

## ON THE PROBABILITY OF GENERATING NILPOTENT SUBGROUPS IN A FINITE GROUP

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### Abstract

Let  $G$  be a finite group. We denote by  $\nu(G)$  the probability that two randomly chosen elements of  $G$  generate a nilpotent subgroup and by  $\text{Nil}_G(x)$  the set of elements  $y \in G$  such that  $\langle x, y \rangle$  is a nilpotent subgroup. A group  $G$  is called an  $\mathcal{N}$ -group if  $\text{Nil}_G(x)$  is a subgroup of  $G$  for all  $x \in G$ . We prove that if  $G$  is an  $\mathcal{N}$ -group with  $\nu(G) > \frac{1}{12}$ , then  $G$  is soluble. Also, we classify semisimple  $\mathcal{N}$ -groups with  $\nu(G) = \frac{1}{12}$ .

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### 1. Introduction

Throughout this paper  $G$  will be a finite group. Probability theory has made significant contributions to the study of finite groups. An early example concerns the commutativity degree of a finite group. The commutativity degree of  $G$ , denoted by  $\text{cp}(G)$ , is the probability that two randomly chosen elements of  $G$  commute: that is

$$\text{cp}(G) = \frac{|\{(x, y) \in G \times G : xy = yx\}|}{|G|^2}.$$

This concept was introduced in 1968 by Erdős and Turán [4]. They showed that  $\text{cp}(G) = k(G)/|G|$ , where  $k(G)$  is the number of conjugacy classes of  $G$ . A few years later, Gustafson [9] showed that  $\text{cp}(G) \leq \frac{5}{8}$  for any nonabelian finite group  $G$  and that equality holds when  $|G : Z(G)| = 4$ , where  $Z(G)$  is the centre of  $G$ .

In 1992, Dubose-Schmidt *et al.* [3] took the idea in another direction. For every positive integer  $i$ , they defined  $\nu_i(G)$  as the probability that two randomly chosen elements of a group  $G$  generate a subgroup of nilpotency class  $i$ . Fulman *et al.* [5] introduced  $\nu_0(G)$  as the proportion of ordered pairs of  $G$  that generate a nonnilpotent subgroup. Here, we denote by  $\nu(G)$  the probability that two randomly chosen elements

of  $G$  generate a nilpotent subgroup. Clearly,  $\nu(G) = 1 - \nu_0(G) = \sum_{i=1}^{\infty} \nu_i(G)$  and  $\text{cp}(G) \leq \nu(G)$ .

If  $H$  is an arbitrary subgroup of the group  $G$ , then  $\text{cp}(H) \geq \text{cp}(G)$  and this is a powerful tool to estimate  $\text{cp}(G)$ . But a similar result does not hold for  $\nu(G)$  (see Remark 2.5). So investigating the structure of groups  $G$  by  $\nu(G)$  is much harder than it is with  $\text{cp}(G)$ . In [8] Guralnick and Wilson proved that if  $G$  is a nonnilpotent group, then  $\nu(G) \leq \frac{1}{2}$ .

It is easy to see that  $\text{cp}(A_5) = \nu(A_5) = \frac{1}{12}$ , where  $A_5$  is the alternating group of degree five. J. D. Dixon observed that  $\text{cp}(G) \leq \frac{1}{12}$  for any finite nonabelian simple group  $G$ . This was submitted by Dixon as a problem in the *Canadian Mathematical Bulletin* 13 (1970), with his own solution appearing in 1973. Guralnick and Robinson [7] extended this result to nonsoluble groups and determined precisely for which nonsoluble groups the equality happens. So it is natural to pose the following question.

**QUESTION 1.1.** If  $G$  is a finite group with  $\nu(G) > \frac{1}{12}$ , then is  $G$  soluble?

We conjecture that the answer is, in general, positive. In this paper we will answer the question for certain groups. Let  $G$  be a finite group and  $x \in G$ . We denote by  $\text{Nil}_G(x)$  the subset of all elements  $y$  of  $G$  such that the subgroup  $\langle x, y \rangle$  is nilpotent. We notice that  $\text{Nil}_G(x)$  is not necessarily a subgroup of  $G$  (see the second paragraph of the proof of Theorem 1.3 in Section 2). It is easy to see that  $\nu(G) = \sum_{x \in G} |\text{Nil}_G(x)|/|G|^2$ . A group  $G$  is called an  $\mathcal{N}$ -group if  $\text{Nil}_G(x)$  is a subgroup of  $G$  for every  $x \in G$ . In the last section of the paper we give some examples of  $\mathcal{N}$ -groups. In Section 2 we give a positive answer to Question 1.1, when  $G$  is an  $\mathcal{N}$ -group.

**THEOREM 1.2.** Let  $G$  be an  $\mathcal{N}$ -group. If  $\nu(G) > \frac{1}{12}$ , then  $G$  is soluble.

We also determine the semisimple  $\mathcal{N}$ -groups with  $\nu(G) = \frac{1}{12}$ .

**THEOREM 1.3.** Let  $G$  be a semisimple  $\mathcal{N}$ -group with  $\nu(G) = \frac{1}{12}$ . Then  $G \cong A_5$ .

## 2. Proofs of the main results

To prove our main results, we need the following lemmas.

**LEMMA 2.1** [5, Proof of Lemma 6]. Let  $G$  be a group and  $N \triangleleft G$ . Then  $\nu(G) \leq \nu(G/N)$ .

**LEMMA 2.2** [5, Corollary 3]. Let  $G$  be a group. Then  $\nu(G) = \nu(G/Z^*(G))$ , where  $Z^*(G)$  is the hypercentre of  $G$ .

**LEMMA 2.3.** Let  $G$  and  $H$  be finite groups. Then  $\nu(G \times H) = \nu(G)\nu(H)$ .

**PROOF.** The proof is straightforward. □

**PROPOSITION 2.4.** Let  $G$  be a finite  $\mathcal{N}$ -group and  $H$  be a subgroup of  $G$ . Then  $H$  is an  $\mathcal{N}$ -group and  $\nu(G) \leq \nu(H)$ .

**PROOF.** The first assertion is clear. If  $g_1, g_2 \in \text{Nil}_G(x)$  for some  $x \in G$  such that  $g_1 \text{Nil}_H(x) \neq g_2 \text{Nil}_H(x)$ , then  $g_1 g_2^{-1} \notin \text{Nil}_H(x) = \text{Nil}_G(x) \cap H$  and so  $g_1 H \neq g_2 H$ . We conclude that  $|\text{Nil}_G(x) : \text{Nil}_H(x)| \leq |G : H|$ . Therefore  $|\text{Nil}_G(x)| \leq |G : H| |\text{Nil}_H(x)|$  and so  $\sum_{x \in G} |\text{Nil}_G(x)| \leq |G : H| \sum_{x \in G} |\text{Nil}_H(x)|$ . Also

$$\sum_{x \in G} |\text{Nil}_H(x)| = |\{(x, y) \in G : \langle x, y \rangle \text{ is nilpotent and } x \in H \text{ or } y \in H\}| = \sum_{y \in H} |\text{Nil}_G(y)|.$$

We conclude that  $\sum_{x \in G} |\text{Nil}_G(x)| \leq |G : H|^2 \sum_{x \in H} |\text{Nil}_H(x)|$  from which it follows that  $\nu(G) \leq \nu(H)$ . This completes the proof.  $\square$

**REMARK 2.5.** The second assertion of Proposition 2.4 is not true in general. For example, it can be checked by GAP [6] that  $\nu(\text{SmallGroup}(96, 229)) = \frac{7}{24}$ , but this group has a normal subgroup  $H$  of order 48 with  $\nu(H) = \frac{1}{6}$ .

In the three following lemmas, we compute  $\nu(G)$  for some simple groups.

**LEMMA 2.6.** *Let  $G$  be the Suzuki group  $\text{Sz}(q)$  where  $q = 2^{2n+1}$  for some  $n \geq 1$ . Then  $\nu(G) = (2q + 1)/q^2(q^2 + 1)(q - 1)$ .*

**PROOF.** It is well known that  $\text{Sz}(q)$  has a partition  $\Psi = \{A^x, B^x, C^x, F^x \mid x \in G\}$ , where  $A, B, C$  are cyclic groups of orders, say,  $a = q - 1, b = q - 2r + 1$  and  $c = q + 2r + 1$  respectively, and  $F$  is a Sylow 2-subgroup  $G$  of order  $q^2$ . Also, if  $T \in \Psi$ , then  $C_G(y) \leq T$  for each  $y \in T$  (see [10, Satz 3.10, Satz 3.11 and pages 192–193]). If there are  $1 \neq t_1 \in T_1, 1 \neq t_2 \in T_2$  and  $T_1 \neq T_2 \in \Psi$  such that the subgroup  $\langle t_1, t_2 \rangle$  is nilpotent, then  $Z(\langle t_1, t_2 \rangle) \subseteq C_G(t_1) \cap C_G(t_2) = 1$ , which is a contradiction. Therefore, for any two non-identity elements  $x, y$ , we see that  $\langle x, y \rangle$  is a nilpotent subgroup of  $G$  if and only if there is a  $T \in \Psi$  such that  $x, y \in T$ . Since the number of conjugates of  $A, B, C$  and  $F$  in  $G$  are respectively

$$m := \frac{q^2(q^2 + 1)}{2}, \quad l := \frac{q^2(q^2 + 1)(q - 1)}{4(q - 2^{n+1} + 1)}, \quad k := \frac{q^2(q^2 + 1)(q - 1)}{4(q + 2^{n+1} + 1)}, \quad t := q^2 + 1,$$

we find

$$\nu(G) = \frac{ma(a - 1) + lb(b - 1) + kc(c - 1) + tq^2(q^2 - 1) + |G|}{q^4(q^2 + 1)^2(q - 1)^2},$$

which gives the result.  $\square$

For any prime power  $q$ , we denote the general linear group, the projective general linear group, the special linear group and the projective special linear group of degree two over the finite field of size  $q$  by  $\text{GL}(2, q), \text{PGL}(2, q), \text{SL}(2, q)$  and  $\text{PSL}(2, q)$ , respectively.

**LEMMA 2.7.** *Let  $G = \text{GL}(2, q), \text{PGL}(2, q), \text{SL}(2, q)$ , or  $\text{PSL}(2, q)$ , where  $q = 2^m$  and  $m > 1$ . Then  $\nu(G) = 1/q(q - 1)$ .*

**PROOF.** By Lemma 2.2 and since  $\text{PGL}(2, 2^m)$  is isomorphic to  $\text{PSL}(2, 2^m)$ , it is enough to prove the result for the group  $G := \text{PSL}(2, 2^m)$ . It is well known that  $\text{PSL}(2, 2^m)$  has a partition  $\Pi = \{P^x, D^x, I^x \mid x \in G\}$ , where  $P$  is an elementary abelian Sylow 2-subgroup of order  $q$ , and  $D$  and  $I$  are cyclic subgroups of  $G$  of orders  $q - 1$  and  $q + 1$  respectively (see [10, pages 191–193]). If  $a$  is a nontrivial element of  $G$ , then it is easy to see that

$$\text{Nil}_G(a) = \begin{cases} P^x & \text{if } a \in P^x, \\ D^x & \text{if } a \in D^x, \\ I^x & \text{if } a \in I^x. \end{cases}$$

Therefore  $\langle x, y \rangle$  is a nilpotent subgroup of  $G$  if and only if there is  $X \in \Pi$  such that  $x, y \in X$ . Since the number of conjugates of  $P, D$  and  $I$  in  $G$  are  $a := q + 1$ ,  $b := \frac{1}{2}q(q + 1)$  and  $c := \frac{1}{2}q(q - 1)$  respectively,

$$\nu(\text{PSL}(2, q)) = \frac{aq(q - 1) + b(q - 1)(q - 2) + cq(q - 1) + q(q - 1)(q + 1)}{q^2(q - 1)^2(q + 1)^2} = \frac{1}{q(q - 1)}.$$

This completes the proof. □

**LEMMA 2.8.** *If  $q > 5$  is odd and  $16 \nmid q^2 - 1$ , then*

$$\nu(\text{SL}(2, q)) = \nu(\text{PSL}(2, q)) = \frac{q + 5}{q(q - 1)(q + 1)}.$$

**PROOF.** Since  $\nu(G) = \nu(G/Z^*(G))$ , by Lemma 2.2, it is sufficient to compute  $\nu(G)$  for  $G = \text{PSL}(2, q)$ . Assume  $q \equiv 1 \pmod{4}$ . It is well known that  $\Lambda = \{A^x, B^x, C^x \mid x \in G\}$  is a partition for  $G$ , where  $C$  is elementary abelian of order  $q$  with  $\gamma := q + 1$  conjugates in  $G$ ,  $A$  is a cyclic subgroup of order  $\frac{1}{2}(q - 1)$  with  $\alpha := q(q + 1)(q - 1)/2(q - 1)$  conjugates in  $G$ , and  $B$  is a cyclic subgroup of order  $\frac{1}{2}(q + 1)$  for which the number of conjugates in  $G$  is  $\beta := q(q + 1)(q - 1)/2(q + 1)$ . Now we claim that  $\text{Nil}_G(x) = C_G(x)$  for every  $x \in G$ .

Suppose, for a contradiction, that there is an element  $y \in \text{Nil}_G(x) \setminus C_G(x)$  for some  $x \in G$ . Since the subgroup  $\langle x, y \rangle$  is nilpotent, we see that  $\langle x, y \rangle \leq C_G(a)$  for some nonidentity element  $a \in Z(\langle x, y \rangle)$ . If  $a^2 \neq 1$ , then  $C_G(a) \in \Lambda$  and so  $y \in C_G(x)$ , which is a contradiction. Now assume that  $a^2 = 1$ . Then  $C_G(a)$  is isomorphic to the dihedral group of order  $q - 1$  and so  $\langle x, y \rangle$  is abelian or a 2-subgroup of  $G$ . On the other hand, since  $16 \nmid q^2 - 1$ , every Sylow subgroup of  $G$  is abelian (by [10, Satz 8.10]). Therefore  $\langle x, y \rangle$  is abelian, which is a contradiction. Consequently,  $\text{Nil}_G(x) = C_G(x)$  and it is sufficient to compute the number of centralisers of  $G$ . Now, for every  $1 \neq a \in G$ ,

$$C_G(a) = \begin{cases} N_G(\langle a \rangle) & \text{if } a^2 = 1 \text{ and } a \in A^x \text{ for some } x \in G, \\ A^x & \text{if } a^2 \neq 1 \text{ and } a \in A^x \text{ for some } x \in G, \\ B^x & \text{if } a \in B^x \text{ for some } x \in G, \\ C^x & \text{if } a \in C^x \text{ for some } x \in G. \end{cases}$$

So

$$\nu(G) = \frac{2\alpha|A| + \alpha\frac{1}{2}|A|(\frac{1}{2}|A| - 2) + \beta\frac{1}{2}|B|(\frac{1}{2}|B| - 1) + \gamma|C|(|C| - 1) + |G|}{|G|^2}$$

and this is equal to  $(q + 5)/q(q - 1)(q + 1)$ , as desired.

Now let  $q \equiv 3 \pmod{4}$ . Then  $\text{Nil}_G(x) = C_G(x)$  for all  $x \in G$ . If  $1 \neq a \in G$ , then

$$C_G(a) = \begin{cases} N_G(\langle a \rangle) & \text{if } a^2 = 1 \text{ and } a \in B^x \text{ for some } x \in G, \\ B^x & \text{if } a^2 \neq 1 \text{ and } a \in B^x \text{ for some } x \in G, \\ A^x & \text{if } a \in A^x \text{ for some } x \in G, \\ C^x & \text{if } a \in C^x \text{ for some } x \in G \end{cases}$$

and the desired result follows by a similar argument.  $\square$

Now we are ready to prove our main results.

**PROOF OF THEOREM 1.2.** Let  $G$  be a nonsoluble counterexample of minimal order. We can assume that  $G$  is a minimal nonsoluble group by Proposition 2.4. By [12],  $G$  contains a normal soluble subgroup  $N$  such that  $G/N$  is one of the following groups:

- PSL(3, 3);
- PSL(2,  $2^m$ );
- PSL(2,  $3^m$ ) or Sz( $2^m$ ) where  $m$  is an odd prime; or
- PSL(2,  $p$ ) where  $3 < p$  is prime.

First, let  $G/N \cong \text{PSL}(3, 3)$ . By using GAP [6] we have that  $\nu(\text{PSL}(3, 3)) = \frac{6631}{31539456}$  and since  $\nu(G) \leq \nu(G/N)$ , by Lemma 2.1, we reach a contradiction. Now assume  $G/N$  is isomorphic to one of the remaining groups. This is again impossible, by Lemmas 2.6–2.8, 2.1 and [1, Lemma 3.10]. Therefore  $G$  is soluble.

**PROOF OF THEOREM 1.3.** We claim that  $A_5$  is the only simple  $\mathcal{N}$ -group with  $\nu(G) = \frac{1}{12}$ . To prove this, suppose, on the contrary, that there exists a nonabelian finite simple  $\mathcal{N}$ -group of the least possible order such that  $\nu(G) = \frac{1}{12}$  and  $G$  is not isomorphic to  $A_5$ . Then every proper nonabelian simple section of  $G$  is isomorphic to  $A_5$ . It follows from [2, Proposition 4] that  $G$  is isomorphic to one of the following groups:

- PSL(2,  $2^m$ ) where  $m = 4$  or a prime;
- PSL(2,  $3^p$ ), PSL(2,  $5^p$ ), PSL(2,  $7^p$ ) where  $p$  is a prime;
- PSL(2,  $p$ ) with  $p \geq 7$ ;
- PSL(3,  $p$ ) with  $p = 3, 5$ , or  $7$ ;
- PSU(3,  $m$ ) with  $m = 3, 4$ , or  $7$ ; or
- Sz( $2^m$ ) where  $m$  is an odd prime.

By Lemmas 2.6–2.8 and [1, Lemma 3.10], we reach a contradiction for each of the projective special linear groups of degree two and the Suzuki groups. For each of the remaining groups, we have a contradiction by Proposition 2.4, after checking by GAP [6] that each has a subgroup isomorphic to  $S_4$  (the symmetric group of degree four), which is not an  $\mathcal{N}$ -group (since  $\text{Nil}_{S_4}((12)(34))$  is not a subgroup of  $S_4$ ). So the claim is proved.

Now let  $G$  be semisimple and  $\nu(G) = \frac{1}{12}$ . If  $R$  is the centreless  $CR$ -Radical of  $G$ , then  $R \cong R_1 \times \cdots \times R_k$ , where  $R_i$  is simple for each  $i$ . It follows from Lemma 2.3 and Proposition 2.4 that  $R \cong A_5$ , since  $\nu(A_5) = \frac{1}{12}$ . On the other hand, we know that  $G$  is embedded in  $\text{Aut}(R)$ . Since  $\text{Aut}(A_5) \cong S_5$ , we have either  $G \cong A_5$  or  $S_5$ . But  $S_5$  is not an  $\mathcal{N}$ -group, by Proposition 2.4. This completes the proof.

### 3. Examples of $\mathcal{N}$ -groups

It is clear that every nilpotent group is an  $\mathcal{N}$ -group. Now we present some examples of  $\mathcal{N}$ -groups which are not nilpotent. First, we determine Frobenius  $\mathcal{N}$ -groups.

**PROPOSITION 3.1.** *Let  $G$  be a Frobenius group with the Frobenius complement  $H$ . Then  $G$  is an  $\mathcal{N}$ -group if and only if  $H$  is an  $\mathcal{N}$ -group.*

**PROOF.** If  $G$  is an  $\mathcal{N}$ -group, then so is  $H$  by Proposition 2.4. Conversely, assume that  $H$  is an  $\mathcal{N}$ -group. It is well known that  $G$  has a partition as  $\Pi = \{K, x^{-1}Hx \mid x \in K\}$ . We claim that if  $1 \neq g \in G$ , then either  $\text{Nil}_G(g) \subseteq K$  or  $\text{Nil}_G(g) \subseteq w^{-1}Hw$  for some  $w \in K$ .

Suppose that  $1 \neq y \in \text{Nil}_G(g)$ . Then there is a nonidentity element  $t$  in the centre of  $\langle g, y \rangle$  by definition of  $\text{Nil}_G(g)$ . Now we consider two cases.

*Case 1.* Suppose that  $g \in K$ . If  $y \in x^{-1}Hx$  for some  $x \in K$ , then  $t \in C_G(g) \cap C_G(y) \subseteq K \cap x^{-1}Hx$ , which is a contradiction. It follows that  $y \in K$  and so  $\text{Nil}_G(g) = K$ , since  $K$  is nilpotent by [11, Theorem 10.5.6].

*Case 2.* Suppose that  $g \in w^{-1}Hw$  for some  $w \in K$ . If  $y \in K$  or  $x^{-1}Hx$  for some  $x \in K \setminus \{w\}$ , then  $t \in w^{-1}Hw \cap K$  or  $w^{-1}Hw \cap x^{-1}Hx$ , which is impossible. Therefore  $\text{Nil}_G(g) \subseteq w^{-1}Hw$ . This completes the proof of the claim.

Since  $K$  is nilpotent and  $w^{-1}Hw$  is an  $\mathcal{N}$ -group by hypothesis for every  $w \in K$ , the result follows.  $\square$

The next corollary, which follows directly from the above proposition and the next two propositions, gives some further examples of  $\mathcal{N}$ -groups.

**COROLLARY 3.2.** *Let  $G$  be a nonnilpotent group such that every proper subgroup of  $G$  is nilpotent. Then  $G$  is an  $\mathcal{N}$ -group.*

**PROPOSITION 3.3.** *Let  $G_1$  and  $G_2$  be finite groups. Then  $G_1 \times G_2$  is an  $\mathcal{N}$ -group if and only if  $G_1$  and  $G_2$  are  $\mathcal{N}$ -groups.*

**PROOF.** Put  $G = G_1 \times G_2$ . If  $G$  is an  $\mathcal{N}$ -group, then both  $G_1$  and  $G_2$  are  $\mathcal{N}$ -groups by Proposition 2.4. Conversely, assume that  $G_1$  and  $G_2$  are  $\mathcal{N}$ -groups. Suppose  $\langle x, y \rangle$  and  $\langle x, z \rangle$  are nilpotent subgroup of  $G$ , where  $x = (x_1, x_2)$ ,  $y = (y_1, y_2)$ ,  $z = (z_1, z_2)$  with  $x_1, y_1, z_1 \in G_1$  and  $x_2, y_2, z_2 \in G_2$ . Then we show that  $\langle x, yz \rangle$  is nilpotent. Since  $\langle x, y \rangle$  and  $\langle x, z \rangle$  are nilpotent, so are  $\langle (x_1, 1), (y_1, 1) \rangle$  and  $\langle (x_1, 1), (z_1, 1) \rangle$ . Next,  $\langle (x_1, 1), (y_1z_1, 1) \rangle$  is nilpotent because  $\text{Nil}_{G_1}(x_1)$  is a subgroup of  $G_1$ . In a similar way,  $\langle (1, x_2), (1, y_2z_2) \rangle$  is nilpotent. Hence  $\langle (x_1, 1), (y_1z_1, 1) \rangle \times \langle (1, x_2), (1, y_2z_2) \rangle$  is nilpotent, which shows that  $\langle x, yz \rangle$  is nilpotent and completes the proof.  $\square$

**PROPOSITION 3.4.** *Let  $G = \text{PGL}(2, q)$ . Then  $G$  is an  $\mathcal{N}$ -group if and only if  $q > 3$  is prime and  $8 \nmid (q \pm 3)$ .*

**PROOF.** Suppose that  $8 \nmid (q \pm 3)$ . Then all nilpotent subgroups of  $G$  are abelian. Now we show that  $\text{Nil}_G(x) = C_G(x)$  for all  $x \in G$ . If  $C_G(a) \neq \text{Nil}_G(a)$  for some  $a \in G$ , then there

is an element  $b \in \text{Nil}_G(a) \setminus C_G(a)$ . It follows that  $\langle a, b \rangle$  is nilpotent and so  $b \in C_G(a)$ , which is a contradiction. Hence  $G$  is an  $\mathcal{N}$ -group.

Conversely if  $q \equiv \pm 3 \pmod{8}$ , then  $G$  has some subgroups isomorphic to  $S_4$ , which is not an  $\mathcal{N}$ -group. Therefore we have the result by Proposition 2.4.  $\square$

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