

APPLICATION OF THE BRUHAT-TITS TREE OF $SU_3(h)$ TO SOME \tilde{A}_2 GROUPS

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(Received 9 October 1997; revised 11 February 1998)

Communicated by R. Howlett

Abstract

Let K be a nonarchimedean local field, let L be a separable quadratic extension of K , and let h denote a nondegenerate sesquilinear form on L^3 . The Bruhat-Tits building associated with $SU_3(h)$ is a tree. This is applied to the study of certain groups acting simply transitively on vertices of the building associated with $SL(3, F)$, $F = \mathbb{Q}_3$ or $\mathbb{F}_3((X))$.

1991 *Mathematics subject classification* (*Amer. Math. Soc.*): primary 51E24, 22E40; secondary 11E95.

Keywords and phrases: Buildings, trees, local fields.

1. Introduction

Let L be a nonarchimedean local field, and let Δ_L denote the Bruhat-Tits building of type \tilde{A}_2 associated with $SL(3, L)$ (see, for example, [Ro, §9.2], [Br, §V.8] or [Ste]).

Now suppose that L is a separable quadratic extension of a local field K . Let q be the order of the residual field of K . Let h denote a nondegenerate sesquilinear form on L^3 , and let $SU_3(h)$ denote the group of 3×3 matrices g of determinant 1 with entries in L which preserve h . The nontrivial Galois automorphism of L over K induces a non-type-preserving automorphism σ of Δ_L . This gives rise to a tree T , as follows. The vertex set of T is the union of two disjoint sets, Λ_0 and Λ_1 , consisting, respectively, of the vertices of Δ_L fixed by σ and of the pairs of adjacent vertices of Δ_L interchanged by σ . The edges of T correspond to the chambers of Δ_L fixed by σ . That is, $v_0 \in \Lambda_0$ and $v_1 \in \Lambda_1$ are adjacent in T if the vertex of Δ_L corresponding to v_0 and the two vertices of Δ_L corresponding to v_1 form a chamber of Δ_L .

More precisely, the following result is well-known [Ti]:

THEOREM 1.1. *With the above notation, the set $\Lambda_0 \cup \Lambda_1$, together with the above adjacency relation, forms a tree T . This tree is homogeneous of degree $q + 1$ when L is a ramified extension of K , and is bihomogeneous when L is an unramified extension of K , each $v \in \Lambda_0$ having $q^3 + 1$ neighbours, and each $v \in \Lambda_1$ having $q + 1$ neighbours. It is isomorphic to the Bruhat-Tits building associated with $SU_3(h)$.*

This theorem is well-known (though we do not know of a complete proof in the literature) so we shall not prove it here. In Section 2, we recall the well-known concrete description of Δ_L in terms of lattices. This gives us a lattice description of T . In Section 3, we use this description to help us obtain a better realization of certain subgroups Γ of $PGL(3, F)$, $F = \mathbb{Q}_3$ or $\mathbb{F}_3((X))$, described in [CMSZ] which act simply transitively on the vertices of Δ_F . In particular, certain pairs of these groups Γ are commensurable in $PGL(3, F)$, and the commensurability index is explained in terms of the groups' action on the tree T (for suitable K, L and h). Note that the groups Γ have property (T) [CMS], and so must have subgroups of index at most 2 which fix a vertex of T (see [HV, Proposition 6.4]). Location of this vertex is an important step in obtaining the realizations referred to above.

We would like to thank Robert Kottwitz for helpful discussions we have had with him concerning this paper and also Joe Buhler and Michael Drinen for carrying out some norm calculations related to our earlier study of Groups 7.1 and 8.1.

2. The tree of $SU_3(h)$

For a local field L , denote the valuation on L by $\omega : L \rightarrow \mathbb{Z} \cup \{\infty\}$, and let $\mathfrak{o}_L = \{x \in L : \omega(x) \geq 0\}$ be the valuation ring of L . Let $\mathfrak{p}_L = \{x \in L : \omega(x) > 0\}$ be the maximal ideal of \mathfrak{o}_L , and let $\bar{L} = \mathfrak{o}_L/\mathfrak{p}_L$ denote the residual field of L . Let $\pi = \pi_L$ be a generator of \mathfrak{p}_L . We assume that ω is normalized so that $\omega(L^\times) = \mathbb{Z}$, and hence $\omega(\pi) = 1$.

A lattice in L^3 is a subset \mathcal{L} of L^3 of the form

$$(2.1) \quad \mathcal{L} = \{a_1v_1 + a_2v_2 + a_3v_3 : a_1, a_2, a_3 \in \mathfrak{o}_L\},$$

where $\{v_1, v_2, v_3\}$ is a basis of L^3 . Let \mathbf{Lat} denote the set of lattices. Two lattices \mathcal{L}_1 and \mathcal{L}_2 are called *equivalent* if $\mathcal{L}_2 = t\mathcal{L}_1$ for some non-zero $t \in L$. The vertices of Δ_L consist of the equivalence classes $[\mathcal{L}]$ of lattices. Two vertices $[\mathcal{L}_1]$ and $[\mathcal{L}_2]$ are called *adjacent* if representatives \mathcal{L}_1 and \mathcal{L}_2 can be found so that $\pi\mathcal{L}_1 \subsetneq \mathcal{L}_2 \subsetneq \mathcal{L}_1$. A *chamber* in Δ_L consists of three vertices, any two of which are adjacent.

Taking the usual basis of L^3 in (2.1), the lattice \mathcal{L} is

$$(2.2) \quad \mathcal{L}_0 = \left\{ \begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix} : a_1, a_2, a_3 \in \mathfrak{o}_L \right\}.$$

The group $GL(3, L)$ acts naturally on **Lat**. In fact, $GL(3, L)$ acts transitively on **Lat**, for if \mathcal{L} is the lattice (2.1), and if g is the matrix whose columns are v_1, v_2 and v_3 , then $\mathcal{L} = g(\mathcal{L}_0)$. The stabilizer $\{g \in GL(3, L) : g(\mathcal{L}_0) = \mathcal{L}_0\}$ of \mathcal{L}_0 in $GL(3, L)$ is $GL(3, \mathfrak{o}_L) = \{g \in GL(3, L) : g \text{ and } g^{-1} \text{ have entries in } \mathfrak{o}_L\}$. We define the type $\tau([\mathcal{L}])$ of a vertex $[\mathcal{L}]$ to be $\omega(\det(g)) \pmod 3$ if $\mathcal{L} = g(\mathcal{L}_0)$.

If we fix a vertex v_1 of Δ_L and a lattice \mathcal{L}_1 in the class v_1 , then the vertices $v_2 = [\mathcal{L}_2]$ adjacent to v_1 are in one to one correspondence ($\mathcal{L}_2 \leftrightarrow \mathcal{L}_2/\pi \mathcal{L}_1$) with the nonzero proper vector subspaces of the 3-dimensional vector space $\mathcal{L}_1/\pi \mathcal{L}_1$ over \bar{L} . Thus if $q_L = |\bar{L}|$, there are $q_L^2 + q_L + 1$ v_2 's corresponding to the 2-dimensional subspaces of $\mathcal{L}_1/\pi \mathcal{L}_1$, and $q_L^2 + q_L + 1$ v_2 's corresponding to the 1-dimensional subspaces of $\mathcal{L}_1/\pi \mathcal{L}_1$. These neighbours of v_1 form a projective plane, with incidence being adjacency.

Let $A \subset \mathfrak{o}_L$ denote a set of representatives of \bar{L} , that is, a set such that $a \mapsto a + \mathfrak{p}_L$ is a bijection $A \rightarrow \bar{L}$. We shall assume that $0 \in A$. Let us take \mathcal{L}_1 to be the \mathcal{L}_0 of (2.2). Then the $q_L^2 + q_L + 1$ \mathcal{L}_2 's corresponding to the 2-dimensional subspaces of $\mathcal{L}_0/\pi \mathcal{L}_0$ are the lattices $g(\mathcal{L}_0)$, where for $a, b \in A$,

$$(2.3) \quad g = \begin{pmatrix} \pi & a & b \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad g = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \pi & a \\ 0 & 0 & 1 \end{pmatrix} \quad \text{or} \quad g = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & \pi \end{pmatrix}.$$

The $q_L^2 + q_L + 1$ \mathcal{L}_2 's corresponding to the 1-dimensional subspaces of $\mathcal{L}_0/\pi \mathcal{L}_0$ are the lattices $g(\mathcal{L}_0)$, where for $a, b \in A$,

$$(2.4) \quad g = \begin{pmatrix} 1 & 0 & 0 \\ a & \pi & 0 \\ b & 0 & \pi \end{pmatrix}, \quad g = \begin{pmatrix} \pi & 0 & 0 \\ 0 & 1 & 0 \\ 0 & a & \pi \end{pmatrix} \quad \text{or} \quad g = \begin{pmatrix} \pi & 0 & 0 \\ 0 & \pi & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

Now suppose that L is a separable quadratic extension of a local field K , and that the valuation on K is the restriction of the valuation ω on L . Let $\mathfrak{o}_K, \mathfrak{p}_K, \bar{K}$ and π_K be defined as for L , and let $q = |\bar{K}|$.

Consider the natural map $x + \mathfrak{p}_K \mapsto x + \mathfrak{p}_L$ embedding \bar{K} into \bar{L} . There are two cases (see, for example, [Cas, p. 127]): either L is an *unramified* extension of K — this means that $\omega(K^\times) = \mathbb{Z}$, and that \bar{L} is a degree 2 extension of \bar{K} (so that $q_L = |\bar{L}| = q^2$); or L is an *ramified* extension of K — this means that $\omega(K^\times) = 2\mathbb{Z}$, and that the above embedding is an isomorphism $\bar{K} \rightarrow \bar{L}$.

Let $x \mapsto \bar{x}$ denote the non-trivial Galois automorphism of L over K . As the extension to L of the valuation on K is unique, $\omega(\bar{x}) = \omega(x)$ for all $x \in L$.

Let h denote a *nondegenerate sesquilinear form* on L^3 , that is, $h : L^3 \times L^3 \rightarrow L$ is a map such that

- (a) $x \mapsto h(x, y)$ is a linear (over L), for each fixed $y \in L^3$;

- (b) $h(y, x) = \overline{h(x, y)}$ for each $x, y \in L^3$;
- (c) if $y \neq 0$, the linear map in (a) is not the zero map.

If $\{v_1, v_2, v_3\}$ is a basis of L^3 , and if H is the 3×3 matrix with (i, j) -th entry $h(v_j, v_i)$, then we can write $h(x, y) = y^* H x$, where x and y are the coordinate column vectors of x and y with respect to the basis, and where for any matrix M , M^* denotes the matrix obtained from M by applying $x \mapsto \bar{x}$ to each element of the transpose of M . Let $U(h) = U_3(h)$ denote the group of 3×3 matrices with entries in L which preserve h (equivalently, $g^* H g = H$), and let $SU(h) = SU_3(h) = \{g \in U(h) : \det(g) = 1\}$.

If $\mathcal{L} \in \mathbf{Lat}$, then

$$\mathcal{L}' = \{x \in L^3 : h(x, y) \in \mathfrak{o}_L \text{ for all } y \in \mathcal{L}\}$$

is again a lattice. For if \mathcal{L} is as in (2.1) and if v'_1, v'_2, v'_3 is the dual basis with respect to h , that is, $h(v_i, v'_j) = \delta_{i,j}$, then \mathcal{L}' is the \mathfrak{o}_L -span of v'_1, v'_2, v'_3 . This also shows that $(\mathcal{L}')' = \mathcal{L}$. If $t \in L^\times$, then $(t\mathcal{L})' = \bar{t}^{-1}\mathcal{L}'$. Hence we may define an involution $\sigma : \Delta_L \rightarrow \Delta_L$ by $\sigma([\mathcal{L}]) = [\mathcal{L}']$. Note that σ does not preserve types. For if $\mathcal{L} = g(\mathcal{L}_0) \in \mathbf{Lat}$, then \mathcal{L}' is the lattice $(g^* H)^{-1}(\mathcal{L}_0)$. Hence $\tau(\sigma([\mathcal{L}])) = -\tau([\mathcal{L}]) - \omega(\det(H)) \pmod 3$. Because $\mathcal{L}_1 \subset \mathcal{L}_2$ implies that $\mathcal{L}'_2 \subset \mathcal{L}'_1$, we see that σ preserves adjacency, and maps chambers to chambers.

Now suppose that σ stabilizes a chamber. Then it must fix one of the vertices (the one of type i , where $2i = -\omega(\det(H)) \pmod 3$) and interchange the other two vertices of the chamber. This motivates the following definitions:

Let

$$\Lambda_0 = \{[\mathcal{L}] : \mathcal{L} \in \mathbf{Lat} \text{ and } [\mathcal{L}'] = [\mathcal{L}]\},$$

and let

$$\Lambda_1 = \{([\mathcal{M}], [\mathcal{M}']) : \mathcal{M} \in \mathbf{Lat} \text{ and } [\mathcal{M}] \text{ is adjacent to } [\mathcal{M}']\}.$$

We shall call $[\mathcal{L}] \in \Lambda_0$ and $([\mathcal{M}], [\mathcal{M}']) \in \Lambda_1$ *adjacent* if $\{[\mathcal{L}], [\mathcal{M}], [\mathcal{M}']\}$ form a chamber in Δ_L (equivalently, $[\mathcal{L}]$ is adjacent in Δ_L to either of $[\mathcal{M}]$ or $[\mathcal{M}']$). The set $\Lambda_0 \cup \Lambda_1$, with this adjacency relation, forms a *graph* T , and Theorem 1.1 states, amongst other things, that T is a tree.

Notice that if $\mathcal{L} = g(\mathcal{L}_0)$, then $[\mathcal{L}] \in \Lambda_0$ if and only if $g^* H g$ is a multiple of a matrix in $GL(3, \mathfrak{o})$. When $\omega(\det(H))$ is even, $2r$ say, as happens in our applications below (and can always be arranged by multiplying h by a suitable element of K), then for each $v \in \Lambda_0$ there is a unique $\mathcal{L} \in \mathbf{Lat}$ such that $v = [\mathcal{L}]$ and $\mathcal{L}' = \mathcal{L}$. Indeed, if $v = [g(\mathcal{L}_0)]$ and if $t(g^* H g) \in GL(3, \mathfrak{o})$, let $\mathcal{L} = c g(\mathcal{L}_0)$ for $c = 1/(\pi^r t \det(g))$. Similarly, if $v \in \Lambda_1$, there is a unique $\mathcal{M} \in \mathbf{Lat}$ such that $v = ([\mathcal{M}], [\mathcal{M}'])$ and $\pi \mathcal{M}' \subsetneq \mathcal{M} \subsetneq \mathcal{M}'$. Thus we may work with lattices rather than lattice classes.

3. Application to some \tilde{A}_2 groups

An \tilde{A}_2 group is a group which acts simply transitively and in a type-rotating way on the set of vertices of a thick building Δ of type \tilde{A}_2 (see [CMSZ]). Amongst the results of [CMSZ], all \tilde{A}_2 groups were found for the case when Δ was the building Δ_F , $F = \mathbb{Q}_3$ or $\mathbb{F}_3((X))$, and all were realized as co-compact lattice subgroups of $PGL(3, F)$. Two of these groups, named Groups 7.1 and 8.1, were realized in $PGL(3, \mathbb{Q}_3)$ in a rather messy way, using certain simple algebras of dimension 9 over $\mathbb{Q}(\sqrt{-23})$. Five other groups, named 4.1, . . . , 4.4 and 5.1 were all realized in $PGL(3, \mathbb{Q}(\sqrt{-2})) \subset PGL(3, \mathbb{Q}_3)$, but it was not shown how to realize Group 5.1 in a way so that it was commensurable with the Groups 4. j , though general results guaranteed that this was possible. A similar situation held for four other groups, numbered 2.1, 2.2, 3.1 and 3.2, which were all realized in $PGL(3, \mathbb{F}_3((X)))$.

In this section, we show how the building T of $SU_3(h)$ was used to understand better the relationship between these groups. First of all, some pairs of these of groups Γ, Γ' were realized as commensurable subgroups of $PGL(3, F)$ as follows. Suppose that the natural (see [CMSZ]) generators of Γ and Γ' are represented by 3×3 matrices a_j and b_j over F , respectively, $j = 0, \dots, 12$. The quantity $\text{Inv}(g) = \text{Trace}(g^3) / \det(g)$, for $g \in GL(3, F)$, is an invariant with respect to conjugation and multiplication by nonzero numbers. The invariants $\text{Inv}(g_1^i g_2^j)$, $i, j = 0, 1, 2$, were calculated for noncommuting pairs (g_1, g_2) of short words in the a_j 's and the b_k 's. If $\text{Inv}(g_1^i g_2^j) = \text{Inv}(h_1^i h_2^j)$ for $i, j = 0, 1, 2$, where g_1 and g_2 are words in the a_j 's, while h_1 and h_2 are words in the b_j 's, then we sought to conjugate all the b_j 's by some matrix, and multiply the b_j 's by various constants so that h_1 coincided with g_1 and h_2 with g_2 . This achieved, $\Gamma \cap \Gamma'$ contains the images in $PGL(3, F)$ of both g_1 and g_2 , and closer investigation showed that, for some g_1, g_2, h_1 and h_2 , $\Gamma \cap \Gamma'$ had small finite index in Γ and Γ' . The package MAGMA was useful for verifying this.

Groups 4.1, . . . , 4.4 and 5.1. These groups were realized in [CMSZ] by exhibiting matrices a_j and b_j in $GL(3, \mathbb{Q}(S))$, $j = 0, \dots, 12$, where $S^2 = -2$, for Groups 4.1 and 5.1, respectively. These matrices had entries in $\mathbb{Z}[S, 1/2, 1/3]$, and preserved the form $h(x, y) = x_1 \bar{y}_1 + x_2 \bar{y}_2 + x_3 \bar{y}_3$, where $y \mapsto \bar{y}$ is the nontrivial automorphism of $\mathbb{Q}(S)$. Groups 4.1–4.4 are all normal index 4 subgroups of a group $\tilde{\Gamma}_{4.1}$ which is generated by a_6 and an element f of order 4 (see [CMSZ]). Similarly, Group 5.1 is a normal index 4 subgroup of a group $\tilde{\Gamma}_{5.1}$ which is generated by b_6 and an element f' of order 4.

We found that $\text{Inv}(a_0^i a_{10}^j) = \text{Inv}(b_0^i b_{10}^j)$ for $i, j = 0, 1, 2$, and could conjugate the b_j 's by a suitable matrix so that the new b_0 and b_{10} coincided with a_0 and a_{10} , respectively. It turns out that also $b_4 b_5^{-1} = a_3 a_{11}^{-1}$, and MAGMA told us that a_0, a_{10} and $a_3 a_{11}^{-1}$ generate a subgroup of index 8 in each of Groups 4.1 and 5.1.

Take $K = \mathbb{Q}_2$ and $L = K(\sqrt{-2})$. By mapping $S \in \mathbb{Q}(S)$ to $\sqrt{-2} \in L$, we can regard the matrices representing the elements of our groups as elements of $U(h)$. These matrices are determined only up to multiplication by elements $a + bS \in \mathbb{Q}(S)$ satisfying $a^2 + 2b^2 = 1$, but as $\omega_L(a + b\sqrt{-2}) = 0$, these groups act on the tree T of Theorem 1.1, which is homogeneous of degree 3 in this case. Because of the 2's in the denominators of some of the a_j 's and b_j 's, the groups Γ did not fix \mathcal{L}_0 . However, starting from \mathcal{L}_0 , and using the matrices (2.3) and (2.4), it was easy to move around the vertices at a small distance from \mathcal{L}_0 , and a vertex was found at distance 3 from \mathcal{L}_0 which was fixed by all five Γ 's. Conjugating these groups by a suitable matrix, the groups were all realized in $G(\mathbb{Z}[1/3])$, where G is the projective unitary group with respect to the form y^*Hx , where

$$H = \begin{pmatrix} 2 & -S & 0 \\ S & 2 & 0 \\ 0 & 0 & 2 \end{pmatrix}.$$

The matrices a_6 and f generating $\tilde{\Gamma}_{4,1}$ are now

$$a_6 = \begin{pmatrix} -1 & 0 & 0 \\ (2S + 1)/3 & -(S - 1)/3 & (S + 2)/3 \\ (S - 1)/3 & (S + 2)/3 & -(S - 1)/3 \end{pmatrix} \quad \text{and} \quad f = \begin{pmatrix} -S & -1 & 0 \\ -1 & S & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

Now consider the action of $G(\mathbb{Q})$ on $\Delta_{\mathbb{Q}_3}$. It is easy to calculate the stabilizer $G_0(\mathbb{Z}[1/3])$ of $[(\mathbb{Z}_3)^3]$ in $G(\mathbb{Z}[1/3])$. For if $g \in M_{3 \times 3}(\mathbb{Q}(S))$ and $g^*Hg = H$, then $g^{-1} = H^{-1}g^*H$. Now H and H^{-1} have entries in $\mathbb{Z}[S, 1/2] \subset \mathbb{Z}_3$. So g and g^{-1} have entries in \mathbb{Z}_3 if and only if g and g^* have entries in \mathbb{Z}_3 . Since $\mathbb{Z}[1/3] \cap \mathbb{Z}_3 = \mathbb{Z}$, we need only find matrices g with entries in $\mathbb{Z}[S]$ such that $g^*Hg = H$. Routine calculations show that (up to multiplication by ± 1), there are precisely 16 such matrices. Hence $G_0(\mathbb{Z}[1/3])$ has order 16, and is generated by f and g , where

$$g = \begin{pmatrix} 0 & -1 & 0 \\ -1 & S & 0 \\ 0 & 0 & -1 \end{pmatrix},$$

and f is as above. These generators, with the relations $f^4 = g^8 = 1, fgf^{-1} = g^3$ and $f^2 = g^4$, give a presentation of $G_0(\mathbb{Z}[1/3])$.

We obtain generators b_0, \dots, b_{12} and f' for $\tilde{\Gamma}_{5,1}$ in $G(\mathbb{Z}[1/3])$ by setting $b_0 = a_0, b_1 = a_{12}g, b_2 = a_2g^4, b_3 = a_7g^7, b_4 = a_3g, b_5 = a_{11}g, b_6 = a_5g^5, b_7 = a_4g^7, b_8 = a_1g^5, b_9 = a_9g, b_{10} = a_{10}, b_{11} = a_6g^2, b_{12} = a_8g^2$ and $f' = fg^2$.

We obtain a presentation of all of $G(\mathbb{Z}[1/3])$ from the generators $a_i, i = 0, \dots, 12$, plus f and g , together the relations of the form $a_i a_j a_k = 1$ and $f a_i f^{-1} = a_{i'}$ given in [CMSZ, p. 184], and the relations $ga_0 = a_1g^3, ga_1 = a_8g^2, ga_2 = a_2g, ga_3 = a_4g,$

$ga_4 = a_9g^5, ga_5 = a_6g^2, ga_6 = a_{12}g, ga_7 = a_3g^5, ga_8 = a_{11}g, ga_9 = a_7g, ga_{10} = a_5g^3, ga_{11} = a_{10}g^6$ and $ga_{12} = a_0g^6$.

The situation is summarized by the diagram in Figure 1.

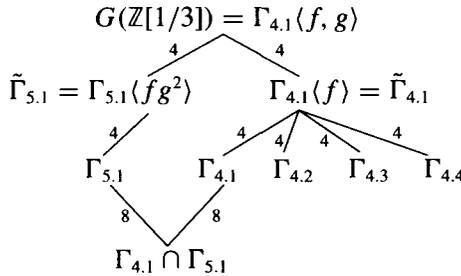


FIGURE 1

Groups 7.1 and 8.1. In [CMSZ], Group 7.1 was realized as a subgroup of $\text{Aut}(\mathcal{A}) \cong \mathcal{A}^\times / Z(\mathcal{A}^\times)$ for a central simple algebra \mathcal{A} of dimension 9 over $K = \mathbb{Q}(S)$, where $S^2 = -23$. Group 8.1 had a similar realization, involving another 9 dimensional algebra \mathcal{B} over K . These algebras had definitions in terms of messy structure constants. Calculations of Hasse invariants told us that \mathcal{A} and \mathcal{B} were not isomorphic to $M_{3 \times 3}(K)$, but, instead, isomorphic or anti-isomorphic to a cyclic simple algebra \mathcal{A}_θ defined as follows: Let $L = K(\theta) = \mathbb{Q}(S, \theta)$, where $\theta^3 = \theta + 1$. Then L is a normal extension of \mathbb{Q} of degree 6 over \mathbb{Q} . Let φ generate the Galois group of L over K . We adjoin to L an element σ satisfying $\sigma^3 = 2$ and $\sigma x \sigma^{-1} = \varphi(x)$ for all $x \in L$, and obtain $\mathcal{A}_\theta = L[\sigma]$, which consists of expressions $a + b\sigma + c\sigma^2$, where $a, b, c \in L$. Thus

$$\mathcal{A}_\theta = \{a + b\sigma + c\sigma^2 : a, b, c \in L, \sigma^3 = 2, \sigma x \sigma^{-1} = \varphi(x) \text{ for all } x \in L\}.$$

The algebra \mathcal{A} has an involutive semilinear anti-automorphism $*$, and Group 7.1 embedded in the associated projective unitary group $\{\alpha \in \text{Aut}(\mathcal{A}) : \alpha(\xi^*) = \alpha(\xi)^* \text{ for all } \xi \in \mathcal{A}\}$; similarly for \mathcal{B} and Group 8.1. Here “semilinear” refers to the nontrivial field automorphism $x = a + bS \mapsto \bar{x} = a - bS$ of K .

We would like to find an involutive semilinear anti-automorphism $*$ on \mathcal{A}_θ , and embeddings of Groups 7.1 and 8.1 as arithmetic subgroups the associated projective unitary group. There is a simple involutive semilinear anti-automorphism $\tilde{}$ on \mathcal{A}_θ , determined by $\tilde{\sigma} = \sigma$ and $\tilde{x} = \tau(x)$ for $x \in L$, where τ is the field automorphism of L fixing θ and mapping S to $-S$:

$$(a + b\sigma + c\sigma^2)\tilde{} = \tau(a) + \sigma\tau(b) + \sigma^2\tau(c) = \tau(a) + \varphi(\tau(b))\sigma + \varphi^2(\tau(c))\sigma^2.$$

Note that $\tau^2 = id$ and $\tau\varphi\tau^{-1} = \varphi^2$.

As explained below, the anti-automorphism $\tilde{}$ is not quite suitable for our needs, and will be modified below, giving us the anti-automorphism \ast .

Consider the following basis of $\mathbb{Q}(\theta, S)$ over $\mathbb{Q}(S)$: $\{\xi_0, \xi_1, \xi_2\} = \{1, \theta, \theta^2\}$. The dual basis (with respect to $\text{Trace} : \mathbb{Q}(\theta, S) \rightarrow \mathbb{Q}(S)$) is

$$\{\eta_0, \eta_1, \eta_2\} = \{(5 - 6\theta + 4\theta^2)/23, (-6 - 2\theta + 9\theta^2)/23, (4 + 9\theta - 6\theta^2)/23\}.$$

Form the 3×3 matrix Q whose (i, j) entry is $\varphi^j(\xi_i)$ for $i, j = 0, 1, 2$. Then Q^{-1} has (i, j) entry $\varphi^i(\eta_j)$ for each i, j .

Now let w denote an element satisfying $w^3 = w^2 + 1$ in some extension of \mathbb{Q} . Let $K' = K(w) = \mathbb{Q}(S, w)$. The algebra $\mathcal{A}_\theta \otimes K'$ splits. For we can map $x \in K'(\theta) = L'$ to

$$\Psi(x) = \begin{pmatrix} x & 0 & 0 \\ 0 & \varphi(x) & 0 \\ 0 & 0 & \varphi^2(x) \end{pmatrix},$$

where φ denotes the extension to an automorphism of L' over K' of the automorphism φ of L over K ; we also map σ to

$$\Psi(\sigma) = \begin{pmatrix} 0 & 1 + \theta w & 0 \\ 0 & 0 & 1 + \varphi(\theta)w \\ 1 + \varphi^2(\theta)w & 0 & 0 \end{pmatrix}.$$

Then $\xi \mapsto Q\Psi(\xi)Q^{-1}$ gives an isomorphism of $\mathcal{A}_\theta \otimes K'$ onto $M_{3 \times 3}(K')$. Explicitly, $x \in L'$ is mapped to the matrix with (i, j) entry $\text{Trace}(\xi_i x \eta_j)$, and σ is mapped to the matrix with (i, j) entry $\text{Trace}(\xi_i w \varphi(\eta_j))$ for $i, j = 0, 1, 2$. The semilinear anti-automorphism $\tilde{}$ extends to a semilinear anti-automorphism of $\mathcal{A}_\theta \otimes K'$, semilinear now referring to the extension to an automorphism τ of L' over $\mathbb{Q}(\theta, w)$ of the automorphism τ of L over $\mathbb{Q}(\theta)$. By the Skolem-Noether Theorem [We, p. 166], this anti-automorphism corresponds to an anti-automorphism $M \mapsto PM^*P^{-1}$ of $M_{3 \times 3}(K')$, for some $P \in GL(3, K')$, where for $M \in M_{3 \times 3}(K')$, M^* is obtained from M by applying τ to each entry of the transpose of M . In fact, a simple calculation shows that P must be a multiple of

$$\begin{pmatrix} 3 & 2w & 3w + 2 \\ 2w & 3w + 2 & 2w + 3 \\ 3w + 2 & 2w + 3 & 5w + 2 \end{pmatrix}.$$

Unfortunately, this matrix is not positive definite. So if G denotes the projective unitary group associated with $\tilde{}$, which we regard as defined over \mathbb{Q} , then $G(\mathbb{R})$ is not compact.

So we must replace $\tilde{\cdot}$ by another involutive semilinear anti-automorphism. Again by the Skolem-Noether Theorem, this must be of the form $\xi \mapsto u\tilde{\xi}u^{-1}$, with $\tilde{u} = u$ to ensure that this is an involution. The $u \in \mathcal{A}_\theta$ satisfying $\tilde{u} = u$ are the elements $a + \varphi^2(b)\sigma + \varphi(c)\sigma^2$, where $a, b, c \in \mathbb{Q}(\theta)$. A little experimentation led to the choice

$$u = \theta^2 + \varphi^2(\theta^2)\sigma + \varphi(\theta^2)\sigma^2.$$

The anti-automorphism $\xi^* = u\tilde{\xi}u^{-1}$ corresponds as above to the anti-automorphism $M \mapsto H^{-1}M^*H$ of $M_{3 \times 3}(K')$, where $H = 138(UP)^{-1}$ equals

$$\begin{pmatrix} 28w^2+27w+39 & 17w^2+(9-7S)w+36-3S & -19w^2+(2S-29)w-S-24 \\ 17w^2+(9+7S)w+36+3S & -2w^2+3w+104 & 9w^2-(48+6S)w-S-31 \\ -19w^2-(2S+29)w+S-24 & 9w^2-(48-6S)w+S-31 & 17w^2+32w+13 \end{pmatrix},$$

and where $U \in M_{3 \times 3}(K')$ corresponds to $u \in \mathcal{A}_\theta \otimes K'$ under the above isomorphism. Now H is positive definite, and so if G is the projective unitary group associated with $*$, regarded as an algebraic group defined over \mathbb{Q} , then $G(\mathbb{R})$ is compact.

Our aim is to exhibit Groups 7.1 and 8.1 as subgroups of $G(\mathbb{Q})$ commensurable with $G(\mathbb{Z}[1/3])$. To do this, we must first specify a basis of \mathcal{A}_θ over $\mathbb{Q}(S)$. A convenient basis is $\{m_1, \dots, m_9\}$, where

$$\begin{aligned} m_1 &= u\varphi(\theta^2)\sigma^2, & m_2 &= u\varphi^2(\theta^2)\sigma, & m_3 &= u\varphi(\theta)\sigma^2, & m_4 &= u\varphi^2(\theta)\sigma, \\ m_5 &= u\theta^2, & m_6 &= u\theta, & m_7 &= u\sigma^2, & m_8 &= u\sigma, & m_9 &= 1. \end{aligned}$$

(The m_j satisfy $m_j^* = m_j$, because they are of the form $u\xi$, where $\tilde{\xi} = \xi$.) By the Skolem-Noether Theorem, the automorphisms α of \mathcal{A}_θ satisfying $\alpha(\xi^*) = \alpha(\xi)^*$ for all ξ are the maps $\xi \mapsto a\xi a^{-1}$, where $a \in \mathcal{A}_\theta$ satisfies $a^*a = c$, for some $c \in \mathbb{Q}^\times$. Note that $a^*a = c$ for some $c \in \mathbb{Q}^\times$ if and only if $(ta)^*(ta) = 1$ for some $t \in \mathbb{Q}(S)^\times$. Thus to say that $a \in \mathcal{A}_\theta^\times$ corresponds to an element of $G(\mathbb{Z}[1/3])$ means that $(ta)^*(ta) = 1$ for some $t \in \mathbb{Q}(S)^\times$, and that $am_i a^{-1} = \sum_{j=1}^9 c_{i,j} m_j$ for some $c_{i,j} \in \mathbb{Z}[1/3]$. Note that $(ta)^*(ta) = 1$ and $m_i^* = m_i$ implies that the $c_{i,j}$ must be in \mathbb{Q} .

To exhibit Groups 7.1 and 8.1 in $G(\mathbb{Q})$, we next needed to find some elements of $G(\mathbb{Z}[1/3])$, in fact enough to generate a finite index subgroup thereof. To find elements satisfying $a^*a = 1$, we first took elements x satisfying $x^* = x$, and then let a be the (modified) Cayley transform of x : $a = (S + x)(S - x)^{-1}$. A program was run which took x of the form $\sum_{i=1}^9 x_i m_i$, where the x_j 's were small integers, and checked whether the corresponding automorphism $\xi \mapsto a\xi a^{-1}$ was in $G(\mathbb{Z}[1/3])$. Forming suitable words in the elements a found in this way, we obtained more elements. We then sought non-commuting pairs a, a' found this way and pairs b, b' of elements in Group 7.1 so that $\text{Inv}(a^i(a')^j) = \text{Inv}(b^i(b')^j)$ for $i, j = 0, 1, 2$. Here $\text{Inv}(\xi) = \text{Trace}(\xi)^3 / \det(\xi)$ for ξ in either algebra, regarding ξ as a 3×3 matrix with entries in $\mathbb{Q}(S, w)$ for

$\xi \in \mathcal{A}_\theta$, and with entries in $\mathbb{Q}(S, \alpha)$ (see [CMSZ, p. 193]) for $\xi \in \mathcal{A}$. For if there is an isomorphism or anti-isomorphism $\mathcal{A}_\theta \rightarrow \mathcal{A}$ mapping a to a multiple of b and a' to a multiple of b' , then the above equations between the invariants must hold.

After much effort, elements $a, a' \in \mathcal{A}_\theta^\times$ were found so that the corresponding automorphisms belonged to $G(\mathbb{Z}[1/3])$, and so that

$$a \mapsto \frac{(11 + S)}{12} g_{11}^{-1} \quad \text{and} \quad a' \mapsto \frac{(11 + S)}{12} g_6^{-1} g_3 g_1^{-1}$$

induced an anti-isomorphism from \mathcal{A}_θ to the algebra of Group 7.1 (see below). Explicitly, if we let $x = \sum_{i=1}^9 x_i m_i$ and $a = (S + x)(S - x)^{-1}$ for

$$(x_1, \dots, x_9) = \frac{1}{3}(-8, -10, 2, 6, 0, -8, 6, 6, -9)$$

we obtain an element $a \in \mathcal{A}_\theta^\times$ satisfying $am_i a^{-1} = \sum_{j=1}^9 c_{i,j} m_j$ for certain $c_{i,j} \in \mathbb{Z}[1/3]$. We can express a explicitly as a $\mathbb{Q}(S)$ -linear combination of the m_j 's: $a = \sum_{i=1}^9 t_i m_i$ for

$$(t_1, \dots, t_9) = \frac{1}{4 \cdot 23 \cdot 27} (3(41S - 23), 2(97S + 23), 3(-5S + 23), \\ 2(-61S - 23), 8(7S + 23), 12(5S - 23), 4(-34S - 23), \\ 2(-103S - 161), 12(29S - 23)).$$

Similarly, if we let $x' = \sum_{i=1}^9 x'_i m_i$ and $a' = (S + x')(S - x')^{-1}$ for

$$(x'_1, \dots, x'_9) = \frac{1}{3}(162, 268, 14, -120, 236, 156, -98, -132, -471)$$

we obtain an element $a' \in \mathcal{A}_\theta^\times$ satisfying $a' m_i (a')^{-1} = \sum_{j=1}^9 c'_{i,j} m_j$ for certain $c'_{i,j} \in \mathbb{Z}[1/3]$. Again, we can express a' explicitly as a $\mathbb{Q}(S)$ -linear combination of the m_j 's: $a' = \sum_{i=1}^9 t'_i m_i$ for

$$(t'_1, \dots, t'_9) = \frac{1}{4 \cdot 27 \cdot 23} (81(S + 23), 211S + 2921, 7(S + 23), -203S - 1081, \\ 6(27S + 437), 72(2S + 23), 28(S - 46), \\ 2(-55S - 713), 48(-S - 92)).$$

Using the above anti-isomorphism, we can realise Group 7.1 in $\mathcal{A}_\theta^\times / Z(\mathcal{A}_\theta^\times)$. To specify generators $a_j, j = 0, 1, \dots, 12$, of Group 7.1 in \mathcal{A}_θ in a very compact way, we first give 13 elements h_j of \mathcal{A}_θ satisfying $h_j^* = h_j$. Then we let a_j be the Cayley transform $(S + h_j)(S - h_j)^{-1}$ of h_j for each j . We let

$$h_j = \frac{1}{9} \sum_{k=1}^9 t_{j,k} m_k \quad \text{for } j = 0, \dots, 12,$$

where $t_{j,k}$ is the (j, k) entry of the matrix

$$\begin{pmatrix} -12 & -60 & -24 & 54 & -72 & -12 & 36 & 36 & -63 \\ -4 & -20 & -2 & 12 & -12 & -4 & 6 & 24 & -33 \\ -18 & -36 & -6 & 24 & -36 & -24 & 6 & 24 & 27 \\ 30 & 56 & 0 & -20 & 32 & 24 & -4 & -56 & -9 \\ -132 & -168 & 24 & 48 & -192 & -96 & 114 & 120 & 63 \\ 36 & 72 & 6 & -36 & 60 & 48 & -24 & -48 & -45 \\ 114 & 198 & 30 & -114 & 168 & 96 & -66 & -114 & -279 \\ 24 & 72 & 12 & -24 & 60 & 24 & 6 & -60 & -117 \\ -42 & -60 & 24 & 48 & -12 & -132 & -30 & 48 & 207 \\ -42 & -54 & 6 & 12 & -48 & -36 & 24 & 36 & 57 \\ -60 & -132 & -30 & 48 & -120 & -24 & 24 & 84 & 135 \\ -12 & -30 & -6 & -12 & -24 & 24 & -6 & 42 & 9 \\ 48 & 90 & 24 & -12 & 84 & -24 & -24 & -66 & -27 \end{pmatrix}.$$

It is convenient to multiply a_1, a_2, a_7 and a_{10} by $-(S + 11)/12$. This ensures that all the a_j 's have entries in \mathbb{Z}_3 , when S and w are regarded as 3-adic numbers (S being chosen so that $S \equiv 1 \pmod 3$). Moreover, the 3-adic valuation of each of the a_j 's is 2, so that if $L_0 = (\mathbb{Z}_3)^3$ and $v_0 = [L_0]$ is the corresponding vertex in $\Delta_{\mathbb{Q}_3}$, then the $a_j v_0$, $j = 0, \dots, 12$, are vertices which are neighbours of v_0 , and all of the same type. One may check that they are distinct.

If we let $g'_1 = ((S + 11)/12)a_1^{-1}$ and $g'_9 = ((S + 11)/12)a_9^{-1}$, then one can check that $(g'_1)^3 = \sum_{j=0}^2 c_j (g'_1)^j$, that $(g'_9)^3 = \sum_{j=0}^2 d_j (g'_9)^j$, and that $g'_1 g'_9 = \sum_{i,j=0}^2 \gamma_{i,j} (g'_9)^j (g'_1)^i$, where the c_j 's, d_j 's and $\gamma_{i,j}$'s are as in [CMSZ, p. 193]. Thus there is an anti-isomorphism $\mathcal{A} \rightarrow \mathcal{A}_\theta$ determined by mapping g_1 to g'_1 and g_9 to g'_9 .

The automorphisms $\xi \mapsto a_j \xi a_j^{-1}$ are in $G(\mathbb{Z}[1/2, 1/3, 1/23])$. The elements $a, a' \in \mathcal{A}_\theta$ defined above are $a_2^{-1} a_{11} a_2$ and $a_2^{-1} (a_1 a_3^{-1} a_6) a_2$, respectively. The fact that a, a' correspond to elements of $G(\mathbb{Z}[1/3])$ means that a_{11} and $a_1 a_3^{-1} a_6$ are in $G(\mathbb{Z}[1/3])$ if we replace $\{m_1, \dots, m_9\}$ by $\{a_2 m_j a_2^{-1} : j = 1, \dots, 9\}$. MAGMA tells us that $(g_{11}, g_1 g_3^{-1} g_6)$ is an index 24 subgroup of Group 7.1. This exhibits the arithmeticity of Group 7.1.

For Group 8.1, we let

$$h'_j = \frac{1}{9 \cdot 23} \sum_{k=1}^9 t_{j,k} m_k \quad \text{for } j = 0, \dots, 12,$$

where $t_{j,k}$ is the (j, k) entry of the matrix

$$\begin{pmatrix} 1668 & 2598 & -444 & -324 & 2736 & 372 & -1026 & -2520 & -3069 \\ -106 & -332 & 130 & 300 & -60 & -244 & 114 & 348 & -999 \\ -96 & -212 & 54 & 68 & -332 & -36 & -86 & 176 & -87 \\ -216 & 876 & 1224 & -912 & 516 & -360 & 210 & -516 & -2115 \\ 780 & 1092 & -162 & -756 & 732 & 1260 & -216 & -468 & -783 \\ -1124 & -1892 & -88 & 724 & -1432 & -1172 & 422 & 1072 & 3597 \\ 860 & 1444 & -32 & -318 & 1380 & 812 & -330 & -996 & -2865 \\ 630 & 720 & -108 & 324 & 1800 & 936 & 288 & -1296 & -747 \\ 1104 & 2070 & 552 & -276 & 1932 & -552 & -552 & -1518 & -621 \\ -1962 & -2088 & -144 & -396 & -2808 & 288 & 1170 & 1764 & 1737 \\ -1560 & -3024 & -474 & 1560 & -2712 & -1632 & 780 & 2064 & 3105 \\ -276 & -690 & -138 & -276 & -552 & 552 & -138 & 966 & 207 \\ 732 & 2034 & 258 & -1326 & 768 & 1200 & -636 & -1326 & -2097 \end{pmatrix}.$$

Then we let $a'_j = (S + h'_j)(S - h'_j)^{-1}$ for each j . Again, it is convenient to multiply a'_1, a'_2, a'_3 and a'_{10} by $-(S+11)/12$. This achieves the same normalizations as described above for Group 7.1.

The algebra \mathcal{B} associated with Group 8.1 is isomorphic to \mathcal{A}_θ . If we let $x'_1 = -((7 + 3 * S)/16)a'_1$ and $x'_4 = a'_4$, then one can check that $(x'_1)^3 = \sum_{j=0}^2 c_j(x'_1)^j$, that $(x'_4)^3 = \sum_{j=0}^2 d_j(x'_4)^j$, and that $x'_4 x'_1 = \sum_{i,j=0}^2 \gamma_{i,j}(x'_1)^i (x'_4)^j$, where the c_j 's, d_j 's and $\gamma_{i,j}$'s are as in [CMSZ, p. 196]. Thus there is an isomorphism $\mathcal{B} \rightarrow \mathcal{A}_\theta$ determined by mapping x_1 to x'_1 and x_4 to x'_4 .

Then $a'_{11} = a_{11}$ and $a'_4(a'_0)^{-1}a'_{12} = a_1 a_3^{-1} a_6$. Hence, with these realizations $\Gamma_{7.1}$ and $\Gamma_{8.1}$ of Groups 7.1 and 8.1 in $\mathcal{A}_\theta^\times / Z(\mathcal{A}_\theta^\times)$, the two groups have in common the index 24 subgroup generated by a_{11} and $a_1 a_3^{-1} a_6$. The automorphisms $\xi \mapsto a'_j \xi (a'_j)^{-1}$ are in $G(\mathbb{Z}[1/2, 1/3, 1/23])$. So we have the situation shown in Figure 2.

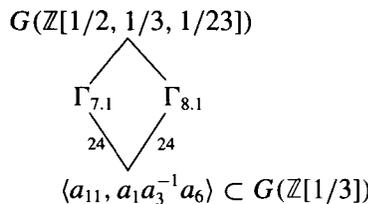


FIGURE 2

The situation is therefore rather more complicated than in the case of Groups 4.1 and 5.1, say. We now use the tree T associated with $\mathbb{Q}_{23}(\sqrt{-23})$ to show that we cannot simplify the embeddings.

Because $L = \mathbb{Q}_{23}(\sqrt{-23})$ is a ramified extension of \mathbb{Q}_{23} , T is homogeneous of degree 24. The group G defined above acts on T . For if $\alpha \in \text{Aut}(\mathcal{A}_\theta)$ and $\alpha(\xi^*) = \alpha(\xi)^*$ for all $\xi \in \mathcal{A}_\theta$, then there is an $a \in \mathcal{A}_\theta^\times$ such that $\alpha(\xi) = a\xi a^{-1}$ for all ξ , and such that $a^*a = 1$. Let $A \in M_{3 \times 3}(K')$ be the matrix corresponding to a under the isomorphism $\mathcal{A}_\theta \otimes K' \cong M_{3 \times 3}(K')$ defined above. Then $A^*HA = H$. Moreover, $w^3 = w^2 + 1$ has a solution in \mathbb{Q}_{23} (with $w \equiv 17 \pmod{23}$). So we can regard K' as a subfield of L . Thus $A \in U_3(h)$ for the form h on L^3 corresponding to H . So for $v \in \Lambda_0 \cup \Lambda_1$, we define $\alpha.v = A.v$. This is well-defined, for if a is replaced by ta , where $t = t_1 + t_2S \in \mathbb{Q}(S)$ and $\bar{t}t = 1$, then $t_1^2 + 23t_2^2 = 1$, and so t , regarded as an element of L , has valuation 0, so that $t\mathcal{L} = \mathcal{L}$ for any \mathfrak{o}_L -lattice \mathcal{L} .

Hence our groups $\Gamma_{7.1}$ and $\Gamma_{8.1}$, being subgroups of $G(\mathbb{Z}[1/2, 1/3, 1/23]) \subset G(\mathbb{Q})$, act on T . Let $\mathcal{L}_0 = \mathfrak{o}_L^3$, and let $\mathcal{L}_1 = g_1(\mathcal{L}_0)$ for

$$g_1 = S^{-1} \begin{pmatrix} S & 15 & 13 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

Then $\mathcal{L}'_1 = \mathcal{L}_1$, so that $\mathcal{L}_1 \in \Lambda_0$. Let $\mathcal{M}_2 = g_2(\mathcal{L}_0)$ for

$$g_2 = g_1 \begin{pmatrix} 1 & 0 & 0 \\ 0 & S & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

Then $S\mathcal{M}'_2 \subsetneq \mathcal{M}_2 \subsetneq \mathcal{M}'_2$, so that $(\mathcal{M}_2, \mathcal{M}'_2) \in \Lambda_1$ is a neighbour of \mathcal{L}_1 in T . One may verify that $\Gamma_{7.1}$ fixes \mathcal{M}_2 (and therefore also \mathcal{M}'_2), and hence the vertex $(\mathcal{M}_2, \mathcal{M}'_2)$ of T . Now let $\mathcal{L}_3 = g_3(\mathcal{L}_0)$ for

$$g_3 = S^{-1}g_2 \begin{pmatrix} 1 & 0 & 0 \\ 19 & S & 0 \\ 15 & 0 & S \end{pmatrix}.$$

Then $\mathcal{L}_3 \in \Lambda_0$ is a neighbour of $(\mathcal{M}_2, \mathcal{M}'_2)$ in T , and is fixed by $\Gamma_{8.1}$.

Moreover, $\Gamma_{7.1}$ acts transitively on the 24 neighbours of $(\mathcal{M}_2, \mathcal{M}'_2)$. Indeed, the 24 elements $\{1, a_0, a_1, a_2, a_3, a_4, a_5, a_6, a_7, a_9, a_{10}, a_1^{-1}, a_3^{-1}, a_5^{-1}, a_6^{-1}, a_{10}^{-1}, a_0a_1^{-1}, a_0a_2^{-1}, a_0a_{10}^{-1}, a_1a_7^{-1}, a_1a_8^{-1}, a_1a_9^{-1}, a_3a_6^{-1}, a_4a_{10}^{-1}\}$ of $\Gamma_{7.1}$ move \mathcal{L}_3 to these neighbours.

Also, $\Gamma_{8.1}$ acts transitively on the 24 neighbours of \mathcal{L}_3 . Indeed, the 24 elements $\{a'_0, a'_1, a'_2, a'_3, a'_4, a'_5, a'_6, a'_7, a'_8, a'_{10}, (a'_1)^{-1}, (a'_2)^{-1}, (a'_3)^{-1}, (a'_4)^{-1}, (a'_{12})^{-1}, a'_0a'_3, a'_0a'_5, a'_0a'_7, a'_1a'_2, a'_1a'_3, a'_1a'_{12}, a'_2a'_4, a'_2a'_{10}\}$ of $\Gamma_{8.1}$ move $(\mathcal{M}_2, \mathcal{M}'_2)$ to these neighbours.

There is no realization of $\Gamma_{7.1}$ and $\Gamma_{8.1}$ in $G(\mathbb{Q})$ for which $\Gamma_{7.1} \cap \Gamma_{8.1}$ has index strictly less than 24 in $\Gamma_{7.1}$ and $\Gamma_{8.1}$. For if there were, then there would be isomorphic subgroups $H_{7.1}$ and $H_{8.1}$ of the realizations of $\Gamma_{7.1}$ and $\Gamma_{8.1}$ given above, for which $[\Gamma_{8.1} : H_{8.1}] = n < 24$. Applying [Mar, Theorem (5), p. 5], our isomorphism

$f : H_{7,1} \rightarrow H_{8,1}$ would be induced by conjugation α_x by some element x of $G(\mathbb{Q})$. See also [Hum, Section 27.4]; the fact that f is not induced from an automorphism involving the nontrivial Dynkin diagram automorphism may be deduced, for example, from the fact that \mathcal{A}_θ admits no (linear) anti-automorphism. For let \mathcal{A}'_θ denote the algebra defined as was \mathcal{A}_θ , but with the element σ replaced by an element σ' satisfying $(\sigma')^3 = 4$. Then there is an anti-isomorphism $\mathcal{A}_\theta \rightarrow \mathcal{A}'_\theta$ mapping σ to $(\sigma')^2/2$ and mapping each $x \in \mathbb{Q}(S, \theta)$ to x . If \mathcal{A}_θ has an anti-automorphism, then \mathcal{A}_θ and \mathcal{A}'_θ would be isomorphic, which is impossible because $4/2 = 2$ is not the norm of any element of $\mathbb{Q}(S, \theta)$ (see, for example, [Deu, p. 65]).

Thus, modulo scalars, f is the restriction of α_x to $H_{7,1}$. Let $u \in \Lambda_1$ denote the vertex $(\mathcal{M}_2, \mathcal{M}'_2) \in T$ fixed by $\Gamma_{7,1}$, and let $v \in \Lambda_0$ denote the vertex $\mathcal{L}_3 \in T$ fixed by $\Gamma_{8,1}$. Then $\alpha_x \Gamma_{7,1} \alpha_x^{-1}$ fixes $\alpha_x \cdot u \in \Lambda_1$. Thus $\Gamma_{8,1} \cap \alpha_x \Gamma_{7,1} \alpha_x^{-1}$, and therefore $H_{8,1}$, fixes the geodesic in T from v to $\alpha_x \cdot u$. But $\Gamma_{8,1}$ moves this geodesic to 24 different paths. Hence $n = [\Gamma_{8,1}, H_{8,1}] \geq 24$.

Similar considerations show that there is no semi-linear involutory anti-automorphism $\xi \mapsto \xi^\dagger$ of \mathcal{A}_θ such that, if G^\dagger denotes the corresponding projective unitary group, then $G^\dagger(\mathbb{R})$ is compact and Groups 7.1 and 8.1 embed in $G^\dagger(\mathbb{Z}[1/3])$.

Groups 2.1, 2.2, 3.1 and 3.2. In [CMSZ], these groups were exhibited in $\mathcal{A}^\times / Z(\mathcal{A}^\times)$ for the following cyclic simple algebra \mathcal{A} , defined over $\mathbb{F}_3(P)$, P an indeterminate: $\mathcal{A} = \mathbb{F}_{27}(P)[\sigma]$, where $\sigma^3 = P$, and $\sigma x \sigma^{-1} = \varphi(x)$ for $x \in \mathbb{F}_{27}(P)$. Here φ is a generator of the Galois group of $\mathbb{F}_{27}(P)$ over $\mathbb{F}_3(P)$; if we think of \mathbb{F}_{27} as $\mathbb{F}_3(\theta)$, where $\theta^3 = \theta + 1$, then we can assume that $\varphi(\theta) = \theta + 1$ and $\varphi(P) = P$. We regarded $\mathbb{F}_3(P)$ as a quadratic extension of $\mathbb{F}_3(R)$, where $R = P - 1/P$. We exhibited an involutive semilinear antiautomorphism $*$ of \mathcal{A} . Groups 2.1 and 2.2 are normal index 3 subgroups of a group $\tilde{\Gamma}_{2,1}$ generated by elements $a_j \in \mathcal{A}$, $j = 0, \dots, 12$, and σ . Similarly, Groups 3.1 and 3.2 are normal index 3 subgroups of a group $\tilde{\Gamma}_{3,1}$ generated by elements $b_j \in \mathcal{A}$, $j = 0, \dots, 12$, and σ . We embedded $\tilde{\Gamma}_{2,1}$ in $PU(\mathbb{F}_3[1/R])$, where

$$PU(\mathbb{F}_3(R)) = \{ \alpha \in \text{Aut}(\mathcal{A}) : \alpha(\xi^*) = \alpha(\xi)^* \text{ for all } \xi \in \mathcal{A} \}.$$

If we replace the generators of Group $\tilde{3}.1$ given in [CMSZ] by $b'_i = \gamma^{-1} b_i \gamma$ and $\sigma' = \gamma^{-1} \sigma \gamma$ ($\equiv \sigma$), where $\gamma = P\sigma + \sigma^2$, then the elements $b'_i / (P + 1)$ are unitary, so that Group $\tilde{3}.1$ is now realized in $PU(\mathbb{F}_3(R))$. Moreover, the intersection $\Gamma_{2,1} \cap \Gamma_{3,1}$ of these realizations $\Gamma_{2,1}$ and $\Gamma_{3,1}$ of Groups 2.1 and 3.1 has index 10 in each of $\Gamma_{2,1}$ and $\Gamma_{3,1}$. Indeed, $b'_0 = a_0$, $b'_4 b'_9 = a_4 a_1$ and $b'_4 b'_5 = a_4 a_6$; MAGMA tells us that the subgroup of $\Gamma_{2,1}$ generated by a_0 , $a_4 a_1$ and $a_4 a_6$ has index 10 in $\Gamma_{2,1}$ (and the subgroup of $\Gamma_{3,1}$ generated by b'_0 , $b'_4 b'_9$ and $b'_4 b'_5$ has index 10 in $\Gamma_{3,1}$). Group $\tilde{3}.1$ is

now generated by σ and b'_2 , where

$$\begin{aligned}
 b'_2 = & \frac{(P - 1)R^2 - (P + 1)R}{R^2 + 1} - \theta + (P - 1)\theta^2 \\
 & + \left(\frac{R^2 + (P - 1)R - P}{R^2 + 1} + \theta + \theta^2 \right) \sigma \\
 & + \left(\frac{R^2 + (P + 1)R + P}{R^2 + 1} - \theta^2 \right) \sigma^2.
 \end{aligned}$$

Let K denote the completion of $\mathbb{F}_3(R)$ with respect to the valuation associated with the irreducible polynomial $R^2 + 1$. Thus $q = 9$, and we can take $\pi_K = R^2 + 1$. Let $L = K(P)$. Then L is a ramified quadratic extension of K containing $\mathbb{F}_3(P)$; we can take $\pi_L = R + P$, which satisfies $\pi_L^2 = R^2 + 1$. The antiautomorphism $*$ of \mathcal{A} gives rise to a sesquilinear form $(x, y) \mapsto y^*Hx$ on L^3 , where

$$H = \begin{pmatrix} R & R - 1 & R - 1 \\ R - 1 & -R + 1 & -R - 1 \\ R - 1 & -R - 1 & R - 1 \end{pmatrix} \pmod{R^2 + 1},$$

and the associated tree is homogeneous of degree 10. One may readily check that $\tilde{\Gamma}_{2,1}$ fixes the vertex $u = \mathcal{L}_0 = \mathfrak{o}_L^3 \in \Lambda_0$. On the other hand, $\tilde{\Gamma}_{3,1}$ fixes the neighbouring vertex $v = (\mathcal{M}, \mathcal{M}') \in \Lambda_1$, where $\mathcal{M} = g(\mathcal{L}_0)$ for

$$g = \begin{pmatrix} \pi_L & 0 & 1 - R \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

One may verify that Group 2.1 acts transitively on the 10 neighbours of u . Indeed, the elements $1, a_1, a_2, a_3, a_4, a_7, a_{11}, a_1^{-1}, a_3^{-1}$ and a_5^{-1} move v to these 10 neighbours. Similarly, Group 3.1 acts transitively on the 10 neighbours of v . Indeed, the elements $1, b'_1, b'_3, b'_4, b'_5, b'_8, b'_{10}, (b'_1)^{-1}, (b'_3)^{-1}$ and $(b'_6)^{-1}$ move u to these 10 neighbours.

Considerations similar to those in the last subsection show that there is no realization of $\Gamma_{2,1}$ and $\Gamma_{3,1}$ in $G(\mathbb{Q})$ for which $\Gamma_{2,1} \cap \Gamma_{3,1}$ has index strictly less than 10 in $\Gamma_{2,1}$ and $\Gamma_{3,1}$.

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