

## NON-SIMPLICITY OF LOCALLY FINITE BARELY TRANSITIVE GROUPS

by B. HARTLEY\* and M. KUZUCUOĞLU

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We answer the following questions negatively: Does there exist a simple locally finite barely transitive group (LFBT-group)? More precisely we have: There exists no simple LFBT-group. We also deal with the question, whether there exists a LFBT-group  $G$  acting on an infinite set  $\Omega$  so that  $G$  is a group of finitary permutations on  $\Omega$ . Along this direction we prove: If there exists a finitary LFBT-group  $G$ , then  $G$  is a minimal non-FC  $p$ -group. Moreover we prove that: If a stabilizer of a point in a LFBT-group  $G$  is abelian, then  $G$  is metabelian. Furthermore  $G$  is a  $p$ -group for some prime  $p$ ,  $G/G' \cong C_{p^\infty}$ , and  $G'$  is an abelian group of finite exponent.

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Let  $\Omega$  be an infinite set. Then a transitive subgroup  $G$  of  $\text{Sym}(\Omega)$  is said to be barely transitive if every orbit of every proper subgroup of  $G$  is finite. More generally, we say that a group  $G$  is barely transitive if it can be represented as a barely transitive subgroup of  $\text{Sym}(\Omega)$  for some infinite set  $\Omega$ . This is easily seen to be equivalent to the condition that  $G$  has a subgroup  $H$  of infinite index such that  $\bigcap_{g \in G} H^g = \{1\}$  and such that  $|K : K \cap H|$  is finite for every proper subgroup  $K$  of  $G$ . Throughout this article, if  $G$  is a barely transitive group, then  $H$  will denote a fixed subgroup of  $G$  with the above properties.

In this article, we shall study locally finite barely transitive groups, which we shall call LFBT-groups. Metabelian LFBT-groups were constructed by B. Hartley in [4] and [5]. It is unknown whether perfect LFBT-groups exists. We shall prove that there are no simple LFBT-groups; and, as a consequence, improve on some of the results in [8].

**Theorem 1.** *There exists no simple LFBT-group.*

It is also natural to ask whether there exists a LFBT-group  $G$  acting on an infinite set  $\Omega$  so that  $G$  is a group of finitary permutations on  $\Omega$ .

**Theorem 2.** *If there exists a finitary LFBT-group  $G$ , then  $G$  is a minimal non-FC,  $p$ -group.*

\* The Society is saddened by the death of Professor Brian Hartley.

**Theorem 3.** *If  $G$  is a finitary permutation group on  $\Delta$  and  $G = \langle g_i | g_i^p = 1, i = 1, 2, 3 \dots \rangle$ , then  $G$  is not a LFBT-group on  $\Delta$ .*

In [7], it was asked how restrictions on  $H$  affect the structure of a LFBT-group. We shall prove the following result.

**Proposition 1.** *Let  $G$  be a LFBT-group. If  $H$  is abelian, then  $G$  is metabelian. Furthermore*

- (i)  $G$  is a  $p$ -group,  $p$  prime.
- (ii)  $G/G'$  is isomorphic to  $C_{p^\infty}$ .
- (iii)  $G'$  is an abelian group of finite exponent.

It should be pointed out that in each of the LFBT-groups constructed in [4] and [5], the subgroup  $H$  is abelian.

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**Proofs of the results**

We will begin by collecting together some of the basic properties of LFBT-groups. Complete proofs of these results can be found in [8].

$$G \text{ has no proper subgroup of finite index.} \tag{1}$$

Suppose

$$H = H_0 < H_1 < H_2 < \dots < H_n \dots \tag{2}$$

is a chain of subgroups of  $G$  above  $H$ . Since  $|H_n : H|$  is finite, there is a finite subgroup  $L_n$  of  $H_n$  with  $H_n = HL_n$ . Let  $F_n = \langle L_1, \dots, L_n \rangle$ .

We have

$$F_1 < F_2 < \dots < F_n < \dots \tag{3}$$

and

$$H_n = HF_n \tag{4}$$

$$\text{Evidently } G = \bigcup_n H_n \tag{5}$$

follows from the fact that  $K \leq G$  and  $|K : K \cap H| = \infty$  implies  $K = G$ . Again by the same reason we have

$$G = \bigcup_n F_n \tag{6}$$

Further if  $X < G$ , then  $X \leq H_n$  for some  $n$  (7)

Now suppose that there is no simple LFBT-group. Since it is clear that any simple homomorphic image of  $G$  would have to be a LFBT-group, it follows that  $G$  has no maximal normal subgroup. Hence  $G$  is a union of proper normal subgroups. In particular

$$F_n^G < G \tag{8}$$

**Proposition 2.** *Let  $G$  be a LFBT-group. Then either  $G$  is a  $p$ -group for some prime  $p$  or there are infinitely many primes dividing the order of the elements of  $G$ .*

**Proof.** By (3) and (6) we have  $F_1 \leq F_2 \leq \dots$  a sequence of finite subgroups of  $G$  such that  $G = \bigcup_{i=1}^\infty F_i$ . Assume that  $G$  is not a  $p$ -group and there are only finitely many primes, say  $p_1, \dots, p_k$ , dividing the order of the elements of  $G$ . Let  $S_{i1}$  be a Sylow  $p_i$ -subgroup of  $F_1$  and let  $S_{i2}$  be a Sylow  $p_i$ -subgroup of  $F_2$  containing  $S_{i1}$ , etc. Then  $S_i = \bigcup_{j=1}^\infty S_{ij}$  is a maximal  $p_i$ -subgroup of  $G$ . We shall show that  $G = \langle S_1, \dots, S_k \rangle$ . The group  $F_j \cap \langle S_1, \dots, S_k \rangle$  contains the groups  $S_{1j}, \dots, S_{kj}$ , hence equals to  $F_j$ , for all  $j$ . This implies that  $G$  is generated by a finite number of proper maximal  $p_i$ -subgroups which is impossible by [8, Lemma 2.10]. Thus infinitely many primes must divide the order of the elements of  $G$ .

**Proof of Theorem 1.** Assume that there exists a simple LFBT-group  $G$ . By (6)  $G$  is countable and by [8, Lemma 2.10],  $G$  cannot be generated by two proper subgroups. Then by [1, Corollary 1.9] such a group can be embedded in a finitary linear group  $FGL(V)$  on a vector space  $V$  over a field of characteristic  $p$ .

By [2, Theorem B], for an infinite simple periodic group  $G$  of finitary transformations on a space over a field of characteristic  $p$  the following are valid:

(1) If  $p = 0$ , then for each finite subgroup  $K$  of  $G$ , there exists a finite quasisimple subgroup  $H$  that contains  $K$  and is such that  $K \cap Z(H) = \{1\}$ .

(2) If  $p > 0$ , then for each finite subgroup  $K$  of  $G$ , there exists a finite subgroup  $H$  that contains  $K$  and is such that  $H = H', H/O_p(H)$  is a quasisimple group and  $K \cap S(H) = \{1\}$  where  $S(H)$  is the maximal soluble normal subgroup of  $H$ .

In the first case,  $G$  has a sequence of finite subgroups  $G_1 < G_2 < \dots$  where  $G = \bigcup_{i=1}^\infty G_i$  and  $G_i \cap Z(G_{i+1}) = \{1\}$  (i.e. A Kegel sequence  $(G_i, Z(G_i)) i = 1, 2, 3, \dots$ ). By

[8, Lemma 4.2],  $G$  cannot be a barely transitive group. (For details about Kegel sequences and reductions on Kegel sequences, see [6].)

For the second case, let  $G = \cup_{i=1}^{\infty} G_i$ , where  $G_i/O_p(G_i)$  are finite quasisimple groups. We shall show that there exists an element  $x$  in  $G$  such that  $C_G(x)$  involves an infinite non-linear locally finite simple group; then we shall get a contradiction. Let  $\bar{G}_i = G_i/O_p(G_i)$ .

By using the classification of finite simple groups and reduction on Kegel sequences we may assume that

- (i) each  $\bar{G}_i/Z(\bar{G}_i)$  is an alternating group or
- (ii) each  $\bar{G}_i/Z(\bar{G}_i)$  is a classical group of fixed Lie type over a field of characteristic  $p_i$ .

For (i), the centralizer  $C_G(x)$  of any element  $x$  of order prime to  $p$  involves an infinite non-linear locally finite simple group. See [6, Lemma 2.5].

For (ii), let  $\{p_i : i \in N\}$  be the set of primes that appear as characteristic of the fields. If one of the primes, say  $p_j$ , in this set appears infinitely often, then we choose an element of prime order relatively prime to  $p$  and  $p_j$ . Existence of this element is guaranteed by Proposition 2.

If none of the primes appears as a characteristic of the fields infinitely many times, then we may assume that each prime appears as a characteristic only once. Here we may need to pass, if necessary, to a subsequence and delete some of the terms in the Kegel sequence. Again passing to a subsequence, if necessary, we may assume that there exists a prime, say  $n$ , which does not appear as a characteristic in the list and is different from  $p$ . Let  $x$  be an element of order  $n$  so that  $x$  becomes a semisimple element in all the classical simple groups  $\bar{G}_i/Z(\bar{G}_i)$ . Then by [6, Theorem C (iv)] we get  $C_{\bar{G}_i}(x) \in T_{n+\lfloor \frac{n}{2} \rfloor}$ . Here  $T_n$  denotes the class of locally finite groups having a series of finite length in which there are at most  $n$  non-abelian simple factors and the rest are locally soluble. (For details see [6, Section 2].) But by coprime action  $C_{\bar{G}_i}(x)$  equals  $C_{G_i}(x)O_p(G_i)/O_p(G_i)$ . This implies by [6, Lemma 2.1] that  $C_{\bar{G}_i}(x)$  is in  $T_{n+\lfloor \frac{n}{2} \rfloor}$ . Then by [6, Lemma 2.3] it follows that  $C_G(x)$  is in  $T_{n+\lfloor \frac{n}{2} \rfloor}$  and involves an infinite non-linear finite locally simple group.

In any case the centralizer of one of the elements  $x$  involves an infinite non-linear locally finite simple group and this is impossible by [8, Lemma 4.1]. Therefore there exists no simple LFBT-group.

**Proposition 3.** *Let  $G$  be a LFBT-group. If  $H$  is almost locally  $p$ -soluble, then  $G$  is almost locally  $p$ -soluble. In particular  $G$  is a  $p$ -group and every proper normal subgroup is nilpotent of finite exponent.*

**Proof.** Let  $p$  be a prime. If  $K$  is any locally finite group, let  $K_p$  be the product of all normal locally  $p$ -soluble subgroups of  $K$ . Then  $K_p$  is locally  $p$ -soluble and  $K/K_p$  has no non-trivial locally  $p$ -soluble normal subgroup.

Suppose  $H$  is almost locally  $p$ -soluble. If  $K$  is a proper normal subgroup of  $G$ , then

$|K : H_p \cap K|$  must be finite, so that  $K/K_p$  is finite. By (1)  $[K, G] \leq K_p$ , and so  $K$  must equal  $K_p$ . By (3) and (8),  $G$  must equal  $G_p$ , i.e.  $G$  is locally  $p$ -soluble. Now the rest of the theorem follows from [8, Theorem 1.1].

Therefore the restriction of local  $p$ -solubility on  $G$  of [8, Theorem 1.1] is reduced to the restriction of almost local  $p$ -solubility of  $H$ .

**Corollary 1.** *Let  $G$  be a LFBT-group. If  $H$  is nilpotent, then  $G$  is a  $p$ -group and each proper subgroup of  $G$  is nilpotent.*

**Proof.** By Proposition 3 and (8)  $G$  is a  $p$ -group and a union of nilpotent proper normal subgroups. Let  $X$  be any proper subgroup of  $G$ . Then  $|X : X \cap H| < \infty$ . Let  $Y$  be a normal subgroup of  $X$  of finite index and contained in  $X \cap H$ . Then  $X = F^X Y$  for some finite subgroup  $F$  of  $X$ . Hence  $X$  is nilpotent.

**Proof of Proposition 1.** Assume that  $H$  is abelian. By Theorem 1,  $G$  is not simple. By (8)  $G$  is a union of proper normal subgroups. Let  $N$  be a proper normal subgroup of  $G$ . Let  $A$  be a normal subgroup of  $N$  of finite index and contained in  $H$ . Let  $B$  be the FC-radical of  $N$ . Then  $B/Z(B)$  is finite, so  $N/Z(B)$  is as well.  $(G/Z(B))/C_{(G/Z(B))}(N/Z(B)) \leq \text{Aut}(N/Z(B))$  which is finite. By (1) again we have  $[N, G]$  abelian. So  $G'$  is a proper subgroup. Now (i) and (ii) follows from the theorem in [4].

It remains to show that  $G'$  is abelian. Let  $M = FC(G')$ . We have  $|G' : M|$  is finite. Then the commutator group  $G'/M$  is finite. This implies that  $G/M$  is an FC-group. It follows from (1) that  $G/M$  is abelian. Thus  $M = G'$ . But then,  $G'$  is an abelian by finite FC-group. Therefore  $G'$  is central by finite. However  $G'$  does not have a subgroup  $N$  of finite index. Then we get  $G'$  is abelian. Now (iii) follows from the theorem in [4].

**Lemma 1.** *If there exists a finitary LFBT-group on a set  $\Omega$ , then  $G = G'$ .*

**Proof.** Assume if possible that  $G$  is a finitary LFBT-group on the set  $\Omega$ , and  $G \neq G'$ . Let  $\Delta$  be an orbit of  $G'$  containing  $\alpha \in \Omega$ . Then  $\Delta$  is a finite  $G$ -block. Let  $\Xi = \{\Delta g : g \in G\}$  be the set of distinct orbits of  $G'$  on  $\Omega$ . Then  $G$  acts on  $\Xi$  transitively and there exists a homomorphism  $\rho$ , from  $G$  to finitary symmetric group on  $\Xi$ . By (1)  $K = \text{Ker } \rho \neq G$ . Then  $G/K$  is an infinite abelian group acting on  $\Xi$  faithfully and transitively. Now let  $gK \in G/K$  and  $\Delta g_1.gK \neq \Delta g_1$ . Then  $\Delta g_1.g_2.gK \neq \Delta g_1.g_2$  for all  $g_2$ . Since  $G/K$  acts transitively on  $\Xi$ ,  $gK$  moves every element of  $\Xi$ . Hence  $| \text{Supp } g | > | \text{Supp } \rho(g) |$  which is infinite. But this is impossible as  $G$  is a finitary permutation group on  $\Omega$ . Hence  $G = G'$ .

**Proof of Theorem 2.** By definition the orbits of each proper subgroup of  $G$  are finite. As  $G$  acts transitively on  $\Omega$  by (1),  $\Omega$  is a countable set. Let  $K$  be a proper subgroup of  $G$  and let  $\{\Omega_i : i = 1, 2, 3 \dots\}$  be the set of distinct orbits of  $K$ . Then each  $\Omega_i$  is a finite  $K$  set and  $K$  acts on  $\Omega_i$  transitively. Hence  $K$  can be embedded into

restricted direct product of finite groups. It follows that  $K$  is an FC-group. This implies that  $G$  is a minimal non-FC-group. But by [9] a perfect locally finite minimal non-FC-group is a  $p$ -group.

**Lemma 2.** *If there exists a finitary LFBT-group on a set  $\Delta$ , then  $G$  does not have a maximal  $G$ -block. Moreover  $\Delta = \cup_{i=1}^{\infty} \Delta_i$ , where  $\Delta_i$  are finite  $G$ -blocks.*

**Proof.** By [8, Lemma 2.8]  $G$  is not a primitive permutation group. Hence we have non-trivial  $G$ -blocks

$$\Delta_1 < \Delta_2 < \dots \quad \text{and let } \delta \in \Delta_1.$$

Assume if possible that  $\Delta_n$  is a maximal  $G$ -block. Then we have an equivalence relation corresponding to  $\Delta_n$ . Let  $\rho$  be the set of equivalence classes corresponding to the equivalence relation of  $\Delta_n$ . Then  $G$  acts on  $\rho$  transitively and  $\Delta_n$  is a maximal  $G$ -block of the permutational pair  $(G, \Delta)$  so  $(G, \rho)$  is a primitive permutation group and the stabilizer of a point in  $\rho$  is a maximal subgroup of  $G$  but this is impossible by [8, Lemma 2.10]. Hence the existence of maximal  $G$ -block  $\Delta_n$  is impossible. Therefore we have an infinite tower of  $G$ -blocks  $\Delta_1 < \Delta_2 < \Delta_3 < \dots$  and  $\cup_{i=1}^{\infty} \Delta_i = \Delta$ .

The following lemma might have an independent interest in finitary permutation groups.

We use [3] as a reference for the properties of the wreath product.

**Lemma 3.** *Let  $G = \langle g_i : g_i^p = 1, i = 1, 2, 3, \dots \rangle$  be a transitive finitary permutation group on a set  $\Delta$  and  $\Delta = \cup_{i=1}^{\infty} \Delta_i$  where  $\Delta_1 < \Delta_2 \dots$  and  $\Delta_i$  are finite  $G$ -blocks. Then  $G$  has a subgroup isomorphic to  $Wr^N C_p$ .*

**Proof.** Let  $g$  be an element of  $G$  of order  $p$ . Then there exists a  $G$ -block  $\Delta_{i_1}$  such that  $\text{Supp } g \subseteq \Delta_{i_1}$ . Since  $G$  is transitive not all  $g_i, i = 1, 2, 3, \dots$ , can stabilize  $\Delta_{i_1}$ . So there exists  $g_{i_1}$  such that  $g_{i_1}^p = 1$  and  $\Delta_{i_1} g_{i_1} \neq \Delta_{i_1}$ . Now consider  $G_{i_1} = \langle g, g_{i_1} \rangle$ . The elements  $g$  and  $g_{i_1}^{g^n}, 1 \leq n \leq p-1$  commute. Since  $\langle g_{i_1}^{g^n} \rangle$  and  $\langle g_{i_1}^{g^m} \rangle, 1 \leq n, m \leq p-1, n \neq m$  moves distinct points of  $\Delta$ , the intersection  $\langle g_{i_1}^{g^n} \rangle \cap \langle g_{i_1}^{g^m} \rangle = 1$  for all  $n \neq m$  and

$$\langle g, g_{i_1}^{g^0}, g_{i_1}^{g^1}, \dots, g_{i_1}^{g^{p-1}} \rangle = \langle g \rangle \times \langle g_{i_1}^{g^0} \rangle \times \langle g_{i_1}^{g^1} \rangle \times \dots \times \langle g_{i_1}^{g^{p-1}} \rangle$$

and

$$\langle g, g_{i_1} \rangle = \langle g \rangle \times \langle g_{i_1}^{g^0} \rangle \times \langle g_{i_1}^{g^1} \rangle \times \dots \times \langle g_{i_1}^{g^{p-1}} \rangle \rtimes \langle g_{i_1} \rangle.$$

Hence  $\langle g, g_{i_1} \rangle \cong \langle g \rangle \wr \langle g_{i_1} \rangle \cong C_p \wr C_p$ . As  $\text{Supp } xy \subseteq \text{Supp } x \cup \text{Supp } y$ , again by (9) there exists  $\Delta_{i_2}$  such that  $\text{Supp } G_{i_1} \subseteq \Delta_{i_2}$  and  $|\Delta_{i_2}| < \infty$  so there exists  $g_{i_2} \in G$  such that  $g_{i_2}^p = 1$  and  $\Delta_{i_2} \cap \Delta_{i_2} g_{i_2} = \emptyset$ . Then the elements of  $G_{i_1}$  and  $\langle g_{i_2} \rangle$  do not commute but, for

any  $x \in G_{i_1}$ , the element  $x$  and  $x^{g_{i_2}^n}$ ,  $1 \leq n \leq p - 1$  commute. Then

$$\langle G_{i_1}, g_{i_2} \rangle = G_{i_1} \times G_{i_2}^{g_{i_1}} \times \dots \times G_{i_1}^{(g_{i_2})^{p-1}} \rtimes \langle g_{i_2} \rangle$$

$$G_{i_2} = \langle g, g_{i_1} g_{i_2} \rangle \cong G_{i_1} \wr \langle g_{i_2} \rangle.$$

We can continue this process since  $G_{i_j}$  is a finite group and we have a tower of finite  $G$ -blocks. Then we have

$$G_{i_j} \cong G_{i_{j-1}} \wr \langle g_{i_j} \rangle \text{ and } G_{i_1} < G_{i_2} < \dots$$

In order to simplify the notation let us suppress the  $i$  in the subscripts i.e. we have  $G_i \cong W_i$  where  $W_i = C_p \wr C_p \wr \dots \wr C_p$  ( $i$  times). Suppose we have an isomorphism  $\psi_j : G_j \rightarrow W_j$ . We need to extend this isomorphism  $\psi_j$  to an isomorphism  $\psi_{j+1}$  between  $G_{j+1}$  and  $W_{j+1}$ . Let

$$\psi_{j+1} : \prod_{t=0}^{p-1} x_t^{(g_{j+1}^t)} g_{j+1}^s \rightarrow \prod_{t=0}^{p-1} \psi_j(x_t)^{w_{j+1}^t} w_{j+1}^s, \quad x_t \in G_j, \quad (0 \leq s \leq p - 1)$$

Clearly  $\psi_{j+1}$  is a well defined map from  $G_{j+1}$  to  $W_{j+1}$ . It follows that  $\psi_{j+1}$  is an isomorphism.

Then  $\{G_j, \psi_j : j = 1, 2, 3, \dots\}$  is a direct system and  $\psi_{j+1}|_{G_j} = \psi_j$ . Let  $\Psi : (K = \cup G_i) \rightarrow W$ . If  $g \in K$  there exists  $i$  such that  $g \in G_i$ , then  $\Psi(g) = \psi_i(g)$ .  $\Psi$  is an isomorphism and  $K \leq G$ .

Let  $\Omega_i = \{\Delta_i, \Delta_i g_i, \dots, \Delta_i g_i^{p-1}\}$ . Then  $\langle g_i \rangle$  acts on  $\Omega_i$  transitively. Let

$$\Omega = \text{Dr}_{i \in N} \Omega_i$$

Now as in [3]; choose  $(\Delta_i)_{i \in N}$  as the reference point. Then every  $g_i^n$ ,  $i = 1, 2, 3, \dots$ ,  $1 \leq n \leq p$  gives a permutation of  $\Omega$  so

$$\langle g_i \theta_i : g_i^p = 1, i \in N \rangle$$

acts on  $\Omega$  as in the definition and hence

$$\langle g_i \theta_i : g_i^p = 1, i \in N \rangle = \text{Wr}^N C_p$$

where  $\theta_i : \langle g_i \rangle \rightarrow \text{Sym}(\Omega)$ . Hence  $K$  is the required subgroup of  $G$ .

**Proof of Theorem 3.** Assume to the contrary that  $G$  is a LFBT-group. By Lemma 2 we have

$$\Delta_1 < \Delta_2 < \Delta_3 < \dots \quad \text{and} \quad \bigcup_{i=1}^{\infty} \Delta_i = \Delta. \quad (9)$$

By Lemma 3  $G$  has a subgroup  $K$  isomorphic to  $Wr^N C_p$ . If  $K$  is a proper subgroup of  $G$ , then bare transitivity of  $G$  implies that  $K$  is a residually finite group and hence  $K'$  is residually finite. But by [3, p. 173]  $K'$  is a perfect  $p$ -group hence this is impossible. If  $K = G$ , then  $G$  has proper subgroups isomorphic to  $K$  but this is impossible by the above paragraph. Hence the assumption that  $G$  is a LFBT-group lead us a contradiction.

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DEPARTMENT OF MATHEMATICS  
MIDDLE EAST TECHNICAL UNIVERSITY  
06531, ANKARA  
TURKEY

*E-mail:* matmah@rorqual.cc.metu.edu.tr