

# ANGULAR AND TANGENTIAL LIMITS OF BLASCHKE PRODUCTS AND THEIR SUCCESSIVE DERIVATIVES

G. T. CARGO

**1. Introduction.** In this paper, we shall be concerned with bounded, holomorphic functions of the form

$$B(z; \{a_n\}) = \prod_{n=1}^{\infty} b(z; a_n)$$

where

$$(1) \quad b(z; a) = \frac{|a|}{a} \frac{a - z}{1 - \bar{a}z},$$

$$(2) \quad 0 < |a_n| < 1 \quad (n = 1, 2, \dots),$$

and

$$(3) \quad \sum_{n=1}^{\infty} (1 - |a_n|) < \infty.$$

$B(z; \{a_n\})$  is called a *Blaschke product*, and any sequence  $\{a_n\}$  which satisfies (2) and (3) is called a *Blaschke sequence*. For a general discussion of the properties of Blaschke products, see (18, pp. 271–285) or (14, pp. 49–52).

According to a theorem due to Riesz (15), a Blaschke product has radial limits of modulus one almost everywhere on  $C = \{z: |z| = 1\}$ . Moreover, it is common knowledge that, if a Blaschke product has a radial limit at a point, then it also has an angular limit at the point (see 14, p. 19 and 6, p. 457). For this reason, it seems natural to go one step farther and investigate the existence of tangential limits.

First, let us carefully define the notion of a tangential limit. Let

$$R(m, \theta, \gamma) = \{z: 1 - |z| \geq m |\arg z - \theta|^\gamma; 0 < |z| < 1\}$$

where by  $|\arg z - \theta|$  we mean the length of the shorter one of the two arcs on  $C$  joining  $z/|z|$  and  $e^{i\theta}$ . If  $f(z)$  is a function defined on  $D = \{z: |z| < 1\}$ , we say that  $f(z)$  has a  $T_\gamma$ -limit at  $e^{i\theta}$  provided there exists a number  $L$  such that, for each  $m (m > 0)$ ,  $f(z) \rightarrow L$  as  $z \rightarrow e^{i\theta}$ ,  $z$  being confined to  $R(m, \theta, \gamma)$ .

We observe that a  $T_1$ -limit exists if and only if the classical angular limit exists. For this reason, a Blaschke product has a  $T_1$ -limit almost everywhere. However, when  $\gamma > 1$ , a different situation prevails. Indeed, Lohwater and Piranian (11) have shown that, corresponding to each  $\gamma (\gamma > 1)$ , there exists a Blaschke product which has no  $T_\gamma$ -limit whatsoever on  $C$ . Also, in this connection, see (17) or (18, p. 280).

---

Received January 3, 1961.

One is thus led to seek sufficient conditions for the existence of  $T_\gamma$ -limits. Frostman (8) has proved a global theorem dealing with radial limits which serves as a prototype: *If  $\{a_n\}$  is a Blaschke sequence and*

$$(4) \quad \sum_{n=1}^{\infty} (1 - |a_n|)^\alpha < \infty \quad (0 < \alpha < 1)$$

*for some fixed  $\alpha$ , then there is a set  $E_1$  whose capacity of order  $\alpha$  is zero such that  $B(z; \{a_n\})$  and all its subproducts have radial limits of modulus one everywhere on  $C - E_1$ . The function*

$$B(z; \{a_{n_k}\})$$

*is called a subproduct of  $B(z; \{a_n\})$  if*

$$\{a_{n_k}\}$$

*is a subsequence of  $\{a_n\}$ . (The existence of radial limits for all subproducts of a Blaschke product at a point on  $C$  entails much more than the mere existence of a radial limit for the Blaschke product. For example, it implies that the radial limits are all of modulus one and that the radius terminating at the point is carried onto curves of finite length by the Blaschke product and all its subproducts (see 2).)*

Recently Kinney (9) has shown that, *if (4) holds, then  $B(z; \{a_n\})$  has  $T_\gamma$ -limits ( $\gamma > 1$ ) of modulus one everywhere off a set  $I_\gamma$  whose capacity of order  $\alpha\gamma/(1 - \alpha)$  is zero. (Actually, Kinney proved that the Hausdorff-Besicovitch dimension of  $I_\gamma$  does not exceed  $\alpha\gamma/(1 - \alpha)$ , which is a slightly weaker conclusion. Also, his definition of tangential limit being somewhat different, he only established convergence on  $R(m, \theta, \gamma)$  for  $m = 1$ .)*

In § 3 of this paper, we sharpen Kinney's theorem in several respects, Frostman's theorem appearing as a special case; and then we prove that the sharpened result is the best possible.

Our approach is somewhat different from that of Kinney in that we first study tangential limits locally (§ 2) and then use this information to handle the global situation.

Finally, in § 4, we prove a round of analogous theorems for the successive derivatives of a Blaschke product. Certain known theorems dealing with the Carathéodory angular derivative of a Blaschke product appear as special cases.

**2. Local boundary behaviour.** A well-known theorem of Frostman (8) is a special case (when  $\gamma = 1$ ) of the following theorem:

**THEOREM 1.** *Let  $\{a_n\}$  be a Blaschke sequence such that*

$$(5) \quad \sum_{n=1}^{\infty} (1 - |a_n|)/|e^{i\theta} - a_n|^\gamma < \infty$$

*for some fixed number  $\gamma (\gamma \geq 1)$ . Then  $B(z; \{a_n\})$  and all its subproducts have  $T_\gamma$ -limits of modulus one at  $e^{i\theta}$ .*

*Preliminary remarks.* The core of the proof consists in proving that  $\prod b(z; a_n)$  converges uniformly on  $R(m, \theta, \gamma)$  for every positive number  $m$ . Since

$$B(z; \{a_n e^{-i\theta}\}) = B(z e^{i\theta}; \{a_n\})$$

and

$$(1 - |a_n e^{-i\theta}|) / |1 - a_n e^{-i\theta}|^\gamma = (1 - |a_n|) / |e^{i\theta} - a_n|^\gamma,$$

it will suffice to prove the theorem for the special case  $\theta = 0$ .

*Proof.* By virtue of (5), it is clear that at most a finite number of the zeros  $a_n$  are on the radius terminating at  $z = 1$ ; hence, one can assume without loss of generality that no zeros lie on the radius.

Then, using standard techniques, one can prove that

$$(6) \quad \sum_{n=1}^{\infty} (1 - |a_n|) / |\arg a_n|^\gamma < \infty.$$

We shall merely sketch the proof of (6). Suppose that

$$\sum_{n=1}^{\infty} (1 - |a_n|) / |\arg a_n|^\gamma = \infty,$$

and let us prove that

$$\sum_{n=1}^{\infty} (1 - |a_n|) / |1 - a_n|^\gamma = \infty.$$

Let  $K = \{z: |z - 1| < 2^{-1/2}\}$ . Then, because of (3), it is clear that

$$\sum_{a_n \in K} (1 - |a_n|) / |\arg a_n|^\gamma = \infty.$$

Consider the angle of measure  $\pi/2$  with its vertex at  $z = 1$  which is bisected by the radius terminating at  $z = 1$ . If infinitely many of the  $a_n$  in  $K$  are interior to this angle, then a simple calculation shows that, for such  $a_n$ ,  $1 - |a_n| > |1 - a_n|^\gamma \cdot 2^{-3/2}$ . If only a finite number of  $a_n$  in  $K$  are in the angle, consider just those  $a_n$  which are in  $K$  and outside the angle; and let  $p_n$  be the perpendicular distance from  $z = 1$  to the radius through  $a_n$ . Clearly,  $p_n < |\arg a_n|$  and  $p_n / |1 - a_n| > \cos(\pi/4)$ . Therefore,  $|1 - a_n| < p_n \cdot 2^{1/2} < |\arg a_n| 2^{1/2}$ , and  $|1 - a_n|^\gamma < |\arg a_n|^\gamma \cdot 2^{\gamma/2}$ . Finally,

$$\sum_{a_n \in K} (1 - |a_n|) / |1 - a_n|^\gamma = \infty.$$

Next, using a theorem due to Dini (see 10, p. 293), we select a sequence  $\{w_n\}$  ( $0 < w_n \leq 1; w_n \rightarrow 0$ ) in such a way that

$$(7) \quad \sum_{n=1}^{\infty} (1 - |a_n|) / w_n |\arg a_n|^\gamma < \infty;$$

and we set

$$S_n = \{z: |z - a_n| < w_n |\arg a_n|^\gamma\} \quad (n = 1, 2, \dots).$$

Let us prove that, if  $k$  is any fixed positive integer, then

$$\prod_{n=1}^{\infty} b(z; a_n)$$

converges uniformly on

$$D - \bigcup_{j=k}^{\infty} S_j.$$

Let  $b(z; a) = 1 + c(z; a)$ . Then, by (1),

$$c(z; a_n) = \frac{(|a_n| - 1)(a_n + |a_n|z)}{a_n(1 - \bar{a}_nz)}$$

and, consequently, for  $z \in D - S_n$ , we have

$$\begin{aligned} |c(z; a_n)| &\leq \frac{(1 + |z|)(1 - |a_n|)}{|1 - \bar{a}_nz|} \\ &< \frac{2(1 - |a_n|)}{|a_n - z|} \left| \frac{a_n - z}{1 - \bar{a}_nz} \right| \\ &< \frac{2(1 - |a_n|)}{|a_n - z|} \\ &\leq \frac{2(1 - |a_n|)}{w_n |\arg a_n|^\gamma}. \end{aligned}$$

This inequality, in conjunction with (7) and the fact that  $|c(z; a_n)| < 2$  ( $n = 1, 2, \dots, k - 1$ ), implies that

$$\prod_{n=1}^{\infty} [1 + c(z; a_n)]$$

converges uniformly on

$$D - \bigcup_{j=k}^{\infty} S_j$$

(see 16, p. 291).

Next, we want to prove that, for each  $m(m > 0)$ ,  $R(m, 0, \gamma)$  meets at most a finite number of the disks  $S_n$ . Given any  $m(m > 0)$ , it will suffice to prove that, for  $j$  sufficiently large,  $z_0 \in S_j \cap D$  entails  $1 - |z_0| < m |\arg z_0|^\gamma$ .

Clearly,

$$(8) \quad 1 - |z_0| < 1 - |a_j| + w_j |\arg a_j|^\gamma.$$

Also, for  $j$  sufficiently large,

$$|\arg z_0 - \arg a_j| < \arcsin \{w_j |\arg a_j|^\gamma / |a_j|\} < \pi w_j |\arg a_j|^\gamma.$$

Consequently, for  $j$  sufficiently large,

$$m |\arg z_0|^\gamma \geq m (|\arg a_j| - |\arg z_0 - \arg a_j|)^\gamma > m (|\arg a_j| - \pi w_j |\arg a_j|^\gamma)^\gamma.$$

Hence, if  $m|\arg a_j|^\gamma\{1 - \pi w_j|\arg a_j|^{\gamma-1}\}^\gamma \geq 1 - |a_j| + w_j|\arg a_j|^\gamma$  for  $j$  sufficiently large, then, by virtue of (8), we shall be finished. We might just as well prove that

$$m\{1 - \pi w_j|\arg a_j|^{\gamma-1}\}^\gamma \geq (1 - |a_j|)/|\arg a_j|^\gamma + w_j$$

for  $j$  sufficiently large. Condition (6) implies that  $(1 - |a_j|)/|\arg a_j|^\gamma \rightarrow 0$  as  $j \rightarrow \infty$ . Also  $\gamma - 1 \geq 0$ ,  $|\arg a_j| \leq \pi$ , and  $w_j \rightarrow 0$  as  $j \rightarrow \infty$ ; the desired conclusion follows at once.

Now, we are ready to prove that  $B(z; \{a_n\})$  has a  $T_\gamma$ -limit of modulus one at  $z = 1$ . Let  $m (m > 0)$  be fixed. Since  $R(m, 0, \gamma)$  meets at most a finite number of disks  $S_n$ ,

$$D - \bigcup_{n=k}^\infty S_n \supset R(m, 0, \gamma)$$

for some integer  $k$ ; and, hence,

$$\prod_{n=1}^\infty b(z; a_n)$$

converges uniformly on  $R(m, 0, \gamma)$ .

Since

$$\prod_{n=1}^N b(z; a_n)$$

( $N$  any fixed positive integer) is a rational function with only a finite number of poles, all of which are outside of  $D \cup C$ ,

$$\prod_{n=1}^N b(z; a_n) \rightarrow \prod_{n=1}^N b(1; a_n)$$

as  $z \rightarrow 1$  on  $R(m, 0, \gamma)$ . Consequently, by virtue of the uniform convergence (see 12, p. 42 or 13, p. 551),

$$B(z; \{a_n\}) = \lim_{N \rightarrow \infty} \prod_{n=1}^N b(z; a_n) \rightarrow \lim_{N \rightarrow \infty} \prod_{n=1}^N b(1; a_n) = B(1; \{a_n\})$$

as  $z \rightarrow 1$  on  $R(m, 0, \gamma)$ . Finally, we observe that the limit is of modulus one since  $|b(1; a_n)| = 1$  ( $n = 1, 2, \dots$ ).

That the same conclusion holds for any subproduct is obvious.

**3. Global boundary behaviour.** We next prove that, if a Blaschke sequence is sparsely distributed in the sense that (4) holds, then the associated Blaschke product has  $T_\gamma$ -limits ( $1 \leq \gamma < 1/\alpha$ ) almost everywhere.

**THEOREM 2.** *Let  $\{a_n\}$  be a Blaschke sequence such that*

$$\sum_{n=1}^\infty (1 - |a_n|)^\alpha < \infty$$

*for some fixed  $\alpha (0 < \alpha < 1)$ . Then, for each  $\gamma (1 \leq \gamma < 1/\alpha)$ ,*

$$E_\gamma = \{e^{i\theta} : \sum_{n=1}^\infty (1 - |a_n|)/|e^{i\theta} - a_n|^\gamma = \infty\}$$

has zero capacity of order  $\alpha\gamma$ .

*Preliminary remarks.* Before commencing the proof, let us recall the definitions of capacity and Hausdorff outer measure.

Let  $U$  be a set in the complex plane, and let  $\alpha$  be a positive number. Then the  $\alpha$ -dimensional Hausdorff outer measure of  $U$  is defined to be the number

$$h_\alpha(U) = \sup_{\epsilon > 0} \inf \left\{ \sum_{i=1}^\infty (d(U_i))^\alpha : U \subset \bigcup_{i=1}^\infty U_i; d(U_i) < \epsilon, i = 1, 2, \dots \right\},$$

where  $d(U_i)$  denotes the diameter of  $U_i$ .

Let  $U$  be a bounded Borel set in the complex plane, and let  $\alpha$  be a positive number. If there exists a positive mass distribution  $\mu$  over  $U$  of total mass one such that

$$\int_U |z - z_0|^{-\alpha} d\mu(z)$$

is bounded away from infinity, the set  $U$  is said to be of *positive capacity of order  $\alpha$* ; otherwise, it is said to be of *capacity zero of order  $\alpha$* , and we write  $c_\alpha(U) = 0$ .

There is a strong interrelation between these two concepts. For example, if  $U$  (a Borel set) is bounded and  $h_\alpha(U) = 0$ , then  $c_\alpha(U) = 0$ . The converse is not true; but, if  $U$  is compact (or merely bounded) and  $h_\alpha(U) > 0$ , then  $c_\beta(U) > 0$  for all  $\beta < \alpha$ .

We shall need one more fact: If  $\{U_n\}$  is a countable collection of Borel sets for which  $c_\alpha(U_n) = 0$  ( $n = 1, 2, \dots$ ), then

$$c_\alpha\left(\bigcup_{n=1}^\infty U_n\right) = 0.$$

For detailed discussions of these concepts, see (8; 12, pp. 133–135; 7; and 3).

*Proof.* Hold  $\gamma$  ( $\gamma \geq 1$ ) fixed; and, for each positive integer  $n$ , let  $O_n$  be an open arc on  $C$  with centre at  $a_n/|a_n|$  and of length  $(1 - |a_n|)^{1/\gamma}$ . Let

$$G_n = \bigcup_{k=n}^\infty O_k$$

and  $F_n = C - G_n$ . Then clearly

$$\bigcup_{n=1}^\infty F_n$$

and

$$\bigcap_{n=1}^\infty G_n$$

are disjoint sets whose union is  $\mathcal{C}$ . Let  $E_\gamma \cap F_n = f_n$  and

$$E_\gamma \cap \left( \bigcap_{n=1}^\infty G_n \right) = G.$$

We shall prove that  $h_{\alpha\gamma}(G) = 0$  and  $c_{\alpha\gamma}(f_n) = 0$  ( $n = 1, 2, \dots$ ).

First, we observe that

$$h_{\alpha\gamma} \left( \bigcap_{n=1}^\infty G_n \right) = 0$$

since

$$\bigcup_{k=N}^\infty O_k$$

is a cover for

$$\bigcap_{n=1}^\infty G_n$$

for each  $N$  and

$$\lim_{N \rightarrow \infty} \sum_{k=N}^\infty \{ (1 - |a_k|)^{1/\gamma} \}^{\alpha\gamma} = 0.$$

Hence,  $h_{\alpha\gamma}(G) = 0$ .

At this point, the reader should convince himself that  $E_\gamma$  is a Borel set. Since the argument is straightforward, we omit the details.

Next, let us examine  $F_n$  ( $n$  fixed). If  $e^{i\theta}$  is an arbitrary point of  $F_n$ , one has, for  $k \geq n$ ,  $|e^{i\theta} - a_k| > (1/\pi)(1 - |a_k|)^{1/\gamma}$ ; or  $(1 - |a_k|)/|e^{i\theta} - a_k|^\gamma < \pi^\gamma$ . Suppose that  $c_{\alpha\gamma}(f_n) > 0$ . Then, there exists a positive mass distribution  $\mu(\theta)$  over  $f_n$  such that

$$\int_{f_n} |e^{i\theta} - z|^{-\alpha\gamma} d\mu(\theta) < M < \infty$$

for all  $z$  in the plane.

In particular, for  $k \geq n$ ,

$$\begin{aligned} \int_{f_n} \frac{1 - |a_k|}{|e^{i\theta} - a_k|^\gamma} d\mu(\theta) &= \int_{f_n} (1 - |a_k|)^\alpha \left( \frac{1 - |a_k|}{|e^{i\theta} - a_k|^\gamma} \right)^{1-\alpha} \cdot \frac{d\mu(\theta)}{|e^{i\theta} - a_k|^{\alpha\gamma}} \\ &< \int_{f_n} (1 - |a_k|)^\alpha \pi^{\gamma(1-\alpha)} \cdot \frac{d\mu(\theta)}{|e^{i\theta} - a_k|^{\alpha\gamma}} \\ &< (1 - |a_k|)^\alpha \pi^{\gamma(1-\alpha)} \cdot M. \end{aligned}$$

Therefore,

$$\begin{aligned} \int_{f_n} \left\{ \sum_{k=n}^\infty (1 - |a_k|)/|e^{i\theta} - a_k|^\gamma \right\} d\mu(\theta) \\ &= \sum_{k=n}^\infty \int_{f_n} \{ (1 - |a_k|)/|e^{i\theta} - a_k|^\gamma \} d\mu(\theta) \\ &< \sum_{k=n}^\infty (1 - |a_k|)^\alpha \pi^{\gamma(1-\alpha)} \cdot M < \infty, \end{aligned}$$

which contradicts the assumption that

$$\sum_{k=1}^{\infty} (1 - |a_k|)/|e^{i\theta} - a_k|^\gamma = \infty$$

on  $f_n$ . Hence,  $c_{\alpha\gamma}(f_n) = 0$  ( $n = 1, 2, \dots$ ).

Finally,  $h_{\alpha\gamma}(G) = 0$  implies that  $c_{\alpha\gamma}(G) = 0$ ; moreover, since  $G$  and  $f_n$  ( $n = 1, 2, \dots$ ) are Borel sets, we conclude that  $c_{\alpha\gamma}(E_\gamma) = 0$ .

Combining Theorems 1 and 2, we get the following theorem:

**THEOREM 3.** *Let  $\{a_n\}$  be a Blaschke sequence such that*

$$\sum_{n=1}^{\infty} (1 - |a_n|)^\alpha < \infty$$

*for some fixed  $\alpha(0 < \alpha < 1)$ . Then, corresponding to each  $\gamma(1 \leq \gamma < 1/\alpha)$ , there is a set  $E_\gamma$  whose capacity of order  $\alpha\gamma$  is zero such that  $B(z; \{a_n\})$  and all its subproducts have  $T_\gamma$ -limits of modulus one at each point of  $C - E_\gamma$ .*

Next, let us prove that Theorem 3 is the best possible result in the following sense:

**THEOREM 4.** *Let  $\alpha$  be a fixed number ( $0 < \alpha < 1$ ), and let  $\{d_n\}$  be a monotone sequence such that  $0 < d_n < 1$  ( $n = 1, 2, \dots$ ),*

$$\sum_{n=1}^{\infty} d_n < \infty,$$

*and*

$$\sum_{n=1}^{\infty} d_n^\alpha = \infty.$$

*Then, given  $\gamma(1 \leq \gamma < 1/\alpha)$ , one can construct a Blaschke sequence  $\{a_n\}$  where  $1 - |a_n| = d_n$  and a set  $N_\gamma$  where  $c_\beta(N_\gamma) > 0$  for all  $\beta < \alpha\gamma$  in such a way that, at each point of  $N_\gamma$ ,  $B(z; \{a_n\})$  fails to have a non-zero  $T_\gamma$ -limit. Moreover, one can construct a subproduct of  $B(z; \{a_n\})$  which, at each point of  $N_\gamma$ , fails to have a  $T_\gamma$ -limit.*

*Proof.* We shall construct a perfect set  $N_\gamma$  and choose the arguments of the zeros  $a_k$  in such a way that each of the sets  $R(m, \theta, \gamma)$  (for  $e^{i\theta} \in N_\gamma$  and a certain positive number  $m$ ) contains infinitely many  $a_k$ .

Hold  $\gamma$  fixed, and let  $t_n = 2^{-n/\alpha\gamma} \cdot n^{-2/\alpha\gamma}$  ( $n = 1, 2, \dots$ ). Let  $A_1$  be an arbitrary closed arc of length  $t_1$  on  $C$ ; and, by removing an open arc from its centre, construct two subarcs  $A_2$  and  $A_3$ , each of length  $t_2$ . In a similar fashion, select from  $A_2$  and  $A_3$  4 arcs  $A_4, A_5, A_6, A_7$ , each of length  $t_3$ , and so on. Note that this construction is possible since  $2t_{n+1} < t_n$  ( $n = 1, 2, \dots$ ). Let  $N_\gamma = A_1 \cap (A_2 \cup A_3) \cap (A_4 \cup A_5 \cup A_6 \cup A_7) \cap \dots$  be the resulting generalized Cantor set.

Next, select  $\arg a_k$  in such a way that  $a_k$  is on the radius through the centre



of  $A_k$ . Then, consider any point  $e^{i\theta} \in A_k$ , where  $2^{n-1} \leq k < 2^n$ . We see that  $|e^{i\theta} - a_k| < d_k + t_n/2$ . Hence,

$$|e^{i\theta} - a_k|^\gamma < 2^\gamma [d_k^\gamma + t_n^\gamma \cdot 2^{-\gamma}],$$

or

$$\begin{aligned} \frac{d_k}{|e^{i\theta} - a_k|^\gamma} &> \frac{1}{2^\gamma [d_k^{\gamma-1} + t_n^\gamma \cdot 2^{-\gamma} \cdot d_k^{-1}]} \\ &\geq \frac{1}{2^\gamma [d_k^{\gamma-1} + t_n^\gamma \cdot 2^{-\gamma} \cdot d_{2^n}^{-1}]} \end{aligned}$$

Next, we notice that

$$\sum_{k=1}^\infty d_k^\alpha \leq \sum_{n=0}^\infty 2^n \cdot d_{2^n}^\alpha.$$

The first series diverges by hypothesis; consequently, the second must also diverge. It follows that

$$2^n \cdot d_{2^n}^\alpha > n^{-2}$$

for an infinite number of indices  $n$ , say,  $\{n_j\}$ , where  $n_1 < n_2 < n_3 < \dots$ . Then,

$$(d_{2^{n_j}})^\alpha > 2^{-n_j} \cdot n_j^{-2} \quad (j = 1, 2, \dots);$$

and, hence,

$$(d_{2^{n_j}})^{1/\gamma} > 2^{-n_j/\alpha\gamma} \cdot n_j^{-2/\alpha\gamma} = t_{n_j} \quad (j = 1, 2, \dots).$$

This yields

$$t_{n_j}^\gamma \cdot 2^{-\gamma} \cdot d_{2^{n_j}}^{-1} < 2^{-\gamma} \quad (j = 1, 2, \dots).$$

Now, suppose that  $e^{i\theta} \in N_\gamma$ . Then, for each  $n_j$  ( $j = 1, 2, \dots$ ),  $e^{i\theta}$  is in one of the arcs

$$A_k \quad (2^{n_j-1} \leq k < 2^{n_j}),$$

say,

$$A_{k_j}.$$

Consequently,

$$\begin{aligned} \frac{d_{k_j}}{|e^{i\theta} - a_{k_j}|^\gamma} &> \frac{1}{2^\gamma [d_{k_j}^{\gamma-1} + t_{n_j}^\gamma \cdot 2^{-\gamma} \cdot d_{2^{n_j}}^{-1}]} \\ &> \frac{1}{2^\gamma [d_{k_j}^{\gamma-1} + 2^{-\gamma}]} \\ &\geq 1/(1 + 2^\gamma) = s_\gamma > 0 \end{aligned}$$

for  $j = 1, 2, \dots$ .

Such being the case,

$$\begin{aligned} 1 - |a_{k_j}| &> s_\gamma |e^{i\theta} - a_{k_j}|^\gamma \\ &> (2/\pi)^\gamma \cdot s_\gamma |\arg a_{k_j} - \theta|^\gamma \quad (j = 1, 2, \dots). \end{aligned}$$

Consequently,

$$a_{kj} \in R(m, \theta, \gamma)$$

for  $j = 1, 2, \dots$  if  $m = (2/\pi)\gamma \cdot s_\gamma$ . Set

$$z_j = a_{kj} \quad (j = 1, 2, \dots).$$

Then,

$$\lim_{j \rightarrow \infty} B(z_j; \{a_n\}) = 0,$$

and  $z_j \rightarrow e^{i\theta}$  as  $j \rightarrow \infty$ ; thus, if  $B(z; \{a_n\})$  has a  $T_\gamma$ -limit at  $e^{i\theta}$ , the limit must be zero.

Finally, we observe that

$$h_\beta(N_\gamma) = \lim_{n \rightarrow \infty} 2^{n-1} t_n^\beta = \lim_{n \rightarrow \infty} 2^{-1} 2^{n(1-\beta/\alpha\gamma)} \cdot n^{-2\beta/\alpha\gamma} = \infty$$

if  $\beta < \alpha\gamma$ . This, combined with the fact that  $N_\gamma$  is closed, implies that  $c_\beta(N_\gamma) > 0$  for all  $\beta < \alpha\gamma$ .

This completes the proof of the first part of the theorem.

Next, we shall construct a subproduct of  $B(z; \{a_n\})$  which does not have a  $T_\gamma$ -limit on  $N_\gamma$ . Select a sequence  $\{c_n\}$  in such a way that  $0 < c_n < 1$  ( $n = 1, 2, \dots$ ) and

$$\prod_{n=1}^{\infty} c_n > 0.$$

We shall define a sequence of real numbers  $\{r_k\}$  ( $0 < r_k < r_{k+1} < 1$ ) and an increasing sequence of positive integers  $\{j_k\}$ .

Let

$$W(j) = \{a_m: 2^{n_{j-1}} \leq m < 2^{n_j}\}$$

where  $\{n_1, n_2, \dots\}$  is the set of indices defined above. For the sake of simplicity, set  $W(j_k) = W_k$  once  $j_k$  has been defined for a fixed integer  $k$ .

Let  $j_1 = 1$ . Then

$$B(z; W_1) = \prod_{W_1} b(z; a_n)$$

is a *finite* Blaschke product; and, hence, we can choose  $r_1$  ( $0 < r_1 < 1$ ) in such a way that

$$(9) \quad |B(z; W_1)| \geq c_1$$

for  $r_1 \leq |z| \leq 1$ .

Next, we want to select  $j_2$  in such a way that  $|B(z; W(j_2))| \geq c_2$  for  $|z| \leq r_1$ . It suffices to take  $j_2$  so large that

$$\sum_{W(j_2)} (1 - |a_m|) < \frac{1 - r_1}{1 + r_1} \log \left\{ 1 + \frac{1 - c_2}{2} \right\};$$

for, if  $|z| \leq r_1$ , we have

$$\begin{aligned}
 \left| \prod_{W_2} b(z; a_m) - 1 \right| &\leq \prod_{W_2} (1 + |c(z; a_m)|) - 1 \\
 &= \prod_{W_2} \left[ 1 + \left| \frac{(|a_m| - 1)(a_m + |a_m|z)}{a_m(1 - \bar{a}_m z)} \right| \right] - 1 \\
 &\leq \prod_{W_2} \left[ 1 + (1 - |a_m|) \frac{1 + r_1}{1 - r_1} \right] - 1 \\
 &< \exp \left[ \sum_{W_2} (1 - |a_m|) \frac{1 + r_1}{1 - r_1} \right] - 1 \\
 &< (1 - c_2)/2.
 \end{aligned}$$

By virtue of (3), such a  $j_2$  exists.

Next, choose  $r_2$  ( $r_1 < r_2 < 1$ ) in such a way that

$$(10) \quad |B(z; W_1 \cup W_2)| \geq c_2$$

for  $0 \leq |z| \leq 1$ .

Then, take  $j_3$  to be so large that

$$(11) \quad |B(z; W(j_3))| \geq c_3$$

for  $0 \leq |z| \leq r_2$ .

By induction, define  $\{r_m\}$  and  $\{j_m\}$  in such a way that

$$(12) \quad |B(z; W_m)| \geq c_m$$

for  $0 \leq |z| \leq r_{m-1}$  ( $m > 1$ ), and

$$(13) \quad |B(z; \bigcup_{k=1}^m W_k)| \geq c_m$$

for  $r_m \leq |z| \leq 1$  ( $m \geq 1$ ).

From (9), (11), and (12), we see that  $|B(z; W_1)| \geq c_1$ ,  $|B(z; W_2)| \geq c_2, \dots, |B(z; W_m)| \geq c_m, \dots$  for  $|z| = r_1$ . Consequently,

$$|B(z; \bigcup_{m=1}^{\infty} W_m)| \geq \prod_{m=1}^{\infty} c_m$$

for  $|z| = r_1$ .

Likewise, (10), (11), and (12) yield  $|B(z; W_1 \cup W_2)| \geq c_2$ ,  $|B(z; W_3)| \geq c_3, \dots$  for  $|z| = r_2$ ; and, therefore,

$$|B(z; \bigcup_{m=1}^{\infty} W_m)| \geq \prod_{m=2}^{\infty} c_m$$

for  $|z| = r_2$ .

In general, (13) and (12) yield

$$|B(z; \bigcup_{m=1}^{\infty} W_m)| \geq \prod_{m=n}^{\infty} c_m$$

for  $|z| = r_n$ ; and, therefore,

$$\limsup_{r \rightarrow 1} |B(re^{i\theta}; \bigcup_{m=1}^{\infty} W_m)| = 1$$

for all  $\theta$  ( $0 \leq \theta < 2\pi$ ). Let

$$W = \bigcup_{m=1}^{\infty} W_m.$$

Then, at each point of  $N_\gamma$ , the subproduct  $B(z; W)$  of  $B(z; \{a_n\})$  fails to have a  $T_\gamma$ -limit.

**4. Boundary behaviour of the successive derivatives.** Since the techniques used in this section are similar to those used in § 2, we shall merely sketch the proof of the following theorem:

**THEOREM 5.** *Let  $\{a_n\}$  be a Blaschke sequence such that*

$$\sum_{n=1}^{\infty} (1 - |a_n|)/|e^{i\theta} - a_n|^\gamma < \infty$$

for some fixed number  $\gamma$ . Then, if  $\gamma \geq 2k$  for some positive integer  $k$ , the  $k$ th derivative of  $B(z; \{a_n\})$ , as well as the  $k$ th derivative of any subproduct of  $B(z; \{a_n\})$ , has a  $T_{\gamma/2k}$ -limit at  $e^{i\theta}$ .

*Proof.* For typographical reasons, let  $B(z; \{a_n\}) = B(z) = B$ . First, we establish the theorem for  $k = 1$ . By Theorem 1,  $B$  has a  $T_\gamma$ -limit at  $e^{i\theta}$ ; hence, *a fortiori*,  $B$  has a  $T_{\gamma/2}$ -limit at  $e^{i\theta}$ .

A simple calculation yields

$$(14) \quad B' = B \cdot S$$

where

$$S = S(z) = \sum_{n=1}^{\infty} (1 - |a_n|^2)/(1 - \bar{a}_n z)(z - a_n).$$

As in § 2, we can assume without loss of generality that no zeros fall on the radius terminating at  $e^{i\theta}$ ; and, as before, we select  $\{w_n\}$  in such a way that (7) holds.

Let

$$Q_n = \{z: |z - a_n| < w_n^{1/2} |\arg a_n - \theta|^{7/2}\}.$$

Then, as in the proof of Theorem 1, one proves that  $R(m, \theta, \gamma/2)$  meets only a finite number of disks  $Q_n$ . Since the proof is not essentially different, we omit the details.

Next, let us prove that  $S(z)$  approaches a (finite) limit as  $z$  approaches  $e^{i\theta}$  on  $R(m, \theta, \gamma/2)$ . Select an integer  $n_0$  in such a way that

$$R(m, \theta, \gamma/2) \cap \bigcup_{n=n_0}^{\infty} Q_n$$

is empty, and decompose  $S$  as follows:  $S = S_F + S_R$  where

$$S_R = S_R(z) = \sum_{k=n_0}^{\infty} (1 - |a_k|^2)/(1 - \bar{a}_k z)(z - a_k).$$

Since  $S_F$  is a rational function with only a finite number of poles, none of which is at  $e^{i\theta}$ ,  $S_F(z) \rightarrow S_F(e^{i\theta})$  as  $z \rightarrow e^{i\theta}$  on  $R(m, \theta, \gamma/2)$ .

Next, consider  $S_R(z)$ . For  $z \in D - Q_k$ , we have

$$\begin{aligned} \left| \frac{1 - |a_k|^2}{(1 - \bar{a}_k z)(z - a_k)} \right| &< \frac{1 - |a_k|^2}{|z - a_k|^2} \\ &\leq \frac{2(1 - |a_k|)}{w_k |\arg a_k - \theta|^\gamma}. \end{aligned}$$

Consequently, by (7),  $S_R(z)$  converges uniformly on

$$D - \bigcup_{k=n_0}^{\infty} Q_k,$$

and, *a fortiori*, uniformly on  $R(m, \theta, \gamma/2)$ ; and  $S_R(z) \rightarrow S_R(e^{i\theta})$  (finite) as  $z \rightarrow e^{i\theta}$  on  $R(m, \theta, \gamma/2)$ . Thus

$$S(z) \rightarrow S_F(e^{i\theta}) + S_R(e^{i\theta}) = e^{-i\theta} \sum_{k=1}^{\infty} (1 - |a_k|^2)/|e^{i\theta} - a_k|^2$$

as  $z \rightarrow e^{i\theta}$  on  $R(m, \theta, \gamma/2)$ .

Finally, combining this result with (14), we see that

$$B'(z; \{a_n\}) \rightarrow B(e^{i\theta}; \{a_n\}) e^{-i\theta} \sum_{k=1}^{\infty} \frac{1 - |a_k|^2}{|e^{i\theta} - a_k|^2}$$

as  $z \rightarrow e^{i\theta}$  on  $R(m, \theta, \gamma/2)$ .

We are now ready to prove the general case. First, we make some preliminary observations.

Appealing to Weierstrass's theorem, one can easily prove that

$$S^{(k)}(z) = \sum_{n=1}^{\infty} \frac{d^k}{dz^k} \left\{ \frac{1 - |a_n|^2}{(1 - \bar{a}_n z)(z - a_n)} \right\} \quad (k = 1, 2, \dots)$$

provided  $z \neq a_n$  ( $n = 1, 2, \dots$ ) and  $|z| < 1$ .

Leibniz's rule, applied to (14), yields

$$(15) \quad B^{(t)} = \sum_{k=0}^{t-1} B^{(k)} S^{(t-k-1)} \binom{t-1}{k} \quad (z \neq a_n).$$

Our induction hypothesis is that, if (5) holds ( $\gamma \geq 2k$ ), then  $S^{(k-1)}$  and  $B^{(k)}$  have  $T_{\gamma/2k}$ -limits ( $k = 1, 2, \dots, t - 1$ ) at  $e^{i\theta}$ .

We now prove this assertion for  $k = t$ . Clearly, under this hypothesis,  $B^{(k)}$  ( $k = 0, 1, \dots, t - 1$ ) and  $S^{(k)}$  ( $k = 0, 1, \dots, t - 2$ ) have  $T_{\gamma/2,t}$ -limits at  $e^{i\theta}$ . In view of (15), once we prove that  $S^{(t-1)}$  has a  $T_{\gamma/2,t}$ -limit at  $e^{i\theta}$ , it will follow that  $B^{(t)}$  has a  $T_{\gamma/2,t}$ -limit at  $e^{i\theta}$ ; and, accordingly, our induction will be completed.

Let  $u_n = (1 - \bar{a}_n z)(z - a_n)$ . Then one can easily verify (see 4, p. 131) that

$$S^{(k)} = \sum_{n=1}^{\infty} (1 - |a_n|^2) \left[ k! \sum \frac{(-1)(-2) \dots (-\sigma)}{\alpha_1! \alpha_2! \dots \alpha_k!} u_n^{k-\sigma} \prod_{j=1}^k \left( \frac{u_n^{(j)}}{j!} \right)^{\alpha_j} \right] / u_n^{k+1}$$

where  $\alpha_1, \alpha_2, \dots, \alpha_k$  are non-negative integers,  $\alpha_1 + 2\alpha_2 + \dots + k\alpha_k = k$ , and  $\sigma = \alpha_1 + \dots + \alpha_k$ .

When  $k = t - 1$ , a simple calculation shows that the  $n$ th term in the above sum is bounded by  $\alpha(1 - |a_n|)|z - a_n|^{-2t}$  where  $\alpha$  is a constant which is independent of  $n$  and  $z$  provided  $|z| < 1$ . (Note that

$$u_n^{(j)}(z) \equiv 0 \quad (j \geq 3).$$

Next, we let

$$Q_n^{(t)} = \{z: |z - a_n| < w_n^{1/2t} |\arg a_n - \theta|^{\gamma/2t}\}$$

where  $w_n$  is defined as in § 2. Then, as in § 2, we prove that  $R(m, \theta, \gamma/2t)$  meets only a finite number of the disks  $Q_n^{(t)}$  ( $n = 1, 2, \dots$ ). Since the rest of the proof is not essentially different from the case when  $k = 1$ , we omit the details.

Combining Theorems 2 and 5, we get the following result:

**THEOREM 6.** *Let  $\{a_n\}$  be a Blaschke sequence such that*

$$\sum_{n=1}^{\infty} (1 - |a_n|)^\alpha < \infty$$

*for some fixed  $\alpha$  ( $0 < \alpha < 1$ ). Then, corresponding to each  $\gamma$  ( $2k \leq \gamma < 1/\alpha$ ;  $k$  some positive integer), there is a set  $E_\gamma$  whose capacity of order  $\alpha\gamma$  is zero such that the  $k$ th derivative of  $B(z; \{a_n\})$ , as well as the  $k$ th derivative of any sub-product of  $B(z; \{a_n\})$ , has a  $T_{\gamma/2k}$ -limit at each point of  $C - E_\gamma$ .*

**5. Conclusion.** Several concluding remarks seem to be in order.

First, it should be pointed out that, although, by Theorem 3, the exceptional set  $E_\gamma$  is metrically small, it need not be topologically small. (Clearly it may be topologically small, as was the exceptional set constructed in Theorem 4.) Indeed, one can easily construct a Blaschke sequence in such a way that (4) holds and the union of its elements has  $C$  as its derived set. Then, by known results from cluster set theory (see 1 and 5), one can infer that the radial cluster set of the associated Blaschke product is equal to  $D \cup C$  at each point of a residual set in  $C$ .

Second, there are good reasons for believing that the converses of Theorems 1 and 5 are valid. In fact, Frostman (8) has proved that converse of Theorem 1 for the case of radial limits. Since the converses were not essential for the purpose of this paper, and since it appeared that proofs would necessarily be tedious, we have intentionally relegated the question; we hope to settle the matter in a future paper.

Third, Theorem 5 assures us that, if (5) holds, then any rectifiable curve

in  $R(m, \theta, \gamma/2)$  is mapped onto a rectifiable curve by  $B(z; \{a_n\})$  or by any subproduct thereof. The present author conjectures that this result can be sharpened in the sense that  $R(m, \theta, \gamma/2)$  can be replaced by the larger set  $R(m, \theta, \gamma)$ . The author can prove this when  $\gamma = 1$  and the curves are line segments in  $D$  terminating at  $e^{i\theta}$ .

Fourth, in his thesis (3), Carleson singles out certain subclasses of the class of functions of bounded characteristic, among which are all Blaschke products satisfying (4), and proves that these functions have radial limits off certain sets of capacity zero of order  $\alpha$ . In other words, he extends the special case of Theorem 3 when  $\gamma = 1$  to a much larger class of functions. It seems natural to conjecture that Theorem 3 can likewise be extended.

## REFERENCES

1. F. Bagemihl and W. Seidel, *A general principle involving Baire category, with applications to function theory and other fields*, Proc. Nat. Acad. Sci. U.S.A., 39 (1953), 1068–1075.
2. G. T. Cargo, *The radial images of Blaschke products*, J. London Math. Soc., to appear.
3. L. Carleson, *On a class of meromorphic functions and its associated exceptional sets* (thesis, Uppsala, 1950).
4. T. Chaundy, *The differential calculus* (Oxford, 1953).
5. E. F. Collingwood, *On sets of maximum indetermination of analytic functions*, Math. Z., 67 (1957), 377–396.
6. P. Dienes, *The Taylor series* (Oxford, 1931).
7. O. Frostman, *Potential d'équilibre et capacité des ensembles avec quelques applications à la théorie des fonctions* (thèse, Lund, 1935).
8. ——— *Sur les produits de Blaschke*, Kungl. Fysiogr. Sällsk. i Lund Förh., Bd. 12, Nr. 15 (1942), 169–182.
9. J. R. Kinney, *Boundary behavior of Blaschke products in the unit circle*, Proc. Amer. Math. Soc., 12 (1961), 484–488.
10. K. Knopp, *Theory and application of infinite series* (2nd English ed.; New York, 1947).
11. A. J. Lohwater and G. Piranian, *The boundary behavior of functions analytic in a disk*, Ann. Acad. Sci. Fenn. Ser. A. I., 239 (1957).
12. M. E. Munroe, *Introduction to measure and integration* (Cambridge, Mass., 1953).
13. W. F. Osgood, *Lehrbuch der Funktionentheorie*, vol. 1 (Berlin-Leipzig: fünfte Auflage, 1928).
14. I. I. Priwalow, *Randeigenschaften analytischer Funktionen* (Berlin, 1956).
15. F. Riesz, *Ueber die Randwerte einer analytischen Funktion*, Math. Z., 18 (1923), 87–95.
16. S. Saks and A. Zygmund, *Analytic functions* (Warsaw, 1952).
17. A. Zygmund, *On a theorem of Littlewood*, Summa Brasil. Math. (1949), Fasc. 5.
18. ——— *Trigonometric series*, vol. 1 (2nd ed.; Cambridge, 1959).

*Syracuse University*