6.18), (6.20), and the  $A_\mu$ -invariantness of  $M$ ,

$$(6.29) \quad \sum_{j=0}^{p-1} \oplus z^{\mu(j)}w^j(N \cap S_0) \subset N.$$

By (6.18) and (6.27),

$$(6.30) \quad N \subset \sum_{j=0}^{p-1} \oplus w^j S_j = \sum_{j=0}^{p-1} \oplus z^{\mu(j)-\epsilon(j)}w^j H^2(T_z).$$

By (6.29), we can define

$$(6.31) \quad G = N \ominus \left( \sum_{j=0}^{p-1} \oplus z^{\mu(j)}w^j(N \cap S_0) \right).$$

We consider the following two cases separately (see (6.25));  $N \cap S_0 = S_0$  and  $N \cap S_0 = zS_0$ .

When  $N \cap S_0 = S_0$ , by (6.24) and (6.31) we have

$$N = G \oplus \sum_{j=0}^{p-1} \oplus z^{\mu(j)}w^j H^2(T_z).$$

By (6.12) and (6.17),  $M = \sum_{j=0}^{\infty} \oplus (\zeta z^{-1})^j N$ . Hence, in this case,  $M$  has the form given by (i). By (6.28), (6.30), and (6.31), it is not difficult to see that  $G$  satisfies the desired condition.

In the same way, when  $N \cap S_0 = zS_0$ ,  $M$  has the form given by (ii).



**7. Examples of Homogeneous-Type  $A_\phi$ -Invariant Subspaces.** This section is a continuation of Section 6. Let  $\{j_0, j_1, \dots, j_{p-1}\} = \{0, 1, \dots, p-1\}$  such that  $p\phi(j_i) - kj_i < p\phi(j_{i+1}) - kj_{i+1}$  for  $0 \leq i \leq p-2$ . We note that  $j_0 = 0$  (see for detail Section 4), and the structure of  $\{j_i\}_{i=0}^{p-1}$  depends strongly on the given  $p$  and  $k$ . We study in Theorem 7.1 the case  $j_i = i$ ,  $1 \leq i \leq p-1$ , and in Theorem 7.2 the case  $j_i = p-i$ ,  $1 \leq i \leq p-1$ . Comparing these theorems, we find that the structures of  $G$  are completely different. For general cases, it is natural to expect that  $G$  has the mixed structures of  $G$  in Theorems 7.1 and 7.2.

**THEOREM 7.1.** *Suppose that  $j_i = i$  for  $0 \leq i \leq p-1$  for given  $p$  and  $k$ . Let  $M$  be an  $A_\phi$ -invariant subspace with  $z^k w^p M \subset zM$  and  $z^k w^p M \neq zM$ . Then  $M$  is of homogeneous-type if and only if*

$$M = \psi \sum_{j=0}^{\infty} \oplus (z^{k-1} w^p)^j \left( G \oplus \left( \sum_{i=0}^{p-1} \oplus z^{\phi(i)} w^i H^2(T_z) \right) \right),$$

where  $\psi$  is a unimodular function on  $T^2$  and  $G$  has one of the following forms.

(i)  $G = \{0\}$  or  $G = [\{z^{\phi(s)-1} w^s ; s_1 \leq s \leq p-1\}]$

for some  $s_1$  with  $1 \leq s_1 \leq p-1$ .

(ii)  $G = [\{z^{\phi(i)-1} w^i, z^{\phi(j)-2} w^j ; s_1 \leq i \leq p-1, s_2 \leq j \leq p-1\}]$

for some  $s_1$  and  $s_2$  with  $1 \leq s_1 \leq s_2 \leq p-1$ .

(iii)  $G = G_1 \oplus [\{z^{\phi(i)-1} w^i, z^{\phi(j)-2} w^j ; t_1 \leq i \leq p-1, t_2 \leq j \leq p-1\}]$

where

$$G_1 = \left[ \left\{ (z^{\phi(1)} w)^j \left( \sum_{i=0}^{t_1-s_1-j-1} (\alpha_i z^{\phi(s_2+i)-2} w^{s_2+i} + \beta_i z^{\phi(s_1+i)-1} w^{s_1+i}) \right) ; 0 \leq j \leq t_1 - s_1 - 1 \right\} \right]$$

for some complex numbers  $\{\alpha_i, \beta_i\}_{i=0}^{t_1-s_1-1}$  with  $\alpha_0 \neq 0$  and  $\beta_0 \neq 0$ , and for some  $s_1, s_2, t_1, t_2$  with  $0 \leq s_1 < t_1 \leq s_2 < t_2 \leq p$  and  $t_2 - s_2 = t_1 - s_1$ .

We note that for a given  $p \in \mathbb{Z}_+ \setminus \{0\}$ , a pair  $(p, k)$  satisfies the assumption of Theorem 7.1 if and only if  $k = lp - 1$  and  $lp \neq 1$  for some  $l \in \mathbb{Z}$ .

**PROOF OF THEOREM 7.1.** Suppose that  $M$  is of homogeneous-type. We use the same notations as in the proof of Theorem 6.1 and we continue our proof from the end of the proof of Theorem 6.1. Since  $j_i = i$  for  $0 \leq i \leq p-1$ ,

$$(7.1) \quad z^{\phi(j)} w^j = (z^{\phi(1)} w)^j, \quad 0 \leq j \leq p-1.$$

This is the key point of our assumption.

First suppose that

$$(7.2) \quad N \cap S_0 = S_0 = H^2(T_z).$$

Then by the end of the proof of Theorem 6.1, we may consider

$$(7.3) \quad M = \sum_{j=0}^{\infty} \oplus (z^{k-1}w^p)^j \left( G \oplus \left( \sum_{i=0}^{p-1} \oplus z^{\phi(i)}w^i H^2(T_z) \right) \right),$$

where  $G$  is a closed subspace with

$$(7.4) \quad G \subset \left[ \{z^{\phi(j)-1}w^j, z^{\phi(j)-2}w^j ; 1 \leq j \leq p-1\} \right].$$

Using the property that  $A_\phi M \subset M$ , we will describe  $G$ .

For  $i = 1$  or  $2$ , we define positive integers  $t_i$  and  $s_i$ . When  $z^{\phi(t)-i}w^t \in G$  for some  $1 \leq t \leq p-1$ , let  $t_i$  be the smallest integer  $t$  satisfying the above condition. For convenience, let  $t_i = p$  when  $z^{\phi(t)-i}w^t \notin G$  for every  $1 \leq t \leq p-1$ . When  $\hat{f}(\phi(s)-i, s) \neq 0$  for some  $f \in G$  and for some  $s$  with  $1 \leq s \leq p-1$ , let  $s_i$  be the smallest integer  $s$  satisfying the above condition. Then  $\hat{f}(\phi(s)-i, s) = 0$  for every  $f \in G$  and  $1 \leq s < s_i$  and  $\hat{g}(\phi(s_i)-i, s_i) \neq 0$  for some  $g \in G$ . We note that  $s_1$  and  $s_2$  may not exist. If  $s_i$  exists, by the definitions we have  $s_i \leq t_i$ . In the following, we shall see that the structure of  $G$  depends on the data of  $s_i$  and  $t_i$ . To study the structure of  $G$ , we separate into several cases. The following follows from (7.4).

- (a) If both  $s_1$  and  $s_2$  do not exist,  $G = \{0\}$ .
- (b) If  $s_1$  exists and  $s_2$  does not, then  $s_1 = t_1$  and

$$G = \left[ \{z^{\phi(s)-1}w^s ; s_1 \leq s \leq p-1\} \right], \quad 1 \leq s_1 \leq p-1.$$

For, by our assumptions and the definitions of  $s_1$  and  $s_2$ ,

$$(7.5) \quad G \subset \left[ \{z^{\phi(s)-1}w^s ; s_1 \leq s \leq p-1\} \right],$$

and there exists  $f \in G$  such that

$$(7.6) \quad f = \sum_{s=s_1}^{p-1} a_s z^{\phi(s)-1}w^s, \quad a_{s_1} \neq 0.$$

Since  $z^{\phi(p-s_1-1)}w^{p-s_1-1}G \subset z^{\phi(p-s_1-1)}w^{p-s_1-1}M \subset M$ ,

$$\sum_{s=s_1}^{p-1} a_s z^{\phi(p-s_1-1)+\phi(s)-1}w^{p+s-s_1-1} \in M.$$

Then by (6.16), (6.18) and (6.20),  $a_{s_1} z^{\phi(p-s_1-1)+\phi(s_1)-1}w^{p-1} \in N$ . Since  $a_{s_1} \neq 0$ , by (7.1) we have  $z^{\phi(p-1)-1}w^{p-1} \in N$ . By (6.13), (6.31), and (7.2), we have  $z^{\phi(p-1)-1}w^{p-1} \in G$ , so that by (7.6) we get  $\sum_{s=s_1}^{p-2} a_s z^{\phi(s)-1}w^s \in G$ . In the same way, using  $z^{\phi(p-s_1-2)}w^{p-s_1-2}G \subset M$ , we have  $z^{\phi(p-2)-1}w^{p-2} \in G$ . By induction, we can prove that  $z^{\phi(s)-1}w^s \in G, s_1 \leq s \leq p-1$ . By (7.5), we see that  $G$  has the desired form.

The above proof also shows the following two facts (c) and (d).

- (c) If  $t_i \leq p-1$ , then  $z^{\phi(t)-i}w^t \in G$  for every  $t_i \leq t \leq p-1$ .

- (d) If  $\sum_{s=l}^{p-1} a_s z^{\phi(s)-i} w^s \in G$  and  $a_l \neq 0$ , then  $t_i \leq l$ .
- (e) If  $s_2$  exists, then  $s_1$  exists and  $s_1 \leq t_1 \leq s_2$ .

For, suppose that  $s_2$  exists. Then by (7.4) there exists  $f \in G$  such that

$$(7.7) \quad f = \sum_{s=s_2}^{p-1} a_s z^{\phi(s)-2} w^s + \sum_{j=s_1}^{p-1} b_j z^{\phi(j)-1} w^j, \quad a_{s_2} \neq 0.$$

When  $s_1$  does not exist, we consider  $b_j = 0$  for  $s_1 \leq j \leq p-1$ . Since  $zf \in zG \subset zN \subset N$ , by (6.31) and (7.2) we have  $\sum_{s=s_2}^{p-1} a_s z^{\phi(s)-1} w^s \in G, a_{s_2} \neq 0$ . Then by (d),  $t_1 \leq s_2$ . The inequality  $s_1 \leq t_1$  follows from the definitions of  $s_1$  and  $t_1$ .

- (f) If  $s_2$  exists and  $s_1 = t_1$ , then  $s_2 = t_2$  and

$$(7.8) \quad G = \left[ \{z^{\phi(i)-1} w^i, z^{\phi(j)-2} w^j; s_1 \leq i \leq p-1, s_2 \leq j \leq p-1\} \right], \quad 1 \leq s_1 \leq s_2 \leq p-1.$$

For, suppose that  $s_2$  exists and  $s_1 = t_1$ . Then there exists  $f \in G$  satisfying (7.7). Since  $s_1 = t_1$ , by (c) we have  $\sum_{s=s_2}^{p-1} a_s z^{\phi(s)-2} w^s \in G, a_{s_2} \neq 0$ . By (d),  $t_2 \leq s_2$ . The opposite inequality follows from the definitions of  $s_2$  and  $t_2$ , so that  $s_1 \leq s_2 = t_2$ . Then (c) gives (7.8).

Finally, suppose that  $s_2$  exists and  $s_1 < t_1$ . We first prove that

$$(7.9) \quad t_2 - s_2 = t_1 - s_1.$$

Let  $f \in G$  such that

$$f = \sum_{s=s_2}^{p-1} a_s z^{\phi(s)-2} w^s + \sum_{j=s_1}^{p-1} b_j z^{\phi(j)-1} w^j, \quad b_{s_1} \neq 0.$$

Since  $z^{\phi(t_2-s_2)} w^{t_2-s_2} f \in M$ , by (7.3) and (7.4)

$$\sum_{s=s_2}^{p+s_2-t_2-1} a_s z^{\phi(t_2-s_2)+\phi(s)-2} w^{t_2+s-s_2} + \sum_{j=s_1}^{p+s_2-t_2-1} b_j z^{\phi(t_2-s_2)+\phi(j)-1} w^{t_2+j-s_2} \in G.$$

By (7.1),

$$\sum_{s=s_2}^{p+s_2-t_2-1} a_s z^{\phi(t_2+s-s_2)-2} w^{t_2+s-s_2} + \sum_{j=s_1}^{p+s_2-t_2-1} b_j z^{\phi(t_2+j-s_2)-1} w^{t_2+j-s_2} \in G.$$

Since  $t_2 + s - s_2 \geq t_2$  for  $s \geq s_2$ , by (c) we have  $\sum_{j=s_1}^{p+s_2-t_2-1} b_j z^{\phi(t_2+j-s_2)-1} w^{t_2+j-s_2} \in G$ . Since  $b_{s_1} \neq 0$ , by (d) we have  $t_1 \leq t_2 + s_1 - s_2$ . Hence  $t_1 - s_1 \leq t_2 - s_2$ .

Let  $g \in G$  such that

$$g = \sum_{s=s_2}^{p-1} c_s z^{\phi(s)-2} w^s + \sum_{j=s_1}^{p-1} d_j z^{\phi(j)-1} w^j, \quad c_{s_2} \neq 0.$$

Since  $z^{\phi(t_1-s_1)}w^{t_1-s_1}g \in M$ , in the same way as above we have

$$\sum_{s=s_2}^{p+s_1-t_1-1} c_s z^{\phi(t_1+s-s_1)-2} w^{t_1+s-s_1} \in G.$$

Since  $c_{s_2} \neq 0$ , by (d) we get  $t_2 \leq t_1 + s_2 - s_1$ , so that  $t_2 - s_2 \leq t_1 - s_1$ . Therefore we get (7.9).

Consequently there exist  $t_1, t_2, s_1$ , and  $s_2$  such that  $s_1 < t_1 \leq s_2 < t_2, t_2 - t_1 = s_2 - s_1$ , and

$$(7.10) \quad G = G_1 \oplus \left[ \{z^{\phi(i)-1}w^i, z^{\phi(j)-2}w^j ; t_1 \leq i \leq p-1, t_2 \leq j \leq p-1\} \right],$$

where

$$(7.11) \quad G_1 \subset \left[ \{z^{\phi(i)-1}w^i, z^{\phi(j)-2}w^j ; s_1 \leq i < t_1, s_2 \leq j < t_2\} \right]$$

and

$$(7.12) \quad z^i w^j \notin G_1 \quad \text{for every } (i, j) \in Z^2.$$

To describe  $G_1$ , fix  $f_0 \in G_1$  such that  $\hat{f}_0(\phi(s_2) - 2, s_2) \neq 0$ . Then we have

$$\hat{f}_0(\phi(s_1) - 1, s_1) \neq 0.$$

For, write  $f_0$  as

$$f_0 = \sum_{s=s_2}^{t_2-1} a_s z^{\phi(s)-2} w^s + \sum_{j=s_1}^{t_1-1} b_j z^{\phi(j)-1} w^j, \quad a_{s_2} \neq 0.$$

For the sake of simplicity, let  $a_s = 0$  for  $t_2 \leq s \leq p-1$ , and  $b_j = 0$  for  $t_1 \leq j \leq p-1$ .

Then

$$f_0 = \sum_{s=s_2}^{p-1} a_s z^{\phi(s)-2} w^s + \sum_{j=s_1}^{p-1} b_j z^{\phi(j)-1} w^j, \quad a_{s_2} \neq 0.$$

To show  $b_{s_1} \neq 0$ , suppose that  $b_{s_1} = 0$ . By our assumption,  $t_1 - s_1 > 0$ , so that  $z^{\phi(t_1-s_1-1)}w^{t_1-s_1-1}f_0 \in M$ . Hence

$$\sum_{s=s_2}^{p+s_1-t_1} a_s z^{\phi(t_1-s_1-1)+\phi(s)-2} w^{t_1+s-s_1-1} + \sum_{j=s_1+1}^{p+s_1-t_1} b_j z^{\phi(t_1-s_1-1)+\phi(j)-1} w^{t_1+j-s_1-1} \in G.$$

By (7.1),

$$\sum_{s=s_2}^{p+s_1-t_1} a_s z^{\phi(t_1+s-s_1-1)-2} w^{t_1+s-s_1-1} + \sum_{j=s_1+1}^{p+s_1-t_1} b_j z^{\phi(t_1+j-s_1-1)-1} w^{t_1+j-s_1-1} \in G.$$

Since  $t_1 + j - s_1 - 1 \geq t_1$  for  $j \geq s_1 + 1$ , by the definition of  $t_1$  and (c) we have

$$\sum_{s=s_2}^{p+s_1-t_1} a_s z^{\phi(t_1+s-s_1-1)-2} w^{t_1+s-s_1-1} \in G.$$

Since  $a_{s_2} \neq 0$ , by (d) we have  $t_2 \leq t_1 + s_2 - s_1 - 1$ . This contradicts (7.9), so that  $\hat{f}_0(\phi(s_1) - 1, s_1) = b_{s_1} \neq 0$ .

By (7.9) and the above fact, we can rewrite  $f_0$  as

$$(7.13) \quad f_0 = \sum_{i=0}^{p-1} (\alpha_i z^{\phi(s_2+i)-2} w^{s_2+i} + \beta_i z^{\phi(s_1+i)-1} w^{s_1+i}),$$

$$\alpha_0 \neq 0, \beta_0 \neq 0 \quad \text{and} \quad \alpha_i = \beta_i = 0 \quad \text{for} \quad t_1 - s_1 \leq i \leq p - 1.$$

Now we shall prove that

$$G_1 = \left[ \left\{ (z^{\phi(1)} w)^j \left( \sum_{i=0}^{t_1-s_1-j-1} (\alpha_i z^{\phi(s_2+i)-2} w^{s_2+i} + \beta_i z^{\phi(s_1+i)-1} w^{s_1+i}) \right) ; 0 \leq j \leq t_1 - s_1 - 1 \right\} \right],$$

where  $1 \leq s_1 < t_1 \leq s_2 < t_2 \leq p$ .

Let  $0 \leq j \leq t_1 - s_1 - 1$ . Since  $z^{\phi(j)} w^j f_0 \in M$ ,

$$\sum_{i=0}^{p-s_2-j-1} \alpha_i z^{\phi(j)+\phi(s_2+i)-2} w^{j+s_2+i} + \sum_{i=0}^{p-s_1-j-1} \beta_i z^{\phi(j)+\phi(s_1+i)-1} w^{j+s_1+i} \in G.$$

By (7.1),

$$\sum_{i=0}^{p-s_2-j-1} \alpha_i z^{\phi(j+s_2+i)-2} w^{j+s_2+i} + \sum_{i=0}^{p-s_1-j-1} \beta_i z^{\phi(j+s_1+i)-1} w^{j+s_1+i} \in G.$$

By (7.10) and (7.11),

$$\sum_{i=0}^{t_2-s_2-j-1} \alpha_i z^{\phi(j+s_2+i)-2} w^{j+s_2+i} + \sum_{i=0}^{t_1-s_1-j-1} \beta_i z^{\phi(j+s_1+i)-1} w^{j+s_1+i} \in G_1.$$

By (7.1) and (7.9),

$$(7.14) \quad (z^{\phi(1)} w)^j \sum_{i=0}^{t_1-s_1-j-1} (\alpha_i z^{\phi(s_2+i)-2} w^{s_2+i} + \beta_i z^{\phi(s_1+i)-1} w^{s_1+i}) \in G_1.$$

For convenience, put

$$(7.15) \quad f_j = (z^{\phi(1)} w)^j \sum_{i=0}^{t_1-s_1-j-1} (\alpha_i z^{\phi(s_2+i)-2} w^{s_2+i} + \beta_i z^{\phi(s_1+i)-1} w^{s_1+i})$$

for  $0 \leq j \leq t_1 - s_1 - 1$ . Therefore by (7.14),

$$(7.16) \quad \{f_j ; 0 \leq j \leq t_1 - s_1 - 1\} \subset G_1.$$

To show the converse inclusion, let take  $f \in G_1, f \neq 0$ , arbitrary. We can write  $f$  as

$$f = \sum_{i=0}^{t_1-s_1-1} (a_i z^{\phi(s_2+i)-2} w^{s_2+i} + b_i z^{\phi(s_1+i)-1} w^{s_1+i}).$$

By the same reasoning as in the paragraph before (7.13), there exists an integer  $m$ ,  $0 \leq m \leq t_1 - s_1 - 1$ , such that

$$(7.17) \quad f = \sum_{i=m}^{t_1-s_1-1} (a_i z^{\phi(s_2+i)-2} w^{s_2+i} + b_i z^{\phi(s_1+i)-1} w^{s_1+i}), \quad a_m \neq 0, b_m \neq 0.$$

Here we have

$$(7.18) \quad \frac{\alpha_0}{\beta_0} = \frac{a_m}{b_m}.$$

For, suppose not, that is,  $\alpha_0/\beta_0 \neq a_m/b_m$ . By multiplying  $z^{\phi(t_1-s_1-1)} w^{t_1-s_1-1}$  with  $f_0$ , by (7.9), (7.10), (7.11), and (7.13) we have

$$(7.19) \quad \alpha_0 z^{\phi(t_2-1)-2} w^{t_2-1} + \beta_0 z^{\phi(t_1-1)-1} w^{t_1-1} \in G_1.$$

By multiplying  $z^{\phi(t_1-s_1-1-m)} w^{t_1-s_1-1-m}$  with  $f$ , by (7.17) we can also get

$$(7.20) \quad a_m z^{\phi(t_2-1)-2} w^{t_2-1} + b_m z^{\phi(t_1-1)-1} w^{t_1-1} \in G_1.$$

Since  $\alpha_0/\beta_0 \neq a_m/b_m$ , by (7.19) and (7.20) we have  $z^{\phi(t_2-1)-2} w^{t_2-1}, z^{\phi(t_1-1)-1} w^{t_1-1} \in G_1$ . This contradicts (7.12). Hence we get (7.18).

By (7.1), (7.15), (7.16), (7.17), and (7.18),

$$G_1 \ni f - \frac{a_m}{\alpha_0} f_m = \sum_{i=m+1}^{t_1-s_1-1} (c_i z^{\phi(s_2+i)-2} w^{s_2+i} + d_i z^{\phi(s_1+i)-1} w^{s_1+i}), \quad \text{say.}$$

We note that the number of terms in the above sum is less than in (7.17). Repeating these arguments, we can prove that there exist complex numbers  $\{c_m, c_{m+1}, \dots, c_{t_1-s_1-1}\}$  such that  $f = \sum_{i=m}^{t_1-s_1-1} c_i f_i$ . Hence  $G_1 \subset [\{f_j; 0 \leq j \leq t_1 - s_1 - 1\}]$ . By (7.16), we get the desired equality. This completes the proof for the case  $N \cap S_0 = S_0 = H^2(T_z)$ , and in this case, one of (i), (ii) and (iii) with  $s_1 \geq 1$  happens.

Next we study the case

$$(7.21) \quad N \cap S_0 = zS_0 = zH^2(T_z).$$

By Theorem 6.1 (and its proof), we may assume

$$M = \sum_{j=0}^{\infty} \oplus (z^{k-1} w^j)^j \left( G \oplus \left( \sum_{i=0}^{p-1} \oplus z^{\phi(i)+1} w^i H^2(T_z) \right) \right),$$

and

$$(7.22) \quad N = G \oplus \left( \sum_{i=0}^{p-1} \oplus z^{\phi(i)+1} w^i H^2(T_z) \right),$$

where  $G$  is a closed subspace such that

$$G \subset \left[ \{1, z^{\phi(i)} w^i, z^{\phi(i)-1} w^i, z^{\phi(i)-2} w^i; 1 \leq i \leq p-1\} \right].$$

In this case,

$$(7.23) \quad G \subset \left[ \{z^{\phi(i)}w^i, z^{\phi(j)-1}w^j; 0 \leq i \leq p-1, 1 \leq j \leq p-1\} \right].$$

To prove this, suppose that there exists  $h \in G$  such that  $\hat{h}(\phi(i) - 2, i) \neq 0$  for some  $1 \leq i \leq p-1$ . Then we can write  $h$  as

$$h = \sum_{i=1}^t a_i z^{\phi(i)-2}w^i + \sum_{j=1}^{p-1} b_j z^{\phi(j)-1}w^j + \sum_{m=0}^{p-1} c_m z^{\phi(m)}w^m, \quad a_t \neq 0$$

for some  $t$  with  $1 \leq t \leq p-1$ . Since  $z^{\phi(p-t)}w^{p-t}h \in M$ , by (6.9)–(6.20) we have

$$a_t z^{\phi(p-t)+\phi(t)-2}w^p + \sum_{j=t}^{p-1} \{b_j z^{\phi(p-t)+\phi(j)-1}w^{p+j-t} + c_j z^{\phi(p-t)+\phi(j)}w^{p+j-t}\} \in \zeta \bar{z}N.$$

Then

$$a_t z^{\phi(p-t)+\phi(t)-k-1} + \sum_{j=t}^{p-1} \{b_j z^{\phi(p-t)+\phi(j)-k}w^{j-t} + c_j z^{\phi(p-t)+\phi(j)-k+1}w^{j-t}\} \in N.$$

Hence by Lemma 4.1 and (7.1),

$$a_t + \sum_{j=t}^{p-1} \{b_j z^{\phi(j-t)+1}w^{j-t} + c_j z^{\phi(j-t)+2}w^{j-t}\} \in N.$$

Therefore by (7.22),  $1 \in G \subset N$ . By the definition of  $S_0$  (see (2.4)),  $1 \in S_0$ , so that  $1 \in N \cap S_0$ . This contradicts (7.21). Hence we get (7.23).

Since  $M$  can be written as

$$M = z \sum_{j=0}^{\infty} \oplus (z^{k-1}w^p)^j \left( z^{-1}G \oplus \left( \sum_{i=0}^{p-1} \oplus z^{\phi(i)}w^i H^2(T_z) \right) \right),$$

we can proceed in the same way as in the case  $N \cap S_0 = S_0$ . By (6.24),  $S_0 = H^2(T_z)$ . By the definition of  $S_0$ , we note that (5.23) holds. Then there exists  $h \in N$  such that  $\hat{h}(0, 0) \neq 0$ . By (7.22), there exists  $g$  in  $G$  such that  $\hat{g}(0, 0) \neq 0$ . By (7.21),  $1 \notin N$ . Hence  $1 \notin G$ , so that  $z^{-1} \notin z^{-1}G$ . Therefore in this case only (iii) happens and  $s_1 = 0$ .

The converse assertion is not difficult to prove. This completes the proof.

**THEOREM 7.2.** *Suppose that  $j_i = p - i$  for  $1 \leq i \leq p - 1$  for a given  $\phi$ . Let  $M$  be an  $A_\phi$ -invariant subspace with  $z^k w^p M \subset zM$  and  $z^k w^p M \neq zM$ . Then  $M$  is of homogeneous-type if and only if*

$$M = \psi \sum_{j=0}^{\infty} \oplus (z^{k-1}w^p)^j \left( G \oplus \left( \sum_{i=0}^{p-1} \oplus z^{\phi(i)}w^i H^2(T_z) \right) \right),$$

where  $\psi$  is a unimodular function on  $T^2$  and  $G$  has one of the following forms.

(i) 
$$G = G_1 \oplus \left[ \{z^{\phi(i)-1}w^i; 1 \leq i \leq p-1\} \right],$$

where  $G_1$  is a nonzero closed subspace of  $[\{z^{\phi(j)-2}w^j; 1 \leq j \leq p-1\}]$ .

(ii)  $G$  is a closed subspace with  $G \subset [\{z^{\phi(i)-1}w^i; 1 \leq i \leq p-1\}]$ .

(iii)  $G = G_1 \oplus [\{z^{\phi(i)-1}w^i; 1 \leq i \leq p-1\}]$ ,

where  $G_1$  is a closed subspace of  $[\{z^{-1}, z^{\phi(j)-2}w^j; 1 \leq j \leq p-1\}]$  and there exists a function  $g$  in  $G_1$  such that  $\hat{g}(-1, 0) \neq 0$ .

We note that for a given  $p \in \mathbb{Z}_+ \setminus \{0\}$ , a pair  $(p, k)$  satisfies the assumption of Theorem 7.2 if and only if  $k = lp + 1$  and  $lp \neq -1$  for some  $l \in \mathbb{Z}$ .

PROOF. We use the same notations as in the proof of Theorem 6.1 and we continue our proof from the end of the proof of Theorem 6.1. By our assumption, we have

$$(7.24) \quad \text{if } 1 \leq s, t \leq p-1 \text{ and } s+t \leq p, \text{ then } \phi(s) + \phi(t) = \phi(s+t) + 1,$$

$$(7.25) \quad \text{if } 1 \leq s, t \leq p-1 \text{ and } s+t > p, \text{ then } \phi(s) + \phi(t) = \phi(s+t-p) + k.$$

We separate the proof into two cases;  $N \cap S_0 = S_0 = H^2(T_z)$  and  $N \cap S_0 = zH^2(T_z)$ .

First suppose that  $N \cap S_0 = H^2(T_z)$ . Then by Section 6,

$$(7.26) \quad G = N \ominus \left( \sum_{i=0}^{p-1} \oplus z^{\phi(i)} w^i H^2(T_z) \right)$$

and

$$G \subset [\{z^{\phi(i)-1}w^i, z^{\phi(i)-2}w^i; 1 \leq i \leq p-1\}].$$

Suppose that there exists  $f$  in  $G$  such that  $\hat{f}(\phi(i)-2, i) \neq 0$  for some  $1 \leq i \leq p-1$ . Then  $f$  can be written as

$$f = \sum_{j=m}^t a_j z^{\phi(j)-2} w^j + \sum_{i=1}^{p-1} b_i z^{\phi(i)-1} w^i, \quad a_m \neq 0, a_t \neq 0$$

where  $1 \leq m \leq t \leq p-1$ . Since  $z^{\phi(p-m-1)} w^{p-m-1} f \in M$ ,

$$a_m z^{\phi(p-m-1)+\phi(m)-2} w^{p-1} + \sum_{i=1}^m b_i z^{\phi(p-m-1)+\phi(i)-1} w^{p+i-m-1} \in N.$$

By (7.24),

$$a_m z^{\phi(p-1)-1} w^{p-1} + \sum_{i=1}^m b_i z^{\phi(p+i-m-1)} w^{p+i-m-1} \in N.$$

By (7.26) and  $a_m \neq 0$ ,

$$(7.27) \quad z^{\phi(p-1)-1} w^{p-1} \in G.$$



Then using  $z^{\phi(p-m-2)}w^{p-m-2}f \in M$ , we get  $z^{\phi(p-2)-1}w^{p-2} \in G$ . For,  $z^{\phi(p-m-2)}w^{p-m-2}f \in M$  implies that

$$\sum_{j=m}^{m+1} a_j z^{\phi(p-m-2)+\phi(j)-2} w^{p+j-m-2} + \sum_{i=1}^{m+1} b_i z^{\phi(p-m-2)+\phi(i)-1} w^{p+i-m-2} \in N.$$

By (7.24), (7.25) and (7.26), we have  $a_m z^{\phi(p-2)-1}w^{p-2} + a_{m+1} z^{\phi(p-1)-1}w^{p-1} \in G$ . By (7.27) and  $a_m \neq 0$ ,  $z^{\phi(p-2)-1}w^{p-2} \in G$ . Repeating this argument, we have

$$(7.28) \quad z^{\phi(i)-1}w^i \in G, \quad m \leq i \leq p-1.$$

Next we show

$$(7.29) \quad z^{\phi(i)-1}w^i \in G, \quad 1 \leq i \leq t-1.$$

Since  $z^{\phi(p+1-t)}w^{p+1-t}f \in M$ ,

$$\sum_{j=t-1}^t a_j z^{\phi(p+1-t)+\phi(j)-2} w^{p+j+1-t} + \sum_{i=t-1}^{p-1} b_i z^{\phi(p+1-t)+\phi(i)-1} w^{p+i+1-t} \in \zeta \bar{z}N.$$

By (7.24) and (7.25),

$$a_t z^{\phi(1)+k-2}w^{p+1} + a_{t-1} z^{k-1}w^p + b_{t-1} z^k w^p + \sum_{i=t}^{p-1} b_i z^{\phi(i+1-t)+k-1} w^{p+i+1-t} \in \zeta \bar{z}N.$$

Then by (7.26) and  $a_t \neq 0$ ,  $z^{\phi(1)-1}w \in G$ . Then using  $z^{\phi(p+2-t)}w^{p+2-t}f \in M$ , we get  $z^{\phi(2)-1}w^2 \in G$ . Repeating this argument, we obtain (7.29).

Since  $m \leq t$ , by (7.28) and (7.29) we have  $z^{\phi(i)-1}w^i \in G$  for every  $i$  with  $1 \leq i \leq p-1$ . Hence in this case  $G$  has the form in (i).

When  $\hat{f}(\phi(i) - 2, i) = 0$  for every  $f \in G$  and  $1 \leq i \leq p-1$ ,  $G$  has the form in (ii).

Next suppose that

$$(7.30) \quad N \cap S_0 = zH^2(T_z).$$

Then by Section 6,

$$(7.31) \quad G = N \ominus \left( \sum_{i=0}^{p-1} \oplus z^{\phi(i)+1} w^i H^2(T_z) \right)$$

and

$$G \subset \left[ \{1, z^{\phi(i)} w^i, z^{\phi(i)-1} w^i, z^{\phi(i)-2} w^i ; 1 \leq i \leq p-1\} \right].$$

In this case, we prove

$$(7.32) \quad G \subset \left[ \{1, z^{\phi(i)} w^i, z^{\phi(i)-1} w^i ; 1 \leq i \leq p-1\} \right].$$

To prove (7.32), suppose not. Then there exists  $g$  in  $G$  such that  $\hat{g}(\phi(i) - 2, i) \neq 0$  for some  $1 \leq i \leq p - 1$ . Write  $g$  as

$$g = \sum_{j=m}^s a_j z^{\phi(j)-2} w^j + \sum_{i=0}^{p-1} (b_i z^{\phi(i)-1} w^i + c_i z^{\phi(i)} w^i),$$

where  $1 \leq s \leq p - 1$ ,  $a_s \neq 0$  and  $b_0 = 0$ . Since  $z^{\phi(p-s)} w^{p-s} g \in M$ ,

$$a_s z^{\phi(p-s)+\phi(s)-2} w^p + \sum_{i=s}^{p-1} z^{\phi(p-s)} w^{p-s} (b_i z^{\phi(i)-1} w^i + c_i z^{\phi(i)} w^i) \in \zeta \bar{z} N.$$

Then by (7.24) and (7.25),

$$a_s + b_s z + c_s z^2 + \sum_{i=s+1}^{p-1} (b_i z^{\phi(i-s)} w^{i-s} + c_i z^{\phi(i-s)+1} w^{i-s}) \in N.$$

By (7.31),

$$a_s + \sum_{i=s+1}^{p-1} b_i z^{\phi(i-s)} w^{i-s} \in G.$$

This fact gives us that  $z^{\phi(i)} w^i \in G$  for  $1 \leq i \leq p - 1$ , which is proved in the same way as in the proof of (7.28). Since  $a_s \neq 0$ , we therefore have  $1 \in G$ . This means that  $1 \in N \cap S_0$  and  $N \cap S_0 = H^2(T_z)$ . This contradicts (7.30). Thus we get (7.32).

Since  $S_0 = H^2(T_z)$ , there exists  $h$  in  $N$  such that  $\hat{h}(0, 0) \neq 0$ . By (7.31), we may assume  $h \in G$ . Then in the same way as in the proof of (7.28), we can prove that  $z^{\phi(i)} w^i \in G$  for  $1 \leq i \leq p - 1$ . Let  $G_1 = G \ominus [\{z^{\phi(i)} w^i ; 1 \leq i \leq p - 1\}]$ ,  $G' = z^{-1}G$  and  $G'_1 = z^{-1}G_1$ . Then  $G'$  and  $G'_1$  have the desired forms (iii) in place of  $G$  and  $G_1$  respectively.

The converse assertion is not difficult to prove.

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