DERIVATIONS OF HIGHER ORDER IN PRIME RINGS

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ABSTRACT. Let *R* be a prime ring of characteristic not 2 and *d* a derivation of *R*. It is shown that if d^{2n} is a derivation of *R*, where *n* is a positive integer, then $d^{2n-1} = 0$.

Let *R* be a prime ring of characteristics not 2. In [3], Poster shows that if the product of two derivations of *R* is a derivation, then one of these two derivations must be zero. In particular, if *d* is a derivation of *R* and $d^2 = 0$ then d = 0. Recently Chung and Luh [1] showed that if *d* is a derivation of *R* such that $d^{2n} = 0$, where *n* is a positive integer, then $d^{2n-1} = 0$, *i.e.*, the index of a nilpotent derivation of a prime ring is necessarily odd. A natural question arises: If *d* is a derivation of a prime ring *R* and if d^{2n} is a derivation, is $d^{2n-1} = 0$? This question has been settled by Martindale and Miers [2] if the characteristic of *R* is greater than *n* and if *d* and d^{2n} are both inner derivations of *R*.

In this paper we will be given an affirmative answer to this question for any derivation d of a prime ring of characteristic not 2, and thereby extend the results of Martindale and Miers as well as that of Chung and Luh.

THEOREM. Let R be a prime ring of characteristic not 2 and d be a derivation of R. If n is a positive integer such that d^{2n} is a derivation of R, then $d^{2n-1} = 0$.

Throughout this paper, R is a ring, d a derivation of R, \mathbb{Z} the ring of integers and $d^k(R) = \{d^k(x) \mid x \in R\}$, for any positive integer k.

Let us begin with

LEMMA 1. If d^{2n} is a derivation of R, then for any $x, y \in R$,

(1)
$$\sum_{j=1}^{2n-1} {2n \choose j} d^{2n-j}(x) d^{j}(y) = 0.$$

PROOF. Since *d* and d^{2n} are derivations of *R*, by Leibniz' rule, for any $x, y \in R$,

(2)
$$d^{2n}(xy) = \sum_{j=0}^{2n} {2n \choose j} d^{2n-j}(x) d^{j}(y),$$

and

(3)
$$d^{2n}(xy) = d^{2n}(x)y + xd^{2n}(y).$$

Subtracting (3) from (2) side by side yields (1).

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LEMMA 2. Let k < n be two positive integers. Then there are integers $m_{1,k}, m_{2,k}, \ldots, m_{k-1,k}$, such that

(4)
$$d^{n+k}(x)d^{n-k}(y) + d^{n-k}(x)d^{n+k}(y) = d^{2k} \Big(d^{n-k}(x)d^{n-k}(y) \Big) + \sum_{i=1}^{k-1} m_{i,k}d^{2k-2i} \Big(d^{n-k+i}(x)d^{n-k+i}(y) \Big) + (-1)^k 2d^n(x)d^n(y),$$

for all $x, y \in R$.

PROOF. Clearly, (4) is true by Leibniz' rule if k = 1. Now assume (4) holds for k = 1, 2, ..., t - 1 where t < n. By Leibniz' rule, for any $x, y \in R$,

$$d^{2t}(d^{n-t}(x)d^{n-t}(y)) = d^{n+t}(x)d^{n-t}(y) + d^{n-t}(x)d^{n+t}(y) + \sum_{j=1}^{2t-1} {2t \choose j}d^{n+t-j}(x)d^{n-t+j}(y) = d^{n+t}(x)d^{n-t}(y) + d^{n-t}(x)d^{n+t}(y) + \sum_{j=1}^{t-1} {2t \choose j}(d^{n+t-j}(x)d^{n-t+j}(y)) + d^{n-t+j}(x)d^{n+t-j}(y)) + {2t \choose t}d^{n}(x)d^{n}(y).$$

Note that, by our assumption, for j = 1, 2, ..., t-1, since $1 \le t - j < t$, $d^{n+t-j}(x)d^{n-t+j}(y) + d^{n-t+j}(x)d^{n+t-j}(y) = d^{2(t-j)}(d^{n-t+j}(x)d^{n-t+j}(y)) + \sum_{i=1}^{t-j-1} m_{i,t-j}d^{2t-2j-2i}(d^{n-t+j+i}(x)d^{n-t+j+i}(y)) + (-1)^{t-j}2d^n(x)d^n(y).$

Therefore,

$$d^{2t}(d^{n-t}(x)d^{n-t}(y)) = d^{n+t}(x)d^{n-t}(y) + d^{n-t}(x)d^{n+t}(y) + \sum_{j=1}^{t-1} {2t \choose j} \left[d^{2(t-j)} \left(d^{n-t+j}(x)d^{n-t+j}(y) \right) \right. + \left. \sum_{i=1}^{t-j-1} m_{i,t-j}d^{2t-2j-2i} \left(d^{n-t+j+i}(x)d^{n-t+j+i}(y) \right) \right. + \left. \left(-1 \right)^{t-j}2d^{n}(x)d^{n}(y) \right] + \left(\frac{2t}{t} \right) d^{n}(x)d^{n}(y).$$

By noting that $m_{k,1} = 0$ for all k, the summation

$$\sum_{j=1}^{t-1} \binom{2t}{j} \sum_{i=1}^{t-j-1} m_{i,t-j} d^{2t-2j-2i} \left(d^{n-t+j+i}(x) d^{n-t+j+i}(y) \right)$$

=
$$\sum_{j=1}^{t-1} \sum_{i=j+1}^{t-1} \binom{2t}{j} m_{i-j,t-j} d^{2(t-i)} \left(d^{n-t+i}(x) d^{n-t+i}(y) \right)$$

=
$$\sum_{i=2}^{t-1} \left(\sum_{j=1}^{i-1} \binom{2t}{j} m_{i-j,t-j} \right) d^{2(t-i)} \left(d^{n-t+i}(x) d^{n-t+i}(y) \right).$$

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Thus,

$$d^{2t}(d^{m-t}(x)d^{m-t}(y)) = d^{n+t}(x)d^{n-t}(y) + d^{n-t}(x)d^{n+t}(y) + {\binom{2t}{1}}d^{2t-2}(d^{n-t+1}(x)d^{n-t+1}(y)) + {\binom{2t}{1}}d^{2t-2}(d^{n-t+1}(x)d^{n-t+1}(y)) + {\binom{2t}{1}}d^{2t-2i}(d^{n-t+i}(x)d^{n-t+1}(y)) + {\binom{t-1}{i}}d^{2t-2i}(d^{1-t+i}(x)d^{n-t+1}(y)) + {\binom{t-1}{i}}d^{(1)}(x)d^{n}(y) = d^{n+t}(x)d^{n-t}(y) + d^{n-t}(x)d^{n+t}(y) - {\binom{t-1}{i=1}}m_{i,t}d^{2t-2i}(d^{n-t+i}(x)d^{n-t+i}(y)) - m_{0}d^{n}(x)d^{n}(y), \text{ where } m_{1,t} = -\binom{2t}{1},$$

$$m_{i,t} = -\binom{2t}{i} + {\binom{t-1}{i=1}}\binom{2t}{j}m_{i-j,t-j} \text{ and}$$

$$m_{0} = -\binom{\binom{t-1}{i=1}}{(-1)^{t-i}}\binom{2t}{i}2 + \binom{2t}{t}} = (-1)^{t+1}\binom{\binom{t-1}{i-1}}{(-1)^{i}}\binom{2t}{i}2 + (-1)^{t}\binom{2t}{t}} = (-1)^{t}2.$$

That is, (4) holds for k = t. This completes the proof.

LEMMA 3. If d^{2n} is a derivation of R, where n is an integer ≥ 2 , then, for any x, $y \in R$, $2d^n(x)d^n(y) \in \sum_{j=1}^{n-1} \mathbb{Z}d^{2(n-j)}(d^j(x)d^j(y))$.

PROOF. From (1), we have

(5)
$$\sum_{j=1}^{n-1} \binom{2n}{j} \left(d^{2n-j}(x) d^{j}(y) + d^{j}(x) d^{2n-j}(y) \right) + \binom{2n}{n} d^{n}(x) d^{n}(y) = 0.$$

Note that, for j = 1, ..., n - 1,

$$d^{2n-j}(x)d^{j}(y) + d^{j}(x)d^{2n-j}(y) = d^{n+k}(x)d^{n-k}(y) + d^{n-k}(x)d^{n+k}(y),$$

where k = n - j, and hence, by Lemma 2,

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$$d^{2n-j}(x)d^{j}(y) + d^{j}(x)d^{2n-j}(y) = d^{2n-2j}(d^{j}(x)d^{j}(y)) + \sum_{i=1}^{n-j-1} m_{i,n-j}d^{2n-2j-2i}(d^{j+i}(x)d^{j+i}(y)) + (-1)^{n-j}2d^{n}(x)d^{n}(y).$$

Thus,

$$\sum_{j=1}^{n-1} {\binom{2n}{j}} \left(d^{2n-j}(x) d^{j}(y) + d^{j}(x) d^{2n-j}(y) \right)$$

= $\sum_{j=1}^{n-1} {\binom{2n}{j}} d^{2n-2j} \left(d^{j}(x) d^{j}(y) \right)$
+ $\sum_{j=1}^{n-1} {\binom{2n}{j}} \sum_{i=1}^{n-j-1} m_{i,n-j} d^{2n-2j-2i} \left(d^{j+1}(x) d^{j+i}(y) \right)$
+ $\sum_{j=1}^{n-1} {\binom{2n}{j}} (-1)^{n-j} 2 d^{n}(x) d^{n}(y).$

It follows by (5) that

(6)

$$\sum_{j=1}^{n-1} \binom{2n}{j} d^{2n-2j} (d^{j}(x) d^{j}(y)) + \sum_{j=1}^{n-1} \binom{2n}{j} \sum_{i=1}^{n-j-1} m_{i,n-j} d^{2n-2j-2i} (d^{j+i}(x) d^{j+i}(y)) + \left(\binom{2n}{n} + \sum_{j=1}^{n-1} \binom{2n}{j} (-1)^{n-j} 2\right) d^{n}(x) d^{n}(y) = 0.$$

Since $\binom{2n}{n} + \sum_{j=1}^{n-1} \binom{2n}{j} (-1)^{n-j} 2 = (-1)^{n-1} 2$ and all but the last term in the left-hand side of (6) belong to $\sum_{j=1}^{n-1} \mathbb{Z} d^{2n-2j} (d^j(x) d^j(y))$, we have $2d^n(x) d^n(y) \in \sum_{j=1}^{n-1} \mathbb{Z} d^{2n-2j} (d^j(x) d^j(y))$ as we desired.

LEMMA 4. If d^{2n} is a derivation of R, where n is an integer ≥ 2 , then, for any integer $k \geq 0$, (7) $2^{k+1} \left(\left(d^{m+k}(R) \right)^2 \right) \subset d^{2n+2k-2} \left(\left(d(R) \right)^2 \right) + d^{2n+2k-4} \left(\left(d^2(R) \right)^2 \right) + \dots + d^{2k+2} \left(\left(d^{m-1}(R) \right)^2 \right)$.

PROOF. We proceed by induction on k. By Lemma 3, we have $2(d^n(R))^2 \subset \sum_{j=1}^{n-1} d^{2n-2j} ((d^j(R))^2)$. So (7) holds for k = 0. Now we assume that (7) holds for k = t. That is,

$$2^{t+1} \left(d^{n+t}(R) \right)^2 \subset d^{2n+2t-2} \left(\left(d(R) \right)^2 \right) + d^{2n+2t-4} \left((d^2R)^2 \right) + \dots + d^{2t+2} \left(\left(d^{n-1}(R) \right)^2 \right).$$

Replacing R by d(R), multiplying by 2 on both sides and using Lemma 3 yields

$$2^{t+2} (d^{n+t+1}(R))^{2} \subset 2d^{2n+2t-2} ((d^{2}(R))^{2}) + 2d^{2n+2t-4} ((d^{3}(R))^{2}) + \dots + 2d^{2t+2} ((d^{n}(R))^{2}) \subset d^{2n+2t-2} ((d^{2}(R))^{2}) + d^{2n+2t-4} ((d^{3}(R))^{2}) + \dots + d^{2t+4} ((d^{n-1}(R))^{2}) + d^{2t+2} (\sum_{j=1}^{n-1} d^{2n-2j} ((d^{j}(R))^{2})) \subset d^{2n+2t} ((d(R))^{2}) + d^{2n+2t-2} ((d^{2}(R))^{2}) + \dots + d^{2n+4} ((d^{n-1}(R))^{2}).$$

That is, (7) holds for k = t + 1. Hence (7) holds for any integer $k \ge 0$.

LEMMA 5. If d^{2n} is a derivation of R, where n is an integer ≥ 2 , then $2^{n+1}d^{2n}(R)$ is a subring of R.

PROOF. Clearly, $2^{n+1}d^{2n}(R)$ is an additive abelian group, and hence we need only to show that it is closed under multiplication. By Lemma 4, for k = n, $2^{n+1}(d^{2n}(R))^2 \subset d^{4n-2}((d(R))^2) + d^{4n-4}((d^2(R))^2) + \cdots + d^{2n+2}((d^{n+1}(R))^2)$ which is clearly contained in $d^{2n+2}(R)$. Thus,

$$\left(2^{n+1}d^{2n}(R)\right)^2 = 2^{n+1}\left(2^{n+1}\left(d^{2n}(R)\right)^2\right) \subset 2^{n+1}d^{2n+2}(R) \subset 2^{n+1}d^{2n}(R).$$

LEMMA 6. If *R* is a prime ring, d^{2n} is a derivation of *R* and 2*n* is not divisible by the characteristic of *R*, then either $d^{2n-1} = 0$ or ker $d = \text{ker } d^2$.

PROOF. Suppose ker $d \neq \ker d^2$. Then there exists an $a \in R$ such that $d^2(a) = 0$ but $d(a) \neq 0$. Replacing y by a in (1) yields $2nd^{2n-1}(x)d(a) = 0$ and hence $d^{2n-1}(x)d(a) = 0$, for all $x \in R$. It follows that $d^{2n}(x)d(a) = 0$, for all $x \in R$. Since d^{2n} is a derivation, $0 = d^{2n}(xy)d(a) = d^{2n}(x)yd(a) + xd^{2n}(y)d(a) = d^{2n}(x)yd(a)$ for all $x, y \in R$. By the primeness of R, $d^{2n}(x) = 0$ for all $x \in R$, or $d^{2n} = 0$. By a result in [1], $d^{2n-1} = 0$.

LEMMA 7. Suppose R is a prime ring, d^{2n} is a derivation of R and 2n is not divisible by the characteristic of R. If $d^m = 0$, where m is an integer $\ge 2n$, then $d^{2n-1} = 0$.

PROOF. Suppose, to the contrary, that $d^{2n-1} \neq 0$. Then by Lemma 6, ker $d = \ker d^2$. Let k be the smallest integer such that $2n - 1 < k \leq m$ and $d^k = 0$. Since $d^{k-2}(R) \subset \ker d^2$, we have $d^{k-2}(R) \subset \ker d$, or $d^{k-1} = 0$, a contradiction.

LEMMA 8. Suppose R is a prime ring, d^{2n} is a derivation of R and 2n is not divisible by the characteristic of R. If $d^{2n-1} \neq 0$, then $2^{n+1}d^{2n}(R)$ is a prime subring of R.

PROOF. Let $S = 2^{n+1} d^{2n}(R)$ and $D = d^{2n}$. Then D is a non-zero derivation of R and $S = 2^{n+1}D(R)$ is a subring of R by Lemma 5. We want to show that S is a

prime ring. Suppose not, let $a, b \in R$ be such that $D(a) \neq 0$, $D(b) \neq 0$ and $(2^{n+1}D(a))(2^{n+1}D(b)) = 0$ for all $x \in R$. That is,

(8)
$$D(a)D(x)D(b) = 0$$
, for all $x \in R$

Replacing x by (D(x))y in (8) yields

(9)
$$D(a)(D^2(x)y + D(x)D(y))D(b) = 0$$
, for all $x, y \in R$.

Note that since

$$2^{2n+2}D(x)D(y) \in S,$$
 $2^{2n+2}D(a)D(x)D(y)D(b) = 0,$

or D(a)D(x)D(y)D(b) = 0, for all $x, y \in R$. Thus (9) becomes $D(a)D^2(x)yD(b) = 0$, for all $x, y \in R$. By the primeness of R again, we obtain that

(10)
$$D(a)D^2(x) = 0, \text{ for all } x \in R.$$

In (10), we replace x by D(x)y. We obtain

$$D(a)(D^{3}(x)y + 2D^{2}(x)D(y) + D(x)D^{2}(y)) = 0,$$

for all $x, y \in R$. By (10), we get

(11)
$$D(a)D(x)D^{2}(y) = 0, \text{ for all } x, y \in R$$

Similarly, in (10), replacing x by xD(y) yields

$$D(a)(D^{2}(x)D(y) + 2D(x)D^{2}(y) + xD^{3}(y)) = 0.$$

It follows, by (10) and (11), that $D(a)xD^3(y) = 0$, for all $x, y \in R$. Consequently, $D^3 = 0$ or $d^{6n} = 0$. By Lemma 7, $d^{2n-1} = 0$, a contradiction. Hence S is a prime ring.

LEMMA 9. Let $S_0, S_1, S_2, \ldots, S_{m-1}$ be subrings of a ring R, m > 1, and d a derivation of R with $d(S_{m-1}) \subset S_{m-1} \subset d(S_{m-2}) \subset S_{m-2} \subset \cdots \subset d(S_0) \subset S_0$. Suppose

$$d^{m}(x)a_{m} + d^{m-1}(x)a_{m-1} + \dots + d(x)a_{1} = 0$$

for all $x \in S_0$, where $a_1, a_2, \ldots, a_m \in R$. Then $d(y_{m-1})d(x_{m-1})d(x_{m-2})d(x_{m-3})\cdots d(x_1)a_m = 0$ for all $x_i \in S_i$, $i = 1, 2, \ldots, m-1$, and $y_{m-1} \in S_{m-1}$.

PROOF. We proceed by induction on *m*, the length of the chain $S_{m-1} \subset S_{m-2} \subset \cdots \subset S_0$.

Suppose m = 2, $d(S_1) \subset S_1 \subset d(S_0) \subset S_0$, and

(12)
$$d^2(x)a_2 + d(x)a_1 = 0$$
, for all $x \in S_0$.

Then, for any $x_1, y_1 \in S_1$, since $y_1d(x_1) \in d(S_0)$, $y_1d(x_1) = d(x)$ for some $x \in S_0$. Replacing d(x) by $y_1d(x_1)$ in (12) yields

$$d(y_1d(x_1))a_2 + y_1d(x_1)a_1 = 0,$$

or

$$d(y_1)d(x_1)a_2 + y_1(d^2(x_1)a_2 + d(x_1)a_1) = 0.$$

Thus, $d(y_1)d(x_1)a_2 = 0$ for all $x_1, y_1 \in S_1$. Therefore, Lemma 9 is true for m = 2.

Now assume m > 2, and assume

(13)
$$d^{m}(x)a_{m} + d^{m-1}(x)a_{m-1} + \dots + d(x)a_{1} = 0, \text{ for all } x \in S_{0}.$$

Then, for any $x_1, y_1 \in S_1$, since $y_1d(x_1) \in d(S_0)$, $y_1d(x_1) = d(x)$ for some $x \in S_0$. Substituting d(x) by $y_1d(x_1)$ in (13). We get

(14)
$$d^{m-1}(y_1d(x_1))a_m + d^{m-2}(y_1d(x_1))a_{m-1} + \dots + y_1d(x_1)a_1 = 0.$$

By Leibniz' rule for each term of (14) and by (13), we obtain

$$d^{m-1}(y_1)d(x_1)a_m + d^{m-2}(y_1)((m-1)d^2(x_1)a_m) + d(x_1)a_{m-1} + \dots + y_1(d^m(x_1)a_m + \dots + d(x_1)a_1) = 0,$$

which is of the form

$$d^{m-1}(y_1)b_{m-1} + d^{m-2}(y_1)b_{m-2} + \cdots + d(y_1)b_1 = 0,$$

for all $y_1 \in S_1$. Note that $S_{m-1} \subset S_{m-2} \subset \cdots \subset S_1$ is a chain of length m - 1. By the induction hypothesis,

$$d(y_{m-1})d(x_{m-1})d(x_{m-2})\cdots d(x_2)b_{m-1}=0,$$

for all $x_i \in S_i$, i = 2, 3, ..., m - 1, and $y_{m-1} \in S_{m-1}$. That is,

$$d(y_{m-1})d(x_{m-1})d(x_{m-2})\cdots d(x_2)d(x_1)a_m = 0,$$

for all $x_i \in S_i$, i = 2, 3, ..., m - 1, $y_{m-1} \in S_{m-1}$. This completes the proof.

LEMMA 10. Suppose R is a prime ring and d^{2n} is a derivation of R. If 2n is not divisible by the characteristic of R, then $d^{2n-1} = 0$.

PROOF. Suppose to the contrary that $d^{2n-1} \neq 0$. Let $S_0 = R$, and $S_i = 2^{n+1} d^{2n} (S_{i-1})$,

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i = 1, 2, ..., 2n. By Lemmas 7 and 8, $S_0, S_1, S_2, ..., S_{2n-1}$ are prime subrings of *R*, and $d(S_{2n-1}) \subset S_{2n-1} \subset d(S_{2n-2}) \subset S_{2n-2} \subset ... \subset S_0 = R$. From Lemma 1,

$$\binom{2n}{1}d^{2n-1}(x)d(y) + \binom{2n}{2}d^{2n-2}(x)d^2(y) + \dots + \binom{2n}{2n-1}d(x)d^{2n-1}(y) = 0,$$

for all $x, y \in R$. By Lemma 9, since 2n is not divisible by the characteristic of R, we have

(15)
$$d(y_{2n-1})d(x_{2n-1})d(x_{2n-2})\cdots d(x_1)d(y) = 0,$$

for all $x_i \in S_i$, i = 1, 2, ..., 2n - 1, $y_{2n-1} \in S_{2n-1}$ and $y \in R$. Replacing y by zy in (15) yields

$$d(y_{2n-1})d(x_{2n-1})d(x_{2n-2})\cdots d(x_1)zd(y) = 0,$$

for all $x_i \in S_i$, i = 1, 2, ..., 2n - 1, $y_{2n-1} \in S_{2n-1}$, and $y, z \in R$. By the primeness of R, we have

(16)
$$d(y_{2n-1})d(x_{2n-1})d(x_{2n-2})\cdots d(x_1) = 0,$$

for all $x_i \in S_i$, i=1, 2, ..., 2n - 1, and $y_{2n-1} \in S_{2n-1}$. Now replace x_1 by y_1x_1 in (16). By the primeness of S_1 , it follows that

$$d(y_{2n-1})d(x_{2n-1})d(x_{2n-2})\cdots d(x_2) = 0,$$

for all $x_i \in S_i$, i = 2, 3, ..., 2n - 1, and $y_{2n-1} \in S_{2n-1}$. Continuing this process, finally, we get

$$d(y_{2n-1}) = 0$$
 for all $y_{2n-1} \in S_{2n-1}$.

Note that $S_{2n-1} = 2^{(2n-1)(n+1)} d^{2(2n-1)n}(R)$. Thus, we have $2^{(2n-1)(n+1)} d^{2(2n-1)n}(R) = 0$. Since 2 is not the characteristic of R, $d^{2(2n-1)n} = 0$. By Lemma 7, $d^{2n-1} = 0$, a contradiction.

We are now in a position to prove our main result.

PROOF OF THE THEOREM. In view of the last lemma, we need only consider the case that the characteristic p of R is a divisor of n. Let $n = p^k q$, where $p \not\mid q$ and $k \ge 1$, and let $D = d^{p^k}$. Then, clearly, D is a derivation of R, $d^{2n} = D^{2q}$, $p \not\mid 2q$, and D^{2q} is a derivation of R. By Lemma 10, $D^{2q-1} = 0$, or $d^{2n-p^k} = 0$. Therefore, $d^{2n-1} = 0$, as we desired.

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