

## ON THE DEFICIENCIES OF COMPOSITE ENTIRE FUNCTIONS

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For any sequence  $(a_j)$  of complex numbers and for any  $\rho > 1/2$ , we construct an entire function  $F$  with the following properties.  $F$  has order  $\rho$ , mean type, each  $a_j$  is a deficient value of  $F$ , and  $F$  is given by  $F(z) = f(g(z))$ , where  $f$  and  $g$  are transcendental entire functions. This complements a result of Goldstein. We also construct, for any  $\rho > 1/2$ , an entire function  $G$  of order  $\rho$ , mean type, such that  $\liminf_{r \rightarrow \infty} T(r, G)/T(r, G') > 1$ .

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### 1. Introduction

We are concerned with the deficiencies of an entire function  $F$  given by  $F(z) = f(g(z))$ , where  $f$  and  $g$  are transcendental entire functions. It is well-known [9, p. 53] that if  $F$  has finite order, then  $f$  must have order zero, which implies that  $F$  has no finite Picard value. The following was proved by Goldstein [5] (see also [6]). Here the notation is that of [9], which we shall use throughout.

**Theorem A.** *Suppose that  $f$  and  $g$  are transcendental entire functions such that  $F(z) = f(g(z))$  has finite order. Then*

$$\sum_a \delta(a, F) < 1, \quad (1.1)$$

where the sum is taken over all finite values  $a$ .

We remark that the hypothesis that  $F$  has finite order is necessary in Theorem A because of the obvious example  $F = e^g$ , which has infinite order if  $g$  is transcendental entire. The proof of Theorem A depends heavily on results of Edrei and Fuchs [3], and in particular on the fact that if  $F$  is entire of finite order with maximal deficiency sum, then each deficient value of  $F$  is an asymptotic value of  $F$ . As remarked in [5], it is possible to replace 1 on the right-hand-side of (1.1) by a constant slightly smaller than 1, but depending on the lower order of  $F$  (see [3]).

In the terminology of factorization theory (see [7, 17]), Theorem A states that an entire function  $F$  of finite order with maximal deficiency sum is pseudoprime, that is, it

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has no factorization  $F(z)=f(g(z))$ , where  $f$  and  $g$  are transcendental entire. The following question appears in [17], and is attributed to Fuchs and Song. If  $F$  is entire of finite order with  $\delta(a, F) > 0$  for some finite  $a$ , must  $F$  be pseudoprime? We answer this question in the negative, by constructing a non-pseudoprime entire function of finite order with infinitely many deficient values.

Now the following was proved by Arakelyan [1].

**Theorem B.** *For any sequence  $(a_j)$  of finite complex numbers, and for any  $\rho > 1/2$ , there exists an entire function  $G$  of order  $\rho$ , mean type, such that for each  $j$ ,  $\delta(a_j, G) > 0$ .*

No such result is possible for  $\rho \leq 1/2$  (see [9, Ch. 4]). We remark that Eremenko [4] showed further that the function  $G$  may be constructed so as to have the finite deficient values  $a_j$ , but no other finite deficient values. Using in part methods similar to those of Arakelyan, we shall prove the following.

**Theorem 1.** *For any sequence  $(a_k)$  ( $k=0, 1, 2, \dots$ ) of complex numbers, and for any  $\sigma$  with  $1/2 < \sigma < +\infty$ , there exist transcendental entire functions  $f$  and  $g$  such that  $F(z)=f(g(z))$  has order  $\sigma$ , mean type, and such that for each  $k$ ,  $\delta(a_k, F) > 0$ .*

As remarked by Arakelyan in [1], it is only necessary to prove Theorem 1 for  $1/2 < \sigma \leq 1$ , for otherwise we need only consider, for a suitable value of  $N$ , the function  $G(z)=F(z^N)=f(g(z^N))$ , which has the same deficiencies as  $F$ . To construct a composite entire function  $F$  of order  $\sigma$  having  $\delta(0, F) > 0$ , we can take  $\delta, \rho$  such that  $(1 + \delta)\rho = \sigma$ , and form  $f$  as a Weierstrass product satisfying  $T(r, f) = 0(\log r)^{1+\delta}$ . The function  $f$  has zeros of large multiplicity at the points  $\exp(\lambda^n)$ , where  $\lambda$  is large and positive. We then construct  $g$ , using a simplified version of Arakelyan’s method, so that  $T(r, g) = 0(r^\rho)$  and so that  $|g(z) - \exp(\lambda^n)| < 1$  in a subset of an annulus  $\lambda^m \leq |z| \leq \lambda^{m+1}$ . To construct a function  $F$  having infinitely many deficient values, we apply a transformation used in [2] to quasiconformally modify  $f$  in successive annuli so that for each  $k$  and for infinitely many  $n$ ,  $f(z) - a_k$  has a zero of large multiplicity close to  $\exp(\lambda^n)$ . It does not seem possible, however, to prove by our method that the  $a_k$  are the only finite deficient values of  $F$ .

Our construction of  $g$  also has a bearing on the following problem. If  $f$  is a function meromorphic and transcendental in the plane, it is well-known [9] that the derivative  $f'$  satisfies

$$T(r, f') \leq (2 + o(1)) T(r, f) \tag{1.2}$$

at least outside a set of  $r$  of finite measure, and that  $2 + o(1)$  may be replaced by  $1 + o(1)$  if  $f$  is entire. In the other direction it was recently proved by Hayman and Miles [10] that for any transcendental meromorphic function  $f$  and for any  $K > 1$ ,  $T(r, f) \leq 3eKT(r, f')$  for  $r$  lying in a set of positive lower logarithmic density. In particular

$$L(f) = \liminf_{r \rightarrow \infty} T(r, f)/T(r, f') \leq 3e. \tag{1.3}$$

Now it was proved by Toppila in [16] that if  $f$  is transcendental and meromorphic of order zero, then  $L(f)$  as defined by (1.3) is at most 1. On the other hand Toppila constructed in [16] and [15] meromorphic functions of arbitrary finite positive order and an entire function of order 1 such that  $L(f) > 1$ . Our construction of  $g$  leads to the following.

**Theorem 2.** *For any  $\rho$  with  $1/2 < \rho < +\infty$ , there exists an entire function  $g$  of order  $\rho$ , mean type, such that*

$$\liminf_{r \rightarrow \infty} T(r, g)/T(r, g') \geq K(\rho) > 1.$$

Again it is only necessary to prove Theorem 2 for  $1/2 < \rho \leq 1$ . In our construction,  $K(\rho) \rightarrow 1$  as  $\rho \rightarrow 1/2$ , which suggests the possibility that if  $g$  is transcendental entire of order  $\rho \leq 1/2$ , then  $L(g) = 1$ .

**2. Lemmas needed for the proofs of Theorems 1 and 2**

The following Lemmas A and B are due to Keldysh and play the same role as in Arakelyan’s construction [1].

**Lemma A [14].** *There exist positive constants  $c_1, c_2$  with the following property. Suppose that  $L$  is a rectifiable path of length  $S$  joining the points  $a$  and  $b$ , and that  $\varepsilon$  and  $d$  are positive. Then there is a polynomial  $P$  such that*

$$|P(1/(z - b)) - 1/(a - z)| < \varepsilon$$

for all  $z$  such that  $\text{dist}\{z, L\} \geq d$ , and

$$|P(1/(z - b))| < \exp(c_1(1 + |\log \varepsilon d|)) \exp(c_2 S/d)$$

for all  $z$  with  $|z - b| \geq d$ .

Here  $\text{dist}\{z, L\} = \inf\{|z - w| : w \text{ on } L\}$ .

**Lemma B [13].** *Suppose that  $1/2 < \rho \leq 1$  and  $0 < \alpha < \pi - \pi/2\rho$ . Suppose further that  $H$  is analytic in  $\text{Re}(z) \geq 0$ , and satisfies  $\log^+ |H(z)| = O(1 + |z|^\rho)$  there. Then there exists an entire function  $g$  of at most order  $\rho$ , mean type, such that  $|g(z) - H(z)| < 1/2$  for all large  $z$  satisfying  $|\arg z| \leq \alpha$ .*

The following lemma gives us some control over the rate at which the deficiencies  $\delta(a_k, F)$  tend to zero as  $k \rightarrow \infty$  in Theorem 1. The idea for the proof was suggested by the author’s colleague, D. A. Burgess.

**Lemma 1.** *Let  $\alpha_0, \alpha_1, \dots$ , be positive real numbers such that  $\sum_{k=0}^\infty \alpha_k < 1$ . Then there*

are pairwise disjoint sets  $T_k$  of non-negative integers such that each  $T_k$  is an arithmetic progression modulo  $p_k$ , where  $p_k$  satisfies  $1 \leq p_k \leq 2/\alpha_k$ .

**Proof.** For each  $k$  we choose a positive integer  $i_k$  such that  $1/\alpha_k \leq 2^{i_k} \leq 2/\alpha_k$ , and we set  $p_k = 2^{i_k}$ . By a rearrangement if necessary, we may assume that the sequence  $(i_k)$  is non-decreasing. We set  $y_0 = 0$ , and  $T_0 = \{np_0 : n = 0, 1, 2, \dots\}$ .

Suppose now that disjoint sets  $T_0, \dots, T_m$  have been chosen, such that each  $T_k$  is an arithmetic progression modulo  $p_k$ , with first term  $y_k \leq p_k$ . Now if  $k \leq m$ , the number of elements of the set  $\{1, 2, \dots, p_{m+1}\}$  which are congruent to  $y_k$  modulo  $p_k$  is  $p_{m+1}/p_k$ . So the number of elements of the set  $\{1, \dots, p_{m+1}\}$  which are congruent to  $y_k$  modulo  $p_k$  for some  $k \leq m$  is at most

$$p_{m+1} \left( \sum_{k=0}^m 1/p_k \right) \leq p_{m+1} \left( \sum_{k=0}^m \alpha_k \right) < p_{m+1}.$$

So there is some  $y_{m+1}$  in the set  $\{1, \dots, p_{m+1}\}$  which is not congruent to  $y_k$  modulo  $p_k$  for any  $k \leq m$ , and we set  $T_{m+1} = \{y_{m+1} + np_{m+1} : n = 0, 1, 2, \dots\}$ . In this way the sets  $T_k$  are defined inductively. Now if  $k < m$  and the sets  $T_k$  and  $T_m$  are not disjoint, then  $y_m$  is congruent to  $y_k$  modulo  $p_k$ , which is impossible.

### 3. Construction of the function $g$

Our construction of the function  $g$  in the composition  $F(z) = f(g(z))$  is based on the following lemma, which in turn is based on Arakelyan's method in [1].

**Lemma 2.** Let  $1/2 < \rho \leq 1$  and  $1 < \lambda < +\infty$ . Then there exist positive sequences  $(\theta_k)$ ,  $(\alpha_k)$ ,  $(n_k)$  and  $(\varepsilon_k)$  with the following properties.

(i) 
$$\sum_{k=0}^{\infty} \alpha_k < 1 \tag{3.1}$$

(ii) 
$$\varepsilon_k = \exp(-1/(\delta_2 \alpha_k)) \text{ for each } k, \tag{3.2}$$

where  $\delta_2$  is a positive constant.

(iii) If  $\beta_{k,n}$  are any constants satisfying

$$\log^+ |\beta_{k,n}| \leq \varepsilon_k \lambda^{n\rho} \tag{3.3}$$

then there exist a positive  $N_0$  and an entire function  $g$  of at most order  $\rho$ , mean type, such that if  $n \geq \max\{n_k, N_0\}$ , we have

$$|g(z) - \beta_{k,n}| < 1 \tag{3.4}$$

for  $z$  satisfying

$$\frac{7}{8}\lambda^n \leq |z| \leq \frac{9}{8}\lambda^{n+1}, \quad |\arg z - (-1)^n \theta_k| \leq 2\alpha_k. \tag{3.5}$$

We remark that in Arakelyan’s construction,  $\beta_{k,n} = \alpha_k$  for all  $k$  and  $n$ , and that since the conclusion of (3.4) is weaker than that required in Arakelyan’s construction, we are able to dispense with the infinite product  $\omega$  of [1, Lemma 3].

To prove Lemma 2, we take a positive  $\alpha^*$  such that

$$\alpha^* < \pi - \pi/2\rho \tag{3.6}$$

and we choose an infinite sequence  $(\theta_k)$  satisfying

$$0 < \theta_0 \leq \theta_k < \theta_{k+1} < \alpha^* \tag{3.7}$$

for  $k \geq 0$ . We choose positive constants  $\alpha_k$  such that  $\theta_0 - 8\alpha_0 > 0$  and such that the intervals  $(\theta_k - 8\alpha_k, \theta_k + 8\alpha_k)$  are disjoint, and note that (3.1) is satisfied. We form, for  $k \geq 0$  and  $n \geq 1$ , regions

$$E_{k,n} = \{z: \frac{3}{4}\lambda^n \leq |z| \leq \frac{5}{4}\lambda^{n+1}, |\arg z - (-1)^n \theta_k| \leq 4\alpha_k\} \tag{3.8}$$

and

$$F_{k,n} = \{z: \frac{7}{8}\lambda^n \leq |z| \leq \frac{9}{8}\lambda^{n+1}, |\arg z - (-1)^n \theta_k| \leq 2\alpha_k\}. \tag{3.9}$$

We note that  $F_{k,n}$  is contained in  $E_{k,n}$ , and that the  $E_{k,n}$  are disjoint and for fixed  $k$  lie alternately above and below the real axis.

Now let  $Y_{k,n}$  be the boundary of  $E_{k,n}$ . We join  $Y_{k,n}$  to the point  $-\lambda^{n+1/2}$  by an arc  $L_{k,n}$  of the circle  $|z| = \lambda^{n+1/2}$ , taking always the shortest possible such arc. Now

$$s_{k,n} = \text{length}(Y_{k,n} \cup L_{k,n}) < c_1 \lambda^n \tag{3.10}$$

where  $c_1, c_2, \dots$ , henceforth denote positive constants which are independent of  $k$  and  $n$ . Also

$$\text{dist}(F_{k,n}, Y_{k,n} \cup L_{k,n}) > c_2 \alpha_k \lambda^n \tag{3.11}$$

where  $\text{dist}(A, B) = \inf\{|z - w| : z \text{ in } A, w \text{ in } B\}$ . We set

$$d_{k,n} = \delta_1 \alpha_k \lambda^n \tag{3.12}$$

where  $\delta_1$  is positive, but small compared to  $c_2$ , and set

$$D_{k,n} = \{z: \text{dist}\{z, Y_{k,n} \cup L_{k,n}\} < d_{k,n}\}. \tag{3.13}$$

Provided  $\delta_1$  is small enough, the  $D_{k,n}$  are disjoint for fixed  $k$ , and

$$F_{k,n} \cap D_{k,n} = \phi. \tag{3.14}$$

We set

$$\varepsilon_k = \exp(-1/(\delta_2 \alpha_k)) \tag{3.15}$$

where  $\delta_2$  is small and positive. We apply Lemma A with  $d = d_{k,n}$ ,  $a = u$ , where  $u$  lies on  $Y_{k,n}$ , and  $b = -\lambda^{n+\frac{1}{2}}$ . We obtain functions  $Q_{k,n}(u, z)$ , each of which is for fixed  $u$  a polynomial in  $1/(z + \lambda^{n+\frac{1}{2}})$ , such that for  $u$  on  $Y_{k,n}$  and  $z$  not in  $D_{k,n}$ ,

$$|Q_{k,n}(u, z) - 1/(u - z)| < \frac{16}{25} \lambda^{-2n-2} \exp(-\varepsilon_k \lambda^{n\rho}). \tag{3.16}$$

Here we have chosen  $\varepsilon$  in Lemma A to equal the right-hand-side of (3.16). Provided  $n \geq n^*(k)$ , say, and  $u$  lies on  $Y_{k,n}$ ,  $Q_{k,n}(u, z)$  is analytic in  $Re(z) \geq -1$  and satisfies, for such  $z$ ,

$$|Q_{k,n}(u, z)| < \exp(c_3 \varepsilon_k \lambda^{n\rho} \exp(c_4/\alpha_k)) < \exp(\lambda^{n\rho}) \tag{3.17}$$

provided  $\delta_2$  is small enough in (3.15). By an obvious compactness argument, we can assume that  $Q_{k,n}(u, z)$  is piecewise constant in  $u$ .

Now suppose that the constants  $\beta_{k,n}$  satisfy

$$\log^+ |\beta_{k,n}| \leq \varepsilon_k \lambda^{n\rho} \tag{3.18}$$

and set

$$h(z) = \beta_{k,n} \quad \text{for } z \text{ in } E_{k,n}. \tag{3.19}$$

We choose integers  $n_k \geq n^*(k)$  so large that

$$\sum_{k=0}^{\infty} \sum_{n=n_k}^{\infty} \int_{Y_{k,n}} 1/|u|^2 |du| < 1/2 \tag{3.20}$$

and we set

$$V_n = \bigcup_{\substack{k,m \\ n_k \leq m \leq n}} Y_{k,m}. \tag{3.21}$$

In addition we set

$$H_n(z) = (1/2\pi i) \int_{V_n} h(u)Q(u, z) du, \tag{3.22}$$

where  $Q(u, z) = Q_{k,m}(u, z)$  for  $Re(z) \geq -1$ ,  $u$  on  $Y_{k,m}$ . Here all integrals are taken in the positive sense, and  $H_n$  is analytic in  $Re(z) \geq -1$ .

Suppose now that  $|z| \leq \lambda^N$ , and that  $m > n > N + c_5$ . Then

$$\int_{V_m \setminus V_n} 1/(u - z) du = 0$$

and so

$$\begin{aligned} |H_m(z) - H_n(z)| &\leq (1/2\pi) \left| \int_{V_m \setminus V_n} h(u) \left( Q(u, z) - \frac{1}{u - z} \right) du \right| \\ &\leq (1/2\pi) \int_{V_m \setminus V_n} 1/|u|^2 |du| \rightarrow 0 \text{ as } n \rightarrow \infty. \end{aligned} \tag{3.23}$$

using (3.16), (3.18), (3.19) and (3.20). Thus

$$H(z) = \lim_{n \rightarrow \infty} H_n(z)$$

is analytic in  $Re(z) \geq -1$ .

Now denote by  $F$  the union of the sets  $F_{k,n}$ , for  $k \geq 0$  and  $n \geq n_k$ . Then if  $z$  is in  $F$ , and  $n$  is large,

$$(1/2\pi i) \int_{V_n} h(u)1/(u - z) du = h(z),$$

because  $z$  lies inside precisely one  $Y_{k,m}$ , and further, using (3.16),

$$\begin{aligned} |H_n(z) - h(z)| &= (1/2\pi) \left| \int_{V_n} h(u) \left( Q(u, z) - \frac{1}{u - z} \right) du \right| \\ &\leq (1/2\pi) \int_{V_n} 1/|u|^2 |du| < 1/4\pi. \end{aligned}$$

Here we have used the fact that by (3.14)  $F$  does not meet any  $D_{k,n}$ . Thus for all  $z$  in  $F$ ,

$$|H(z) - h(z)| < 1/10. \tag{3.24}$$

Now we estimate the growth of  $H$ . Assume that  $\lambda^{N-1} \leq |z| \leq \lambda^N$ , and set  $M = N + c_6$ . If  $c_6$  is chosen large enough then as in the proof of (3.23),  $m > M$  gives  $|H_m(z) - H_M(z)| < 1/4\pi$ , using (3.20). Also

$$\begin{aligned}
 |H_M(z)| &\leq (1/2\pi) \int_{V_M} 1/|u|^2 |du| \max_{u \in V_M} |u|^2 |h(u)Q(u, z)| \\
 &= O(|z|^2 \exp(c_7 \lambda^{N\rho}))
 \end{aligned}$$

using (3.17) and (3.18). This gives

$$\log^+ |H(z)| = O(1 + |z|^\rho). \tag{3.25}$$

Now using Lemma B and (3.6) and (3.7) we can choose an entire function  $g$  of at most order  $\rho$ , mean type, such that  $|g(z) - H(z)| < 1/2$  for large  $z$  with  $|\arg z| \leq \alpha^*$ . Now using (3.19) and (3.24) we obtain (3.4). This completes the proof of Lemma 2.

**4. Proof of Theorem 2**

We choose

$$\beta_{0,n} = \exp(\varepsilon_0 \lambda^{n\rho}) \tag{4.1}$$

in (3.18). Constructing  $g$  as in Section 3, Cauchy’s integral formula gives  $|g'(z)| < 1$  for large  $z$  satisfying

$$\lambda^n \leq |z| \leq \lambda^{n+1}, \quad |\arg z - (-1)^n \theta_0| \leq \alpha_0. \tag{4.2}$$

On the other hand, if  $n$  is large and  $z$  satisfies (4.2),

$$|g(z) - \beta_{0,n}| < 1. \tag{4.3}$$

For large  $n$ , and  $r$  in  $[\lambda^n, \lambda^{n+1}]$ , we denote by  $L_r$  the set of  $\theta$  in  $[0, 2\pi]$  such that  $re^{i\theta}$  satisfies (4.2). Then clearly

$$(1/2\pi) \int_{L_r} \log^+ |g(re^{i\theta})| d\theta > c_1 r^\rho \tag{4.4}$$

for some positive constant  $c_1$ , while  $L_r$  makes no contribution to  $m(r, g')$ . On the other hand, if  $M_r = [0, 2\pi] \setminus L_r$ , then

$$(1/2\pi) \int_{M_r} \log^+ |g'(re^{i\theta})| d\theta \leq (1/2\pi) \int_{M_r} \log^+ |g(re^{i\theta})| d\theta + m(r, g'/g) = O(r^\rho). \tag{4.5}$$

It follows at once that  $g$  has order  $\rho$ , mean type and that

$$L(g) = \liminf_{r \rightarrow \infty} T(r, g)/T(r, g') > 1.$$

We remark that for the proof of Theorem 2 we do not in fact need the sets  $F_{k,n}$  for  $k \geq 1$ . However we still need to apply Lemma B in order to obtain the entire function  $g$  from the analytic function  $H$ . Since Lemma B restricts the angle in which we may approximate  $H$  by  $g$ , we see that for our examples  $L(g) \rightarrow 1$  as  $\rho \rightarrow 1/2$ .

**5. The construction of  $f$**

The entire function  $f$  required for the proof of Theorem 2 will be constructed using the following lemma.

**Lemma 3.** *Let  $a_0, a_1, \dots$  be any complex numbers. Let  $0 < \delta \leq 1$ , and let  $\lambda > 1$  be such that  $\lambda^\delta$  is an integer and*

$$\lambda^\delta < (\lambda^\delta - 1)(1 + \delta). \tag{5.1}$$

*In addition, let  $T_0, T_1, \dots$  be pairwise disjoint infinite sets of non-negative integers. Then there exists an entire function  $f$  with the following properties.*

(i) 
$$\log M(r, f) = O(\log r)^{1+\delta}. \tag{5.2}$$

(ii) *There exist positive constants  $c$  and  $n^*$  and a sequence  $(B_n)$  satisfying*

$$|\log |B_n| - \lambda^n| < 1 \tag{5.3}$$

*for  $n \geq n^*$ , and such that if  $n \geq n^*$  and  $n$  is in  $T_k$  and  $\lambda^n \geq \log^+ |a_k|$ , we have*

$$\log |f(z) - a_k| < -c \lambda^{n(1+\delta)} \tag{5.4}$$

*for all  $z$  with  $|z - B_n| < 1$ .*

**Proof.** We set

$$h(z) = \prod_{n=0}^{\infty} (1 - z/e^{\lambda^n})^{i n^\delta}. \tag{5.5}$$

Now if  $\exp(\lambda^n) \leq r < \exp(\lambda^{n+1})$ , the number of zeros of  $h$  in  $|z| \leq r$  is  $1 + \lambda^\delta + \dots + \lambda^{n\delta}$  which is less than  $\lambda^\delta (\lambda^\delta - 1)^{-1} (\log r)^\delta$ . Hence the counting function  $n(r, 1/h)$  of the zeros of  $h$  satisfies

$$n(r, 1/h) < \lambda^\delta (\lambda^\delta - 1)^{-1} (\log r)^\delta \tag{5.6}$$

for all positive  $r$ . Thus by [9, p. 28] we see that  $\log M(r, h) = O(\log r)^2$ . For a more precise estimate, it follows from a result of Hayman [8] that for  $r$  outside a set of finite

logarithmic measure,  $\log M(r, h) \sim T(r, h) = N(r, 1/h) + O(1)$  so that for some  $d$  with  $0 < d < 1$  and for all large  $r$  we have, using (5.1) and (5.6),

$$\log M(r, h) < d(\log r)^{1+\delta}. \tag{5.7}$$

Now suppose that  $|z - \exp(\lambda^n)| = (1/4)\exp(\lambda^n)$ , with  $n$  large. Then (5.7) gives

$$\begin{aligned} \log |h(z)(z - \exp(\lambda^n))^{-\lambda^{n\delta}}| &< d(\log(\frac{5}{4}\exp(\lambda^n)))^{1+\delta} \\ &- \lambda^{n\delta} \log(\frac{1}{4}\exp(\lambda^n)) < -8c\lambda^{n(1+\delta)} \end{aligned}$$

for some positive  $c$ . Consequently if

$$\log |z - \exp(\lambda^n)| < c\lambda^n \tag{5.8}$$

we have

$$\log |h(z)| < -7c\lambda^{n(1+\delta)}. \tag{5.9}$$

Now consider, for  $R > 0$  and  $|a| \leq R/2$ , the transformation

$$w = w_R(z) = \frac{R^2(z+a)}{(R^2 + \bar{z}a)} \tag{5.10}$$

which maps  $|z| \leq R$  one-to-one onto  $|w| \leq R$ , such that  $w(0) = a$  and  $w_R(z) = z$  when  $|z| = R$ . (This transformation is used, for example, in [2].) Also

$$|w_{\bar{z}}/w_z| = |aw/R^2| \leq |a|/R \tag{5.11}$$

and

$$|w(z) - a| \leq 4|z| \tag{5.12}$$

for  $|z| < R$ . We modify  $f$  using the transformations (5.10). If  $n \geq n_0$  for some large  $n_0$ , we certainly have, by [8],

$$\frac{1}{2}|h(z)| > \exp(2\lambda^n) = R_n \tag{5.13}$$

on

$$|z - \exp(\lambda^n)| = \frac{1}{4}\exp(\lambda^n). \tag{5.14}$$

Suppose that  $n \geq n_0$ , that  $n$  is in the set  $T_k$ , and that  $R_n \geq |a_k|^2$ . Then in the open disc

$$D_n = \{z: |z - \exp(\lambda^n)| < \frac{1}{4} \exp(\lambda^n)\} \tag{5.15}$$

we set

$$H(z) = \frac{R_n^2(h(z) + a_k)}{R_n^2 + \overline{h(z)}a_k} \tag{5.16}$$

if  $|h(z)| \leq R_n$ , and  $H(z) = h(z)$  otherwise. If  $n < n_0$  or if  $R_n < |a_k|^2$  or if  $n$  lies in none of the sets  $T_k$ , or if  $z$  lies outside the union of the discs  $D_n$ , we just set  $H(z) = h(z)$ .

It follows that  $H$  is continuous in the plane and is analytic outside the union of the discs  $D_n$ , while in  $D_n$   $H$  is quasiregular with complex dilatation bounded by  $R_n^{-1/2} = \exp(-\lambda^n)$ . Also for  $n$  large with  $n$  in  $T_k$  and  $R_n \geq |a_k|^2$ ,

$$\log|z - \exp(\lambda^n)| < c\lambda^n \tag{5.17}$$

implies that

$$\log|H(z) - a_k| < -6c\lambda^{n(1+\delta)}, \tag{5.18}$$

using (5.9) and (5.12).

Let  $\sigma(z) = H_z/H_z$  be the complex dilatation of  $H$ , which exists almost everywhere (see [2] or [11]), and is zero outside the union of the discs  $D_n$ . Now  $\sigma(z) = O(1/|z|)$  as  $z \rightarrow \infty$ , by the construction of  $H$ , so that  $\int_{|z|>1} \sigma(z)/|z|^2 dx dy < \infty$ . Proceeding as in [2], the Teichmüller–Belinskii theorem [11, p. 227] implies that there is a solution  $\Lambda$  of the Beltrami equation

$$\Lambda_{\bar{z}} = \sigma(z)\Lambda_z$$

which is a quasiconformal homeomorphism of the extended plane onto itself such that  $\Lambda(0) = 0$ ,  $\Lambda(\infty) = \infty$ , and

$$\Lambda(z) = z(1 + o(1)) \quad \text{as } z \rightarrow \infty. \tag{5.19}$$

Denoting by  $J = \Lambda^{-1}$  the inverse function of  $\Lambda$ , we note that  $f(z) = H(J(z))$  is almost everywhere conformal and so entire.

Now define  $B_n$  by

$$B_n = \Lambda(\exp(\lambda^n)) \tag{5.21}$$

so that (5.3) follows at once for  $n$  sufficiently large, using (5.19). We consider now the distortion properties of  $J = \Lambda^{-1}$ . Now  $J(B_n) = \exp(\lambda^n)$ , and  $J(z) = z(1 + o(1))$ , so for  $n$  large set

$$J_n(z) = (J(B_n + \frac{1}{4}|B_n|z) - e^{\lambda^n}) \exp(-\lambda^n). \tag{5.22}$$

Now each  $J_n$  maps  $|z| < 1$  into itself, with  $J_n(0) = 0$ . Further, in terms of the real dilatation,  $J_n$  is  $K_n$  quasiconformal, where  $K_n = (1 + \kappa_n)/(1 - \kappa_n)$ , and  $\kappa_n = O(1/|B_n|)$ . Distortion theorems (see, for example, [12, p. 6]) give the following. If  $|z| < 4/|B_n|$  then we have  $|J_n(z)| \leq c_1(1/|B_n|)^{1/K_n}$  where  $c_1, c_2$  henceforth denote positive absolute constants, so that

$$|e^{\lambda^n J_n(z)}| \leq c_2 |B_n|^{1-1/K_n} \leq c_3 |B_n|^{c_4 |B_n|^{-1}},$$

for such  $z$ . Thus  $|z - B_n| < 1$  gives

$$|J(z) - e^{\lambda^n}| < \exp(c\lambda^n) \tag{5.23}$$

if  $n$  is large. Now (5.17), (5.18) and (5.23) imply that if  $n \geq n^*$ , say, if  $n$  is in the set  $T_k$  and if  $\lambda^n \geq \log^+ |a_k|$ , then  $|z - B_n| < 1$  gives

$$\log |f(z) - a_k| = \log |H(J(z)) - a_k| < -6c\lambda^{n(1+\delta)}$$

which proves (5.4).

To estimate the growth of  $f$  we just note that if  $n$  is large then in  $2\exp(\lambda^n) \leq |z| \leq \frac{1}{2}\exp(\lambda^{n+1})$ , we have  $H(z) = h(z)$ . So for  $\frac{1}{3}\exp(\lambda^n) \leq |z| \leq \frac{1}{3}\exp(\lambda^{n+1})$ , we have

$$\log |f(z)| \leq \log M(|z|(1+o(1)), h) = O(\log |z|)^{1+\delta}$$

which proves (5.2), and completes the proof of Lemma 3.

### 6. Proof of Theorem 1

Given  $\sigma$  with  $1/2 < \sigma \leq 1$ , we first choose  $\rho$  and  $\delta$  with  $1/2 < \rho < 1$  and  $0 < \delta < 1$  and  $\rho(1+\delta) = \sigma$ . We choose  $\lambda > 1$  so that  $\lambda^\delta$  is an integer, and such that (5.1) is satisfied. Now let the sequences  $(\theta_k)$ ,  $(\alpha_k)$ ,  $(n_k)$  and  $(\varepsilon_k)$  be as in the statement of Lemma 2. Since (3.1) is satisfied, we can, by Lemma 1, find pairwise disjoint infinite sets  $T_k$  of non-negative integers such that each  $T_k$  is an arithmetic progression modulo  $p_k$ , where  $p_k \leq 2/\alpha_k$ . We now apply Lemma 3, to construct an entire function  $f$  such that (5.2) holds, and such that for some sequence  $(B_n)$  satisfying (5.3) the following holds. If  $n \geq n^*$ , if  $n$  is in  $T_k$  and  $\lambda^n \geq \log^+ |a_k|$ , then (5.4) holds for all  $z$  with  $|z - B_n| < 1$ .

Now we define the constants  $\beta_{k,n}$  as follows. Given  $k \geq 0$  and  $n \geq n^*$ , let  $m$  be the largest member of  $T_k$  such that

$$\lambda^m + 1 \leq \varepsilon_k \lambda^{n\rho}, \tag{6.1}$$

and set  $\beta_{k,n} = B_m$ . If no such  $m$  exists, or if  $k \geq 0$  and  $0 \leq n < n^*$ , we set  $\beta_{k,n} = 0$ . Using Lemma 2 we construct an entire function  $g$  of at most order  $\rho$ , mean type, such that if  $n \geq \max\{n_k, N_0\}$  we have

$$|g(z) - \beta_{k,n}| < 1 \tag{6.2}$$

for

$$\lambda^n \leq |z| \leq \lambda^{n+1}, |\arg z - (-1)^n \theta_k| \leq 2\alpha_k. \tag{6.3}$$

We set  $F(z) = f(g(z))$ . It follows at once, using (5.2), that

$$T(r, F) = O(r^\sigma). \tag{6.4}$$

Now suppose that  $k \geq 0$ , and that  $n$  is large compared to  $k$ . Then for  $z$  satisfying (6.3), we have  $|g(z) - B_m| < 1$ , where  $m$  is as defined by (6.1). Now (5.4) gives, for such  $z$ , provided  $n$  is large enough,

$$\log |F(z) - a_k| < -c\lambda^{m(1+\delta)}. \tag{6.5}$$

But  $T_k$  is an arithmetic progression modulo  $p_k$ , so that if  $n$  is large enough,

$$\lambda^m \geq (\varepsilon_k \lambda^{n\rho} - 1) \lambda^{-2/\alpha_k} \geq \frac{1}{2} \varepsilon_k \lambda^{n\rho} \lambda^{-2/\alpha_k}.$$

Denoting by  $d_1, d_2, \dots$  positive constants which do not depend on  $n$  or  $k$ , (6.5) now gives, for  $z$  satisfying (6.3),

$$-\log |F(z) - a_k| > d_1 \lambda^{n\rho(1+\delta)} (\varepsilon_k)^{d_2} \lambda^{-d_3/\alpha_k} > d_4 |z|^\sigma (\varepsilon_k)^{d_2} \lambda^{-d_3/\alpha_k}.$$

Thus  $F$  has order  $\sigma$ , mean type, each  $a_k$  is a deficient value for  $F$ , and, using (3.2),

$$(\log 1/\delta(a_k, F))^{-1} \geq d_5 \alpha_k. \tag{6.6}$$

**Concluding Remarks.** The estimate (6.6) shows that the deficiencies tend to zero at a rate comparable to that in Arakelyan’s construction [1], this rate being essentially only determined by (3.1).

It does not seem possible to prove by the present method that the  $a_k$  are the only finite deficient values. In Eremenko’s extension of Theorem B (which also uses the Teichmüller–Belinskii theorem) it is shown that the function  $G$  may be constructed with the following property. There exist arbitrarily large circles on which, with the exception of a set of arbitrarily small angular measure,  $G$  is either large or is close to one of the  $a_k$ . For our problem, if  $A$  is not one of the  $a_k$ , we would need to estimate the proximity of  $F$  to  $A$  in terms of the proximity of  $g$  to the roots of  $f(w) = A$ .

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