

## Research Paper

**Cite this article:** Johansen TK, Doerner R, Weimann N, Hossain M, Krozer V, Heinrich W (2018). EM simulation assisted parameter extraction for transferred-substrate InP HBT modeling. *International Journal of Microwave and Wireless Technologies* **10**, 700–708. <https://doi.org/10.1017/S1759078718000636>

Received: 7 September 2017

Revised: 19 March 2018

Accepted: 23 March 2018

First published online: 7 May 2018

### Keywords:

Electromagnetic (EM) simulation; equivalent circuit; InP DHBT; small-signal modeling; transferred-substrate

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# EM simulation assisted parameter extraction for transferred-substrate InP HBT modeling

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## Abstract

In this paper, an electromagnetic (EM) simulation assisted parameter extraction procedure is demonstrated for accurate modeling of down-scaled transferred-substrate InP HBTs. The external parasitic network associated with via transitions and device electrodes is carefully extracted from calibrated three-dimensional EM simulations up to 325 GHz. Following an on-wafer multi-line Through-Reflect-Line calibration procedure, the external parasitic network is de-embedded from the transistor measurements and the active device parameters are extracted in a reliable way. The small-signal model structure augmented with the distributed parasitic network provides accurate small-signal prediction up to 220 GHz.

## Introduction

InP HBT technology is increasingly being exploited for integrated circuits operating at higher millimeter-wave and THz frequencies. Compared with competing silicon-based technologies, InP HBT technology leads to higher  $f_{max}$  and higher breakdown voltage for a given technology node. InP HBTs in a 0.25  $\mu\text{m}$  technology node have achieved  $f_{max} > 1$  THz [1] and circuits operating at several hundreds of GHz have been demonstrated [2, 3].

It is interesting to note that the modeling and RF characterization of these devices are typically based on measurements below W-band. The reason for this is that the influence from probe-to-probe coupling, multi-mode propagation, substrate modes, and radiation losses corrupt the measured transistor data [4]. It remains therefore questionable whether models extracted from measurements below W-band are of sufficient accuracy for circuit design at higher millimeter-wave and THz frequencies. In a recent publication [5], the first verification of an InP HBT small-signal model up to H-band (220–325 GHz) was reported. The parasitic parameters were extracted from three-dimensional electromagnetic (3D EM) simulations of several test structures and subsequently de-embedded from the transistor measurements to reach the active part of the device. A similar approach has also previously been proposed for millimeter-wave FET modeling [6] and shown to provide reasonable accurate small-signal prediction up to 110 GHz.

This paper reports on an EM simulation assisted parameter extraction technique applied to a down-scaled InP HBT in a transferred-substrate technology. The paper provides an expansion of the work described by the authors in [7]. Our approach differs from that of [5] in that it relies only on the EM simulation of two structures, a full short and a full open. The distribution of the external parasitic elements necessary to fit EM simulated data up to 325 GHz is determined from equivalent circuit modeling. Properly de-embedding of the parasitic elements, though of small values, are found to have a large influence on the extraction of the remaining parameters associated with the active device structure itself. This applies even if the extraction is restricted to frequencies well below W-band. The proposed EM simulation assisted parameter extraction is shown to lead to more reliable extraction and is proven to extend the validity of the model to frequencies higher than those typically employed for extraction. The paper is organized as follows. In section 2, the test structure layout for the device-under-test is described as is the multi-line Through-Reflect-Line (TRL) calibration procedure used. Section 3 deals with the EM simulation-based modeling of the parasitic network embedding the device-under-test. Aspects of the parameter extraction associated with the active device part is described in section 4. The model verification against experimental results is given in section 5. Finally, section 6 concludes the paper.

## Test-structure layout and calibration

The InP HBT were fabricated in a transferred-substrate TMIC (THz Monolithically Integrate Circuit) technology at the Ferdinand-Braun-Institute (FBH). Compared with the base-line device having an 0.8  $\mu\text{m}$  technology node [8], the experimental device here has been

downscaled to an  $0.5\ \mu\text{m}$  technology node and its device layout has been compacted. The transferred-substrate process start out with a conventional emitter-up InP/InGaAs/InP double-heterostructure grown by solid-source molecular beam epitaxy on a semi-insulating  $3'$  InP substrate. The structure is planarized with BCB, which also serves as the adhesive in wafer-bonding the half-processed InP HBT wafer to the  $3'$  ceramic AlN host substrate. Post wafer bonding, the InP substrate was removed wet-chemically, followed by collector processing and addition of G1 and G2 first and second-level interconnects. An  $2.5\ \mu\text{m}$  thick electroplated interconnect layer, Gd, serves as RF ground. Contact holes V1 and V2 provide the vertical connections between Gd, G1, and G2, and serve to contact the B1 base metal tab (see Fig. 1). Figure 2 shows a microphotograph of the on-wafer test structure for the  $0.5 \times 6\ \mu\text{m}^2$  InP HBT in common-emitter configuration. The reference planes for model extraction is as shown in Fig. 2. For versatile circuit design the transfer-substrate InP HBTs also come in three-terminal versions.

A multi-line TRL calibration procedure using on-wafer standards is used to shift the reference planes to the middle of the thin-film microstrip (TFMS) through line. The effective line lengths are 452, 1282, 1982, and 2882  $\mu\text{m}$  covering a frequency range from  $\sim 4$  to 220 GHz. Both an open and a short symmetrical reflect structures are used for increased accuracy. The multi-line TRL calibration procedure only provides information about the complex propagation constant  $\gamma = \alpha + j\beta$  and sets the reference impedance to the characteristic impedance of the line structures [9]. For renormalization of the corrected S-parameters to  $50\ \Omega$ , the characteristic impedance  $Z_0$  must be known. The characteristic impedance can be found from the capacitance per unit length,  $C'$ , if the dielectric loss is negligible. A good estimate for  $C'$  is possible using measurement of a resistor embedded into the same line structure as used for calibration assuming it to have small dielectric loss and low dispersion in  $C'$  [10]. Under this condition  $C'$  can be estimated as

$$C' \approx \frac{\gamma}{j\omega R_{res,dc}} \frac{1 + \Gamma_{res}}{1 - \Gamma_{res}} \tag{1}$$

where  $R_{res,dc}$  is the DC value of the resistor and  $\Gamma_{res}$  is the reflection coefficient of the resistor using the multi-line TRL corrected resistor measurement. The characteristic impedance is estimated as  $Z_0 \approx \gamma/j\omega C'$ . The corrected S-parameters using the renormalized multi-line TRL approach compares well with our former approach based on off-wafer LRM+ calibration followed by

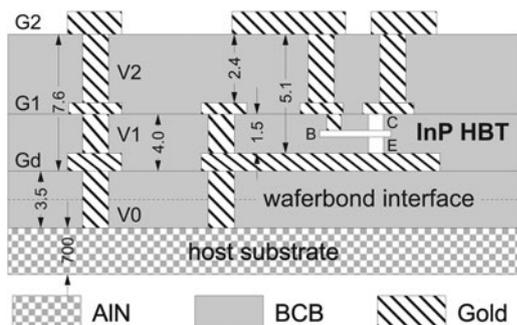


Fig. 1. Vertical cross-section of transferred-substrate InP HBT TMIC technology (all measures are given in  $\mu\text{m}$ ).

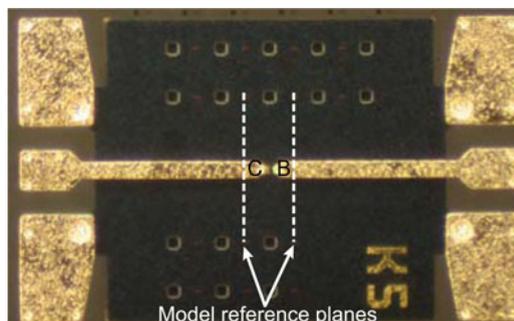


Fig. 2. Microphotograph of on-wafer test structure for  $0.5 \times 6\ \mu\text{m}^2$  InP HBT showing reference planes for model extraction.

de-embedding of pads and access transmission lines [11]. The main advantage of using the multi-line TRL calibration procedure is its expected better accuracy at higher frequencies [12].

### Parasitic modeling

#### Parasitic model structure

A detailed cross-sectional view of the transferred-substrate InP HBT structure is shown in Fig. 3. From the device structure in Fig. 3 a detailed parasitic network, as shown in Fig. 4, can be identified. The parasitic network includes coupling capacitances between metal layers,  $C_{g2-g2}$ ,  $C_{g2(g1)-gd}$ ,  $C_{g1-g1}$ , and  $C_{g1-b1}$ , frequency-dependent inductances of vias and electrodes,  $L_{v2b}(f)$ ,  $L_{v2k}(f)$ ,  $L_{v1b}(f)$ ,  $L_{b1}(f)$ ,  $L_k(f)$ , and  $L_e(f)$ , and frequency-dependent resistances,  $R_{v2b}(f)$ ,  $R_{v2k}(f)$ , and  $R_{b1}(f)$ . The base and collector of the device are connected to the surrounding network by short TFMS lines. The shown parasitic network, however, is expected to be overcomplex even for modeling up to THz frequencies. In the following, the parameters of the parasitic network will be extracted from EM simulations and the distribution necessary to fit simulation results up to 325 GHz will be found from equivalent circuit modeling.

#### EM simulation of parasitic network

EM simulation of the parasitic network is performed with the InP HBT active device part, shown as the shaded area in Fig. 3, either

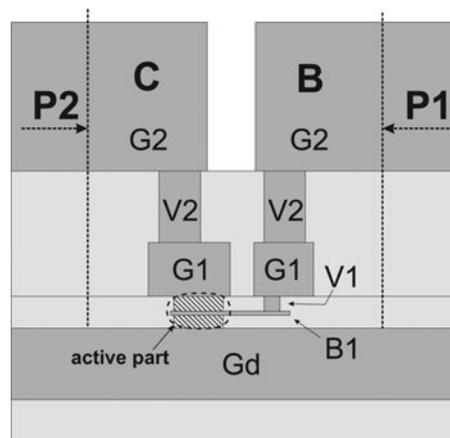


Fig. 3. Detailed cross-section of InP HBT in microstrip test frame. The shaded areas represent the active transistor layers.

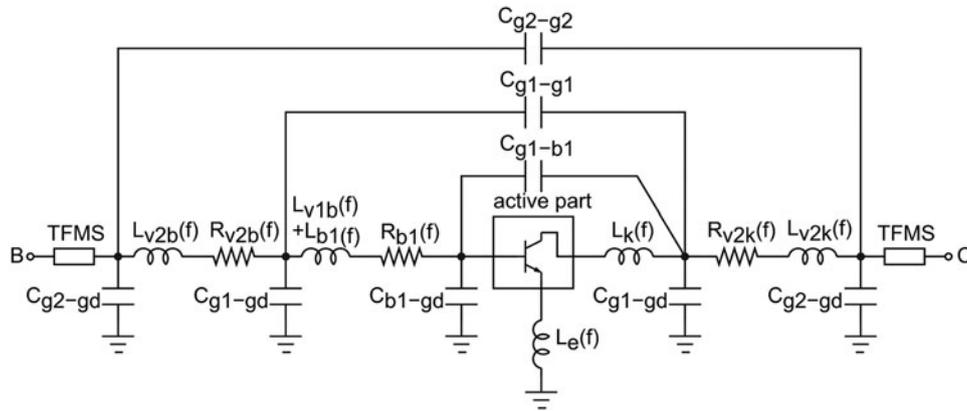


Fig. 4. Active device embedded in detailed parasitic model structure.

open-circuited or short-circuited. In the open test structure, the shaded areas are replaced by BCB while these areas consist of gold in the short structure.

The 3D finite-element-method simulator Ansys HFSS is used. The structures are meshed at 325 GHz using an initial wavelength-based mesh setting of  $\leq 0.05\lambda$ . For accurate simulation of the skin-effect, fields are solved inside all conductors and an initial internal mesh seeding is employed. The structures are excited with lumped ports (P1 and P2) between the G2 and Gd metal layers. For highest accuracy when simulating small-sized on-wafer structures, the port excitation must be calibrated. This is accomplished by applying the L-2L calibration methodology for EM simulation accuracy enhancement as described in [13]. In this way, the parasitic port inductance and port capacitance is estimated to be  $\sim 0.9$  pH and  $\sim 0.38$  fF, respectively, and fairly constant with frequency. These port parasitics are calibrated from all shown EM simulation results.

Effective capacitances

$$C_{pb} = C_{g2-gd} + C_{g1-gd} + C_{b1-gd} = \frac{1}{2\pi f} \Im(Y_{22} + Y_{12}), \quad (2)$$

$$C_q = C_{g2-g2} + C_{g1-g1} + C_{g1-b1} = \frac{1}{2\pi f} \Im(-Y_{12}), \quad (3)$$

$$C_{pc} = C_{g2-gd} + C_{g1-gd} = \frac{1}{2\pi f} \Im(Y_{11} + Y_{12}) \quad (4)$$

can be extracted from the EM simulation result for the full open structure by assuming a pi-type equivalent circuit. The extracted effective capacitances are shown in Fig. 5(a) as the curves with

solid lines. It is observed that the dispersion in their extracted values over frequency is limited. Similarly, effective inductances

$$L_{pb}(f) = L_{v2b} + L_{v1b} + L_{b1} = \frac{1}{2\pi f} \Re(Z_{22} - Z_{12}), \quad (5)$$

$$L_{pe}(f) = \frac{1}{2\pi f} \Re(Z_{12}), \quad (6)$$

$$L_{pc}(f) = L_{v2k} + L_k = \frac{1}{2\pi f} \Re(Z_{11} - Z_{12}), \quad (7)$$

and effective resistances

$$R_{pb}(f) = R_{v2b} + R_{b1} = \Re(Z_{22} - Z_{12}), \quad (8)$$

$$R_{pe}(f) = \Re(Z_{12}), \quad (9)$$

$$R_{pc}(f) = R_{v2k} = \Re(Z_{11} - Z_{12}) \quad (10)$$

can be extracted from the EM simulation result for the full short structure by assuming a T-type equivalent circuit. The extracted effective inductances and resistances are shown in Figs 5(b) and 5(c), respectively, again as curves with solid lines. The frequency dispersion in the extracted inductances and resistances are more significant than for the extracted effective capacitances.

### Equivalent circuit modeling of parasitic network

The dispersion over frequency in the extracted parasitic network parameters are caused by distribution along the device access

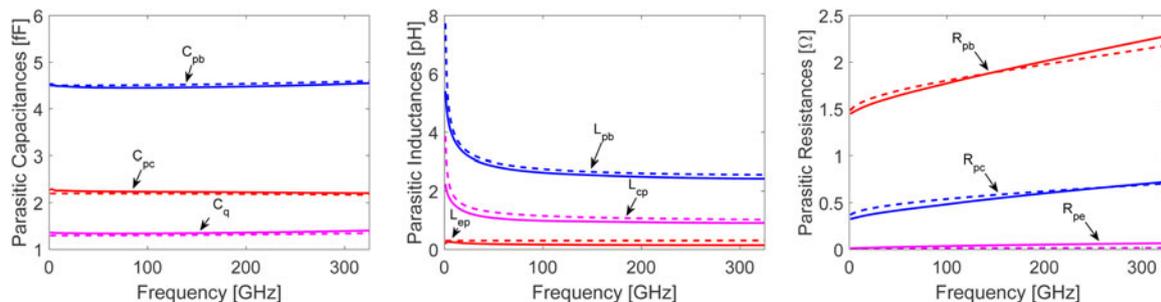


Fig. 5. Extracted (solid lines) and modeled (dashed lines) for (a) parasitic capacitances for full open, (b) parasitic inductances for full short, and (c) parasitic resistances versus frequency for full short.

structure and skin-effect due to field penetration into the conductors. It is found that the initial strong frequency dependence of the extracted inductances is consistent with the skin-effect causing the observed increase in the extracted resistances. The parasitic resistances can thus be described with equations of the form

$$R_p(f) = R_p(f = 0) + R_{p,ac}\sqrt{f}, \tag{11}$$

where  $R_p(f = 0)$  is the DC resistance and  $R_{p,ac}$  is the ac resistance normalized to the square-root of frequency for describing the skin-effect. It is well known that the skin-effect gives rise to a reactance of equal magnitude to the AC resistance. Therefore, for consistency the parasitic inductances must be described by equations of the form

$$L_p(f) = L_p(f \rightarrow \infty) + R_{p,ac}/(2\pi\sqrt{f}), \tag{12}$$

where  $L_p(f \rightarrow \infty)$  is the assumed frequency-independent inductance reached once the EM field inside the conductors has vanished. The above formulation gives the well-known  $\sqrt{f}$ -dependent increase in the ac resistance value and  $1/\sqrt{f}$ -dependent decrease for the inductance value. At very low frequencies the inductance should converge to its static value but this is not implemented in the model for simplicity. Instead, the model implements a frequency-dependent impedance as

$$Z_p(f) = R_p(f = 0) + j2\pi fL_p(f \rightarrow \infty) + R_{p,ac}\sqrt{f}(1 + j). \tag{13}$$

In this way, the singularity in (12) as  $f \rightarrow 0$  is avoided. The parasitic capacitances can be assumed to be frequency independent. The dispersion in the values for the extracted effective capacitances, though weak, is an indication of distribution along the device access structure. The identification of the parasitic model structure necessary to fit the electromagnetic (EM) simulation results up to 325 GHz follows a straightforward procedure. At first, the total capacitances in the parasitic model structure are determined from the effective capacitance values extracted at low frequency. The parasitic inductances,  $L_p(f \rightarrow \infty)$ , are

determined from the effective inductances extracted at the highest simulation frequency. At the same time the DC resistances,  $R_p(f = 0)$ , are determined from the effective resistances extracted at the lowest frequency. The ac resistances normalized to the square-root of frequency,  $R_{p,ac}$ , are determined by simultaneously fitting of the effective inductances and effective resistances extracted over frequency. The distribution of the total capacitances along the device access structure is found to have a negligible effect on the dispersion observed in the effective inductances and effective resistances. On the other hand, the distribution of capacitances is found to have an influence on the dispersion of the effective capacitances for the open structure. Due to the weak dispersion, simple distribution factors  $X_{pb}$ ,  $X_q$  and  $X_{pc}$  are sufficient to fit the effective capacitances extracted from the open structure. The identified parasitic model structure is shown in Fig. 6. Though some physical significance of the equivalent circuit elements is lost compared with the detailed parasitic model structure in Fig. 4 it is sufficient to model the parasitic network structure, at least up to 325 GHz. The curves with dashed lines in Figs 5(a)–5(c) show the excellent fitting all the way up to 325 GHz using this equivalent circuit modeling approach. Table 1 provides a summary of the parasitic model parameters determined from three-dimensional (3D) EM simulations. For comparison the external base and collector parasitic capacitance estimated from cut-off mode measurements are shown in parenthesis. There is a good agreement between these values and those found from our EM simulation assisted extraction procedure. The model parameters representing the extrinsic emitter resistance,  $R_{pe}(f)$  are negligible for a transferred-substrate InP HBT in common-emitter configuration but gain significance for a three-terminal InP HBT.

### Parameter extraction for active model

The measurements for parameter extraction was performed on-wafer using 100 μm pitch GSG Picoprobes from GGB Industries and a Keysight PNA with OML frequency extenders to 110 GHz. In the proposed EM simulated assisted parameter extraction approach, the parasitic network elements found from 3D EM simulations are de-embedded from the multi-line TRL corrected transistor measurements. Following this de-embedding the equivalent circuit model in Fig. 7 should be sufficient to describe the active

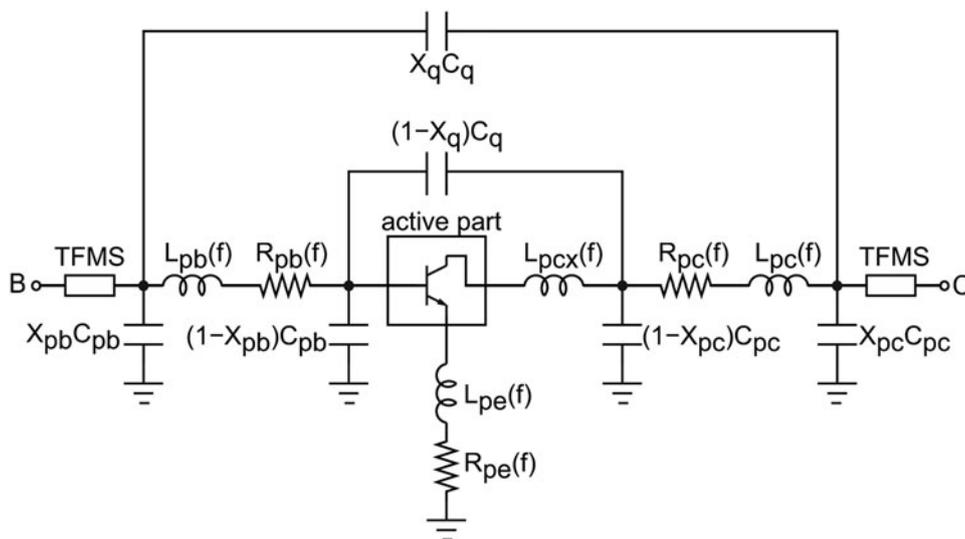


Fig. 6. Active device embedded in parasitic model structure.

**Table 1.** Parasitic model parameters (elements in parenthesis are extracted from cut-off mode measurements)

$C_{pb}$ [fF]	$X_{pb}$	$C_q$ [fF]	$X_q$	$C_{pc}$ [fF]	$X_{pc}$
4.6 (5.6)	0.36	1.3	0.15	2.0 (1.7)	1.0
$L_{pb}(f \rightarrow \infty)$ [pH]	$R_{pb}(f=0)$ [ $\Omega$ ]	$R_{pb,ac}$ [ $\Omega/\sqrt{\text{Hz}}$ ]	$L_{pe}(f \rightarrow \infty)$ [pH]	$R_{pe}(f=0)$ [ $\Omega$ ]	$R_{pe,ac}$ [ $\Omega/\sqrt{\text{Hz}}$ ]
2.2	1.45	1.1E-6	0.3	0.0	0.0
$L_{pc}(f \rightarrow \infty)$ [pH]	$R_{pc}(f=0)$ [ $\Omega$ ]	$R_{pc,ac}$ [ $\Omega/\sqrt{\text{Hz}}$ ]	$L_{pcx}(f \rightarrow \infty)$ [pH]	$L_{TFMS}$ [ $\mu\text{m}$ ]	$W_{TFMS}$ [ $\mu\text{m}$ ]
0.0	0.35	6.0E-7	1.7	10.0	11.6

part of the InP HBT. Conventional extraction techniques are not able to provide the same degree of details for the parasitic network as that obtained by our EM simulation to 325 GHz. In the approach reported in [11], the collector-emitter overlap capacitance,  $C_{pe}$ , is determined from cut-off mode measurements and de-embedded from all active device measurements. Any remaining base-emitter and base-collector overlap capacitances are absorbed into the base-emitter capacitance,  $C_{be}$ , and extrinsic base-collector capacitance,  $C_{bcx}$ , respectively. Residual terminal inductances not removed by de-embedding are extracted at high frequencies using intrinsic elements extracted at lower frequencies. The EM simulation assisted parameter extraction is proposed to solve the problem with external parasitic element extraction which cannot be extracted with sufficient details using the experiment data.

As described in details in [11], the parameter extraction technique for the active device part exploits the physical behavior of the base-collector capacitance in InP HBTs

$$C_{bc} = C_{bc0} - \frac{k_1 I_c}{2} \left(1 - \frac{I_c}{I_c}\right), \tag{14}$$

where  $C_{bc0}$  is the base-collector capacitance at zero bias current and  $I_c$  is the collector current. The parameters  $k_1$  and  $I_c$  describe electron velocity modulation effects in the collector region. The parameters of (13) is found by fitting extracted values for the base-collector capacitance versus collector current as shown in Fig. 8. The base-collector capacitance is extracted from measured Z-parameters as

$$C_{bc} \approx \frac{1}{\omega} \Im \left( \frac{1}{Z_{22} - Z_{21}} \right). \tag{15}$$

The dashed line in Fig. 8 is a plot of (14) using parameters  $C_{bc0} = 5.1$  fF,  $k_1 = 0.48$  ps/V, and  $I_c = 13.8$  mA. Figure 8 also shows the extracted values for the base-collector capacitance without

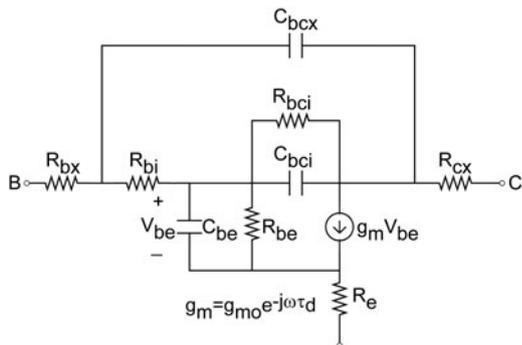
de-embedding of the parasitic network elements. As shown, an effect of neglecting the parasitic network is that the base-collector capacitance associated with the active device itself will be overestimated and the curvature versus collector current slightly different from the one determined from the de-embedded data. From measured Z-parameters it is possible to define an effective base resistance as

$$R_{b,eff} = \Re(Z_{11} - Z_{12}). \tag{16}$$

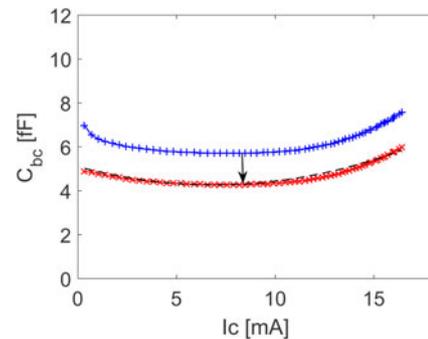
At low injection levels, it is possible to approximate this effective base resistance as

$$R_{b,eff} \approx R_{bx} + X_0 \left(1 - (1 - X_0) \frac{I_c}{I_p}\right) R_{bi}, \tag{17}$$

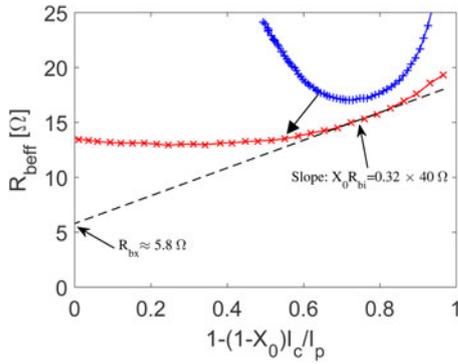
where  $X_0$  is the zero current distribution factor between the intrinsic and total base-collector capacitance and  $I_p = 2X_0 C_{bc0} / k_1$  is a characteristic current [11]. The zero current distribution factor can be extracted from cut-off mode measurements in a lower frequency range, here selected from 4 to 14 GHz. It is found that the extracted zero current distribution factor varies from  $X_0 \approx 0.6$  without de-embedding of the parasitic network to  $X_0 \approx 0.32$  with de-embedding. From (17) it is seen that the linear extrapolation of  $R_{b,eff}$  values plotted versus  $1 - (1 - X_0)I_c/I_p$  to the collector current  $I_c = I_p/(1 - X_0)$  should give the extrinsic base resistance,  $R_{bx}$ . The initial slope of the plot should correspond to  $X_0 R_{bi}$  and hence gives a way to extract a value of  $R_{bi}$  if  $X_0$  is known [14]. The procedure is illustrated in Fig. 9. The effective base resistance,  $R_{b,eff}$ , is averaged over the frequency range from 4 to 65 GHz. The dashed line is a plot of (17) using parameters  $X_0 = 0.32$ ,  $I_p = 6.8$  mA,  $R_{bx} = 5.8 \Omega$  and  $R_{bi} = 40.0 \Omega$ . In general, the extracted data at low injection follow the expected trend given by (17). Figure 9



**Fig. 7.** Equivalent circuit model for active part of InP HBT.



**Fig. 8.** Extraction with (solid line with crosses) and without (solid line with pluses) parasitic network de-embedding for base-collector capacitance versus collector current. The dashed line is a plot of (12) using parameters  $C_{bc0} = 5.1$  fF,  $k_1 = 0.48$  ps/V, and  $I_c = 13.8$  mA. The collector-emitter bias voltage is  $V_{ce} = 1.8$  V.



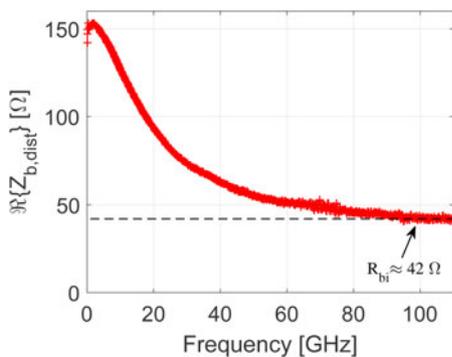
**Fig. 9.** Extraction with (solid line with crosses) and without (solid line with pluses) parasitic network de-embedding for effective base resistance versus  $1 - (1 - X_0)I_c/I_p$ . The dashed line is a plot of (14) using parameters  $X_0 = 0.32$ ,  $I_p = 6.8$  mA,  $R_{b,ex} = 5.8$  Ω and  $R_{bi} = 40.0$  Ω. The collector-emitter bias voltage is  $V_{ce} = 1.8$  V.

also shows the extracted  $R_{b,eff}$  plotted versus  $1 - (1 - X_0)I_c/I_p$  for the situation without de-embedding of the parasitic network. As observed the extraction method fails in this case as it is not possible to fit an equation of the form given by (17) to the extracted data. The parasitic network elements, though of small value, are thus found to have a surprisingly large influence on the extracted parameter values using the method above. A more detailed analysis reveals that the large influence stems mainly from the parasitic capacitances distributed along the base and collector electrodes which become more significant for the down-scaled devices considered here. The residual inductances are actually of secondary influence for these devices. This is because the parameter extraction method reported in [11] and later refined in [14] is largely independent of any external terminal inductances.

As a further verification of the EM simulation assisted parameter extraction approach, a well-established method to extract the intrinsic base resistance is investigated [15]. For this purpose a distributed base impedance

$$Z_{b,dist} = \frac{Z_{11}(Z_{22} - Z_{12}) + Z_{12}(Z_{12} - Z_{21})}{Z_{22} - Z_{12}} \tag{18}$$

is formulated. The asymptotic value at high frequencies for the real part of the distributed base impedance in (18) should correspond to the intrinsic base resistance  $R_{bi}$  [15]. In Fig. 10, it is observed that the asymptotic value approaches 42 Ω, very close



**Fig. 10.** Real part of distributed base impedance versus frequency. The dashed line indicates the asymptotic value using  $R_{bi} \approx 42$  Ω. The bias point is  $V_{ce} = 1.8$  V,  $I_c = 8$  mA.

**Table 2.** Extracted equivalent-circuit elements ( $V_{ce} = 1.8$  V,  $I_c = 8$  mA)

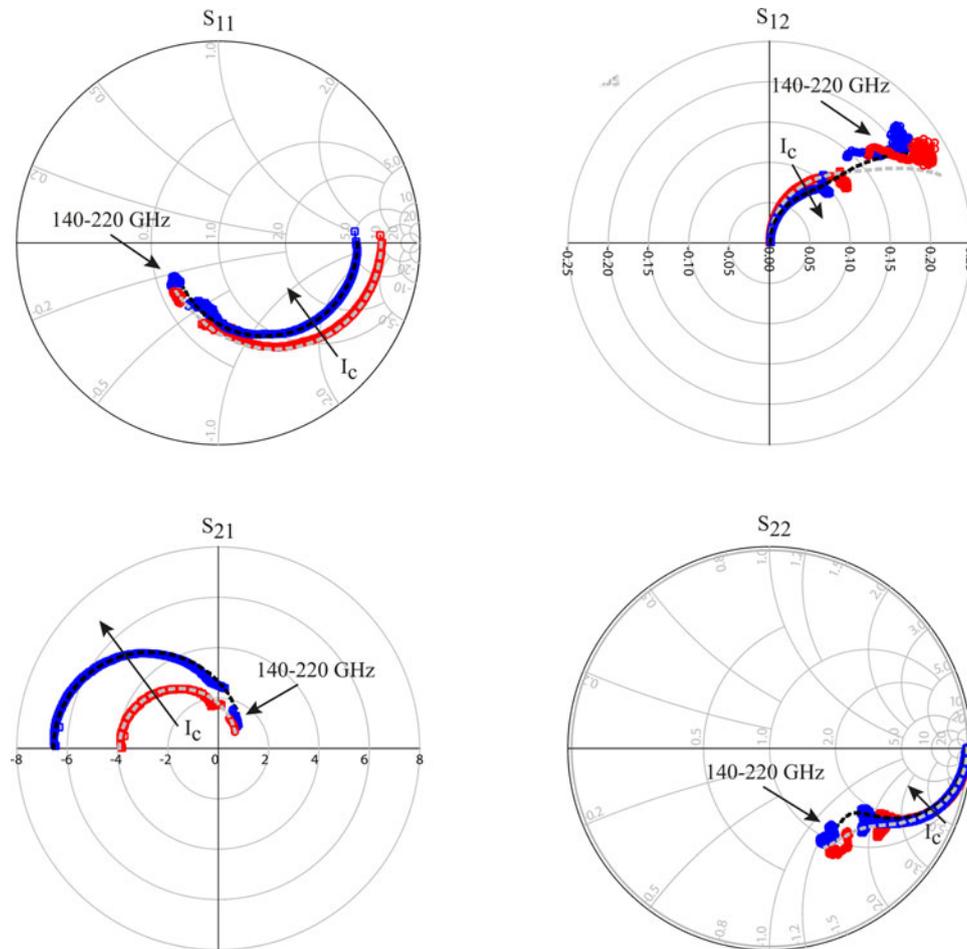
Element	Value	Element	Value	Element	Value
$R_{b,ex}$ [Ω]	5.8	$C_{b,ex}$ [fF]	3.4	$C_{b,ci}$ [fF]	0.8
$R_e$ [Ω]	5.2	$R_{b,j}$ [Ω]	45.0	$R_{b,ci}$ [kΩ]	111.3
$R_{c,ex}$ [Ω]	8.1	$C_{b,e}$ [fF]	85.5	$g_{mo}$ [mS]	200.4
$\tau_d$ [ps]	0.21	$R_{b,e}$ [Ω]	106.8		

to the 40 Ω extracted from the method of [14]. Table 2 provides a summary of the extracted equivalent circuit elements for the active device model in Fig. 7. A few parameters have been tuned following the direct extraction procedure to provide the best possible fit to the measured S-parameter data in the 0.05 to 110 GHz frequency range.

**Model verification**

Figure 11 compares the measured S-parameters at two bias points to the small-signal model structure for the active device augmented with the distributed parasitic network as shown in Fig. 6. The bias point of  $V_{ce} = 1.8$  V,  $I_c = 8$  mA corresponds to the peak of the extracted  $f_{max}$  versus  $I_c$  characteristic while that of  $V_{ce} = 1.8$  V,  $I_c = 2.9$  mA represents low injection. A good agreement between experimental and modeled data is observed in the 50 MHz–110 GHz frequency range for both bias points. There are some slight deviations from expected behavior in the measured S-parameters at the highest frequencies around 110 GHz. These are expected to be caused by the aforementioned complications associated to probe-to-probe coupling, parasitic modes, and radiations in an on-wafer measurement environment. This is confirmed by the small-signal measurements in the frequency range from 140 to 220 GHz (G-band). The trend of the S-parameters in this frequency range is well predicted by the small-signal model. The measurement from 140 to 220 GHz was performed on-wafer using 50 μm pitch GSG Picoprobes from GGB Industries and a ZVA Rohde & Schwarz VNA with G-band frequency extenders. The shown S-parameters have been corrected using the multi-line TRL procedure as described in section 2.

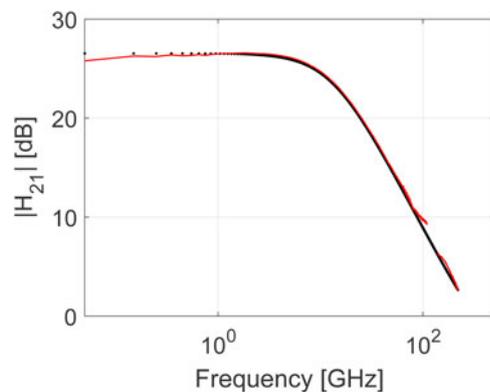
For further experimental verification of the small-signal prediction globally derived quantities should be used. This allows the model validation to take into account the way any discrepancies in the prediction of each individual S-parameter combine within these globally derived quantities [16]. In Fig. 12 the magnitude of the short-circuited current gain,  $|H_{21}|$  is plotted versus frequency. The extrapolated small-signal prediction excellently predicts the  $|H_{21}|$  extracted from the experimental data in the 140 to 220 GHz frequency range. For clarity only the results for  $V_{ce} = 1.8$  V,  $I_c = 8$  mA are shown in the following. Another important derived quantity is Mason’s gain,  $U$ . This quantity is often used to extract the maximum frequency of oscillation,  $f_{max}$ , from linear extrapolation assuming a  $-20$  dB/decade slope. It is well known that  $f_{max}$  extraction from experimental data can be complicated. Figure 13 illustrates clearly how the extracted Mason’s gain is only showing the expected  $-20$  dB/decade slope in a limited frequency range around 30 GHz leading to some ambiguity in the extracted  $f_{max}$  value ( $f_{max} \approx 400$  GHz). Interestingly, Mason’s gain extracted from the 140 to 220 GHz data is well predicted by the small-signal model and follows



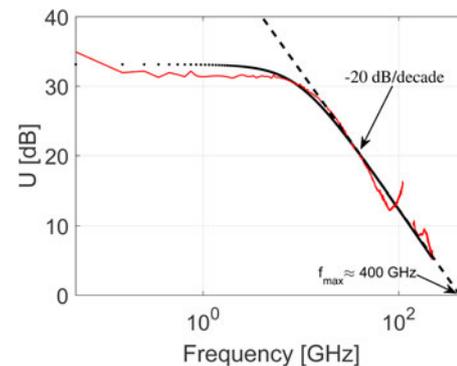
**Fig. 11.** Comparison of measured (solid lines with symbols) and modeled (dots) S-parameters in the frequency range from 50 MHz to 110 GHz and 140 to 220 GHz. The bias points are  $V_{ce} = 1.8$  V,  $I_c = 2.9$  mA, and  $V_{ce} = 1.8$  V,  $I_c = 8.0$  mA.

overall a  $-20$  dB/decade slope. This confirms that the unexpected behavior below 110 GHz is caused by the aforementioned complications associated with on-wafer measurements. The high-frequency measurements do not suffer so much from these unwanted effects. This may be related to the more compact setup using the G-band probes for on-wafer measurements. As a final verification, the maximum-available-gain,  $G_{mag}$ , or the

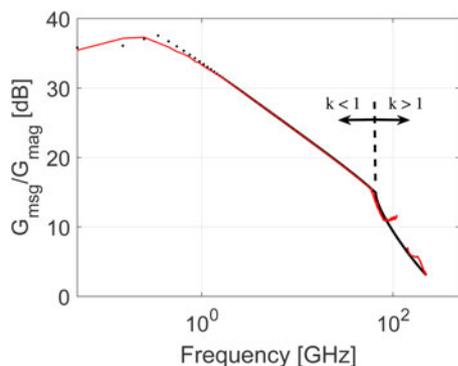
maximum-stable-gain,  $G_{msg}$ , whenever the stability factor  $K < 1$  [17] will be considered.  $G_{mag}$  is an important globally derived quantity which is a strong indicator of the achievable performance for a given millimeter-wave and THz circuit. The distribution of the elements of the small-signal model is important to predict the division point in frequency between  $G_{msg}$  where the stability factor  $K$  is  $< 1$  and  $G_{mag}$  where  $K$  is  $> 1$ . As is observed in



**Fig. 12.** Comparison of measured (solid lines) and modeled (dots) magnitude of short-circuited current gain,  $|H_{21}|$ , versus frequency in the frequency range from 50 MHz to 110 GHz and 140 to 220 GHz. The bias point is  $V_{ce} = 1.8$  V,  $I_c = 8$  mA.



**Fig. 13.** Comparison of measured (solid lines) and modeled (dots) Mason's gain,  $U$ , versus frequency in the frequency range from 50 MHz to 110 GHz and 140 to 220 GHz. The bias point is  $V_{ce} = 1.8$  V,  $I_c = 8$  mA.



**Fig. 14.** Comparison of measured (solid lines) and modeled (dots) maximum stable gain/maximum available gain,  $G_{msg}/G_{mag}$ , versus frequency in the frequency range from 50 MHz to 110 GHz and 140 to 220 GHz. The bias point is  $V_{ce} = 1.8$  V,  $I_c = 8$  mA.

Fig. 14 the small-signal model captures this division point accurately. Again it is observed how the small-signal prediction in the highest frequency range from 140 to 220 GHz confirms the experimental results. There are some discrepancies between measurements and model simulations observed at frequencies below 1 GHz. These discrepancies could be caused by S-parameter data being outside the frequency range set by the lengths of the multi-line TRL calibration line standards.

## Conclusion

An EM simulation assisted parameter extraction approach has been described. The approach relies on accurate 3D EM simulations of the external parasitic network associated with down-scaled InP HBTs in transferred-substrate technology. De-embedding the parameters of the parasitic network from the multi-line TRL-corrected transistor measurements leads to greater reliability in the extraction of the parameters associated with the active device. The accurate prediction of the S-parameters and derived quantities even in the 140 to 220 GHz frequency range verifies the small-signal model augmented with the parasitic network. The increased distribution of the small-signal model leads to confidence in our modeling approach to even higher millimeter-wave and THz frequencies. Future work will focus on scaling of the approach to smaller devices and experimental verification to higher frequencies.

**Acknowledgments.** The authors would like to thank Steffen Schulz for measurements.

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