Maximal sum-free sets in cyclic groups of prime-power order

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A subset S of an additive group G is called a maximal sum-free set in G if $(S+S) \cap S = \emptyset$ and $|S| \ge |T|$ for every sum-free set T in G. In this paper, the maximal sum-free sets in cyclic p-groups are characterized to within automorphism.

Given an additive group G and non-empty subsets S, T of G, let S + T denote the set $\{s+t; s \in S, t \in T\}$, \overline{S} the complement of S in G and |S| the cardinality of S. We call S a sum-free set in G if $(S+S) \subseteq \overline{S}$. If, in addition, $|S| \ge |T|$ for every sum-free set T in G, then we call S a maximal sum-free set in G. We denote by $\lambda(G)$ the cardinality of a maximal sum-free set in G.

Exact values of $\lambda(G)$ were given by Diananda and Yap [1] for |G|divisible by 3 or by at least one prime $q \equiv 2$ (3). When every prime divisor of |G| is a prime $p \equiv 1$ (3) then, by [1], $|G|(m-1)/3m \leq \lambda(G) \leq (|G|-1)/3$, where m is the exponent of G, and it is conjectured that in fact

(1) $|G|(m-1)/3m = \lambda(G)$.

This conjecture was verified in [1] for Z_n , the cyclic group of order n, and by Rhemtulla and Street [4] for elementary abelian p-groups.

Maximal sum-free sets have been characterized (up to automorphism) for the following classes of abelian *p*-groups:

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- (i) for Z_p , with $p \equiv 2$ (3) in [1] and [6], and with $p \equiv 1$ (3) in [4], (see also partial results in [7]);
- (ii) for elementary abelian p-groups, with p ≡ 2 (3) in [1], and with p ≡ 1 (3) in [5];
- (iii) for $Z_{p^{\alpha}}$, with $p \equiv 2$ (3) in [1].

Here we extend the argument of [4] to characterize the maximal sum-free sets in Z with $p \equiv 1$ (3). More precisely, we prove the p^{α} following:

THEOREM. Let
$$G = Z_{p^{\alpha}}$$
, where $p = 3k + 1$ is prime and

 $p^{\alpha} = 3k_{\alpha} + 1$. Then any maximal sum-free set S may be mapped, under some automorphism of G, to one of the following:

$$A_{\alpha} = \{k_{\alpha}, k_{\alpha}+2, \dots, 2k_{\alpha}-1, 2k_{\alpha}+1\};$$

$$B_{\alpha} = \{k_{\alpha}, \dots, 2k_{\alpha}-1\};$$

$$C_{\alpha} = \{k_{\alpha}+1, \dots, 2k_{\alpha}\}.$$

DEFINITION. Let ${\mathcal C}$ be a subset and ${\mathcal H}$ a subgroup of an abelian group ${\mathcal G}$.

- (i) C is said to be in arithmetic progression if
 C = {g+id | i = 0, 1, ..., |C|-1}, for some g, d ∈ G,
 d ≠ 0. If so, d is called a difference of C.
- (ii) C is said to be aperiodic if C + H = C implies $H = \{0\}$.
- (iii) C is said to be *periodic* if C + H = C for some $H \neq \{0\}$. If so, H is called a *period* of C.
- (iv) C is said to be *quasiperiodic* if $C = C' \cup C''$, where $C' \cap C'' = \emptyset$, C' + H = C' for some $H \neq \{0\}$ and C'' is contained in one coset of H. If so, H is called a *quasiperiod* of C.

Notation. Let
$$G = Z$$
 and let $H \neq \{0\}$ be a subgroup of p^{α}

 $G, H = Z_{p\beta}$. If S is a maximal sum-free set in G, then S_i denotes the subset of H such that $S_i + i = S \cap (H+i)$, where H + 1 generates G/H and $i = 0, 1, \ldots, p^{\alpha-\beta}-1$.

The proof of the theorem depends primarily on results of Kemperman [2], especially on Theorems 2.1 and 3.4 and Lemma 4.3. We also need Kneser's Theorem [3], the lemma of [4] and the following simple results.

LEMMA 1. Let $G = Z_{p^{\alpha}}$, p = 3k + 1, and let C be a subset of G in arithmetic progression, with difference d. If $|C| > p^{\alpha}/7$, then d has order p^{α} .

LEMMA 2. Let G = Z where p = 3k + 1 and $p^{\alpha} = 3k_{\alpha} + 1$. Let $H \neq \{0\}$ be a subgroup of G , $H = Z_{p^{\beta}}$, and let S be a maximal sum-free set in G.

(i) Let
$$I = \left\{ i \mid i = 0, 1, ..., p^{\alpha-\beta}-1; \mid S_i \mid \ge (p^{\beta}+1)/2 \right\}$$
. Let
 $L = \left\{ l \mid l = 0, 1, ..., p^{\alpha-\beta}-1; S_l = \emptyset \right\}$. Then $I + I \subseteq L$.
(ii) If $S_0 \neq \emptyset$, then $S_i \neq H$ for any $i = 0, 1, ..., p^{\alpha-\beta}-1$.
(iii) $\lambda(G) > \lambda(G/H)|H|$.
(iv) Suppose the theorem is true for Z_{p} , for all $\delta < \alpha$. Then

(iv) Suppose the theorem is true for Z_{δ} , for all $\delta < \alpha$. Then p^{δ} $S_i = H$ for fewer than $k_{\alpha-\beta}$ values of i.

Proof. (i) Since S is sum-free,

(2)
$$(S_i+S_j) \cap S_{i+j} = \emptyset$$
.

By Kneser's Theorem [3], there exists some subgroup K < H, $|K| = p^{\gamma}$, such that $S_i + S_j + K = S_i + S_j$ and $|S_i + S_j| \ge |S_i + K| + |S_j + K| - |K|$.

Since
$$|S_i| \ge (p^{\beta}+1)/2$$
, we must have $|S_i+K| \ge (p^{\beta}+p^{\gamma})/2$ and

similarly for S_j . Hence $|S_i+S_j| \ge 2(p^\beta+p^\gamma)/2 - p^\gamma = p^\beta$ and $S_{i+j} = \emptyset$. (*ii*) Apply (2) in the particular case j = 0.

(iii)
$$\lambda(G) = k_{\alpha} = k(p^{\alpha-1} + \ldots + p+1) ,$$

$$\lambda(G/H)|H| = k_{\alpha-\beta}p^{\beta} = k(p^{\alpha-1} + \ldots + p^{\beta}).$$

(iv) By (i), if $S_i = H$ then $i \in I$ which is a sum-free set in $G/H = Z_{p^{\alpha-\beta}}$. Hence $S_i = H$ for at most $k_{\alpha-\beta}$ values of i.

Suppose $S_i = H$ for $k_{\alpha-\beta}$ values of i and let $T = \left\{ i \in Z_{p^{\alpha-\beta}} \mid S_i = H \right\}$. Then T may be mapped (under automorphism of G/H) to one of the sets $A_{\alpha-\beta}$, $B_{\alpha-\beta}$, $C_{\alpha-\beta}$.

Now $A_{\alpha-\beta} + A_{\alpha-\beta} = \overline{A_{\alpha-\beta}}$, so if $T = A_{\alpha-\beta}$ then, by (2), $S_i = \emptyset$ for all $i \notin T$.

$$(B_{\alpha-\beta}+B_{\alpha-\beta}) \cup B_{\alpha-\beta} = \{\overline{k_{\alpha}-2, k_{\alpha}-1}\}$$
.

Hence if $T = B_{\alpha-\beta}$ then, by (2), $S_i = \emptyset$ for all $i \notin T$ except possibly for $i = k_{\alpha} - 2$ or $k_{\alpha} - 1$. If $k_{\alpha} = 2$, then $S_{k_{\alpha}-2} = S_0 = \emptyset$ by (*ii*); if $k_{\alpha} > 2$, then $2(k_{\alpha}-2) \notin T$ so, by (2), $S_{k_{\alpha}-2} = \emptyset$. Also $2(k_{\alpha}-1) \notin T$ so $S_{k_{\alpha}-1} = \emptyset$. Hence $S_i = \emptyset$ for all $i \notin T$.

A similar argument shows that if $T=C_{\alpha-\beta}$, then $S_i=\emptyset$ for all $i\notin T$.

Hence $\lambda(G) = \lambda(G/H) |H|$ which contradicts (*iii*).

Proof of the Theorem. We proceed by induction on α . For $\alpha = 1$, the theorem reduces to Theorem 2 of [4].

By [1], for any α , $|S| = k_{\alpha} = (p^{\alpha}-1)/3$. Since S is sum-free, we must have $|S+S| \le 2|S| + 1$ and $|S-S| \le 2|S| + 1$.

Suppose that |S+S| < 2|S| - 1. By Kneser's Theorem [3], there exists a subgroup H < G, $H \neq \{0\}$, such that S + S + H = S + S and $|S+S| \ge 2|S+H| - |H|$. By Lemma 1 of [1], S + H = S, which implies that |H| ||S|. Since $|H| = p^{\beta}$, $1 \le \beta < \alpha$, we have a contradiction. Hence $|S+S| \ge 2|S| - 1$ and a similar argument shows that $|S-S| \ge 2|S| - 1$.

Now S - S = -(S-S) and $0 \in S - S$. Hence |S-S| is odd and can take one of two values: $|S-S| = 2|S| \pm 1$.

I. If |S-S| = 2|S| - 1 then, by Theorem 2.1 of [2], either S - S is in arithmetic progression or S - S is quasiperiodic.

Suppose that S - S is quasiperiodic. Now $|S-S| = 2k(p^{\alpha-1} + \ldots + p+1) - 1$. Hence there exists a subgroup H < G, $|H| = p^{\beta} \ge p$, such that S - S consists of the union of $2k(p^{\alpha-\beta-1} + \ldots + p+1)$ complete cosets of H, together with $2k(p^{\beta-1} + \ldots + p+1) - 1$ elements, all contained in one other coset of H. Since $|G/H| = p^{\alpha-\beta}$ and since S - S = -(S-S), these $2k(p^{\beta-1} + \ldots + p+1) - 1$ leftover elements must belong to H itself. Since $|(S-S)\cup S| = |G| - 2$, at least $k(p^{\beta-1} + \ldots + p+1)$ of the remaining elements of H must belong to S. But $k(p^{\beta-1} + \ldots + p+1) = k_{\beta} = \lambda(H)$, so $|S_0| = k_{\beta}$. So the remaining $k(p^{\alpha-\beta-1} + \ldots + p+1)$ cosets of H must be contained in S, contradicting Lemma 2 (*ii*).

Hence S - S is in arithmetic progression. Since $|S-S| = 2k_{\alpha} - 1$, Lemma 1 shows that the difference, d, of S - S must be of order p^{α} . By Lemma 4.3 of [2], S (and -S) must also be in arithmetic progression with difference d. Hence S may be mapped (by some automorphism of G) to B_{α} or C_{α} .

II. If |S-S| = 2|S| + 1, then $S - S = \overline{S}$. Hence S = -S, S + S = S - S and we may apply the Lemma of [4].

(a) Suppose that, for some $g \in G$, $|(S+g) \cap S| = 1$. Then by the

lemma, $|(S+3g/2) \cap S| \ge k_{\alpha} - 3$.

If $p \nmid g$, map 3g/2 to 1 so that $g = k_{\alpha} + 1$. The first part of the argument of Theorem 2 of [4] shows that S may be mapped, under automorphism of G, to A_{α} .

If $p^{\alpha-\beta} \mid g$, $p^{\alpha-\beta+1} \nmid g$, map 3g/2 to $p^{\alpha-\beta}$ so that $g = (kp^{\beta-1} + kp^{\beta-2} + \ldots + kp + k+1)p^{\alpha-\beta} = (k_{\beta}+1)p^{\alpha-\beta}$. Now $|(S+p^{\alpha-\beta})\cap S| \ge k_{\alpha} - 3$. Let $H = Z_{p^{\beta}} = \langle p^{\alpha-\beta} \rangle$. Then

$$S = \frac{p^{\alpha-\beta}-1}{i=0} \left(S_i + i \right) \text{ and } \left| \left(S + p^{\alpha-\beta} \right) \cap S \right| = \sum_{i=0}^{p^{\alpha-\beta}-1} \left| \left(S_i + p^{\alpha-\beta} \right) \cap S_i \right|.$$

Note that $S_i + p^{\alpha-\beta} = S_i$ if and only if $S_i = \emptyset$ or $S_i = H$.

If $|(S+p^{\alpha-\beta})\cap S| = k_{\alpha}$, then *S* consists of a union of complete cosets of *H*. Hence |H| ||S| which is a contradiction.

If $k_{\alpha} - 1 \ge |(S + p^{\alpha - \beta}) \cap S| \ge k_{\alpha} - 3$, we have to consider several possibilities for S:

(i) S consists of a union of $k(p^{\alpha-\beta-1} + \ldots + p+1) = k_{\alpha-\beta}$ complete cosets of H, together with $k(p^{\beta-1} + \ldots + p+1)$ other elements distributed between one, two or three other cosets of H. But this contradicts Lemma 2 (*iv*).

(ii) S consists of a union of $k_{\alpha-\beta} - 1$ complete cosets of H, together with $p^{\beta} + k(p^{\beta-1} + \ldots + p+1)$ other elements distributed between two or three other cosets of H. But since S = -S, one of the complete cosets must be H itself, contradicting the sum-freeness of S.

(iii) S consists of a union of $k_{\alpha-\beta} - 2$ complete cosets of H, together with $2p^{\beta} + k(p^{\beta-1} + \ldots + p+1)$ other elements distributed

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between three other cosets of H. Since S = -S, one of these three other cosets must be H itself. Since $\lambda(H) = k_{\beta} = k(p^{\beta-1} + \ldots + p+1)$, at most k_{β} of the remaining elements belong to H, and in fact $k_{\alpha-\beta}$ complete cosets of H are contained in S. This is impossible by (i).

(b) We are now left with the case where $|(S+g)\cap S| \neq 1$ for any $g \in G$.

(i) Suppose that by taking an automorphism of G, we may ensure that $|(S+1)\cap S| \geq |(S+g)\cap S|$ for all $g \in G$. We list the elements of S as follows:

(3)
$$S = \{a_1, \ldots, a_1 + l_1, a_2, \ldots, a_2 + l_2, \ldots, a_h, \ldots, a_h + l_h\}$$

where $0 < a_1 \le a_1 + l_1 < a_2 - 1 < a_2 + l_2 < \dots < a_h - 1 < a_h + l_h < p^{\alpha}$ and $a_i, \dots, a_i + l_i$ denotes a string of $(l_i + 1)$ consecutive elements of S. Since S = -S,

(4)
$$a_{h-i} + l_{h-i} = p^{\alpha} - a_{i+1}$$
, for all $i = 0, 1, ..., h-1$,

and $|(S+1)\cap S| = k_{\alpha} - h \ge |(S+g)\cap S|$ for all $g \in G$. Hence h is minimal in (3) and we show that h = 2.

Let $X = \{a_1, \dots, a_h\}$ and let $Y = \{a_1 + l_1 + 1, \dots, a_h + l_h + 1\} = \{1 - a_1, \dots, 1 - a_h\} = 1 - X$

by (4). A repetition of the argument of [4] shows that $|(S+a_{i}-1)\cap S| \ge h-1$ and

(5)
$$h \ge |(X+a_i) \cap Y| \ge h - 1$$
 for all $i = 1, \ldots, h$.

If $|X+X| \ge 2h - 1$, the argument of [4] shows that h = 2 and S maps under automorphism to A_{α} .

If $|X+X| \le 2h - 2$, then by Kneser's Theorem [3], X + X is periodic so that for some subgroup H < G, H = Z, we have X + X + H = X + Xand $|X+X| \ge 2|X+H| - |H|$. Using Theorem 3.4 of [2], we can construct all possible sets X. We choose a subset X^* of G/H such that $X^* + X^*$ is aperiodic in G/H and $|X^{*}+X^*| = 2|X^*| - 1$. If σ denotes the natural mapping of G to G/H, then X can be any subset of $\sigma^{-1}X^*$, such that $|\sigma^{-1}X^* \cap \overline{X}| \leq (p^{\beta}-1)/2$. Hence any coset of H which contains the first element of a string of elements of S must contain the first elements of at least $(p^{\beta}+1)/2$ strings of S.

By (5), X + X contains all of Y except possibly one element, say y. Since X + X consists of a union of complete cosets of H, $(H+y) \cap Y = \{y\}$. Since Y = 1 - X, this implies that $|\sigma^{-1}X^* \cap \overline{X}| \ge p^{\beta} - 1$ which is impossible. Hence $Y \subseteq X + X$. We can now describe the distribution of the strings of S. Suppose

$$X^* = \{H + i_1, \dots, H + i_l\}$$
 for some $i_1, \dots, i_l \in \{0, 1, \dots, p^{\alpha - \beta} - 1\}$.

In each coset $H + i_j$, more than half of the elements of the coset are starting points of strings of S. Since S = -S, the strings finish in the cosets of $-X^*$. If a string finishes in $H - i_j$, then the next coset $H + (1-i_j) \in Y \subseteq X + X$. Hence no string can continue into this coset, and similarly no string could pass through $H + i_j - 1$.

Hence any coset which contains an element of S contains at least $(p^{\beta}+1)/2$ elements of S. By Lemma 2 (*i*), the cosets containing elements of S must therefore form a sum-free set in G/H. Hence $|S| = \lambda(G) \leq \lambda(G/H)|H|$, contradicting Lemma 2 (*iii*).

(ii) Finally suppose that by taking an automorphism of G, we may ensure that $|(S+p^{\alpha-\beta})\cap S| \ge |(S+g)\cap S|$ for all $g \in \overline{S}$ and that $|(S+p^{\alpha-\beta})\cap S| > |(S+g)\cap S|$ for all $g \in \overline{S}$ such that $p^{\alpha-\beta} \nmid g$. Let $H = \langle p^{\alpha-\beta} \rangle = Z_{p^{\beta}}$ and let $q = p^{\alpha-\beta}$ for the remainder of this section.

For each i = 0, 1, ..., q-1, we have $S_i = \emptyset$ or $S_i = H$ or

$$S_{i} = \left\{ a_{1i}q, \dots, (a_{1i}+l_{1i})q, a_{2i}q, \dots, (a_{2i}+l_{2i})q, \dots \\ \dots, a_{v_{i}i}q, \dots, (a_{v_{i}i}+l_{v_{i}i})q \right\}$$

where $0 < a_{1i} \leq a_{1i} + l_{1i} < a_{2i} - 1 < a_{2i} + l_{2i} < \dots < a_{v_i} - 1 < a_{v_i} + l_{v_i} < p^{\beta}$ and $a_{ji}q, \dots, (a_{ji} + l_{ji})q$ denotes the set of $(l_{ji} + 1)$ consecutive multiples of q which we call an H-string in S.

Let
$$I = \left\{ i \mid i = 0, 1, \dots, q-1; 1 \le |S_i| \le p^{\beta}-1 \right\}$$
. Since $S_i + q = S_i$ if and only if $i \notin I$, we have

(6)
$$|(S+q)\cap S| = |S| - \sum_{i \in I} v_i > |(S+g)\cap S|$$
 for all $g \in G, q \nmid g$.

Let $X = \{a_{ji}q+i \mid i = 0, 1, \ldots, q-1; j = 1, \ldots, v_i\}$. Since S = -S, we have $v_i = v_{q-i}$ and $(a_{ji}+l_{ji})q + i = p^{\alpha} - \left[a_{v_i}-j+1,q-1}q+(q-i)\right]$, implying that

(7)
$$(a_{ji}+l_{ji})q = p^{\alpha} - a_{v_i-j+1,q-i}q$$

Let

$$Y = \{ (a_{ji} + l_{ji} + 1)q + i \mid i = 0, 1, ..., q - 1; j = 1, ..., v_i \}$$

= q - X by (7).

Now $\binom{a_{i}}{ji} + i \in \overline{S}$ so, by (6) and the lemma of [4],

$$\left| \left[S + (a_{ji} - 1)q + 1 \right] \cap S \right| \geq \left(\sum_{i \in I} v_i \right) - 1 = |X| - 1$$

But for any $s_1, s_2 \in S$, $s_1 + (a_{ji}-1)q + i = s_2$ implies that $s_1 \in X$, $s_2 \in -X$ and $s_1 + a_{ji}q + i \in Y$. Hence

(8)
$$|X| = \sum_{i \in I} v_i \ge |(X + a_{ji}q + i) \cap Y| \ge \left(\sum_{i \in I} v_i\right) - 1 = |X| - 1$$

for all j, i .

$$\begin{split} & \text{If } |X+X| \geq 2|X| - 1 \text{, then } X + X \text{ contains at least } |X| - 1 \\ & \text{elements of } \overline{Y} \text{ but } X + a_{ji}q + i \text{ contains at most one element of } \overline{Y} \text{.} \\ & \text{Thus for at least } |X| - 2 \text{ values of } (j, i) \text{, we have } 2(a_{ji}q+i) \notin Y \text{.} \\ & \text{But } 2(a_{ji}q+1) \notin Y \text{ implies that } q(1-a_{ji}) - i \notin X + a_{ji}q + i \text{, since } \\ & Y = q - X \text{. Hence for at least } |X| - 2 \text{ values of } (j, i) \text{,} \\ & (X+a_{ji}q+i) \cap Y = \{(a_{mn}+a_{ji})q + i + n \mid a_{mn}q + n \in X, (m, n) \neq (j, i)\} \\ & = \{q - (a_{mn}q+n) \mid a_{mn}q + n \in X, (m, n) \neq (j, i)\} \text{.} \end{split}$$

Hence, summing these two expressions for the elements of $\left(X\!+\!a_{ji}q\!+\!i
ight)\,\cap\,Y$, we have

• •

$$(|X|-3)(a_{ji}q+i) \equiv (|X|-1)q - 2 \sum_{n \in I} \sum_{m=1}^{\vee_n} (a_{mn}q+n) \pmod{p^{\alpha}}$$

Hence $|X| \leq 3$ and S contains at most three H-strings, together with complete cosets of H .

If |X| = 0, S is a union of cosets of H. This implies |H| |S| which is a contradiction.

If |X| = 1 or 3, then S = -S implies $S_0 \neq \emptyset$. By Lemma 2 (*ii*), $S_i \neq H$ for any i. Hence

$$|S| \leq \lambda(H) + 2(|H|-1) = 7(p^{\beta}-1)/3 = 7k_{\beta} < \lambda(G)$$

by Lemma 2 (*iii*), since $p \ge 7$.

If |X| = 2, then S = -S implies that either $v_0 = 2$ and $S_0 \neq \emptyset$ or $v_i = v_{a-i} = 1$ for some i.

By the previous argument, we must have $S_0 = \emptyset$. Hence S consists of a union of 2 λ cosets of H together with two H-strings, each of length at most $p^{\beta} - 1$.

Thus

(9)
$$|S| = k_{\alpha} \le 2\lambda p^{\beta} + 2(p^{\beta}-1) = 2(\lambda+1)p^{\beta} - 2$$
.

$$k_{\alpha} \leq k_{\alpha-\beta}p^{\beta} - 2$$

which is a contradiction by Lemma 2 (iii). But if $2\lambda = k_{\alpha-\beta}$, we have a contradiction by Lemma 2 (iv).

If $|X+X| \le 2|X| - 2$, then by Kneser's Theorem [3], X + X is periodic and for some subgroup K < G, K = Z, we have

X + X + K = X + X and $|X+X| \ge 2|X+K| - |K|$. We now apply the argument of (b) (i) to G/K, using (8) instead of (5). If $K \ge H$, then any coset of K is a union of cosets of H. By the previous argument, any coset of K which contains an element of X must contain at least $(p^{Y}+1)/2$ elements of X. Hence there exists a coset of H, more than half of whose elements are starting-points of H-strings in S. This is clearly impossible, so S must consist of a union of complete cosets of H. But this implies |H| ||S| which is a contradiction.

If $K \leq H$, so that any coset of H is a union of cosets of K, then the argument of (b) (i) shows that any coset of K which contains any element of an H-string in S must contain at least $(p^{\gamma}+1)/2$ elements of H-strings in S. Hence for each coset, K + i, of K either $(K+i) \cap S = \emptyset$ or $|(K+i)\cap S| \geq (p^{\gamma}+1)/2$. But by Lemma 2 (i), the cosets of K, more than half of whose elements belong to S, form a sum-free set in G/K. Hence $|S| = \lambda(G) \leq \lambda(G/K)|K|$, contradicting Lemma 2 (iii).

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