

Skew products and minimal dynamical systems on separable Hilbert manifolds

A. FATHI

G.R. 21 du CNRS, Université Paris-Sud, Bâtiment 425, F-91405 Orsay cedex, France

(Received 14 March 1983)

Abstract. We prove that any locally compact, non-compact, second countable group acts minimally on any metrizable connected manifold modelled on the separable Hilbert space.

0. Introduction

The purpose of this article is to prove the following theorem.

THEOREM. *If M is a connected separable Hilbert manifold and G is a locally compact, non compact, second countable topological group, we can find a continuous and minimal action of G on M .*

This theorem is a generalization of the one we obtained in [5]. Let us point out that, as in [5], we do not construct smooth actions in the case where G is a Lie group. To our knowledge, it is still not known that there exists a minimal diffeomorphism of l^2 .

The main ingredients for this paper are our previous work [5] and the paper of Glasner and Weiss [6] about existence of minimal skew product extension. In fact, it is quite natural, once one knows the existence of a minimal action on Hilbert space, to try to apply the ideas of Glasner and Weiss and to lift this action on l^2 to a minimal skew product extension on $l^2 \times M$ which is homeomorphic to M . Unfortunately, this is impossible due to the non-compactness of M . However it is easy to construct skew product extensions which have dense orbits, and as we showed in [5] it is pretty natural in the context of infinite dimensional topology to try to construct extensions such that the subset of points of $l^2 \times M$ with a dense orbit is homeomorphic to $l^2 \times M$.

It is not necessary to be familiar with [5] or [6] to read this paper. In fact we obtain, as a by product, a generalization of the theorem of Glasner and Weiss to a general group and a general base space (see theorem 4.3).

1. Topology on the space of skew products

We consider a topological space X endowed with a continuous action α of a locally compact group G . Since this action is fixed in the sequel we will denote the effect of $g \in G$ on $x \in X$ by gx instead of $\alpha(g, x)$. We suppose that Y is a metric space, whose metric is denoted by d . Without loss of generality, we can assume that the metric d is bounded by 1 (replace $d(x, y)$ by $\min[1, d(x, y)]$).

We will consider actions A of G on $X \times Y$ which are skew products over α . Such an action $A: G \times X \times Y \rightarrow X \times Y$ can be written as $A(g, x, y) = (gx, a_{g,x}(y))$. We will call $a_{g,x}$ the cocycle associated to A . It verifies the cocycle equations:

$$\begin{aligned} a_{g',gx} \circ a_{g,x} &= a_{g',g,x}, & \forall x \in X, \forall g \in G, \forall g' \in G, \\ a_{e,x} &= \text{Id}_Y, & \forall x \in X, \end{aligned}$$

(e is the neutral element in G).

We denote by $\mathcal{S}(\alpha)$ the set of skew products on $X \times Y$ over α . For each compact subset C of G , we define a semi-metric D_C on $\mathcal{S}(\alpha)$ by:

$$D_C(A, A') = \sup \{d(a_{g,x}(y), a'_{g,x}(y)) \mid g \in C, x \in X, y \in Y\},$$

where $(a_{g,x})$ and $(a'_{g,x})$ are the cocycles associated with A and A' .

The set of semi-metrics $\{D_C \mid C \text{ a compact subset of } G\}$ defines a uniform structure on $\mathcal{S}(\alpha)$ which is easily seen to be Hausdorff. Moreover if G is σ -compact, it is easy to define a metric on $\mathcal{S}(\alpha)$ which gives the same uniform structure.

LEMMA 1.1. *If Y is complete for d , then $\mathcal{S}(\alpha)$ is complete. In particular if G is σ -compact, $\mathcal{S}(\alpha)$ is metric complete.*

Proof. Suppose $(A^i)_{i \in I}$ is a Cauchy net in $\mathcal{S}(\alpha)$ with associated cocycles $(a^i_{g,x})$. It is easy to show that there exists a continuous map $G \times X \times Y \rightarrow Y$, $(g, x, y) \mapsto a_{g,x}(y)$, such that if we put $A(g, x, y) = (gx, a_{g,x}(y))$, we have, for each compact subset C of G , $D_C(A^i, A) \rightarrow 0$ as i goes to infinity in I . We still must check that A is an action of G on $X \times Y$. This means that we have to prove that $a_{g,x}$ verifies the cocycle conditions.

Suppose $g, g' \in G, x \in X$ and $y \in Y$; we have for each $i \in I$:

$$\begin{aligned} d[a_{g',gx}(a_{g,x}(y)), a_{g',g,x}(y)] &\leq d[a_{g',gx}(a_{g,x}(y)), a_{g',gx}(a^i_{g,x}(y))] \\ &\quad + d[a_{g',gx}(a^i_{g,x}(y)), a^i_{g',gx}(a^i_{g,x}(y))] \\ &\quad + d[a^i_{g',gx}(a^i_{g,x}(y)), a^i_{g',g,x}(y)] + d[a^i_{g',g,x}(y), a_{g',g,x}(y)]. \end{aligned}$$

Now, as i goes to infinity, it is clear that the second and fourth terms go to zero because they are bounded respectively by $D_{\{g\}}(A, A^i)$ and $D_{\{g',g\}}(A, A^i)$. The first term goes to zero as i goes to infinity because $a^i_{g,x}(y) \rightarrow a_{g,x}(y)$ and $a_{g',gx}$ is a continuous map. The third term is zero because of the cocycle conditions on $(a^i_{g,x})$. Of course we have $a_{e,x} = \text{Id}_Y$, for all $x \in X$, because this is true for each $a^i_{e,x}$. \square

LEMMA 1.2. *The topology defined on $\mathcal{S}(\alpha)$ is finer than the compact open topology.*

Proof. Let p_1 and p_2 be the canonical projections of $X \times Y$ on the two factors. The topology on $\mathcal{S}(\alpha)$ is the topology of uniform convergence of the maps $p_2 \circ A: G \times X \times Y \rightarrow Y$ on each set of the form $C \times X \times Y$, where C is an arbitrary compact subset of G . Certainly this topology is finer than the compact open topology on the maps from $G \times X \times Y$ to Y .

This proves the lemma since $p_1 \circ A$ is independent of $A \in \mathcal{S}(\alpha)$. \square

We now introduce a subset $\tilde{\mathcal{S}}(\text{Id}_X)$ of $\mathcal{S}(\text{Id}_X)$ the set of skew products on $X \times Y$ over the identity of X . The set $\tilde{\mathcal{S}}(\text{Id}_X)$ is defined as the set of homeomorphisms H of $X \times Y$ of the form $H(x, y) = (x, h_x(y))$ such that the two sets $(h_x)_{x \in X}, (h_x^{-1})_{x \in X}$

of self maps of Y are *uniformly equicontinuous* (with respect to d). It is easy to verify that $\tilde{\mathcal{F}}(\text{Id}_X)$ is a group (no topology involved here).

Given A in $\mathcal{S}(\alpha)$ and H in $\tilde{\mathcal{F}}(\text{Id}_X)$, we can define HAH^{-1} by

$$HAH^{-1}(g, x, y) = H(A(g, H^{-1}(x, y))).$$

It is clear that HAH^{-1} is in $\mathcal{S}(\alpha)$.

LEMMA 1.3. *If $H \in \tilde{\mathcal{F}}(\text{Id}_X)$, the map $\mathcal{S}(\alpha) \rightarrow \mathcal{S}(\alpha)$, $A \rightarrow HAH^{-1}$ is a homeomorphism.*

Proof. We have only to check that it is continuous. Since H is in $\tilde{\mathcal{F}}(\text{Id}_X)$, the function $\theta: \mathbb{R}_+ \rightarrow \mathbb{R}_+$ defined by

$$\theta(\delta) = \sup \{d(h_x(y), h_x(y')) \mid x \in X, y, y' \in Y, d(y, y') \leq \delta\}$$

satisfies $\lim_{\delta \rightarrow 0} \theta(\delta) = 0$. The lemma follows then from the easily verified inequality:

$$D_C(HAH^{-1}, HA'H^{-1}) \leq \theta(D_C(A, A')). \quad \square$$

2. *Construction of some real valued functions*

Let G be a locally compact group, C a compact subset of G and n a positive integer. We define a function $\varphi_{n,C}: G \rightarrow [0, 1]$ by:

$$\begin{aligned} \varphi_{n,C}(C) &= 1; \\ \varphi_{n,C}(C^{m+1} - C^m) &= \max((n - m)/n, 0), \quad \forall m \in \mathbb{N}^*; \\ \varphi_{n,C} &= 0 \quad \text{outside } \bigcup_{m \in \mathbb{N}^*} C^m. \end{aligned}$$

We state some properties of $\varphi_{n,C}$ in the following lemma.

LEMMA 2.1. $\varphi_{n,C}$ is (Borel) measurable; $\varphi_{n,C}(C) = 1$, $\varphi_{n,C}(G) \subset [0, 1]$; $\varphi_{n,C} = 0$ outside $\bigcup_{i=1}^n C^i$. Moreover if C is symmetric (i.e. $C = C^{-1} = \{g^{-1} \mid g \in C\}$), we have for each $g \in C$ and $g' \in G$;

$$|\varphi(g'g) - \varphi(g')| \leq \frac{1}{n} \quad \text{and} \quad |\varphi(gg') - \varphi(g')| \leq \frac{1}{n}.$$

Proof. The first four properties are trivial. The last one follows from the fact that if C is symmetric, we have: $g \in C, g' \in C^{m+1} \setminus C^m$ implies gg' and $g'g$ belong to

$$C^{m+2} \setminus C^{m-1} \subset C^{m+2} \setminus C^{m+1} \cup C^{m+1} \setminus C^m \cup C^m \setminus C^{m-1}. \quad \square$$

We will need the following well-known technical lemma. We will provide the proof, since it is very short.

LEMMA 2.2. *Suppose $\alpha: G \times X \rightarrow X$ is a continuous action of the group G on X . If $K \subset G$ is compact and $A \subset X$ is closed, then the set $\alpha(K \times A)$ is closed in X .*

Proof. Since α can be written as the composition of the homeomorphism $G \times X \rightarrow G \times X, (g, x) \mapsto (g, \alpha(g, x))$ followed by the projection $G \times X \rightarrow X$, it suffices to prove that if K is a compact space, the projection $p: K \times X \rightarrow X$ is closed. Let B be a closed subset of $K \times X$, we have:

$$X \setminus p(B) = \{x \in X \mid K \times \{x\} \subset K \times X \setminus B\}.$$

Using the compactness of K , it is very easy to show that this last set is open in X . □

We can now state and prove the main lemma of this work.

LEMMA 2.3. *For each compact subset C of G and each $\varepsilon > 0$, we can find a compact subset C' of G which satisfies the following property:*

Given a continuous action α of G on a normal space X , a closed subset F of X , with $gF \cap F = \emptyset$ for all $g \in G, g \neq e$, and a neighbourhood V of $C'F = \{gx | g \in C', x \in F\}$, there exists a continuous map $\theta: X \rightarrow [0, 1]$ such that:

- (i) $\theta(F) = 1$;
- (ii) $\theta = 0$ outside V ;
- (iii) $\forall g \in C, \forall x \in X, |\theta(gx) - \theta(x)| < \varepsilon$.

Proof. We can suppose that C is a symmetric neighbourhood of e in G . Let μ be a right invariant Haar measure on G . Choose C_0 a compact symmetric neighbourhood of e such that $C_0^2 \subset \overset{\circ}{C}$, the interior of C . We have $\mu(C_0) > 0$. Fix n such that $2\mu(C)/n\mu(C_0) < \varepsilon$. Put $C' = C^{n+1}$. Suppose now α, X, F and V are given as stated above. Using the inclusion $C_0^2 \subset \overset{\circ}{C}$ and the fact that $gF \cap F = \emptyset$, for all $g \neq e$, we have

$$[(C^{2n} - \overset{\circ}{C})C_0F] \cap C_0F = \emptyset.$$

Since our space X is normal, we can separate the two closed (see lemma 2.2) sets $(C^{2n} - \overset{\circ}{C})C_0F$ and C_0F by two open sets W and W' . Using the compactness of $(C^{2n} - \overset{\circ}{C})$ and C^n we can find an open neighbourhood U of C_0F such that $U \subset W', (C^{2n} - \overset{\circ}{C})U \subset W, C^nU \subset V$ (recall that V is a neighbourhood of $C^{n+1}F = C'F$). So up to this point we have found a neighbourhood U of the closed set C_0F such that:

$$[(C^{2n} - \overset{\circ}{C})U] \cap U = \emptyset \quad \text{and} \quad C^nU \subset V.$$

We now choose a continuous map $\rho: X \rightarrow [0, 1]$ such that $\rho(C_0F) = 1, \rho = 0$ outside U . We consider the map $\varphi_{n,C}$ defined above and we define $\theta_1: X \rightarrow [0, \infty[$ by:

$$\theta_1(x) = \int_G \varphi_{n,C}(k)\rho(kx) d\mu(k) = \int_{C^n} \varphi_{n,C}(k)\rho(kx) d\mu(k).$$

The last equality follows from the fact (lemma 2.1) that $\varphi_{n,C}$ is 0 outside $\bigcup_{i=1}^n C^i = C^n, (e \in C!)$.

Using the Lebesgue dominated convergence theorem, it is easy to show that θ_1 is continuous. We remark also that $\theta_1(x) \neq 0$ implies that there exists $k \in C^n$ such that $kx \in U$, in particular θ_1 is zero outside $C^nU \subset V$. Moreover if $x \in X, k$ and $k' \in G$ are such that $\varphi_{n,C}(k)\rho(kx)$ and $\varphi_{n,C}(k')\rho(k'x)$ are non-zero, we have $k, k' \in C^n, kx, k'x \in U$. In particular, we obtain $kk'^{-1} \in C^{2n}$ and $kk'^{-1}(k'x) \in U$, hence $kk'^{-1} \in C$ by the choice of U . Thus we have shown that for each $x \in X$, there exists $k' \in G$ such that the function $k \mapsto \varphi_{n,C}(k)\rho(kx)$ is zero outside Ck' . The same property is also true for any function of the form $k \mapsto \varphi_{n,C}(kh^{-1})\rho(kx)$ since it is a right translated function obtained from $k \mapsto \varphi_{n,C}(k)\rho[k(hx)]$. Let us now remark that:

$$\theta_1(hx) = \int_G \varphi_{n,C}(k)\rho(khx) d\mu(k) = \int_G \varphi_{n,C}(kh^{-1})\rho(kx) d\mu(k),$$

by right invariance of μ . Now using lemma 2.1, what has just been said above and the right invariance of μ , we obtain that if $h \in C$ and $x \in X$:

$$|\theta_1(hx) - \theta_1(x)| \leq 2\mu(C)/n.$$

Since $\rho(C_0 F) = 1$ and $\varphi_{n,C}(C) = 1$, we have $\theta_1(x) \geq \mu(C_0)$ for each $x \in F$. If we define $\hat{\theta}_1$ by $(1/\mu(C_0))\theta_1$, it satisfies properties (ii) and (iii), but it takes values ≥ 1 and it is only ≥ 1 on F . It is easy to rectify this by composing θ_1 with the retraction $r: [0, \infty[\rightarrow [0, 1]$, $r(x) = \inf(x, 1)$. Property (iii) is true for $\theta = r\theta_1$ because we have

$$|r(t) - r(t')| \leq |t - t'|, \quad \forall t, t' \in [0, \infty[. \quad \square$$

Remarks. (1) The function θ in lemma 2.3 is, in the case where $G = \mathbb{Z}$, very similar to functions constructed in [7, proof of theorem 3] and [8, top of p. 482].

(2) In fact, we need lemma 2.3 in the case where F is compact. Of course, in this context, lemma 2.2 is obvious.

3. Construction of some skew products

We consider a continuous action α of the locally compact group G on the normal (Hausdorff) space X . We suppose also that our metric space Y satisfies the following condition:

(\mathcal{H}) The metric space Y is locally compact, and the group of homeomorphisms with compact support, which are isotopic to the identity through an isotopy with compact support, acts minimally on Y .

Another formulation of (\mathcal{H}) is the following:

(\mathcal{H}) The metric space Y is locally compact, and for every non-void open set $U \subset Y$ and any compact set $K \subset Y$, there exists a finite number of isotopies $(k_{i,t})_{t \in [0,1]}$, $i = 1, \dots, n$, with compact support, such that $k_{i,0} = \text{Id}_Y$, $i = 1, \dots, n$, and $K \subset \bigcup_{i=1}^n (k_{i,1})^{-1}(U)$.

Recall that ' $(k_t)_{t \in [0,1]}$ is an isotopy with compact support on Y ' means that the self-map of $Y \times [0, 1]$, $(y, t) \mapsto (k_t(y), t)$ is a homeomorphism with compact support. This implies that the set of maps $(k_t)_{t \in [0,1]}$ and $(k_t^{-1})_{t \in [0,1]}$ are uniformly equicontinuous with respect to any metric on Y compatible with its topology.

We remark that the condition (\mathcal{H}) is satisfied if Y is locally compact and given any two points $y, y' \in Y$, there exists an isotopy with compact support $(k_t)_{t \in [0,1]}$ such that $k_1(y) = y'$. This last condition is satisfied in the case where Y is a connected finite dimensional manifold without boundary. It is also satisfied if Y is a connected Hilbert cube manifold (see [3] for the properties of Hilbert cube manifolds).

The condition (\mathcal{H}) is also satisfied if Y is a compact space on which a path connected topological group acts minimally.

We now use the results of § 2 to obtain the following approximation lemma.

LEMMA 3.1. *Let $\delta > 0$, C a compact subset of G , K and V respectively a compact and an open non-void subset of Y , U an open non-void subset of X , be given. We suppose that $F \subset X$ is a closed subset satisfying $gF \cap F = \emptyset$, for all $g \in G$, $g \neq e$, and $\{g \in G | gF \subset U\}$ is not relatively compact in G (in particular it is non-void). Then we can find $H \in \mathcal{P}(\text{Id}_X)$ such that:*

- (i) $D_C(\alpha \times \text{Id}_Y, H(\alpha \times \text{Id}_Y)H^{-1}) < \delta$;
- (ii) the set $F \times K$ is contained in the orbit of $U \times V$ under $H(\alpha \times \text{Id}_Y)H^{-1}$.

Proof. Without loss of generality, we can assume that C is a symmetric set containing $e \in G$. We can find a finite number of isotopies of Y , $(k_{i,t})_{t \in [0,1]}$, $i = 1, \dots, n$, with

compact supports such that $k_{i,0} = \text{Id}_Y$ and $K \subset \bigcup_{i=1}^{i=n} (k_{i,1})^{-1}(V)$. Using the fact that the isotopies have compact support, we can find an $\varepsilon > 0$ such that for all $t, t' \in [0, 1]$, $|t - t'| < \varepsilon$, and for all $i \in \{1, \dots, n\}$, and all $y \in Y$, $d(k_{i,t}(y), k_{i,t'}(y)) < \delta$.

Given this ε and the compact subset C of G , we can obtain, using lemma 2.3, a compact subset C' of G which will allow us to apply lemma 2.3.

Since $\{g \in G \mid gF \subset U\}$ is not relatively compact, we can construct by induction $g_1, \dots, g_n \in G$ (n is the same as the number of isotopies) such that

$$\begin{aligned} g_i F &\subset U, & i = 1, \dots, n, \\ e &\notin C' g_i, & i = 1, \dots, n, \\ CC' g_i \cap CC' g_j &= \emptyset, & 1 \leq i < j \leq n. \end{aligned}$$

Applying lemma 2.3, we can find continuous functions $\varphi_1, \dots, \varphi_n: X \rightarrow [0, 1]$ such that:

- (a) $\varphi_i(g_i F) = 1$;
- (b) $\forall g \in C, \forall x \in X, \forall i \in \{1, \dots, n\}, |\varphi_i(gx) - \varphi_i(x)| < \varepsilon$;
- (c) $\text{supp } \varphi_i$, the (closed) support of φ_i , is so close to $C' g_i F$ that $\varphi_i|_F = 0$, $i = 1, \dots, n$, and $C \text{ supp } \varphi_i \cap C \text{ supp } \varphi_j = \emptyset, 1 \leq i < j \leq n$.

We now define $H: X \times Y \rightarrow X \times Y, (x, y) \mapsto (x, h_x(y))$ by:

$$h_x(y) = \begin{cases} k_{i,\varphi_i(x)}(y), & \text{if } x \in \text{supp } \varphi_i, \quad i = 1, \dots, n \\ y, & \text{if } x \notin \bigcup_{i=1}^n \text{supp } \varphi_i. \end{cases}$$

The condition (c) above shows that H is a well defined homeomorphism. Moreover, this same condition (c) shows that for any $x \in X$ we can find $i \in \{1, \dots, n\}$ such that for any $g \in C$ (in particular $g = e$) and any $y \in Y$,

$$h_{gx}(y) = k_{i,\varphi_i(gx)}(y).$$

It follows then from condition (b) and the definition of ε that for all $x \in X, g \in C$, and $y \in Y, d(h_{gx} h_x^{-1}(y), y) < \delta$. Since

$$H(\alpha \times \text{Id}_Y) H^{-1}(g, x, y) = (gx, h_{gx} h_x^{-1}(y)),$$

we obtain condition (i) of the lemma. The fact that H is in $\tilde{\mathcal{F}}(\text{Id}_X)$ follows easily from the compactness of the supports of the isotopies $(k_{i,t})_{t \in [0,1]}$.

Let us verify condition (ii). Given $y \in K$, we can find $i \in \{1, \dots, n\}$ such that $k_{i,1}(y) \in V$. If $x \in F$, we have

$$HAH^{-1}(g_i, x, y) = (g_i x, h_{g_i x} h_x^{-1}(y)).$$

By construction of $g_i, g_i x \in U$. Since $x \in F$, properties (a) and (c) on the $(\varphi_j)_{j=1, \dots, n}$ show that $h_{g_i x} = k_{i,1}$ and $h_x = \text{Id}_Y$, in particular

$$h_{g_i x} h_x^{-1}(y) = k_{i,1}(y) \in V. \quad \square$$

4. Topologically transitive and minimal skew products.

In this section, we will suppose that G is a locally compact σ -compact topological group acting continuously on the normal space X . We will suppose that X is second countable (i.e. there exists a countable basis of open sets), and that Y satisfies the condition (\mathcal{H}) of § 3. Note that since Y is locally compact and metric, we can

suppose that the metric d we have on Y is complete. Since G is σ -compact $\mathcal{S}(\alpha)$ is metrizable and complete, in particular each closed subspace of $\mathcal{S}(\alpha)$ is a Baire space. Since Y satisfies condition (\mathcal{H}) of § 3, it is easy to check that the path components of Y are dense, in particular Y is connected. It follows easily from [2, theorem 5, p. 109], that a metric locally compact connected space is σ -compact, and hence also second countable. We conclude from this that $X \times Y$ is second countable.

Following [6], we introduce $\vartheta(\alpha) \subset \mathcal{S}(\alpha)$, the orbit of $\alpha \times \text{Id}_Y$ under the action of the group $\tilde{\mathcal{F}}(\text{Id}_X)$:

$$\vartheta(\alpha) = \{H(\alpha \times \text{Id}_Y)H^{-1} \mid H \in \tilde{\mathcal{F}}(\text{Id}_X)\}.$$

By what we have seen $\overline{\vartheta(\alpha)}$, the closure of $\vartheta(\alpha)$ in $\mathcal{S}(\alpha)$, is a Baire space.

Let K be compact subset of X , such that $gK \cap K = \emptyset$, for all $g \in G$, $g \neq e$, and for each non-void open subset U of X , the set $\{g \mid gK \subset U\}$ is not relatively compact in G .

LEMMA 4.1. *Under the above hypothesis, there exists a dense G_δ subset \mathcal{G} of the Baire space $\overline{\vartheta(\alpha)}$ such that for each A in \mathcal{G} and each open non-void subset W of $X \times Y$, the orbit of W under A contains the set $K \times Y$.*

Proof. Since $\overline{\vartheta(\alpha)}$ is a Baire space, Y is σ -compact, and $X \times Y$ second countable, it suffices to prove that for each open non-void subset W of $X \times Y$, and each compact subset \tilde{K} of Y the set

$$\ell(\tilde{K}, W) = \left\{ A \in \overline{\vartheta(\alpha)} \mid K \times \tilde{K} \subset \bigcup_{g \in G} A(g)W \right\}$$

is open and dense in $\overline{\vartheta(\alpha)}$. We have used $A(g)W$ to denote the image of W under the homeomorphism $(x, y) \mapsto A(g, x, y)$. The fact that $\ell(\tilde{K}, W)$ is open, is an immediate consequence of lemma 1.2. The fact that $\alpha \times \text{Id}_Y \in \ell(\tilde{K}, W)$ results from lemma 3.1. By the definition of $\vartheta(\alpha)$, all we have to show is that $H(\alpha \times \text{Id}_Y)H^{-1}$ is in $\ell(\tilde{K}, W)$ for each H in $\tilde{\mathcal{F}}(\text{Id}_X)$. Now we have by lemma 1.3:

$$H(\alpha \times \text{Id}_Y)H^{-1} \in \ell(\tilde{K}, W) \Leftrightarrow \alpha \times \text{Id}_Y \in \overline{H^{-1}\ell(\tilde{K}, W)H}.$$

But

$$\begin{aligned} H^{-1}\ell(\tilde{K}, W)H &= \left\{ A \in \overline{\vartheta(\alpha)} \mid K \times \tilde{K} \subset \bigcup_{g \in G} HAH^{-1}(W) \right\} \\ &= \left\{ A \in \overline{\vartheta(\alpha)} \mid H^{-1}(K \times \tilde{K}) \subset \bigcup_{g \in G} A(g)(H^{-1}(W)) \right\}. \end{aligned}$$

Since H is a skew product over the identity of X , we can find a compact subset \tilde{K} of Y such that $H^{-1}(K \times \tilde{K}) \subset K \times \tilde{K}$. In particular we obtain:

$$\ell(\tilde{K}, H^{-1}(W)) \subset H^{-1}\ell(\tilde{K}, W)H.$$

Since $\alpha \times \text{Id}_Y \in \ell(\tilde{K}, W)$ holds for any compact set \tilde{K} in Y , and any non-void open set W in $X \times Y$, we obtain:

$$\alpha \times \text{Id}_Y \in \overline{\ell(\tilde{K}, H^{-1}(W))} \subset \overline{H^{-1}\ell(\tilde{K}, W)H}.$$

This completes the proof of the lemma. □

We now easily obtain from this lemma two theorems.

THEOREM 4.2. *Suppose Y satisfies (\mathcal{H}) , and that the continuous action α of the σ -compact locally compact group G on the second countable normal space X is such that there exists a point $x_0 \in X$ with Gx_0 dense in X , $gx_0 \neq x_0$, for all $g \in G$, $g \neq e$, and for every compact subset C of G the set Cx_0 has no interior in X . Then there exists a skew-product extension A of α to $X \times Y$ which is topologically transitive. In fact, A can be chosen such that every point of the form $(x_0, y) \in X \times Y$ has a dense orbit under the action of A .*

Proof. Since Gx_0 is dense and for each compact subset C of G , the set Cx_0 has no interior in X , it is easy to show that $\{g \in G \mid gx_0 \in U\}$ is not relatively compact in G for each non-void open subset U of X . We can now apply lemma 4.1 to find $A \in \mathcal{S}(\alpha)$ such that $\{x_0\} \times Y \subset \bigcup_{g \in G} A(g)W$ for each non-void open set W of $X \times Y$. In particular if $y \in Y$, there exists $g \in G$ such that $(x_0, y) \in A(g)W$, which implies $A(g^{-1})(x_0, y) \in W$. □

THEOREM 4.3. *Suppose there exists a minimal action of a path-connected group on the compact metric space Y . Suppose that the locally compact σ -compact group G acts continuously and minimally on the second countable normal space X , in such a way that there exists $x_0 \in X$, on which the action of G is free, and Cx_0 has no interior in X for each compact subset C of G . Then there exists a skew product extension of G to $X \times Y$ which is minimal.*

Proof. By theorem 4.2 (or lemma 4.1), we can find a skew product extension A of α , such that for every non-void open set W of $X \times Y$, we have $\{x_0\} \times Y$ with $\{x_0\} \times Y \subset \bigcup_{g \in G} A(g)W$. Since Y is compact and $\bigcup_{g \in G} A(g)W$ open, it is easy to find an open neighbourhood U of x_0 in X such that $U \times Y \subset \bigcup_{g \in G} A(g)W$. The invariance of $\bigcup_{g \in G} A(g)W$ under G implies that

$$\bigcup_{g \in G} A(g)W \supset \left(\bigcup_{g \in G} gU \right) \times Y = X \times Y,$$

where the last equality follows from the minimality of the action of G on X . □

Remarks. (1) In fact in theorems 4.2 and 4.3 (and also in lemma 4.1 if $K \neq \emptyset$), the group G has to be second countable (hence metric). This follows from the fact that G is σ -compact, and the fact that each compact subset C of G is second countable because it is homeomorphic to Cx_0 which is contained in the second countable space X .

(2) In the case X compact metric and $G = \mathbb{Z}$, theorem 4.3 is in [6]. In the case X compact, Y a compact connected topological group and $G = \mathbb{Z}$ or \mathbb{R} , theorem 4.3 is in [4] and [7].

(3) Theorem 4.2 is well known in the cases $G = \mathbb{Z}$ or \mathbb{R} .

(4) J. C. Yoccoz has shown me that theorem 4.3 is false if we do not assume that the metric space Y is compact. In fact, if we look at the action α of \mathbb{T}^1 on the first factor of $\mathbb{T}^1 \times \mathbb{R}$, there is no minimal homeomorphism in $\overline{\mathfrak{P}(\alpha)}$.

(5) The fact that we must know that Cx_0 has empty interior in X , for each compact set in G , is necessary for theorems 4.2 and 4.3. For example if this hypothesis is not

verified in the context of a minimal action of G on X , it is easy to show that the dynamical system α is homeomorphic to the one given by left translation on G . However this dynamical system has no minimal extension to $G \times Y$ (as soon as Y has more than one point!), because in a skew product on $G \times X$ the orbits are in fact graphs of (continuous) maps $G \rightarrow Y$.

5. Existence of minimal actions on Hilbert manifolds

The purpose of this section is to establish the following theorem.

THEOREM 5.1. *Any locally compact, non-compact, second countable topological group acts continuously and minimally on any connected, second countable, infinite dimensional Hilbert manifold.*

Fix a topological group G which is locally compact, non-compact and second countable, hence metrizable. We will let M denote a second countable, infinite dimensional Hilbert manifold. We will have to use some results from the theory of infinite dimensional manifolds, most of them can be found either in [1] or in [3]. The first result we will use is the fact that we can find a (connected) Hilbert cube manifold Y such that M is homeomorphic to $I^2 \times Y$, where I^2 is the separable infinite dimensional Hilbert space. Then we will try to obtain the minimal action as a skew product of a minimal action on I^2 . Of course by remark 4 at the end of § 4 our methods do not allow us to prove that. What we will prove is that we can find a skew product on $I^2 \times Y$ whose set of points with a dense orbit is homeomorphic to $I^2 \times Y$. To prove the existence of such a homeomorphism we will need the notion of Z -set, which we recall now.

Definition. (See [1, p. 151]). A closed subset F of a Hilbert manifold M is called a Z -subset (or Z -set) if the set of continuous maps $C^0(Q, M \setminus F)$ is dense in $C^0(Q, M)$ for the uniform topology. We have used Q to denote the product $[0, 1]^N$ (i.e. the Hilbert cube).

We have the following theorem ([1, corollary 7.3, p. 316]):

THEOREM 5.2. *If a set A , in the Hilbert manifold M , is a countable union of (closed) Z -sets, then $M \setminus A$ is homeomorphic to M .*

After these preliminaries of infinite dimensional topology, we now exhibit an action of G on I^2 with some good properties (see [5]).

We consider the space $C^0(G)$ of real valued continuous functions on G , endowed with the topology of uniform convergence on compact subsets of G . Since G is locally compact and second countable, the space $C^0(G)$ is in fact a separable Fréchet space. The group G acts continuously on $C^0(G)$ by $(gf)(x) = f(g^{-1}x)$ where $f \in C^0(G)$, $g \in G$, $x \in G$. It is easy to see that G acts effectively on $C^0(G)$; this means given any $g \in G$, $g \neq e$, there exists $f \in C^0(G)$ such that $gf \neq f$. By considering functions with compact support, and the fact that G is non-compact, it is easy to show that this action has the properties (P) stated below.

Definition. A continuous action of G on a topological vector space E , $(g, x) \rightarrow gx$, satisfies properties (P) if:

- (i) for each $g \in G$ the map $x \rightarrow gx$ is a (continuous) linear map;
- (ii) the vector subspace $\{x \in E \mid \lim_{g \rightarrow \infty} gx = 0\}$ is dense in E .

Properties (P) are important because of the following facts.

LEMMA 5.3. *If an action of G on E has properties (P), then the action of G on $E^{\mathbb{N}}$ also has properties (P).*

The proof of lemma 5.3 is easy.

LEMMA 5.4. *If an action of G on E has properties (P), and if E is locally convex, then for any compact space K the induced action of G on $C^0(K, E)$ (endowed with the uniform or compact open topology) has properties (P).*

Proof. Given a convex neighbourhood V of 0 in E and $f: K \rightarrow E$, it is easy to construct a cover $(U_i)_{i=1, \dots, n}$ of K such that if we choose $k_i \in U_i$, $i = 1, \dots, n$, then for all $k \in U_i$, $f(k) - f(k_i) \in V$. Let $(\varphi_i)_{i=1, \dots, n}$ be a partition of unity on K with $\text{supp } \varphi_i \subset U_i$. Using the fact that V is convex, we obtain:

$$f(k) - \sum_{i=1}^n \varphi_i(k) f(k_i) \in V, \quad \forall k \in K.$$

We can, by the density of $\{x \in E \mid \lim_{g \rightarrow \infty} gx = 0\}$, choose x_1, \dots, x_n in this set such that $f(k_i) - x_i \in V$, $i = 1, \dots, n$. Again convexity of V shows that

$$\sum_{i=1}^n \varphi_i(k) (f(k_i) - x_i) \in V.$$

In particular the function defined by

$$f'(k) = \sum_{i=1}^n \varphi_i(k) x_i$$

is $V + V$ close to f . Moreover $\lim_{g \rightarrow \infty} gf' = 0$, since f' takes values in a finite dimensional vector subspace of $\{x \mid \lim_{g \rightarrow \infty} gx = 0\}$ and the action of G is linear. \square

LEMMA 5.5 (Rolewicz [9]). *If the action of G on E has properties (P), then it is topologically transitive.*

Proof. Let U and V be open non-void subsets of E ; we have to show that $gU \cap V$ is non-void for some g in G . By properties (P), we can find $a \in U$ and $b \in V$ such that

$$\lim_{g \rightarrow \infty} ga = \lim_{g \rightarrow \infty} gb = 0.$$

In particular, if g is big enough, $a + g^{-1}b \in U$ and $ga + b \in V$. But, by the linearity of the action of g , we have $g(a + g^{-1}b) = ga + b$. \square

Let us go back now to our action of G on $C^0(G)$. We will in fact consider the action of G on the separable Fréchet space $X = C^0(G)^{\mathbb{N}}$. We have:

LEMMA 5.6 (West [10] or [1, p. 168]). *The set of continuous maps $F: Q \rightarrow X$ such that for all $g \in G$, $g \neq e \Rightarrow gf(Q) \cap f(Q) = \emptyset$ is a dense G_δ in $C^0(Q, X)$.*

Proof. Since G is second countable and locally compact we can write $G \setminus \{e\} = \bigcup_{n \in \mathbb{N}} C_n$ a countable union of compact subsets of G . Each of the sets

$$\delta_n = \{f \in C^0(Q, X) \mid \forall g \in C_n, \quad gf(Q) \cap f(Q) = \emptyset\}$$

is easily seen to be open. This implies that the set defined in the lemma, which is $\bigcap_{n \in \mathbb{N}} \delta_n$, is a G_δ subset of $C^0(Q, X)$. We have still to show the density of this set. Let us use $(a_i)_{i \in \mathbb{N}}$ to denote a dense countable subset of the separable space $C^0(G)$. If $f: Q \rightarrow X = C^0(G)^{\mathbb{N}}$ is a continuous map, we can define for each $m \in \mathbb{N}$ a continuous map $f_m: Q \rightarrow C^0(G)^{\mathbb{N}}$, by

$$p_n f_m = p_n f \quad \text{if } n < m,$$

$$p_n f_m = a_{n-m} \quad \text{if } n \geq m,$$

where p_n is the n th projection of $C^0(G)^{\mathbb{N}}$ on $C^0(G)$. It is easy to verify that $\lim_{m \rightarrow \infty} f_m = f$. Moreover, since $(a_n)_{n \in \mathbb{N}}$ is dense in $C^0(G)$ and the action of G is effective, given any $g \in G$, $g \neq e$, there exists a_n with $ga_n \neq a_n$, it follows easily by looking at the $(m+n)$ th component of f_m that

$$gf_m(Q) \cap f_m(Q) = \emptyset. \quad \square$$

We can now state the properties we need for the action of G on X .

LEMMA 5.7. *There exists a continuous action α of G on a separable Fréchet space X , for which there exists a countable dense subset $(f_i)_{i \in \mathbb{N}}$ of $C^0(Q, X)$ such that:*

- (a) $\forall i \in \mathbb{N}, \forall g \in G, g \neq e \Rightarrow gf_i(Q) \cap f_i(Q) = \emptyset$;
- (b) $\forall i \in \mathbb{N}, \forall U$ open non-void subset of X , the set $\{g \in G \mid gf_i(Q) \subset U\}$ is not relatively compact in G .

Proof. We take the action of G on $X = C^0(G)^{\mathbb{N}}$ given above, we know that (lemma 5.3) it has properties (P). By lemma 5.6, the set \mathcal{G} of functions $f: Q \rightarrow X$ such that for all $g \neq e$, $gf(Q) \cap f(Q) = \emptyset$ is a dense G_δ . Using lemma 5.4 and lemma 5.5, we obtain that if U is an open non-void subset of U , the open subset of $C^0(Q, X)$

$$\mathcal{U}(U) = \{f \in C^0(Q, X) \mid \exists g \in G, \quad gf(Q) \subset U\}$$

is dense. Since $C^0(Q, X)$ is a complete metrizable space, the set $\mathcal{G} \cap \bigcup_{g \in \mathbb{N}} \mathcal{U}(U_j)$ is a dense G_δ subset of $C^0(Q, X)$, where $(U_j)_{j \in \mathbb{N}}$ denotes a basis of open non-void subsets of X . It is easy to check, using the fact that a compact subset of the infinite dimensional vector space has empty interior, that for every $f \in \bigcap_{i \in \mathbb{N}} \mathcal{U}(U_i)$ and every non-void open subset U of X , the set $\{g \in G \mid gf(Q) \subset U\}$ is not relatively compact in G . Since $C^0(Q, X)$ is separable, we can obtain the set $(f_i)_{i \in \mathbb{N}}$ by selecting a countable dense subset of $\mathcal{G} \cap \bigcap_{j \in \mathbb{N}} \mathcal{U}(U_j)$. □

Proof of theorem 5.1. We will find a skew product action A of G on $X \times Y$ where the action α of G on X is given by lemma 5.7. The fact that X is only a separable Fréchet space and not l^2 is not a restriction, since all separable infinite dimensional Fréchet spaces are homeomorphic (see [1, theorem 5.2, p. 189]). Remark that, since Y is a connected Q -manifold, it satisfies hypothesis (\mathcal{H}) via the fact, already mentioned, that isotopies with compact support operate transitively on Y . Moreover, if f_i is one of the maps $Q \rightarrow X$ given by lemma 5.7, we can apply lemma 4.1 with $f_i(Q)$ as a compact subset of X . Using the fact that $\overline{\partial(\alpha)}$ is a Baire space, we can

in fact find a G_δ dense subset \mathcal{M} of $\overline{\mathfrak{D}(\alpha)}$, such that, for each $A \in \mathcal{M}$, each open non-void subset U of $X \times Y$ and each $i \in \mathbb{N}$, we have:

$$f_i(Q) \times Y \subset \bigcup_{g \in G} A(g)U.$$

It follows easily from the fact that the $(f_i)_{i \in \mathbb{N}}$ are dense in $C^0(Q, X)$, that for each A in \mathcal{M} and each open non-void subset U of $X \times Y$, the complement in $X \times Y$ of $\bigcup_{g \in G} A(g)U$ is a Z -set in $X \times Y$. In particular if $A \in \mathcal{M}$, and $(U_i)_{i \in \mathbb{N}}$ is a basis of open non-void subsets of $X \times Y$, we obtain by theorem 5.2 that $\bigcap_{i \in \mathbb{N}} (\bigcup_{g \in G} A(g)U_i)$ is homeomorphic to $X \times Y$. The action A restricts to a minimal action on $\bigcap_{i \in \mathbb{N}} (\bigcup_{g \in G} A(g)U_i)$, since this set is precisely the set of points in $X \times Y$ whose orbit under A is dense in $X \times Y$. \square

REFERENCES

- [1] C. Bessaga & A. Pelczynski. *Selected Topics in Infinite Dimensional Topology*. PWN: Warszawa (1975).
- [2] N. Bourbaki. *Eléments de Mathématique, livre III, Topologie générale*, chapitres 1 & 2, 4e édition. Herman: Paris (1965).
- [3] T. A. Chapman. *Lectures on Hilbert Cube Manifolds*. CBMS Regional Conference Series in Mathematics, number 28. Amer. Math. Soc: Providence (1976).
- [4] R. Ellis. The construction of minimal discrete flows. *Amer. J. of Math.* **87** (1965), 564–574.
- [5] A. Fathi. Existence de systèmes dynamiques minimaux sur l'espace de Hilbert séparable. *Topology* **22** (1983), 165–167.
- [6] S. Glasner & B. Weiss. On the construction of minimal skew products. *Israel J. of Math.* **34** (1979), 321–336.
- [7] R. Jones & W. Parry. Compact abelian group extensions of dynamical systems, II. *Compositio Math.* **25** (1972), 135–147.
- [8] J. Mather. Characterization of Anosov diffeomorphisms. *Indag. Math.* **30** (1968), 479–483.
- [9] S. Rolewicz. On orbits of elements. *Studia Math.* **32** (1969), 17–22.
- [10] J. West. Extending certain transformation group actions in separable infinite dimensional Fréchet spaces and the Hilbert cube. *Bull. Amer. Math. Soc.* **74** (1968), 1015–1019.