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## Treatment Condition as a Moderator and Change in Trait Mindfulness as a Mediator of a Brief Mindfulness Ecological Momentary Intervention for Generalized Anxiety Disorder

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#### Abstract

**Objective:** Theories propose that judgment of and reactivity to inner experiences are mediators of the effect of mindfulness-based interventions on generalized anxiety disorder (GAD). However, no study has tested such theories using brief, mindfulness ecological momentary intervention (MEMI). We thus tested these theories using a 14-day MEMI vs. selfmonitoring app control (SM) for GAD. Method: Participants (N = 110) completed self-reports of trait mindfulness (Five Facet Mindfulness Questionnaire), GAD severity (GAD-Questionnaire-IV), and trait perseverative cognitions (Perseverative Cognitions Questionnaire) at prerandomization, post-treatment, and one-month follow-up (1MFU). Counterfactual mediation analyses with temporal precedence were conducted. Results: Change in pre-post mindfulness domains (acceptance of emotions, describing feelings accurately, acting with awareness, judgment of inner experience, and reactivity to inner experience) predicted pre-1MFU change in GAD severity and pre-1MFU change in trait perseverative cognitions from MEMI but not SM. MEMI reduced pre-post reactivity to inner experiences (but not other mindfulness domains) significantly more than SM. Only reduced pre-post reactivity significantly mediated stronger efficacy of MEMI over SM on pre-1MFU reductions in GAD severity (indirect effect:  $\beta = -2.970$ [-5.034, -0.904], p = .008; b path:  $\beta = -3.313$  [-6.350, -0.276], p = .033; percentage mediated: 30.5%) and trait perseverative cognitions (indirect effect:  $\beta = -0.153$  [-0.254, -0.044], p = .008; b path:  $\beta = -0.145$  [-0.260, -0.030], p = .014; percentage mediated: 42.7%). Other trait mindfulness domains were non-significant mediators. Conclusion: Reactivity to inner experience might be a

mindfulness-based intervention change mechanism and should be targeted to optimize brief

## MEMIs for GAD.

Keywords: causal inference; change mechanism; ecological momentary intervention; generalized anxiety disorder; mediation; mindfulness; randomized controlled trial

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1 Mindfulness-based interventions (MBIs) aim to improve attention focused on the present 2 moment, purposefulness, and non-judgmental awareness [1]. Meta-analytic data from 3 randomized controlled trials (RCTs) indicated that both therapist-led [2] and entirely self-guided [3, 4] MBIs were significantly effective in mitigating anxiety, depression, and associated 4 5 common mental health symptoms. Nevertheless, there remains uncertainty regarding whether 6 MBI outcomes can be unequivocally attributed to a particular change mechanism. Understanding the mechanisms behind the effectiveness of MBIs might assist clinicians and policymakers in 7 8 pinpointing the therapeutic targets to prioritize, thus potentially enhancing efficacy by initiating 9 essential change processes [5]. Consequently, it is imperative to conduct MBI trials to evaluate 10 potential change mechanisms. 11 MBIs are believed to operate by focusing non-judgmental attention on the present 12 moment and enhancing disciplined attention toward a task. They teach people to persistently 13 cultivate these skills in the face of challenges [6]. Due to the focus of MBIs on the present 14 moment, disciplined mindfulness exercises counteract psychopathological symptoms, such as excessive worry about potential future threats, which are central to generalized anxiety disorder 15 [GAD; 7]. Overall, these theories posit that trait mindfulness would serve as a mediator of the 16 17 impact of MBIs on mental health outcomes.

Five trials offered consistent evidence for this mediation hypothesis. Three single-arm trials showed that increased global trait mindfulness mediated the impact of MBIs on reductions in GAD severity [8] and perceived stress [9-11]; however, the absence of a control group precluded ruling out regression to the mean and expectancy effects and limited internal validity

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22 and causal inferences. A two-arm waitlist-controlled RCT showed that increased pre-post global trait mindfulness mediated the effect of MBSR on lower post-treatment distress and avoidance in 23 24 cancer patients [12]. Despite that, this RCT comprised only two assessment waves and thus could not specify temporal precedence in a change-to-change causal chain as recommended [13]. 25 26 In a three-arm RCT that exemplified best mediation practices, increased pre-mid global trait 27 mindfulness mediated the effect of a fully self-guided internet-delivered MBI against waitlist and active control on reducing pre-post stress among college students [14]. However, focusing on 28 global trait mindfulness limits understanding of how specific domains might act as mediators in 29 30 understanding MBI change mechanisms. Improving our comprehension of which specific trait 31 mindfulness domains act as stronger mediators than others in enhancing outcomes can facilitate 32 the precise customization of MBIs.

33 Factor analyses have classified trait mindfulness domains into five categories [15, 16]. 34 Observing pertains to paying attention to or recognizing inner and outer experiences, i.e., 35 auditory inputs, feelings, olfactory sensations, thoughts, and visual cues. Describing entails mentally recognizing or labeling experiences using language. Acting with awareness refers to 36 37 focusing on present actions instead of engaging in autopilot or inattentive behavior. Judgment of 38 inner experience is the tendency to form negative opinions about one's feelings, sensations, and 39 thoughts, e.g., berating oneself for feeling upset after a breakup rather than processing emotions 40 such as sadness without judgment. Reactivity to inner experience indicates a resistant and non-41 accepting response to one's fleeting feelings and thoughts instead of letting feelings naturally 42 come and go. An example of reactivity includes resisting feelings of doubt while working on a

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project instead of accepting the feeling and allowing it to pass naturally, thereby adversely
affecting focus on the task. Higher judgment and reactivity to inner experience tended to
coincide with lower trait mindfulness and more frequent repetitive thinking [17, 18].

To maximize the benefits of MBIs in reducing GAD symptoms and related perseverative 46 47 cognitions, it may be crucial to specifically enhance two distinct trait mindfulness domains: 48 decreased judgment and reduced reactivity to inner experiences. This proposition is based on consistent evidence that GAD was marked by excessive reactivity and inflexible beliefs about 49 50 the "utility" of worry to protect oneself from sharp increases in negative emotions rather than 51 mindfully allowing emotions to ebb and flow [19, 20]. Subjectively, heightened GAD severity 52 has been uniquely correlated with higher judgment and reactivity [21]. Further, individuals with 53 (vs. without) GAD self-reported heightened emotional intensity and more difficulty bouncing 54 back from strong negative emotions [22, 23]. They also experienced an increased sense of threat 55 and reduced emotional control [24-26]. Interpersonally, persons with (vs. without) GAD were 56 more reactive to the negative emotions of others during social interactions [27]. Neurologically, 57 they exhibited increased amygdala sensitivity when expecting an adverse event [28]. 58 Physiologically, people with (vs. without) GAD showed delayed autonomic recovery when 59 confronted with emotionally charged situations [29]. The contrast avoidance model proposes that persons with GAD fail to practice mindful non-reactivity to inner experiences and instead use 60 61 worry to increase and sustain negative emotions to avoid intense reactivity to stressors or abrupt 62 spikes in negative emotions [19, 30]. There is also a tendency in GAD toward negatively biased interpretations about ambiguous issues [cf. cognitive model; 31, 32]. Thus, refraining from 63

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judgment is essential. According to these theories and evidence, MBIs may need to reduce
reactivity and judgment to effectively decrease worry and other repetitive thoughts in these
individuals.

67 Despite these theories, no trials have tested how changes in specific trait mindfulness domains preceded and mediated reductions in symptoms and if treatment group moderated such 68 69 mediation effects in the context of GAD. However, five trials have examined how distinct trait mindfulness domains might mediate the effect of MBIs against controls on other mental health 70 71 outcomes. For example, pre-post increased observing and reduced reactivity to inner experience 72 mediated the effect of MBI against waitlist on pre-post reduction in depression symptoms in stressed meditation-naïve individuals [33]. However, its non-randomized and two-time-point 73 74 design permitted only correlational inferences. In addition, four RCTs that reported how reduced 75 reactivity [34, 35], judgment [36], and enhanced acting with awareness [37] mediated the effect 76 of MBI against waitlist or treatment-as-usual on clinical outcomes in non-psychiatric samples 77 failed to examine treatment arm as a moderator. An RCT that reported how increased nonreactivity to inner experience mediated the effect of mindfulness ecological momentary 78 79 intervention (MEMI) vs. treatment-as-usual on pre-follow-up worry also did not test treatment as 80 a moderator [38]. An exemplary moderated mediation analysis using RCT data showed that 81 acting with awareness mediated the effect of MEMI vs. waitlist on distress among non-depressed 82 school employees predicted to gain the most from it [39]; despite that, this study only examined 83 one trait mindfulness domain as a mediator. Also, a qualitative review proposed that decreases in judgment and reactivity might be necessary for MBIs to alleviate symptoms of anxiety disorders, 84

85	including worry [40]. Together, the diverse mediating effects with distinct clinical endpoints
86	highlight the importance of testing unique trait mindfulness domains to uncover potential change
87	mechanisms underlying MBIs for GAD.
88	The present study thus determined what specific trait mindfulness domain(s) might
89	mediate the effect of a 14-day MEMI against self-monitoring app (SM) on GAD severity and
90	trait perseverative cognitions. Previously, we showed the efficacy of MEMI against SM in
91	reducing GAD severity and trait perseverative cognitions at pre-1-month follow-up [pre-1MFU;
92	4]. Our present study aimed to improve on prior studies in four ways. First, we ensured optimal
93	temporal sequence such that random assignment preceded pre-post change in the mediator, and
94	pre-post change in the mediator preceded pre-1MFU change in outcome. Only two of the ten
95	prior trials implemented this recommendation [14, 36]. Second, we built on previous research by
96	testing how the results were generalizable to a clinical sample of people diagnosed with GAD.
97	Third, most prior studies tested 4-16-week in-person MBIs, and none have tested how trait
98	mindfulness domain(s) might have been a change mechanism of <i>brief, fully self-guided</i> MEMIs.
99	Brief MBIs have been defined as those lasting up to two weeks [41]. This aim was essential as
100	people with GAD have tended to face stigma, shame, time, and travel constraints to seeking
101	treatment and would instead prefer to solve problems independently [42], necessitating thorough
102	evaluation of digital, fully self-guided MEMIs. Fourth, we tested if pre-post change in trait
103	mindfulness domains was a mediator and assigned intervention was a moderator, based on
104	recommendations [43]. Based on theory and evidence, we examined the hypotheses that MEMI
105	would yield efficacy over SM by reducing pre-post judgment of and reactivity to inner

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106	experience (vs. the other three domains) in reducing pre-1MFU GAD severity (Hypothesis 1)
107	and trait perseverative cognitions (Hypothesis 2).
108	Method
109	Participants
110	We enrolled 110 participants who met study inclusion criteria, with 68 randomized to
111	MEMI and 42 to SM. They were drawn from both the local community and psychology subject
112	pool. Table 1 presents the demographic and clinical attributes of the participants. Also, there
113	were no significant between-group variations in the occurrence of concurrent psychiatric
114	diagnoses at baseline.
115	Study Design and Eligibility Criteria
116	Our RCT (registered under NCT04846777 on ClinicalTrials.gov, with the mediation
117	analyses pre-registered on Open Science Framework: https://osf.io/63jcr) obtained ethical
118	clearance from a state university in the eastern United States. It utilized a mixed-design approach
119	involving two intervention groups (MEMI and SM) assessed at three time points (pre-
120	randomization, post-intervention, and 1MFU). Time served as the within-participant variable,
121	whereas group functioned as the between-participant variable.
122	Participants meeting the diagnostic criteria for GAD according to the Diagnostic and
123	Statistical Manual-Fifth Edition [DSM-5; 44] were eligible for inclusion in the study. They were
124	also required to be treatment-seeking and not currently in mental health treatment. Additionally,
125	participants needed to be $\geq 18$ years of age, possess a smartphone running either the iOS or
126	Android operating system, and provide informed consent. Initial screening included the

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127 Generalized Anxiety Disorder Ouestionnaire-Fourth Version [GADO-IV; 45] and the following 128 questions, "Are you currently receiving any treatment for psychological difficulties?" and "Are 129 you currently interested in seeking treatment for psychological difficulties?" The GADQ-IV 130 includes both binary ("Yes" or "No" questions) and continuous response options, such as a 9-131 point Likert scale, to measure the impact and distress caused by GAD symptoms. It aligns with 132 the DSM-5 GAD criteria [44]. Those whose GAD-Q-IV scores met or exceeded the clinical 133 cutoff [46] received the Anxiety and Related Disorders Interview Schedule for DSM-5 [ADIS-5; 134 47] to confirm their mental health diagnoses. It was delivered by trained and supervised research 135 assistants in person (pre-pandemic) or over Zoom (during the pandemic). Exclusion criteria were 136 the presence of suicidal ideation, manic episodes, psychotic disorders, or substance use disorders, 137 assessed by the ADIS-5. 138 **Intervention groups** 139 Mindfulness ecological momentary intervention (MEMI). All MEMI participants 140 received an informative video featuring the lead investigator, a clinical psychologist with a Ph.D. 141 This video conveyed essential elements of evidence-based MBI protocols, aligning with the 142 principles found in MBSR [1]. MEMI participants were provided clear instructions on 143 mindfulness, encouraging them to engage fully in their present surroundings, current activity, or 144 task at hand. This section was designed to help individuals who are chronically worried to 145 develop the skill of open monitoring, improving their ability to focus on small details. Next, the 146 video therapist guided participants on intentional, rhythmic, and slowed diaphragmatic breathing techniques, followed by a practical demonstration of the correct execution. This component 147

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148 offered guidance on practices promoting serenity through controlled breathing exercises and 149 cultivating mindful attributes such as non-reactive observation and non-judgment, inspired by the 150 principles of MBCT [48]. Later, the video therapist stressed the importance of integrating 151 mindfulness into daily routines. Participants received a MEMI rationale document delivered 152 automatically through Qualtrics to maintain the evaluator-blinding design. The document 153 specifically directed them to review and engage in mindfulness exercises. 154 MEMI prompted individuals to engage in mindfulness activities at five specific times during each day: approximately 9 a.m., noon, 3 p.m., 6 p.m., and 9 p.m., spanning 14 days. 155 156 During each MEMI prompt, participants received standard directives: "Pay attention to your 157 breathing. Breathe in a slow, steady, and rhythmic manner. Stay focused on the sensations of the 158 air coming into your lungs and then letting it out. As you are breathing, observe your experience 159 as it is. Let go of judgments that do not serve you. Focus on the here and now. Attend to the 160 small moments right now (e.g., reading a chapter, having a cool glass of water), as that is where 161 enjoyment, peace, and serenity in life happen." Before and after each prompt, participants rated 162 their present levels of mindfulness ("To what extent are you experiencing the present moment 163 fully?"), depression, and anxiety ("To what degree do you feel depressed/[keyed up or on edge] 164 right now?") on a 9-point scale (1 = Not At All to 9 = Extremely). Each MEMI alert concluded with a message to encourage the long-term integration of these skills: "Remember that the 165 166 cultivation of mindfulness is lifelong. The goal of therapy is to be your own therapist. Practice 167 mindfulness between the prompts and after you have completed this study."

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168 **Self-monitoring app (SM).** In SM, the standardized video began with the therapist 169 explaining self-monitoring as heightened awareness of one's emotional states and thought 170 processes. Afterward, the video proposed to individuals engaging in self-monitoring that 171 carefully observing their thoughts and recording any linked emotional discomfort might help 172 them develop beneficial cognitive-emotional processes. Ultimately, the SM video conveyed the 173 idea that the practice of self-observation alone might alleviate anxious feelings. The fundamental 174 basis for the SM control condition was drawn and modified from the rationale used in a recent 175 brief app intervention [49, 50]. This strategy was crafted to closely mirror the MEMI protocol 176 but excluded its presumed beneficial elements, such as acceptance, being present, diaphragmatic 177 breathing, and continual mindfulness exercises. As a result, it purposely avoided any reference to 178 the mindfulness concepts and refrained from explicitly instructing participants to heighten their 179 awareness and perception of their present experiences. Instead, it emphasized observing their 180 distressing emotional reactions and thoughts at each prompt. At the same time, we omitted 181 instructions for accepting these thoughts and feelings as they arose. SM participants were also 182 not directed to focus solely on their current tasks. In addition, these individuals did not receive 183 instructions on breathing retraining methods to induce pleasant sensations associated with 184 relaxation. Also, they were not encouraged to continue self-observation beyond the designated prompts or after the initial 14-day intervention phase ended. The aim of the SM was to minimize 185 186 credibility and expectancy effects, prevent regression to the mean, and avoid potential 187 overestimation of effect sizes commonly observed in no-treatment/waitlist control groups [51].

188	Unlike the detailed mindfulness guidance provided by MEMI, SM participants received a
189	brief single-sentence instruction five times daily (around 9 a.m., 12 p.m., 3 p.m., 6 p.m., and 9
190	p.m.) for 14 days: "Notice your thoughts and how distressing they may be." We assessed
191	participants' mindfulness, depression, and anxiety levels using identical 9-point Likert scale
192	questions before and after each prompt during every SM signal. Participants were also provided
193	with an automated copy of the SM handout. Unlike MEMI, this handout did not include
194	instructions to review its contents regularly.
195	Measures
196	Trait mindfulness domains. Trait mindfulness was assessed using the Five Facet
197	Mindfulness Questionnaire (FFMQ), a self-report tool consisting of 39 items aimed at measuring
198	mindfulness practices in everyday life [15, 16]. As mentioned earlier, it included five trait
199	mindfulness domains: observing (8 items; e.g., "I pay attention to how my emotions affect my
200	thoughts and behavior."), describing (e.g., "I can usually describe how I feel at the moment in
201	considerable detail."), acting with awareness (e.g., "I find myself doing things without paying
202	attention."), judgment of inner experience (e.g., "I disapprove of myself when I have irrational
203	ideas."), and reactivity to inner experience (e.g., "When I have distressing thoughts or images, I
204	just notice them and let them go."). The FFMQ subscale scores have shown strong convergent
205	and discriminant validity [52], effectively distinguishing themselves from measures of unrelated
206	constructs such as psychological well-being [16]. FFMQ subscale scores have also shown high
207	retest reliability [53]. Participants rated items on a 5-point scale ( $1 = never \text{ or very rarely true to}$
208	$5 = very often or always true$ ). Our internal consistency (Cronbach's $\alpha$ ) values were high at pre-

209	randomization, post-treatment, and 1MFU, respectively, for the observing domain ( $\alpha s = .75, .87,$
210	.92) and other subscales (describing: .92, .86, .91; acting with awareness: .86, .88, .92; judgment
211	of inner experience: .90, .89, .93; reactivity to inner experience: .82, .85, .90).
212	GAD severity. GAD severity was assessed using the 16-item GAD-Q-Dimensional
213	measure, which resembles the 14-item GADQ-IV but consistently features response formats on a
214	9-point Likert scale (e.g., $0 = never$ to $8 = almost every day$ , $0 = not at all$ to $8 = worry all the$
215	time). The first eight questions of the GADQ-Dimensional focused on evaluating enduring worry
216	traits. Respondents rated the extent, frequency, manageability, and strength of their worries. The
217	following eight questions asked about similar worries over the past six months (possible score
218	range = $0-126$ ; $\alpha s = .90, .92, .93$ ).
219	Trait perseverative cognitions. The Perseverative Cognitions Questionnaire (PCQ),
220	consisting of 45 items, assessed persistent, trait-level repetitive negative thinking patterns
221	associated with obsessions, worry, and rumination [54]. Participants indicated their agreement
222	with items on a 6-point Likert scale ( $0 = strongly disagree$ to $5 = strongly agree$ ). Moreover, the
223	PCQ comprised six distinct factors: lack of controllability, preparing for the future, expecting the
224	worst, searching for causes/meanings, dwelling on the past, and thoughts discordant with ideal
225	self. The overall PCQ score was derived by summing the average scores of each subscale. The
226	PCQ has demonstrated robust convergent validity, discriminant validity, two-week retest
227	reliability [54], and cross-cultural measurement equivalence [55]. Our internal consistency
228	values were also high (possible score range = $0-6$ ; $\alpha s = .96, .97, .97$ ).
229	Procedures

230	During the initial visit, participants underwent the structured ADIS-5 interview. Eligible
231	participants then completed a series of self-reports, cognitive functioning, and social cognition
232	assessments before randomization. This process was counterbalanced to mitigate any potential
233	biases related to the order of assessments. The evaluators remained unaware of the assigned
234	groups by physically leaving the room (pre-COVID-19 pandemic) or by instructing participants
235	to turn off their Zoom audio and video before opening the Qualtrics link to watch the assigned
236	group video (peri-pandemic). Participants downloaded the PACO app
237	(https://github.com/google/paco), preloaded with MEMI or SM, onto their smartphones
238	following a video tutorial. The evaluator was available to address any inquiries participants had
239	about study procedures, such as upcoming study visits or technical issues related to installing
240	PACO on their phones. However, the evaluator was absent during participants' introduction to
241	their assigned intervention arm and its components. After a 14-day intervention phase, all
242	participants returned for post-treatment assessments and then again at the 1-month follow-up
243	(1MFU), six weeks from baseline. During these sessions, they completed standardized self-
244	reports and other assessments. Participants received compensation in the form of credit hours,
245	monetary payment, or a combination of both. On the seventh day, evaluators conducted a
246	compliance check to examine if participants completed at least 56/70 prompts as instructed.
247	Data analyses
248	Missing data, which accounted for 10.71% of the total dataset, were addressed using
249	random forest imputation with the missRanger R package [56]. To test the efficacy of MEMI
250	against SM on domain-specific trait mindfulness mediator targets, we utilized an intent-to-treat

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251 methodology similar to the approach used in the primary efficacy analysis [4]. This method 252 utilized a multilevel model, where changes in outcome over time were determined by differences 253 from pre-1MFU, with group as the between-participant factor. For multilevel mediation analysis, 254 we used a causal mediation model called the marginal mediation model [57]. Traditional 255 mediation models [e.g., 58] presuppose that unmeasured factors do not affect the mediator-256 outcome associations, an assumption known as "sequential ignorability" [59]. Since we defined 257 the pre-post mediator as change in potential targets (observing, describing, acting with 258 awareness, judgment of inner experience, reactivity to inner experience) preceding the pre-259 1MFU outcome, participants were not randomly assigned to the different mediator levels [60]. 260 The marginal mediation model diverges from the sequential ignorability assumption by 261 establishing a connection between mediation parameters and causal parameters [60]. The 262 marginal mediation model evaluated the significance of three multiplicative paths: MEMI vs. SM predicting pre-1MFU outcome (c path or direct effect), MEMI vs. SM predicting potential pre-263 264 post mediator (a path), and pre-post mediator predicting pre-1MFU outcome (b path). 265 Controlling for random assignment simultaneously, this analysis represented the pure indirect 266 effect [60]. Temporal precedence was established following best practices, ensuring that random 267 assignment preceded the pre-post mediator and the pre-post mediator preceded the pre-1MFU 268 outcome [61]. Simple slope analyses were conducted to examine within-group parameter 269 estimates. Each potential mediator was analyzed individually. Given the theoretical significance 270 of each mediator and their intercorrelations, we refrained from controlling for other mediators 271 [62]. We displayed the unstandardized regression coefficients ( $\beta$ ) with 95% confidence intervals

272	(CIs) and utilized bootstrapping with 1,000 resampling iterations [63]. Sensitivity analyses were
273	performed using non-linear generalized additive multilevel models to assess the consistency of
274	the observed findings [64]. The Simes alpha correction method was utilized [65]. The effect size
275	was calculated as the ratio of the indirect effect to the total effect [66]. Three $R$ packages –
276	intmed [67], mediation [64], and mgcv [68] – were used with adapted tutorials from published
277	sources (e.g., <u>http://tinyurl.com/codesintmed;</u> <u>http://tinyurl.com/codesmediation</u> ).
278	Results
279	Intervention effect on pre-post trait mindfulness mediators (path <i>a</i> )
280	MEMI was significantly more effective than SM in reducing pre-post reactivity to inner
281	experience ( $\beta = 1.578$ [0.525, 2.631], $p = .003$ ) but not observing ( $\beta = 1.264$ [-0.091, 2.619], $p =$
282	.067), describing ( $\beta = 0.795$ [-0.496, 2.086], $p = .227$ ), acting with awareness ( $\beta = 1.039$ [-0.281,
283	2.359], $p = .123$ ), and judgment ( $\beta = -0.404$ [-1.927, 1.119], $p = .602$ ; Figure 1). Simple slope
284	analyses indicated that MEMI significantly improved reactivity ( $\beta = 1.806$ [0.987, 2.625], $p < 1.625$
285	.001), unlike SM ( $\beta$ = -0.007 [-0.955, 0.941], <i>p</i> = .988). Although MEMI did not induce pre-post
286	changes in other mediators to a greater degree than SM, MEMI significantly enhanced pre-post
287	observing ( $\beta = 1.262 \ [0.154, 2.370], p = .026$ ), describing ( $\beta = 0.997 \ [0.077, 1.916], p = .034$ ),
288	acting with awareness ( $\beta = 1.441$ [0.434, 2.448], $p = .005$ ) and reduced judgment ( $\beta = 2.274$
289	[1.099, 3.449], $p < .001$ ) (Tables 2 and 3). SM did not significantly change pre-post observing ( $\beta$
290	= 0.121 [-0.999, 1.241], $p$ = .831), describing ( $\beta$ = 0.579 [-0.790, 1.949], $p$ = .404), acting with
291	awareness ( $\beta = 0.260$ [-1.003, 1.522], $p = .685$ ), and judgment ( $\beta = 0.734$ [-0.690, 2.157], $p =$
292	.310).

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293	Pre-post trait mindfulness mediator predicting pre-1MFU GAD severity (path b)
294	Treatment significantly moderated the pathways of all pre-post trait mindfulness domains
295	predicting pre-1MFU change in GAD severity: observing ( $\beta = -6.155$ [-9.452, -2.858], $p < .001$ ),
296	describing ( $\beta$ = -6.019 [-9.268, -2.771], $p$ < .001), acting with awareness ( $\beta$ = -4.893 [-7.981, -
297	1.804], $p = .002$ ), judgment ( $\beta = -4.614$ [-7.809, -1.419], $p = .005$ ), and reactivity ( $\beta = -3.313$ [-
298	6.350, -0.276], $p = .033$ ). Within the MEMI, larger increase in pre-post observing ( $\beta = -5.770$ [-
299	9.029, -2.511], $p < .001$ ), describing ( $\beta = -6.230$ [-9.560, -2.900], $p < .001$ ), acting with
300	awareness ( $\beta$ = -4.928 [-8.069, -1.786], <i>p</i> = .002), and decreased judgment ( $\beta$ = -4.612 [-7.863, -
301	1.360], $p = .006$ ), and reactivity ( $\beta = -3.423$ [-6.528, -0.319], $p = .031$ ) significantly predicted
302	greater reduction in pre-1MFU GAD severity (Table 2). However, within the SM, changes in
303	pre-post observing ( $\beta$ = -1.071 [-5.267, 3.126], $p$ = .615), describing ( $\beta$ = -0.489 [-4.519, 3.541],
304	$p = .811$ ), acting with awareness ( $\beta = -0.691$ [-4.580, 3.198], $p = .726$ ), judgment ( $\beta = -0.691$ [-
305	4.580, 3.198], $p = .726$ ), and reactivity ( $\beta = -1.040$ [-4.805, 2.724], $p = .585$ ) did not significantly
306	predict change in pre-1MFU GAD severity.
307	Pre-post trait mindfulness mediator predicting pre-1MFU trait perseverative cognitions
308	(path b)

Treatment significantly moderated the pathways of all pre-post trait mindfulness domains predicting pre-1MFU change in perseverative cognitions: observing ( $\beta = -0.274$  [-0.406, -0.143], p < .001), describing ( $\beta = -0.276$  [-0.405, -0.146], p < .001), acting with awareness ( $\beta = -0.239$  [-0.364, -0.114], p < .001), judgment ( $\beta = -0.194$  [-0.317, -0.072], p = .002), and reactivity ( $\beta = -$ 0.145 [-0.260, -0.030], p = .014). Within the MEMI, larger increase in pre-post observing ( $\beta = -$ 

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## 314 0.253 [-0.380, -0.126], p < .001), describing ( $\beta = -0.279$ [-0.413, -0.145], p < .001), acting with

- 315 awareness ( $\beta = -0.218$  [-0.339, -0.097], p < .001), judgment ( $\beta = -0.180$  [-0.302, -0.058], p = -0.180
- 316 .004), and reactivity ( $\beta = -0.133$  [-0.246, -0.019], p = .023) significantly predicted greater
- 317 reduction in pre-1MFU perseverative cognitions. However, within the SM, changes in pre-post
- 318 observing ( $\beta = -0.077$  [-0.245, 0.092], p = .370), describing ( $\beta = -0.049$  [-0.206, 0.108], p = .370
- 319 .539), acting with awareness ( $\beta = -0.068$  [-0.232, 0.097], p = .418), judgment ( $\beta = -0.044$  [-0.203,
- 320 0.114], p = .580), and reactivity ( $\beta = -0.076$  [-0.226, 0.074], p = .318) did not significantly
- 321 predict change in pre-1MFU perseverative cognitions.

# 322 Intervention effect on pre-1MFU GAD severity via pre-post trait mindfulness domains 323 (indirect effect)

#### 324 In the total sample, reduction in pre-post reactivity to inner experience significantly 325 mediated the effect of MEMI against SM predicting a larger decrease in pre-1MFU GAD severity ( $\beta = -2.970$ [-5.034, -0.904], p = .008; effect size: 30.5%). However, pre-post change in 326 327 observing ( $\beta = -0.566$ [-1.488, 0.040], p = .074), describing ( $\beta = -0.543$ [-1.601, 0.407], p =328 .226), acting with awareness ( $\beta = -1.286$ [-3.039, 0.328], p = .140), and judgment ( $\beta = 0.346$ [-1.158, 1.804], p = .618) were not significant mediators of MEMI against SM on pre-1MFU GAD 329 330 severity. Effect sizes were small (3.9-13.4%) for these non-significant mediation paths. A 331 sensitivity analysis that examined non-linear mediator-outcome relations using multilevel 332 generalized additive models led to similar findings (Table S1 in the online supplemental 333 materials). Hypothesis 1 thus received partial support.

334	Intervention effect on pre-1MFU trait perseverative cognitions via pre-post trait
335	mindfulness domains (indirect effect)
336	In the total sample, stronger reduction in pre-post reactivity to inner experience
337	significantly mediated the effect of MEMI against SM predicting greater decrease in pre-1MFU
338	perseverative cognitions (indirect effect: $\beta = -0.153$ [-0.254, -0.044], $p = .008$ ; effect size:
339	42.7%). However, pre-post change in observing ( $\beta = -0.043$ [-0.099, 0.002], $p = .064$ ), describing
340	$(\beta = -0.033 [-0.093, 0.020], p = .224)$ , acting with awareness $(\beta = -0.057 [-0.134, 0.014], p = .224)$
341	.100), and judgment ( $\beta = 0.022$ [-0.055, 0.110], $p = .598$ ) were not significant mediators of
342	MEMI against SM predicting pre-1MFU perseverative cognitions. Effect sizes were small (6.3-
343	16.2%) for these non-significant mediation paths. A sensitivity analysis that examined non-linear
344	mediator-outcome relationships produced similar findings (Table S2). Hypothesis 2 was,
345	therefore, partially supported.
346	Discussion
347	Partially affirming our hypotheses, pre-post reduction in reactivity to inner experience
348	emerged as a crucial moderated mediator – potentially a change mechanism – of the effect of
349	MEMI against SM on pre-1MFU reductions in GAD severity and trait perseverative cognitions.
350	Stated differently, decrease in reactivity accounted for 30.5–42.7% of the effect of brief MEMI
351	against SM in mitigating pathological worry and other patterns of repetitive negative thinking.
352	Pre-post change in other trait mindfulness domains – observing, describing, acting with
353	awareness, and judgment of inner experience – did not serve as mediators for the intervention
354	effect on clinical outcomes. Our outcomes indicate that other mediators apart from reactivity to

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355 inner experiences were not proxy change mechanisms of brief MEMI in treating GAD [69]. At 356 the same time, change in all mindfulness domains predicted subsequent changes in pathological 357 worry and GAD severity. Theoretical accounts are provided to elucidate these findings, 358 potentially offering valuable insights for future research endeavors exploring similar moderated 359 mediational analyses in RCTs of MBIs for GAD or related conditions. 360 What potential change mechanisms might explain the efficacy of MEMI on reactivity to 361 inner experiences? Behaviorally, the MEMI might have helped chronic worriers discern their 362 emotions, then pause, observe, and respond wisely while staying present instead of reacting 363 negatively to internal feelings, thoughts, or sensations better than SM [70, 71]. Cognitively, the 364 MEMI might have done a better job than SM at helping to decrease reactivity to rumination and 365 worry [72, 73]. Biologically, the MEMI, as with other MBIs, could have attenuated the cortisol 366 awakening response [a marker of stress reactivity; 74, 75-77]. Future digitally-delivered MBI 367 RCTs that include multimodal measures could test the validity of these ideas. Why did the pre-post decrease in reactivity to inner experience emerge as the only 368 369 mediator of treatment effect on reducing GAD severity and trait perseverative cognitions at pre-370 1MFU? Maybe MEMI bolstered resilience to stressors [78]. In light of this, our findings can be 371 contextualized by evidence indicating that individuals with GAD tend to exhibit heightened 372 reactivity [19]. Physiologically, prolonged worry has been causally linked to decreased vagal 373 tone [i.e., higher resting heart rate; 24] and increased blood pressure [79]. Neurobiologically, 374 people with vs. without GAD showed hyperactivity in the amygdala when seeing unpleasant

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375 pictures [80]. The inclination towards pathobiological reactivity in GAD may be partially 376 attributed to brain-derived neurotrophic factors and related genetic factors [81]. 377 Other behavioral and cognitive factors might also explain why reduction in reactivity to 378 inner experience mediated the effect of MEMI against SM on decreases in GAD severity and 379 trait perseverative cognitions at pre-1MFU. Behaviorally, people with GAD self-rated higher 380 levels of emotional intensity in their emotional experiences than depressed people [82]. Further, 381 worry consistently amplified and prolonged negative emotional states and thus increased the 382 likelihood of feeling less negative in the absence of dreaded events or feeling more positive in 383 the presence of positive ones [19, 30]. These patterns consistently manifested in daily life across 384 different situations, with worry initiating and maintaining anxiety while predicting a decreased 385 likelihood of significant increases in negative emotions in future periods [22, 23, 83, 84]. 386 Cognitively, GAD has been associated with increased focus on threats [85], the tendency to 387 interpret ambiguous material negatively [86], and executive dysfunction [87]. In summary, 388 targeting reduction in reactivity to inner experience could enhance the effectiveness of brief 389 MEMIs for GAD by honing specific skills to mitigate emotional or stress reactivity across 390 multiple biopsychosocial dimensions. 391 Despite recent theories proposing that reduced judgment of inner experience could be a 392 crucial trait mindfulness domain mediator explaining treatment effects of MBIs for anxiety

394 that in MEMI (but not SM) pre-post reduced judgment (and all other mindfulness domains) did

disorders [40], our findings did not align with those assertions. However, it is important to note

395 predict pre-follow-up reductions in both trait perseverative cognitions and GAD severity.

393

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396 Therefore, reduced judgment was associated with pre-follow-up outcomes even though it was 397 not a mediator. It may not have been a differential mediator because there was no between-398 treatment effect on judgment from pre-to-post-treatment [88]. It is possible that enhancing the 399 intensity of MEMI over longer periods was needed for reduced judgment to act as a mediator 400 [89]. More intense treatment might raise the odds of finding differential reduction in judgment in 401 MEMI (vs. SM) and of reduction in judgment as a differential mediator perhaps because learning 402 to simply observe without immediately forming opinions of experiences as "good" or "bad" may 403 be an attitude that takes time to cultivate [90].

404 Interestingly, although there were no significant between-group differences, it is worth 405 noting that within-group analyses of change revealed that MEMI, unlike SM, improved pre-post 406 observing, describing, and acting with awareness, while also reducing judgment and reactivity. 407 These findings might be explained by evidence suggesting that MBIs, compared to active 408 controls, were more effective in enhancing state and trait attentional skills [91], executive 409 functioning [92], and emotional clarity [93]. Encouragingly, prior research has shown 410 improvement in all these mindfulness domains following an 8-week MBSR course compared to 411 a waitlist in healthy controls [52], suggesting that similar benefits might extend to 14-day 412 MEMIs for individuals with GAD. In addition, pre-post enhancements in all trait mindfulness 413 domains predicted reductions in GAD severity and perseverative cognitions at pre-1MFU in 414 MEMI but not SM. MEMI may have been more effective than SM in teaching the skill of 415 observing experiences without an immediate reaction, improving emotion regulation with more 416 constructive responses and fewer detrimental coping strategies [94]. Further, evidence that MBIs

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417 better equip people with GAD and depression with the skills to perceive emotions and thoughts 418 as transient occurrences rather than personally associating with them – a process called "decentering" – than controls [8, 95] might explain our findings. 419 420 The present study had a number of limitations. First, although temporal precedence was 421 established, it is essential to note that mediation alone does not necessarily provide a complete 422 understanding of the underlying change mechanism [69]. Further evidence of causality through 423 experiments establishing mediator-outcome relations would be essential, coupled with coherent 424 theories explaining the mechanism(s) by which causation operates in the process [96]. Secondly, 425 the short intervention phase may not have allowed sufficient time for significant differential pre-426 post improvements in all trait mindfulness domains, except for reactivity to inner experience. 427 Further, our study did not include assessments of the continued utilization of mindfulness skills 428 by MEMI participants from post-intervention to the 1MFU. Future RCTs testing digitally

429 delivered MBIs should investigate whether sustained mindfulness engagement, even without

430 repeated MEMI instructions, could influence treatment effects during assessments from post-

431 intervention to follow-ups. Also, the conclusions drawn from our study may not apply to a

432 broader demographic beyond predominantly White female participants. This limitation

433 underscores the importance of future digital trials attracting a more diverse participant pool,

434 encompassing various cultural backgrounds, genders, and related diversity metrics.

However, the current study had notable strengths, including its utilization of an RCT
design with an active control group and a high level of participant engagement. Further, we
recruited a clinical sample through face-to-face diagnostic assessment and included follow-up

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438 assessments at 1MFU. Our study also had a dropout rate of only 11%, which is significantly 439 lower than the typical range of 24-50% observed in mental health RCTs delivered via 440 smartphones [97-99]. Another strength was the rigor of our causal mediation modeling approach, 441 which extended traditional approaches [61]. If our observed results are replicated, several clinical implications merit consideration. As 442 443 decreases in reactivity to inner experience emerged as the sole noteworthy mediator, this finding 444 suggests that clients with GAD should not resist diverse mood states by resisting emotional 445 changes. Instead, they should accept and embrace all kinds of transient emotions that arise in 446 their field of experience. Such an approach might alleviate worry and other perseverative 447 cognitions, thereby optimizing the effectiveness of brief MEMI for GAD [100]. Further, guiding 448 clients with GAD on managing distressing thoughts and emotions without impulsive reactions 449 could be beneficial. Regularly practicing reducing reactivity to emotionally challenging 450 situations could help maintain focus on mood-boosting activities, thereby reducing worrisome 451 and unhelpful thinking patterns [101]. Further, clinical science can benefit from identifying 452 individuals for whom reactivity to inner experience and other trait mindfulness domains might 453 act as proxy mechanisms of change in brief, cost-effective, self-guided MEMIs, enhancing their 454 dissemination within stepped-care and stratified care frameworks [102, 103].

455

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472

#### 473 **Conflict of Interest Statement**

474 All authors report no conflict of interest.

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## Table 1

Sociodemographic data of study participants in the MEMI and (SM) (N, 110)

	MEM	MEMI ( <i>n</i> , 68)		SM (n, 42)	
Continuous variables	М	(SD)	М	(SD)	
Age (in years)	20.53	(3.91)	21.24	(7.24)	.51
14-item GAD-Q-IV score	9.52	(2.10)	9.94	(1.96)	.30
Treatment expectations					
Credibility	6.00	(1.39)	5.72	(1.58)	.34
Expectancy	43.46	(17.33)	44.29	(18.13)	.31
Categorical variables	n	(%)	п	(%)	Р
Gender orientation					.85
Women	10	(14.71)	5	(11.90)	
Men	57	(83.82)	37	(88.10)	
Declined to disclose	1	(1.47)	_	_	
Race					.99
White Caucasian	44	(64.71)	27	(64.29)	
Asian or Asian American	11	(16.18)	4	(9.52)	
Hispanic	3	(4.41)	5	(11.91)	
African American	5	(7.35)	1	(2.38)	
Another race	4	(5.88)	2	(4.76)	
Declined to disclose	1	(1.47)	0	(0.00)	
Comorbid diagnoses					
Current major depressive episode	32	(47.10)	24	(57.10)	.30
Recurrent major depressive episode	25	(36.80)	20	(47.60)	.26
Current panic disorder	16	(23.50)	5	(11.90)	.13
Current social anxiety disorder	15	(22.10)	14	(33.30)	.19
Current OCD	4	(5.88)	4	(9.52)	.48
Current PTSD	9	(13.20)	4	(9.52)	.56
Current alcohol use disorder	7	(10.30)	1	(2.38)	.12
Current substance use disorder	3	(4.41)	1	(2.38)	.58
Current anorexia nervosa	0	(0.00)	0	(0.00)	_
Current binge-eating disorder	1	(1.47)	0	(0.00)	.39

MEMI, mindfulness ecological momentary intervention; SM, self-monitoring app; OCD, obsessive-compulsive disorder; PTSD, post-traumatic stress disorder.

## Table 2

Simple slope analyses of predictor-mediator and mediator-outcome associations for pre-1MFU

GAD severity as the outcome

	Predicting the pre-post mediator ( <i>a</i> path)			Predicting pre-1MFU GAD severity ( <i>b</i> path)				
	β	(LCI, UCI)	р	β	(LCI, UCI)	р		
A. Observ	ving							
MEMI	$1.262^{*}$	(0.154, 2.370)	.026	-5.770***	(-9.029, -2.511)	.000		
SM	0.121	(-0.999, 1.241)	.831	-1.071	(-5.267, 3.126)	.615		
B. Describ	oing							
MEMI	$0.997^*$	(0.077, 1.916)	.034	-6.230*	(-9.560, -2.900)	< .001		
SM	0.579	(-0.790, 1.949)	.404	-0.489	(-4.519, 3.541)	.811		
C. Acting	with awarene	ess						
MEMI	$1.441^{**}$	(0.434, 2.448)	.005	-4.928***	(-8.069, -1.786)	.002		
SM	0.260	(-1.003, 1.522)	.685	-0.691	(-4.580, 3.198)	.726		
D. Judgme	ent (Reverse-	coded)						
MEMI	$2.274^{***}$	(1.099, 3.449)	.000	-4.612***	(-7.863, -1.360)	.006		
SM	0.734	(-0.690, 2.157)	.310	-0.358	(-4.386, 3.669)	.861		
E. Reactivity to inner experience (Reverse-coded)								
MEMI	$1.806^{***}$	(0.987, 2.625)	.000	-3.423***	(-6.528, -0.319)	.031		
SM	-0.007	(-0.955, 0.941)	.988	-1.040***	(-4.805, 2.724)	.585		

*Note.* p < .05; p < .01; p < .001.

MEMI, mindfulness ecological momentary intervention; SM, self-monitoring app; 1MFU, onemonth follow-up;  $\beta$ , unstandardized regression coefficient; LCI, lower bound of the 95% confidence interval (CI); UCI, upper bound of the 95% CI; GAD, generalized anxiety disorder.

## Table 3

Simple slope analyses of predictor-mediator and mediator-outcome associations for pre-1MFU

	Predicting the pre-post mediator ( <i>a</i> path)			Predicting pre-1MFU trait perseverative cognitions (b path)			
	β	(LCI, UCI)	р	β	(LCI, UCI)	р	
A. Observi	ng						
MEMI	$1.262^{*}$	(0.154, 2.370)	.026	-0.253***	(-0.380, -0.126)	.000	
SM	0.121	(-0.999, 1.241)	.831	-0.077	(-0.245, 0.092)	.370	
B. Describi	ng						
MEMI	$0.997^*$	(0.077, 1.916)	.034	-0.279***	(-0.413, -0.145)	.000	
SM	0.579	(-0.790, 1.949)	.404	-0.049	(-0.206, 0.108)	.539	
C. Acting v	vith awarenes	S					
MEMI	$1.441^{**}$	(0.434, 2.448)	.005	-0.218***	(-0.339, -0.097)	.000	
SM	0.260	(-0 1.003, 1.522)	.685	-0.068	(-0.232, 0.097)	.418	
D. Judgmer	nt (Reverse-c	oded)					
MEMI	$2.274^{***}$	(1.099, 3.449)	.000	-0.180***	(-0.302, -0.058)	.004	
SM	0.734	(-0.690, 2.157)	.310	-0.044	(-0.203, 0.114)	.580	
E. Reactivi	ty to inner ex	perience (Reverse-coo	ded)				
MEMI	$1.806^{***}$	(0.987, 2.625)	.000	-0.133***	(-0.246, -0.019)	.023	
SM	-0.007 05: ** <i>p</i> < .01	(-0.955, 0.941)	.988	-0.076	(-0.226, 0.074)	.318	

trait perseverative cognitions as the outcome

*Note.* p < .05; p < .01; p < .001.

MEMI, mindfulness ecological momentary intervention; SM, self-monitoring app; 1MFU, onemonth follow-up;  $\beta$ , unstandardized regression coefficient; LCI, lower bound of the 95% confidence interval (CI); UCI, upper bound of the 95%. Trait perseverative cognitions were measured using the perseverative cognitions questionnaire.

Figure 1

Efficacy of MEMI vs. SM on pre-post trait non-reactivity to inner experience

MEMI, mindfulness ecological momentary intervention; SM, self-monitoring app.

