

## PRIMITIVITY IN FREE GROUPS AND FREE METABELIAN GROUPS

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ABSTRACT. Let  $M_{n,c}$  denote the free  $n$ -generator metabelian nilpotent group of class  $c$ . For  $m \leq n - 2$ , every primitive system of  $m$  elements of  $M_{n,c}$  can be lifted to a primitive system of  $m$  elements of the absolutely free group  $F_n$  of rank  $n$ . The restriction on  $m$  cannot be improved.

**Introduction.** Let  $F = \langle f_1, \dots, f_n \rangle (= F_n)$  be the free group of rank  $n$  and let  $\mathbf{w} = \{w_1, \dots, w_m\}$ ,  $m \leq n$ , be a system of words in  $F$ . The system  $\mathbf{w}$  is said to be *primitive* if it can be included in some basis of  $F$ . Primitivity of a given system  $\mathbf{w}$  can be algorithmically decided (Whitehead, see Lyndon and Schupp [6], p. 30), and there are some nice primitivity criteria in terms of certain properties of the  $m \times n$  Jacobian matrix  $J(\mathbf{w}) = (\partial w_i / \partial f_j)$ , over  $\mathbf{ZF}$ , of the Fox derivatives  $\partial / \partial f_j: \mathbf{ZF} \rightarrow \mathbf{ZF}$  (Birman [3] for the case  $m = n$  and Umirbaev [10] for the general case). In the free metabelian groups  $M = \langle x_1, \dots, x_n \rangle (= M_n)$ , the corresponding primitivity criteria for a system  $\mathbf{g} = \{g_1, \dots, g_m\}$  are due to Bachmuth [1] (for the case  $m = n$ ) and Timoshenko [9] (for the case  $m \leq n - 3$ ) who obtained necessary and sufficient conditions for the system  $\mathbf{g}$  to be included in some basis of  $M$  in terms of the  $m \times m$  minors of the  $m \times n$  Jacobian matrix  $J(\mathbf{g}) = (\partial g_i / \partial x_j)$ , over  $\mathbf{ZA}$ , of the induced Fox derivatives  $\partial / \partial x_j: \mathbf{ZF} \rightarrow \mathbf{ZA}$ , where  $\mathbf{ZA}$  is the group ring of the free abelian group  $A = \langle a_1, \dots, a_n \rangle (= A_n)$ . In these cases, the algorithmic decidability of the primitivity in  $M$  of the given system  $\mathbf{g}$  then reduces to the existence of a solution of a system of linear equations over the Laurent polynomial ring  $\mathbf{Z}[a_1^{\pm 1}, \dots, a_n^{\pm 1}]$  which, in turn, can be effectively decided (Timoshenko [8]).

Let  $V$  be a fully invariant subgroup of  $F$ . We say that a system  $\mathbf{w} = \{w_1, \dots, w_m\}$ ,  $m \leq n$ , of words in  $F$  is *primitive mod  $V$*  if for some choice of words  $v_1, \dots, v_m \in V$ , the corresponding system  $\{w_1 v_1, \dots, w_m v_m\}$  is primitive (absolutely), or equivalently, if the system  $\{w_1 V, \dots, w_m V\}$  of cosets can be extended to some basis for  $F/V$ . Now let  $V, U$  be fully invariant subgroups of  $F$  with  $V \geq U$ . Then we say that a system of words  $\mathbf{w} = \{w_1, \dots, w_m\}$ ,  $m \leq n$ , can be *lifted (via  $V$ ) to a primitive system mod  $U$*  if and only if there exists  $v_i \in V$  such that the corresponding system  $\{w_1 v_1, \dots, w_m v_m\}$  is primitive mod  $U$ . Let  $\gamma_c(F)$  denote the  $c$ -th term of the lower central series of  $F$  and let  $F'' (= \gamma_2(F'))$  denote the second commutator subgroup of  $F$ . Our primary result in this paper is the following: if  $\mathbf{w} = \{w_1, \dots, w_m\}$ ,  $m \leq n - 2$ , is a primitive system

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modulo  $\gamma_{c+1}(F)F''$ ,  $c \geq 2$ , then  $\mathbf{w}$  can be lifted (via  $\gamma_{c+1}(F)F''$ ) to a primitive system of  $F$  (Theorems *B* & *E*). The restriction  $m \leq n - 2$  cannot be improved (Remark *C*).

**Primitive lifting in free metabelian groups.** Let  $M = M_n = \langle x_1, \dots, x_n \rangle \cong F_n / F_n''$  be the free metabelian group of rank  $n \geq 2$ . Let  $\varepsilon: \mathbf{Z}M \rightarrow \mathbf{Z}$  be the augmentation map and  $\Delta(M)$ , the augmentation ideal of  $\mathbf{Z}M$ . Let  $A (= A_n)$  be the free abelian group generated by  $a_1, \dots, a_n$  and let  $\theta: \mathbf{Z}M \rightarrow \mathbf{Z}[a_1^{\pm 1}, \dots, a_n^{\pm 1}]$  be the linear extension of the natural homomorphism:  $M \rightarrow A$ . For  $j = 1, \dots, n$ , define *induced* right partial derivative maps  $\partial / \partial x_j: \mathbf{Z}M \rightarrow \mathbf{Z}[a_1^{\pm 1}, \dots, a_n^{\pm 1}]$  as follows (cf. [5], p. 8): write  $u - \varepsilon u = (x_1 - 1)u_1 + \dots + (x_n - 1)u_n$ ,  $u_i \in \mathbf{Z}M$  and define  $\partial u / \partial x_j = \theta u_j$ . Alternately, define  $\partial(u + v) / \partial x_j = \partial u / \partial x_j + \partial v / \partial x_j$ ,  $\partial(uv) / \partial x_j = \partial u / \partial x_j v + \varepsilon u \partial v / \partial x_j$ ,  $\partial(x_j) / \partial x_j = 1$ ,  $\partial(x_i) / \partial x_j = 0$ ,  $i \neq j$ . To each system  $\mathbf{g} = \{g_1, \dots, g_m\}$  of  $m$  elements in  $M$  there corresponds an  $m \times n$  Jacobian Matrix  $J(\mathbf{g}) = (\partial g_i / \partial x_j)$  of the partial derivatives. When  $m = n$ , we shall need the following criterion for  $\mathbf{g}$  to be a basis of  $M$  (cf. [5], p. 29).

LEMMA 1 (BACHMUTH [1]). *Let  $\mathbf{g} = \{g_1, \dots, g_n\}$  be a system of elements of a free metabelian group  $M_n$ . Then  $\mathbf{g}$  is a basis for  $M_n$  if and only if its Jacobian matrix  $J(\mathbf{g})$  is invertible over  $\mathbf{Z}[a_1^{\pm 1}, \dots, a_n^{\pm 1}]$ .*

An arbitrary system  $\mathbf{g} = \{g_1, \dots, g_m\}$  in  $M$  consists of elements of the form  $x_1^{e_1} \dots x_n^{e_n} u$ ,  $e_i \in \mathbf{Z}$ ,  $u \in M'$ . If  $\mathbf{g}$  is primitive mod  $M'$  then there exists a tame automorphism  $\alpha \in \text{Aut}(M)$  (i.e.  $\alpha$  is induced by an automorphism of  $F_n$ ) such that  $\alpha(\mathbf{g}) = \{x_1 u_1, \dots, x_m u_m\}$ ,  $u_i \in M'$ . A system of the form  $\{x_1 u_1, \dots, x_m u_m\}$ ,  $u_i \in M'$ , will be called an *IA-system*. Thus primitive lifting of systems in free metabelian groups reduces to primitive lifting of IA-systems of the form  $\{x_1 u_1, \dots, x_m u_m\}$ ,  $u_i \in M'$ ,  $m \leq n$ . We say that an IA-system  $\{x_1 u_1, \dots, x_m u_m\}$ ,  $u_i \in M'$ ,  $m \leq n$ , is *IA-primitive* if it extends to an IA-basis of  $M$  of the form  $\{x_1 u_1, \dots, x_m u_m, x_{m+1} u_{m+1}, \dots, x_n u_n\}$ ,  $u_i \in M'$ . We shall need the following reduction lemmas.

LEMMA 2. *If an IA-system  $\{x_1 u_1, \dots, x_m u_m\}$ ,  $u_i \in M'_n$ ,  $m \leq n$ , is primitive in  $M_n$  then it is IA-primitive.*

PROOF. Let  $\{y_1, \dots, y_m, z_{m+1}, \dots, z_n\}$  be a basis for  $M$ , where  $y_i = x_i u_i$ ,  $i = 1, \dots, m$ ;  $z_j = x_1^{e_{j1}} \dots x_n^{e_{jn}} v_j$ ,  $j = m+1, \dots, n$ ,  $e_{j\ell} \in \mathbf{Z}$ ,  $v_j \in M'_n$ . Using Nielsen transformations of the type  $z_j \rightarrow z_j y_i^k$ ,  $k \in \mathbf{Z}$ , the basis  $\{y_1, \dots, y_m, z_{m+1}, \dots, z_n\}$  can be transformed to a basis of the form  $\{y_1, \dots, y_m, z'_{m+1}, \dots, z'_n\}$  where  $z'_j$  are of the new form given by  $z'_j = x_{m+1}^{e_{j(m+1)}} \dots x_n^{e_{jn}} v'_j$ ,  $v'_j \in M'_n$ . Since modulo  $M'_n$ , the subsystem  $\{z'_{m+1}, \dots, z'_n\}$  generates  $\{x_{m+1}, \dots, x_n\}$ , it follows that by using Nielsen transformations on  $\{z'_{m+1}, \dots, z'_n\}$ , the basis  $\{y_1, \dots, y_m, z'_{m+1}, \dots, z'_n\}$  can be further transformed to a basis  $\{y_1, \dots, y_m, z''_{m+1}, \dots, z''_n\}$ , where  $z''_j = x_j v''_j$ ,  $v''_j \in M'_n$ . This completes the proof of the lemma.

LEMMA 3. *Let  $1 \leq m \leq p \leq n$  be fixed and assume that for each  $e \geq 2$  every IA-system of the form  $\{x_1 v_1, \dots, x_m v_m, x_{m+1}, \dots, x_p\}$  with  $v_i \in \gamma_e(M_n)$  can be lifted to a primitive system of  $M_n$  of the form  $\{x_1 v_1 w_1, \dots, x_m v_m w_m, x_{m+1}, \dots, x_p\}$  with  $w_i \in$*

$\gamma_{e+1}(M_n)$ . Then, for any  $e \geq 2$ , every IA-system of the form  $\{x_1u_1, \dots, x_mu_m, x_{m+1}, \dots, x_p\}$ , with  $u_i \in M'_n$ , can be lifted to a primitive system of  $M_n$  of the form  $\{x_1u_1w_1, \dots, x_mu_mw_m, x_{m+1}, \dots, x_p\}$  with  $w_i \in \gamma_{e+1}(M_n)$ .

PROOF. It suffices to prove by induction on  $c \geq 2$  that there is an automorphism  $\alpha$  of  $M_n$  which transforms the given IA-system  $\{x_1u_1, \dots, x_mu_m, x_{m+1}, \dots, x_p\}$  to an IA-system of the form  $\{x_1u_{1,c}, \dots, x_mu_{m,c}, x_{m+1}, \dots, x_p\}$  with  $u_{i,c} \in \gamma_c(M_n)$ . For  $c = 2$  we can choose  $\alpha$  to be the identity automorphism. For the inductive step, let  $\{x_1u_1, \dots, x_mu_m, x_{m+1}, \dots, x_p\}$ ,  $u_i \in M'_n$ , be already transformed to  $\{x_1u_{1,c-1}, \dots, x_mu_{m,c-1}, x_{m+1}, \dots, x_p\}$  with  $u_{i,c-1} \in \gamma_{c-1}(M_n)$  by some automorphism of  $M_n$ . By our assertion,  $\{x_1u_{1,c-1}, \dots, x_mu_{m,c-1}, x_{m+1}, \dots, x_p\}$  can be lifted to a primitive system of  $M_n$  of the form  $\{x_1u_{1,c-1}w_1, \dots, x_mu_{m,c-1}w_m, x_{m+1}, \dots, x_p\}$  with  $w_i \in \gamma_c(M_n)$ . Put  $g_1 = x_1u_{1,c-1}w_1, \dots, g_m = x_mu_{m,c-1}w_m, g_k = x_k, k = m + 1, \dots, p$ . Thus there exists  $\alpha \in \text{Aut}(M_n)$  such that  $\alpha: x_i \rightarrow g_i, i = 1, \dots, p$ . Then  $\alpha^{-1}(g_i) = x_i$  and for  $i = 1, \dots, m$ ,  $\alpha^{-1}(x_iu_{i,c-1}) = \alpha^{-1}(g_iw_i^{-1}) = x_i\alpha^{-1}(w_i^{-1}) = x_iu_{i,c}$  for some  $u_{i,c} \in \gamma_c(M_n)$ , and  $\alpha^{-1}(x_k) = x_k$  for  $k = m + 1, \dots, p$ . This completes the proof of the Lemma.

LEMMA 4. If, for  $1 \leq m < n, c \geq 2$ , every IA-system  $\{x_1v_1, x_2, \dots, x_m\}$  with  $v_1 \in \gamma_2(M_n)$  can be lifted (via  $\gamma_{c+1}(M_n)$ ) to a primitive system of  $M_n$  then every IA-system  $\{x_1u_1, \dots, x_mu_m\}$  with  $u_i \in \gamma_2(M_n)$  can be lifted (via  $\gamma_{c+1}(M_n)$ ) to a primitive system of  $M_n$ .

PROOF. By induction on  $m \geq 1$ . For  $m = 1$  there is nothing to prove. By the induction hypothesis  $\{x_2u_2, \dots, x_mu_m\}$  can be lifted (via  $\gamma_{c+1}(M_n)$ ) to a primitive system of  $M_n$ , so by Lemma 2 it can be lifted to a primitive IA-system. Thus, there is an IA-automorphism  $\alpha \in \text{Aut}(M_n)$  and  $w_i \in \gamma_{c+1}(M_n)$  such that  $\alpha: x_iu_iw_i \rightarrow x_i, i = 2, \dots, m$  and  $\alpha: x_1u_1 \rightarrow x_1v_1, v_1 \in \gamma_2(M_n)$ . Clearly,  $\alpha$  transforms the system  $\{x_1u_1, \dots, x_mu_m\}$  to  $\{x_1v_1, x_2w_2, \dots, x_mw_m\}$ ,  $w_i \in \gamma_{c+1}(M_n)$ . Thus the problem reduces to lifting (via  $\gamma_{c+1}(M_n)$ ) of a system of the form  $\{x_1v'_1, x_2, \dots, x_m\}$ ,  $v'_1 \in \gamma_2(M_n)$ , to a primitive system of  $M_n$  which, by hypothesis, is the case.

As a corollary to Lemmas 3 and 4 we obtain the following important lemma.

LEMMA 5. If, for any  $c \geq 2$  and  $1 \leq m < n$ , every IA-system  $\{x_1v_1, x_2, \dots, x_m\}$ , with  $v_1 \in \gamma_c(M_n)$ , can be lifted (via  $\gamma_{c+1}(M_n)$ ) to a primitive system of  $M_n$  then every IA-system  $\{x_1u_1, \dots, x_mu_m\}$  with  $u_i \in \gamma_2(M_n)$  can be lifted (via  $\gamma_{c+1}(M_n)$ ) to a primitive system of  $M_n$ .

LEMMA 6. For each  $p \in \Delta^{c-2}(M_n), c \geq 3$ , the system  $\mathbf{g} = \{g_1, \dots, g_n\}$  with  $g_1 = x_1[x_1, x_2]^p[x_2, x_3]^{(x_2-1)^p}, g_3 = x_3[x_1, x_2]^{-p^2}[x_2, x_3]^{p-(x_2-1)p^2}, g_i = x_i, i \neq 1, 3$ , forms a basis for  $M_n$ . (Notation:  $[x_i, x_j]^{g+h} = [x_i, x_j]^g[x_i, x_j]^h$ ).

PROOF. By Lemma 1 it suffices to show that the Jacobian matrix  $J(\mathbf{g})$  of the given system  $\mathbf{g}$  is invertible over  $\mathbf{ZA}$ . Indeed, it is easily seen that with  $\pi = \theta p$  (under  $\theta: \mathbf{ZM} \rightarrow$

**ZA**), the matrix  $J(\mathbf{g})$  has the form,

$$\begin{bmatrix} 1 + (a_2 - 1)\pi & * & -(a_2 - 1)^2\pi & 0 \dots 0 \\ 0 & 1 & 0 & 0 \dots 0 \\ -(a_2 - 1)\pi^2 & * & 1 - (a_2 - 1)\pi + (a_2 - 1)^2\pi^2 & 0 \dots 0 \\ \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 1 \ 0 \\ 0 & 0 & 0 & 0 \ 1 \end{bmatrix}$$

The determinant of  $J(\mathbf{g})$  is easily seen to be 1, so  $J(\mathbf{g})$  is invertible.

We now establish primitive lifting in  $M_n$  of a single element of  $M_{n,c}$ .

**THEOREM A.** *Let  $g$  be an arbitrary element of  $M_n$ ,  $n \geq 3$ , such that  $g$  is primitive modulo  $\gamma_{c+1}(M_n)$ ,  $c \geq 2$ . Then  $g$  can be lifted (via  $\gamma_{c+1}(M_n)$ ) to a primitive element of  $M_n$ .*

**PROOF.** Using a tame automorphism of  $M_n$ , if necessary, we may assume that  $g$  is of the form  $g = x_1 u$ ,  $u \in M'_n$ . By Lemma 5 we may further assume that  $u \in \gamma_c(M_n)$  and write  $g$  as:

$$g = x_1 \prod_{2 \leq i \leq n} [x_1, x_i]^{p_i} \prod_{1 < i < j \leq n} [x_i, x_j]^{q_{ij}}$$

where  $p_i, q_{ij} \in \Delta^{c-2}(M_n)$ . Define  $\mathbf{h} = \{h_1, \dots, h_n\}$  with  $h_1 = x_1 \prod_{1 < i < j \leq n} [x_i, x_j]^{q_{ij}}$ ,  $h_i = x_i$ ,  $i \neq 1$ . Then the Jacobian  $J(\mathbf{h}) = (\partial h_i / \partial x_j)$  is of the form

$$\begin{bmatrix} 1 & * & * & \dots & * & * \\ 0 & 1 & 0 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & & \vdots & \vdots \\ 0 & 0 & 0 & \dots & 1 & 0 \\ 0 & 0 & 0 & \dots & 0 & 1 \end{bmatrix}$$

which is clearly invertible. Thus, by Lemma 1 there is an automorphism  $\beta \in \text{Aut}(M_n)$  which maps  $h_i$  to  $x_i$  for all  $i$ . Modulo  $\gamma_{c+1}(M_n)$ ,  $g\beta \equiv x_1 \prod_{2 \leq i \leq n} [x_1, x_i]^{p_i}$  and it suffices to prove that  $g = x_1 \prod_{2 \leq i \leq n} [x_1, x_i]^{p_i}$  can be lifted (via  $\gamma_{c+1}(M_n)$ ) to a primitive element of  $M_n$ . For each  $i \neq 1$ , choose  $j \neq 1, i$ , and consider the system  $\{h_{i1}, \dots, h_{in}\}$  with

$$h_{i1} = x_1 [x_1, x_i]^{p_i} [x_i, x_j]^{(x_i-1)^{p_i}}, \quad h_{ij} = x_j [x_1, x_i]^{-p_i} [x_i, x_j]^{p_i (x_i-1)^{p_i}},$$

$h_{ik} = x_k$ ,  $k \neq 1, j$ . Then there is a tame automorphism  $\tau_i \in \text{Aut}(M_n)$  which maps  $x_1$  to  $x_1$ ,  $x_i$  to  $x_2$  and  $x_j$  to  $x_3$ . This automorphism transforms the system  $\{h_{i1}, \dots, h_{in}\}$  to a system of the form  $\{g_1, \dots, g_n\}$  where

$$\begin{aligned} g_1 &= x_1 [x_1, x_2]^p [x_2, x_3]^{(x_2-1)^p}, \\ g_3 &= x_3 [x_1, x_2]^{-p^2} [x_2, x_3]^{p-(x_2-1)p^2}, \\ g_i &= x_i, \quad i \neq 1, 3, \quad p \in \Delta^{c-2}(M_n). \end{aligned}$$

By Lemma 6 the system  $\{g_1, \dots, g_n\}$  is a basis for  $M_n$ . Thus there is an automorphism  $\alpha_i \in \text{Aut}(M_n)$  such that  $\alpha_i(x_1 [x_1, x_i]^{p_i}) \equiv x_1 \pmod{\gamma_{c+1}(M_n)}$ . By successive applications, we obtain  $\alpha_2 \dots \alpha_n(x_1 \prod_{2 \leq i \leq n} [x_1, x_i]^{p_i}) \equiv x_1 \pmod{\gamma_{c+1}(M_n)}$ . This completes the proof of the theorem.

For the general case, we prove the following.

**THEOREM B.** For  $n \geq 4$  and  $m \leq n - 2$ , every primitive system  $\mathbf{g} = \{g_1, \dots, g_m\} \pmod{\gamma_{c+1}(F_n)F''}$  can be lifted (via  $\gamma_{c+1}(F_n)F''$ ) to a primitive system of  $F_n$ .

**PROOF.** Note that a system  $\mathbf{g} = \{g_1, \dots, g_m\}$  is primitive  $\pmod{\gamma_c(F_n)F''}$  if and only if there is an automorphism  $\tau \in \text{Aut}(F_n)$  such that  $\{g_1\tau, \dots, g_m\tau\}$  is of the form  $\{x_1u_1, \dots, x_mu_m\}$ ,  $u_i \in F'_n$ . Thus without loss of generality we can assume that  $\mathbf{g} = \{x_1u_1, \dots, x_mu_m\}$ ,  $u_i \in F'_n$ . When  $n \geq 4$ , every automorphism of  $F/F''$  is tame (Bachmuth and Mochizuki [2], Roman'kov [8]). It suffices, therefore, to prove that for  $m \leq n - 2$  every IA-system  $\mathbf{g} = \{x_1u_1, \dots, x_mu_m\}$ ,  $u_i \in M'_n$ , can be lifted (via  $\gamma_{c+1}(M_n)$ ) to a primitive system of  $M_n$ . The case  $m = 1$  follows from Theorem A. For  $m \geq 2$ , we consider an arbitrary IA-system  $\mathbf{g} = \{x_1u_1, x_4u_4, \dots, x_{m+2}u_{m+2}\}$  of  $m$  elements. By Lemma 5 we may further assume that  $\mathbf{g}$  is of the form  $\{x_1v_1, x_4, \dots, x_{m+2}\}$ , where  $v_1 \in \gamma_c(M_n)$ . As in the proof of Theorem A we may transform the system so that  $x_1v_1$  assumes the form:

$$x_1v_1 = x_1 \prod_{2 \leq i \leq n} [x_1, x_i]^{p_i} \text{ with } p_i \in \Delta^{c-2}(M_n).$$

By Lemma 6,

$$\{x_1[x_1, x_2]^{p_2}[x_2, x_3]^{(x_2-1)p_2}, x_2, x_3[x_1, x_2]^{-p_2^2}[x_2, x_3]^{p_2-(x_2-1)p_2^2}, x_4, \dots, x_n\}$$

is a basis for  $M_n$ , which proves that  $\{x_1[x_1, x_2]^{p_2}, x_4, \dots, x_{m+2}\}$  can be lifted to a primitive system of  $M_n$ . Further, by Lemma 6, for each  $i > 3$ , the system  $\{x_1[x_1, x_i]^{p_i}[x_i, x_3]^{(x_i-1)p_i}, x_2, x_3[x_1, x_i]^{-p_i^2}[x_i, x_3]^{p_i-(x_i-1)p_i^2}, x_4, \dots, x_n\}$  is a basis for  $M_n$  and for  $i = 3$ , the system

$$\{x_1[x_1, x_3]^{p_3}[x_3, x_2]^{(x_3-1)p_3}, x_2[x_1, x_3]^{-p_3^2}[x_3, x_2]^{p_3-(x_3-1)p_3^2}, x_3, \dots, x_n\}$$

is a basis for  $M_n$ . Thus there exist automorphisms  $\alpha_i \in \text{Aut}(M_n)$  such that with  $\alpha = \alpha_2 \dots \alpha_n$ , we obtain  $\pmod{\gamma_{c+1}(M_n)}$  the congruences  $\alpha(x_1 \prod_{2 \leq i \leq n} [x_1, x_i]^{p_i}) \equiv \alpha x_1$ ,  $\alpha(x_i) \equiv x_i$ ,  $i \neq 1, 2, 3$ . Thus  $\{x_1v_1, x_4, \dots, x_{m+2}\}$ , where  $v_1 \in \gamma_c(M_n)$ , can be lifted (via  $\gamma_{c+1}(M_n)$ ) to a primitive system of  $M_n$  and consequently, by Lemma 5,  $\{g_1, g_4, \dots, g_{m+2}\}$  can be lifted (via  $\gamma_{c+1}(M_n)$ ) to a primitive system of  $M_n$ .

**REMARK C.** For each  $n \geq 3$  there exists an IA-system of  $n - 1$  elements of  $M_{n,c}$  which cannot be lifted (via  $\gamma_{c+1}(M_n)$ ) to a primitive system of  $M_n$ . Thus the restriction  $m \leq n - 2$  in Theorem B cannot be relaxed.

**DETAILS.** Choose  $g_1 = x_1[x_1, x_3, x_3]$ ,  $g_i = x_i$ ,  $i \neq 1, 3$ . We show that for any choice of  $g_3 = x_3u$ ,  $u \in M'_n$ , and any choice of elements  $w_i \in \gamma_4(M_n)$ ,  $i = 1, \dots, n$ , the Jacobian matrix  $J(\mathbf{g})$  of the system  $\mathbf{g} = \{g_1w_1, \dots, g_nw_n\}$  is not invertible. The matrix  $J(\mathbf{g})$  has the form:

$$\begin{bmatrix} 1+(a_3-1)^2+\pi_{11} & \pi_{12} & -(a_1-1)(a_3-1)+\pi_{13} & \dots & \pi_{1,n-1} & \pi_{1n} \\ \pi_{21} & 1+\pi_{22} & \pi_{23} & \dots & \pi_{2,n-1} & \pi_{2n} \\ \pi_{31^*} & \pi_{32^*} & 1+\pi_{33^*} & \dots & \pi_{3,n-1^*} & \pi_{3n^*} \\ \vdots & \vdots & \vdots & & \vdots & \vdots \\ \pi_{n1} & \pi_{n2} & \pi_{n3} & \dots & \pi_{n,n-1} & 1+\pi_{nn} \end{bmatrix}$$

where each  $\pi_{ij} \in \Delta^3(A)$  and  $\pi_{3i^*} \in \Delta(A)$ . If  $J(\mathbf{g})$  is invertible then it remains invertible under the endomorphism mapping  $a_3$  to  $a_3$  and  $a_i$  to 1 for each  $i \neq 3$ . Since, for any  $i$ ,  $\sum_{j=1}^n (\partial w_i / \partial x_j)(a_j - 1) = \sum_{j=1}^n \pi_{ij}(a_j - 1) = 0$ , it follows that  $\pi_{i3}$  gets mapped to 0 under the above endomorphism. Thus the resulting matrix  $J(\mathbf{g})^*$  is of the form

$$\begin{bmatrix} 1 + (a_3 - 1)^2 + \pi'_{11} & \pi'_{12} & 0 & \pi'_{1,n-1} & \pi'_{1n} \\ \pi'_{21} & 1 + \pi'_{22} & 0 & \pi'_{2,n-1} & \pi'_{2n} \\ \pi'_{31^*} & \pi'_{32^*} & 1 & \pi'_{3,n-1^*} & \pi'_{3n^*} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ \pi'_{n1} & \pi'_{n2} & 0 & \pi'_{n,n-1} & 1 + \pi'_{nn} \end{bmatrix}$$

where  $\pi'_{ij} \in \Delta^3\langle a_3 \rangle$  and  $\pi'_{3i^*} \in \Delta\langle a_3 \rangle$ . The determinant of  $J(\mathbf{g})^*$  is of the form  $1 + (a_3 - 1)^2 + (a_3 - 1)^3\pi$  and if it is invertible then we must have  $1 + (a_3 - 1)^2 + (a_3 - 1)^3\pi = a_3^k$  for some  $k \in \mathbf{Z}$ . Working modulo  $\Delta^2\langle a_3 \rangle$  shows that  $k$  must be zero, so that  $(a_3 - 1)^2 + (a_3 - 1)^3\pi = 0$  which, however, is not possible in the cyclic group ring  $\mathbf{Z}\langle a_3 \rangle$ .

**Primitive lifting in  $F_3$  of a single element.** Let  $g = x_1u, u \in \gamma_c(M_3)$ . By Theorem A,  $g$  can be lifted (via  $\gamma_{c+1}(M_3)$ ) to a primitive element of  $M_3$ . Since  $M_3$  admits wild automorphisms (Chein [4]), lifting  $g$  to a primitive element of  $F_3$  does not follow instantly as was the case for  $n \geq 4$ . For simplicity of notation we let  $M = M_3$  be generated by  $x, y, z$ . In preparation we first prove,

**THEOREM D.** *Every IA-element of the form  $g = x[y, z]^{p(x,y,z)}$  can be lifted (via  $F_3^M$ ) to a primitive element of  $F_3$ .*

**PROOF.** The proof consists in exhibiting a tame automorphism of  $M$  which maps  $x$  to  $x[y, z]^{p(x,y,z)}$ . For each  $i, j, k \in \mathbf{Z}$ , consider the tame automorphisms  $\alpha_{jk}$  and  $\beta_i$  of  $M$  given by  $\alpha_{jk} = \{x \rightarrow x[y, z]^{y^jz^k}, y \rightarrow y, z \rightarrow z\}$ ,  $\beta_i = \{x \rightarrow x, y \rightarrow x^{-i}yx^i, z \rightarrow x^{-i}zx^i\}$ , and define the tame automorphism  $\delta_{ijk} = \beta_i^{-1}\alpha_{jk}\beta_i$ . It is easy to see that each  $\delta_{ijk}$  is of the form  $\delta_{ijk} = \{x \rightarrow x[y, z]^{x^iy^jz^k}, y \rightarrow y^u, z \rightarrow z^u\}, u \in M'$ . If  $\delta_{i'j'k'}$  is also of the form  $\delta_{i'j'k'} = \{x \rightarrow x[y, z]^{x^{i'}y^{j'}z^{k'}}, y \rightarrow y^{u'}, z \rightarrow z^{u'}\}, u' \in M'$ , then we see that  $\delta_{ijk}\delta_{i'j'k'} = \{x \rightarrow x[y, z]^{x^iy^jz^k+x^{i'}y^{j'}z^{k'}}, y \rightarrow y^{u''}, z \rightarrow z^{u''}\}$ . Since  $p(x, y, z)$  is a  $\mathbf{Z}$ -linear sum of group elements of the form  $x^iy^jz^k, i, j, k \in \mathbf{Z}$ , it follows that there is a tame automorphism  $\mu \in gp\{\delta_{ij}, i, j, k \in \mathbf{Z}\}$  which has the form  $\mu = \{x \rightarrow x[y, z]^{p(x,y,z)}, y \rightarrow y^w, z \rightarrow z^w\}, w = w(x, y, z) \in M'$ . This completes the proof of the theorem.

We can now prove the following main result of this section.

**THEOREM E.** *Every primitive element of  $M_{3,c}, c \geq 2$ , can be lifted (via  $\gamma_{c+1}(M)F^M$ ) to a primitive element of  $F_3$*

**PROOF.** We may assume that  $c \geq 3$  (the case  $c = 2$  being trivial) and by Lemma 5 that the given primitive element  $g$  has the form  $g = xu, u \in \gamma_c(M)$ . Since  $u$  is of the form

$$u = [y, z]^{q(x,y,z)}[x, y, z]^{p(x,y,z)}[x, z]^{r(x,y,z)}[x, y, z]^{s(x,y,z)},$$

with  $q(x, y, z) \in \Delta^{c-2}(M)$  and  $p(x, y, z), p'(x, y, z), p''(x, y, z) \in \Delta^{c-3}(M)$ , it suffices to prove that each of the elements of the form  $x[y, z]^{q(x,y,z)}, x[x, y, y]^{p(x,y,z)}, x[x, y, z]^{p(x,y,z)}$ , with  $q(x, y, z) \in \Delta^{c-2}(M)$  and  $p(x, y, z) \in \Delta^{c-3}(M)$  can be lifted (via  $\gamma_{c+1}(M)$ ) to primitive elements of  $F_3$ .

Primitive lifting of  $x[y, z]^{q(x,y,z)} \pmod{\gamma_{c+1}(M)}$  follows from Theorem D. For primitive lifting of  $x[x, y, y]^{p(x,y,z)} \pmod{\gamma_{c+1}(M)}$  we only need to establish a tame automorphism of  $M$  which maps  $x$  to  $x[x, y, y]^{p(x,y,z)} \pmod{\gamma_{c+1}(M)}$ . Indeed, for the given  $p(x, y, z) \in \Delta^{c-3}(M)$  we choose, using proof of Theorem D, a tame automorphism  $\mu$  of  $M$  given by  $\mu = \{x \rightarrow x[y, z]^{-p(x,y,z)}, y \rightarrow y^w, z \rightarrow z^w\}$ ,  $w = w(x, y, z) \in M'$  and a tame automorphism  $\lambda = \{x \rightarrow x, y \rightarrow y, z \rightarrow z[x, y]\}$  of  $M$ . Then modulo  $\gamma_{c+1}(M)$  we observe that

$$\begin{aligned} \mu(x) &= x[y, z]^{-p(x,y,z)}, \\ \lambda(\mu(x)) &= x[y, z[x, y]]^{-p(x,y,z)} \equiv x[x, y, y]^{p(x,y,z)}[y, z]^{-p(x,y,z)}, \\ \mu^{-1}(\lambda(\mu(x))) &\equiv x[x, y, y]^{p(x,y,z)}. \end{aligned}$$

Also,  $\mu^{-1}(\lambda(\mu(y))) \equiv y \pmod{M'}$ ,  $\mu^{-1}(\lambda(\mu(z))) \equiv z \pmod{M'}$ . Thus  $u\lambda\mu^{-1}$  has the required form:

$$\mu\lambda\mu^{-1} = \{x \rightarrow x[x, y, y]^{p(x,y,z)}, y \rightarrow yu, z \rightarrow zv\}$$

modulo  $\gamma_{c+1}(M)$ .

For primitive lifting of  $x[x, y, z]^{p(x,y,z)}$ , we choose  $\mu = \{x \rightarrow x[y, z]^{-p(x,y,z)}, y \rightarrow y^w, z \rightarrow z^w\}$ ,  $w = w(x, y, z) \in M'$ , as before and choose  $\rho = \{x \rightarrow x, y \rightarrow y[y, x], z \rightarrow z\}$ . Then, modulo  $\gamma_{c+1}(M)$ ,  $\mu\rho\mu^{-1}$  has the required form  $\mu\rho\mu^{-1} = \{x \rightarrow x[x, y, z]^{p(x,y,z)}, y \rightarrow yu, z \rightarrow zv\}$ . This completes the proof of Theorem E.

**Concluding Remarks.** Since every IA-automorphism of  $M_2$  is inner (Bachmuth [1]),  $g = x_1u$  can be lifted to a primitive element of  $M_2$  if and only if  $u$  is of the form  $[x_1, v]$ . Thus, for  $c \geq 3$ , not every primitive element of  $M_{2,c}$  can be lifted to a basis of  $M_2$ .

The existence of non-tame automorphisms of  $M_3$  was first shown by Chein [4]. Specifically, the automorphism  $\{x \rightarrow x[y, z, x, x], y \rightarrow y, z \rightarrow z\}$  of  $M_3$  cannot be lifted to an automorphism of the free group  $F_3$ . It is easily seen that every endomorphism in  $M_3$  of the form  $\{x \rightarrow x[y, z]^{p(x,y,z)}, y \rightarrow y, z \rightarrow z\}$  is an automorphism of  $M_3$ . So, for each  $p(x, y, z) \in \mathbf{Z}M_3$ , the element  $x[y, z]^{p(x,y,z)}$  is primitive in  $M_3$  and we call it a *Chein element* of  $M_3$ . By Theorem D, it follows that every Chein element of  $M_3$  can be lifted to a primitive element of  $F_3$ . It is natural to ask: can every primitive element of  $M_3$  be lifted to a primitive element of  $F_3$ ? Finally, by Timoshenko's results primitivity in  $M_n, n \geq 4$ , is algorithmically decidable. We conclude by asking: is primitivity in  $M_3$  algorithmically decidable?

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