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# The spread of iron in Central Asia: on the etymology of the word for "iron" in Iranian and Tocharian<sup>1</sup>

#### Michaël Peyrot

Leiden University Centre for Linguistics, Leiden, The Netherlands m.peyrot@hum.leidenuniv.nl 🗅

#### Federico Dragoni

Leiden University Centre for Linguistics, Leiden, The Netherlands f.dragoni@hum.leidenuniv.nl D

#### Chams Benoît Bernard

Leiden University Centre for Linguistics, Leiden, The Netherlands c.b.a.s.bernard@hum.leidenuniv.nl

#### **Abstract**

Tocharian B  $e\tilde{n}cuwo$  "iron" and Tocharian A  $a\tilde{n}cu^*$  have been connected to the Iranian words for "iron", notably Khwarezmian  $hn\check{c}w$ . On the basis of insights into the patterns of borrowings from Khotanese into Tocharian, it is argued that the Tocharian words must have been borrowed from a preform of Khotanese  $h\bar{t}ssana$ - "iron". Further, a new etymology is proposed for "iron" that accounts for the variation of this word in Iranian. The fact that Tocharian borrowed the word for "iron" from Khotanese, not from the archaic steppe dialect of Iranian that is the source of many other loanwords in Tocharian, suggests that the contacts between this latter dialect and Tocharian took place before iron became widespread in the region.

**Keywords:** Khotanese, Tocharian, Iron, Historical linguistics, Central Asia, Borrowing

### 1. Introduction

The word for "iron" in the Iranian languages is notoriously difficult to reconstruct: similar forms are found in many languages, but they show irregular correspondences and cannot be reconstructed to a single protoform. For illustration, we may cite here Pahlavi < h(y)n'>, Manichaean Middle Persian "hwn, Balochi  $\bar{a}sin$ ; Avestan haosafna $\bar{e}na$ -"out of steel"; Khwarezmian hnčw; Sogdian

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'spn\*;<sup>2</sup> Ossetic æfsæn "ploughshare; iron (obs.)"; and Khotanese hīśśana-. It is possible to distinguish groups of Iranian languages that show more closely related words (Klingenschmitt 2000: 193–4; Adams 2013: 85; Sadovski 2017: 572), and the items that can be reconstructed for these clusters resemble each other, but it seems that no single protoform can account for all of the different clusters together. The Tocharian words for "iron", Tocharian B eñcuwo and Tocharian A añcu\*,<sup>3</sup> look similar too, especially if compared to Khwar. hnčw (e.g. Adams 1999: 80). It thus seems likely that the Tocharian word is borrowed, but it is difficult to indicate the exact source. The irregular correspondences between the Tocharian and the Iranian forms, as well as those between the Iranian forms themselves are often explained with the assumption of a substrate term or a Wanderwort (e.g. Schwartz 1974: 409).

#### 2. Iranian and Tocharian terms for "iron"

The similarity between the Iranian and Tocharian words has been explained in different ways. Schwartz (1974: 409 fn 33; cf. Adams 1999: 80) connects

- We use a following asterisk to indicate that a form is not directly attested but can be deduced synchronically. By contrast, a preceding asterisk is only used for forms that are reconstructed for linguistic pre-stages.
- 3 The Tocharian A noun  $a\tilde{n}cu^*$ , which is so far unattested, can be posited with certainty on the basis of the derived adjective  $a\tilde{n}cw\bar{a}si$  "out of iron".
- 4 For this notation for Proto-Iranian, see also §6 below.

Tocharian B *eñcuwo* and A *añcu\** with Khwarezmian *hnčw*. For Iranian, he reconstructs \*ans(u)wan-, which, together with the Tocharian and Khwarezmian forms, would go back to a "substratic" \*anśuwan.

Based on Klingenschmitt (2000: 193), Tremblay (2005: 424) reconstructs a Proto-Indo-European \* $h_2e\hat{k}\cdot uon$ - "cutting edge", which developed to Khot.  $h\bar{\imath}s\dot{s}ana$ - through an intermediary "Old Sakan" \* $a\dot{c}uan$ - due to a change of \* $a\dot{c}u$  to  $\bar{\imath}s\dot{s}$  in trisyllabic words. According to him, the Tocharian and Khwarezmian words derive from a nasalized variant \* $an\dot{c}uan$ - of \* $a\dot{c}uan$ -. Although we agree that the  $\bar{\imath}$  in the first syllable of Khot.  $h\bar{\imath}s\dot{s}ana$ - goes back to \*a, we think that the mechanism for this change is different; see §3 below. Tremblay does not explain why the first \*a of  $an\dot{c}uan$ - was rendered by Tocharian B e, while the second is apparently rendered as o.

Pinault (2006: 184–9) accepts Schwartz's attribution of the Tocharian and Khwarezmian words to a Central Asian substrate source. In addition, he adds Vedic amśú-, a term referring to the material aspect of the soma plant. According to him, the common semantic dominator is the colour: iron is often called after the reddish-brown colour of its rust, and amśú- may have referred to the colour of soma juice. In our view, this etymology is in itself not impossible, but it depends on a semantic derivation of "iron" that cannot be verified and has to cope with phonological difficulties.<sup>5</sup>

## 3. Tocharian B eñcuwo is a borrowing from prehistoric Khotanese

In our view, Tocharian B *eñcuwo* and A *añcu\** can be derived from an early stage of Khotanese. Two insights are crucial to understand this borrowing:

- Tocharian  $\tilde{n}c$  may correspond to Khotanese  $\dot{s}(\dot{s})$  in borrowings from early Khotanese into Tocharian;
- borrowings from early Khotanese into Tocharian regularly show Tocharian \*a for Khotanese \*a word-internally, while the Khotanese stem vowel \*-a is rendered morphologically with Tocharian \*-o.

The correspondence between Tocharian  $\tilde{n}c$  and Khotanese  $\dot{s}(\dot{s})$  was established by Chen and Bernard (forthcoming). They have discovered that Toch.B  $\dot{s}\bar{a}\tilde{n}capo$  means "mustard" and does not denote a tree, the *Dalbergia Sissoo* or Indian rosewood, as had been supposed since Hoernle (1901: 23; cf. Adams 2013: 681). With this new meaning, they could connect the Tocharian B word with Khot.  $\dot{s}\dot{s}a\dot{s}v\bar{a}na$ - "mustard", and the relevant pre-stage of the Khotanese word can be reconstructed as  $\dot{s}\dot{s}aN\dot{z}apa$ - or  $\dot{s}\dot{s}aN\dot{z}ap\bar{a}na$ - (on these options, see below). The nasal in the first syllable is reconstructed on the basis of Tocharian and cannot be reconstructed on the evidence of Khotanese alone, but it is found in variants of this word in other languages, on the basis

- 5 According to Buyaner (2013: 613), the Tocharian words are borrowed from "the East-Iranian designation of 'spike' or 'blade', in turn borrowed from proto-Parthian \*anjūg 'narrow'". In our view, this etymology is formally and semantically impossible.
- 6 The argument has also been laid out in an online presentation with the title "A spicy etymology. On Tocharian B (and A) *śāñcapo*" by Chen Ruixuan and Chams Bernard on 8 December 2020 at the *Tocharian in Progress* conference of Leiden University.

of which Henning (1965: 44) reconstructed an Iranian preform \*sinšapa-. In these parallel forms, there is no evidence for a palatal stop or fricative after the nasal, and the -c- in Tocharian B  $\dot{s}\bar{a}\tilde{n}capo$  must therefore be a secondary development of \* $\dot{s}$  after a nasal; cf. e.g. 3sg.opt.  $ta\tilde{n}ci$  "may he stop" from \*tank-'i vs.  $ta\dot{s}i$  "may he touch" from \*tak-'i or the frequent epenthesis of t in s-clusters like kektsentsa "with the body" from \* $kektse\tilde{n}$ -sa (on  $\dot{s}\bar{a}\tilde{n}capo$  and related matters, see in detail Chen and Bernard forthcoming).

Tocharian borrowings from different stages of Khotanese systematically render word-internal a with a, and word-final -a with -o (Dragoni 2022). Both correspondences are found in the newly discovered match between Toch.B śāñcapo and Khot. śśaśvāna-, but also in loanwords that were already known. Internal a is for instance found in Toch.B tvānkaro "ginger" from an earlier form of Late Khot. ttuṃgara-, possibly \*tvāṃgara- or \*tvaṃgara- (Dragoni 2021: 305-7). Final -o is further found in e.g. Toch.B pito "price" from prehistoric Khot. \* $p\bar{\imath}\theta a$ - (Old Khot. pīha-), Toch.B tono "garment" from Khotanese thauna-, Toch.B yolo "bad" from Old Khot. yaula- "falsehood". The correspondence between Khotanese and Tocharian word-internal a may not seem surprising, but is relevant because short \*a is rendered with Tocharian \*e in borrowings from the Old Iranian dialect from which words such as Toch.B perne "glory" ← \*farnah- were borrowed. Since we suppose that this Old Iranian dialect hails from the steppe, we tentatively term it "Old Steppe Iranian" for the sake of convenience. Tocharian final -o is probably a morphological rendering of the Khotanese acc.sg.m. -u or the nom.acc. sg.n. -u.8 Importantly, these correspondences are thus far found with certainty only with Khotanese loanwords and therefore they are crucial clues to identify Khotanese borrowings in Tocharian.

Since the final -o of Toch.B  $e\tilde{n}cuwo$  thus fits this newly established pattern of Khotanese borrowings in Tocharian B, while the - $s\dot{s}$ - < \*- $c\dot{w}$ - in Khotanese  $h\bar{\imath}s\dot{s}ana$ - may, on the evidence of  $s\dot{a}\tilde{n}capo$  and  $s\dot{s}a\dot{s}v\bar{a}na$ -, go back to a cluster with a nasal, i.e. \*- $N\dot{c}w$ -, it is tempting to see if the Tocharian word can be derived from prehistoric Khotanese. Indeed, we argue that this is possible, and much more straightforward than any of the explanations for Tocharian B  $e\tilde{n}cuwo$  that have been proposed so far.

As noted above, Tremblay assumes a change of \*aćw to īśś in trisyllabic words to account for the initial syllable of Khotanese  $h\bar{\imath}$ śśana-. For this change, he gives two parallels: Late Khot.  $h\bar{\imath}$ śau' "loss of appetite (Skt. aruci)" and Late Khot. piśāra- "disgusting", which he derives from PIr. \*fra-jwāba- (following Bailey 1979: 487) and PIr. \*pati-jwāra-, respectively. Both forms would be related to e.g. MSogd. ' $z\beta$ ' $\beta$  "taste". Whereas the first derivation is difficult from both the phonological and the semantic points of view, the second is impossible because piśāra- is only attested in Late Khotanese, where a single  $\langle s \rangle$  without subscript hook indicates an unvoiced sound, not a voiced one. From \*°jwāra- one would rather expect Late Khot. \*s0 better etymology for Late Khot. s1 better etymology for Late Khot. s2 better etymology for Late Khot. s3 better etymology for Late Khot. s4 better etymology for Late Khot. s5 better etymology for Late Khot. s6 better etymology for Late Khotanese

<sup>7</sup> Another possible parallel is Tocharian A *sañce* "doubt", borrowed from Skt. *saṃśaya* or a related form. However, a form with *mc* is also attested in Gāndhārī *saṃcaya*, from which the Tocharian A word could alternatively have been borrowed.

<sup>8</sup> In other borrowings, it may also stand for the acc.sg.f. -o.

weakening of unaccented \*a in the first syllable) < \*apa-ćwaH-ra- "disgusting", a -ra- verbal adjective from the root \*ćwaH- "throw". The verb paśś- < \*apa-ćwaH- is attested in Old Khotanese and means "let go, release" (cf. BSogd. 'pspy- "to reject").

For the initial syllable of  $h\bar{\imath}s\dot{s}ana$ , we rather follow Bailey (1979: 352b) in assuming "trajected umlaut", that is, a fronting effect on an original \*a in the first, apparently accented, syllable due to a \*y in the third syllable. Parallels for "trajected umlaut" are Khot.  $ys\bar{\imath}rra$ — "gold" < PIr. \*jaranya— (Skjærvø 2004: II, 331) and Late Khot.  $h\bar{\imath}jsara$ , loc.sg. of  $hamjsar\bar{a}$ — "scope, range, place" < \*ham- $car\bar{a}$ — (De Chiara 2014: II, 216).

However, while Bailey reconstructed \*asuanya-, the nasal preserved in Tocharian suggests \*ham- $\acute{c}wanya$ -, a reconstruction that accounts at the same time for the initial h- of Khotanese, which in the reconstruction of Bailey and others had to be an unexplained secondary development. According to the correspondences established so far, the Tocharian B vowel e- cannot reflect Pre-Khot. \*a; instead, it must render the intermediate stage of \*a after \*y-umlaut and before further raising to  $\bar{\iota}$ . At this intermediate stage, the Khotanese word seems to have been \*hen-swanya-. In Tocharian, the initial \*h- was lost as generally in borrowings from Iranian, while the cluster \*ns developed to \*ns as in s a a0 "mustard".

If Toch.B  $e\bar{n}cuwo$  (Khot.  $h\bar{t}ssana$ -) was borrowed at the same stage of Pre-Khotanese as pito "price" (Khot.  $p\bar{t}ha$ -), the difference in the representation of Khotanese  $\bar{t}$  may be due to the fact that  $\bar{t} < *ai$  in "price" was always a long vowel, and may have become  $\bar{t}$  earlier than  $\bar{t} <$  umlauted \*a in "iron", which may at first have become short \*e. Alternatively,  $e\bar{n}cuwo$  may have been borrowed earlier than pito, at a stage where \*henswanya- and \* $p\bar{e}\theta a$ - both had not yet shifted their \*e and \*e to \*e1.10

The correspondence of the finals is difficult. Although Tocharian B -o is compatible with borrowing from Khotanese, at first glance eñcuwo (phonologically /eñcéwo/, with o-epenthesis from \*eñcwo) looks like \*henśwa- rather than the \*henśwanya- posited above. We have at present no definitive solution for this apparent mismatch, but see two possible scenarios.

The first option we see is that the word was borrowed at the stage \*henśwanya-, in the form \*eñcwañño, \*eñcwañña. Such forms would have looked like a ññe-adjective, like the adjective eñcwaññe "out of iron", which is well attested in Tocharian B. Supposing that the expected \*eñcwañño, \*eñcwañña was indeed interpreted as an adjective, the step to extracting the base noun as eñcuwo is very simple: a ññe-adjective to a base in -a presupposes an oblique singular -a, and the most frequent corresponding nominative singular ending is -o. In this scenario, it is most likely that the prehistoric Khotanese word was borrowed before Proto-Tocharian split up into Tocharian B and A,

<sup>9</sup> The initial *yi*- of Wakhī *yišn* "iron", which in close agreement with Khotanese *hīśśana*-shows *š*, can go back to \**ā*-, \**ham*- as well as zero (Steblin-Kamensky 1999: 25, 35, 49).

<sup>10</sup> This is the solution adopted in Dragoni (2022: 63–4), where two distinct stages of prehistoric Khotanese are distinguished, Proto-Tumshuqese-Khotanese for \*henśwanya- and Pre-Khotanese for \*pīθa-. In this article, we refer to both stages together with the term "prehistoric Khotanese".

as it seems quite unlikely that the supposed back-formation took place twice in an identical manner. The only alternative is that the borrowing and the back-formation took place in Pre-Tocharian B, after the dissolution of Proto-Tocharian, and that this Pre-Tocharian B \* $\epsilon n$ cwo was borrowed into Pre-Tocharian A early enough to undergo the relevant sound laws to become Tocharian A a ncw\*.

The second option is based on the observation that not only Khot. hīśśanashows an extra nasal compared to Tocharian B eñcuwo, but, compared to Tocharian B śāñcapo, Khot. śśaśvāna- as well. It should be considered, therefore, that this unexpected correspondence is in fact regular. In this case, Tocharian \*eñcwo would have been borrowed from a prehistoric Khotanese form in which the \*y in the final syllable had already disappeared: \*henśwana-. This scenario would leave the dating of the borrowing relative to the break-up of Proto-Tocharian open, since an early but independent borrowing into Pre-Tocharian B and Pre-Tocharian A would then be much easier to assume. An obvious advantage of this option is that it accounts at the same time for śañcapo, but it remains to be seen how this correspondence should have come about. Could a form like the Khot. nom.sg. in -ana have been interpreted as an inflected form in °an° in Tocharian? Such forms are found in the loc.sg., e.g. Toch.B eñcuwane, or the obl.pl., e.g. eñcuwam, but it is questionable whether these would form a probable model. Neither "iron" nor "mustard" is likely to have frequently occurred in the plural, and śañcapo "mustard" has an obl.sg. in -o, and no form of the paradigm had -a.

In view of the difficulties with the second option, the first option seems more likely to us. In fact, the parallel between "iron" and "mustard" may be only superficial, since the extra nasal of śśaśvāna- must be secondary within Khotanese, so that the Tocharian word may well reflect a shorter form \*saNzapa-. One possibility is that śśaśvāna- derives from \*śśaśva-dānā- "grain of mustard", like Sogd.  $\check{s}yw\check{s}p\delta n$  and Parthian  $\check{s}yf\check{s}d'n$  (Bailey 1979: 396a). However, the nom.sg. śśaśvānä in Z 2.118 (Emmerick 1968b: 31), points to an a-stem rather than an ā-stem: an ā-stem nom.sg. -ä is rare in Old Khotanese (Emmerick 1968a: 271). Moreover, enlarged with a \*ka-suffix, °dāna- is attested as a second compound member in Late Khot. pirānaa- (< \*pira-dānaa-) "worm-seed" and Old Khot. jūṣḍānaa- (< \*jūṣḍa-dānaa-) "musk-grain", for which see recently Luzzietti (2022: 238). The same suffixed form \*dāna-ka- is reflected also in MPers. dānag, NPers. dāna. In our view, therefore, the word is not likely to contain a second element \*- $d\bar{a}n\bar{a}$ -. Another possibility is that - $\bar{a}na$ - is an old adjectival suffix of the type seen in ysämāna- "winter" (Degener 1989: 85). In any case, no matter what the exact origin of the element -āna- is, it must be secondary in view of the above-mentioned Sogd.  $\check{s}yw\check{s}p\delta n$  and Parthian  $\check{s}yf\check{s}d'n$ , which presuppose the shorter forms  $\check{s}yw\check{s}p^{\circ}$  and  $\check{s}yf\check{s}^{\circ}$ , respectively.

# 4. Revisiting the Iranian word for "iron"

Now that Khot. *hīśśana*- could be derived from \*ham-ćwanya-, it is worthwhile carrying out a survey of the Iranian words for "iron" to see if it is possible to unify the different reconstructions that appear to be needed because of the difficult correspondences.

#### 4.1. Avestan, Ossetic, and Sogdian

As pointed out to us by Alexander Lubotsky (personal communication), Avestan haosafnaēna- "out of steel" must be an inner-Iranian borrowing and may be explained as follows. The middle element -safna- must be the result of a metathesis of a sequence \*-sfana- in the source dialect: in Avestan, the cluster \*-sf- was not possible, and this metathesis was a strategy to nevertheless keep the \*f. The initial element hao- is often interpreted as a vṛddhi variant of \*hu- (e.g. Abaev 1958: 481), but no variant of "iron" with \*hu- is found anywhere else, and it is unclear what the function of vṛddhi could have been as °safnaēna- already contains an adjective suffix. In view of the reconstruction \*ham-ċwana- on the basis of Khotanese, it is more likely that Av. hao- goes back to the same prefix \*ham-, which had apparently become unrecognizable in the source dialect, most probably through a change of \*ham- to \*hau-. The postulated change to \*hau- allowed a simplification of the difficult cluster \*-msw- of \*ham-swana- to \*-sw-; or, if the word was \*ham-sfana- at that point, it allowed a simplification of \*-msf- to \*-sf-.

The source dialect from which \*hau-sfana- was borrowed may be related to Ossetic, since in Ossetic the word is  $\alpha f s \alpha n$ , whose cluster f s < \*tsw may have gone through an intermediate stage \*sf. However, Ossetic appears to show no trace of the prefix \*ham-, which would be expected to have been preserved as æn-, seemingly the "normal" development; or as Iron ys-, Digoron in-, with raising next to a nasal, cf. Iron yssyn, Digoron insun "sharpen" < \*ham-tsai-(Cheung 2002: 13); or perhaps w-umlaut operated. According to the discussion of Cheung (2002: 124–6), w- or u-umlaut changes a preceding \*a to Iron y, Digoron u if \*a is found next to a labial and the u is apocopated or lost otherwise. If w-umlaut operated before the change of \*tsw to \*sf / \*sp > fs, it seems that these conditions are met. Still, none of three possible developments of \*ham- would have resulted in loss of the nasal, and it is unclear why a resulting \*æn-, \*in- or \*un- would not have been preserved. It thus seems necessary to assume that the initial  $\alpha$ - of  $\alpha f s \alpha n$  is prothetic, and to derive the Ossetic word from a variant without prefix: \*tswana-. The only alternative is to assume that the nasal was lost before \*sf, as evidently happened in the source dialect of Avestan *haosafnaēna*- too. This is difficult to prove, but not unlikely either. In that case, the initial  $\alpha$ - could be the etymological reflex of the original

Even though Avestan *haosafnaēna*- and Ossetic cannot be reconciled so easily, it is tempting to consider the possibility that the Avestan form was borrowed from the "Scythian" dialect assumed by Lubotsky (2002), who attributes to it a change of initial \*p- to \*f-, as in Ossetic, on the basis of \*farnah- "glory" < \*parnah- "abundance, fulness", borrowed into Avestan as the famous  $x^v$ arənah-. Nothing is known so far about the development of \*tsw in this "Scythian" dialect, and it is not possible to verify whether it became indeed \*sf or something like it, but this dialect at least seems to have had the necessary prestige for borrowing into Avestan. It is tempting to think that the reason for this borrowing was a shift in meaning from the original "iron" to "steel".

If this "Scythian" dialect is related to Ossetic, as it seems to be because Ossetic also shows the change of \*p- to f-, it is worth considering that u/w-umlaut took place there as well. If so, an alteration of the prefix \*ham- by

w-umlaut may have been a further reason for the adaptation to hao- in Avestan. An indication that u/w-umlaut occurred in Eastern Iranian steppe dialects is provided by Tocharian B mot "wine; alcoholic beverage". This word is derived from Sogdian  $mw\delta$  "wine" by Pinault (2003: 183; cf. also Tremblay 2005: 438; Peyrot 2015), but this form is attested only in the Christian Sogdian Gospel lectionary E5 (Barbati 2016: 237), too late for borrowing into Tocharian. The normal Sogdian form is  $m\delta w$  /mə $\delta u$ / (Brāhmī mdhu; see Sims-Williams 1996: 309), which does not fit formally. A putative occurrence of  $mw\delta$  in Ancient Letter IV, l. 5, is rather to be interpreted as "price" (Sims-Williams 1983: 45). A derivation from Sogdian is thus impossible, and the Tocharian word points to a dialect with u/w-umlaut of \*madu to \*modu or \*mod. This may well be a dialect from the steppe, possibly Old Steppe Iranian (see §3), since no other known variety qualifies. However, Old Steppe Iranian certainly cannot be equated one-to-one with the source of Avestan \* $haosafna\bar{e}na$ -. We will discuss this matter further below.

As with Ossetic, it does not seem possible to derive Sogdian 'spn\*, Chr. Sogd. spn- from \*ham-tswana-: a form \*tswana- without prefix seems to be needed. In Sogdian, \*ham- regularly becomes 'n- and this would be expected to be preserved as such. As with Ossetic, the only alternative is to assume a special case of loss of the nasal before \*tsw or the later \*sp. If such loss is not accepted, Sogdian 'spn\* points to \*spana- < \*tswana-.

The Sogdian variant 'spyn reflects \*tswanya- (Sims-Williams 2016: 174). This variant is probably to be explained as a secondary, analogical development after "gold" within Sogdian (see also §6). It would otherwise be very difficult to explain the dominant Sogdian variant 'spn\* (cf. also Christian Sogdian spnyq "iron, of iron"; Sims-Williams 2016: 175), which cannot easily be accounted for if 'spyn was original.

#### 4.2. Pashto

The Pashto word for "iron" is a feminine noun  $\acute{o}spina$ ,  $\acute{o}spana$  (also found as  $\~{o}sp\~{n}a$  in scientific literature), which is derived from  $*\~{a}\acute{c}wany\={a}$ - by Morgenstierne (1927: 12), Klingenschmitt (2000: 193) and Cheung (2011: 177). Cheung suggests that the first-syllable accentuation of the Pashto forms is original and reconstructs  $*\~{a}\acute{s}wan(y)\={a}$ -. The \*y is posited to explain the -i- of  $\acute{o}spina$ .

The reconstruction of the initial element o- is especially difficult. Indeed, a possible source seems to be \* $\bar{a}$ -, as in the reconstructions by Morgenstierne, Klingenschmitt and Cheung, cf. e.g. oba "water" < \* $\bar{a}p$ - (Morgenstierne 1927: 9). According to Cheung (2011: 177), a condition for this sound law is that the \* $\bar{a}$  was accented, i.e. \* $\dot{a} > o$ . Another possible source of o- is probably \*hau-, either by assimilation from \*ham- $\dot{c}wanya$ - or by borrowing from another dialect in which such a dissimilation had taken place (see the Avestan in §4.1 above). Yet a third option is possibly \*a-, which according to Cheung (2011: 198) changes to o if it is accented and followed by a labial. Indeed, a labial follows in  $\dot{o}spana$ . Also in this case, the \*a- probably needed to be accented, because it would otherwise have been lost, as in  $b\dot{o}n$  "co-wife" < \*ha- $p\dot{a}\theta n\bar{\iota}$ -(Cheung 2010: 118).

To conclude, Pashto *óspina* could reflect  $*\dot{a}$ - $\acute{c}wany\bar{a}$ -, \*hau- $\acute{c}wany\bar{a}$ -, or  $*h\acute{a}$ - $\acute{c}wany\bar{a}$ -. <sup>11</sup> The first form could be compared with the forms reconstructed below for Persian, Parthian and Kurdish, but these languages point to  $*\acute{c}$  instead of  $*\acute{c}w$ , and so Pashto would be the only language requiring  $*\bar{a}$ - together with  $*\acute{c}w$ . The other two forms, \*hau- $\acute{c}wany\bar{a}$ - and  $*h\acute{a}$ - $\acute{c}wany\bar{a}$ -, would derive from \*ham- $\acute{c}wany\bar{a}$ - through assimilation of  $*m\acute{c}w$  to  $*u\acute{c}w$  or simplification of  $*m\acute{c}w$  to  $\acute{c}w$ , respectively. Importantly, the prefix must have been accented, as it was in the  $*h\acute{a}m$ - $\acute{c}wanya$ - leading to Khotanese  $h\bar{i}$ ssána-.

#### 4.3. Khwarezmian

In Khwarezmian, the following words connected to the notion of "iron" can be found in Benzing (1983): spny m. "iron (Ar.  $had\bar{\iota}d$  'iron')" (var. 'spny, sbny), spnynk and spynynk "made of iron",  $hn\check{c}w$  "spearhead" and  $hn\check{\jmath}w$  "having an iron tip",  $\theta$ : $hn\check{\jmath}w$  "iron-pointed staff" (with the Khwarezmian prefix  $\theta$ -"with"). Both  $hn\check{\jmath}w$  and  $hn\check{c}w$  can be read /han $\check{c}wa$ /, /han $\check{j}wa$ /, or /han $\check{j}u$ /, etc. If they are to be read /han $\check{j}wa$ /, /han $\check{c}wa$ / or /han $\check{j}u$ wa/, /han $\check{c}u$ wa/, the final -a could be read as a feminine nom.-acc. If the reading is /han $\check{j}u$ /, /han $\check{c}u$ /, it would be a masculine noun.

Of these forms, spny seems to be the genuine Khwarezmian form, with sp from PIr. \* $\dot{c}w$ -> sp- as in sptyr, spdyr "mule" < \* $a\dot{c}wa$ -tara-. There is no trace of a prefix, and the final -y suggests a preform \*tswanya-< \* $\dot{c}wanya$ -.\frac{12}{2} On the other hand,  $hn\dot{c}w$  cannot be inherited: apart from - $\dot{c}w$ , which cannot go back to \* $\dot{c}w$ , h- points to borrowing, since original \*h- was dropped in prevocalic position as in pn "co-wife", from Old Iranian \* $hapa\theta n\bar{i}$ - (cf. Durkin-Meisterernst 2009: 341).\frac{13}{3} There is no exception to this, and all Khwarezmian words that start with h- are loanwords.\frac{14}{4} The prefix \*ham- is also reduced to an- in inherited Khwarezmian words, as in nbnc "the (middle of the) way" < \*ham-panti-,  $nb\theta$  "companion" < \*ham- $pa\theta$ - (cf. Emmerick 1970: 68), nbnc(y) "to intend" < \*ham-bandaya- (cf. Henning 1971: 29), etc.

Thus, because of the initial h- and the medial c, j, it can safely be assumed that Khwarezmian  $hn\check{c}w$ ,  $hn\check{j}w$  is a loanword, borrowed after the loss of original word-initial \*h- in Khwarezmian. As a possible source language, one could think of prehistoric Khotanese \*hen- $\acute{s}wanya$ -, since this would account for the initial h- and the palatal cluster  $n\check{c}w$ ,  $n\check{j}w$ . However, this presupposes loss of the nasal final of Khotanese, which is difficult. Furthermore, we assume that the prehistoric Khotanese form borrowed into Tocharian had \* $n\acute{s}w$ , not \* $n\acute{c}w$ , although the latter option is difficult to exclude. Another obvious problem is that Khotan and Chorasmia are geographically far apart from each other. Perhaps the

- 11 \*á-ćwanyā- is also possible, but has no parallels in the reconstructions we propose.
- 12 The assumption of borrowing from or into Sogdian 'spn-, spyn-, etc. (Gharib 1995: 64) is unnecessary.
- 13 Except \*hū- and hu- which become xu- in Khwarezmian. The word hwny "blood" shows that \*wahūnī "blood" (Av. vohunī-) became hūnī only after hu- became xu-.
- 14 Khwarezmian *hrs* "bear (Arabic *dubb* 'bear')" remains problematic. In this word the *h* is to be compared with the *x* of Persian *xirs*. Possibly, therefore, *hrs* "bear" is a borrowing in Khwarezmian.
- 15 Tocharian is lacking this nasal final, too, but can be excluded as a possible source of borrowing because the language does not have \*h at all.

Khwarezmian word was borrowed at a very early stage, when prehistoric Khotanese had not yet moved into the Tarim Basin and still had  $*n\acute{c}w$ , but it is questionable whether \*h- was lost so early in Khwarezmian. In view of all these problems, it seems likely that if  $hn\acute{c}w$ ,  $hn\~{j}w$  is to be explained from prehistoric Khotanese, it was not borrowed directly, but mediated by a third language.

#### 4.4. Persian, Parthian, and Kurdish

Although the Achaemenids must have known iron,  $^{16}$  there is no trace of a word meaning "iron" in Old Persian. In Zoroastrian Middle Persian (Pahlavi) the word for "iron" is <'hyn'>, <'syn'>, which we think should be read as /āhen/ or /āhin/ (cf. also Skjærvø 1994: 271);  $^{17}$  in Manichaean Middle Persian it is ''hwn, transcribed as /āhun/ by Durkin-Meisterernst (2004: 35); and in Parthian it is (')'swn. Durkin-Meisterernst's transcription of ''hwn with short u must be based on etymological considerations. As far as the spelling is concerned, both ''hwn and (')'swn could also have long  $\bar{u}$ , i.e.  $\bar{a}hun$ ,  $\bar{a}sun$  or  $\bar{a}h\bar{u}n$ ,  $\bar{a}s\bar{u}n$ . The  $\bar{u}$ -vocalism of Manichaean Middle Persian ''hwn must have been taken over from Parthian (')'swn. <sup>18</sup> New Persian has  $\bar{a}han$  for "iron", which cannot be derived from either  $\bar{a}hen$ ,  $\bar{a}hin$  or  $\bar{a}h\bar{u}n$ .

In Kurdish we find Sanandaji  $\bar{a}sin$ , Kurmanji  $(h)\bar{a}sin$ , hesin, and in Zazaki asi,  $\bar{a}sin$ . These words cannot derive from a preform with \* $\acute{c}w$  either, since the cluster \* $\acute{c}w$  becomes -sp- as in Kurdish hesp "horse",  $sp\hat{i}$ , spah "louse".

- We know of iron knives in an Achaemenid context (cf. Moorey 1982: 96); a Neo-Elamite text from the Acropole Archive (s 49) mentions iron explicitly (cf. Henkelman 2008: 361); and iron was used in the construction of Cyrus' tomb at Pasargadae (cf. Stronach 1985: 840).
- 17 Pace Klingenschmitt (2000: 193), the variants with *y* do not reflect /āhēn/, since *y* would always be written if the vowel was long. Here, it is not always written and therefore it is rather a *mater lectionis*, representing a short *e* or *i*. This short *e* or *i* is also found in other Iranian languages, such as Balochi *āsin* (Korn 2005: 87), or in the closely related Bakhtiari language, where one finds the form *āhen* (Anonby and Asadi 2014: 201).
- 18 A parallel can be observed in the Balochi form  $\bar{a}hin$  "iron", which can be interpreted as Persian  $\bar{a}han$  with the i taken over from genuine Balochi  $\bar{a}sin$  (so Korn 2005: 193) or, conversely, as Balochi  $\bar{a}sin$  with the h taken over from Persian  $\bar{a}han$ .
- 19 He derives Pahlavi  $\langle h(y)n \rangle$  from  $*\bar{a}suan(i)\underline{i}\bar{a}$ . This is not possible because  $*\acute{c}w$  (his notation su) does not become h in Pahlavi.

As in Persian, these forms may derive instead from a preform with  $*\acute{c}$ , not  $*\acute{c}w$ . The vowel i in the second syllable seems to point to  $*\bar{a}$ - $\acute{c}anya$ - with \*y.

Balochi *āsin*, in turn, could have -s- as a regular result of PIr. \*-ćw- (Korn 2005: 90–91) as well as \*-ć-. In theory, one might try to derive the Kurdish forms from Balochi, since they could then be derived from a preform with \*ćw. Indeed, Balochi is likely to have originally been spoken on the border of the Caspian Sea, and borrowing between Kurdish and Balochi occurred (cf. Korn 2005: 51, and especially Korn 2006). However, it is unlikely that a Balochi form was borrowed so widely, and it would not account for the form of the Persian and Parthian words. It is thus better to group Balochi with Kurdish and Persian and derive it from \*ā-ćanya-.

Thus, the most likely reconstruction for Persian, Balochi, Kurdish, and Zazaki, etc., is  $*\bar{a}$ -ćanya-. For Parthian, which influenced the vocalism of Manichaean Middle Persian 'hwn, a source form  $*\bar{a}$ -ć $\check{u}$ n(y)a- seems needed.

## 5. The Iranian word for "iron": reconstructions and etymology

In the preceding section, we have made reconstructions for several groups of Iranian words for "iron". We now attempt to reduce the number of reconstructions further. In this way, we think we can come closer to a unified reconstruction and an etymology. Even though full unification appears to be impossible, the variation in preforms can be reduced to such an extent that the assumption of a substrate term or a Wanderwort is no longer warranted. Only Khwarezmian hnjw, hnčw cannot be inherited and must have been borrowed if it is related.

The reconstructions for the Iranian words for "iron" that we have made thus far are the following:

- 1) Khot.  $h\bar{i}\dot{s}\dot{s}ana$  < \*ham- $\dot{c}wanya$ -.
- 2) Av. *haosafnaēna*-, borrowed from a form going back to \*ham-tswana- < \*ham-ćwana-.
- 3) Sogdian 'spn\* and Ossetic æfsæn < \*tswana- < \*ćwana- (only to be derived from \*ham-ćwana- with the assumption of special loss of \*m in the cluster \*mćw or its later stages \*mtsw or \*msp).
- 4) Khwarezmian spny < \*tswanya- < \*ćwanya-.
- 5) Pashto  $\acute{o}spina$ ,  $\acute{o}spina < *-tswan\bar{a}$  or \*-tswany $\bar{a}$  < \*- $\acute{c}wan(y)\bar{a}$  (the prefix can be reconstructed in various ways).
- 6) Parthian 'swn, swn <  $\bar{a}$ -ć $\bar{u}$ na- or  $\bar{a}$ -ć $\bar{u}$ nya- (the  $\bar{u}$ -vocalism has probably influenced Manichaean MPers. hwn).
- 7) Kurdish  $\bar{a}sin$ ,  $h\bar{a}sin$ ,  $hesin < *\bar{a}-\acute{c}anya-$ .
- 8) Balochi  $\bar{a}sin < *\bar{a}\acute{c}anya$  or \* $\bar{a}\acute{c}wanya$ -.
- 9) Pahlavi <'hyn>, <'hn> probably stands for  $/\bar{a}hen/$  or  $/\bar{a}hin/$ , apparently from  $*\bar{a}$ - $\acute{c}anya$  with a dialectal reflex of e or i because of the final \*-ya.

In these reconstructions, there is variation in the prefix, the root, and the final, which is -a or -ya. In spite of this large variation, it seems that two main

<sup>20</sup> As noted above in §4.2, Pashto requires \*- $\bar{a}$  or \*- $y\bar{a}$ . Most other languages allow both \*-a and \*- $\bar{a}$ , except for Ossetic and Khotanese, which require \*-a and \*-ya, respectively.

groups can be distinguished: an eastern group with \*- $\acute{c}w$ - and a western group with \*- $\acute{c}$ -. The variation in the prefix conforms to these main groups as well: the prefix is \* $\bar{a}$ - in the western group, and in the eastern group it is \*ham- (as in Khot.  $h\bar{i}\acute{s}\acute{s}ana$ -) or it is – at least superficially – lacking (as in Oss.  $\alpha fs\alpha n$ ). We may thus tentatively assume that Pashto o- in  $\acute{o}spina$ ,  $\acute{o}spana$  reflects \*ham-, because the -sp- goes back to \*-tsw- < \*- $\acute{c}w$ -. Likewise, Balochi  $\bar{a}sin$ , which could reflect both \* $\bar{a}\acute{c}anya$ - or \* $\bar{a}\acute{c}wanya$ -, is now best derived from \* $\bar{a}\acute{c}anya$ -. We propose a tentative solution to connect the reconstruction of the western and eastern groups below, but since this is better understood in light of our etymology, we will discuss the etymology first.

Abaev (1958: 481) derives the Iranian word for "iron" from the root set up as \*suan- (< \*tswan- < \*ćwan-) "benefit, bless" by Cheung (2007: 370). Indeed, this could formally work for the eastern group, but the semantic connection to "iron" is far from compelling. The semantic range attested for this root includes "useful" on the evidence of Sogdian ptspyn- "be useful, beneficial", and obviously iron may be beneficial and useful, but so are many other things.

A better match semantically is offered by the root set up as \*syaH- "move, throw, erect" ( $< *tswaH- < *\acute{c}waH-$ ) by Cheung (2007: 369–70).<sup>21</sup> For the semantic connection between "throw" and "iron", it is tempting to compare English cast, which means "throw" (amongst many other things) and is used in the term cast iron. A problem with this comparison is that there is no indication that the Iranian words referred specifically to cast iron. As a second option, it may be suggested that \**ćwaH*- with the preverb \**ham*- "together" meant "put together, mix", a shade of meaning of \**ćwaH*- that is attested in Late Khot. *niśś*-: niśśāta- (< \*ni-ćwaH-; Emmerick 1968a: 56), used in the Siddhasāra to describe the action of throwing together different ingredients in a vessel to prepare a medicament or a special drug (see e.g. Si §2.34, §2.35). \*ham-ćwaH- could then have referred to mixing iron with e.g. carbon to make steel. Indeed, this would fit the Avestan meaning "out of steel" for haosafnaēna-. However, many variants of the word for iron have no prefix, or the prefix  $*\bar{a}$ - instead, and Avestan is the only language showing the specific meaning "steel". A third, and probably the best option is to take \*cwaH- to refer to striking iron in smithing. French cingler provides a neat semantic parallel, since it refers exactly to this, and further means "whip, lash". An even better parallel is P'urhepecha (Mexico) tayacata "silver", which derives from the root taya- "to give blows" (Bellamy 2018: 8). From Iranian we may cite Ossetic Iron cæğdyn, Digoron cæğdun, which means (amongst others) "strike iron" and derives from PIr. \*čak/g- "hit, strike" (Cheung 2007: 31-2). This third option also accounts best for the variation in the preverb: the basic form may have been *ćwaH*- "strike", while \*ham-ćwaH- and \*ā-ćwaH- meant "strike together" and "strike at", respectively.

For the eastern group, the form of the word can be explained with the assumption of a suffix \*-ana- to the zero grade of the root: \*ćuH-ana-. As noted by LIV (p. 339), this requires a secondary replacement of the expected

<sup>21</sup> On the basis of Iranian only, LIV (p. 339) sets up \* $\hat{k}\mu eH$ - "werfen" with a question mark, separating it from \* $\hat{k}\mu eh_I$ - "anschwellen".

\*ćuw by the attested \*ćw. In other words, the formation \*ćuH-ana-> \*ćuwana-was analogically adapted to the verb, which had \*ćw in the full grade variant \*ćwaH-. Indeed, the verb is attested, with reflexes of the cluster \*ćw, in several eastern languages: Av.  $sp\bar{a}$ - < \*ćwaH-, Sogd. 'pspy- < \*apa-ćwaH-ya- and Khot.  $pa\acute{s}\acute{s}$ - < \*apa-ćwaH- and  $ni\acute{s}\acute{s}$ - < \*ni-ćwaH-.

The protoform  $*\acute{c}uH$ -ana- offers perspectives to connect the reconstructions for the western group, since in this reconstruction  $*\acute{c}$  is found before \*u rather than \*w, and would regularly develop into MPers. h and Parthian and Kurdish s, etc. However, it is by no means obvious how the vocalism of the respective reconstructed forms is to be explained from \*uHa. We make a number of suggestions below, but note that these remain tentative.

The only forms with  $\check{u}$ -vocalism are Parthian ''swn, 'swn and Manichaean MPers. ''hwn, which we believe was influenced by Parthian. As noted above, Durkin-Meisterernst (2004: 35) interprets the  $\check{u}$ -vocalism as short u, but it could also be long  $\bar{u}$ . If this latter interpretation is correct, Parthian ''swn /āsūn/ could continue \* $\bar{a}$ -ćuwana- or \* $\bar{a}$ -ćuwanya- directly. We would then interpret Manichaean MPers. ''hwn as /āhūn/ too.

The Persian, Kurdish and Balochi forms together point to  $*\bar{a}$ -ćanya-, but this is not so easily derived from  $*\bar{a}$ -ćuwanya-. We tentatively propose that these forms are to be explained from a secondary w-cluster, which arose from \*uwa after primary  $*\acute{c}w$  had developed further, so that it was treated differently. For Persian, the development of primary  $*\acute{c}w$  may have been as follows:  $*\acute{c}w > *tsw > *sw > s$ . That is, the following \*w caused a change of  $*\acute{c} > *ts$  to s, so that \*ts here did not develop to  $\theta$  as elsewhere (see also n. 24). We propose that secondary " $*\acute{c}w$ " arose after the simplification of \*tsw to \*sw, probably in the form \*tsw. However, at this stage the same cluster was simplified differently: instead of the first element \*t, it was this time rather the last element \*w that was lost.

The proposed development is schematized in Table 1.

Obviously, it is possible to assume that the hypothesized  $*sw < *\acute{c}w$  and  $*tsw < *\acute{c}uw$  were simplified to \*s and \*ts at the same time in Persian, since no reflex of \*w is found in either case. In Kurdish, however, a reflex of \*w is found in  $sp < *\acute{c}w$ , so that we need to assume that in primary  $*\acute{c}w > *tsw$  the \*w was not lost completely. In other words, primary  $*\acute{c}w > *tsw$  developed to sp, while secondary " $*\acute{c}w$ " developed as follows:  $*\acute{c}uw > *tsuw > *tsw > *ts > s$ . As remarked above, Balochi points to  $*\ddot{a}\acute{c}anya$ - or  $*\ddot{a}\acute{c}wanya$ -, but assuming it is to be grouped with the other western languages, the merger of primary  $*\acute{c}w$  with secondary " $*\acute{c}w$ " could have occurred at any of several stages.

Table 1. The proposed development of \* $\acute{c}w$  and \* $\acute{c}uw$  in Persian.

Primary *ćw	Secondary "*ćw" < *ćuw
*ćw	*ćuw
*ćw *tsw	*tsuw
*SW	*tsuw
*sw *sw	*tsw
*SW	$*t_S$
S	θ

We are aware that the proposed different outcomes of secondary "\* $\acute{c}w$ " are hypothetical, but point out that secondary "\* $\acute{c}w$ " from \* $\acute{c}uw$  must have been a very rare cluster, so that a special explanation is warranted. Nevertheless, it is clear that variation in the terms for iron remains: the western group needs \* $\ddot{a}$ - $\acute{c}uHan(y)a$ -, while the eastern group needs \*ham- $\acute{c}uHan(y)a$ -, and perhaps in addition \* $\acute{c}uHan(y)a$ - without prefix. Further, some forms require a preform \* $-\acute{c}uHana$ -, while there are many forms that presuppose \* $-\acute{c}uHanya$ -. 22 At present, we have no definitive explanation for this latter variation: the \*y was probably taken over analogically from \* $\acute{j}aranya$ -> \*dzaranya- "gold", but we cannot at present say at which stage this adaptation occurred or how often it occurred in parallel, but independently.

Keeping in mind the many uncertainties discussed above, our proposal to reduce the variation in the preforms needed for the Iranian words for "iron" may be summarized as follows:

- 1) \*ham-ćuHana-: with replacement of \*ćuH by \*ćw, probably analogical after the verb \*ćwaH-, this developed to \*ham-ćwana-. This form is the source of (in many cases the final is -ya):
  - a) Khot.  $h\bar{i}\dot{s}\dot{s}ana$  < \*ham- $\dot{c}wanya$ -;
  - b) Av. haosafnaēna-, borrowed from a form going back to \*ham-ćwana-;
  - c) Pashto *óspina*, *óspəna* < \**ham-ćwan*(*y*) $\bar{a}$ -.
- 2) \*ćuHana-: as above, with replacement of \*ćuH by \*ćw, and sometimes with the final -ya. This \*ćwana- can only be derived from \*ham-ćwana- if special loss of the nasal in the cluster \*mćw is assumed. \*ćwana- is the source of:
  - a) Sogdian 'spn\*;
  - b) Ossetic æfsæn;
  - c) Khwarezmian spny.
- 3)  $*\bar{a}$ - $\acute{c}uHanya$ -: this form is the source of (some forms need -ya, the other forms may have had -ya too):
  - a) Parthian ''swn, 'swn, if /āsūn/, < \*-ćūnya- < \*ā-ćuwanya- < \* $\bar{a}$ -ćuHanya-;
  - b) Kurdish *āsin*, *hāsin*, *ḥesin*, Balochi *āsin*, Pahlavi <'hyn>, <'hn> < \*ā-ćanya-, with loss of \*w in secondary \*ā-ćwanya- < \*ā-ćuWanya- < \*ā-ćuHanya-.

The variation between \*ā-ċuHana-, \*ham-ċuHana- and \*ċuHana-, as well as possibly that between the forms with final \*-ya and those without, is probably due to the fact that iron spread throughout the Iranian world around the turn of the second to the first millennium BCE (Moorey 1982; Pigott 2004), long after the break-up of Proto-Iranian.

However, in spite of this remaining variation, <sup>23</sup> our reconstructions account for most of the correspondences that were always seen as irregular. It is in

<sup>22</sup> So many forms require \*y that one may even consider that it was lost in some cases, like for instance in Av. *haosafnaēna*-, where simplification of an earlier \*hau-safnyaina-would not be surprising.

<sup>23</sup> It is conceivable, but in our view impossible to prove currently, that \*ā-ċuHana- is adapted from a variant of \*ham-ċuHana- that arose through a development of the cluster

our view unlikely that the word for "iron" is a substrate term or a Wanderwort. The formation is genuinely Iranian and shows no marks of borrowing.

# 6. The Proto-Indo-Iranian cluster \*ću in Khotanese-Tumšuqese

As a corollary, our derivation of Tocharian B  $e \tilde{n} c u wo$  from Khotanese provides important evidence for the development of the Proto-Indo-Iranian cluster  $*\acute{c}u$  in the Khotanese-Tumšuqese branch. It is well known that the Proto-Indo-Iranian cluster  $*\acute{c}u$  as in Skt.  $\acute{a}\acute{s}va$ - "horse", Av. aspa- "id."  $<*a\acute{c}ua$ - is represented in Khotanese as  $\acute{s}(\acute{s})$  (Emmerick 1989: 216). This strongly suggests that this cluster remained palatal in Khotanese, and was never depalatalized to \*sw or \*tsw as in all other Iranian languages except Tumšuqese and Wakhī (see e.g. Sims-Williams 1998: 136; Peyrot 2018). This special archaism of Khotanese, Tumšuqese and Wakhī gives these languages a special status within the Iranian branch: apparently, these languages form a branch that left the Proto-Iranian speech unity at an early date, after which the remaining unitary variety, or close-knit group of dialects, underwent the well-known further development to \*tsw at first, and then to \*sw > Av. sp, Old Persian s,  $^{24}$  etc.

Although it was fairly improbable, it was in fact theoretically possible that Khotanese  $\dot{s}(\dot{s})$  does not continue Proto-Indo-Iranian \* $\dot{c}\dot{u}$  directly. Since \*sy < Proto-Indo-Iranian \* $\dot{c}\dot{\iota}$  is known to become Khot.  $\dot{s}(\dot{s})$  too, there was still a minor option that Proto-Indo-Iranian \* $\dot{c}\dot{u}$  developed to \*tsw > \*sw as in the other Iranian languages, after which a change of \*sw to \*sy >  $\dot{s}(\dot{s})$  took place. We are not aware of any evidence in favour of this complicated alternative course of events, but it was difficult to exclude with certainty.

With our explanation of Tocharian B  $e\tilde{n}cuwo$ , there is now for the first time clear evidence that in prehistoric Khotanese the cluster was palatal and contained \*w at the same time. On the basis of  $s\tilde{a}\tilde{n}capo$  (see above), we suppose the prehistoric Khotanese cluster was \*sw at the time of borrowing, not \*cw, but if it was in fact \*cw, this would not affect our argument. Since \*s (or perhaps \*c) was found together with \*w, it is impossible that the \*s is due to a change of \*w to \*y.

Another alternative explanation for Khot.  $\dot{s}(\dot{s})$  has been offered by Kümmel (2007: 234; see also Novák 2013: 121–2), who attributes the palatal character of Khot.  $\dot{s}(\dot{s})$  to rounding of \*s by the following \*w. In other words, \*s<sup>w</sup> < \*sw < \*ćw should have merged with \* $\dot{s}$  < \*sy < \*ćy when the \*w was lost because \* $\dot{s}$  was phonetically [ $\dot{s}$ ]. Although there are no indications that Khot.  $\dot{s}(\dot{s})$  was rounded, this is difficult to exclude. However, it is obvious that if it was rounded, this must have been a secondary feature, and it is in our view very unlikely that a rounded dental s was shifted to become a rounded palatal

<sup>\*</sup>mć. In our view, it is more likely that these two basic variants reflect a difference in morphological formation rather than a phonological development.

<sup>24</sup> It is difficult to rule out that Old Persian s has developed from \* $\acute{c}w$  directly. However, if an intermediate stage \*tsw is assumed, the change to s can be conceived of as a simplification of \*ts to \*s before \*w: \*tsw > \*sw > s (see also §5 above). This simplification is paralleled by the development of PIr. \* $\acute{c}m$  > \*tsm to OP sm.

 $\dot{s}$ : in Khotanese,  $\dot{s}$  clearly is the palatal counterpart of  $\dot{s}$ . The evidence we here adduce for an earlier stage \* $\dot{s}w$  (or possibly \* $\dot{c}w$ ) of Khot.  $\dot{s}(\dot{s})$  is a further counter-argument against Kümmel's explanation. Since it shows that \* $\dot{s}$  and \* $\dot{w}$  were found together in the same cluster, it follows that  $\dot{s}$  cannot have arisen secondarily through the merger of \* $\dot{s}$  < \* $\dot{c}v$  with \* $\dot{s}^w$  < \* $\dot{c}w$  after the loss of \* $\dot{w}$ .

Thus, our explanation of  $e\tilde{n}cuwo$  further confirms that Khotanese (and with it, Tumšuqese and Wakhī) preserves an archaism in the palatal outcome of the Proto-Indo-Iranian cluster  $*\acute{c}u$  and therefore split off from Proto-Iranian earlier than any other known dialect or branch of Iranian.

#### 7. Iron and bronze in the Tarim Basin

Another interesting consequence of our finding that the Tocharian word for "iron" derives from Pre-Khotanese is the fact that it allows important inferences about the prehistory of the Tarim Basin. Most importantly, Tocharian B eñcuwo "iron" cannot have been borrowed from Old Steppe Iranian, the dialect that is the source of an important layer of Iranian borrowings (see above, §3). Although this prehistoric dialect is only indirectly attested, the number of borrowings from this dialect into Tocharian is sufficiently large to establish regular sound correspondences that allow us to accept or reject further etymologies (Bernard, ongoing research). These correspondences may even be used to formulate exact hypotheses about the shape of thus far unattested borrowings from this dialect into Tocharian.

Based on the sound correspondences of Old Steppe Iranian borrowings established thus far, the following hypotheses may be set up for the various possible forms of the word for "iron":

- \*tswana- (< \*ćwana-): Toch.B \*\*tswene, Toch.A \*\*tswam. The vowels \*a\_a would certainly have been represented with Toch.B e\_e, and the cluster \*tsw is represented as tsw in Toch.B etswe ← \*atswa- "horse" (< \*aćwa-; Peyrot 2018).</p>
- \*ham-tswana- (< \*ham-cwana-): Toch.B \*\*entswene, Toch.A \*\*ontsam (?). The \*h- of \*ham- would certainly have been lost, and the \*m would probably have been assimilated to \*n before \*ts. In Tocharian A, w-umlaut could have taken place, which would have given \*\*ontsam; without w-umlaut, \*\*antswam. In words with the vowels e\_e\_e, the middle syllable is syncopated. If that happened here too, Toch.B \*\*entswne, \*\*entsune or \*\*entsne would be expected.
- \*hom-tswana- (< \*ham-tswana- with w-umlaut): Toch.B \*\*ontswene, Toch.A \*\*ontswam.

Importantly, in the stage of this dialect that yielded so many borrowings in Tocharian, the cluster \*tsw had not developed to the \*sf or \*tsf needed for Avestan haosafnaēna- (Peyrot 2018). If Avestan has borrowed that form from the same or a closely related dialect, it must be from a later stage.

None of the hypothetical forms postulated above is attested in Tocharian, and all these forms are clearly different from Toch.B eñcuwo, Toch.A añcu\*, the terms that are actually found. At the same time, it has been noted before that many borrowings from Old Steppe Iranian are military terms and terms for

weapons,<sup>25</sup> like Toch.B *retke* "army" (cf. MPers. *radag* "line, row"), *tsain* "arrow" (cf. Av. *zaēnu-* "baldric"), *kertte* "sword" (cf. Av. *karəta-* "knife"), *peret* "axe" (cf. Oss. *færæt* "axe"). The fact that Tocharian borrowed so many words for weapons from this dialect, but not the word for iron, which was instead borrowed from prehistoric Khotanese, strongly suggests that at the time these weapon terms were borrowed, iron was not yet in use, or its use was not widespread. Although it cannot be proved in the strict sense, it thus appears that the *tsain* "weapon", *kertte* "sword" and *peret* "axe" that the Tocharians took over from the Iranians were made of bronze. Only later, when the Tocharians came into contact with prehistoric Khotanese, they must have become well acquainted with iron, when they borrowed the word for it from this other archaic Iranian dialect.

Thus, the fact that the Tocharian word for iron has been borrowed from prehistoric Khotanese and not from Old Steppe Iranian, from which otherwise many terms for weapons were borrowed, suggests that contacts with Old Steppe Iranian took place at an early period: in the Bronze Age, or at the latest at a time when the use of iron was not widespread. It appears that the Andronovo presence in northern Xīnjiāng, dated to the thirteenth to ninth centuries BCE by Kuz'mina (2008: 98–107) provides a neat match for the contacts between Tocharian and Old Steppe Iranian. Only for the early first millennium BCE are there iron finds from Xīnjiāng (Guo 2009).

On the other hand, prehistoric Khotanese is another archaic Iranian dialect that does not descend from Old Steppe Iranian. While Old Steppe Iranian was probably spoken in northern Xīnjiāng, prehistoric Khotanese (or Khotanese-Tumšuqese) probably entered the Tarim Basin from the west, which fits the historical distribution of Khotanese in the southwest Tarim Basin and the closely related Tumšuqese in the northwest. The best archaeological match for the earliest stage of this Iranian branch in the Tarim Basin seems to be the Aqtala Culture, which spread in the western Tarim Basin from the early first millennium BCE (Peyrot 2018).

It has been suggested that iron was introduced into the Tarim Basin from the west too, since iron is attested relatively early in the Chust Culture of Fergana, and there are cultural links between the Chust Culture and Xīnjiāng (Mei and Shell 2002: 229–30; Wagner 2008: 97; Mallory 2015: 25). If this is correct, it is possible that prehistoric Khotanese speakers introduced iron in the Tarim Basin. However, iron spread quickly in the early first millennium BCE, as shown also by ninth-century finds from Arzhan in Tuva (Guo 2009: 108), and no conclusive routes for its spread could thus far be established on the basis of the relatively small number of finds (see Mei et al. 2015: 226 for iron in Central China). Therefore, it is very well possible that iron was introduced in parallel in northern Xīnjiāng. For our purposes, however, it suffices to say that speakers of prehistoric Khotanese made iron known to the early Tocharians.

<sup>25</sup> Winter (1971) makes this semantic argument but misidentifies the language as Bactrian. See further Schmidt 1985.

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