1

Treatment Condition as a Moderator and Change in Trait Mindfulness as a Mediator of a Brief Mindfulness Ecological Momentary Intervention for Generalized Anxiety Disorder

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Abstract

Objective: Theories propose that judgment of and reactivity to inner experiences are mediators of the effect of mindfulness-based interventions on generalized anxiety disorder (GAD). However, no study has tested such theories using brief, mindfulness ecological momentary intervention (MEMI). We thus tested these theories using a 14-day MEMI vs. selfmonitoring app control (SM) for GAD. Method: Participants (N = 110) completed self-reports of trait mindfulness (Five Facet Mindfulness Questionnaire), GAD severity (GAD-Questionnaire-IV), and trait perseverative cognitions (Perseverative Cognitions Questionnaire) at prerandomization, post-treatment, and one-month follow-up (1MFU). Counterfactual mediation analyses with temporal precedence were conducted. Results: Change in pre-post mindfulness domains (acceptance of emotions, describing feelings accurately, acting with awareness, judgment of inner experience, and reactivity to inner experience) predicted pre-1MFU change in GAD severity and pre-1MFU change in trait perseverative cognitions from MEMI but not SM. MEMI reduced pre-post reactivity to inner experiences (but not other mindfulness domains) significantly more than SM. Only reduced pre-post reactivity significantly mediated stronger efficacy of MEMI over SM on pre-1MFU reductions in GAD severity (indirect effect: $\beta = -2.970$ [-5.034, -0.904], p = .008; b path: $\beta = -3.313$ [-6.350, -0.276], p = .033; percentage mediated: 30.5%) and trait perseverative cognitions (indirect effect: $\beta = -0.153$ [-0.254, -0.044], p = .008; b path: $\beta = -0.145$ [-0.260, -0.030], p = .014; percentage mediated: 42.7%). Other trait mindfulness domains were non-significant mediators. Conclusion: Reactivity to inner experience might be a

mindfulness-based intervention change mechanism and should be targeted to optimize brief

MEMIs for GAD.

Keywords: causal inference; change mechanism; ecological momentary intervention; generalized anxiety disorder; mediation; mindfulness; randomized controlled trial

EXAMINING TRAIT MINDFULNESS DOMAINS AS MEDIATORS

4

1 Mindfulness-based interventions (MBIs) aim to improve attention focused on the present 2 moment, purposefulness, and non-judgmental awareness [1]. Meta-analytic data from 3 randomized controlled trials (RCTs) indicated that both therapist-led [2] and entirely self-guided [3, 4] MBIs were significantly effective in mitigating anxiety, depression, and associated 4 5 common mental health symptoms. Nevertheless, there remains uncertainty regarding whether 6 MBI outcomes can be unequivocally attributed to a particular change mechanism. Understanding the mechanisms behind the effectiveness of MBIs might assist clinicians and policymakers in 7 8 pinpointing the therapeutic targets to prioritize, thus potentially enhancing efficacy by initiating 9 essential change processes [5]. Consequently, it is imperative to conduct MBI trials to evaluate 10 potential change mechanisms. 11 MBIs are believed to operate by focusing non-judgmental attention on the present 12 moment and enhancing disciplined attention toward a task. They teach people to persistently 13 cultivate these skills in the face of challenges [6]. Due to the focus of MBIs on the present 14 moment, disciplined mindfulness exercises counteract psychopathological symptoms, such as excessive worry about potential future threats, which are central to generalized anxiety disorder 15 [GAD; 7]. Overall, these theories posit that trait mindfulness would serve as a mediator of the 16 17 impact of MBIs on mental health outcomes.

Five trials offered consistent evidence for this mediation hypothesis. Three single-arm trials showed that increased global trait mindfulness mediated the impact of MBIs on reductions in GAD severity [8] and perceived stress [9-11]; however, the absence of a control group precluded ruling out regression to the mean and expectancy effects and limited internal validity

EXAMINING TRAIT MINDFULNESS DOMAINS AS MEDIATORS

5

22 and causal inferences. A two-arm waitlist-controlled RCT showed that increased pre-post global trait mindfulness mediated the effect of MBSR on lower post-treatment distress and avoidance in 23 24 cancer patients [12]. Despite that, this RCT comprised only two assessment waves and thus could not specify temporal precedence in a change-to-change causal chain as recommended [13]. 25 26 In a three-arm RCT that exemplified best mediation practices, increased pre-mid global trait 27 mindfulness mediated the effect of a fully self-guided internet-delivered MBI against waitlist and active control on reducing pre-post stress among college students [14]. However, focusing on 28 global trait mindfulness limits understanding of how specific domains might act as mediators in 29 30 understanding MBI change mechanisms. Improving our comprehension of which specific trait 31 mindfulness domains act as stronger mediators than others in enhancing outcomes can facilitate 32 the precise customization of MBIs.

33 Factor analyses have classified trait mindfulness domains into five categories [15, 16]. 34 Observing pertains to paying attention to or recognizing inner and outer experiences, i.e., 35 auditory inputs, feelings, olfactory sensations, thoughts, and visual cues. Describing entails mentally recognizing or labeling experiences using language. Acting with awareness refers to 36 37 focusing on present actions instead of engaging in autopilot or inattentive behavior. Judgment of 38 inner experience is the tendency to form negative opinions about one's feelings, sensations, and 39 thoughts, e.g., berating oneself for feeling upset after a breakup rather than processing emotions 40 such as sadness without judgment. Reactivity to inner experience indicates a resistant and non-41 accepting response to one's fleeting feelings and thoughts instead of letting feelings naturally 42 come and go. An example of reactivity includes resisting feelings of doubt while working on a

6 EXAMINING TRAIT MINDFULNESS DOMAINS AS MEDIATORS

project instead of accepting the feeling and allowing it to pass naturally, thereby adversely
affecting focus on the task. Higher judgment and reactivity to inner experience tended to
coincide with lower trait mindfulness and more frequent repetitive thinking [17, 18].

To maximize the benefits of MBIs in reducing GAD symptoms and related perseverative 46 47 cognitions, it may be crucial to specifically enhance two distinct trait mindfulness domains: 48 decreased judgment and reduced reactivity to inner experiences. This proposition is based on consistent evidence that GAD was marked by excessive reactivity and inflexible beliefs about 49 50 the "utility" of worry to protect oneself from sharp increases in negative emotions rather than 51 mindfully allowing emotions to ebb and flow [19, 20]. Subjectively, heightened GAD severity 52 has been uniquely correlated with higher judgment and reactivity [21]. Further, individuals with 53 (vs. without) GAD self-reported heightened emotional intensity and more difficulty bouncing 54 back from strong negative emotions [22, 23]. They also experienced an increased sense of threat 55 and reduced emotional control [24-26]. Interpersonally, persons with (vs. without) GAD were 56 more reactive to the negative emotions of others during social interactions [27]. Neurologically, 57 they exhibited increased amygdala sensitivity when expecting an adverse event [28]. 58 Physiologically, people with (vs. without) GAD showed delayed autonomic recovery when 59 confronted with emotionally charged situations [29]. The contrast avoidance model proposes that persons with GAD fail to practice mindful non-reactivity to inner experiences and instead use 60 61 worry to increase and sustain negative emotions to avoid intense reactivity to stressors or abrupt 62 spikes in negative emotions [19, 30]. There is also a tendency in GAD toward negatively biased interpretations about ambiguous issues [cf. cognitive model; 31, 32]. Thus, refraining from 63

7 EXAMINING TRAIT MINDFULNESS DOMAINS AS MEDIATORS

judgment is essential. According to these theories and evidence, MBIs may need to reduce
reactivity and judgment to effectively decrease worry and other repetitive thoughts in these
individuals.

67 Despite these theories, no trials have tested how changes in specific trait mindfulness domains preceded and mediated reductions in symptoms and if treatment group moderated such 68 69 mediation effects in the context of GAD. However, five trials have examined how distinct trait mindfulness domains might mediate the effect of MBIs against controls on other mental health 70 71 outcomes. For example, pre-post increased observing and reduced reactivity to inner experience 72 mediated the effect of MBI against waitlist on pre-post reduction in depression symptoms in stressed meditation-naïve individuals [33]. However, its non-randomized and two-time-point 73 74 design permitted only correlational inferences. In addition, four RCTs that reported how reduced 75 reactivity [34, 35], judgment [36], and enhanced acting with awareness [37] mediated the effect 76 of MBI against waitlist or treatment-as-usual on clinical outcomes in non-psychiatric samples 77 failed to examine treatment arm as a moderator. An RCT that reported how increased nonreactivity to inner experience mediated the effect of mindfulness ecological momentary 78 79 intervention (MEMI) vs. treatment-as-usual on pre-follow-up worry also did not test treatment as 80 a moderator [38]. An exemplary moderated mediation analysis using RCT data showed that 81 acting with awareness mediated the effect of MEMI vs. waitlist on distress among non-depressed 82 school employees predicted to gain the most from it [39]; despite that, this study only examined 83 one trait mindfulness domain as a mediator. Also, a qualitative review proposed that decreases in judgment and reactivity might be necessary for MBIs to alleviate symptoms of anxiety disorders, 84

| 85 | including worry [40]. Together, the diverse mediating effects with distinct clinical endpoints |
|-----|--|
| 86 | highlight the importance of testing unique trait mindfulness domains to uncover potential change |
| 87 | mechanisms underlying MBIs for GAD. |
| 88 | The present study thus determined what specific trait mindfulness domain(s) might |
| 89 | mediate the effect of a 14-day MEMI against self-monitoring app (SM) on GAD severity and |
| 90 | trait perseverative cognitions. Previously, we showed the efficacy of MEMI against SM in |
| 91 | reducing GAD severity and trait perseverative cognitions at pre-1-month follow-up [pre-1MFU; |
| 92 | 4]. Our present study aimed to improve on prior studies in four ways. First, we ensured optimal |
| 93 | temporal sequence such that random assignment preceded pre-post change in the mediator, and |
| 94 | pre-post change in the mediator preceded pre-1MFU change in outcome. Only two of the ten |
| 95 | prior trials implemented this recommendation [14, 36]. Second, we built on previous research by |
| 96 | testing how the results were generalizable to a clinical sample of people diagnosed with GAD. |
| 97 | Third, most prior studies tested 4-16-week in-person MBIs, and none have tested how trait |
| 98 | mindfulness domain(s) might have been a change mechanism of <i>brief, fully self-guided</i> MEMIs. |
| 99 | Brief MBIs have been defined as those lasting up to two weeks [41]. This aim was essential as |
| 100 | people with GAD have tended to face stigma, shame, time, and travel constraints to seeking |
| 101 | treatment and would instead prefer to solve problems independently [42], necessitating thorough |
| 102 | evaluation of digital, fully self-guided MEMIs. Fourth, we tested if pre-post change in trait |
| 103 | mindfulness domains was a mediator and assigned intervention was a moderator, based on |
| 104 | recommendations [43]. Based on theory and evidence, we examined the hypotheses that MEMI |
| 105 | would yield efficacy over SM by reducing pre-post judgment of and reactivity to inner |

EXAMINING TRAIT MINDFULNESS DOMAINS AS MEDIATORS

9

| 106 | experience (vs. the other three domains) in reducing pre-1MFU GAD severity (Hypothesis 1) |
|-----|---|
| 107 | and trait perseverative cognitions (Hypothesis 2). |
| 108 | Method |
| 109 | Participants |
| 110 | We enrolled 110 participants who met study inclusion criteria, with 68 randomized to |
| 111 | MEMI and 42 to SM. They were drawn from both the local community and psychology subject |
| 112 | pool. Table 1 presents the demographic and clinical attributes of the participants. Also, there |
| 113 | were no significant between-group variations in the occurrence of concurrent psychiatric |
| 114 | diagnoses at baseline. |
| 115 | Study Design and Eligibility Criteria |
| 116 | Our RCT (registered under NCT04846777 on ClinicalTrials.gov, with the mediation |
| 117 | analyses pre-registered on Open Science Framework: https://osf.io/63jcr) obtained ethical |
| 118 | clearance from a state university in the eastern United States. It utilized a mixed-design approach |
| 119 | involving two intervention groups (MEMI and SM) assessed at three time points (pre- |
| 120 | randomization, post-intervention, and 1MFU). Time served as the within-participant variable, |
| 121 | whereas group functioned as the between-participant variable. |
| 122 | Participants meeting the diagnostic criteria for GAD according to the Diagnostic and |
| 123 | Statistical Manual-Fifth Edition [DSM-5; 44] were eligible for inclusion in the study. They were |
| 124 | also required to be treatment-seeking and not currently in mental health treatment. Additionally, |
| 125 | participants needed to be ≥ 18 years of age, possess a smartphone running either the iOS or |
| 126 | Android operating system, and provide informed consent. Initial screening included the |

10 EXAMINING TRAIT MINDFULNESS DOMAINS AS MEDIATORS

127 Generalized Anxiety Disorder Ouestionnaire-Fourth Version [GADO-IV; 45] and the following 128 questions, "Are you currently receiving any treatment for psychological difficulties?" and "Are 129 you currently interested in seeking treatment for psychological difficulties?" The GADQ-IV 130 includes both binary ("Yes" or "No" questions) and continuous response options, such as a 9-131 point Likert scale, to measure the impact and distress caused by GAD symptoms. It aligns with 132 the DSM-5 GAD criteria [44]. Those whose GAD-Q-IV scores met or exceeded the clinical 133 cutoff [46] received the Anxiety and Related Disorders Interview Schedule for DSM-5 [ADIS-5; 134 47] to confirm their mental health diagnoses. It was delivered by trained and supervised research 135 assistants in person (pre-pandemic) or over Zoom (during the pandemic). Exclusion criteria were 136 the presence of suicidal ideation, manic episodes, psychotic disorders, or substance use disorders, 137 assessed by the ADIS-5. 138 **Intervention groups** 139 Mindfulness ecological momentary intervention (MEMI). All MEMI participants 140 received an informative video featuring the lead investigator, a clinical psychologist with a Ph.D. 141 This video conveyed essential elements of evidence-based MBI protocols, aligning with the 142 principles found in MBSR [1]. MEMI participants were provided clear instructions on 143 mindfulness, encouraging them to engage fully in their present surroundings, current activity, or 144 task at hand. This section was designed to help individuals who are chronically worried to 145 develop the skill of open monitoring, improving their ability to focus on small details. Next, the 146 video therapist guided participants on intentional, rhythmic, and slowed diaphragmatic breathing techniques, followed by a practical demonstration of the correct execution. This component 147

11 EXAMINING TRAIT MINDFULNESS DOMAINS AS MEDIATORS

148 offered guidance on practices promoting serenity through controlled breathing exercises and 149 cultivating mindful attributes such as non-reactive observation and non-judgment, inspired by the 150 principles of MBCT [48]. Later, the video therapist stressed the importance of integrating 151 mindfulness into daily routines. Participants received a MEMI rationale document delivered 152 automatically through Qualtrics to maintain the evaluator-blinding design. The document 153 specifically directed them to review and engage in mindfulness exercises. 154 MEMI prompted individuals to engage in mindfulness activities at five specific times during each day: approximately 9 a.m., noon, 3 p.m., 6 p.m., and 9 p.m., spanning 14 days. 155 156 During each MEMI prompt, participants received standard directives: "Pay attention to your 157 breathing. Breathe in a slow, steady, and rhythmic manner. Stay focused on the sensations of the 158 air coming into your lungs and then letting it out. As you are breathing, observe your experience 159 as it is. Let go of judgments that do not serve you. Focus on the here and now. Attend to the 160 small moments right now (e.g., reading a chapter, having a cool glass of water), as that is where 161 enjoyment, peace, and serenity in life happen." Before and after each prompt, participants rated 162 their present levels of mindfulness ("To what extent are you experiencing the present moment 163 fully?"), depression, and anxiety ("To what degree do you feel depressed/[keyed up or on edge] 164 right now?") on a 9-point scale (1 = Not At All to 9 = Extremely). Each MEMI alert concluded with a message to encourage the long-term integration of these skills: "Remember that the 165 166 cultivation of mindfulness is lifelong. The goal of therapy is to be your own therapist. Practice 167 mindfulness between the prompts and after you have completed this study."

12 EXAMINING TRAIT MINDFULNESS DOMAINS AS MEDIATORS

168 **Self-monitoring app (SM).** In SM, the standardized video began with the therapist 169 explaining self-monitoring as heightened awareness of one's emotional states and thought 170 processes. Afterward, the video proposed to individuals engaging in self-monitoring that 171 carefully observing their thoughts and recording any linked emotional discomfort might help 172 them develop beneficial cognitive-emotional processes. Ultimately, the SM video conveyed the 173 idea that the practice of self-observation alone might alleviate anxious feelings. The fundamental 174 basis for the SM control condition was drawn and modified from the rationale used in a recent 175 brief app intervention [49, 50]. This strategy was crafted to closely mirror the MEMI protocol 176 but excluded its presumed beneficial elements, such as acceptance, being present, diaphragmatic 177 breathing, and continual mindfulness exercises. As a result, it purposely avoided any reference to 178 the mindfulness concepts and refrained from explicitly instructing participants to heighten their 179 awareness and perception of their present experiences. Instead, it emphasized observing their 180 distressing emotional reactions and thoughts at each prompt. At the same time, we omitted 181 instructions for accepting these thoughts and feelings as they arose. SM participants were also 182 not directed to focus solely on their current tasks. In addition, these individuals did not receive 183 instructions on breathing retraining methods to induce pleasant sensations associated with 184 relaxation. Also, they were not encouraged to continue self-observation beyond the designated prompts or after the initial 14-day intervention phase ended. The aim of the SM was to minimize 185 186 credibility and expectancy effects, prevent regression to the mean, and avoid potential 187 overestimation of effect sizes commonly observed in no-treatment/waitlist control groups [51].

| 188 | Unlike the detailed mindfulness guidance provided by MEMI, SM participants received a |
|-----|---|
| 189 | brief single-sentence instruction five times daily (around 9 a.m., 12 p.m., 3 p.m., 6 p.m., and 9 |
| 190 | p.m.) for 14 days: "Notice your thoughts and how distressing they may be." We assessed |
| 191 | participants' mindfulness, depression, and anxiety levels using identical 9-point Likert scale |
| 192 | questions before and after each prompt during every SM signal. Participants were also provided |
| 193 | with an automated copy of the SM handout. Unlike MEMI, this handout did not include |
| 194 | instructions to review its contents regularly. |
| 195 | Measures |
| 196 | Trait mindfulness domains. Trait mindfulness was assessed using the Five Facet |
| 197 | Mindfulness Questionnaire (FFMQ), a self-report tool consisting of 39 items aimed at measuring |
| 198 | mindfulness practices in everyday life [15, 16]. As mentioned earlier, it included five trait |
| 199 | mindfulness domains: observing (8 items; e.g., "I pay attention to how my emotions affect my |
| 200 | thoughts and behavior."), describing (e.g., "I can usually describe how I feel at the moment in |
| 201 | considerable detail."), acting with awareness (e.g., "I find myself doing things without paying |
| 202 | attention."), judgment of inner experience (e.g., "I disapprove of myself when I have irrational |
| 203 | ideas."), and reactivity to inner experience (e.g., "When I have distressing thoughts or images, I |
| 204 | just notice them and let them go."). The FFMQ subscale scores have shown strong convergent |
| 205 | and discriminant validity [52], effectively distinguishing themselves from measures of unrelated |
| 206 | constructs such as psychological well-being [16]. FFMQ subscale scores have also shown high |
| 207 | retest reliability [53]. Participants rated items on a 5-point scale ($1 = never \text{ or very rarely true to}$ |
| 208 | $5 = very often or always true$). Our internal consistency (Cronbach's α) values were high at pre- |

| 209 | randomization, post-treatment, and 1MFU, respectively, for the observing domain ($\alpha s = .75, .87,$ |
|-----|---|
| 210 | .92) and other subscales (describing: .92, .86, .91; acting with awareness: .86, .88, .92; judgment |
| 211 | of inner experience: .90, .89, .93; reactivity to inner experience: .82, .85, .90). |
| 212 | GAD severity. GAD severity was assessed using the 16-item GAD-Q-Dimensional |
| 213 | measure, which resembles the 14-item GADQ-IV but consistently features response formats on a |
| 214 | 9-point Likert scale (e.g., $0 = never$ to $8 = almost every day$, $0 = not at all$ to $8 = worry all the$ |
| 215 | time). The first eight questions of the GADQ-Dimensional focused on evaluating enduring worry |
| 216 | traits. Respondents rated the extent, frequency, manageability, and strength of their worries. The |
| 217 | following eight questions asked about similar worries over the past six months (possible score |
| 218 | range = $0-126$; $\alpha s = .90, .92, .93$). |
| 219 | Trait perseverative cognitions. The Perseverative Cognitions Questionnaire (PCQ), |
| 220 | consisting of 45 items, assessed persistent, trait-level repetitive negative thinking patterns |
| 221 | associated with obsessions, worry, and rumination [54]. Participants indicated their agreement |
| 222 | with items on a 6-point Likert scale ($0 = strongly disagree$ to $5 = strongly agree$). Moreover, the |
| 223 | PCQ comprised six distinct factors: lack of controllability, preparing for the future, expecting the |
| 224 | worst, searching for causes/meanings, dwelling on the past, and thoughts discordant with ideal |
| 225 | self. The overall PCQ score was derived by summing the average scores of each subscale. The |
| 226 | PCQ has demonstrated robust convergent validity, discriminant validity, two-week retest |
| 227 | reliability [54], and cross-cultural measurement equivalence [55]. Our internal consistency |
| 228 | values were also high (possible score range = $0-6$; $\alpha s = .96, .97, .97$). |
| 229 | Procedures |

| 230 | During the initial visit, participants underwent the structured ADIS-5 interview. Eligible |
|-----|---|
| 231 | participants then completed a series of self-reports, cognitive functioning, and social cognition |
| 232 | assessments before randomization. This process was counterbalanced to mitigate any potential |
| 233 | biases related to the order of assessments. The evaluators remained unaware of the assigned |
| 234 | groups by physically leaving the room (pre-COVID-19 pandemic) or by instructing participants |
| 235 | to turn off their Zoom audio and video before opening the Qualtrics link to watch the assigned |
| 236 | group video (peri-pandemic). Participants downloaded the PACO app |
| 237 | (https://github.com/google/paco), preloaded with MEMI or SM, onto their smartphones |
| 238 | following a video tutorial. The evaluator was available to address any inquiries participants had |
| 239 | about study procedures, such as upcoming study visits or technical issues related to installing |
| 240 | PACO on their phones. However, the evaluator was absent during participants' introduction to |
| 241 | their assigned intervention arm and its components. After a 14-day intervention phase, all |
| 242 | participants returned for post-treatment assessments and then again at the 1-month follow-up |
| 243 | (1MFU), six weeks from baseline. During these sessions, they completed standardized self- |
| 244 | reports and other assessments. Participants received compensation in the form of credit hours, |
| 245 | monetary payment, or a combination of both. On the seventh day, evaluators conducted a |
| 246 | compliance check to examine if participants completed at least 56/70 prompts as instructed. |
| 247 | Data analyses |
| 248 | Missing data, which accounted for 10.71% of the total dataset, were addressed using |
| 249 | random forest imputation with the missRanger R package [56]. To test the efficacy of MEMI |
| 250 | against SM on domain-specific trait mindfulness mediator targets, we utilized an intent-to-treat |

16 EXAMINING TRAIT MINDFULNESS DOMAINS AS MEDIATORS

251 methodology similar to the approach used in the primary efficacy analysis [4]. This method 252 utilized a multilevel model, where changes in outcome over time were determined by differences 253 from pre-1MFU, with group as the between-participant factor. For multilevel mediation analysis, 254 we used a causal mediation model called the marginal mediation model [57]. Traditional 255 mediation models [e.g., 58] presuppose that unmeasured factors do not affect the mediator-256 outcome associations, an assumption known as "sequential ignorability" [59]. Since we defined 257 the pre-post mediator as change in potential targets (observing, describing, acting with 258 awareness, judgment of inner experience, reactivity to inner experience) preceding the pre-259 1MFU outcome, participants were not randomly assigned to the different mediator levels [60]. 260 The marginal mediation model diverges from the sequential ignorability assumption by 261 establishing a connection between mediation parameters and causal parameters [60]. The 262 marginal mediation model evaluated the significance of three multiplicative paths: MEMI vs. SM predicting pre-1MFU outcome (c path or direct effect), MEMI vs. SM predicting potential pre-263 264 post mediator (a path), and pre-post mediator predicting pre-1MFU outcome (b path). 265 Controlling for random assignment simultaneously, this analysis represented the pure indirect 266 effect [60]. Temporal precedence was established following best practices, ensuring that random 267 assignment preceded the pre-post mediator and the pre-post mediator preceded the pre-1MFU 268 outcome [61]. Simple slope analyses were conducted to examine within-group parameter 269 estimates. Each potential mediator was analyzed individually. Given the theoretical significance 270 of each mediator and their intercorrelations, we refrained from controlling for other mediators 271 [62]. We displayed the unstandardized regression coefficients (β) with 95% confidence intervals

| 272 | (CIs) and utilized bootstrapping with 1,000 resampling iterations [63]. Sensitivity analyses were |
|-----|--|
| 273 | performed using non-linear generalized additive multilevel models to assess the consistency of |
| 274 | the observed findings [64]. The Simes alpha correction method was utilized [65]. The effect size |
| 275 | was calculated as the ratio of the indirect effect to the total effect [66]. Three R packages – |
| 276 | intmed [67], mediation [64], and mgcv [68] – were used with adapted tutorials from published |
| 277 | sources (e.g., <u>http://tinyurl.com/codesintmed;</u> <u>http://tinyurl.com/codesmediation</u>). |
| 278 | Results |
| 279 | Intervention effect on pre-post trait mindfulness mediators (path <i>a</i>) |
| 280 | MEMI was significantly more effective than SM in reducing pre-post reactivity to inner |
| 281 | experience ($\beta = 1.578$ [0.525, 2.631], $p = .003$) but not observing ($\beta = 1.264$ [-0.091, 2.619], $p =$ |
| 282 | .067), describing ($\beta = 0.795$ [-0.496, 2.086], $p = .227$), acting with awareness ($\beta = 1.039$ [-0.281, |
| 283 | 2.359], $p = .123$), and judgment ($\beta = -0.404$ [-1.927, 1.119], $p = .602$; Figure 1). Simple slope |
| 284 | analyses indicated that MEMI significantly improved reactivity ($\beta = 1.806$ [0.987, 2.625], $p < 1.625$ |
| 285 | .001), unlike SM (β = -0.007 [-0.955, 0.941], <i>p</i> = .988). Although MEMI did not induce pre-post |
| 286 | changes in other mediators to a greater degree than SM, MEMI significantly enhanced pre-post |
| 287 | observing ($\beta = 1.262 \ [0.154, 2.370], p = .026$), describing ($\beta = 0.997 \ [0.077, 1.916], p = .034$), |
| 288 | acting with awareness ($\beta = 1.441$ [0.434, 2.448], $p = .005$) and reduced judgment ($\beta = 2.274$ |
| 289 | [1.099, 3.449], $p < .001$) (Tables 2 and 3). SM did not significantly change pre-post observing (β |
| 290 | = 0.121 [-0.999, 1.241], p = .831), describing (β = 0.579 [-0.790, 1.949], p = .404), acting with |
| 291 | awareness ($\beta = 0.260$ [-1.003, 1.522], $p = .685$), and judgment ($\beta = 0.734$ [-0.690, 2.157], $p =$ |
| 292 | .310). |

18 EXAMINING TRAIT MINDFULNESS DOMAINS AS MEDIATORS

| 293 | Pre-post trait mindfulness mediator predicting pre-1MFU GAD severity (path b) |
|-----|--|
| 294 | Treatment significantly moderated the pathways of all pre-post trait mindfulness domains |
| 295 | predicting pre-1MFU change in GAD severity: observing ($\beta = -6.155$ [-9.452, -2.858], $p < .001$), |
| 296 | describing (β = -6.019 [-9.268, -2.771], p < .001), acting with awareness (β = -4.893 [-7.981, - |
| 297 | 1.804], $p = .002$), judgment ($\beta = -4.614$ [-7.809, -1.419], $p = .005$), and reactivity ($\beta = -3.313$ [- |
| 298 | 6.350, -0.276], $p = .033$). Within the MEMI, larger increase in pre-post observing ($\beta = -5.770$ [- |
| 299 | 9.029, -2.511], $p < .001$), describing ($\beta = -6.230$ [-9.560, -2.900], $p < .001$), acting with |
| 300 | awareness (β = -4.928 [-8.069, -1.786], <i>p</i> = .002), and decreased judgment (β = -4.612 [-7.863, - |
| 301 | 1.360], $p = .006$), and reactivity ($\beta = -3.423$ [-6.528, -0.319], $p = .031$) significantly predicted |
| 302 | greater reduction in pre-1MFU GAD severity (Table 2). However, within the SM, changes in |
| 303 | pre-post observing (β = -1.071 [-5.267, 3.126], p = .615), describing (β = -0.489 [-4.519, 3.541], |
| 304 | $p = .811$), acting with awareness ($\beta = -0.691$ [-4.580, 3.198], $p = .726$), judgment ($\beta = -0.691$ [- |
| 305 | 4.580, 3.198], $p = .726$), and reactivity ($\beta = -1.040$ [-4.805, 2.724], $p = .585$) did not significantly |
| 306 | predict change in pre-1MFU GAD severity. |
| 307 | Pre-post trait mindfulness mediator predicting pre-1MFU trait perseverative cognitions |
| 308 | (path b) |

Treatment significantly moderated the pathways of all pre-post trait mindfulness domains predicting pre-1MFU change in perseverative cognitions: observing ($\beta = -0.274$ [-0.406, -0.143], p < .001), describing ($\beta = -0.276$ [-0.405, -0.146], p < .001), acting with awareness ($\beta = -0.239$ [-0.364, -0.114], p < .001), judgment ($\beta = -0.194$ [-0.317, -0.072], p = .002), and reactivity ($\beta = -$ 0.145 [-0.260, -0.030], p = .014). Within the MEMI, larger increase in pre-post observing ($\beta = -$

19 EXAMINING TRAIT MINDFULNESS DOMAINS AS MEDIATORS

314 0.253 [-0.380, -0.126], p < .001), describing ($\beta = -0.279$ [-0.413, -0.145], p < .001), acting with

- 315 awareness ($\beta = -0.218$ [-0.339, -0.097], p < .001), judgment ($\beta = -0.180$ [-0.302, -0.058], p = -0.180
- 316 .004), and reactivity ($\beta = -0.133$ [-0.246, -0.019], p = .023) significantly predicted greater
- 317 reduction in pre-1MFU perseverative cognitions. However, within the SM, changes in pre-post
- 318 observing ($\beta = -0.077$ [-0.245, 0.092], p = .370), describing ($\beta = -0.049$ [-0.206, 0.108], p = .370
- 319 .539), acting with awareness ($\beta = -0.068$ [-0.232, 0.097], p = .418), judgment ($\beta = -0.044$ [-0.203,
- 320 0.114], p = .580), and reactivity ($\beta = -0.076$ [-0.226, 0.074], p = .318) did not significantly
- 321 predict change in pre-1MFU perseverative cognitions.

322 Intervention effect on pre-1MFU GAD severity via pre-post trait mindfulness domains 323 (indirect effect)

324 In the total sample, reduction in pre-post reactivity to inner experience significantly 325 mediated the effect of MEMI against SM predicting a larger decrease in pre-1MFU GAD severity ($\beta = -2.970$ [-5.034, -0.904], p = .008; effect size: 30.5%). However, pre-post change in 326 327 observing ($\beta = -0.566$ [-1.488, 0.040], p = .074), describing ($\beta = -0.543$ [-1.601, 0.407], p =328 .226), acting with awareness ($\beta = -1.286$ [-3.039, 0.328], p = .140), and judgment ($\beta = 0.346$ [-1.158, 1.804], p = .618) were not significant mediators of MEMI against SM on pre-1MFU GAD 329 330 severity. Effect sizes were small (3.9-13.4%) for these non-significant mediation paths. A 331 sensitivity analysis that examined non-linear mediator-outcome relations using multilevel 332 generalized additive models led to similar findings (Table S1 in the online supplemental 333 materials). Hypothesis 1 thus received partial support.

| 334 | Intervention effect on pre-1MFU trait perseverative cognitions via pre-post trait |
|-----|---|
| 335 | mindfulness domains (indirect effect) |
| 336 | In the total sample, stronger reduction in pre-post reactivity to inner experience |
| 337 | significantly mediated the effect of MEMI against SM predicting greater decrease in pre-1MFU |
| 338 | perseverative cognitions (indirect effect: $\beta = -0.153$ [-0.254, -0.044], $p = .008$; effect size: |
| 339 | 42.7%). However, pre-post change in observing ($\beta = -0.043$ [-0.099, 0.002], $p = .064$), describing |
| 340 | $(\beta = -0.033 [-0.093, 0.020], p = .224)$, acting with awareness $(\beta = -0.057 [-0.134, 0.014], p = .224)$ |
| 341 | .100), and judgment ($\beta = 0.022$ [-0.055, 0.110], $p = .598$) were not significant mediators of |
| 342 | MEMI against SM predicting pre-1MFU perseverative cognitions. Effect sizes were small (6.3- |
| 343 | 16.2%) for these non-significant mediation paths. A sensitivity analysis that examined non-linear |
| 344 | mediator-outcome relationships produced similar findings (Table S2). Hypothesis 2 was, |
| 345 | therefore, partially supported. |
| 346 | Discussion |
| 347 | Partially affirming our hypotheses, pre-post reduction in reactivity to inner experience |
| 348 | emerged as a crucial moderated mediator – potentially a change mechanism – of the effect of |
| 349 | MEMI against SM on pre-1MFU reductions in GAD severity and trait perseverative cognitions. |
| 350 | Stated differently, decrease in reactivity accounted for 30.5–42.7% of the effect of brief MEMI |
| 351 | against SM in mitigating pathological worry and other patterns of repetitive negative thinking. |
| 352 | Pre-post change in other trait mindfulness domains – observing, describing, acting with |
| 353 | awareness, and judgment of inner experience – did not serve as mediators for the intervention |
| 354 | effect on clinical outcomes. Our outcomes indicate that other mediators apart from reactivity to |

21 EXAMINING TRAIT MINDFULNESS DOMAINS AS MEDIATORS

355 inner experiences were not proxy change mechanisms of brief MEMI in treating GAD [69]. At 356 the same time, change in all mindfulness domains predicted subsequent changes in pathological 357 worry and GAD severity. Theoretical accounts are provided to elucidate these findings, 358 potentially offering valuable insights for future research endeavors exploring similar moderated 359 mediational analyses in RCTs of MBIs for GAD or related conditions. 360 What potential change mechanisms might explain the efficacy of MEMI on reactivity to 361 inner experiences? Behaviorally, the MEMI might have helped chronic worriers discern their 362 emotions, then pause, observe, and respond wisely while staying present instead of reacting 363 negatively to internal feelings, thoughts, or sensations better than SM [70, 71]. Cognitively, the 364 MEMI might have done a better job than SM at helping to decrease reactivity to rumination and 365 worry [72, 73]. Biologically, the MEMI, as with other MBIs, could have attenuated the cortisol 366 awakening response [a marker of stress reactivity; 74, 75-77]. Future digitally-delivered MBI 367 RCTs that include multimodal measures could test the validity of these ideas. Why did the pre-post decrease in reactivity to inner experience emerge as the only 368 369 mediator of treatment effect on reducing GAD severity and trait perseverative cognitions at pre-370 1MFU? Maybe MEMI bolstered resilience to stressors [78]. In light of this, our findings can be 371 contextualized by evidence indicating that individuals with GAD tend to exhibit heightened 372 reactivity [19]. Physiologically, prolonged worry has been causally linked to decreased vagal 373 tone [i.e., higher resting heart rate; 24] and increased blood pressure [79]. Neurobiologically, 374 people with vs. without GAD showed hyperactivity in the amygdala when seeing unpleasant

22 EXAMINING TRAIT MINDFULNESS DOMAINS AS MEDIATORS

375 pictures [80]. The inclination towards pathobiological reactivity in GAD may be partially 376 attributed to brain-derived neurotrophic factors and related genetic factors [81]. 377 Other behavioral and cognitive factors might also explain why reduction in reactivity to 378 inner experience mediated the effect of MEMI against SM on decreases in GAD severity and 379 trait perseverative cognitions at pre-1MFU. Behaviorally, people with GAD self-rated higher 380 levels of emotional intensity in their emotional experiences than depressed people [82]. Further, 381 worry consistently amplified and prolonged negative emotional states and thus increased the 382 likelihood of feeling less negative in the absence of dreaded events or feeling more positive in 383 the presence of positive ones [19, 30]. These patterns consistently manifested in daily life across 384 different situations, with worry initiating and maintaining anxiety while predicting a decreased 385 likelihood of significant increases in negative emotions in future periods [22, 23, 83, 84]. 386 Cognitively, GAD has been associated with increased focus on threats [85], the tendency to 387 interpret ambiguous material negatively [86], and executive dysfunction [87]. In summary, 388 targeting reduction in reactivity to inner experience could enhance the effectiveness of brief 389 MEMIs for GAD by honing specific skills to mitigate emotional or stress reactivity across 390 multiple biopsychosocial dimensions. 391 Despite recent theories proposing that reduced judgment of inner experience could be a 392 crucial trait mindfulness domain mediator explaining treatment effects of MBIs for anxiety

394 that in MEMI (but not SM) pre-post reduced judgment (and all other mindfulness domains) did

disorders [40], our findings did not align with those assertions. However, it is important to note

395 predict pre-follow-up reductions in both trait perseverative cognitions and GAD severity.

393

23 EXAMINING TRAIT MINDFULNESS DOMAINS AS MEDIATORS

396 Therefore, reduced judgment was associated with pre-follow-up outcomes even though it was 397 not a mediator. It may not have been a differential mediator because there was no between-398 treatment effect on judgment from pre-to-post-treatment [88]. It is possible that enhancing the 399 intensity of MEMI over longer periods was needed for reduced judgment to act as a mediator 400 [89]. More intense treatment might raise the odds of finding differential reduction in judgment in 401 MEMI (vs. SM) and of reduction in judgment as a differential mediator perhaps because learning 402 to simply observe without immediately forming opinions of experiences as "good" or "bad" may 403 be an attitude that takes time to cultivate [90].

404 Interestingly, although there were no significant between-group differences, it is worth 405 noting that within-group analyses of change revealed that MEMI, unlike SM, improved pre-post 406 observing, describing, and acting with awareness, while also reducing judgment and reactivity. 407 These findings might be explained by evidence suggesting that MBIs, compared to active 408 controls, were more effective in enhancing state and trait attentional skills [91], executive 409 functioning [92], and emotional clarity [93]. Encouragingly, prior research has shown 410 improvement in all these mindfulness domains following an 8-week MBSR course compared to 411 a waitlist in healthy controls [52], suggesting that similar benefits might extend to 14-day 412 MEMIs for individuals with GAD. In addition, pre-post enhancements in all trait mindfulness 413 domains predicted reductions in GAD severity and perseverative cognitions at pre-1MFU in 414 MEMI but not SM. MEMI may have been more effective than SM in teaching the skill of 415 observing experiences without an immediate reaction, improving emotion regulation with more 416 constructive responses and fewer detrimental coping strategies [94]. Further, evidence that MBIs

24 EXAMINING TRAIT MINDFULNESS DOMAINS AS MEDIATORS

417 better equip people with GAD and depression with the skills to perceive emotions and thoughts 418 as transient occurrences rather than personally associating with them – a process called "decentering" – than controls [8, 95] might explain our findings. 419 420 The present study had a number of limitations. First, although temporal precedence was 421 established, it is essential to note that mediation alone does not necessarily provide a complete 422 understanding of the underlying change mechanism [69]. Further evidence of causality through 423 experiments establishing mediator-outcome relations would be essential, coupled with coherent 424 theories explaining the mechanism(s) by which causation operates in the process [96]. Secondly, 425 the short intervention phase may not have allowed sufficient time for significant differential pre-426 post improvements in all trait mindfulness domains, except for reactivity to inner experience. 427 Further, our study did not include assessments of the continued utilization of mindfulness skills 428 by MEMI participants from post-intervention to the 1MFU. Future RCTs testing digitally

429 delivered MBIs should investigate whether sustained mindfulness engagement, even without

430 repeated MEMI instructions, could influence treatment effects during assessments from post-

431 intervention to follow-ups. Also, the conclusions drawn from our study may not apply to a

432 broader demographic beyond predominantly White female participants. This limitation

433 underscores the importance of future digital trials attracting a more diverse participant pool,

434 encompassing various cultural backgrounds, genders, and related diversity metrics.

However, the current study had notable strengths, including its utilization of an RCT
design with an active control group and a high level of participant engagement. Further, we
recruited a clinical sample through face-to-face diagnostic assessment and included follow-up

25 EXAMINING TRAIT MINDFULNESS DOMAINS AS MEDIATORS

438 assessments at 1MFU. Our study also had a dropout rate of only 11%, which is significantly 439 lower than the typical range of 24-50% observed in mental health RCTs delivered via 440 smartphones [97-99]. Another strength was the rigor of our causal mediation modeling approach, 441 which extended traditional approaches [61]. If our observed results are replicated, several clinical implications merit consideration. As 442 443 decreases in reactivity to inner experience emerged as the sole noteworthy mediator, this finding 444 suggests that clients with GAD should not resist diverse mood states by resisting emotional 445 changes. Instead, they should accept and embrace all kinds of transient emotions that arise in 446 their field of experience. Such an approach might alleviate worry and other perseverative 447 cognitions, thereby optimizing the effectiveness of brief MEMI for GAD [100]. Further, guiding 448 clients with GAD on managing distressing thoughts and emotions without impulsive reactions 449 could be beneficial. Regularly practicing reducing reactivity to emotionally challenging 450 situations could help maintain focus on mood-boosting activities, thereby reducing worrisome 451 and unhelpful thinking patterns [101]. Further, clinical science can benefit from identifying 452 individuals for whom reactivity to inner experience and other trait mindfulness domains might 453 act as proxy mechanisms of change in brief, cost-effective, self-guided MEMIs, enhancing their 454 dissemination within stepped-care and stratified care frameworks [102, 103].

455

456 Funding Sources

457 The current study received funding from the National Institute of Mental Health (NIMH) (R01

458 MH115128), the Pennsylvania State University Office of Research and Graduate Studies

26 EXAMINING TRAIT MINDFULNESS DOMAINS AS MEDIATORS

459 (RGSO) Dissertation award, Penn State Susan Welch/Nagle Family Graduate Fellowship, the

460 National University of Singapore (NUS) Development Grant, and the Association for Behavioral

461 and Cognitive Therapies (ABCT) Leonard Krasner Student Dissertation Award.

462

463 Acknowledgment Statement

464 Drs. Nur Hani Zainal and Michelle G. Newman take full responsibility for the data, the accuracy

465 of analyses and interpretation, and the conduct of the research. This study was performed

466 following the Declaration of Helsinki. Additionally, our study received institutional review board

467 (IRB) approval from the Pennsylvania State University and voluntary informed consent from all

468 participants. Both authors have (1) made substantial contributions to the analysis and

469 interpretation of the study and its findings, (2) drafted and revised the article for intellectual

470 content, and (3) given their final approval of the version to be submitted. The manuscript has

471 been read and approved by both authors.

472

473 **Conflict of Interest Statement**

474 All authors report no conflict of interest.

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Table 1

Sociodemographic data of study participants in the MEMI and (SM) (N, 110)

| | MEM | MEMI (<i>n</i> , 68) | | SM (n, 42) | |
|------------------------------------|-------|-----------------------|-------|------------|-----|
| Continuous variables | М | (SD) | М | (SD) | |
| Age (in years) | 20.53 | (3.91) | 21.24 | (7.24) | .51 |
| 14-item GAD-Q-IV score | 9.52 | (2.10) | 9.94 | (1.96) | .30 |
| Treatment expectations | | | | | |
| Credibility | 6.00 | (1.39) | 5.72 | (1.58) | .34 |
| Expectancy | 43.46 | (17.33) | 44.29 | (18.13) | .31 |
| Categorical variables | n | (%) | п | (%) | Р |
| Gender orientation | | | | | .85 |
| Women | 10 | (14.71) | 5 | (11.90) | |
| Men | 57 | (83.82) | 37 | (88.10) | |
| Declined to disclose | 1 | (1.47) | _ | _ | |
| Race | | | | | .99 |
| White Caucasian | 44 | (64.71) | 27 | (64.29) | |
| Asian or Asian American | 11 | (16.18) | 4 | (9.52) | |
| Hispanic | 3 | (4.41) | 5 | (11.91) | |
| African American | 5 | (7.35) | 1 | (2.38) | |
| Another race | 4 | (5.88) | 2 | (4.76) | |
| Declined to disclose | 1 | (1.47) | 0 | (0.00) | |
| Comorbid diagnoses | | | | | |
| Current major depressive episode | 32 | (47.10) | 24 | (57.10) | .30 |
| Recurrent major depressive episode | 25 | (36.80) | 20 | (47.60) | .26 |
| Current panic disorder | 16 | (23.50) | 5 | (11.90) | .13 |
| Current social anxiety disorder | 15 | (22.10) | 14 | (33.30) | .19 |
| Current OCD | 4 | (5.88) | 4 | (9.52) | .48 |
| Current PTSD | 9 | (13.20) | 4 | (9.52) | .56 |
| Current alcohol use disorder | 7 | (10.30) | 1 | (2.38) | .12 |
| Current substance use disorder | 3 | (4.41) | 1 | (2.38) | .58 |
| Current anorexia nervosa | 0 | (0.00) | 0 | (0.00) | _ |
| Current binge-eating disorder | 1 | (1.47) | 0 | (0.00) | .39 |

MEMI, mindfulness ecological momentary intervention; SM, self-monitoring app; OCD, obsessive-compulsive disorder; PTSD, post-traumatic stress disorder.

Table 2

Simple slope analyses of predictor-mediator and mediator-outcome associations for pre-1MFU

GAD severity as the outcome

| | Predicting the pre-post mediator (<i>a</i> path) | | | Predicting pre-1MFU GAD severity (<i>b</i> path) | | | | |
|---|--|-----------------|------|--|------------------|--------|--|--|
| | β | (LCI, UCI) | р | β | (LCI, UCI) | р | | |
| A. Observ | ving | | | | | | | |
| MEMI | 1.262^{*} | (0.154, 2.370) | .026 | -5.770*** | (-9.029, -2.511) | .000 | | |
| SM | 0.121 | (-0.999, 1.241) | .831 | -1.071 | (-5.267, 3.126) | .615 | | |
| B. Describ | oing | | | | | | | |
| MEMI | 0.997^* | (0.077, 1.916) | .034 | -6.230* | (-9.560, -2.900) | < .001 | | |
| SM | 0.579 | (-0.790, 1.949) | .404 | -0.489 | (-4.519, 3.541) | .811 | | |
| C. Acting | with awarene | ess | | | | | | |
| MEMI | 1.441^{**} | (0.434, 2.448) | .005 | -4.928*** | (-8.069, -1.786) | .002 | | |
| SM | 0.260 | (-1.003, 1.522) | .685 | -0.691 | (-4.580, 3.198) | .726 | | |
| D. Judgme | ent (Reverse- | coded) | | | | | | |
| MEMI | 2.274^{***} | (1.099, 3.449) | .000 | -4.612*** | (-7.863, -1.360) | .006 | | |
| SM | 0.734 | (-0.690, 2.157) | .310 | -0.358 | (-4.386, 3.669) | .861 | | |
| E. Reactivity to inner experience (Reverse-coded) | | | | | | | | |
| MEMI | 1.806^{***} | (0.987, 2.625) | .000 | -3.423*** | (-6.528, -0.319) | .031 | | |
| SM | -0.007 | (-0.955, 0.941) | .988 | -1.040*** | (-4.805, 2.724) | .585 | | |

Note. p < .05; p < .01; p < .001.

MEMI, mindfulness ecological momentary intervention; SM, self-monitoring app; 1MFU, onemonth follow-up; β , unstandardized regression coefficient; LCI, lower bound of the 95% confidence interval (CI); UCI, upper bound of the 95% CI; GAD, generalized anxiety disorder.

Table 3

Simple slope analyses of predictor-mediator and mediator-outcome associations for pre-1MFU

| | Predicting the pre-post mediator (<i>a</i> path) | | | Predicting pre-1MFU trait perseverative cognitions (b path) | | | |
|-------------|--|-----------------------|------|---|------------------|------|--|
| | β | (LCI, UCI) | р | β | (LCI, UCI) | р | |
| A. Observi | ng | | | | | | |
| MEMI | 1.262^{*} | (0.154, 2.370) | .026 | -0.253*** | (-0.380, -0.126) | .000 | |
| SM | 0.121 | (-0.999, 1.241) | .831 | -0.077 | (-0.245, 0.092) | .370 | |
| B. Describi | ng | | | | | | |
| MEMI | 0.997^* | (0.077, 1.916) | .034 | -0.279*** | (-0.413, -0.145) | .000 | |
| SM | 0.579 | (-0.790, 1.949) | .404 | -0.049 | (-0.206, 0.108) | .539 | |
| C. Acting v | vith awarenes | S | | | | | |
| MEMI | 1.441^{**} | (0.434, 2.448) | .005 | -0.218*** | (-0.339, -0.097) | .000 | |
| SM | 0.260 | (-0 1.003, 1.522) | .685 | -0.068 | (-0.232, 0.097) | .418 | |
| D. Judgmer | nt (Reverse-c | oded) | | | | | |
| MEMI | 2.274^{***} | (1.099, 3.449) | .000 | -0.180*** | (-0.302, -0.058) | .004 | |
| SM | 0.734 | (-0.690, 2.157) | .310 | -0.044 | (-0.203, 0.114) | .580 | |
| E. Reactivi | ty to inner ex | perience (Reverse-coo | ded) | | | | |
| MEMI | 1.806^{***} | (0.987, 2.625) | .000 | -0.133*** | (-0.246, -0.019) | .023 | |
| SM | -0.007 05: ** <i>p</i> < .01 | (-0.955, 0.941) | .988 | -0.076 | (-0.226, 0.074) | .318 | |

trait perseverative cognitions as the outcome

Note. p < .05; p < .01; p < .001.

MEMI, mindfulness ecological momentary intervention; SM, self-monitoring app; 1MFU, onemonth follow-up; β , unstandardized regression coefficient; LCI, lower bound of the 95% confidence interval (CI); UCI, upper bound of the 95%. Trait perseverative cognitions were measured using the perseverative cognitions questionnaire.

Figure 1

Efficacy of MEMI vs. SM on pre-post trait non-reactivity to inner experience

MEMI, mindfulness ecological momentary intervention; SM, self-monitoring app.

