

## PERMUTABLE WORD PRODUCTS IN GROUPS

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Dedicated to Professor B.H. Neumann  
on his eightieth birthday

Let  $u(x_1, \dots, x_n) = x_{11} \dots x_{1m}$  be a word in the alphabet  $x_1, \dots, x_n$  such that  $x_i \neq x_{i+1}$  for all  $i = 1, \dots, m-1$ . If  $(H_1, \dots, H_n)$  is an  $n$ -tuple of subgroups of a group  $G$  then denote by  $u(H_1, \dots, H_n)$  the set  $\{u(h_1, \dots, h_n) \mid h_i \in H_i\}$ . If  $\sigma \in S_n$  then denote by  $u_\sigma(H_1, \dots, H_n)$  the set  $u(H_{\sigma(1)}, \dots, H_{\sigma(n)})$ . We study groups  $G$  with the property that for each  $n$ -tuple  $(H_1, \dots, H_n)$  of subgroups of  $G$ , there is some  $\sigma \in S_n$ ,  $\sigma \neq 1$  such that  $u(H_1, \dots, H_n) = u_\sigma(H_1, \dots, H_n)$ . If  $G$  is a finitely generated soluble group then  $G$  has this property for some word  $u$  if and only if  $G$  is nilpotent-by-finite. In the paper we also look at some specific words  $u$  and study the properties of the associated groups.

### 1. INTRODUCTION

Let  $n$  be a fixed positive integer,  $X = \{x_1, \dots, x_n\}$  a set of  $n$  symbols and  $F = F(X)$  the free group on  $X$ . Let  $U = \{u_1, u_2, \dots\}$  and  $V = \{v_1, v_2, \dots\}$  be non-empty sets of elements in  $F$ . Define the class  $P(U, V)$  to consist of groups  $G$  such that given an  $n$ -tuple  $(g_1, \dots, g_n)$  of elements in  $G$ ,  $u(g_1, \dots, g_n) = v(g_1, \dots, g_n)$  for some  $u \in U$  and some  $v \in V$ ,  $v \neq u$ . Some examples:

1.1. Let  $U = \{u\}$  where  $u(x_1, \dots, x_n) = x_1 x_2 \dots x_n$  and  $V = \{u_\sigma \mid \sigma \in S_n \setminus \{1\}\}$  where  $S_n$  is the symmetric group of degree  $n$  and

$$u_\sigma(x_1, \dots, x_n) = u(x_{\sigma(1)}, \dots, x_{\sigma(n)}) = x_{\sigma(1)} x_{\sigma(2)} \dots x_{\sigma(n)}.$$

Then every group in  $P(U, V)$  is finite-by-abelian-by-finite. Conversely every finite-by-abelian-by-finite group is in  $P(U, V)$  for some suitable  $n$ . This was shown by Curzio, Longobardi, Maj and Robinson in [2]. These groups are more commonly referred to as  $P_n$ -groups.

1.2. Let  $u = u(x_1, \dots, x_n) = x_1 x_2 \dots x_n$ ,  $U = \{u_\sigma \mid \sigma \in S_n\}$  and  $V = U$ . Then  $P(U, V)$ -groups, more commonly referred to as  $Q_n$ -groups or rewritable groups, are again finite-by-abelian-by-finite groups as shown by Blyth in [1]. That an abelian-by-finite group is in  $Q_n$  for some  $n$  is implicit in Theorem 1 of Kaplansky [4].

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1.3. If we take  $n = 2$ ,  $u = u(x_1, x_2) = (x_1x_2)^r$ ,  $v = v(x_1, x_2) = (x_2x_1)^r$  where  $r > 0$  is fixed and  $U = \{u\}$ ,  $V = \{v\}$  then  $G \in P(U, V)$  if and only if  $G/Z(G)$  is of exponent  $r$ . This is not difficult to verify.

In general the classes  $P(U, V)$  may be viewed as generalising varieties and, except for some specific sets  $U$  and  $V$ , it is very difficult to describe them. We now turn to related classes of groups.

Let  $n > 0$  be fixed,  $X = \{x_1, \dots, x_n\}$  be a set of idempotent variables and  $S = S(X)$  the free semigroup generated by  $X$ . Thus for any  $u \in S$ ,  $u = u(x_1, \dots, x_n) = x_{11}x_{12} \dots x_{1m}$  where  $x_{1i} \in X$  and  $x_{1i} \neq x_{1i+1}$  for all  $i = 1, \dots, m - 1$ . If  $(H_1, \dots, H_n)$  is an  $n$ -tuple of subgroups of a group  $G$  then denote by  $u(H_1, \dots, H_n)$  the set  $\{u(h_1, \dots, h_n) \mid h_i \in H_i\}$ . Thus if  $u(x_1, \dots, x_n)$  is as above then

$$u(H_1, \dots, H_n) = H_{11}H_{12} \dots H_{1m}.$$

If  $U$  and  $V$  are sets of elements in  $S$  then define the class  $SP(U, V)$  to consist of groups  $G$  such that for any  $n$ -tuple  $(H_1, \dots, H_n)$  of subgroups of  $G$ ,  $u(H_1, \dots, H_n) = v(H_1, \dots, H_n)$  for some  $u \in U$  and some  $v \in V$ ,  $v \neq u$ . Some examples:

1.4. Let  $U = \{u\}$  where  $u(x_1, \dots, x_n) = x_1x_2 \dots x_n$  and  $V = \{u_\sigma \mid \sigma \in S_n \setminus 1\}$ . As in 1.1,  $u_\sigma(x_1, \dots, x_n) = u(x_{\sigma(1)}, \dots, x_{\sigma(n)})$ . Finitely generated soluble  $SP(U, V)$  groups are finite-by-abelian. Conversely every finite-by-abelian group is an  $SP(U, V)$  group for some integer  $n$ . These results are contained in [6]. From [5] we know that periodic  $SP(U, V)$ -groups are locally finite. The structure of  $SP(U, V)$ -groups in general seems difficult to determine.

1.5. For each positive integer  $r$  let  $u_r = u_r(x, y) = (xy)^r$ , and  $v_r = v_r(x, y) = (yx)^r$ . Let  $U = \{u_r, r = 1, 2, \dots\}$  and  $V = \{v_r, r = 1, 2, \dots\}$ . Then the class  $SP(U, V)$  is precisely the class of groups in which every subgroup is elliptically embedded. Groups with this property are considered in [7, 8]. It is known that a finitely generated soluble group  $G$  is in this class if and only if it is finite-by-nilpotent. The same is true if we replace “soluble group” by “residually finite  $p$ -group” in the above statement.

In this paper we shall not look at  $P(U, V)$ -groups, but concentrate our attention on  $SP(U, V)$ -groups. The two main results are as follows:

**THEOREM 1.** *Let  $G$  be a finitely generated soluble group,  $U = \{u\}$  where  $u = u(x_1, \dots, x_n) = (x_1 \dots x_n)^r$  and  $V = \{u_\sigma \mid \sigma \in S_n\}$  where  $u_\sigma(x_1, \dots, x_n) = u(x_{\sigma(1)}, \dots, x_{\sigma(n)})$ . Then  $G$  is an  $SP(U, V)$  group for some  $n > 1$ ,  $r > 0$  if and only if  $G$  is finite-by-nilpotent.*

**THEOREM 2.** *Let  $U = \{u\}$  where  $u$  is a word in idempotent variables  $x_1, \dots, x_n$ ,  $n > 1$ ; and let  $V = \{u_\sigma \mid \sigma \in S_n\}$ . If  $G$  is a finitely generated soluble group in  $SP(U, V)$ , then  $G$  is nilpotent-by-finite.*

We can not replace “nilpotent-by-finite” in Theorem 2 by the stronger condition “finite-by-nilpotent” of Theorem 1. It is tedious, but we will show that the infinite dihedral group  $D_\infty$  lies in  $SP(U, V)$  where  $U = \{u\}$ ,  $V = \{u_\sigma \mid \sigma \in S_n\}$  and  $u = u(x_1, x_2, x_3, x_4) = x_1x_4x_2x_3x_2x_3x_4x_1$  and it is well-known that  $D_\infty$  is not finite-by-nilpotent.

At present little is known about the various classes  $SP(U, V)$  and  $P(U, V)$ . The following questions stand a good chance of getting answered, at least partially, in the not too distant future!

QUESTION 1: Let  $U$  and  $V$  be finite sets of words in  $S = S(X)$  where  $X = \{x_1, \dots, x_n\}$  and let  $G$  be a finitely generated soluble group in the class  $SP(U, V)$ . Is  $G$  nilpotent-by-finite?

QUESTION 2: For which words  $u$  in  $S = S(X)$ ,  $X = \{x_1, \dots, x_n\}$  are the periodic  $SP(U, V)$  groups locally finite; where  $U = \{u\}$  and  $V = \{u_\sigma, \sigma \in S_n\}$ .

QUESTION 3: Let  $G$  be a finitely generated residually finite  $p$ -group,  $p$  a prime. For which sets  $U, V$  of words in  $S = S(X)$ ,  $X = \{x_1, \dots, x_1\}$ ; would  $G \in SP(U, V)$  imply  $G$  is nilpotent-by-finite?

Finally we ask if the classes  $SP(U, V)$  can contain finitely generated infinite simple groups.

## 2. PROOFS

Since the hypothesis of Theorem 1 is a more restricted form of the hypothesis of Theorem 2, it would be proper to prove Theorem 2 and then establish the extra property required in Theorem 1. The reduction from soluble to nilpotent-by-finite will be achieved using two intermediate steps; these are dealt with in the following lemmas.

LEMMA 2.1. *The wreath product of a cyclic group of order  $p$  with the infinite cyclic group is not in the class  $SP(U, V)$  where  $U, V$  are as in the statement of Theorem 2.*

LEMMA 2.2. *Let  $G = \langle A, t \rangle$  where  $A$  is a torsion-free abelian group of finite rank on which  $\langle t \rangle$  acts rationally irreducibly. If  $G \in SP(U, V)$  where  $U, V$  are as in the statement of Theorem 2, then for some positive integer  $k$ ,  $\langle t^k \rangle$  acts trivially on  $A$ .*

LEMMA 2.3. *If  $G = \langle A, t \rangle$ , where  $A \trianglelefteq G$  and is abelian of finite rank, and  $G \in SP(U, V)$  where  $U, V$  are as in the statement of Theorem 2, then for some  $\ell > 0$ ,  $\langle A, t^\ell \rangle$  has a non-trivial centre.*

LEMMA 2.4. *Let  $G = \langle A, t \rangle$  where  $A$  is a torsion-free abelian group of finite rank on which  $\langle t \rangle$  acts rationally irreducibly. If  $G \in SP(U, V)$  where  $U, V$  are as in the statement of Theorem 1, then  $\langle t \rangle$  acts trivially on  $A$ .*

PROOF OF THEOREM 2: By hypothesis,  $X = \{x_1, \dots, x_n\}$   $u = u(X) = x_{11}x_{12} \dots x_{1m}$  where  $x_{1i} \in X$  for all  $i = 1, \dots, m$  and  $x_{1i} \neq x_{1i+1}$  for all  $i = 1, \dots, m - 1$ . Let  $G$  be a finitely generated soluble group such that for any  $n$ -tuple  $(H_1, \dots, H_n)$  of subgroups of  $G$ , there is a permutation  $\sigma \neq 1$  in  $S_n$  such that

$$u(H_1, \dots, H_n) = H_{11}H_{12} \dots H_{1m} = u(H_{\sigma(1)}, \dots, H_{\sigma(n)}) = H_{\sigma(11)} \dots H_{\sigma(1m)}.$$

We need to show that  $G$  is nilpotent-by-finite, and we proceed by induction on the solubility length of  $G$ . If  $G$  is abelian then there is nothing to prove. Let  $G$  be soluble of length  $d$  and assume that the result holds for soluble groups of smaller length. Since the class  $SP(U, V)$  is subgroup and quotient closed, we may suppose that  $G$  has a normal abelian subgroup  $A$  such that  $G/A$  is nilpotent-by-finite. In particular  $G$  is abelian by polycyclic. If  $G$  does not have finite rank then it has a section isomorphic to the wreath product of a cyclic group of prime order  $p$  and the infinite cyclic group. This is not possible by Lemma 2.1. Hence we conclude that  $G$  has finite rank.

As  $G$  is finitely generated abelian-by-polycyclic, it satisfies the maximal condition for normal subgroups. If  $G$  is not nilpotent-by-finite, then let  $B$  be a maximal normal subgroup of  $G$  such that  $G/B$  is not nilpotent-by-finite. Now we replace  $G$  by  $G/B$  and hence assume that every proper quotient of  $G$  is nilpotent-by-finite.

Let  $T$  be the torsion subgroup of  $A$ . Then  $T$  has finite rank and is of bounded exponent since  $G$  satisfies the maximal condition for normal subgroups. Thus  $T$  is finite, and  $C = C_G(T)$ , the centraliser of  $T$  in  $G$ , is of finite index in  $G$ . If  $T \neq 1$  then  $G/T$  is nilpotent-by-finite and hence  $C/T$  is nilpotent-by-finite. Since  $T \leq Z(C)$ , the centre of  $C$ , then  $C$  and hence  $G$  would be nilpotent-by-finite. Thus we assume  $T = 1$  and hence  $A$  is torsion-free, and by passing to a suitable subgroup of finite index in  $G$ , if necessary, we may assume further that  $G/A$  is a finitely generated torsion-free nilpotent group. Thus there exists a finite set  $T = \{t_1, \dots, t_r\}$  of elements in  $G$  such that  $G = \langle A, T \rangle$  and

$$A = G_0 \leq \langle G_0, t_1 \rangle = G_1 \leq \dots \leq \langle G_{r-1}, t_r \rangle = G_r = G$$

is a central series from  $A$  to  $G$  with torsion-free factors.

If  $r = 1$  then  $G = \langle A, t_1 \rangle$ . By Lemma 2.3  $Z(\langle A, t_1^{\ell_1} \rangle) \neq 1$  for some  $\ell_1 > 0$  and hence  $D = A \cap Z(\langle A, t_1^{\ell_1} \rangle)$  is a non-trivial normal subgroup of  $G$ . By our choice of  $G$ ,  $G/D$  is nilpotent-by-finite and hence  $G$  is nilpotent-by-finite.

Now suppose we have established the result for the case  $r < d$  and suppose  $r = d$ . Then  $G_{d-1}$  is nilpotent-by-finite and  $G = \langle G_{d-1}, t_d \rangle$ . Let  $H = \langle A, G_{d-1}^{\ell} \rangle$  for some suitable  $\ell > 0$  so that  $H$  is nilpotent. Let  $Y = A \cap Z(H)$  then  $Y$  is normal in  $\langle H, t_d \rangle$  which is of finite index in  $G$ . Moreover  $Z(\langle Y, t_d^{\ell_1} \rangle) \neq 1$  for some  $\ell_1 > 0$  by

Lemma 2.3, so that  $D_1 = Y \cap Z(\langle Y, t_d^{t_1} \rangle)$  is a non-trivial subgroup of  $G$  contained in the centre of  $\langle H, t_d^{t_1} \rangle$  which is of finite index in  $G$ . We may replace  $\langle H, t_d^{t_1} \rangle$  by its normal interior in  $G$ , if necessary; it still contains  $A$  and hence  $D_1$ . Now  $\langle H, t_d^{t_1} \rangle / D_1$  is nilpotent-by-finite,  $D_1 \leq Z(\langle H, t_d^{t_1} \rangle)$  and  $\langle H, t_d^{t_1} \rangle$  is of finite index in  $G$ . Thus  $G$  is nilpotent-by-finite, as required. □

PROOF OF THEOREM 1: Since the hypotheses of Theorem 2 are satisfied by the group of Theorem 1, we may assume  $G$  to be finitely generated nilpotent-by-finite. Let  $T$  be the maximal finite normal subgroup of  $G$ . Since we wish to show that  $G$  is finite-by-nilpotent we may look at  $G/T$ , if necessary, and hence assume that  $G$  has no non-trivial finite normal subgroup. Let  $F$  be the Fitting subgroup of  $G$ . If  $F \neq G$  then pick any  $t \in G \setminus F$  such that  $t^p \in F$ . Clearly it is sufficient to show that  $\langle F, t \rangle$  is nilpotent for  $G/F$  is finite and soluble, we can reach  $G$  from  $F$  by a subnormal series with factors of prime order. Thus we assume  $G = \langle F, t \rangle$ ,  $t^p \in F$  and  $F$  is torsion-free.

Let  $H$  be the hypercentre of  $G$ . Then  $H \cap F$  is isolated in  $F$ . This may be seen by first checking it for  $Z(G) \cap F$  and then by taking the quotient of  $G$  by this subgroup, and using induction. Observe that if  $H \not\leq F$  then  $G = HF$  and  $G$  is nilpotent. So assume  $H \leq F$ . Next we look at  $G/H$ . If  $G/H$  is nilpotent then so is  $G$ . So we assume  $H = 1$ . Let  $A$  be a non-trivial normal subgroup of  $G$  of least Hirsch length and  $A \leq Z(F)$ . Since  $\langle A, t \rangle \in SP(U, V)$ ,  $\langle t \rangle$  acts trivially on  $A$  by Lemma 2.4. Thus  $A \leq Z(G)$  contradicting the assumption that  $Z(G) = 1$ . This concludes the proof that if  $G \in SP(U, V)$  then  $G$  is finite-by-nilpotent.

Now suppose that  $G$  is a finitely generated finite-by-nilpotent group. For any subgroup  $L$  of  $G$  let  $\gamma(L)$  denote the nilpotent residual of  $L$ . Thus  $\gamma(L)$  is the intersection of the terms of the lower central series of  $L$ . Let  $F = \gamma(G)$ . It is finite by hypothesis and  $G/F$  is nilpotent of class  $c_1$  for some  $c_1 > 0$ . Thus  $\gamma(L) = \gamma_c(L)$  for all  $L \leq G$  where  $c = |F| + c_1$ . We show, by induction on  $|\gamma(H, K)| = s$ , that  $(HK)^{d_s} = (KH)^{d_s} = \langle H, K \rangle$  for all subgroups  $H, K$  of  $G$  where  $d_1 = (4r)^c$ ,  $r = \text{rank of } G$ ;  $d_i = d_{i-1} + 2i(i + d_1)$ ,  $i > 1$ . In particular  $(HK)^d = (KH)^d = \langle H, K \rangle$  for all  $H, K$  where  $d = d_f$ , and  $f = |F|$ .

By Proposition 2 of [7],  $\Gamma(HK)^t = \Gamma(KH)^t = \langle K, H \rangle$  where  $\Gamma = \gamma\langle K, H \rangle$ ,  $t = (4r)^c$ , and  $r$  is the rank of  $G$ . Thus if  $\Gamma = 1$  then  $d_1 = t$  will suffice.

For any  $a \in (HK)^t$ ,  $a = gb$  for some  $g \in \Gamma$  and  $b \in (KH)^t$  so that  $ab^{-1} = g \in \Gamma \cap (HK)^{2t}$ . If  $\Gamma \cap (KH)^{2t} = 1$ , then  $a = b$  and  $(HK)^t = (KH)^t$ . This implies  $\langle H, K \rangle = (HK)^t$ , and again  $d_1 = t$  suffices.

If  $\Gamma_1 = \Gamma \cap (HK)^{2t} \neq 1$ , then for each integer  $m \geq 1$  let  $\Gamma_{m+1} = \Gamma_m \cup \Gamma_m^{HK}$  so that  $\Gamma_m \subseteq (HK)^{2t+2^m}$ . Observe that  $\Gamma_m = \Gamma_{m+1}$  implies  $\langle \Gamma_m \rangle = \langle \Gamma_m^H \rangle = \langle \Gamma_m^K \rangle$ . Since  $\Gamma_m \subseteq \Gamma$  and  $|\Gamma| = s$ ,  $\Gamma_s = \Gamma_{s+1}$ . Also note that  $\langle \Gamma_m \rangle \subseteq \Gamma_m^s$ . Thus the normal closure

$N$  of  $\Gamma_1$  in  $\langle H, K \rangle$  lies in  $(HK)^\lambda$  where  $\lambda = \lambda_s = 2(s^2 + ts)$ .

Now  $NH$  and  $NK$  both lie in  $(HK)^\lambda$  and  $(NHNK)^m \subseteq (HK)^{\lambda+m}$  for all  $m > 0$ . Rank of  $\langle H, K \rangle/N$  is no greater than  $r$ ,  $\gamma(\langle H, K \rangle/N) = \gamma_c(\langle H, K \rangle/N)$  and  $|\gamma(\langle H, K \rangle/N)| < |\gamma(\langle H, K \rangle)|$ . Thus by the induction hypothesis,  $N(HK)^{d'} = N(KH)^{d'} = \langle H, K \rangle$  where  $d' = t + \lambda_2 + \dots + \lambda_{s-1} = d_{s-1}$ . Since  $(HK)^\lambda \geq N$ , we obtain  $(HK)^{d_s} = (KH)^{d_s} = \langle H, K \rangle$  where  $d_s = d_{s-1} + \lambda_s$ .

Now that we have shown that for a finitely generated finite-by-nilpotent group  $G$  there is an integer  $d$  such that  $(HK)^d = (KH)^d$  for all subgroups  $H, K$  of  $G$ , we let  $u = u(x, y) = (xy)^d$ ,  $v = v(x, y) = (yx)^d$  then  $G \in SP(u, v)$ . This completes the proof of the second part of the theorem. □

**PROOF OF LEMMA 2.1:** Let  $G$  be the wreath product of a cyclic group of order  $p$  and an infinite cyclic group  $\langle t \rangle$ . Then we can identify each element of  $G$  by a pair  $(f(t), t^\alpha)$  where  $f(t) \in F_p(t)$ , the additive group of the group ring of the infinite cyclic group  $\langle t \rangle$  over the field  $F_p$  of  $p$  elements, and  $\alpha \in \mathbb{Z}$ . The product of two such elements is then given by the rule:  $(f(t), t^\alpha)(g(t), t^\beta) = (f(t) + t^\alpha \cdot g(t), t^{\alpha+\beta})$ . The elements of the base group correspond to those pairs where  $\alpha = 0$  and the elements of the top group correspond to those pairs where  $f(t) = 0$ .

We are given  $X = \{x_1, \dots, x_n\}$ ,  $u = u(X) = x_{11}x_{12} \dots x_{1m}$ ;  $x_i \in X$ ,  $x_{1i} \neq x_{1i+1}$ ,  $i = 1, \dots, m - 1$  and we are required to show that there exist subgroups  $H_1, \dots, H_n$  of  $G$  such that  $H_{11}H_{12} \dots H_{1m} \neq H_{\phi(11)}H_{\phi(12)} \dots H_{\phi(1m)}$  for any  $\phi \neq 1$  in  $S_n$ .

Take  $H_i = \langle h_i \rangle$  where  $h_i = ((1 - t^{\alpha_i})f_i, t^{\alpha_i})$ ;  $f_i = f_i(t)$  and  $\alpha_i$  are to be chosen appropriately. Note that  $h_i^k = ((1 - t^{k\alpha_i})f_i, t^{k\alpha_i})$ , and a general element of  $u(H_1, \dots, H_n)$  is  $h_{11}^{k_1} \dots h_{1m}^{k_m} =$

$$\begin{aligned} & ((1 - t^{k_1\alpha_{11}})f_{11}, t^{k_1\alpha_{11}}) \dots ((1 - t^{k_m\alpha_{1m}})f_{1m}, t^{k_m\alpha_{1m}}) \\ & ((1 - t^{k_1\alpha_{11}})f_{11} + t^{\lambda_1}(1 - t^{k_2\alpha_{12}})f_{12} + \dots + t^{\lambda_{m-1}}(1 - t^{k_m\alpha_{1m}})f_{1m}, t^{\lambda_m}) \end{aligned}$$

where  $\lambda_i = k_1\alpha_{11} + \dots + k_i\alpha_{1i}$ ,  $i = 1, \dots, m$ . Partition the set  $\{1, \dots, m\}$  as the union  $S_1 \cup \dots \cup S_n$  where  $S_i = \{j \mid x_{ij} = x_i\}$ . Then a general element of  $u(H_1, \dots, H_n)$  is of the form

$(\sum_{i=1}^n (f_i \sum_{j \in S_i} (t^{\lambda_j-1} - t^{\lambda_j})), t^{\lambda_m})$  with the understanding that  $\lambda_0 = 0$ . Likewise

$u(H_{\sigma(1)}, \dots, H_{\sigma(n)})$  consists of elements of the form  $(\sum_{i=1}^n (f_{\phi(i)} \sum_{j \in S_i} (t^{\mu_j-1} - t^{\mu_j})), t^{\mu_m})$

where  $\mu_i = \ell_1\alpha_{\phi(11)} + \dots + \ell_i\alpha_{\phi(1i)}$ ,  $i = 1, \dots, m$  and  $\mu_0 = 0$ . If  $\sigma$  denotes the inverse

of  $\phi$ , then we may write these elements as  $(\sum_{i=1}^n (f_i \sum_{j \in S_{\sigma(i)}} (t^{\mu_{j-1}} - t^{\mu_j})), t^{\mu_m})$ .

Now  $(\sum_{i=1}^n (f_i \sum_{j \in S_i} (t^{\lambda_{j-1}} - t^{\lambda_j})), t^{\lambda_m}) = (\sum_{i=1}^n (f_i \sum_{j \in S_{\sigma(i)}} (t^{\mu_{j-1}} - t^{\mu_j})), t^{\mu_m})$

implies  $\lambda_m = \mu_m$  and

$$(1) \quad \sum_{i=1}^n f_i (\sum_{j \in S_i} (t^{\lambda_{j-1}} - t^{\lambda_j})) = \sum_{i=1}^n f_i (\sum_{j \in S_{\sigma(i)}} (t^{\mu_{j-1}} - t^{\mu_j})).$$

Let  $p_1, \dots, p_n$  be distinct primes, each greater than  $m$ . Put  $p = p_1 \dots p_n$ ,  $k_i = 1$  and  $\alpha_i = p/p_i$ ,  $i = 1, \dots, n$ . Then for each  $i > 0$ ,  $\lambda_i = \alpha_{i1} + \dots + \alpha_{in}$ . Let  $f_i = t^{p^i}$ . Note that  $\lambda_j < p$  for all  $j$  and they are all distinct. Also note that  $f_i t^{\lambda_j} = f_{i'} t^{\mu_{j'}}$  implies  $p^i + \lambda_j = p^{i'} + \mu_{j'}$ . Hence  $\mu_{j'} \equiv \lambda_j \pmod p$  so that  $\mu_{j'} \not\equiv \lambda_i \pmod p$  for any  $i \neq j$ . Thus each  $\lambda_j$  is congruent modulo  $p$  to precisely one  $\mu_{j'}$ .

Now  $1 \in S_{\sigma(k)}$  for some  $k$ . Thus  $f_k(t^{\mu_0} - t^{\mu_1})$  is a term on the right hand side of (1). Since  $\mu_0 = 0$  and the only  $\lambda_i$  equal to zero is  $\lambda_0$ ,  $f_k(t^{\lambda_0} - t^{\lambda_1})$  appears on the left hand side of (1). In particular  $1 \in S_k$ . Since  $S_1, \dots, S_n$  partition the set  $\{1, \dots, m\}$  and  $1 \in S_k \cap S_{\sigma(k)}$ , it follows that  $\sigma(k) = k$ . Hence  $\mu_1$  and  $\lambda_1$  are both congruent to zero mod  $p/p_k$ ; it follows that  $\mu_1 = \lambda_1$ .

Suppose, by way of induction, that we have established that  $\mu_j = \lambda_j$  for all  $j < e$ . Then  $\mu_e - \mu_{e-1} = \ell_e \alpha_{\phi(1e)}$  which is congruent to zero mod all primes  $p_i$  except possibly one namely  $p_{\phi(1e)}$ . Now we look at  $\{\lambda_j - \lambda_{e-1}, j = e, \dots, m\}$ .  $\lambda_e - \lambda_{e-1} = \alpha_{1e}$  is congruent to zero mod all primes  $p_i$ ,  $p_i \neq p_{1e}$ . For each of the other  $\lambda_j - \lambda_{e-1}$ , we can find at least two primes amongst  $\{p_1, \dots, p_n\}$  such that  $\lambda_j - \lambda_{e-1}$  is not congruent to zero mod either of them. Hence  $0 \not\equiv \alpha_{1e} \equiv \ell_e \alpha_{\phi(1e)} \pmod{p_{1e}}$ . But  $\alpha_{\phi(1e)} \neq \alpha_{1e}$  implies  $\alpha_{\phi(1e)} \equiv 0 \pmod{p_{1e}}$ . Thus  $\alpha_{\phi(1e)} = \alpha_{1e}$ , and  $x_{1e} = x_{\phi(1e)} = x_{k'}$ , say. Thus  $\sigma(k') = k'$  and  $e \in S_{k'}$ . Thus  $f_{k'}(t^{\mu_{e-1}} - t^{\mu_e}) = f_{k'}(t^{\lambda_{e-1}} - t^{\lambda_e})$  and  $\lambda_e = \mu_e$ .

It is now clear that  $\phi(j) = j$  for all  $j = 1, \dots, n$  and hence  $\phi$  is the identity permutation of the set  $\{1, \dots, n\}$  as required. □

PROOF OF LEMMA 2.2: We are given a group  $G = \langle A, t \rangle$  where  $A$  is torsion-free abelian of finite rank on which  $\langle t \rangle$  acts rationally irreducibly. Let us assume, if possible, that  $[A, t] \neq 1$ . Then  $V = A \otimes_{\mathbb{Z}} \mathbb{Q}$  is an irreducible  $\mathbb{Q}\langle t \rangle$ -module and by Schur's Lemma, the centraliser ring  $\Gamma = \text{End}_{\mathbb{Q}\langle t \rangle} V$  is a division ring of finite dimension over  $\mathbb{Q}$ . The image of  $\langle t \rangle$  in  $\text{End}_{\mathbb{Q}} V$  clearly lies in and spans  $\Gamma$  so that  $\Gamma$  is an algebraic number field. Moreover, regarded as a  $\Gamma$ -space,  $V$  is one-dimensional. Thus we may consider  $A$  to be an additive subgroup of  $\mathbb{Q}(\tau)$  for some algebraic number  $\tau$  and the action of conjugation by  $t$  as multiplication by  $\tau$ .

Let  $h_i = b_i(1 - \tau^{\alpha_i})t^{\alpha_i}$  for suitable integer  $\alpha_i$  and  $b_i(1 - \tau^{\alpha_i}) \in A$ . Let  $H_i = \langle h_i \rangle$ . Note that  $h_i^k = b_i^k(1 - \tau^{k\alpha_i})t^{k\alpha_i}$ .

As in Lemma 2.1, we are given  $u = u(x_1, \dots, x_n) = x_{11}x_{12} \dots x_{1m}$ ;  $x_{1i} \in \{x_1, \dots, x_n\}, x_{1i} \neq x_{1i+1}, i = 1, \dots, m - 1$ ; and we need to show that with proper choice of  $b_i$  and  $\alpha_i$ , subgroups  $H_1, \dots, H_n$  can be found such that  $H_{11} \dots H_{1m} \neq H_{\phi(11)} \dots H_{\phi(1m)}$  for any  $\phi \neq 1$  in  $S_n$ . Now

$$\begin{aligned} h_{11}^{k_1} \dots h_{1m}^{k_m} &= b_{11}(1 - \tau^{k_1\alpha_{11}})t^{k_1\alpha_{11}} \dots b_{1m}(1 - \tau^{k_m\alpha_{1m}})t^{k_m\alpha_{1m}} \\ &= b_{11}(1 - \tau^{\lambda_1}) + b_{12}(\tau^{\lambda_1} - \tau^{\lambda_2}) + \dots + b_{1m}(\tau^{\lambda_{m-1}} - \tau^{\lambda_m})t^{\lambda_m} \end{aligned}$$

where  $\lambda_i = k_1\alpha_{11} + \dots + k_i\alpha_{1i}, i = 1, \dots, m$ .

We shall put  $\lambda_0 = 0$  and write  $1 = \tau^0 = \tau^{\lambda_0}$ .

Thus a general element of  $u(H_1, \dots, H_n)$  has the form

$$\sum_{i=1}^n \left( b_i \sum_{j \in S_i} (\tau^{\lambda_{j-1}} - \tau^{\lambda_j}) \right) t^{\lambda_m}$$

where  $S_i = \{j; x_{1j} = x_i\}$  so that  $\{1, \dots, m\}$  is the disjoint union of  $S_1, \dots, S_n$ .

Likewise the general element of  $u(H_{\phi(1)} \dots H_{\phi(n)})$  has the form

$$\sum_{i=1}^n \left( b_i \sum_{j \in S_{\sigma(i)}} (\tau^{\mu_{j-1}} - \tau^{\mu_j}) \right) t^{\mu_m}$$

where  $\mu_i = \ell_1\alpha_{\phi(1)} + \dots + \ell_i\alpha_{\phi(i)}, i = 1, \dots, m; \mu_0 = 0$  and  $\sigma = \phi^{-1}$ . This is shown in the same way as in the proof of Lemma 2.1. In particular  $\mu_m = \lambda_m$  and

$$(2) \quad \sum_{i=1}^n b_i \left( \sum_{j \in S_i} (\tau^{\lambda_{j-1}} - \tau^{\lambda_j}) - \sum_{j \in S_{\sigma(i)}} (\tau^{\mu_{j-1}} - \tau^{\mu_j}) \right) = 0.$$

Now we return to pick  $b_i$  and  $\alpha_i$  appropriately. For each integer  $r > 1$ , pick primes  $p_{r1}, \dots, p_{rn}$  to satisfy  $2^r < p_{r1}$  and  $p_{ri}^2 < p_{ri+1}, i = 1, \dots, n - 1$ . Put  $q_r = p_{r1} \dots p_{rn}, b_{ri}(y) = y^{q_r}$  and  $\alpha_{ri} = q_r/p_{ri}, i = 1, \dots, n$ . To make the notation simpler, we shall write  $b_i$  for  $b_{ri}$  and  $\alpha_i$  for  $\alpha_{ri}$ , where there is no ambiguity. Since there are infinitely many choices of  $q_r$  and each choice of  $q_r$  determines the sequence  $H_1, \dots, H_n$  of subgroups which in turn corresponds to some permutation  $\phi \neq 1$  such that  $u(H_1, \dots, H_n) = u(H_{\phi(1)}, \dots, H_{\phi(n)})$ , there is an infinite number of choices of  $r$  such that  $q_r$  correspond to the same permutation  $\phi$ .



If, for some value of  $r$ , we have the following stronger version of (2):

$$\sum_{i=1}^n b_i(y)L_i(y) - \sum_{i=1}^n b_i(y)M_i(y) = 0$$

where

$$L_i(y) = \sum_{j \in S_i} (y^{\lambda_{j-1}} - y^{\lambda_j}), \quad M_i(y) = \sum_{j \in S_{\sigma(i)}} (y^{\mu_{j-1}} - y^{\mu_j})$$

and  $y$  is an indeterminant; then  $\mu_j = \lambda_j$  for all  $j$  and  $\phi = 1$ . This is seen using arguments similar to those in the proof of Lemma 2.1. Thus we may suppose that for every  $r$ ,

$$P(y) = \sum_{i=1}^n b_i(y)L_i(y) - \sum_{i=1}^n b_i(y)M_i(y)$$

is not zero but  $P(\tau) = 0$ . If  $Q(y)$  is any non-trivial segment of  $P(y)$  such that  $Q(\tau) = 0$ , then  $Q'(y) = P(y) - Q(y)$  is a segment of  $P(y)$  with  $Q'(\tau) = 0$ . Moreover one or both of  $Q(y)$  or  $Q'(y)$  contains at least as many monomials from  $\sum_{i=1}^n b_i(y)L_i(y)$  as from

$\sum_{i=1}^n b_i(y)M_i(y)$ . Let  $Q(y)$  be such a segment of  $P(y)$  of shortest length. Thus

- I.  $Q(y) \neq 0$
- II.  $Q(\tau) = 0$

III.  $Q(y)$  contains at least as many monomials from  $\sum_{i=1}^n b_i(y)L_i(y)$  as from  $\sum_{i=1}^n b_i(y)M_i(y)$  and

IV. No proper segment has properties I and II.

We write  $Q(y) = Q_1(y) - Q_2(y)$  where  $Q_1(y)$  is a segment  $\sum_{i=1}^u \pm y^{\lambda'_i}$  of  $\sum_{i=1}^n b_i(y)L_i(y)$ ,  $Q_2(y)$  a segment  $\sum_{i=1}^v \pm y^{\mu'_i}$  of  $\sum_{i=1}^n b_i(y)M_i(y)$  and we may suppose that there is no term in  $Q_1(y)$  equal to any term in  $Q_2(y)$ . If  $Q_1(y)$  has only one term in it, then  $Q(y) = \pm y^\lambda$  or  $\pm y^\lambda \pm y^\mu$  where  $0 \neq \lambda$  and  $\mu \neq \lambda$ . In both cases  $Q(\tau) = 0$  implies  $\tau$  is a root of unity and  $[t^k, A] = 1$  for some  $k > 0$ , as required.

We may therefore assume that  $Q_1(y) = \sum_{i=1}^u \pm y^{\lambda'_i}$  has more than one term;  $\lambda'_i = q^{i'} + \lambda_{j_i}$  and  $0 \leq \lambda'_1 < \dots < \lambda'_u$ . Similarly  $Q_2(y) = \sum_{i=1}^v \pm y^{\mu'_i}$  where  $\mu'_1 \leq \dots \leq \mu'_v$ . Let

$$\nu_1 = \min\{\lambda'_1, \mu'_1\} \quad \text{and} \quad \nu_2 = \max\{\lambda'_u, \mu'_v\}.$$

Then  $y^{-\nu_1} \cdot Q(y)$  is a polynomial of degree  $\nu_2 - \nu_1$  with non-zero constant term. Moreover  $\nu_2 - \nu_1 \geq \lambda'_u - \lambda'_1 > 2r$ . Thus the degree of the polynomial increases with  $r$ ,

and hence there are infinitely many expressions

$$1 = \sum_{i=1}^{u+v} \epsilon_i \tau^{\gamma_i}$$

where  $\gamma_i \geq 0, \epsilon_i \in \{-1, 0, 1\}$  and no subsum of the right hand side of the equation is zero. But this is not possible by Theorem 1 of [9] which we state below for convenience. This completes the proof.  $\square$

**THEOREM.** (Van Der Poorten) *Let  $K$  be a field of characteristic zero and  $H$  a finitely generated subgroup of the multiplicative group of  $K$ . Then for each integer  $m > 0$  there are only finitely many relations  $u_1 + \dots + u_m = 1$  with each  $u_i \in H$  and no subsum of the left hand side is zero.*

A result very similar to the above was proved by Evertse in [3]. One can avoid using the above deep result and use Lemma 1 and 2 of [7] and modify the argument slightly to get the required contradiction.

**PROOF OF LEMMA 2.3:** If the torsion subgroup of  $A$  is non-trivial then it has a non-trivial normal subgroup  $A_1$  of exponent  $p$  for some prime  $p$ . This is finite since  $A$  has finite rank, hence it is centralised by  $t^\ell$  for some  $\ell > 0$  and  $A_1$  lies in the centre of  $\langle A, t^\ell \rangle$ . We may thus assume that  $A$  is torsion-free. Let  $D$  be a non-trivial subgroup of  $A$  of least rank subject to  $D \trianglelefteq G$ . Lemma 2.2 applies to  $\langle D, t \rangle$  and we conclude that  $\langle D, t^k \rangle$  is abelian for some  $k > 0$ . Hence  $D$  lies in the centre of  $\langle A, t^k \rangle$ .  $\square$

**PROOF OF LEMMA 2.4:** As the hypothesis of Theorem 1 is stronger than that of Theorem 2, Lemma 2.2 and its proof applies. We follow the proof of Lemma 2.2 and reach the situation where we may assume  $A$  to be an additive subgroup of  $\mathbb{Q}(\tau)$  for some algebraic number  $\tau$  and the action of  $t$  under conjugation is that of multiplication by  $\tau$ . Furthermore we may assume  $\tau$  to be a primitive  $k$ th root of unity and we need to show that  $\tau = 1$ .

Let  $h_i = (k^i(1 - \tau), t^{-1})$ , and  $H_i = \langle h_i \rangle$ . Observe that  $h_i^\lambda = (k^i(1 - \tau^\lambda), t^{-\lambda})$  and  $h_i^k = t^{-k}$ . Let  $X = (H_1 \dots H_n)^r$  and suppose that for some  $\phi \neq 1$  in  $S_n$ ,  $X = (H_{\phi(1)} \dots H_{\phi(n)})^r$ . If  $\phi(1) \neq 1$ , then  $\langle H_1, H_{\phi(1)} \rangle \subseteq X$ . But this is not possible since  $X$  is the union of a finite number of cosets of  $\langle t^k \rangle$  whereas  $\langle H_1, H_{\phi(1)} \rangle$  contains the subgroup generated by  $(k^{\phi(1)} - k)(1 - \tau)$ , an infinite cyclic subgroup of  $\mathbb{Q}(\tau)$ , not contained in any finite union of cosets of  $\langle t^k \rangle$ . Hence  $\phi(1) = 1$  and similarly  $\phi(n) = n$ .

For any permutation  $\pi$  in  $S_n$ , a typical element  $x$  of  $H_{\pi(1)} \dots H_{\pi(n)}$  has the form

$$\begin{aligned} x &= h_{\pi(1)}^{\lambda_1} \dots h_{\pi(n)}^{\lambda_n} \\ &= \left( k^{\pi(1)}(1 - \tau^{\lambda_1}) + k^{\pi(2)}(1 - \tau^{\lambda_2})\tau^{\lambda_1} + \dots + k^{\pi(n)}(1 - \tau^{\lambda_n})\tau^{\lambda_1 + \dots + \lambda_{n-1}}, t^{-\mu} \right) \end{aligned}$$

where  $\mu = \lambda_1 + \dots + \lambda_n$  and  $\lambda_i$  are arbitrary integers. In turn these elements may be written as

$$\left( k^{\pi(1)}(1 - \tau^{\alpha_1}) + k^{\pi(2)}(\tau^{\alpha_1} - \tau^{\alpha_2}) + \dots + \dots k^{\pi(n)}(\tau^{\alpha_{n-1}} - \tau^{\alpha_n}), t^{-\alpha_n} \right)$$

where  $\alpha_i$  are arbitrary integers. In particular  $t^{-\alpha_0} x$  has the form  $(h, t^{-\alpha_n})$  where

$$\begin{aligned} h &= k^{\pi(1)}(\tau^{\alpha_0} - \tau^{\alpha_1}) + k^{\pi(2)}(\tau^{\alpha_1} - \tau^{\alpha_2}) + \dots + k^{\pi(n)}(\tau^{\alpha_{n-1}} - \tau^{\alpha_n}) \\ &= (k^{\pi(1)}\tau^{\alpha_0} + \tau^{\alpha_1}(k^{\pi(2)} - k^{\pi(1)}) + \dots + \tau^{\alpha_{n-1}}(k^{\pi(n)} - k^{\pi(n-1)}) - \tau^{\alpha_n} k^{\pi(n)}. \end{aligned}$$

Thus, if  $\alpha_0$  is a given fixed integer, the real part of  $h$  is maximised by choosing  $\alpha_i \equiv 0 \pmod k$  if  $\pi(i + 1) > \pi(i)$ ,  $\alpha_i \equiv q \pmod k$  where  $q = \lfloor k/2 \rfloor$  if  $\pi(i + 1) < \pi(i)$ ;  $i = 1, \dots, n - 1$  and  $\alpha_n \equiv q \pmod k$ . If  $\pi$  is the identity permutation then this value is  $k \cos(2\pi\alpha_0)/k + (k^n - k) - k^n \cos(2\pi q)/k$ .

On the other hand if  $\pi \neq 1$  and  $\pi(1) = 1, \pi(n) = n$ , then the maximum real part of the value of  $h$  is

$$k \cos \frac{2\pi\alpha_0}{k} + (k^n - k) - k^n \cos \frac{2\pi q}{k} + \sum (k^{\pi(i)} - k^{\pi(i+1)})(1 - \cos \frac{2\pi q}{k})$$

where the sum is over all values of  $i$  such that  $\pi(i) > \pi(i + 1)$ . This value is clearly greater than the value obtained for the identity permutation  $\pi$ .

Now the general element of  $(H_{\pi(1)} \dots H_{\pi(n)})^r$  is  $(h, t^{-\alpha})$  where  $h$  is expressible in the form

$$\sum_{i=1}^r k^{\pi(1)}\tau^{\alpha_{i0}} + \tau^{\alpha_{i1}}(k^{\pi(2)} - k^{\pi(1)}) + \dots + \tau^{\alpha_{in-1}}(k^{\pi(n)} - k^{\pi(n-1)}) - \tau^{\alpha_{in}} k^{\pi(n)}$$

where  $\alpha_{10} = 0, \alpha_{in} = \alpha_{i+10}, i = 1, \dots, r - 1, \alpha_{rn} = \alpha$ . By picking the values for  $\alpha_{ij}$  to maximise the real part of  $h$  as above, it is clear that the value achieved when  $\pi \neq 1$  is greater than for  $\pi = 1$ . Thus  $(H_{\phi(1)} \dots H_{\phi(n)})^r, \phi(1) = 1, \phi(n) = n, \phi \neq 1$ , contains elements not contained in  $(H_1 \dots H_n)^r$ . This completes the proof.  $\square$

### 3. EXAMPLE

Let  $U = \{u\}$  where  $u = u(x_1, \dots, x_4) = x_1x_4x_2x_3x_2x_3x_4x_1$  and  $V = \{u_\sigma \mid \sigma \in S_4\}$ . Then the infinite dihedral group  $G$  is in  $SP(U, V)$ .

Consider  $u(H_1, H_2, H_3, H_4)$  for given subgroups  $H_1, H_2, H_3, H_4$  of  $G$ . If  $H_1$  or  $H_4$  is normal in  $G$  then  $u(H_1, H_2, H_3, H_4) = u(H_4, H_2, H_3, H_1)$ . If  $H_2$  or  $H_3$  is normal in  $G$  then  $u(H_1, H_2, H_3, H_4) = u(H_1, H_3, H_2, H_4)$ . So assume none of the  $H_i$ 's is normal in  $G$ . If for some  $i, H_i$  is not of order two, then it contains a subgroup  $K_i$  normal

in  $G$  and of index two in  $H_i$ . Moreover  $u(H_{\sigma(1)} \dots H_{\sigma(4)}) = K_i u(H_{\sigma(1)} \dots H_{\sigma(4)})$  for all  $\sigma \in S_n$ , and we may replace  $G$  by  $G/K_i$  and each of  $H_j$  by  $H_j K_i / K_i$ . Thus the essential case to be considered is one where each  $H_i$  is of order two.

Now  $G = \langle a, t \rangle$  where  $a^t = a^{-1}$  and  $t^2 = 1$ .  $H_i = \langle a^{\lambda_i} t \rangle$ ,  $i = 1, 2, 3, 4$ . We will show that the set  $L = H_4 H_2 H_3 H_2 H_3 H_4$  equals the set  $R = H_4 H_3 H_2 H_3 H_2 H_4$ . From this it follows that  $u(H_1, H_2, H_3, H_4) = \Pi_1 L \Pi_1 = H_1 R H_1 = u(H_1, H_3, H_2, H_4)$ .

Now  $a^\lambda \in H_2 H_3 H_2 H_3$  if and only if  $\lambda = 0, \lambda_2 - \lambda_3, \lambda_3 - \lambda_2$  or  $2\lambda_2 - 2\lambda_3$ .  $a^{\lambda t} \in H_2 H_3 H_2 H_3$  if and only if  $\lambda = \lambda_2, \lambda_3, 2\lambda_2 - \lambda_3$  or  $2\lambda_3 - \lambda_2$ . Hence  $H_2 H_3 H_2 H_3 \setminus H_3 H_2 H_3 H_2$  consists of  $a^{2\lambda_2 - 2\lambda_3}$  only and  $H_3 H_2 H_3 H_2 \setminus H_2 H_3 H_2 H_3$  consists of  $a^{2\lambda_3 - 2\lambda_2}$  only. But  $H_4 a^{2\lambda_2 - 2\lambda_3} H_4$  consists of  $a^\lambda$  where  $\lambda \in \{2\lambda_2 - 2\lambda_3, 2\lambda_3 - 2\lambda_2\}$  and  $a^{\lambda t}$  where  $\lambda \in \{\lambda_4 - 2\lambda_2 + 2\lambda_3, \lambda_4 + 2\lambda_2 - 2\lambda_3\}$ . From the symmetry between  $\lambda_2$  and  $\lambda_3$  above it is clear that  $H_4 a^{2\lambda_2 - 2\lambda_3} H_4 = H_4 a^{2\lambda_3 - 2\lambda_2} H_4$ . Thus the sets  $L$  and  $R$  are equal and  $G \in SP(U, V)$ .

We have not tried to analyse conditions on words  $u$  for which  $D_\infty \notin SP(U, V)$  where  $U = \{u\}$  and  $V = \{u_\sigma \mid \sigma \in S_n\}$ .

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