

## THE PLANCHEREL FORMULA FOR THE HOROCYCLE SPACE AND GENERALIZATIONS

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### Abstract

The Plancherel formula for the horocycle space, and several generalizations, is derived within the framework of quasi-regular representations which have monomial spectrum. The proof uses only machinery from the Penney-Fujiwara distribution-theoretic technique; no special semisimple harmonic analysis is needed. The Plancherel formulas obtained include the spectral distributions and the intertwining operators that effect the direct integral decomposition of the quasi-regular representation.

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### 1. Introduction

In [6, 7] I laid out a program which indicated that the Plancherel formula for a homogeneous space  $G/H$  should be within reach whenever the spectrum of the induced representation  $\tau = \text{Ind}_H^G 1$  is generically monomial. The latter means that almost all of the irreducible unitary representations  $\pi$  that occur in  $\tau$  are representations induced from a closed subgroup  $B$  of  $G$  by a character. For any such monomial representation  $\pi$ , I prescribed a natural distribution  $\beta_{\pi, B}$  on the space of  $\pi$  and computed its matrix coefficient. The Plancherel formula is then a direct integral decomposition of the canonical cyclic distribution  $\alpha_\tau$  associated to  $\tau$  (see below) into the spectral distributions  $\beta_{\pi, B}$ . The definition of  $\beta_{\pi, B}$  and the computation of its matrix coefficient in [6] were rigorous. However, the derivation of the Plancherel formula in [7] was heuristic. It was left as a challenge to render the heuristic proof rigorous for different categories of homogeneous spaces  $G/H$  having monomial spectrum.

This challenge was taken up in several papers. In [5], a special algebraic symmetric

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space was treated. In [6], completely solvable  $G$  and abelian symmetric spaces  $G/H$  were considered. In [8], the situation was generalized to polynomial spectrum — meaning the generic spectrum of  $\tau$  is induced from finite-dimensional representations — and Strichartz symmetric spaces were handled. Other cases are considered in [1, 7 and 9] but in every instance so far  $G$  has been a non-semisimple group. In this paper we shall derive the Plancherel formula for several monomial and polynomial homogeneous spaces of a semisimple Lie group  $G$ . The most familiar example is the horocycle space  $G/MN$ , where  $G = KAN$  is an Iwasawa decomposition, and  $M$  is the centralizer of  $A$  in  $K$ . The Plancherel formula for that space has been known for a long time [2]. But our proof will be much easier — coming about as an almost immediate consequence of the results of [6]. We shall also employ the same method to examine two kinds of generalizations. First of all, we shall consider homogeneous spaces  $G/H$  where  $H$  is one of the canonical groups between  $N$  and the minimal parabolic subgroup  $MAN$ , other than  $MN$ . Secondly, we shall expand the horocycle example to include non-minimal parabolics.

The main results of the paper are explicit Plancherel formulas, including multiplicity, spectral distributions, and the intertwining operator, for the homogeneous spaces  $G/MN$  where  $P = MAN$  is *any* parabolic group; and also for the spaces  $G/P$ ,  $G/AN$  and  $G/N$  when  $P = MAN$  is a minimal parabolic subgroup. These results are proven in: Theorems 2.2, 2.5 and Corollaries 2.3, 2.4; Theorem 2.6; Theorem 3.2; and Theorem 3.3, respectively. The cases  $G/MN$  and  $G/P$  are known.  $G/AN$  and  $G/N$  apparently are not to be found in the literature — although almost any semisimple specialist could have derived them. The point is that the known (and presumed) proofs of these Plancherel formulas have been obtained in the past by techniques special to semisimple groups. But in fact, the results of this paper show that all of them — since they manifest monomial, or at worst polynomial, spectrum — can be derived from the general theory of such homogeneous spaces developed in [6, 7 and 8].

## 2. Monomial spectrum

We recall the results from [6] (to which we refer the reader for basic terminology and notation). Let  $G$  be a Lie group and  $H \subset G$  a closed subgroup. We fix right Haar measures  $dg$ ,  $dh$  on  $G$  and  $H$ . We write  $\Delta_G$ ,  $\Delta_H$  for the modular functions of  $G$ ,  $H$  respectively (that is, the derivative of right Haar measure with respect to left). We set  $\Delta_{H,G} = \Delta_H/\Delta_G$ , a positive character on  $H$ . We select a smooth function  $q = q_{H,G}$  on  $G$  satisfying  $q(e) = 1$ ,  $q(hg) = \Delta_{H,G}(h)q(g)$ . If  $\chi$  is a unitary character of  $H$ , the induced representation  $\pi_\chi = \text{Ind}_H^G \chi$  acts on the space

(2.1)

$$C_c^\infty(G, H, \chi) = \{f \in C^\infty(G) : f(hg) = \chi(h)f(g), |f| \text{ compactly supported mod } H\}$$

by the formula

$$(2.2) \quad \pi_x(g)f(x) = f(xg)[q(xg)/q(x)]^{1/2}.$$

The action (2.2) extends naturally from (2.1) to a unitary representation. Indeed, there is a quasi-invariant measure  $d\dot{g}$  on  $H \setminus G$  defined as follows. Any  $f \in C_c(G, H)$  can be written

$$f(g) = \int_H F(hg) dh, \quad F \in C_c(G);$$

then

$$\int_{H \setminus G} f(g) d\dot{g} := \int_G F(g)q(g) dg.$$

The formula (2.2) defines the unitary action of  $G$  on

$$L^2(G, H, \chi) := \text{the closure of } C_c^\infty(G, H, \chi) \text{ in the norm } \left[ \int_{H \setminus G} |f|^2 d\dot{g} \right]^{1/2}.$$

When  $\chi = 1$ , we write  $\tau = \pi_1$  and refer to  $\tau$  as the *quasi-regular representation*. (Note that  $q$  and  $d\dot{g}$  determine each other canonically.) If  $H$  needs to be specified, we write  $\tau = \tau_H$ .

Now suppose  $\tau$  is type I. Then it has a direct integral decomposition into irreducible unitary representations

$$\tau = \int^\oplus \pi d\mu(\pi).$$

The basic assumption we make at this point is that  $\mu$ -a.a.  $\pi$  are monomial, that is, induced from a character. Focus on one such  $\pi$  momentarily:  $\pi = \pi_\chi = \text{Ind}_B^G \chi$ ,  $B$  a closed subgroup of  $G$ ,  $\chi$  a character of  $B$ . Choose  $db$  and  $q_{B,G}$  and realize  $\pi_\chi$  in  $L^2(G, B, \chi)$ . Suppose further that:

- (I)  $BH$  is closed,
- (II)  $q_{H \cap B, H} q_{H \cap B, B} \equiv 1$  on  $H \cap B$ ,
- (III)  $\chi \equiv 1$  on  $H \cap B$ .

With these assumptions, we can define the Penney distributions whose matrix coefficients form the critical components of the Plancherel formula. For any unitary representation  $\pi$  of  $G$ , we set  $\mathcal{H}_\pi^\infty =$  the  $C^\infty$  vectors in the space  $\mathcal{H}_\pi$  on which  $\pi$  acts, and  $\mathcal{H}_\pi^{-\infty} =$  the conjugate-dual space of distributions. If  $\pi = \pi_\chi$ , we know

$$C_c^\infty(G, B, \chi) \subset L^2(G, B, \chi)^\infty \subset C^\infty(G, B, \chi).$$

I now summarize some of the main results of [6] — namely Theorem 2.1 and Proposition 2.2 therein. Since all of our applications in this paper will be to semisimple  $G$ , I shall state the results under the assumption that  $G$  is unimodular. In that case, I abbreviate  $q_H = q_{H,G}$ ,  $q_B = q_{B,G}$ .

**THEOREM 2.1.**

- (i) *The distribution  $\alpha_\tau : f \rightarrow \bar{f}(e)$  on  $L^2(G, H)^\infty := L^2(G, H, 1)^\infty$  is cyclic and relatively invariant under the action of  $H$  with modulus  $q_H^{-1/2}$ .*
- (ii) *For  $\omega \in \mathcal{D}(G) := C_c^\infty(G)$ , the distribution  $\tau(\omega)\alpha_\tau$  is a smooth function  $\omega_H$ , called the smooth form of  $\alpha_\tau$  and given by the formula*

$$\omega_H(g) := \tau(\omega)\alpha_\tau(g) = q_H^{-1/2}(g) \int_H \omega(g^{-1}h^{-1})q_H^{-1/2}(h) dh, \quad \omega \in \mathcal{D}(G).$$

- (iii) *The matrix coefficient of  $\alpha_\tau$  is  $\langle \tau(\omega)\alpha_\tau, \alpha_\tau \rangle = \omega_H(e) = \int_H \omega(h^{-1})q_H^{-1/2}(h) dh$ .*
- (iv) *If  $\pi_\chi = \text{Ind}_B^G \chi$ , the distribution*

$$(2.3) \quad \beta_\chi : f \rightarrow \int_{H \cap B \setminus H} \bar{f} q_B^{1/2} q_H^{-1/2} q_{H \cap B, H}^{-1} dh, \quad f \in C_c^\infty(G, B, \chi)$$

*is well-defined and relatively invariant under the action of  $H$  with modulus  $q_H^{-1/2}$ .*

- (v) *The smooth function (or smooth form)  $\pi_\chi(\omega)\beta_\chi$  is given by*

$$\pi_\chi(\omega)\beta_\chi(g) = \int_{H \cap B \setminus B} \omega_H(bg)\bar{\chi}(b)q_B^{-1/2}(bg)q_H^{1/2}(bg)q_{H \cap B, B}^{-1}(b) db,$$

$\omega \in \mathcal{D}(G)$ .

- (vi) *The matrix coefficient  $\langle \pi_\chi(\omega)\beta_\chi, \beta_\chi \rangle$  of  $\beta_\chi$  is given by*

$$\int_{H \cap B \setminus H} \int_{H \cap B \setminus B} \omega_H(bh)\bar{\chi}(b)q_B^{-1/2}(b)q_H^{1/2}(h^{-1}bh)q_{H \cap B, B}^{-1}(b)q_{H \cap B, H}^{-1}(h) db dh,$$

*where  $\omega \in \mathcal{D}^+(G) :=$  the positive linear combinations of functions of the form  $\omega_1^* * \omega_1$ ,  $\omega_1 \in \mathcal{D}(G)$ .*

The reader is urged to consult [6]. But at this point let us recall exactly what the Penney-Fujiwara Plancherel formula (**PFPF** for short) is. It is a decomposition of the matrix coefficient of  $\alpha_\tau$  into matrix coefficients of distributions  $\beta$  attached to irreducible unitary representations. Namely, it asserts that one can find a measurable

family  $X$  of irreducible unitary representations, a positive regular Borel measure  $\mu$  on  $X$ , and distributions  $\beta_\pi$  attached to  $\pi \in X$  so that

$$(2.4) \quad \langle \tau(\omega)\alpha_\tau, \alpha_\tau \rangle = \int_X \langle \pi(\omega)\beta_\pi, \beta_\pi \rangle d\mu(\pi), \quad \omega \in \mathcal{D}(G).$$

An immediate consequence (see [6, Proposition 3.2]) is that the map  $\tau(\omega)\alpha_\tau \rightarrow \{\pi(\omega)\beta_\pi\}$  is the intertwining operator for the direct integral decomposition  $\tau = \int_X^\oplus \pi d\mu(\pi)$  — at least if the multiplicity is finite. In this paper, we shall find the Plancherel measure and establish the formula (2.4) for various semisimple homogeneous spaces.

REMARKS. There are several subtleties that can arise in this venture that have been addressed in previous work.

(1) The integrals in the definition (2.3) of the Penney distributions  $\beta_\chi$  are well-defined because of conditions (II) and (III). The integrals converge because of condition (I). One expects that the integrals actually converge for *all* vectors  $f \in L^2(G, B, \chi)^\infty$ . The proof of that fact may involve a very delicate argument depending heavily on the structure of the homogeneous space (see [5] or [1] for example).

(2) The second subtle point is that even if one cannot demonstrate convergence of the distribution integrals (2.3) for all  $C^\infty$  vectors, Proposition 3.3 of [6] shows that if one can prove (2.4), then  $\mu - a.a.$  distributions have unique extensions from  $C_c^\infty(G, B, \chi)$  to the full space of  $C^\infty$  vectors. The value of  $\beta$  on a non-compactly supported test function could conceivably be given by something other than the absolutely convergent integral, but no such example is known.

(3) A further complication is caused by the fact that the assumption (I) may sometimes be violated (see for example [1]). In that case, one cannot assert that for  $f \in C_c^\infty(G, B, \chi)$  the integrand in the definition (2.3) of  $\beta_\chi$  is automatically in  $C_c(H, H \cap B)$ . Thus the convergence of the integral is problematic even for test functions. Experience has shown ([1]) that, even without condition (I), the integral in (2.3) is still convergent — not only for  $f \in C_c^\infty(G, B, \chi)$  — but ultimately for all  $C^\infty$  vectors. On the other hand, the integral specifying the smooth form — and so also the intertwining operator — may prove to be more troublesome. This scenario is illustrated in Section 2b below.

(4) It is worth reviewing what is ‘chosen’ and what is ‘canonical’ in the setup. The Haar measures are chosen and fixed. The  $q$  functions  $q_B, q_H$  are chosen, but any alteration affects the  $L^2$  space since  $q$  and  $d\dot{g}$  are paired. Finally, there is freedom to choose  $q_{H \cap B, H}$ , but the measure  $q_{H \cap B, H}^{-1}(h)d\dot{h}$  is canonical — ditto with  $q_{H \cap B, B}^{-1}(b)d\dot{b}$ . In this way we see that everything in Theorem 2.1 is — if not canonical, then at least — completely natural.

Until further notice  $G$  is a connected semisimple Lie group with finite center. Also we fix a maximal compact subgroup  $K$  and an Iwasawa decomposition  $G = KAN$ . Let  $M$  be the centralizer of  $A$  in  $K$ . Then  $P = MAN$  is a minimal parabolic subgroup. If we fix Haar measures  $dm, da, dn$  on the unimodular groups  $M, A, N$ , then  $dmdadn$  is left Haar measure on  $P$ , and  $\int_N f(n) dn = e^{2\rho(\log a)} \int_N f(ana^{-1}) dn$ , where  $\rho$  is one-half the sum of the positive roots (on  $\mathfrak{a}$  with respect to  $\mathfrak{n}$ ). In particular,  $e^{2\rho(\log a)} dmdadn$  is right Haar measure on  $P$  and  $\Delta_P(man) = e^{2\rho(\log a)}$  is the modular function.

**2a. Generalized horocycle spaces.** Now we select  $H = MN$ , a unimodular group. The homogeneous space  $G/H = G/MN$  is the horocycle space [2]. We derive, as a consequence of Theorem 2.1, its Plancherel theorem.

**THEOREM 2.2.** *For  $\tau = \tau_{MN} = \text{Ind}_{MN}^G 1$ , we have the Plancherel formula*

$$(2.5) \quad \langle \tau_{MN}(\omega)\alpha_\tau, \alpha_\tau \rangle = \int_{\hat{A}} \langle \pi_\lambda(\omega)\beta_\lambda, \beta_\lambda \rangle d\lambda, \quad \omega \in \mathcal{D}(G),$$

where  $\pi_\lambda$  is the irreducible principal series representation  $\pi_\lambda = \text{Ind}_{MAN}^G 1 \times \lambda \times 1$ ,  $\lambda \in \hat{A}$ , and  $d\lambda$  is the Lebesgue measure on  $\hat{A}$  dual to  $da$ .

**PROOF.** We begin with the representation-theoretic computation of a direct integral decomposition of  $\tau$ . In it, we use induction in stages, and the fact that direct integrals commute with induction:

$$(2.6) \quad \begin{aligned} \tau = \text{Ind}_{MN}^G 1 &= \text{Ind}_{MAN}^G \text{Ind}_{MN}^{MAN} 1 = \text{Ind}_{MAN}^G \int_{\hat{A}}^\oplus 1 \times \lambda \times 1 d\lambda \\ &= \int_{\hat{A}}^\oplus \text{Ind}_{MAN}^G 1 \times \lambda \times 1 d\lambda = \int_{\hat{A}}^\oplus \pi_\lambda d\lambda. \end{aligned}$$

Now we apply Theorem 2.1 with  $H = MN$  and  $B = B_\lambda = MAN$ . Then  $H \cap B = H$ . Therefore we have:  $q_H \equiv 1$  on  $G$ ;  $q_{H \cap B, H} \equiv 1$  on  $H$ ;  $q_B = \Delta_B = e^{2\rho(\log a)}$  on  $B$ ; and  $q_{H \cap B, B} = 1$  on  $MN$ . We define  $q_B$  on  $G$  via the Iwasawa decomposition  $q_B(ank) = \Delta_B(ank)\Delta_G^{-1}(ank) = e^{2\rho(\log a)}$ ; and we choose  $q_{H, B}$  on  $MN$  according to  $q_{H, B}(man) = \Delta_{MN}(man)\Delta_{MAN}^{-1}(man) = e^{-2\rho(\log a)}$ . At this point we observe that conditions (I)–(III) are satisfied.

Next we must identify the quasi-invariant measure on  $H \setminus B = MN \setminus MAN$  associated to the above choice of  $q_{H, B}$ . In fact it is relatively invariant since  $q_{H, B} = \Delta_B^{-1}$  is a character on  $B$ . We can be even more precise. Since the right Haar measure on  $B = MAN$  is  $db = \Delta_B dmdadn$ , we have

$$\int_B f(b)q_{H, B}(b) db = \int_{MAN} f(man)q_{H, B}(man)\Delta_B(man) dmdadn$$

$$\begin{aligned}
 &= \int_{MAN} f(man) dmdadn \\
 &= \int_A \left( \int_{MN} f(mna) e^{-2\rho(\log a)} dmdn \right) da.
 \end{aligned}$$

Therefore if we identify the homogeneous space  $MN \backslash MAN$  to  $A$  we see that  $d\dot{b} = e^{-2\rho(\log a)} da$  is the relatively invariant measure on  $H \cap B \backslash B = H \backslash B$ . Consequently we have

$$q_{H,B}^{-1}(b)d\dot{b} = da.$$

(This reinforces our previous remark that  $q_{H \cap B, B}^{-1}(b)d\dot{b}$  is canonical.)

Now we continue with the application of the general formulas of Theorem 2.1 to this specific situation. First of all, the Penney distributions collapse to

$$\beta_\lambda : f \rightarrow \int_{H \cap B \backslash H} \bar{f} q_B^{1/2} q_H^{-1/2} q_{H \cap B, H}^{-1} d\dot{h} = \bar{f}(e).$$

Next, we evaluate their smooth form and matrix coefficients to be:

$$\begin{aligned}
 \pi_\lambda(\omega)\beta_\lambda(g) &= \int_{H \cap B \backslash B} \omega_H(bg)\bar{\lambda}(b)q_B^{-1/2}(bg)q_H^{1/2}(bg)q_{H \cap B, B}^{-1}(b) d\dot{b} \\
 &= \int_A \omega_H(ag)\bar{\lambda}(a)q_B^{-1/2}(ag) da;
 \end{aligned}$$

and  $\langle \pi_\lambda(\omega)\beta_\lambda, \beta_\lambda \rangle$

$$\begin{aligned}
 &= \int_{H \cap B \backslash H} \int_{H \cap B \backslash B} \omega_H(bh)\bar{\lambda}(b)q_B^{-1/2}(b)q_H^{1/2}(h^{-1}bh)q_{H \cap B, B}^{-1}(b)q_{H \cap B, H}^{-1}(h) d\dot{b}d\dot{h} \\
 &= \int_A \omega_H(a)\bar{\lambda}(a)e^{-\rho(\log a)} da.
 \end{aligned}$$

The proof of Theorem 2.2 follows as a consequence of the Plancherel formula on  $A$ . Indeed,

$$\begin{aligned}
 \int_{\hat{A}} \langle \pi_\lambda(\omega)\beta_\lambda, \beta_\lambda \rangle d\lambda &= \int_{\hat{A}} \int_A \omega_H(a)\bar{\lambda}(a)e^{-\rho(\log a)} dad\lambda \\
 &= \omega_H^o(e) \quad \text{where } \omega_H^o \text{ is the test function } \omega_H^o(a) = \omega_H(a)e^{-\rho(\log a)} \\
 &= \omega_H(e),
 \end{aligned}$$

which establishes formula (2.5).

As explained in [6], the map  $\omega \rightarrow \Omega = \omega_H$  maps  $\mathcal{D}(G)$  onto  $\mathcal{D}(G, H)$ , and so Theorem 2.2 supplies the intertwining operator for the direct integral decomposition.

COROLLARY 2.3. *The map  $\Omega(g) \rightarrow \{\Omega_\lambda(g)\}_{\lambda \in \hat{A}}$  where*

$$(2.7) \quad \Omega_\lambda(g) = \int_A \Omega(ag) \bar{\lambda}(a) q_B^{-1/2}(ag) da, \quad \Omega \in \mathcal{D}(G, MN),$$

*extends uniquely to a unitary operator  $L^2(G, MN) \rightarrow \int_{\hat{A}}^{\oplus} L^2(G, B, \lambda) d\lambda$  which effects the direct integral decomposition (2.6).*

REMARKS. (5) For the record we recall how to compute  $q_B^{-1/2}(ag)$ . One writes the Iwasawa decomposition  $G = ANK$  in coordinates  $g = \alpha(g)\nu(g)\mathfrak{k}(g)$ , and then  $q_B^{-1/2}(ag) = e^{-\rho(\log \alpha(ag))}$ .

(6) For emphasis, we remark that Theorem 2.2 and Corollary 2.3 follow completely from the general monomial PFPF found in [6] and specific semisimple structure theory. No additional semisimple harmonic analysis is necessary.

Next we observe that, although all the constituent representations  $\pi_\lambda$  in the direct integral decomposition (2.6) are irreducible, they are not pairwise inequivalent. We rewrite formula (2.5) to take that into account, and to count the multiplicity.

Let  $\hat{A}' = \{\lambda \in \hat{A} : w\lambda \neq \lambda, \forall w \in W := \text{Norm}_K(A)/M\}$ .  $W$  is the Weyl group which acts naturally on  $M$  and  $A$ . It acts simply transitively on the set  $\hat{A}'$ , which is a dense open co-null subset of  $\hat{A}$ . Two representations  $\pi_{\lambda_1}, \pi_{\lambda_2}$  are equivalent if and only if  $\lambda_1$  and  $\lambda_2$  are in the same  $W$ -orbit. Therefore we have

COROLLARY 2.4.

$$\langle \tau_{MN}(\omega)\alpha_\tau, \alpha_\tau \rangle = \int_{\hat{A}'/W} \#(W) \langle \pi_\lambda(\omega)\beta_\lambda, \beta_\lambda \rangle d\lambda, \quad \omega \in \mathcal{D}(G).$$

*In particular, the quasi-regular representation  $\tau$  has uniform multiplicity equal to the order of the Weyl group.*

One big advantage of this method for deriving the Plancherel formula for the horocycle space is that it does not really depend on the compactness of  $M$ . That is, it works for any parabolic subgroup, not just a minimal parabolic subgroup. Let  $P = MAN$  be any parabolic and set  $H = MN$ ,  $\tau = \text{Ind}_H^G 1$ . A review of the preceding proofs reveals that nowhere was the minimality of  $P$  (or the compactness of  $M$ ) utilized. The exact same arguments apply to yield

THEOREM 2.5. *If we write  $\pi_\lambda = \text{Ind}_{MAN}^G 1 \times \lambda \times 1$  and  $\beta_\lambda : f \rightarrow \bar{f}(e)$ , then we have*

$$\langle \tau(\omega)\alpha_\tau, \alpha_\tau \rangle = \int_{\hat{A}} \langle \pi_\lambda(\omega)\beta_\lambda, \beta_\lambda \rangle d\lambda, \quad \omega \in \mathcal{D}(G).$$



Moreover, the map  $\Omega(g) \rightarrow \{\Omega_\lambda\}_{\lambda \in \hat{A}}$

$$(2.8) \quad \Omega_\lambda(g) = \int_A \Omega(ag) \bar{\lambda}(a) q_B^{-1/2}(ag) da, \quad \Omega \in \mathcal{D}(G, MN),$$

extends uniquely to a unitary operator  $L^2(G, MN) \rightarrow \int_{\hat{A}}^{\oplus} L^2(G, B, \lambda)$  which effects the direct integral decomposition of  $\tau$ . The multiplicity is uniformly  $\#(W)$ , where  $W = \text{Norm}(A)/\text{Cent}(A)$ .

REMARKS. (7) The notation in Theorem 2.5 presumes that  $B = B_\lambda = P = MAN$  and  $g = \mu(g)\alpha(g)\nu(g)\xi(g)$ , where in the decomposition  $G = PK$ , the  $A$  component is uniquely determined. Furthermore,  $q_B(g) = e^{2\rho(\log \alpha(g))}$ .

(8) In both the minimal and non-minimal cases, we clearly have that  $\beta_\lambda$  is defined for all  $C^\infty$  vectors — because the integral collapses. Furthermore, the integral (over  $A$ ) expressing  $\pi_\lambda(\omega)\beta_\lambda$  is convergent for  $\omega$  compactly supported (since  $AMN = B$  is closed in  $G$ ), and extends to the intertwining operator. But the question of exactly what the set of functions  $\Omega$  for which the integral (2.8) actually converges is an interesting question in Fourier analysis.

**2b. Generalized flag manifolds.** The goal of the paper is the Plancherel formula for  $G/H$  where  $H$  is a canonical subgroup of a parabolic group  $P$  containing the nilradical  $N$ . In the previous subsection we considered  $H = MN$ . The only really ‘canonical’ group above  $MN$  is  $P$  itself. But, for  $P$  minimal, it is a well-known fact [4] that the induced representation  $\tau = \text{Ind}_P^G 1$  is actually irreducible — it has no decomposition. So what would constitute a Plancherel formula? The answer is as follows. Fix a minimal parabolic group  $P_1 = MAN_1$  (the reason behind the choice of notation will be clear in a moment). Write  $\tau_1 = \tau_{P_1} = \text{Ind}_{P_1}^G 1$ , an irreducible representation. The point is that it is known that for any other parabolic  $P_2 = MAN_2$ , associate (and therefore conjugate) to  $P_1$ , the corresponding induced representation  $\tau_2 = \tau_{P_2} = \text{Ind}_{P_2}^G 1$  is unitarily equivalent to  $\tau_1$ . A Plancherel formula would specify the intertwining operator between the two representations. Such a formula is well-known [3, ch. VII.4] as a consequence of classical work (of Kunze-Stein) on intertwining operators. Next, we shall see that those operators emerge from our framework naturally as well.

We take  $H = P_1, B = P_2$ . Then  $H \cap B = MA(N_1 \cap N_2)$ . The corresponding  $q$  functions are as follows:

$$\begin{aligned} q_H &= q_{H,G} = \Delta_{MAN_1} = e^{2\rho_1(\log a)} \\ q_B &= q_{B,G} = \Delta_{MAN_2} = e^{2\rho_2(\log a)} \\ q_{H \cap B, H} &= \frac{\Delta_{MA(N_1 \cap N_2)}}{\Delta_{MAN_1}} = e^{2(\rho_0 - \rho_1)(\log a)} \end{aligned}$$

$$q_{H \cap B, B} = \frac{\Delta_{MA(N_1 \cap N_2)}}{\Delta_{MAN_2}} = e^{2(\rho_0 - \rho_2)(\log a)}.$$

Here we write  $N_0 = N_1 \cap N_2$  and  $\rho_j, j = 0, 1, 2$  for one-half the sum of the corresponding positive roots. Now the fact that  $P_1$  and  $P_2$  are conjugate guarantees that  $2\rho_0 = \rho_1 + \rho_2$ . Therefore condition (II) is satisfied. But it is unlikely that condition (I) will hold if the two parabolics are not equal. This is the situation referred to in Remark 3 in the last section. Nevertheless, we can cope with this problem as follows.

The relevant  $H$ -invariant Penney distribution (on the space of  $\tau_2$ ) is

$$(2.9) \quad \beta_2 : f \rightarrow \int_{H \cap B \backslash H} \bar{f} q_B^{-1/2} q_H^{-1/2} q_{H \cap B, H}^{-1} dh = \int_{N_0 \backslash N_1} \bar{f} d\dot{n}_1,$$

because all the  $q$  functions live only on  $A$ . Moreover, the integral (2.9) clearly converges for any  $C^\infty$  vector  $f$ . Indeed, if we write  $V$  for the nilradical of the opposed parabolic to  $P_2$ , and we realize  $\tau_2 = \text{Ind}_{P_2}^G 1$  in  $L^2(V)$ , then any  $C^\infty$  vector will be a Schwartz function on  $V$ . Therefore it will be Schwartz on  $N_0 \backslash N_1$  and convergence is assured. Finally, we remark that condition (III) is trivially satisfied.

Next we evaluate the smooth form of  $\beta_2$ :

$$\begin{aligned} \tau_2(\omega)\beta_2(g) &= \int_{H \cap B \backslash B} \omega_H(bg)q_B^{-1/2}(bg)q_H^{1/2}(bg)q_{H \cap B, B}^{-1}(b) d\dot{b} \\ &= \int_{N_0 \backslash N_2} \omega_{P_1}(n_2g)q_B^{-1/2}(n_2g)q_H^{1/2}(n_2g) d\dot{n}_2. \end{aligned}$$

Then we observe that the irreducibility of  $\tau_1$  and  $\tau_2$ , together with [10, Thm. 3.3], implies that (up to scalar) the matrix coefficients of  $\alpha_1 = \alpha_{\tau_1}$  and  $\beta_2$  must coincide. Therefore, for an appropriate choice of Haar measures, we have

$$\langle \tau_1(\omega)\alpha_1, \alpha_1 \rangle = \langle \tau_2(\omega)\beta_2, \beta_2 \rangle.$$

This is the **PFPPF** and thus, by the discussion after Theorem 2.1, the intertwining operator between  $\tau_1$  and  $\tau_2$  is formally

$$\begin{aligned} \Omega_1(g) \rightarrow \Omega_2(g) &= \int_{N_0 \backslash N_2} \Omega_1(n_2g)q_B^{-1/2}(n_2g)q_H^{1/2}(n_2g) d\dot{n}_2 \\ &= \int_{N_0 \backslash N_2} \Omega_1(n_2g)q_B^{-1/2}(g)q_H^{1/2}(n_2g) d\dot{n}_2. \end{aligned}$$

The left  $N_2$ -invariance of  $q_B$  is clear from its definition. The conclusion is (see [3, ch. VII.4])

**THEOREM 2.6.** *The intertwining operator giving the unitary equivalence between  $\tau_1$  and  $\tau_2$  is specified by*

$$(2.10) \quad \Omega(g) \rightarrow e^{-\rho_2(\log \alpha_2(g))} \int_{N_0 \backslash N_2} \Omega(n_2 g) e^{\rho_1(\log \alpha_1(n_2 g))} d\dot{n}_2$$

**REMARK.** (9) Formula (2.10) differs slightly from [3] because of different setups — we have built the equivariance condition into the group action (see (2.2)), whereas Knapp builds it into the function space. Also, although the distribution integral (2.9) converges, we encounter the usual convergence difficulties with the intertwining integral (2.10).

### 3. Polynomial spectrum

We take now as our basic frame of reference the generalization of [6] found in [8]. The new assumption is that generically the spectrum of  $\tau$  consists only of *polynomial* representations, that is, those induced from *finite-dimensional* representations. We retain the same notation as in Section 2, except we write  $\sigma$  instead of  $\chi$ , that is,  $\sigma$  is a finite-dimensional representation acting on a space  $\mathcal{H}_\sigma$ . We still have the inclusions  $C_c^\infty(G, B, \sigma) \subset L^2(G, B, \sigma)^\infty \subset C^\infty(G, B, \sigma)$  for the spaces on which  $\pi_\sigma = \text{Ind}_\sigma^G \sigma$  acts; but the functions in these spaces are  $\mathcal{H}_\sigma$ -valued instead of scalar-valued. The statements of conditions (I) and (II) are unchanged, but (III) is stated in the form

(III)  $\sigma|_{H \cap B}$  contains a fixed vector.

As in the transcription of the key results in [6] to Theorem 2.1, we capture all the basic results of [8] in a single statement.

**THEOREM 3.1.** *Let  $\xi \in \mathcal{H}_\sigma$  be a  $\sigma(H \cap B)$ -invariant vector.*

(i) *The distribution*

$$(3.1) \quad \beta_\xi : f \rightarrow \int_{H \cap B \backslash H} \langle \xi, f(\cdot) \rangle q_B^{1/2} q_H^{-1/2} q_{H \cap B, H}^{-1} d\dot{h},$$

$$f \in C_c^\infty(G, B, \sigma)$$

*is well-defined and relatively invariant under the action of  $H$  with modulus  $q_H^{-1/2}$ .*

(ii) *The smooth vector-valued function (or smooth form)  $\pi_\sigma(\omega)\beta_\xi$  is given by*

$$\pi_\sigma(\omega)\beta_\xi(g) = \int_{H \cap B \backslash B} \omega_H(bg)\sigma(b)^{-1}\xi [q_B^{-1/2}(bg)q_H^{1/2}(bg)q_{H \cap B, B}^{-1}(b)] db,$$

$$\omega \in \mathcal{D}(G).$$

(iii) The matrix coefficient  $\langle \pi_\sigma(\omega)\beta_\xi, \beta_\xi \rangle$  of  $\beta_\xi$  is given by

$$\int_{H \cap B \setminus H} \int_{H \cap B \setminus B} \omega_H(bh) \langle \xi, \sigma(b)\xi \rangle q_B^{-1/2}(b) q_H^{1/2}(h^{-1}bh) \times \\ \times q_{H \cap B, B}^{-1}(b) q_{H \cap B, H}^{-1}(h) db dh,$$

for  $\omega \in \mathcal{D}^+(G)$ .

Next we shall consider canonical subgroups between  $N$  and  $P$  without any  $M$  component. But to preserve the polynomial spectrum hypothesis, we shall require that  $M$  be compact. So in the next two subsections  $P = MAN$  will be a *minimal parabolic subgroup*.

**3a. Rossi-Vergne spaces.** In this subsection we shall consider  $H = AN$ . We start with the representation-theoretic decomposition of  $\tau = \tau_{AN} = \text{Ind}_{AN}^G 1$ . In fact

$$\begin{aligned} \tau &= \text{Ind}_{AN}^G 1 = \text{Ind}_{MAN}^G \text{Ind}_{AN}^{MAN} 1 \\ &= \text{Ind}_{MAN}^G \sum_{\sigma \in \hat{M}}^{\oplus} (\dim \sigma) (\sigma \times 1 \times 1) \quad (\text{by the Peter-Weyl Theorem on } M) \\ &= \sum_{\sigma \in \hat{M}}^{\oplus} (\dim \sigma) \text{Ind}_{MAN}^G \sigma \times 1 \times 1. \end{aligned}$$

For the typical  $\sigma$ , the representation  $\pi^\sigma = \text{Ind}_{MAN}^G \sigma \times 1 \times 1$  will be irreducible; but not for all  $\sigma$ . A perfect example is supplied by  $G = SL(2, \mathbb{R})$ .  $M$  contains two elements, and for  $\sigma = 1$ ,  $\pi^\sigma$  is irreducible, but for  $\sigma \neq 1$ , it is not. We shall ignore the reducibility of the decomposable representations  $\pi^\sigma$ . We give our Plancherel formula in terms of the principal series representations  $\pi^\sigma$ . Further decomposition into irreducibles is determined by the  $R$ -groups [3, ch. XIV.9], and we leave that (fairly sophisticated) portion of the semisimple theory to the interested reader.

There is still the matter of equivalences — which indeed may occur. In fact,  $\pi^\sigma \cong \pi^{\sigma'}$  if and only if  $\exists w \in W \ni w \cdot \sigma \cong \sigma'$ . Let us assume that a cross-section for  $\hat{M}/W$  in  $\hat{M}$  has been selected. We abuse notation by purposefully confusing  $\hat{M}/W$  with the cross-section. Denote  $W_\sigma = \{w \in W : w \cdot \sigma \cong \sigma\}$ ,  $\sigma \in \hat{M}/W$ . Then

$$(3.2) \quad \tau_{AN} = \sum_{\hat{M}/W}^{\oplus} (\dim \sigma) \#(W_\sigma) \pi^\sigma$$

gives us a direct sum decomposition into inequivalent representations of  $G$  with multiplicity counted. Now, bearing in mind that some of the  $\pi^\sigma$  may break up —

but that such a break up is always finite and multiplicity-free (the  $R$ -groups are finite abelian) — formula (3.2) does specify the multiplicity, if not the precise spectrum.

Let us describe the  $q$  functions in this scenario. We have:  $H = AN, B = MAN, H \cap B = H$ . Therefore  $H \cap B \setminus B = M$  (with its normalized Haar measure). Clearly  $q_H(g) = q_B(g) = e^{2\rho(\log a)}$ , if  $g = ank$ . Furthermore,  $q_{H \cap B, H} = 1$ , and  $q_{H \cap B, B} = q_{AN, MAN} = 1$  also. In particular, conditions (I)–(III) hold here.

Now for any fixed  $\sigma$ , let  $\xi_1^\sigma, \dots, \xi_{\dim \sigma}^\sigma$  be an orthonormal basis in  $\mathcal{H}_\sigma$ . Then from Theorem 3.1, the Penney distributions, smooth form and matrix coefficients are:

$$\begin{aligned} \beta_{\xi_j^\sigma} &: f \rightarrow \langle \xi_j^\sigma, f(e) \rangle \\ \pi^\sigma(\omega)\beta_{\xi_j^\sigma}(g) &= \int_M \omega_{AN}(mg)\sigma(m)^{-1}\xi_j^\sigma dm \\ \langle \pi^\sigma(\omega)\beta_{\xi_j^\sigma}, \beta_{\xi_j^\sigma} \rangle &= \int_M \omega_{AN}(m)\langle \xi_j^\sigma, \sigma(m)\xi_j^\sigma \rangle dm. \end{aligned}$$

Then, by the (matrix coefficient version of the) Peter-Weyl Theorem on  $M$ , we have

$$\begin{aligned} \sum_{\sigma \in \hat{M}} \sum_{j=1}^{\dim \sigma} \langle \pi^\sigma(\omega)\beta_{\xi_j^\sigma}, \beta_{\xi_j^\sigma} \rangle &= \sum_{\sigma \in \hat{M}} \sum_{j=1}^{\dim \sigma} \int_M \omega_{AN}(m)\langle \xi_j^\sigma, \sigma(m)\xi_j^\sigma \rangle dm \\ &= \omega_{AN}(e). \end{aligned}$$

Hence we have proven the following.

**THEOREM 3.2.** *We have*

$$\begin{aligned} \langle \tau_{AN}(\omega)\alpha_\tau, \alpha_\tau \rangle &= \sum_{\sigma \in \hat{M}} \sum_{j=1}^{\dim \sigma} \langle \pi^\sigma(\omega)\beta_{\xi_j^\sigma}, \beta_{\xi_j^\sigma} \rangle \\ &= \sum_{\sigma \in \hat{M}/W} \#(W_\sigma) \sum_{j=1}^{\dim \sigma} \langle \pi^\sigma(\omega)\beta_{\xi_j^\sigma}, \beta_{\xi_j^\sigma} \rangle, \quad \omega \in \mathcal{D}(G). \end{aligned}$$

Moreover, the intertwining operator is  $\Omega(g) \rightarrow \{\Omega_\sigma^j(g)\}$ , where

$$\Omega_\sigma^j(g) = \int_M \Omega(mg)\sigma(m)^{-1}\xi_j^\sigma dm, \quad \Omega \in \mathcal{D}(G, AN).$$

**3b. Whittaker Spaces.** The final canonical subgroup we investigate is  $H = N$ . The abstract Plancherel theory for the Whittaker space  $G/N$  is contained in the following computation.

$$\tau_N = \text{Ind}_N^G 1 = \text{Ind}_{MAN}^G \text{Ind}_N^{MAN} 1$$

$$\begin{aligned}
 &= \text{Ind}_{MAN}^G \sum_{\sigma \in \hat{M}}^{\oplus} \dim \sigma \int_{\hat{A}}^{\oplus} \sigma \times \lambda \times 1 \, d\lambda \\
 &= \sum_{\sigma \in \hat{M}}^{\oplus} \dim \sigma \int_{\hat{A}}^{\oplus} \pi_{\lambda}^{\sigma} \, d\lambda,
 \end{aligned}$$

where  $\pi_{\lambda}^{\sigma} = \text{Ind}_{MAN}^G \sigma \times \lambda \times 1$  is a general principal series representation. Now we are back in the situation of generic irreducibility. If we restrict  $\lambda$  to lie in  $\hat{A}'$ , it does not matter if  $\sigma$  is fixed by any elements in the Weyl group — the representation  $\pi_{\lambda}^{\sigma}$  will still be irreducible. Therefore, we have

$$\tau_N = \text{Ind}_N^G 1 = \int_{\hat{A}'/W}^{\oplus} \sum_{\sigma \in \hat{M}} (\dim \sigma) \#(W) \pi_{\lambda}^{\sigma} \, d\lambda.$$

The next order of business is the  $q$  functions. We have  $H = N$ ,  $B = MAN$ ,  $H \cap B = H$ . Then clearly

$$q_{H,G} = q_H = 1 \quad \text{and} \quad q_{H \cap B, H} = 1, \quad \text{on } G \text{ and } H, \text{ respectively.}$$

We choose the other two  $q$  functions as in Section 2a, namely

$$\begin{aligned}
 q_{B,G} &= e^{2\rho(\log a)}, \quad \text{for } g = ank, \quad \text{and} \\
 q_{H,B}(man) &= e^{-2\rho(\log a)}.
 \end{aligned}$$

One verifies readily that  $q_{H,B}^{-1}(b)db = dmda$ . Conditions (I)–(III) are satisfied.

We select the vectors  $\xi_j^{\sigma}$  as in Section 3a. Then the Penney distributions become

$$\beta_{\lambda, \xi_j^{\sigma}} : f \rightarrow \langle \xi_j^{\sigma}, f(e) \rangle.$$

The smooth form and the matrix coefficients are computed to be:

$$\begin{aligned}
 \pi_{\lambda}^{\sigma} \beta_{\lambda, \xi_j^{\sigma}}(g) &= \int_{MA} \omega_N(mag) \bar{\lambda}(a) \sigma(m)^{-1} \xi_j^{\sigma} [q_B^{-1/2}(mag)] \, dmda; \\
 \langle \pi_{\lambda}^{\sigma} \beta_{\lambda, \xi_j^{\sigma}}, \beta_{\lambda, \xi_j^{\sigma}} \rangle &= \int_{MA} \omega_N(ma) \bar{\lambda}(a) \langle \xi_j^{\sigma}, \sigma(m) \xi_j^{\sigma} \rangle e^{-\rho(\log a)} \, dmda.
 \end{aligned}$$

If we sum over  $j$  and  $\sigma$ , integrate over  $\hat{A}'/W$ , and use the technique that occurs at the end of the proof of Theorem 2.2, we obtain

**THEOREM 3.3.**

$$\langle \tau_N(\omega) \alpha_{\tau}, \alpha_{\tau} \rangle = \int_{\hat{A}'/W} \#(W) \sum_{\sigma \in \hat{M}}^{\dim \sigma} \sum_{j=1} \langle \pi_{\lambda}^{\sigma}(\omega) \beta_{\lambda, \xi_j^{\sigma}}, \beta_{\lambda, \xi_j^{\sigma}} \rangle \, d\lambda.$$

We leave it to the reader to write down the intertwining operator.

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