

## KRONECKER CLASSES OF FIELD EXTENSIONS OF SMALL DEGREE

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### Abstract

The structure of Kronecker class of an extension  $K : k$  of algebraic number fields of degree  $|K : k| \leq 8$  is investigated. For such classes it is shown that the width and socle number are equal and are at most 2, and for those of width 2 the Galois group is given. Further, if  $|K : k|$  is 3 or 4, or if  $5 \leq |K : k| \leq 8$  and  $K : k$  is Galois, then the groups corresponding to all “second minimal” fields in  $\mathcal{K}$  are determined.

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### 1. Introduction

The study of Kronecker classes of algebraic number fields has led to several questions about covering properties of subgroups of a finite group. We examine these and obtain information about the structure of a Kronecker class over  $k$  which contains a field  $K$  such that the extension  $K : k$  has small degree.

For an algebraic number field  $k$  and a finite extension  $K$  of  $k$  the *Kronecker set*  $D(K|k)$  of  $K$  over  $k$  is defined as the set of all prime ideals of the ring of integers of  $k$  having a prime divisor of relative degree 1 in  $K$ . Following Jehne [3], we define two finite extensions of  $k$  to be *Kronecker equivalent* relative to  $k$  if their Kronecker sets over  $k$  have finite symmetric difference. The equivalence classes of this relation are called *Kronecker classes*. In [3, §2] it is shown that all the minimal fields in a Kronecker class

$\mathcal{K}$  relative to  $k$  have the same Galois hull (normal closure)  $M$  say over  $k$ ; the field  $M$  is called the *Galois hull*  $M(\mathcal{K})$  of  $\mathcal{K}$  and the Galois group  $G(\mathcal{K})$  of  $M : k$  is called the *Galois group of  $\mathcal{K}$* . Thus there are a finite number of minimal fields in  $\mathcal{K}$  and the number of  $G(\mathcal{K})$ -classes of minimal fields in  $\mathcal{K}$  is called the *width of  $\mathcal{K}$*  and denoted  $\omega_k(\mathcal{K})$ . The set  $\mathcal{M}(\mathcal{K})$  of intermediate subfields of  $M(\mathcal{K}) : k$  which lie in  $\mathcal{K}$  can be considered as a graph with respect to inclusion; this is called the *socle graph of  $\mathcal{K}$*  and the number of  $G(\mathcal{K})$ -classes in  $\mathcal{M}(\mathcal{K})$  is called the *socle number of  $\mathcal{K}$*  and is denoted  $\mu_k(\mathcal{K})$ . For example, if a Kronecker class  $\mathcal{K}$  over  $k$  contains a minimal field  $K$  such that  $K : k$  is Galois, then  $M(\mathcal{K}) = K$  and  $\mu_k(\mathcal{K}) = \omega_k(\mathcal{K}) = 1$ . In fact the socle graph consists of just a single vertex. In the first part of this paper we consider Kronecker classes  $\mathcal{K}$  containing a minimal field  $K$  such that the degree of  $K : k$  is at most 8 and show that  $\mu_k(\mathcal{K}) = \omega_k(\mathcal{K}) \leq 2$ ; in the case of width 2 Kronecker classes  $\mathcal{K}$ , the group  $G(\mathcal{K})$  and the conjugacy classes of the fixed groups of the two classes of minimal fields of  $\mathcal{K}$  are determined.

**THEOREM 1.** *Suppose that a Kronecker class  $\mathcal{K}$  relative to  $k$  contains a field  $K$  such that the extension  $K : k$  has degree  $n \leq 8$ . Then  $\mu_k(\mathcal{K}) = \omega_k(\mathcal{K})$  is 1 or 2. In the case where  $\mathcal{K}$  has width 2 one of the following holds, where  $U$  and  $V$  are the fixed groups of  $K$  and of a representative  $L$  of the second class of minimal fields in  $\mathcal{K}$  respectively.*

- (a)  $n = 5$ ,  $G(\mathcal{K}) = A_5$ ,  $U \simeq A_4$ ,  $V \simeq S_3$ , so  $|L : k| = 10$ .
- (b)  $n = 7$ ,  $G(\mathcal{K}) = \text{PSL}(2, 7)$ ,  $U \simeq V \simeq S_4$ ,  $U$  and  $V$  are not conjugate in  $G(\mathcal{K})$ , and  $|L : k| = 7$ .
- (c)  $n = 8$ ,  $|L : k| = 8$ , and one of the following holds:
  - (i)  $G(\mathcal{K}) = \text{GL}(2, 3)$ ,  $U \simeq V \simeq S_3$ , and  $U$  and  $V$  are not conjugate in  $G(\mathcal{K})$ ;
  - (ii)  $G(\mathcal{K})$  is the holomorph of a cyclic group of order 8,  $U$  and  $V$  are both elementary abelian of order 4 but are not conjugate in  $G(\mathcal{K})$ ;
  - (iii)  $G(\mathcal{K}) = \mathbb{Z}_2 \text{ wr } C = \mathbb{Z}_2^4 \cdot C$  where  $C = \mathbb{Z}_4$  or  $\mathbb{Z}_2 \times \mathbb{Z}_2$ ,  $U \simeq V \simeq \mathbb{Z}_2^3$  with both  $U$  and  $V$  contained in the base group of the wreath product, but with  $U$  and  $V$  not conjugate in  $G(\mathcal{K})$ .

The Kronecker equivalence of two extensions  $L$  and  $K$  of  $k$  is equivalent to a group theoretic condition; namely if  $M : k$  is a Galois extension containing  $L$  and  $K$  as intermediate fields and if  $G$  is the Galois group of  $M : k$  and  $U, V$  are the fixed groups of  $K$  and  $L$  respectively, then it is shown in [3, §1] that  $K$  and  $L$  are Kronecker equivalent over  $k$  if and only if  $U^G = V^G$  where, for a subgroup  $H$  of  $G$ ,  $H^G$  denotes the set theoretic union  $\bigcup_{g \in G} H^g$ . This group theoretic condition is studied in Section 2;

the group theoretic analogue, Theorem 2, of Theorem 1, is proved there and Theorem 1 is deduced from it. A similar result to Theorem 2 was obtained independently by N. Klingens in [7, Theorem 3.2]. In his paper the result is exploited to yield information about a variety of decomposition laws for number fields, where Kronecker equivalence corresponds to possessing the same weak decomposition law. In this paper the result is used to explore further the structure of Kronecker classes containing small degree extensions. A field  $L$  in a Kronecker class  $\mathcal{K}$  over  $k$  is called a *second minimal field* in  $\mathcal{K}$  if  $k < L' < L$  for some  $L' \in \mathcal{K}$  and  $L$  is minimal with respect to this property. For Kronecker classes containing a Galois extension  $K : k$  of degree at most 8, the second minimal fields are completely determined: namely for each second minimal field  $L$  the Galois group of the Galois hull  $\tilde{L} : k$  of  $L$  is determined together with the fixed groups of  $L$  and  $K$ . This was done in [9, 10] for  $K : k$  of degree 4 or 8. There are no second minimal fields when  $|K : k| = 2$  by [12], and the degrees 3, 5, 6 and 7 are dealt with in Theorem 3.3. The case of non-Galois extensions is considerably more complicated computationally. Section 3 contains a discussion of the general problem, and then determines the Galois group of the Galois hull  $\tilde{L} : k$  of each second minimal  $L$  in  $\mathcal{K}$  in the cases  $n = 3, 4$ . The results for  $n = 3$  and  $n = 4$  may be summarised as follows.

**THEOREM 3.** *Suppose that a Kronecker class  $\mathcal{K}$  relative to  $k$  contains a field  $K$  such that the extension  $K : k$  has degree  $n = 3$  or  $n = 4$ . Then the only minimal fields in  $\mathcal{K}$  are conjugates of  $K$ , and either  $\mathcal{K}$  consists entirely of the algebraic conjugates of  $K$ , or  $\mathcal{K}$  contains exactly one class of second minimal fields and there is a field  $L$  in this class containing  $K$ ; further  $L$  and the Galois group  $\tilde{G}$  of the Galois hull  $\tilde{L}$  of  $L : k$  is such that one of the following holds.*

- (a)  $n = 3$ ,  $K : k$  is Galois,  $|L : K| = 2$ , and  $\tilde{G}$  is  $A_4$ .
- (b)  $n = 4$ ,  $K : k$  is Galois,  $|L : K| = 3$ , and  $\tilde{G}$  is a Frobenius group of order 72.
- (c)  $n = 4$ ,  $K : k$  is not a Galois extension,  $|L : K| = 3$ , and  $\tilde{G}$  is a semidirect product  $S \cdot Q$ , where  $S$  is elementary abelian of order 9 and  $Q$  is a semidihedral group of order 16.

This theorem follows immediately from [9, Theorem 4.3], Theorem 3.3, and Lemmas 3.5 to 3.7. It has the following immediate corollary about Kronecker classes.

**COROLLARY.** *Let  $\mathcal{K}$  be a Kronecker class relative to  $k$  containing a non-Galois extension  $K$  of  $k$ . If either  $|K : k| = 3$ , or  $|K : k| = 4$  and the*

*Galois group of  $\mathcal{K}$  is  $A_4$  or  $S_4$ , then  $\mathcal{K}$  consists entirely of the algebraic conjugates of  $K$ ; that is, the decomposition law of  $K$  over  $k$  is absolutely rigid in the sense of Klengen [7].*

Note that in these situations  $K$  was known to be “rigid” over  $k$  by [6], and this result establishes the stronger property of absolute rigidity.

Moreover if  $\mathcal{K}$  contains an extension  $K : k$  of degree  $n$  and has Galois group  $A_n$  with  $n > 5$ , or  $S_n$ , then Guralnick [2] showed that  $K$  is absolutely rigid over  $k$ . Theorems 1 and 3 show that  $K$  is not absolutely rigid in the cases where  $n$  is 3 or 5 and the Galois group is  $A_n$ . Thus we have a complete solution to the problem of absolute rigidity in the case of alternating or symmetric Galois groups.

### 2. Covering properties of subgroups of small index

Suppose as in Theorem 1, that a Kronecker class  $\mathcal{K}$  over  $k$  contains a minimal field  $K$  with  $|K : k| = n \leq 8$ . If  $K : k$  is Galois then  $\mathcal{K}$  has width and socle number 1 so assume that  $K : k$  is not Galois and let  $M$  be the normal closure of  $K : k$ , that is,  $M = M(\mathcal{K})$  is the Galois hull of  $\mathcal{K}$ . Then if  $A = G(\mathcal{K})$  is the Galois group of  $M : k$  and  $U$  is the fixed group of  $K$ , the  $A$ -core of  $U$ ,  $U_A = \bigcap_{a \in A} U^a$ , is trivial and hence  $A$  acts faithfully and transitively by right multiplication as a permutation group on the set  $\Omega = [A : U]$  of  $n$  right cosets of  $U$  in  $A$ . If  $L \in \mathcal{K}$  and  $L \leq M$  then the fixed group  $V$  of  $L$  in  $A$  is such that  $U^A = V^A$ . We determine all possibilities for  $V$  in the following Theorem 2.

**THEOREM 2.** *Suppose that  $A$  is a transitive permutation group of degree  $n \leq 8$  and that the stabilizer  $U$  of a point is nontrivial. Suppose also that  $V$  is a subgroup of  $A$  such that  $U^A = V^A$ . Let  $t = |A : V|$ . Then one of the following holds.*

- (a)  $U$  and  $V$  are conjugate in  $A$ , so  $t = n$ .
- (b)  $n = 5$ ,  $t = 10$ ,  $A = A_5$ ,  $U = A_4$ ,  $V = (S_2 \times S_3) \cap A_5 \simeq S_3$ .
- (c)  $n = 6$ ,  $t = 3$ ,  $A \simeq A_4$ ,  $U = Z_2$ ,  $U < V = Z_2 \times Z_2 < A$ .
- (d)  $n = t = 7$ ,  $A = \text{PSL}(2, 7)$  and  $U \simeq V \simeq S_4$  with  $U$  and  $V$  in different conjugacy classes.
- (e)  $n = t = 8$  and one of the following holds:
  - (i)  $A = \text{GL}(2, 3)$ ,  $U \simeq V \simeq S_3$ , and  $V$  has orbits of lengths 2, 3, 3;
  - (ii)  $A$  is a holomorph of a cyclic group of order 8,  $U \simeq V$  are elementary abelian of order 4, and  $V$  has orbits of lengths 2, 2, 4;

(iii)  $A = \mathbb{Z}_2 \text{ wr } \mathbb{Z}_4$  preserving the partition  $\{12|34|56|78\}$ , and  $U = \langle (34), (56), (78) \rangle$  and  $V = \langle (12), (34)(56), (34)(78) \rangle$  are both elementary abelian of order 8;

(iv)  $A = \mathbb{Z}_2 \text{ wr } (\mathbb{Z}_2 \times \mathbb{Z}_2)$  preserving the partition  $\{12|34|56|78\}$  with  $U$  and  $V$  as in (iii).

It is easy to deduce Theorem 1 from Theorem 2. Clearly  $\mu_k(\mathcal{K}) = \omega_k(\mathcal{K}) = 1$  unless one of (b) to (e) of Theorem 2 holds. In case (c) the group  $U$  does not correspond to a minimal field in  $\mathcal{K}$ . In cases (b), (d) and (e) there are  $\mu_k(\mathcal{K}) = \omega_k(\mathcal{K}) = 2$  classes of intermediate fields of  $M : k$  in  $\mathcal{K}$  and Theorem 1 follows. This result was obtained independently by Klingens [7, Theorem 3.2] (see also [4, 5, 6]). The proof in [7] makes use of a computer verification of certain properties whereas the proof given here does not require a computer.

**PROOF OF THEOREM 2.** The set  $U^A = V^A$  is a union of conjugacy classes of  $A$ . Since  $U$  contains a conjugate of a non-identity element of  $V$  we may replace  $V$  by one of its conjugates if necessary and assume that  $U \cap V \neq \{1\}$  and that  $|U \cap V|$  is maximal among the intersection sizes  $|U \cap V^a|$ , for  $a \in A$ . If  $G$  is  $A_n$  or  $S_n$  then, by [6],  $G = A_5$  and (b) is true, so we may assume that  $G$  is not  $A_n$  or  $S_n$ . Then since  $U \neq \{1\}$  we must have  $n \geq 4$ . If  $n = 4$ , then  $A$  is  $D_8$ , and as  $U^A = V^A$ , we obtain  $U = V$ . If  $n = 5$  then  $A$  is  $D_{10}$  or a Frobenius group  $F$  of order 20, and as  $U^A = V^A$ ,  $U = V$ . Assume now that  $n = 6$ . If  $A$  is primitive of degree 6 then  $A$  is  $\text{PGL}(2, 5)$  or  $\text{PSL}(2, 5)$  [13] and so  $U$  and hence  $V$  contains an element of order 5. If  $V$  fixes a point then  $V \leq U$  and in each case  $V = U$ . If  $V$  does not fix a point then  $V$  is transitive, and as 5 divides  $|V|$ ,  $V$  is 2-transitive of degree 6 and hence  $V \geq \text{PSL}(2, 5)$ . This means however that  $V$  contains an element of type  $3^2$  which contradicts the fact that  $V^A = U^A$ . Thus we may assume that  $A$  is imprimitive of degree 6. Assume first that  $A \leq S_3 \text{ wr } S_2$ . Then  $U \leq S_2 \times S_3$  and so neither  $U$  nor  $V$  contains elements of type  $3^2$ . In particular  $V$  is intransitive and does not have two orbits of length 3. If  $U$  and hence  $V$  contains an element of order 3 then  $V$  has an orbit of length 3 and  $V$  fixes a point; thus  $V \leq U$  and as  $U^A = V^A$  we obtain  $V = U$ . If 3 does not divide  $|U|$  then, as  $U$  is nontrivial,  $A = D_{12}$  and  $U = V \simeq \mathbb{Z}_2$ . Thus we may assume that  $A \leq S_2 \text{ wr } S_3 = B \cdot S_3$ , the group of permutations preserving the partition  $\{12|34|56\}$ , and that  $U \leq D_8$ , the stabilizer of the point 1. The stabilizer  $D_8$  of 1 contains permutations of types  $1^6, 2^1, 2^2$  (two classes), and  $4^1$  only. If  $V$  fixes a point then  $V \leq U$  and it is easily checked that  $V = U$ . (Recall that there are two classes in  $S_2 \text{ wr } S_3$  of permutations of

type  $2^2$ .) Assume then that  $V$  has no fixed points. Suppose that  $V$  contains an element  $g$  of type  $4^1$ , say  $g = (3456)$ . Then  $V$  has orbits  $\{1, 2\}$  and  $\{3, 4, 5, 6\}$ . Now  $V$  must contain an element  $(12)h$  and hence also  $(12)hg$  for some  $h \in \langle (3456), (35) \rangle$ , and as  $V$  contains no elements of types  $2^3$  or  $2^1 4^1$  we obtain a contradiction. Thus  $V$  contains no element of type  $4^1$  and hence  $U$  and  $V$  are elementary abelian. Suppose now that  $V$  contains an element  $g$  of type  $2^1$ , say  $g = (12)$ . Now  $V$  contains an element  $h$  that moves the point 5 and not both of  $h$  and  $gh$  can have type  $2^1$ . Hence  $V$  contains an element  $h$  of type  $2^2$  moving the point 5. Since  $V$  contains no elements of type  $2^3$  and since  $h$  centralizes  $g$  we must have  $h = (12)(56)$  so that  $V$  contains  $(56)$ . Similarly  $V$  contains  $(34)$  and hence  $V$  contains  $(12)(34)(56)$  which is a contradiction. Thus  $U$  and  $V$  contain elements of types  $1^6$  and  $2^2$  only. As  $V$  fixes no points,  $|V| \geq 4$ . If  $V$  has an orbit of length 4 it must act regularly on it and hence there are elements of type  $2^1$  or  $2^3$  in  $V$  which is not allowed. Thus  $V$  has three orbits of length 2 and  $|V| = 4$ . It follows that  $V = A \cap B = B \cap A_6$ ,  $A = V \cdot \mathbb{Z}_3 \simeq A_4$  and  $\mathbb{Z}_2 \simeq U < V$ .

If  $n = 7$  then  $A$  is  $\text{PSL}(2, 7)$ , or is a Frobenius group of order dividing 42 (see [13]). In the latter case  $V = U$  since  $V^A = U^A$ . If  $A = \text{PSL}(2, 7)$  then  $U \simeq S_4$  contains permutations of types  $2^2$ ,  $3^2$  and  $2^1 4^1$ , and it follows that the  $V$ -orbits have lengths 1, 6 or 3, 4. In the former case  $V \leq U$  and as  $V$  contains elements of orders 3 and 4,  $V = U$ . In the latter case also  $V \simeq S_4$  and is not conjugate to  $U$ . Thus we may assume that  $n = 8$ . If  $A$  is  $\text{PSL}(2, 7)$  or  $\text{PGL}(2, 7)$  then  $U$  is a Frobenius group of order 21 or 42 respectively and we must have  $V = U$ . If  $A$  is primitive with a regular normal subgroup  $N$  then by [13], 7 divides  $|U|$  and  $|V|$ . In this case if  $V$  is transitive then either  $V$  contains  $N$  or  $A = \text{AGL}(3, 2) > V = \text{PSL}(2, 7)$  by [11], and so  $V$  contains a fixed point free element, contradicting  $U^A = V^A$ . Thus  $V$  fixes a point and so  $V \leq U$  and it follows that  $V = U$ . So we may assume that  $A$  is imprimitive.

Suppose first that a minimal block of imprimitivity for  $A$  has size 4. Then  $A \leq S_4 \text{ wr } S_2$  and 3 divides  $|A|$ . It follows that  $U$  and  $V$  contain an element of type  $3^2$ . If  $V$  fixes a point then  $V \leq U \leq S_3 \times S_4$  and it follows that  $V = U$ . So suppose that  $V$  does not fix a point. Since  $V$  contains an element of type  $3^2$  and  $V$  contains no fixed point free element,  $V$  has two orbits of length 4, namely the blocks of imprimitivity for  $A$ . If the stabilizer of a point in  $V$  fixes more than one point an easy counting argument shows that  $V$  contains an element with no fixed points. Hence the stabilizer in  $V$  of a point in one block is still transitive on the other block, so  $V$  contains  $O_2(S_4 \text{ wr } S_2)$  which contains a fixed point free element, a contradiction.

Finally assume that  $A$  has blocks of size 2 so that  $A \leq S_2 \text{ wr } S_4 = B \cdot S_4$ , the group of permutations preserving the partition  $\{12|34|56|78\}$ . We may assume that  $U$  is the stabilizer of the point 1. Suppose first that  $U \cap B = \{1\}$ . Then  $U \cong S_3$ . If  $U$  had order 2 or 3 then  $U$  and  $V$  would be equal so we may assume that  $U \cong S_3$  and hence that  $A$  is  $\mathbb{Z}_2 \times S_4$  or  $\text{GL}(2, 3)$ . In the former case elements of order 2 in  $U$  are of type  $2^2$  and it follows that  $V = U$  fixes a point, or  $V \cong S_3$  has orbits of lengths 2, 3, 3, and case (e)(i) holds. Next suppose that  $U \cap B$  has order 2. Then  $A \cap B$  has order 4 and it follows that  $U \cap B$  fixes four points, say 1, 2, 3 and 4. Thus  $A$  preserves the partition  $\{1234|5678\}$  and hence  $|U| \leq 4$ . Suppose that  $U \neq V$ . Then  $U$  is elementary abelian of order 4 and hence  $A/(A \cap B) \cong D_8$  and we may assume that  $U \cap V = U \cap B = \langle a = (56)(78) \rangle$  and that  $V$  has no fixed point. Then  $A \cap B = \langle a, b = (12)(34) \rangle$ . Suppose first that  $A$  contains an element of order 8. Then without loss of generality  $A$  contains  $c = (15372648)$ . Also  $A$  contains an element  $d$  such that  $d$  inverts  $c$  modulo  $A \cap B$ , and (by multiplying by  $ab$  if necessary) we may assume that  $d \in U$  fixes 1, 2 and fixes  $\{3, 4\}$  setwise. It follows that  $d$  is either  $d' = (34)(57)(68)$  or  $d'' = (34)(58)(67) = ad'$  (from the fact that  $U$  is not cyclic), and  $U = \{1, a, d', d''\}$ . Now  $V$  contains a conjugate of each of  $d'$  and  $d''$ , and up to conjugacy in  $A$ ,  $V = \{1, a, d'^c = (14)(23)(78), ad'^c = (14)(23)(56) = (d'')^{c^3}\}$ . Thus (e)(ii) holds. Now suppose that  $A$  has an element  $c$  of order 4 cyclically permuting the  $B$ -orbits: we may take  $c = (1537)(2648)$ . Also  $U$  contains an element  $d$  which inverts  $c$  modulo  $A \cap B$ , and as  $U$  is not cyclic,  $d$  is either  $d' = (57)(68)$  or  $d'' = d'a = (58)(67)$ . Then  $U = \{1, a, d', d''\}$ . Now  $V$  must contain conjugates of  $d'$  and  $d''$  which do not fix 1, and there is only one possibility for these elements, namely  $d'^c = (13)(24)$  and  $d''^c = (14)(32)$ . However  $\langle a, d'^c, d''^c \rangle$  contains an element with no fixed points, which is a contradiction to the fact that  $U^A = V^A$ .

Now suppose that  $|U \cap B| = 4$ . It follows that  $A \cap B = B \cap A_8$  and so  $V \cap B$  consists of permutations of types  $1^8$  and  $2^2$  only. It then follows that  $V \cap B$  fixes a point. If 3 divides  $|A|$  then  $V = U$ . If  $A = (A \cap B) \cdot D_8$  or  $A = (A \cap B) \cdot Z_4$  then there are two conjugacy classes of involutions of type  $2^2$  in  $A \cap B$  and therefore  $U$ , and hence  $V$ , contain members of both classes; it follows that  $V = U$ . If  $A = (A \cap B) \cdot (\mathbb{Z}_2 \times \mathbb{Z}_2)$  there are three conjugacy classes of involutions of type  $2^2$  in  $A \cap B$  and again  $U$  and  $V$  contain members of each class so  $V = U$ . Assume now that  $|U \cap B| = 8$ , that is,  $B \subseteq A$ . Then, as  $V \cap B$  must contain permutations of types  $2^1, 2^2, 2^3$  but none of type  $2^4$ , we may assume that  $V \cap B$  is one of  $U \cap B, V_2 = \langle (34), (56)(78) \rangle, V_3 = \langle (12), (34)(56), (34)(78) \rangle$ . If 3 divides  $|A|$  then  $U$  contains an element of type  $6^1$  and it follows that  $V = U$ . Assume then that  $A \leq B \cdot D_8$ . Then



there are at least two conjugacy classes of permutations of type  $2^2$  in  $B$  and hence if  $V$  fixes a point then  $V = U$ . So assume that  $V \cap B = V_3$ . If  $A = B \cdot D_8$  then  $V$  must contain a permutation of type  $2^2$  interchanging two blocks of the partition. We may assume that  $V = \langle V_3, (35)(46) \rangle$ , but then  $V$  contains  $(12)(35)(46)(34)(78) = (12)(3456)(78)$  which is a contradiction. Thus  $A = B \cdot Z_4$  or  $A = B \cdot (Z_2 \times Z_2)$  and  $U \leq B$ ,  $V = V_3$ , and (e)(iii) or (iv) holds.

### 3. Kronecker classes of field extensions of small degree

Here we investigate further the structure of a Kronecker class  $\mathcal{K}$  relative to  $k$  containing a field  $K$  with  $2 \leq |K : k| = n \leq 8$ . We assume that  $K$  is a minimal field in  $\mathcal{K}$ . For  $n = 2$  it was shown by Saxl in [12] that  $\mathcal{K} = \{K\}$  so we shall assume that  $3 \leq n \leq 8$ . The results of the previous sections give the width and socle number for  $\mathcal{K}$ , determine all minimal fields in  $\mathcal{K}$  and give information about the Galois hull of  $\mathcal{K}$ . Here we investigate second minimal fields in  $\mathcal{K}$ . (Recall that a field  $L \in \mathcal{K}$  is called second minimal in  $\mathcal{K}$  if  $k < L' < L$  for some  $L' \in \mathcal{K}$  and  $L$  is minimal with respect to this property.)

Let  $L$  be an arbitrary field in  $\mathcal{K}$  and let  $\tilde{L}$  be the Galois hull of  $L : k$ . By the Reduction Theorem in [3, §2],  $K \cap \tilde{L} \in \mathcal{K}$  and as  $K$  is a minimal field in  $\mathcal{K}$ ,  $K = K \cap \tilde{L} \leq \tilde{L}$ . Let  $A$  be the Galois group of  $\tilde{L} : k$  and let  $U, V$  be the fixed groups of  $K, L$  respectively. Then  $U^A = V^A$ ,  $V_A = \bigcap_{a \in G} V^a = \{1\}$  and  $|A : U| = n$ . (A subgroup  $V$  of a group  $A$  is said to be *corefree* in  $A$  if  $V_A = \{1\}$ .) Let  $H = U_A$ , a possibly trivial normal subgroup of  $A$ .

First we shall examine the case where  $K : k$  is a Galois extension. Here  $V \leq U = H$  and  $V^A = H$ . A subgroup  $V$  of a normal subgroup  $H$  of a group  $A$ , is called an *A-covering subgroup* of  $H$  if  $V^A = H$ . In this case determining all second minimal fields in  $\mathcal{K}$  (when such exist) is equivalent to determining all maximal *A-covering subgroups*  $V$  of  $H$  such that  $V$  is corefree in  $A$ . This has been done in [9] for  $n = 4$  and in [10] for  $n = 8$ , so we need to consider the cases  $n = 3, 5, 6, 7$ . We shall need, now and later, the following generalization of [10, Propositions 2.1 and 2.2].

**PROPOSITION 3.1.** *Suppose that  $H$  is a normal subgroup of index  $r$  in a finite group  $A$  and that  $W$  is an  $A$ -covering subgroup of  $H$  which is a maximal subgroup of  $H$  and is corefree in  $A$ . Let  $S$  be the socle of  $H$ , (the product of the minimal normal subgroups of  $H$ ). Then either  $S$  is elementary abelian or the following hold.*



(i) The socle  $S = N_1 \times \dots \times N_s$  is a direct product of  $s \geq 5$  minimal normal subgroups of  $H$  where for each  $i \leq s$ ,  $N_i \simeq T^k$  is a direct product of  $k \geq 1$  copies of a nonabelian simple group  $T$ . Moreover if  $s = 5$  then  $N_i \simeq T = A_5$ .

(ii) The group  $A$  acts transitively by conjugation on  $\{N_1, \dots, N_s\}$  and has an orbit  $J$  on unordered pairs of elements of this set of length  $|J| \geq st/2 \geq 2s$ , where  $t$  is the number of conjugacy classes of  $\text{Aut } T^k$  in  $T^k$ . Moreover if  $|J| = 2s$  then  $N_i = T = A_5$ .

(iii) For some  $\{N_i, N_j\} \in J$ ,  $W \cap S = D \times (\prod_{l \neq i, j} N_l)$  where  $D$  is a diagonal subgroup of  $N_i \times N_j$ . Moreover  $r$  is divisible by  $|J|$  and by  $s$ . In particular  $r \geq 2s \geq 10$ .

**PROOF.** Most of the proposition follows from [10, Propositions 2.1 and 2.2 and their proofs], where it is shown that  $W$  is as in (iii),  $|J|$  divides  $r$  and  $|J| \geq s$ . To obtain the better lower bound on  $|J|$  we define a graph  $\Gamma$  with vertex set  $V\Gamma = \{N_1, \dots, N_s\}$  and edge set  $J$ . Now each element  $\mathbf{x}$  of  $S = N_1 \times \dots \times N_s$  lies in  $(W \cap S)^a$  for some  $a \in A$  and hence the entries in  $\mathbf{x}$  in positions  $i^a$  and  $j^a$  lie in the same conjugacy class of  $\text{Aut } T^k$  in  $T^k$ . Let  $\mathcal{S}$  be the set of such conjugacy classes. Then  $\mathbf{x}$  determines a mapping  $\phi_{\mathbf{x}} : V\Gamma \rightarrow \mathcal{S}$  by defining  $(N_i)\phi_{\mathbf{x}}$  to be the conjugacy class containing the  $i$ th entry in  $\mathbf{x}$ , and as  $\mathbf{x}$  varies over  $S$  all mappings  $V\Gamma \rightarrow \mathcal{S}$  arise. Thus for each mapping  $\phi : V\Gamma \rightarrow \mathcal{S}$  there is some edge  $\{N_b, N_c\}$  in  $\Gamma$  such that  $(N_b)\phi = (N_c)\phi$ , that is to say, it is not possible to colour the vertices of  $\Gamma$  with  $|\mathcal{S}|$  colours such that adjacent vertices have different colours. Now it is clear that the vertices of a graph of valency  $v$  can be coloured by a set of  $v + 1$  colours and hence  $|\mathcal{S}|$  is at most the valency of  $\Gamma$ , that is  $|\mathcal{S}| \leq 2|J|/s$ . So  $|J| \geq s|\mathcal{S}|/2$  and it was shown in [10, Proposition 2.2] that  $|\mathcal{S}| \geq 4$  and  $|\mathcal{S}| = 4$  if and only if  $N_i = T = A_5$ .

**COROLLARY 3.2.** *With the notation of Proposition 3.1, let  $\Gamma$  be the graph with vertex set  $\{N_1, \dots, N_s\}$  and edge set  $J = \{i, j\}^A$ , where  $W \cap S = D \times (\prod_{l \neq i, j} N_l)$ ,  $D$  a diagonal subgroup of  $N_i \times N_j$ . Then, if there are  $t$  conjugacy classes of  $\text{Aut } T^k$  in  $T^k$ , the vertices of  $\Gamma$  cannot be coloured with  $t$  colours such that adjacent vertices have different colours.*

**THEOREM 3.3.** *Let  $A$  be a finite group with a nontrivial normal subgroup  $H$  of index  $n$  where  $n$  is 3, 5, 6 or 7. Let  $V$  be a maximal subgroup of  $H$  which is corefree in  $A$  and which is an  $A$ -covering subgroup of  $H$ . Then up to conjugacy in  $A$  one of the following holds.*

- (a)  $n = 3$ ,  $A = A_4$ ,  $H = \mathbb{Z}_2 \times \mathbb{Z}_2$  and  $V = \mathbb{Z}_2$ .
- (b)  $n$  is 5 or 7,  $A \simeq \mathbb{Z}_2^{n-1} \cdot \mathbb{Z}_n$ ,  $H \simeq \mathbb{Z}_2^{n-1}$  is the subgroup of elements of  $\mathbb{Z}_2^n$  with an even number of nonzero entries, and  $V$  is the subgroup of  $H$  of index 2 consisting of all elements of  $H$  with first entry zero.
- (c)  $n = 6$ ,  $A$  is  $S_4$  or  $A_4 \times \mathbb{Z}_2$ ,  $H$  is the normal subgroup of  $A_4$  of order 4, and  $V$  is a subgroup of  $H$  of order 2.
- (d)  $n = 6$ ,  $A = SR$  where  $S = \mathbb{Z}_5 \times \mathbb{Z}_5$  and either  $R = \mathbb{Z}_{24}$  or  $R = \langle x, y \mid x^3 = y^8 = 1, y^{-1}xy = x^{-1} \rangle$  with  $R$  acting regularly on the six subgroups of  $S$  of order 5;  $H = S \cdot T$  where  $T$  is the normal subgroup of  $R$  of order 4, and  $V = \mathbb{Z}_5 \cdot T$ .
- (e)  $n = 6$ ,  $A = HR$  where  $H = \mathbb{Z}_2^4$  and  $R$  is  $S_3$  or  $\mathbb{Z}_6$ , (further  $A_4 \wedge A_4 < A \leq S_4 \text{ wr } \mathbb{Z}_2$  and  $R$  interchanges the two  $S_4$ 's);  $V \simeq \mathbb{Z}_2^3$ .
- (f)  $n = 7$ ,  $A = \mathbb{Z}_2^3 \cdot \mathbb{Z}_7$  is a Frobenius group,  $H = \mathbb{Z}_2^3$  and  $V = \mathbb{Z}_2^2$ .

REMARKS. (1) The examples in parts (a) and (b) belong to a general family of examples of covering subgroups: let  $N = \mathbb{Z}_2^n$ , let  $H$  be the subgroup of  $N$  of elements with an even number of nonzero entries, and let  $V$  be the subgroup of  $H$  of elements with first entry 0. Let  $T$  be any group of order  $n$ , and let  $A = H \cdot T$  be the semidirect product with  $T$  acting regularly on the  $n$  entries of elements in  $H$  (so  $A$  has index 2 in  $NT = \mathbb{Z}_2 \text{ wr } T$ ). Then  $V$  is an  $A$ -covering subgroup of  $H$  if and only if  $n$  is odd.

(2) The group theory package CAYLEY [1] was used to verify that the groups given in (d) and (e) are examples and that these are the only groups occurring here up to conjugacy. I am grateful to Derek Holt for some helpful discussions about this. The group  $A$  in part (d) is a subgroup of the affine group  $\text{AGL}(2, 5)$ , and  $T \simeq \mathbb{Z}_4$  is the subgroup of scalar matrices in  $\text{GL}(2, 5)$ .

(3) For Kronecker classes  $\mathcal{K}$  relative to  $k$  containing a Galois extension  $K$  of degree 3, 5, 6 or 7, this proposition determines the Galois group  $A$  of  $\tilde{L} : k$  and the fixed group  $V$  of  $L : k$  for all second minimal fields  $L \in \mathcal{K}$ .

PROOF. By Proposition 3.1, the socle  $S$  of  $H$  is elementary abelian. Now  $A$  acts transitively and faithfully by right multiplication on the set  $\Omega = [A : V]$  of right cosets of  $V$  in  $A$  and  $H$  has  $|A : H| = n$  orbits  $\Omega_1 = [H : V], \Omega_2, \dots, \Omega_n$  in  $\Omega$  on each of which it acts primitively. Since  $S$  is abelian,  $S(1) = V \cap S$  is the kernel of the action of  $S$  on  $\Omega_1$  and  $S = (V \cap S)^A = \bigcup_{1 \leq i \leq n} S(i)$ , where  $S(i)$  is the kernel of  $S$  on  $\Omega_i$ . By [9, Lemma 3.1],  $S(i) \neq \{1\}$ . Now  $|S(i)| = |S|/|\Omega_i| = |S|/m$ , say, and  $S(i)$  is a normal subgroup of  $H$ , so  $S(i)$  is either trivial or transitive on  $\Omega_j$  for each  $j$  (since  $H$  is primitive on  $\Omega_j$ ). Suppose first that  $S(i) = S(j)$  for

some  $i \neq j$ . Then  $J(i) = \{j | S(j) = S(i)\}$  is a proper nontrivial block of imprimitivity for  $A$  in  $\{1, \dots, n\}$  (where we let  $A$  act on  $\{1, \dots, n\}$  in the same way as it acts on  $\{\Omega_1, \dots, \Omega_n\}$ ) and we have

$$|S| = \left| \bigcup S(i) \right| < (n/|J(i)|)|S(i)| = n|S|/m|J(i)|$$

so that  $2 \leq m < n/|J(i)| \leq n/2$ . Thus  $|J(i)| = 2$ ,  $n = 6$ , and  $m = 2$ . If  $|S| = 4$  then  $H = S > V = Z_2$  and either  $A/H = S_3$  and  $A = S_4$  as in (c) or  $A/H = Z_6$ . In the latter case let  $A = \langle H, a \rangle$ . Then  $a^6 \in H$  is centralized by  $a$  and as  $a$  permutes the 3 involutions in  $H$  transitively we have  $a^6 = 1$ . Also as  $a^3$  normalizes  $S(i)$  for all  $i$ ,  $a^3$  lies in the centre of  $A$ . Thus  $A = A_4 \times \langle a^3 \rangle$  and (c) is true. If  $|S| \neq 4$  then  $|S| = 8 = |\bigcup S(i)| = 3 \cdot 4 - 3 \cdot 2 + 1 = 7$  by the inclusion-exclusion principle, which is absurd. Thus we may assume that all the subgroups  $S(i)$  are distinct. For subsets  $J$  of  $\{1, \dots, n\}$  we write  $S(J)$  for the intersection  $\bigcap_{j \in J} S(j)$ , and we write  $S(\{i, j\})$  as  $S(i, j)$  etc.

Next suppose that  $S(i, j) = \{1\}$  for some  $i \neq j$ . Then  $|S| = m^2$  and hence  $S(i, j) = \{1\}$  for all  $i \neq j$ . We have  $m^2 = |S| = n(m - 1) + 1$  so that  $n = m + 1$  is 3, 5 or 6. (Note that  $m$  is a prime power.) If  $n = 3$  then (a) holds. If  $n = 5$  then, since  $H$  is primitive on each  $\Omega_i$  and is faithful on  $\Omega_1 \cup \Omega_2$  we have  $Z_2^4 \cdot Z_3 \leq H \leq S_4 \times S_4$  so that  $H$  has only two normal subgroups of order 4 (not the 5 distinct  $S(i)$ ,  $1 \leq i \leq 5$ ). If  $n = 6$  then  $S = Z_5 \times Z_5$  is self-centralizing in  $A$  (since any element centralizing  $S$  centralizes each  $S(i)$  and hence lies in  $H$ , and hence in  $S$ ) and so  $A \approx \text{AGL}(2, 5)$  and  $H = ST$  where  $\{1\} \leq T \leq Z(\text{GL}(2, 5)) \approx Z_4$ . We may take  $V = S(1) \cdot T$  and  $A/H$  is a subgroup of  $\text{PGL}(2, 5)$  of order 6 acting regularly on the six subgroups of  $S$  of order 5. It follows that (d) holds.

Thus we may suppose that  $S(i, j) \neq \{1\}$  for all distinct  $i, j$ . If  $n = 3$  then  $m^3 = |S| = 3m^2 - 3m + 1$ , which implies that  $m = 1$ , which is a contradiction. Thus from now on  $n \geq 5$ . Suppose now that  $S(i, j)$ , for  $i \neq j$ , fixes a third set  $\Omega_k$  pointwise. Set  $J(i, j) = \{k | S(i, j) = S(i, j, k)\}$ . Then each pair of points lies in at most one image of  $J(i, j)$  under elements of  $A$ , each point lies in the same number of images, and the number of images divides  $n$ . It follows that  $|J(i, j)| = 3$  and either  $n = 7$  and we can take the images of  $J(i, j)$  as the cyclic shifts of the set  $\{1, 2, 4\}$  under the permutation (1234567), or  $n = 6$  and there are just two disjoint images, say  $\{1, 2, 3\}$  and  $\{4, 5, 6\}$  of  $J(i, j)$ . If  $n = 7$  then  $S(J) = \{1\}$  for each set  $J$  of size 4 so we have

$$\begin{aligned} m^3 &= |S| = 7(m^2 - 3(m - 1) - 1) + 7(m - 1) + 1 \\ &= 7m^2 - 14m + 8, \end{aligned}$$

that is,  $m = 2$  or  $4$ . If  $m = 2$  then (f) holds, but if  $m = 4$  then, as  $H$  is primitive on each  $\Omega_i$ ,  $H$  cannot have as many as seven distinct normal subgroups of order 4. If  $n = 6$  then applying [9, Lemma 3.2] to  $S(1, 2, 3) \times S(4, 5, 6)$  shows that  $S(1, 2, 3, 4) \neq \{1\}$  so that  $m^4 = |S|$  and  $S(1, 2, 3) = S(1, 2, 3, 4)^{A_1}$  where  $A_1 = N_A(S(1, 2, 3))$ . It follows that  $m = 2$ ,  $H = S = Z_2^4$  and  $A \leq S_4 \text{ wr } S_2$ . Now  $A/H$  has order 6. Let  $T$  be a Sylow 3-subgroup of  $A$ . Then  $T$  has trivial centralizer in  $S$  and so its normalizer  $R = N_A(T)$  has order 6 and is a complement for  $H$  in  $A$ ,  $R$  must interchange  $S(1, 2, 3)$  and  $S(4, 5, 6)$  and there are examples with  $R \simeq S_3$  and  $R \simeq Z_6$ . Thus (e) holds.

We may now assume that  $S(i, j)$  fixes only  $\Omega_i \cup \Omega_j$  pointwise. If  $|S| = m^3$  then

$$m^3 = n(m^2 - (n - 1)(m - 1) - 1) + n(n - 1)(m - 1)/2 + 1;$$

this equation has no prime power solutions  $m$  for  $n = 5, 6, 7$ . Thus  $|S| \geq m^4$  and  $S(J) \neq \{1\}$  for subsets  $J$  of size 3. Suppose that  $S(i, j, k)$  fixes a fourth  $\Omega_l$  pointwise and set  $J(i, j, k) = \{l | S(i, j, k) = S(i, j, k, l)\}$ . Then each 3-subset lies in at most one image of  $J(i, j, k)$ , each point lies in the same number of images and the number of images divides  $n$ . Thus  $|J(i, j, k)| = 4$  and either  $n = 7$  and we may take the  $J(i, j, k)$  to be the images of  $\{1, 2, 3, 5\}$  under  $(1234567)$ , or  $n = 6$  and we may take the images as  $\{1, 2, 3, 4\}$ ,  $\{1, 2, 5, 6\}$ , and  $\{3, 4, 5, 6\}$ . If  $n = 7$  then  $S(J) = \{1\}$  for any 5-set  $J$  so

$$m^4 = |S| = 7m^3 - 21m^2 + 35m - (7m + 28) + 21 - 7 + 1 = 7m^3 - 21m^2 + 28m - 13$$

which has no solutions. If  $n = 6$  then by [9, Lemma 3.2] for each 5-set  $J$ ,  $S(J) = \{1\}$ , and so

$$m^4 = |S| = 6m^3 - 15m^2 + 20m - (3m + 12) + 6 - 1 = 6m^3 - 15m^2 + 17m - 7$$

which has no solutions. Thus  $S(i, j, k)$  fixes only  $\Omega_i \cup \Omega_j \cup \Omega_k$  pointwise for all distinct  $i, j, k$ . If  $|S| = m^4$  then

$$m^4 = nm^3 - \binom{n}{2}m^2 + \binom{n}{3}m - \binom{n}{4} + \binom{n}{5} + \dots + (-1)^{n-1},$$

and hence  $n = 5$ ,  $m = 2$  and (b) holds. So we may assume that  $|S| \geq m^5$ . By [9, Lemma 3.2],  $n \geq 6$ , and  $|S| \leq m^{n-1}$ . Then if  $n = 6$  we have

$$m^5 = |S| = 6m^4 - 15m^3 + 20m^2 - 15m + 6 - 1$$

which has no solutions. Thus  $n = 7$ . If, for some  $J$  of size 4,  $S(J)$  fixes a fifth  $\Omega_k$  pointwise then  $S(J) = S(J \cup \{k\})$  fixes exactly 5 of the  $\Omega_i$  and

the stabilizer of every 4-set fixes 5 of the  $\Omega_i$ ; we then have

$$m^5 = |S| = 7m^4 - 21m^3 + 35m^2 - 35m + (7m + 14) - 7 + 1$$

which has no solutions. Thus  $S(J)$  fixes only 4 of the  $\Omega_i$  and we have

$$|S| = m^{5+\delta} = m^\delta(7m^4 - 21m^3 + 35m^2 - 35m + 21) - 7 + 1$$

where  $\delta$  is 0 or 1. It follows that  $|S| = 2^6$  and (b) holds. This completes the proof of Theorem 3.3.

Now we consider the case where the extension  $K : k$  is not Galois. Here  $U \neq H$ . Theorem 2 lists all the possibilities for the groups  $A/H$ ,  $U/H$  and  $VH/H$ . Thus if  $U$  is corefree in  $A$ , that is, if  $H = \{1\}$ , then all possibilities for the groups  $A$ ,  $U$  and  $V$  are given by Theorem 2 (a), (b), (d), (e), and all of these groups  $V$  correspond to minimal fields  $L$  in  $\mathcal{X}$ . (Note that the groups in part (c) do not give examples here since  $K$  minimal implies that  $U$  is not a proper subgroup of  $V$ .) Thus if  $L$  is second minimal in  $\mathcal{X}$  then  $H = U_A$  is nontrivial, and, as  $V$  is corefree in  $A$ ,  $V \neq VH$ . Further  $U^A = V^A = (VH)^A$ , so if  $VH$  is the fixed group of the subfield  $L'$  of  $\tilde{L}$  then  $L'$  is a minimal field in  $\mathcal{X}$  and  $L'$  is contained in  $L$ . Now if  $M$  is a maximal subgroup of  $VH$  containing  $V$  then  $M^A = V^A$  so, for  $L''$  the subfield of  $\tilde{L}$  with fixed group  $M$ , we have  $L'' \in \mathcal{X}$  and  $L' < L'' \leq L$ . Thus if  $L$  is second minimal in  $\mathcal{X}$  then  $V$  is a maximal subgroup of  $VH$ . Determining all second minimal fields in  $\mathcal{X}$  in this case is equivalent to determining all maximal subgroups  $V$  of  $VH$  which are corefree in  $A$  and satisfy  $V^A = (VH)^A$ , where  $A/H$ ,  $U/H$ , and  $VH/H$  satisfy one of the conclusions (a) to (e) of Theorem 2. We are able to complete this classification for  $n = 3$  and  $n = 4$  and we discuss further the cases of larger  $n \leq 8$ .

From now on let  $A, U, VH, V$  be as in the previous paragraph with  $V$  maximal in  $VH$ , and let  $t = |A : VH|$ . Clearly  $V \cap H$  is an  $A$ -covering subgroup of  $H$  and if  $W$  is a maximal subgroup of  $H$  containing  $V \cap H$  then  $W$  is also an  $A$ -covering subgroup of  $H$ . Moreover, as we see below,  $W$  is corefree in  $A$  (see Figure 1).

**LEMMA 3.4.** *Suppose that a finite group  $A$  has a normal subgroup  $H$  and a corefree subgroup  $V$  such that  $V$  is a proper maximal subgroup of  $VH$ . If  $W$  is a maximal subgroup of  $H$  which contains  $V \cap H$  then  $W$  is also corefree in  $A$ .*

**PROOF.** Since  $V$  is corefree,  $A$  acts faithfully and transitively by right multiplication on the set  $\Omega = [A : V]$  of right cosets of  $V$  in  $A$ . As  $V$  is

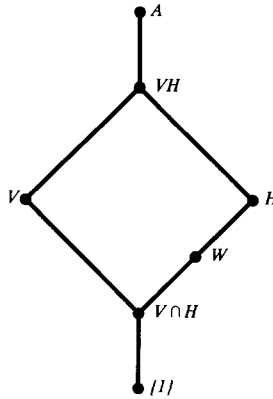


FIGURE 1

maximal in  $VH$ , the set  $\Delta = [VH : V]$  of cosets of  $V$  in  $VH$  is a minimal block of imprimitivity for  $A$  in this action. The subgroup  $V$  is the stabilizer of the point  $\delta = V \in \Delta$  and  $V \cap H$  is the subgroup of  $H$  fixing  $\delta$ . Thus  $W$  is intransitive on  $\Delta$  and hence  $W_A$  is intransitive on  $\Delta$ . Since  $W_A$  is a normal subgroup of  $VH$  and  $VH$  acts primitively on  $\Delta$  it follows that  $W_A$  fixes  $\Delta$  pointwise and hence that  $W_A = \{1\}$ .

Let  $S$  be the socle of  $H$  (the product of the minimal normal subgroups of  $H$ ). Now, as  $V$  is corefree in  $A$ ,  $A$  acts faithfully and transitively on the set  $\Delta = [A : V]$  of right cosets of  $V$  in  $A$ , and the set  $\Sigma_1 = [VH : V]$  is a block of imprimitivity. Since  $V$  is maximal in  $VH$ , the setwise stabilizer  $VH$  of  $\Sigma_1$  acts primitively on  $\Sigma_1$ , and its normal subgroup  $S$  acts transitively on  $\Sigma_1$ . Let  $\Sigma = \{\Sigma_1, \dots, \Sigma_t\}$  be the set of images of  $\Sigma_1$  under elements of  $A$  and, for  $1 \leq i \leq t$ , let  $S(i)$  denote the kernel of  $S$  on  $\Sigma_i$ . Then  $S(1) = (V \cap S)_H$ . We shall consider  $A$  permuting the index set  $\{1, 2, \dots, t\}$  in the same way that it permutes  $\Sigma$ ; for  $J \subseteq \{1, 2, \dots, t\}$  we shall write  $S(J)$  for the intersection  $\bigcap_{j \in J} S(j)$ , and we shall write  $S(i, j)$  for  $S(\{i, j\})$  etc.

First we consider the case where  $S$  is nonabelian.

**LEMMA 3.5.** *If  $n$  is 3 or 4 then  $S$  is elementary abelian. If  $S$  is non-abelian (and hence  $n \geq 5$ ) then  $VH$  induces on  $\Sigma_1$  a primitive group of (simple or compound) diagonal type.*

Recall that a primitive group is said to be of *diagonal type* if its socle  $S = T^{kl}$ , for a nonabelian simple group  $T$  and  $k \geq 1, l \geq 2$ , and the stabilizer of a point in  $S$  is a direct product  $D^k$  where  $D \simeq T$  is a diagonal subgroup of a product  $T^l$  of  $l$  of the simple direct factors of  $S$  (see [8]).

**PROOF.** Suppose that  $S$  is not elementary abelian. By Lemma 3.4,  $W$  is corefree in  $A$ . By Proposition 3.1,  $S = N_1 \times \cdots \times N_s$  where  $s \geq 5$  and each  $N_i \simeq T^k$  for some nonabelian simple group  $T$  and  $k \geq 1$ . The  $N_i$  are minimal normal subgroups of  $H$  and are conjugate in  $A$ . Also we may take  $W \cap S$  to be  $D \times N_3 \times \cdots \times N_s$ , where  $D$  is a diagonal subgroup of  $N_1 \times N_2$ . Now  $V \cap S \leq W \cap S$  so  $S = N_I \times S(1)$  for some  $I \subseteq \{1, 2, \dots, s\}$ , where  $N_I = \prod_{i \in I} N_i$  acts faithfully on  $\Sigma_1$  and  $\{1, 2\} \subseteq I$ . Since  $(V \cap S)^A = S$ , by [9, Theorem 2.1] we have  $V \cap S \simeq T^k$  and  $V \cap S$  is a subdirect product of  $\prod N_i$ . Thus by the O’Nan Scott Theorem [8],  $VH^{\Sigma_1}$  is a group of diagonal type. Now  $VH^{\Sigma_1}$  has at most two minimal normal subgroups so either  $VH$  is transitive on  $I$  or has two orbits  $I_1$  and  $I_2$  of equal length in  $I$ . Define a graph  $\tilde{I}$  with vertex set  $I$  and edges the images under  $VH$  of the pair  $\{1, 2\}$  (considering  $A$  to act on the index set  $\{1, 2, \dots, s\}$  as it acts on  $\{N_1, \dots, N_s\}$ ). Then as  $V$  is maximal in  $VH$  it follows that  $V \cap S$  is a product of a diagonal subgroup  $D_C$  of  $\prod_{j \in C} N_j$  for each connected component  $C$  of  $\tilde{I}$  and  $VH$  is transitive on these components. (Moreover if  $VH$  has two orbits  $I_1$  and  $I_2$  in  $I$  then  $I_1$  contains points of each component. If  $I = \{1, 2\}$  then  $V \cap S = W \cap S$  so  $V \cap H = W$  and the orbit  $\{1, 2\}^A$  has length dividing  $|A : VH| = t$ ; by Proposition 3.1 we have  $t \geq 2s \geq 10$  so that by Theorem 2,  $n = 5$ ,  $t = 10$  and  $s = 5$ ,  $N_i = A_5$ . Thus we may assume that  $|I| \geq 3$ .)

As in the proof of Proposition 3.1, each  $\mathbf{x} \in S$  determines a mapping  $\phi_{\mathbf{x}} : \{1, \dots, s\} \rightarrow \mathcal{F}$  where  $\mathcal{F}$  is the set of  $\text{Aut } T^k$  classes in  $T^k$  and  $i\phi_{\mathbf{x}}$  is the class containing  $x_i$ . Elements of  $\mathcal{F}$  may be considered as “colours” and  $\phi_{\mathbf{x}}$  as a colouring of the set  $\{1, \dots, s\}$ . For  $\mathbf{x} \in V \cap D$ ,  $\phi_{\mathbf{x}}$  is constant on each connected component of  $\tilde{I}$ , that is, each connected component of  $\tilde{I}$  is monochromatic with respect to this colouring. Then since  $(V \cap S)^A = S$ , each  $\mathbf{x}$  in  $S$  lies in  $(V \cap S)^a$  for some  $a \in A$  and hence with respect to the colouring induced by  $\phi_{\mathbf{x}}$ , the connected components of the graph  $\tilde{I}^a$  are all monochromatic. Thus for each colouring of  $\{1, \dots, s\}$  by the colour set  $\mathcal{F}$  there is some  $a \in A$  such that the components of the graph  $\tilde{I}^a$  are monochromatic.

Suppose that  $n = 3$ . Then by Theorem 2, also  $t = 3$ ,  $A/H = S_3$ , and as  $s \geq 5$  divides  $|A/H|$ ,  $s = 6$ . Since there are three distinct images of  $I$  under elements of  $A$ , with each of  $1, \dots, y$  belonging to a constant number of them it follows that  $|I| = 4$ . Now  $S$  contains an element  $\mathbf{x}$  with  $x_1 = x_2 = x_3 = 1$ , and the other three entries in distinct nontrivial  $\text{Aut } T^k$ -conjugacy classes in  $T^k$ . The corresponding colouring  $\phi_{\mathbf{x}}$  does not have the required property for any set  $I$  of size 4. Thus  $n \geq 4$ .



Suppose that  $n = 4$  so by Theorem 2,  $t = 4$ . Now  $|VH^I|$  divides  $|VH/H|$  which divides 6. If 3 divides  $|VH^I|$  then  $|I|$  is 3, 6 or 12. If not then  $|VH^I|$  divides 2 so  $|I| = 4$  and  $VH^I$  has two orbits of length 2. If  $|I| = 12$  then  $VH^I$  has two orbits of length 6 so  $A/H = S_4$  and, as  $s > |I|$ ,  $s = 24$ ; here  $I_1$  say is a block of length 6 and colouring the four images of  $I_1$  monochromatically with four different colours gives a contradiction. Thus  $|I| \neq 12$ . If  $s = 24$  then  $A/H = S_4$ , and  $|I| = 6$  and  $I$  is a block of imprimitivity for  $A$ ; if we colour each image of  $I$  using four colours then no component of any image of  $\tilde{I}$  is monochromatic. Thus  $s < 24$ . Suppose next that  $s = 12$ . Then 3 divides  $|VH^I|$  so  $|I|$  is 3 or 6. In the former case  $I$  is a block of imprimitivity and colouring the three points of each block with three different colours gives a contradiction. Thus  $|I| = 6$ . If  $VH^I$  has two orbits of length 3 then  $I_1$  is a block and colouring each image of  $I_1$  monochromatically with a different colour gives a contradiction. Thus  $VH^I$  is transitive,  $A/H = S_4$ , and either  $VH$  has two orbits of length 6 in  $\{1, \dots, 12\}$  or  $VH$  is the stabilizer of a block  $B$  in  $\{1, \dots, 12\}$  of size 3. In the former case  $I$  consists of one point from each of six blocks of length 2, and colouring these blocks monochromatically using four colours yields a contradiction. In the latter case,  $I$  consists of two points of three of the images of  $B$  and colouring the images of  $B$  monochromatically with four different colours or colouring two monochromatically and two using three colours yields a contradiction. Thus  $s < 12$ . If  $s = 8$  then  $VH$  is the stabilizer of a block  $B$  in  $\{1, \dots, s\}$  of size 2 and is transitive on the other six points. Thus  $|I| = 6$  and  $VH$  transitive on  $I$ . Then colouring the images of  $B$  monochromatically with four different colours yields a contradiction. Thus as  $s \geq 5$  and  $s$  divides 24,  $s = 6$ ,  $A/H$  is  $A_4$  or  $S_4$ , and as 3 divides  $|VH^I|$  and  $s > |I|$  we must have  $|I| = 3$ . It is possible to colour  $\{1, \dots, 6\}$  with 4 colours with no monochromatic triple. Thus  $n \neq 4$ .

REMARKS. The cases  $5 \leq n \leq 8$  are much more tedious but the techniques above would probably be sufficient to determine whether  $H$  could have a nonabelian socle. In particular, if the subset  $I$  above had size 2 we saw that  $n = 5$ ,  $t = 10$ ,  $s = 5$ , and  $A/H = A_5$  (by Theorem 2) and  $N_i \simeq A_5$  (by Proposition 3.1); also  $VH^{\Sigma_1} \leq (A_5 \times A_5) \cdot (\text{Out } A_5 \times S_2)$  and it follows that  $S = A_5^5$  and  $H = S$  or  $S \cdot 2$ . For  $V \cap S$  to be an  $A$ -covering subgroup of  $S$  it is necessary for  $V \cap S$  to contain elements of the form  $(x, x, 1, 1, 1)$  and  $(x, x', 1, 1, 1)$  where  $x, x'$  are of order 5 but not conjugate in  $A_5$ . With  $A \leq (A_5 \cdot 2) \cdot A_5$  this was seen to be not possible (using CAYLEY to check some calculations). Thus always the set  $I$  has size at least 3.

Now we assume that  $S$  is elementary abelian. Then  $S$  is regular on each  $\Sigma_i$  and so  $V \cap S = S(1)$  and  $S = \bigcup_{1 \leq i \leq t} S(i)$ ; also  $|\Sigma_i| = m$  is a prime

power and  $|S(i)| = |S|/m$ . By [9, Lemma 3.1 and 3.2],  $S(i) \neq \{1\}$ ,  $S(i)$  is trivial or transitive on each  $\Sigma_j$ , and  $S(J) = \{1\}$  for each subset  $J$  of  $\{1, \dots, t\}$  of size  $t - 1$ , so  $m^2 \leq |S| = m^a \leq m^{t-1}$ .

**LEMMA 3.6.** *If  $3 \leq n \leq 8$  then  $|S| \leq m^{t-2}$ . In particular,  $n \geq 4$  and  $t \geq 4$ .*

**PROOF.** Suppose that  $|S| = m^{t-1}$ . Then by the inclusion-exclusion principle we have

$$m^{t-1} = \left| \bigcup S(i) \right| = \sum_{1 \leq i \leq t-1} (-1)^{i-1} \binom{t}{i} m^{t-i} + (-1)^{t-1},$$

that is  $(m - 1)^t = (-1)^{t-1}(m - 1)$  and hence  $m = 2$  and  $t$  is odd. If  $n = t$  then, in its action on  $\Delta$ ,  $A$  is a subgroup of index 2 in  $S_2 \text{ wr } T \leq S_{2t}$  where  $T \simeq A/H$  is a transitive nonregular subgroup of  $S_t$ ; the subgroup  $H = S$  is the subgroup of the base group  $S_2^t$  of all  $t$ -tuples with an even number of nonzero entries and  $V \cap H$  is the subgroup of  $H$  of  $t$ -tuples with first entry zero. For  $n = t = 3, 5, 7$  and for all possible subgroups  $T$  we can check that the extension  $A$  of  $H$  by  $T$  splits, that is,  $A \simeq H \cdot T$ ; in all cases we find an element of  $VH$  which has no fixed point in  $\Delta$  so that  $V^A \neq (VH)^A$ , a contradiction. (For example, take the blocks of size 2 to be  $\{2i - 1, 2i\}$ ,  $1 \leq i \leq t$ . Then  $VH$  contains  $x = (12)(34)$ , and, if  $|T|$  is even and  $T$  is not  $\text{PSL}(2, 7)$  of degree 7, then  $V$  contains an element  $y$  such that  $yH$  has order 2 and  $y$  fixes only the block  $\{1, 2\}$  setwise; then  $xy \in VH$  and  $xy$  is fixed point free. If  $T = \text{PSL}(2, 7)$  or  $|T|$  is odd (in which case  $T$  is a Frobenius group of order 21) we take  $y \in V$  with  $yH$  of order 3.)

On the other hand if  $n \neq t$  and  $t$  is odd then by Theorem 2,  $n = 6$ ,  $t = 3$ ,  $A/H = A_4$  and  $VH$  is a normal subgroup of  $A$  of index 3. However in its action on  $\Delta$  of degree  $tm = 6$  we have  $A \leq S_2 \text{ wr } S_3$  so that a Sylow 3-subgroup of  $A$  cannot normalize a subgroup of order 16. Thus  $m^2 \leq |S| \leq m^{t-2}$  so  $t \geq 4$ , and by Theorem 2,  $n \geq 4$ .

**LEMMA 3.7.** *If  $4 \leq n \leq 8$  and  $|S| = m^2 \leq m^{t-2}$  then  $t = m + 1 \in \{4, 5, 6, 8, 10\}$  or  $t = 8$ ,  $m = 3$ . Moreover if  $n = 4$  then  $t = 4$ ,  $A = SQ < \text{AGL}(2, 3)$ , where  $Q = \langle \left( \begin{smallmatrix} 2 & 2 \\ 1 & 2 \end{smallmatrix} \right), \left( \begin{smallmatrix} 2 & 0 \\ 0 & 1 \end{smallmatrix} \right) \rangle$  is a Sylow 2-subgroup of  $\text{GL}(2, 3)$ ,  $H = \text{SZ}(Q)$ ,  $S = \mathbb{Z}_3^2$ , and  $V = S(1) \cdot \langle \left( \begin{smallmatrix} 2 & 0 \\ 0 & 1 \end{smallmatrix} \right), -I \rangle$ .*

**PROOF.** Suppose that  $|S| = m^2$ . Then each  $S(i)$  has order  $m$ , and distinct  $S(i)$  intersect in  $\{1\}$ . Since  $S = \cup S(i)$  we have  $m^2 = r(m - 1) + 1$

where  $r$  is the number of distinct subgroups  $S(i)$ . Hence (see Theorem 2) either  $t = r = m + 1 \in \{4, 5, 6, 8, 10\}$  or  $t = 2r = 2(m + 1) \in \{6, 8, 10\}$ .

Suppose that  $t = 2r = 6$ ,  $m = 2$ . Then  $A \leq S_2 \text{ wr } S_6$  and, as  $|H| = 4$ ,  $A$  has 3 blocks of imprimitivity of size 4 and each nontrivial element of  $H$  fixes exactly four points, namely one of the blocks. By Theorem 2,  $n = 6$  and as  $U \neq H$  also  $VH \neq H$ . It follows that  $V$  contains a 2-element  $y$  which fixes only two of the blocks of size 2 setwise. Then for  $x \in H - S(1)$ ,  $xy \in VH$  is fixed point free so that  $V^A \neq (VH)^A$ . Suppose that  $t = 2r = 10$ ,  $m = 4$ . Then by Theorem 2,  $n = 5$ ,  $A/H = A_5$  and  $VH/H = S_3$ . Now  $VH$  fixes only one of the  $\Sigma_i$  setwise, namely  $\Sigma_1$ . On the other hand, as  $S(1) = S(i)$  for some  $i > 1$  (since there are only five distinct  $S(i)$ ), the stabilizer of  $\Sigma_1$  also fixes  $\Sigma_i$ , which is a contradiction.

Suppose now that  $n = 4$ . Then,  $t = 4$ ,  $m = 3$ , and the four subgroups  $S(i)$  are all distinct. It follows that  $S$  is self-centralizing in  $A$  and hence that  $A/S \cong \text{GL}(2, 3)$ . Now  $A \cong S_{12}$  and  $A$  has a set  $\Sigma$  of 4 blocks of size 3. If  $V$  contains an element  $y$  fixing only one block setwise and fixing that block pointwise then for some  $x \in H$ ,  $xy \in VH$  is fixed point free and so  $(VH)^A \neq V^A$ . If  $A/H \geq A_4$  then a 3-element  $y$  in  $V \setminus S(1)$  has this property so we must have  $A/H = D_8$  and hence  $A = S \cdot Q$  where  $Q$  is a Sylow 2-subgroup of  $\text{GL}(2, 3)$ , and  $H = X \cdot Z(Q)$ . Taking  $Q = \langle \begin{pmatrix} 2 & 2 \\ 1 & 2 \end{pmatrix}, \begin{pmatrix} 2 & 0 \\ 0 & 1 \end{pmatrix} \rangle$  we may assume that  $VH = S \cdot V \cap Q$  where  $V \cap Q = \langle \begin{pmatrix} 2 & 0 \\ 0 & 1 \end{pmatrix}, -I \rangle$  and it is readily checked that  $VH$  contains no fixed point free elements, that is,  $(VH)^A = V^A$ .

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