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## RADIOCARBON DATING OF ORACLE BONES OF LATE SHANG PERIOD IN ANCIENT CHINA

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**ABSTRACT.** Oracle bone script developed into a sophisticated writing system in Shang Dynasty of China more than 3000 years ago. The systematic scientific dating of oracle bones had not been previously reported. Here we present radiocarbon (<sup>14</sup>C) dates measured from the sequential samples of oracle bones that pertain to the Shang kings. The results indicate that King Wu Ding (who is called Wu Ting in some literature) reigned during 1254 BC to 1197 BC, and the Shang Dynasty terminated around 1041 BC. It also points that the Li group in the sequence of oracle bones is most probably related to the time of King Wu Ding and Zu Geng.

**KEYWORDS:** China, Shang Dynasty, oracle bones, radiocarbon age calibration, radiocarbon dating.

### INTRODUCTION

The chronology of the Shang (商) Dynasty is the critical issue in the study of ancient Chinese history (e.g. Chang 1980; Keightley 1999). There is a large amount of historical literature about the Shang Dynasty, but it is difficult to obtain its absolute chronology. The earliest definite date recorded in Chinese historical literature is 841 BC, which was recorded in the book *Shiji* (史记) (*Records of the Historian*). From this year on, all major events were recorded annually in the *Shiji* and additional Chinese historical books compiled subsequently. However, the chronological data of ancient China before 841 BC is fragmented in ancient Chinese literature. For Xia, Shang, and Zhou Dynasties before 841 BC, the *Shiji* recorded only lists of kings with their genealogy, without the years of their reign. For more than two thousand years, Chinese and foreign scholars have attempted to settle the chronological issues of Xia-Shang-Zhou with astronomical methods. However, the results were as diverse as the different calendar used and the astronomical records selected for study.

Recently, some results of chronology of late Shang Dynasty have been reported. Zhang Peiyu carried out systematic research of the records concerning five lunar eclipses on the oracle bones and determined the date of Wu Ding's reign (Zhang 2002). Li Xueqin determined the last two kings' chronology according to the periodicity of sacrifice recorded on oracle bones and the calendar at that time (Li 2002). Qiu Shihua dated the Yinxu site, which is believed to be the capital of late Shang (Qiu 2015).

Dating oracle bones can provide an independent approach to determine the chronology of the late Shang period. The inscriptions on oracle bones give the valuable information about Shang and some even refer to the kings of Shang. By selecting specific oracle bones related to certain Shang kings the dating results will be more credible and valuable. Richard Gillespie had tried to date oracle bones with inscription showing Wu Ding's time period, but it was not very successful and only one radiocarbon (<sup>14</sup>C) age is acceptable (Gillespie 1997).

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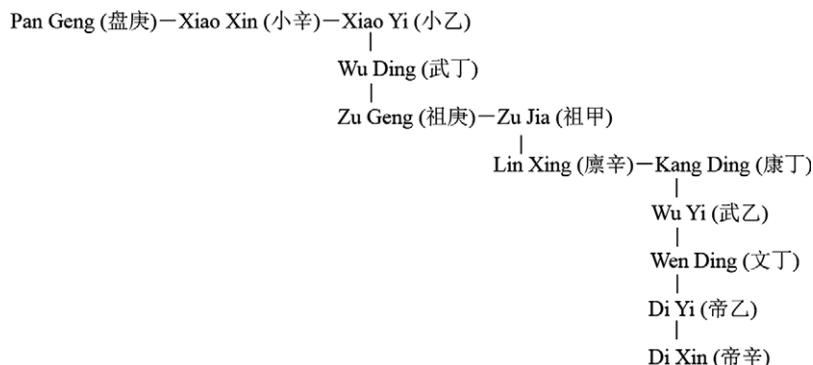


Figure 1 Kings' genealogy of late Shang dynasty. There are 12 kings of 8 generations who lived in the late Shang period, and the kings in the same line are brothers.

We took the bone samples for  $^{14}\text{C}$  dating from the precious oracle bones with the inscriptions, which have the clear chronological information. It is the first time those rare oracle bones are dated in series to establish the absolute chronology of the late Shang period. In our studies, oracle bone samples are collected from 9 major museums and institutions in mainland China. Their  $^{14}\text{C}$  ages are measured by AMS at Peking University with improved sample preparation (Yuan et al. 2000, 2007; Liu et al. 2000, 2007). It is noted that the calibration of the  $^{14}\text{C}$  determination usually gives a wide range of calendar age due to the irregular shape of the  $^{14}\text{C}$  calibration curve, but this issue can be resolved by the application of Bayesian method (Bronk Ramsey 1995, 2001).

## HISTORY OF THE RESEARCH ON ORACLE BONES

### Discovering of Oracle Bones

Oracle bone script is the earliest Chinese writing known to date (Boltz 2003; Keightley 2014). Inscribed oracle bones were unearthed from time to time by local farmers in areas around Anyang city in Henan province and they were used as an ingredient of traditional Chinese medicine known as dragon bones. In 1899 Wang Yirong, chancellor of the Imperial College of Qing (清) Dynasty, noted the markings on oracle bones as being comparable to the ancient Chinese characters inscribed on Zhou (周) Dynasty bronzes (Wilkinson 2000). Since then, similar inscribed oracle bones have been studied extensively.

Most oracle bones come from the site of Yinxu (Yin Ruins) near Anyang city, which was the capital of late Shang. In history, Yin is used as an alternate term for "late Shang". Oracle bones are made of ox scapula or turtle plastron for divination practiced mainly during the late Shang period. To date, a total over 150,000 late-Shang oracle bones have been discovered. More than 4500 different characters of oracle bone inscriptions are in existence, and about 1200 characters have been deciphered and recorded by the academic societies.

### Difficulties of Connecting Oracle Bone with the Shang Kings

Extensively studies of oracle bone inscriptions testify that these materials pertain mostly to the divination records of royal family in the late Shang era. As shown in the Figure 1, 12 kings of 8 generations had lived in the late Shang period, but nearly all of the oracle bones known to date

Table 1 Corresponding relationship of the five periods and nine groups of oracle bones vs. the kings of late Shang.

Period	King of late Shang	Group (excluding Li group)	Li group	
			Viewpoint 1	Viewpoint 2
1	Wu Ding (early)	Shi, Zi, Wu		
	Wu Ding (late)	Bin, He		Li-type 1
2	Zu Geng	Bin, He, Chu		Li-type 2
	Zu Jia	He, Chu, unnamed		
3	Ling Xin	He, unnamed		
	Kang Ding			
4	Wu Yi	He, unnamed	Li-type 2	
	Wen Din	Unnamed, Huang	Li-type 1	
5	Di Yi	Huang		
	Di Xin			

had belong to the last nine kings. On these bone objects, the reigning king was simply indicated as king while the former kings were referred by their posthumous names. The last character of each name of the former kings is one of the 10 celestial stems, such as Jia (甲), Yi (乙), Bing (丙), Ding (丁) and so on. One can think of the 10 stems like the numbers from 1 to 10 used to sequence and categorize items. Due to the fact that the quantity of Shang kings mentioned in the bone inscription exceeds that of the celestial stems, the names of the kings are differentiated with the addition of prefixes such as Da (greater), Xiao (lesser), Zu (ancestor). If only one appellation, such as grandfather Ding or father Jia or brother Xin, is showing in the inscriptions on one piece of oracle bone, the historical date of the oracle bone is difficult to determine due to the duplication of name.

One of the main tasks for oracle bone studies is to figure out the associations between the oracle bone pieces and the names of the kings in their inscriptions. Dong Zuobin in 1933 divided the oracle bones into five time periods according to 10 criteria, such as genealogy, appellation, diviners, stylistic features of inscribed characters, styles of calligraphy (Dong 1933; Keightley 1978). The five periods correspond with different kings, separately (Table 1). Chen Mengjia in 1949 divided the oracle bones into different groups on the basis of the diviners named on the bones (Chen 1951). Following similar ideas, Li Xueqin in 1981 divided them into nine groups, which are Bin (賓), Shi (𠄎), Zi (子), Wu (午), Chu (出), Li (历), unnamed (无名), He (何) and Huang (黄) (Li 1981).

### Corresponding Relation between Oracle Bone Groups and Their Periodization

The nine groups of oracle bones could be related to the five periods as shown in Table 1. Except for a few exceptions, most of the corresponding relationships of the nine groups and five periods of oracle bones have gained the consensus of scholars.

In fact, there was contention about the oracle bones of Shi, Zi and Wu groups at one time. In 1949, Dong Zuobin believed that Shi, Zi and Wu group oracle bones should have belonged to king Wen Din in the 4th Period of oracle bones (Dong 1949). Subsequently, most scholars accounted the Shi, Zi and Wu groups as belonging to King Wu Ding of the first Period. Furthermore, they maintained that those three groups and Bin group have a chronological

order, i.e. Shi, Zi and Wu groups belong to early and middle period of Wu Ding, while Bin group belongs to middle and late period of Wu Ding (Kaisuka and Ito 1953; Chen 1956; Xiao 1976; Li 1981; Xie 1981).

But scholars are still disputing the phase and date of Li group. It is believed that the character “Li,” which is present in the inscriptions on a number of oracle bones, is the name of a diviner. In 1928 Ming Yishi considered this specific group of oracle bones as belonging to the period of King Wu Ding or Zu Geng/Zu Jia (Chen 1951; Huang 2007). But both Dong Zuobin and Chen Mengjia believed that these bone artifacts were produced during King Wu Yi and Wen Ding’s time (Dong 1933; Chen 1951, 1956; Wilkinson 2000). In 1977 Li Xueqin proposed that these oracle bones can be classified as Li group and they should have belonged to the time of late Wu Ding to Zu Geng (Li 1977). Since then many scholars have been involved in this discussion, and the debates are regarding the content of divination, appellation, stylistic features of inscriptions, and stratigraphic data of oracle bones of Li group. People such as Xiao Nan (Xiao 1980, 1984), Zhang Yongshan and Luo Kun (Zhang and Luo 1980), Xie Ji (Xie 1982), Liu Yiman and Cao Dingyun (Liu and Cao 2011) believe that Li group belongs to Wu Yi and Wen Ding period, but others like Qiu Xigui (Qiu 1981), Li Xueqin and Peng Yushang (Li 1981; Peng 1983; Li and Peng 1996), Huang Tianshu (Huang 2007), Lin Yun (Lin 1984, 2013) suggest that Li group belongs to the period from late Wu Ding to Zu Geng.

### **Previous Attempt to Date Oracle Bones**

Richard Gillespie in 1997 published two dates for an oracle bone piece. These dates were produced with samples taken from the same ox scapula, which is obtained from the Institute of History and Philology, Taipei and is purportedly from the reign of Wu Ding. The samples were measured in two laboratories. The  $^{14}\text{C}$  age of sample OxA-2904 is  $2930 \pm 65$  BP, which is reasonable. However, the result of sample NZA-2257 is  $3170 \pm 96$  BP, which is unconvincingly older. The possible reason is that the contamination on the second sample had not been removed completely. He also mentioned that “Measurements were also made on some other oracle bones from the same period, but have been omitted from this report for technical reasons such as low collagen content or possible contamination” (Gillespie 1997). Owing to the difficulties in collecting scripted oracle bone samples systematically and removing contamination from such samples, the systematic  $^{14}\text{C}$  dating of oracle bones has not been carried out so far.

## **MATERIALS AND METHODS**

### **Sample Collection**

The unearthed oracle bones were mainly made from ox scapula and turtle plastron. The turtle plastron is not good for  $^{14}\text{C}$  dating due to the reservoir effect of water, which makes the measured ages of samples older than their real ages. So only ox scapulae are selected for our  $^{14}\text{C}$  dating.

In order to obtain suitable samples with useful information, strict rules of sampling were followed: (1) selecting the oracle bones inscribed with the appellations, such as father Ding, brother Geng etc., especially one piece having two or three such appellations that could offer useful references for the periodization of the bone objects; (2) selecting oracle bones with the records, which may give a connection between the oracle bone and a certain king, such as the records of specific lunar eclipse and cyclical sacrifices; (3) selecting oracle bones



Figure 2 An oracle bone (identification number Tun 2707) with inscription recording divinations. Its  $^{14}\text{C}$  age has been measured at Peking University (Table 5). The inscriptions include the appellations of grandfather Yi and father Ding, and this piece was classified as belonging to the Li group. The inscriptions state that the ruling King carried out divination activities in the ancestral temples of Da Yi, Da Jia, grandfather Yi and Father Ding; sacrifice to the former Kings descending from Shang Jia is carried out in order to ward off disasters that could possibly occur to the current King; the sacrificial animals used are white boars and bulls. The red rectangle in the photo image indicates the area for sampling after restoration. (Please see electronic version for color figures.)

recording important events or the diviners' names; and (4) selecting oracle bones excavated from sites in good archaeological contexts. A picture of selected oracle bone with inscription recording divinations in the late period of Shang Dynasty is shown in Figure 2.

About 100 oracle bone samples are collected from the Institute of Archaeology of CASS (Chinese Academy of Social Science), the Institute of History of CASS, the National Library of China, the National Museum, the Palace Museum, Shandong Museum, Tianjin Museum, Peking University and Jilin University for this study. About 1 g of bone material is sampled from each oracle bone piece. The sampling processes are conducted carefully to keep away from the inscriptions areas as well as the divination markings on the back of the oracle bones. After sampling, these oracle bones are repaired.

The oracle bone specimens selected for  $^{14}\text{C}$  dating are those whose group can be determined and which pertain to a Shang king. Thus, each of the samples is herein assign to one of the five periods of oracle bones.

Most of the oracle bones are identified by their serial numbers in the book *Compilation of Oracle Bone Inscription* (甲骨文合集) (Guo and Hu 1999). Others archaeologically excavated more recently but not included in that book, are assigned numbers according to

their respective archaeological sites. For example, the documentation system used for oracle bones excavated from village Xiao Tun is shown by a number preceded by a Chinese character *Tun*. A small quantity of oracle bones collected by the Institute of Archaeology, CASS are identified with the collection number of the Institute.

Besides inscribed oracle bones, two bone samples associated with the kings of the late Shang period are also collected for  $^{14}\text{C}$  dating. One of them is a bone-made hairpin excavated from the tomb of Fu Hao (妇好), the wife of King Wu Ding; the other is a piece of sheep bone excavated together with a bronze artifact, on which the inscription referring to the seventh year of King Di Xin (帝辛).

### Sample Preparation and Measurements

Sample preparation includes three steps. The first step is pretreatment, i.e. to remove the contamination and extract the effective dating component from the samples of oracle bones; the second is combustion, to oxidize the extracted component to  $\text{CO}_2$ , and the third step is reduction and graphitization, to convert the  $\text{CO}_2$  into graphite.

The selection process of components from bone is important for effectively obtaining accurate dating results of oracle bones. As past experiments have showed that gelatin is more reliable than collagen, gelatin is selected for dating oracle bones. Firstly, the collagen extracted from bone, decalcified with 0.5N HCl and subsequently soaked with 0.5N NaOH, and then gelatin was extracted from collagen by means of hydrolyzing with pH 2–3 HCl at 90°C. The resulting solution was filtered or centrifuged and then lyophilized to dryness (Yuan et al. 2000). During our earlier studies the results from some measurements came up with unconvincingly old age, which are 400–700 years older than the range of expected ages. Our investigation shows that these unacceptable ages have resulted from contaminations introduced from protective agents and adhesives during the conservation treatment for oracle bones at museums. We indeed found tripolymethacrylic, nitrocellulose lacquer and chain alkanes etc. in the oracle bone samples. So, we purified the contaminated oracle bone samples by ultrasonically cleaned bone samples, placing the material in 50 mL ground-glass stopped conical flasks, and rinsing the material with 30 mL each of tetrahydrofuran, trichloromethane, petroleum ether, acetone and methanol, respectively. The solutions were vibrated three times for 30 min each at middle vibration velocity (Yuan et al. 2007). This is a method originally developed by Bruhn et al. to get rid of contaminations on wood samples (Bruhn et al. 2001). After the oracle bone washed with different organic solvents, the gelatin and graphite were prepared by conventional process. There are 12 oracle bone samples with too little extracted gelatin, so graphite has not obtained for those samples.

Earlier  $^{14}\text{C}$  measurements of the oracle bones were carried out with EN-AMS at Peking University (PKU) (Liu et al. 2000), and subsequently conducted with a new NEC compact AMS at PKU (Liu et al. 2007). The measuring accuracy is usually between 0.3%–0.5%.

### Calibration

#### *Age Calibration and Bayesian Analysis*

Single sample calibration usually gives a wide time span of calendar age, which could be unacceptable for most studies of historical chronology. To narrow down the range of the calendar date probability distribution, Bayesian method was introduced into  $^{14}\text{C}$  calibration with series of samples by C.E. Buck and her colleagues in early 1990s (Buck et al. 1991).

OxCal is a typical calibration programs using Bayesian method (Bronk Ramsey et al. 1994), which was chosen for this work, and its latest version is OxCal v4.3.2 published in 2017 (Bronk Ramsey 2017).

To use the Bayesian method, the oracle bone samples have to be organized according to a model consisting of a series of sequential phases. The sequence of the phases corresponds to the chronological order of archaeological periods. The posterior probability distribution functions obtained after calibration are no longer the normal distributions. The highest posterior density credible interval of calendar dates is still quoted at 68% ( $1\sigma$ ) and 95% ( $2\sigma$ ), which means the shortest range including such a percentage of probability in the probability density function (Bronk Ramsey 2009). After calibration, each sample has an agreement index which is from the calculation to compare the calibration distribution of one sample with and without Bayesian modelling. On average, 1 in 20 agreement index values to drop below 60% might be expected, but if the index values are substantially lower or a large proportion fall below 60%, something internally inconsistent between the model and the data could have occurred (Bronk Ramsey 2009).

### *Single-Phase Calibration*

At times the single-phase calibration is also useful. A model of single phase including a certain number of samples with beginning and ending boundaries can give a good estimation to the date range of that phase after calibration. The result could be much better than the calibration of single sample.

### *Establishment and Adjustment of the Model OB*

Since the oracle bones taken for our study can be divided into five periods, a Bayesian model OB is constructed for calibration to encompass five sequential phases. Each oracle bone piece is verified its correspondence with the relevant time period of the king and the phase. The boundaries were set up at the beginning and the end of the sequence, and the intermediate boundaries were inserted between adjacent phases in the sequence.

Considering that the periodization of the oracle bones of Li group is still controversial, these 19 Li group objects are not included in our calibration model but are calibrated as single-phase separately for comparison.

As mentioned above, there has been a debate on the periodization of the Shi, Zi and Wu group oracle bones, so we checked it again. We carried out the single-phase calibration for Shi, Zi and Wu groups as well as the single-phase calibration for phase 4 oracle bones based on our measurements. Figure 3 shows the comparison, which reveals no overlapping between the  $1\sigma$  ranges of these two phases. Then we compared the ages of Shi, Zi and Wu groups and that of Bin group as showed in Figure 4. The result proves the groups' chronological order and agrees with the views of most scholars. So, Shi, Zi, and Wu groups are included in Phase 1 of model OB.

Because Wu Ding's reign is documented as having lasted for 59 years in Chinese historical texts without argument, we use the OxCal command Interval to set the span of the first phase as 59 years. The reign of other kings, however, are not clear.

The primary calibration results of the model indicate that the agreement indexes of some samples are conspicuously lower, which might be due to contamination. The samples with significantly lower agreement indexes were removed from the calibration model, so as to

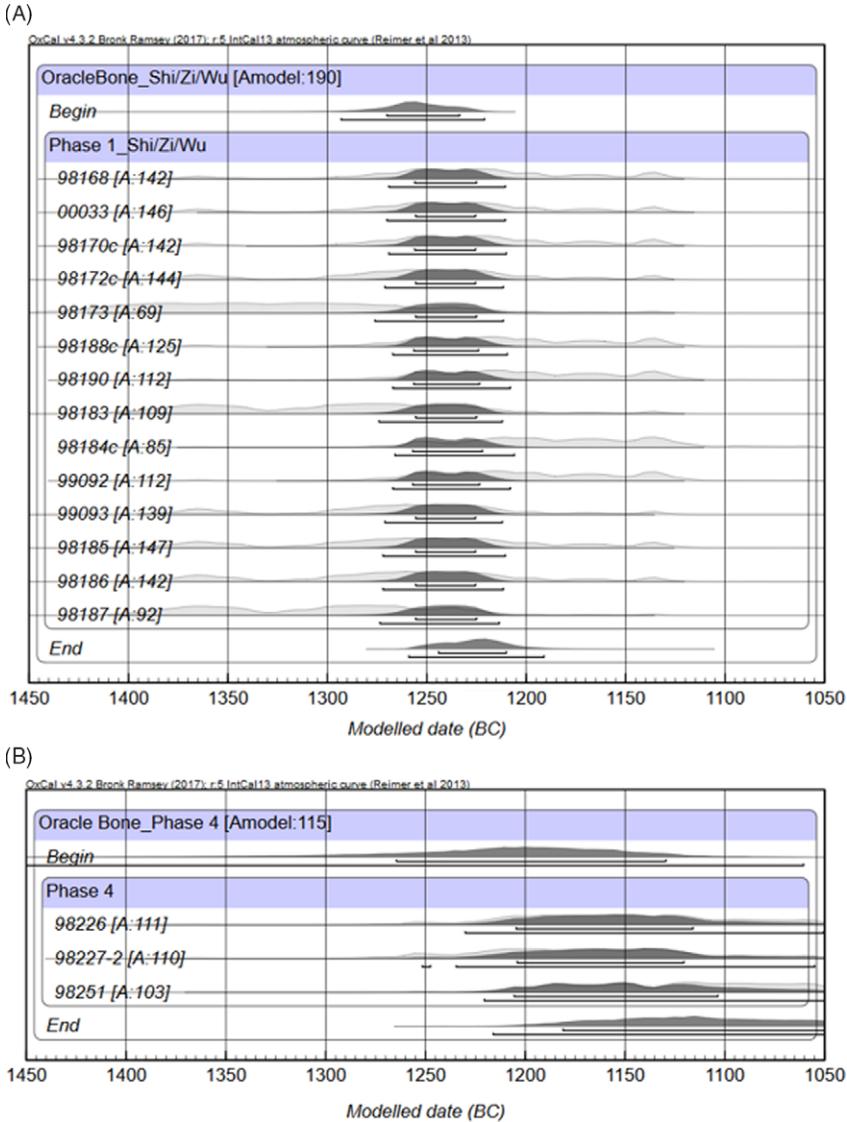
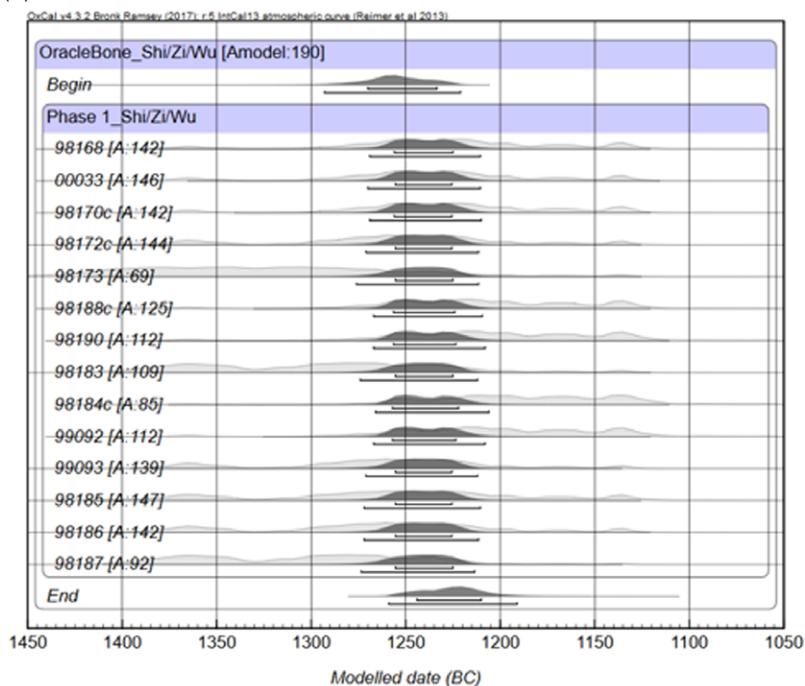


Figure 3 Comparison of the single-phase calibration results of Shi, Zi and Wu groups (A) and Phase 4 oracle bones (B). There is no overlap between the 68% ranges of the two single-phases.

make the percentage less than 5% for samples with agreement index lower than 60% but still higher than 50%.

After excluding the samples without enough graphite and the samples of Li group as well as removing the samples with unacceptable low agreement index, 50 samples are embedded in the model OB including 7 from Shi group, 3 from Zi group, 4 from Wu group, 11 from Bin group, 5 from Chu group, 1 from He group, 14 from unnamed group, 3 from Huang group, and two bone samples mentioned above.

(A)



(B)

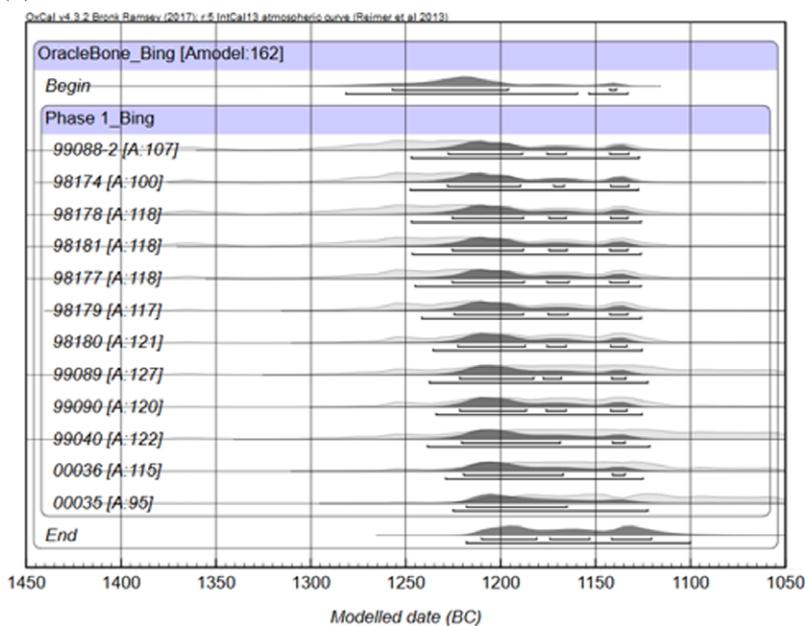


Figure 4 Comparison of the single-phase calibration results of Shi, Zi and Wu groups (A) and Bin group (B). The age of the two single-phases are quite closed, but the age of Shi, Zi and Wu groups is obviously older than the age of Bin group.

### *Fall-In Ratio Simulating Experiments*

To appropriately study the date range of a phase, the simulation using command `R_Simulate` was performed. The command `R_Simulate` provided by `OxCal` can produce a random  $^{14}\text{C}$  age according to the calibration curve from a particular calendar date with a given measuring error, so the real measurement can be simulated. By means of computer experiment we can check whether the setting date of truth value falls in the corresponding 68% or 95% calendar date range given by calibration. Unlike the actual measurement, in which case we do not know if the true age falls in the corresponding date range or not, the simulation process reveals the corresponding date range of true age. Thus, we can construct a simulated calibration model and set the true date of each sample by `R_Simulate` with simulated measuring error. After calibration we will know which samples' true date fall into the corresponding date range. But due to the statistical uncertainties, it is totally random whether the true date of a sample falls in the 68% or 95% range for a single calibration. Using the batch command of `OxCal` we can repeat such a calibration for several hundred or even a thousand times to obtain good statistic results, and to count the frequencies that the true date of each sample had fallen in the corresponding date range. Here we define the Fall-in Ratio (FIR) of a sample in 68% (or 95%) range as the percentage of the number obtained by the times that the true age had fallen in the corresponding range over the total number of simulations. If a straight-line calibration curve is used, all the posterior probability distributions are still Gaussian. In such a case our simulation showed that the FIR is identical to corresponding credible level 68% or 95%. But for the real calibration curve the date range will be expanded after calibration, and its breadth depends on the shape of the calibration curve. The FIR of the sample also becomes larger than the corresponding credible level with the real calibration curve. To perform the simulation experiments a model of sequenced 5 phases is constructed using `R_Simulate` command, and each phase includes 6 simulated samples in a 40-year span. The true ages of the samples are set to distribute evenly in the 40-year span. Simulation for that model with a real calibration curve corresponding to late Shang period has been carried out, and the results showed that the FIR in 68% range is actually higher than 80% or even reach 90% with the fluctuations and plateaus on the calibration curve.

Furthermore, the simulation showed that the calibrated 68% range of the samples in a phase agreed well with the setting true age interval of the phase, so during the real calibration the intervals of each phase usually are estimated by the superposition of the 68% date ranges of all the samples in that phase.

### *Stability and Reliability of the Calibration Results*

Due to the randomness that the command `R_Simulate` produces the  $^{14}\text{C}$  age, the main part of the probability distribution of a certain sample by single sample calibration may deviate from its true age, which will result in a low agreement index in the calibration of a model with sequential phases. Even so, the ranges of the calibrated ages of the sequential phases are not affected by the sample with low agreement index. Of course, we can also deliberately set a false true age, which is beyond the date range of its phase, then two situations could occur as following. One is to obtain a low agreement index, and we can reject it. The other is that its calibrated 68% date range may just coincide with the setting true age interval of the phase, and a high agreement index is obtained due to the randomness that the command `R_Simulate` produces the  $^{14}\text{C}$  age. This indicates that the structure of the sequential phases has high stability and fault-tolerance, and that the calibrated results of this structure by Bayesian method are reliable. Therefore, the data reliability with Bayesian method could be higher than that

Table 2 Oracle bone dating results.

Kings of Late Shang	Calibrated dates of oracle bones	
	Period of oracle bones	68% range (cal BC)
Wu Ding	Phase 1	1254–1197
Zu Geng and Zu Jia	Phase 2	1206–1177
Lin Xin and Kang Ding	Phase 3	1187–1135
Wu Yi and Wen Ding	Phase 4	1157–1110
Di Yi and Di Xin	Phase 5	1121–1041

calibrated as single sample, although its date range has been narrowed substantially. However, the above conclusion is true only when the following conditions are satisfied. Firstly, the model construction should be reasonable, which means that the prior of the relationship between samples should be known well. In particular, it is necessary to clearly understand which sample should belong to which phase. Secondly, the measured precision of the sample cannot be too low. It is preferable to have the measured precision of 0.4%–0.5% (30–40 yr) and the precision of 0.7% (55 yr) for individual sample is acceptable. The simulation experiments indicate that the calibration results will be unstable and unrepeatable if the measured precision of all the samples is 0.7%.

Even so, the calibration results could still be affected by the non-uniform distribution of the samples in a phase and the shape of the calibration curve in a particular section. The simulation indicated that about 10 years of uncertainty could have existed for the calibrated ranges of each phase, and for an individual boundary the uncertainty might be up to 20 years in an extreme case.

## RESULTS AND DISCUSSION

### Calibration Results of OB

The calibration results are summarized in Table 2, which indicates the chronological interval from King Wu Ding to King Di Xin is 1254 cal BC–1041 Cal BC. The calibration was performed with the use of OxCal v4.3.2 (Bronk Ramsey 2017) and calibration curve IntCal13 (Reimer et al. 2013). Detailed results are shown in Table 3 and Figure 5, and the overall Agreement index of the model is 239.5%. As mentioned above, the calibrated age within the 68% range is assigned to every sample in the model, and then the range, which superposed the 68% ranges of all the samples in a phase, is taken as the 68% range of that phase. Actually, the probability of true age falling in 68% range is higher than 80% or even reach 90%. Our simulation study showed that this is reasonable and reliable for a well-constructed model of sequential phases, but considering the impacts of various factors, the uncertainty of about 10 years possibly exists for the calibrated age of a phase.

### King Wu Ding's Ruling Time

A general question about the study of Shang Dynasty is the exact dates of King Wu Ding, who is the 23rd king of Shang Dynasty and also is the most powerful king of late Shang period. His reign lasted for 59 years according to historical literature *Wuyi* 无逸, which was written by Zhou Gong 周公 in around 1030 BC, and later on was included in *Shang Shu* 尚书 by

Table 3  $^{14}\text{C}$  ages of oracle bones and calibration results of model OB.

Phase	Description/ collection number*	Group	Lab no.	$^{14}\text{C}$ age (BP)	Calibrated age 68% range (cal BC)	Agreement index	
1	C10410	Shi	SA98168	2995±30	1252–1213	137.5%	
	C6846	Shi	SA00033	3000±30	1251–1215	138.2%	
	C6774	Shi	SA98170c	2995±30	1252–1213	137.4%	
	C19779	Shi	SA98172c	3010±30	1253–1218	133.6%	
	C2140	Shi	SA98173	3070±55	1254–1219	60.8%	
	C31997	Shi	SA98188c	2985±30	1251–1208	129.3%	
	C34120	Shi	SA98190	2980±30	1252–1206	122.4%	
	C21565	Zi	SA98183	3035±40	1254–1219	98.5%	
	A HN M99(3):2	Zi	SA98184c	2970±30	1252–1201	106.3%	
	C21739	Zi	SA99092	2980±30	1252–1206	122.4%	
	C22116	Wu	SA99093	3015±30	1253–1219	128.3%	
	C22184	Wu	SA98185	3010±40	1252–1216	137.1%	
	C22086	Wu	SA98186	3015±35	1253–1217	130.8%	
	A HN M99(3):1	Wu	SA98187	3040±35	1254–1221	83.1%	
	C9816	Bin	SA98174	2995±30	1252–1213	137.4%	
	C2869	Bin	SA00035	2930±30	1224–1197	56.4%	
	C3186	Bin	SA99088-2	2995±35	1252–1212	140.0%	
	C22594	Bin	SA99089	2955±45	1251–1201	100.9%	
	C3013	Bin	SA98177	2985±35	1251–1208	132.2%	
	C4122	Bin	SA98178	2990±40	1250–1210	138.7%	
	C6883	Bin	SA98179	2980±30	1252–1206	122.4%	
	C13329	Bin	SA99090	2965±30	1234–1200	98.3%	
	T910	Bin	SA98180	2970±30	1252–1201	106.2%	
	C21784	Bin	SA00036	2950±30	1232–1197	79.1%	
	C3089	Bin	SA98181	2990±40	1250–1210	138.8%	
	AXT M5	—	SA99040	2945±50	1250–1201	92.8%	
	2	C24610	Chu	SA00037	2970±30	1206–1177	120.1%
		C26766	Chu	SA98194	2955±55	1206–1178	135.6%
		C23340	Chu	SA99095	2940±35	1206–1178	122.0%
		C23536	Chu	SA99096c	2960±40	1206–1177	132.1%
C25015		Chu	SA98195c	2950±30	1206–1178	124.9%	
C27616		Unnamed	SA98218	2985±30	1206–1177	96.6%	
3	C35249	He	SA98200c	2970±30	1186–1138	114.6%	
	T173	Unnamed	SA98202	2960±30	1185–1141	124.2%	
	T1011	Unnamed	SA98203	2915±45	1186–1146	102.9%	
	T2294	Unnamed	SA98205	2975±40	1186–1139	119.3%	
	T2315	Unnamed	SA98206	2955±30	1186–1141	126.9%	
	T2557	Unnamed	SA98207	2960±30	1185–1141	124.2%	
	T2996	Unnamed	SA98208	2950±30	1187–1144	128.3%	
	T2209	Unnamed	SA98214c	2930±35	1186–1146	117.7%	
	T2263	Unnamed	SA98215	2975±30	1185–1137	107.1%	
	T2370	Unnamed	SA98216	2955±35	1186–1143	130.4%	
	C27633	Unnamed	SA98220	2965±35	1186–1140	125.0%	
	A K271A	Unnamed	SA98222	2915±30	1186–1146	83.5%	
	4	T647	Unnamed	SA98226	2945±35	1157–1113	118.1%
T2281		Unnamed	SA98227-2	2960±35	1155–1114	109.8%	
T3564		Huang	SA98251	2920±35	1156–1110	113.6%	

Table 3 (Continued)

Phase	Description/ collection number*	Group	Lab no.	<sup>14</sup> C age (BP)	Calibrated age 68% range (cal BC)	Agreement index
5	C35641	Huang	SA98253	2985±40	1120–1055	121.7%
	C36512	Huang	SA99097p1	2925±35	1120–1059	105.6%
	AG M1713	—	SA98167c	2845±35	1118–1041	65.5%
					Overall agreement	239.5%

\*C = Compilation of oracle bone inscription; T = Xiao Tun; A = Institute of Archaeology, CASS (collection number); AXT M5 = Tomb of Fu Hao; AG = Anyang Steel Plant; M = Tomb.

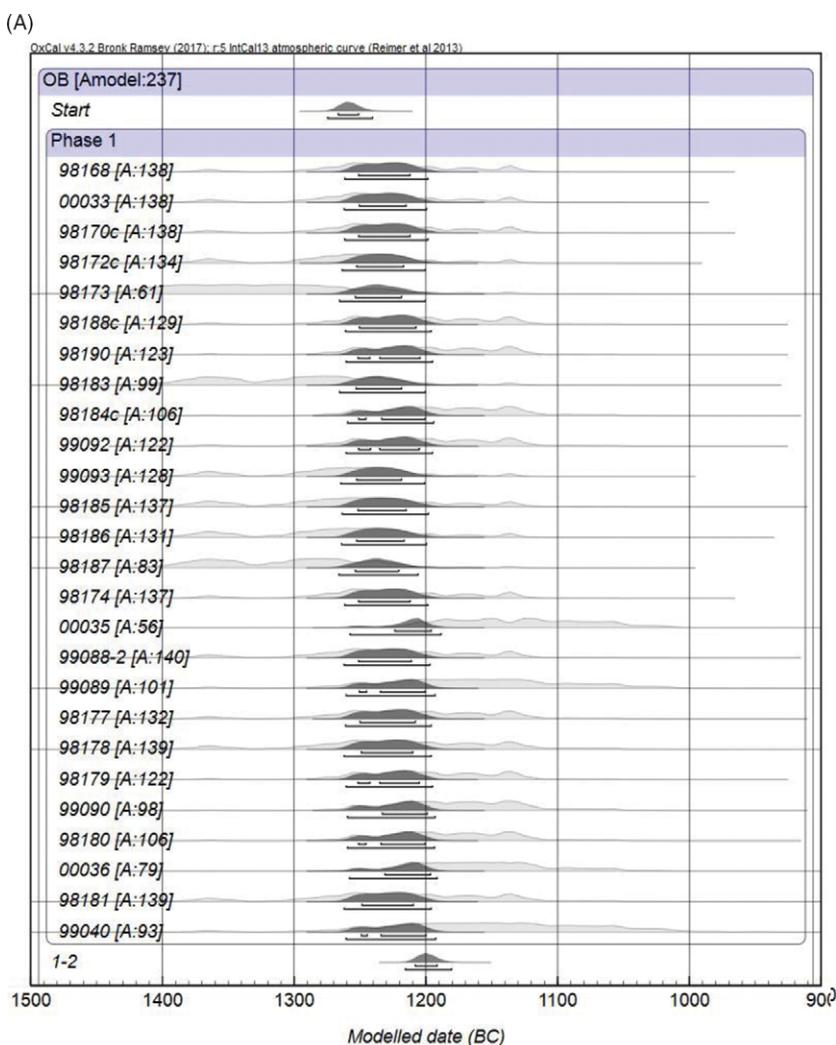


Figure 5A (Continued in Figure 5B) Oracle bone calibration results of model OB (Phase 1).

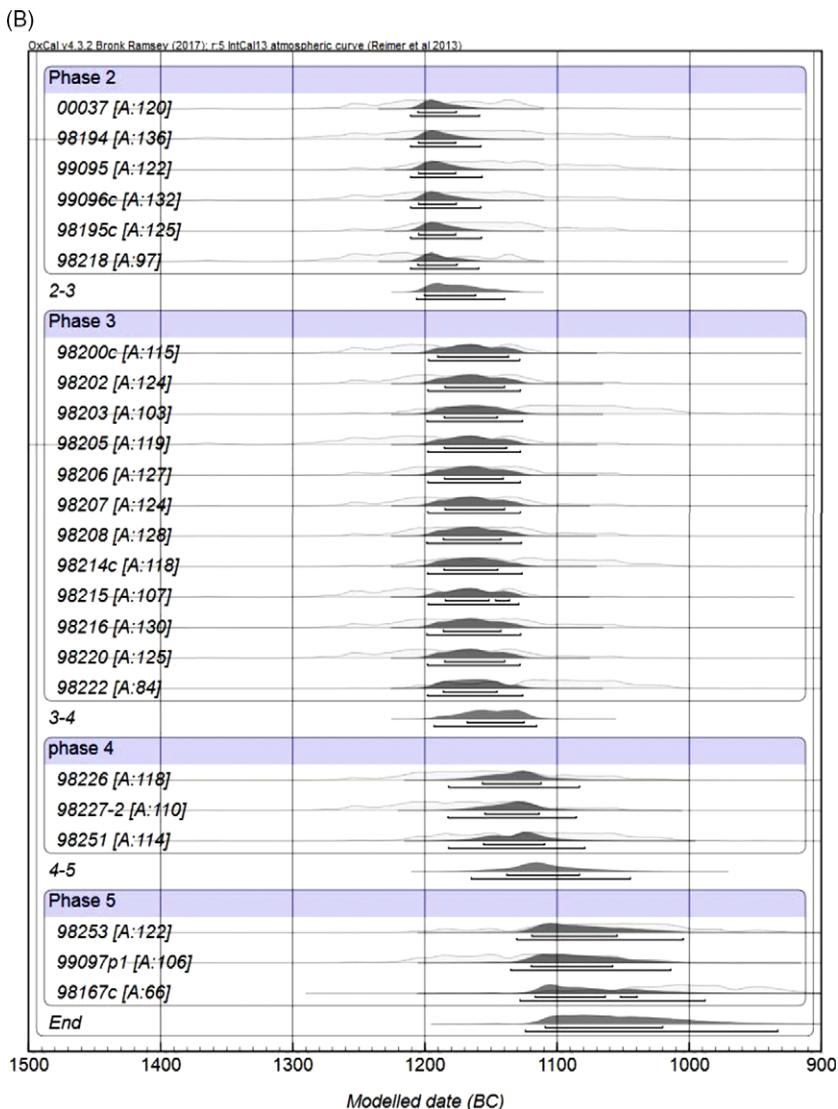


Figure 5B Continuation of Figure 5A. Oracle bone calibration results of model OB (Phases 2–5).

Konfucius (Keightley 1978; Chang 1980; Kong 2015). Although there is no disagreement about the total ruling years of Wu Ding, various dates for the beginning and the end year of his time in power have been proposed. The date of Wu Ding's reign has been determined by Zhang Peiyu as 1250 BC–1192 BC based on the systematic research of the records concerning five lunar eclipses on the oracle bones of the Bin group (Zhang 2002). Our calibration results of OB showed that Wu Ding ruled during 1254 Cal BC–1197 Cal BC (Table 2). We also performed the calibration for the samples of Wu Ding by a single-phase model, which shows similar results of 1254 cal BC–1196 cal BC as Wu Ding's ruling time. Considering the 10-year uncertainty mentioned above, the  $^{14}\text{C}$  results of the ruling year of King Wu Ding are agree well with the results of astronomic researches.

### The End of Shang

A second general question about Shang Dynasty is the year of conquest of Shang by King Wu of Zhou Dynasty. A Western Han scholar named Liu Xin (? –AD 23) dated this year as 1122 BC using *Santong* calendar, a calendar written by himself. Since then, at least 44 different hypotheses about the year of the conquest, ranging from 1130 BC to 1018 BC have been proposed. Li Xueqin et al. suggest that this event occurred in 1046 BC based on a comprehensive research in combination with the  $^{14}\text{C}$  dating results of various sites, the astronomical calculation based on the records sorting out after the textual researches, and the calendric chronology deduced from the inscriptions on Western Zhou bronzes (Li 2002). The calibration results of OB indicate that the end of the fifth phase of oracle bones is around 1041 cal BC (Table 1). This matches the result of 1046 BC in which year the Western Zhou was established (Li 2002).

The calibration results of oracles bones in this study also coordinate well with the  $^{14}\text{C}$  dating results from the archaeological sites Fengxi and Yinxu. Fengxi, a site in Shaanxi province, was King Wu's capital prior to his victory over Shang. The cultural deposits at Fengxi site formed during the time periods of Pre-Zhou, early Western Zhou, middle Western Zhou, and late Western Zhou. The event of conquest should have taken place around the transition between pre-Zhou and early Western Zhou, which is 1060 cal BC–1000 cal BC according to the AMS  $^{14}\text{C}$  dating for Fengxi site conducted at PKU (Guo et al. 2002). Yinxu site is in Henan province in which the Xiao Tun (小屯) village represents the main area that yielded most of the oracle bones of Yin or late Shang period known to date. According to the excavated oracle bones, pottery and bronze artifacts, the time span of Yinxu site is divided into four periods. A Series of samples taken from the four periods, mainly the human bones from the burials, were measured by Qiu et al. (2015). The result turned out that the terminus of the fourth period of Yinxu is around 1040 cal BC, which corresponds to the final year of the fifth phase of oracle bones in our study.

### The Periodization of Li Group Oracle Bones

As mentioned above, there are two viewpoints on the periodization of Li group oracle bones. Someone thought that they should belong to King Wu Yi and Wen Ding's time, i.e. phase 4 of OB. But others believed that they should belong to the time period from Wu Ding to Zu Geng, i.e. phase 1 to phase 2 of OB.

The oracle bones of Li group are divided into two categories according to the king names in the inscriptions, type 1 (Father Yi) and type 2 (Father Ding). Different opinions expressed about these oracle bones led to different order of type 1 and type 2. Some suggest that Li group belong to the fourth period and that the Father Yi is Wu Yi (divined by Wen Ding) and the Father Ding is Kang Ding (divined by Wu Yi). Therefore, the oracle bones of type 2 should be older than type 1. Others, instead, propose that the oracle bones of type 1 belong to the first period and Father Yi is actually Xiao Yi (divined by Wu Ding), and that the oracle bones of type 2 belong to the second period while Father Ding is Wu Ding (divined by Zu Geng). Therefore, the oracle bones of type 1 should be older than type 2.

The dating and calibration results of 14 oracle bones of Li group are listed in the Tables 4 and 5. The  $^{14}\text{C}$  dating results of oracle bones of Li group may help to clarify those chronological issues through a comparison of the calibrated ages of type 1 and type 2. We compared the calibrated results of type 1 and type 2 by the single-phase model with the results of Phase 2 and Phase 4 of

Table 4 Single-phase calibration results of Li group-type 1 oracle bones.

Phase	Description/collection number	Group	Lab no.	<sup>14</sup> C age (BP)	Calibrated age 68% range (cal BC)	Agreement index
Li-type 1	C34240	Li-type 1	SA98242c	3045±30	1307–1228	101.3%
	A T8(3):148	Li-type 1	SA98246	3025±40	1302–1221	115.8%
	C32764	Li-type 1	SA98248	3005±30	1289–1220	108.6%
					Overall agreement	115.0%

Table 5 Single phase calibration results of Li group-type 2 oracle bones.

Phase	Description/collection number	Group	Lab no.	<sup>14</sup> C age (BP)	Calibrated age 68% range (cal BC)	Agreement index
Li-type 2	T601	Li-type 2	SA98229	2995±30	1225–1170	112.0%
	T994	Li-type 2	SA98230	2950±45	1228–1171	118.7%
	T1116	Li-type 2	SA98231	2990±45	1236–1135	126.0%
	T2366	Li-type 2	SA98232	2985±30	1235–1135	117.9%
	T2707	Li-type 2	SA98233c	2930±30	1221–1172	89.5%
	T636	Li-type 2	SA98235	2990±30	1236–1135	114.6%
	T1090	Li-type 2	SA98237c	3010±35	1242–1136	97.4%
	T1115	Li-type 2	SA98239c	2935±30	1222–1172	96.0%
	T1128	Li-type 2	SA98240	3005±35	1241–1135	104.2%
	C32780	Li-type 2	SA98241	2995±30	1238–1135	109.8%
	T503	Li-type 2	SA98243	2985±30	1235–1135	118.0%
					Overall agreement	132.7%

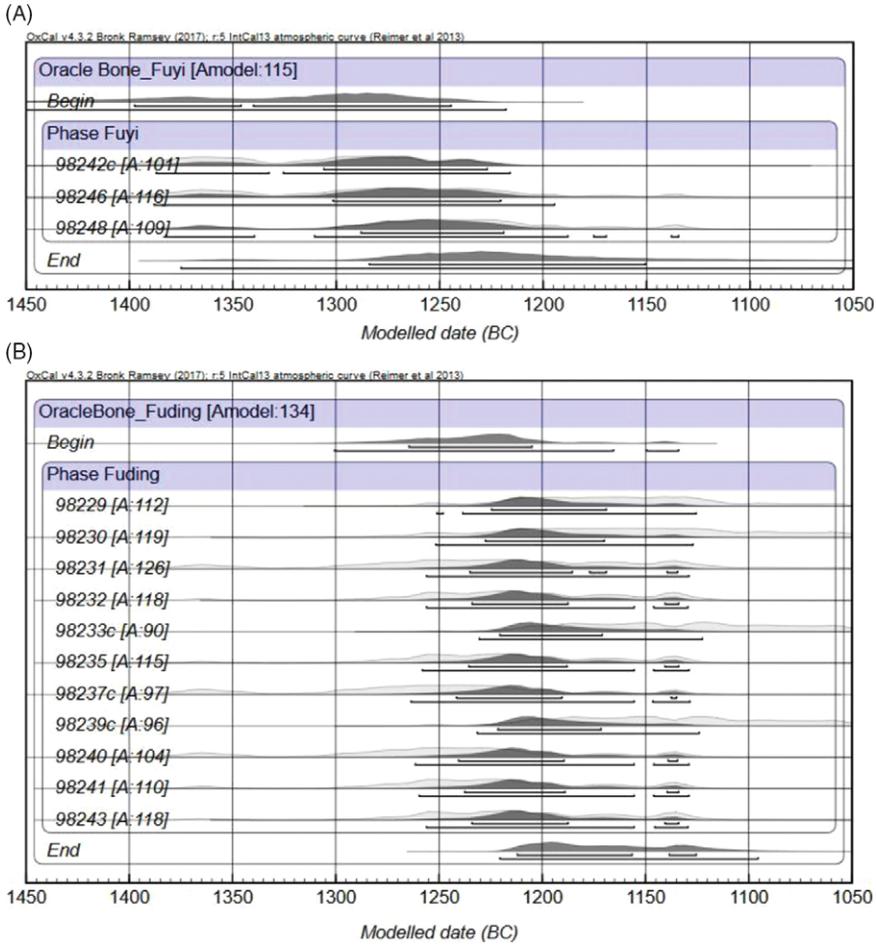


Figure 6 Calibrated date comparison of the oracle bones of Li groups type 1 (A) and type 2 (B). The plots of type 1 (Father Yi) and type 2 (Father Ding) are calibration results of single-phase model.

OB model. There are 3 oracle bone samples of type 1 and 11 oracle bone samples of type 2. It is evident that the dates of type 1 are older than those of type 2, which is shown in Figure 6. On the other hand, the time span of type 2 overlaps with that of the Phase 2 of OB and older than that of the Phase 4 of OB, see Figure 7. In this case, the opinion that of the oracle bones of Li group as belonging to the first and second periods rather than fourth period is consistent with our current <sup>14</sup>C dating results.

### CONCLUSION

The chronology of late Shang period has been studied by several different means, such as cultural phasing and <sup>14</sup>C dating of Yinxu site, calculating the dates of lunar eclipses recorded on oracle bones by astronomical method, determining the chronicle of last two kings according to the periodicity of sacrifice and the calendar at that time, etc. However, dating the oracle bone can provide an independent chronological sequence of late Shang, which is directly connected with the chronicles of Shang kings.

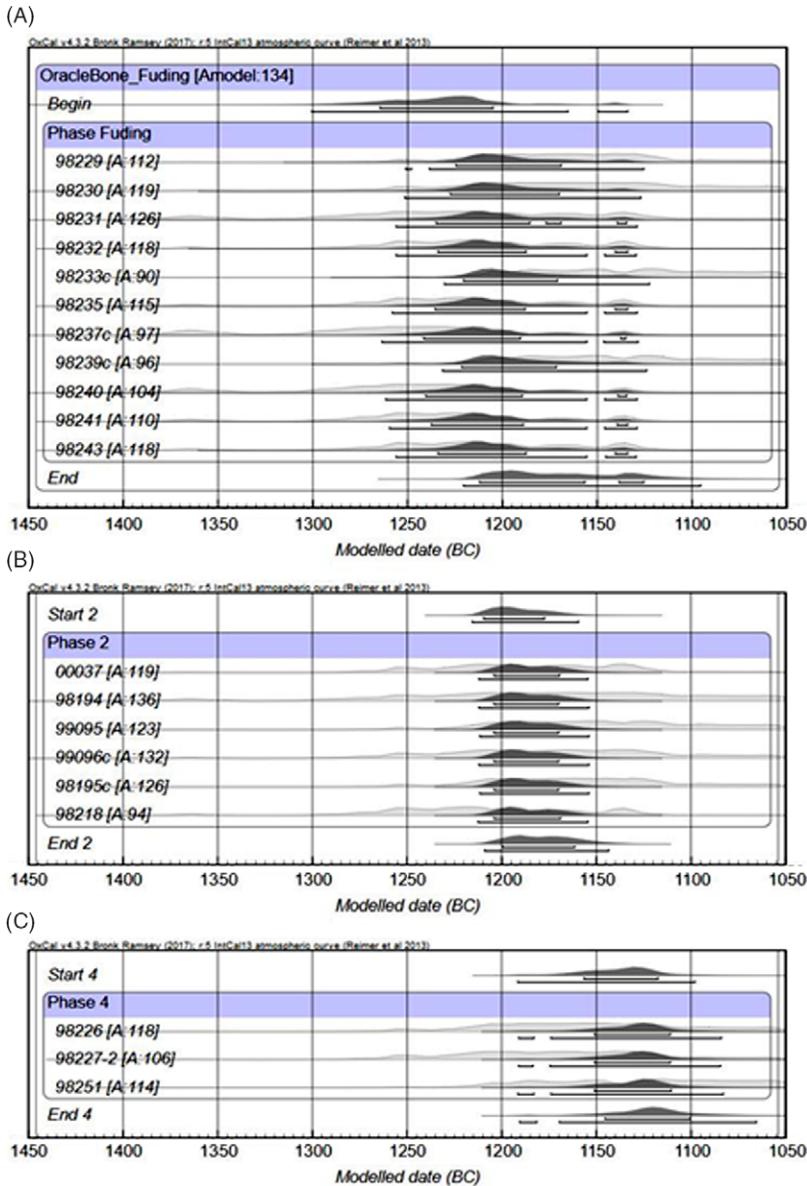


Figure 7 Calibrated date comparison of the oracle bones of Li group type 2 (A) with Phase 2 (B) and Phase 4 (C) of OB. The plot of type 2 (Father Ding) is calibration results of single-phase model, and the plots of Phase 2 and Phase 4 are part of the calibration plot of whole series OB (Figure 5B).

That is because oracle bones have been studied extensively, and some oracle bones can be related to certain Shang kings and then be included in one of the five periods, if the inscriptions on those bones could supply enough information. By selecting such kind of oracle bones, the calibration model with five phases can be constructed for Bayesian analysis. Our dating results indicate that King Wu Ding reigned during 1254 cal BC to

1197 cal BC, and the Shang Dynasty was terminated around 1041 cal BC. The possible other Shang king's reign duration was also given.

There were some contentions about the correspondence of oracle bone groups and their periodization among the researchers of oracle bones. Direct  $^{14}\text{C}$  dating of related oracle bones and using single-phase calibration might give valuable reference information. Our study showed that Shi, Zi and Wu groups belong to the early and middle period of Wu Ding, while Bin group belongs to the middle and late period of Wu Ding. For the Li group of oracle bones, our results indicated that the dates of type 1 (Father Yi) are older than those of type 2 (Father Ding), and the time span of type 2 overlaps with that of the Phase 2 of OB but is older than that of the Phase 4 of OB. So the Li group in the sequence of oracle bones is most probably related to the time of King Wu Ding and King Zu Geng.

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