ON SOME SCHREIER VARIETIES OF UNIVERSAL ALGEBRAS

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To Bernhard Hermann Neumann on his 60th birthday

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Introduction

Let $\Omega = (\Omega_0, \Omega_1)$ where Ω_1 is a set of non-empty sets (called operations) and Ω_0 is a set of elements (called constants) none of which is a function whose domain belongs to Ω_1 . An Ω -ALGEBRA is a set C and a function e(the effect) from the disjoint union of Ω_0 and $\bigcup_{\omega \in \Omega_1} C^{\omega}$ to C, where C^{ω} is the set of all functions from ω to C. Let P be a set of groups P_{ω} of permutations on ω , one group for each $\omega \in \Omega_1$. A P- Ω -ALGEBRA is an Ω -algebra such that $(\rho f)e = (f)e$, for all $\omega \in \Omega_1$, $\rho \in P_{\omega}$ and $f \in C^{\omega}$.

Clearly the collection of all P- Ω -algebras forms a variety. The purpose of this note is to prove the following

THEOREM. Subalgebras of free P- Ω -algebras are free.

Varieties in which such a theorem holds are called Schreier varieties (see [3] for example). Two special cases are interesting. If $\Omega = (\phi, \{\{1, 2\}\})$ and $P_{\{1,2\}}$ is the full symmetric group then a *P*- Ω -algebra is an algebra with a commutative binary operation. If Ω is arbitrary but P_{ω} is trivial for all $\omega \in \Omega_1$ then every Ω -algebra is a *P*- Ω -algebra. The validity of the theorem in the latter case especially when all the operations are finite is part of the folklore of universal algebra (see [5], page 136, problem 10 and [2]; note that the proof in [2] is incorrect). The proof is based on the fact that the word problem for these algebras has a very easy solution i.e. two words are equal if and only if they look alike. This idea actually generalizes; all that must be done is to make appropriate definitions. Allowing infinite operations forces one to use transfinite induction rather than ordinary induction but the proof is essentially the same.

In the following argument only the definitions are fully stated. The

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complete proof of only one statement is included; the remaining proofs are straightforward. For basic definitions see [1] or [4].

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The argument

Let X be a set disjoint from Ω_0 no element of which is a function whose domain belongs to Ω_1 . Then the free Ω -algebra on X is defined by transfinite induction with C equal to the union of C_{α} over all ordinals¹ α , where

$$C_{0} = X \cup \Omega_{0}$$
$$C_{\alpha+1} = C_{\alpha} \cup \left(\bigcup_{\omega \in \Omega_{1}} C_{\alpha}^{\omega}\right)$$

and for a limit ordinal α

$$C_{\alpha} = \bigcup_{\beta < \alpha} C_{\beta}.$$

Note that C is the disjoint union of X, Ω_0 and the C^{ω} . The effect is the identity map.

The free *P*- Ω -algebra on X is defined as the quotient of C by a congruence R. Define R as the union of R_{α} over all ordinals α , where

$$R_{0} = \{ \langle c, c \rangle | c \in C_{0} \}$$

$$R_{\alpha+1} = R_{\alpha} \cup \{ \langle g, f \rangle | g, f \in C_{\alpha}^{\omega} \text{ and } \langle (a)\rho g, (a)f \rangle \in R_{\alpha}$$

for some $\rho \in P_{\omega}$ and all $a \in \omega \}$

and for a limit ordinal α

$$R_{\alpha} = \bigcup_{\beta < \alpha} R_{\beta}.$$

In the proof that R is a congruence one uses the fact that the P_{ω} 's are groups - reflexivity and respect for operations because P_{ω} contains the identity permutation - symmetry from inverses - transitivity from closure. Denote the equivalence class of c by \tilde{c} and the induced effect by e. Note that if f is a function from ω to R-equivalence classes then $(f)e = \tilde{g}$, for some gwith $g \in C^{\omega}$ and $(a)f = \overline{(a)g}$ for all $a \in \omega$.

Let D be a collection of R-equivalence classes which form a sub-P- Ω -algebra, then one can choose as a generating set for D

$$Y = \{ \overline{c} \in D | c \in C^{\omega} \text{ and for some } a \in \omega \\ \overline{(a)c} \notin D, \text{ or else } c \in X \}.$$

¹ Since Ω_1 is a set there is a bound on the cardinalities of its elements, hence $C = C_{\alpha}$ for some appropriately large ordinal α and thus C is a set. Functions with different codomains may be identified.

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To show that Y freely generates D we compare D to the free P-Q-algebra on Y itself. The latter will be the collection of equivalence classes of the set Γ built up from Y just as C was built up from X. Clearly the identity function on Y extends to a homomorphism θ of Γ onto D which induces a homomorphism φ of the collection of equivalence classes onto D. Denote the equivalence class of $\gamma \in \Gamma$ by $\overline{\gamma}$. The following statements are clear. If $\gamma \in Y$ then $(\overline{\gamma})\varphi = (\gamma)\theta = \gamma$. If $\gamma \in \Omega_0$ then $(\overline{\gamma})\varphi = (\gamma)\theta = \overline{\gamma}$. If $\gamma \in \Gamma^{\omega}$ then $(\overline{\gamma})\varphi = (\gamma)\theta = (\gamma\theta)e = \overline{c}$, with $c \in C^{\omega}$ and $(a)\gamma\theta = \overline{(a)c}$ for all $a \in \omega$. In particular if $\gamma \in \Gamma^{\omega}$ then $(\overline{\gamma})\varphi \notin Y$. Indeed if γ and δ are in different sets (from the collection of sets $Y, \Omega_0, \Gamma^{\omega}$ for all $\omega \in \Omega_1$) then $(\overline{\gamma})\varphi \neq (\overline{\delta})\varphi$.

The argument is concluded by proving that φ is one-one, i.e. if $\gamma, \delta \in \Gamma$ and $(\overline{\bar{\gamma}})\varphi = (\overline{\bar{\delta}})\varphi$ then $\overline{\bar{\gamma}} = \overline{\bar{\delta}}$.

Suppose first of all that $\gamma \in \Gamma_0 = Y \cup \Omega_0$. If $\gamma \in Y$ then $\delta \in Y$. It follows that $\gamma = (\overline{\gamma})\varphi = (\overline{\delta})\varphi = \delta$. If $\gamma \in \Omega_0$ then $\delta \in \Omega_0$. In this case $\overline{\gamma} = (\overline{\gamma})\varphi = (\overline{\delta})\varphi = \overline{\delta}$ but these equivalence classes have only one element so $\gamma = \delta$. In either case $\overline{\overline{\gamma}} = \overline{\delta}$.

Suppose now that $\gamma \in \Gamma_{\alpha+1}$. Then, by induction, we may assume that γ does not belong to Γ_{α} , hence γ and δ are both in Γ^{ω} for some ω in Ω_1 . It follows that $(\overline{\gamma})\varphi = \overline{c}$ and $(\overline{\delta})\varphi = \overline{d}$, where c and d are in C^{ω} and $\overline{c} = \overline{d}$. By the definition of R, it is clear that for some $\rho \in P_{\omega}$, $(\overline{a})\rho c = (\overline{a})\overline{d}$ for all $a \in \omega$. Consequently $(\overline{(a)\rho\gamma})\varphi = (a)\rho\gamma\theta = \overline{(a)\rho c} = \overline{(a)d} = (a)\delta\theta = (\overline{(a)\delta})\varphi$. By induction, $\overline{(a)\rho\gamma} = \overline{(a)\delta}$ for all $a \in \omega$; this implies $\overline{\gamma} = \overline{\delta}$.

Suppose now that $\gamma \in \Gamma_{\alpha}$ where α is a limit ordinal, then $\gamma \in \Gamma_{\beta}$ for some $\beta < \alpha$. Hence by induction, $\overline{\gamma} = \overline{\delta}$. This concludes the proof of the theorem.

References

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