

Sea-ice thickness retrieval in the Sea of Okhotsk using dual-polarization SAR data

Kazuki NAKAMURA,^{1*} Hiroyuki WAKABAYASHI,^{2†} Shotaro UTO,³ Kazuhiro NAOKI,⁴ Fumihiko NISHIO,⁴ Seiho URATSUKA¹

¹National Institute of Information and Communications Technology, Nukui-kita 4-2-1, Koganei, Tokyo 184-8795, Japan

E-mail: nakamura-kazuki@aist.go.jp

²Japan Aerospace Exploration Agency, Marunouchi 1-6-5, Chiyoda-ku, Tokyo 100-8260, Japan

³National Maritime Research Institute, Shinkawa 6-38-1, Mitaka-shi, Tokyo 181-0004, Japan

⁴Chiba University, 1-33 Yayoi-cho, Inage-ku, Chiba 263-8522, Japan

ABSTRACT. We investigated the feasibility of using multi-polarization synthetic aperture radar (SAR) data to estimate the thickness of undeformed first-year ice. Analysis of the radar signatures for the C- and L-bands showed that the correlation between the ice thickness and VV-to-HH backscattering ratio is larger than the correlation between the ice thicknesses and the backscattering coefficients. This is in part because the ice surface salinity and hence the surface reflection coefficient decreases as the ice thickens. The backscattering ratio had low sensitivity to the small-scale ice surface roughness for the C-band and is almost independent of roughness at L-band. Given that the ratio is most sensitive to ice surface dielectric constants, which depend on salinity, we developed an algorithm for retrieving the ice thickness that is based on the backscattering ratio and on the integral-equation-method (IEM) surface scattering model. Comparison of the observed and estimated ice thicknesses showed that the correlation was much better when the thicknesses were estimated using the backscattering ratio than when the backscattering coefficient was used directly. The algorithm also performed better than previous retrievals using an empirical technique.

INTRODUCTION

The Sea of Okhotsk, which is located at the southern edge of the sea-ice-covered region of the Northern Hemisphere, is significantly affected by the interaction between the atmosphere and ocean (Inoue and others, 2003; Ohshima and others, 2003). The thickness of sea ice in the region is needed to study such interaction and associated processes since the heat flux from sea ice <0.4 m thick is 10–100 times greater than that of the thicker ice types (Maykut, 1978).

We previously studied the backscattering characteristics of sea ice and developed thickness-monitoring algorithms using synthetic aperture radar (SAR) on board Japanese Earth Resources Satellite-1 (JERS-1), European Remote-sensing Satellite-1 (ERS-1) (Wakabayashi and Nishio, 1996) and RADARSAT (Nakamura and others, 2000, 2002). In our recent work, we used multi-polarization SAR data acquired using the polarimetric and interferometric SAR (Pi-SAR) on board a Gulfstream II aircraft for the Sea of Okhotsk. Wakabayashi and others (2004) investigated the L-band polarimetric characteristics of sea ice and found that the VV-to-HH backscattering ratio can be used to estimate ice thickness. Nakamura and others (2005) extended the investigation to dual-frequency (L- and X-bands) SAR data. The ice thickness was estimated from Pi-SAR data using the experimental relationship between ice thickness and the backscattering ratio. With the launch of advanced SAR (ASAR) on board Envisat, we now have dual-polarized SAR satellite data. Using polarization data from ASAR, we made

use of the results of the study of Wakabayashi and others to develop an algorithm to estimate sea-ice thickness. We also plan to apply such an algorithm to multi-polarization SAR data taken by the Advanced Land Observing Satellite (ALOS) and RADARSAT-2 on a routine basis in the near future. Our ultimate objective is to develop an algorithm for retrieving ice thickness based on the different surface-scattering characteristics of ice from different thicknesses.

The algorithm reported in this paper is based on the observed dependence of the backscattering ratio on ice surface salinity which in turn provides thickness information. The algorithm has been tested only on undeformed first-year ice and may not be applicable for other ice types. We validated the technique using near-coincident measurements of thickness from ship and other methods and those inferred from Envisat and Pi-SAR backscatters.

TEST SITES

The test sites (see Fig. 1) for this study were located in the southern Sea of Okhotsk and Lake Saroma in northeast Hokkaido, which are usually covered by sea ice during winter. Lake Saroma is a salt-water lake connected to the Sea of Okhotsk by two channels. Its ice cover is similar to the sea ice in the Sea of Okhotsk in terms of salinity and structure (Nakamura and others, 2002).

The physical properties of the sea ice in the Sea of Okhotsk were investigated from aboard an ice patrol vessel, the *Soya*, operated by the Japan Coast Guard. Since the sea ice in the Sea of Okhotsk drifts fairly quickly, it is very difficult to obtain time-series ground-truth data for a particular geo-location. Therefore, to complement the ice-surface salinity and thickness measurements, we carried out more detailed in situ measurements in Lake Saroma.

*Present address: National Institute of Polar Research, Kaga 1-9-10, Itabashi-ku, Tokyo 173-8515, Japan.

†Present address: Nihon University, Nakagawara 1, Tokusada, Tamuramachi, Koriyama-shi, Fukushima 963-8642, Japan.

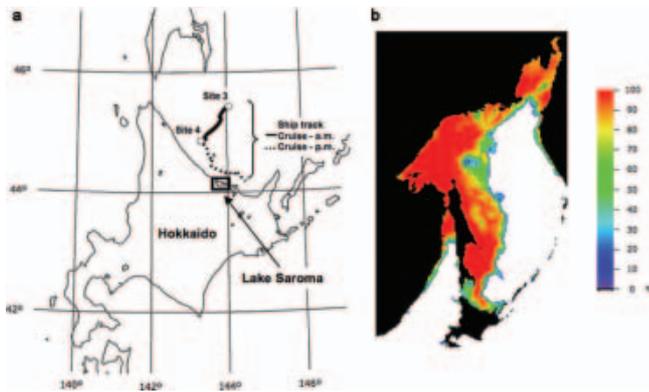


Fig. 1. Test site locations in the Sea of Okhotsk. (a) Test site and ship track. (b) Sea-ice concentration map on 9 February 2004 derived from the Advanced Microwave Scanning Radiometer for the Earth Observing System (AMSR-E) using the Bootstrap algorithm. The AMSR-E data were provided by the US National Snow and Ice Data Center.

DATA ACQUISITION

SAR data

The European Space Agency (ESA) launched the C-band ASAR on board the Envisat satellite in 2002. The ASAR provides either like-polarization (HH and VV) or cross-polarization (HH and HV, or VV and VH) data depending on its polarization mode. It images one of seven swaths located over a range of incidence angles (15–45°). Near-simultaneous HH and VV images were acquired over our test sites for the standard swath (swath No. IS5: 66 km wide). We also acquired aircraft data from the Pi-SAR which was jointly developed by the Japan Aerospace Exploration Agency (JAXA) and the National Institute of Information and Communications Technology (NICT). This sensor is capable of obtaining dual-frequency (X- and L-band) and multi-polarization data (Kobayashi and others, 2000). The characteristics of ASAR and Pi-SAR are summarized in Table 1.

The Envisat and Pi-SAR observations over our test sites that were used in this study were taken at 0929 and 1108 h

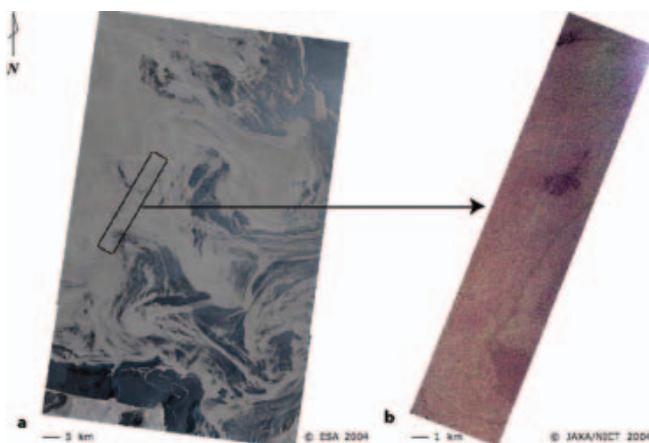


Fig. 2. Examples of SAR images acquired for Sea of Okhotsk. (a) Envisat/ASAR composite image acquired for VV and HH polarization; HH, (HH+VV)/2 and VV were assigned to RGB. (b) Pi-SAR/L-band SAR composite image acquired for HH, HV and VV polarizations; HH, HV and VV were assigned to RGB.

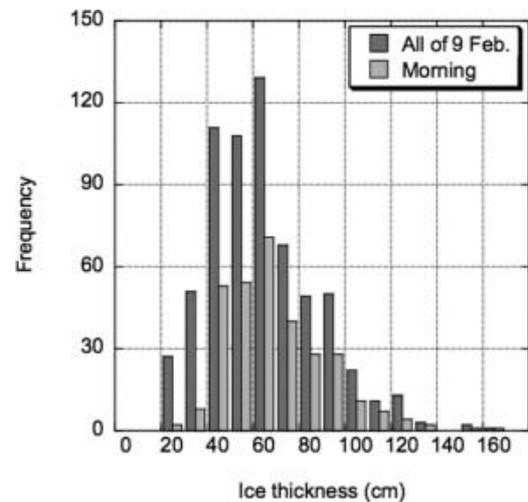


Fig. 3. Frequency distributions of ice thicknesses in Sea of Okhotsk.

Japan Standard Time (JST) on 9 February 2004. To enable us to compare the ASAR data with the ground-truth data, we applied a moving average filter with a window size of 5 by 5 to the ASAR data. These smoothed data were converted to backscattering coefficients using the absolute calibration coefficients provided by the ESA for the ASAR data. A similar procedure was used for the Pi-SAR/L-band data and using the calibration coefficients provided by JAXA. The geometric registration accuracy in the transformation of the SAR images was within a pixel. Examples of acquired images are shown in Figure 2. Figure 2a shows a red–green–blue (RGB) composite image of Envisat as a color composite of HH, (HH+VV)/2 and VV polarizations, and Figure 2b shows that of Pi-SAR as a color composite of HH, HV and VV polarizations.

Ground-truth data

We acquired ground-truth data in the Sea of Okhotsk and Lake Saroma synchronously with the Envisat and Pi-SAR observations. The Sea of Okhotsk measurements were made offshore of Mombetsu, and the Lake Saroma measurements were made in the eastern part of the lake. Since the details are described elsewhere (Nakamura and others, 2005), we describe only aspects of the sea-ice thickness measurements relevant to this paper.

The Sea of Okhotsk measurements were conducted on the *Soya* from 5 to 14 February 2004. The vessel's position was recorded using a global positioning system (GPS) receiver, and its track for 9 February is shown in Figure 1. The track length was 68.1 km for the whole day, 28.1 km of which was for the morning measurements. On the morning run (solid line), sea-ice thickness measurements were made along a track that closely matched those of the Envisat and Pi-SAR. Camcorder images taken on board the *Soya* and also camcorder images taken on board the *Gulfstream II* confirmed that the vessel's track was through undeformed ice. Camcorder shots were taken of the vertical sides of the ice fragments after the ice was broken up by the bow of the vessel (Shimoda and others, 1997). We identified these fragments and measured their thickness by analyzing the camcorder images and using a gage in the images as a reference (Toyota and others, 1999). Figure 3 shows the

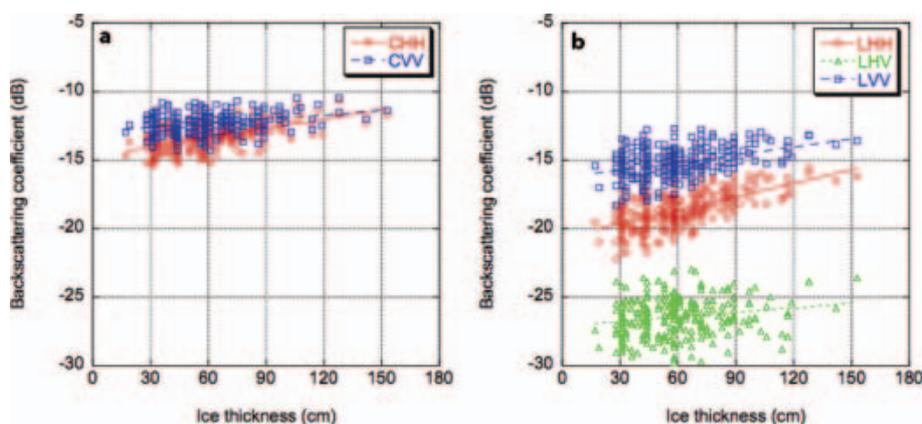


Fig. 4. Relationship between ice thicknesses measured in the morning and backscattering coefficients. (a) Envisat/ASAR. (b) Pi-SAR/L-band SAR.

distribution of the thicknesses. The mean ice thicknesses (number of samples) were 59.0 cm (645) for data acquired during the whole day and 59.2 cm (310) for data taken in the morning only.

There were also two anchored observation points (sites 3 and 4; Fig. 1). Ground-truth observations were conducted at these points in the morning on 9 February, when sky conditions were clear. We estimated that ice movement during the <1 hour interval between the ground-truth and SAR observations was negligible. The snow properties were obtained by snow-pit work. We measured the ice surface salinity after melting ice fragments taken from the top 3 cm layer of the ice surface using an ice auger. In order to measure the thickness of ice, we also dug a hole in the ice sheet using an ice auger. The ice thickness was measured the whole length. The snow depth and ice salinity were quantified using ice-core data obtained at each site. The surface roughness was measured using a roughness comb, a specially designed tool for measuring ice surfaces. It has 106 teeth at 4 mm intervals. The acquired profile is accurate enough to obtain the roughness parameters because the spacing between the teeth is <0.1 times the wavelength observed by SAR (Ulaby and others, 1982). We calculated the roughness parameters of the standard deviation for the surface height (rms height) and correlation length, which was derived from the surface height profile. We obtained the roughness parameters for nine points at sites 3 and 4. The mean rms height and correlation length were 4.3 and 30.0 mm, and the standard deviations of rms height and correlation length were 1.3 and 7.7 mm, respectively.

RELATIONSHIP BETWEEN ICE THICKNESS AND BACKSCATTERING COEFFICIENT

We used 3 by 3 pixel averaging to extract the backscattering coefficients from the Envisat/ASAR and Pi-SAR/L-band SAR images at points corresponding to the ice-thickness measurement points and derived the relationship between the backscattering coefficients and the ice thickness. The relationship is shown in Figure 4, in which the raw ice thicknesses measured in the morning are plotted. The incidence angle at the center of the analysis track was 39° for both SAR images. The standard deviations were calculated when the backscattering coefficients were extracted. The correlation coefficients and standard deviations between the ice thicknesses and backscattering coefficients are summarized in Table 2. The backscattering coefficients for both bands and all polarizations increased as the ice thickness increased. The scattering around the regression lines in Figure 4 was apparently due to local roughness.

To confirm the feasibility of using the backscattering ratio to estimate the ice thickness, we compared in situ the ice thickness with the VV-to-HH backscattering ratios from ASAR and Pi-SAR (Fig. 5a and b). The ice thickness and the backscattering ratio were extracted in the same way as the backscattering coefficient described above. As shown in Figure 5, the ratio decreased as the ice thickness increased. The standard deviations and correlation coefficients are also summarized in Table 2. The correlation coefficient is shown to be highest when the VV-to-HH backscattering ratio is used, the values being 0.80 and 0.87 for data from ASAR

Table 1. Characteristics of ASAR and Pi-SAR

Platform/sensor (observation mode)	Envisat/ASAR (alternative polarization)	Pi-SAR/L-band (4ch full polarimetry)
Center frequency	5.33 GHz	1.27 GHz
Transmission peak power	1.4 kW	3.5 kW
Chirp bandwidth	16 MHz	50 MHz
Antenna size (Az × El)	1.3 m × 10.0 m	1.6 m × 0.7 m
Polarization	HH/VV or VV/VH or HH/HV	HH/HV/VH/VV
Incidence angle	15–45° (variable)	20–60° (fixed)
Observation swath	<100 km	<16 km
Spatial resolution	30 m (1.8 looks)	3 m (4 looks)
Bit length	4 bits (I and Q)	8 bits (I and Q)
Noise equivalent backscattering coefficient	<−21.9 dB	<−30.0 dB

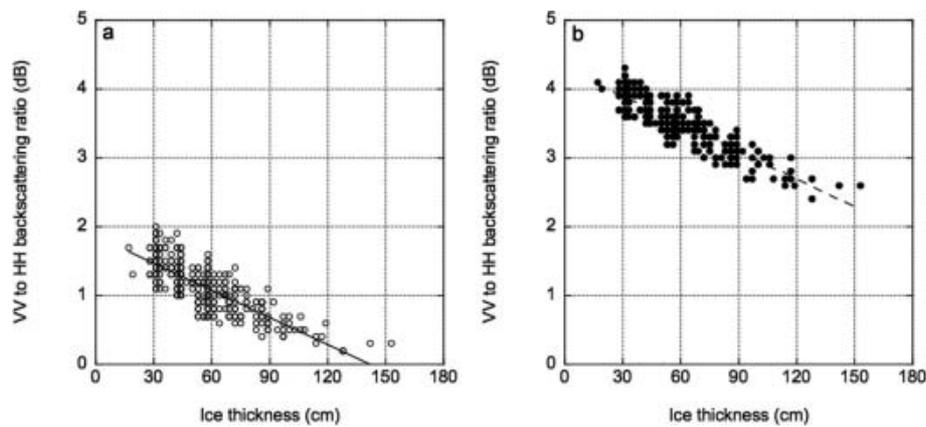


Fig. 5. Relationship between ice thickness and VV-to-HH backscattering ratio. (a) Envisat/ASAR. (b) Pi-SAR/L-band SAR.

and Pi-SAR, respectively. The relatively high correlation value establishes confidence that the ratio provides thickness information.

BACKSCATTER FROM FIRST-YEAR ICE

Since the surface salinity of first-year sea ice is generally high as in the Sea of Okhotsk, surface scattering is considered to be the dominant scattering mechanism. The roughness and dielectric constants of the sea-ice surface contribute to surface scattering. The imaginary part of the dielectric constant of the sea-ice surface decreases as the ice becomes thicker because the brine volume of the ice decreases due to brine expulsion and drainage as the ice grows (Cox and Weeks, 1974).

Because the backscattering coefficients are sensitive to ice-surface roughness and changes in dielectric constant in the case of first-year ice, it is generally difficult to estimate ice thickness from the backscattering coefficients without removing the roughness contribution. Winebrenner and others (1995) reported that the VV-to-HH backscattering ratio is related to ice thickness at 24 cm wavelengths (L-band) or longer, especially for thin ice in Arctic leads. We use the VV-to-HH backscattering ratio in conjunction with salinity information to develop an algorithm discussed in this paper.

PROPOSED ALGORITHM

Retrieval procedure

As mentioned above, the backscattering coefficient of first-year ice depends on the roughness and dielectric constant of the ice surface. Since a typical surface scattering model for small or medium-size roughness (Ulaby and others, 1982; Fung, 1994) can be expressed in a separable form with respect to the dielectric constants and the roughness contribution, the backscattering coefficients from sea ice as observed by SAR were simplified and expressed as

$$\begin{aligned}\sigma_{VV}^0 &= f_{VV}[\alpha_{VV}(H_i), W(s, l)] \\ \sigma_{HH}^0 &= f_{HH}[\alpha_{HH}(H_i), W(s, l)],\end{aligned}\quad (1)$$

where σ^0 is the backscattering coefficient, H_i is the ice thickness, α is the polarization amplitude, which is the term of association with the dielectric constants, the subscripts HH and VV indicate the horizontal and vertical polarizations, respectively, W is the term for the roughness contribution,

s is the rms height, and l is the correlation length. Therefore, σ^0 is expressed as a function f of α and W (Nakamura and others, 2005).

The VV-to-HH backscattering ratio (r_{VVHH}) is derived as follows:

$$r_{VVHH} = \frac{\sigma_{VV}^0}{\sigma_{HH}^0} = \frac{f_{VV}[\alpha_{VV}(H_i), W(s, l)]}{f_{HH}[\alpha_{HH}(H_i), W(s, l)]}. \quad (2)$$

Since we usually use dB, calculating the logarithm for Equation (2) gives:

$$\begin{aligned}\log_{10} r_{VVHH} &= \log_{10} f_{VV}[\alpha_{VV}(H_i)] + \log_{10} f_{VV}[W(s, l)] \\ &\quad - \log_{10} f_{HH}[\alpha_{HH}(H_i)] - \log_{10} f_{HH}[W(s, l)].\end{aligned}\quad (3)$$

Because the roughness term is the same for both polarizations in the surface-scattering model, $f_{VV}[W(s, l)] = f_{HH}[W(s, l)] = 0.5l^2 \exp[-(k/\sin\theta)]$ (when the Gaussian surface correlation function is assumed), and the rms height and the correlation length are independent of the polarization characteristics of the backscatter (Ulaby and others, 1982; Fung, 1994).

Therefore, the roughness contribution is independent of the variations in polarization. The polarization amplitude is related to the dielectric constant in the scattering fields. There is a difference between α_{VV} and α_{HH} in the ice surface. Therefore, the VV-to-HH backscattering ratio is related to the dielectric constant of the ice surface, and this ratio may reflect differences in the ice-surface dielectric constant caused by changes in the ice thickness.

Wakabayashi and others (2004) demonstrated that the VV-to-HH backscattering ratio has little sensitivity to ice surface roughness and is related to variations in salinity or ice surface dielectric constant that can be caused by changes in ice thickness. The ice salinity used in this simulation is based on the empirical relationship between the bulk salinity and thickness of sea ice observed by Cox and Weeks (1974). However, surface salinity and not bulk salinity should be used for such a model because SAR backscatter is sensitive only to the surface layer of undeformed first-year ice. The algorithm used in this paper is based on the procedure described by Wakabayashi and others (2004), but using ice surface salinity and thickness data from the Sea of Okhotsk and Lake Saroma projects.

Our algorithm is based on a surface scattering model and uses a look-up table for the VV-to-HH backscattering ratio. The look-up table is calculated for different ice thicknesses

Table 2. Standard deviations and correlation coefficients between ice thicknesses and backscattering coefficients for each polarization

	Envisat/ASAR			Pi-SAR/L-band SAR			
	HH	VV	VV/HH	HH	HV	VV	VV/HH
Std dev.	0.88	0.73	0.38	1.25	1.37	1.07	0.50
Correlation coefficient, <i>R</i>	0.59	0.31	0.80	0.60	0.18	0.41	0.87

and for the different incidence angles in the SAR observations. It provides the backscattering coefficients for the sea-ice surface as simulated using the integral-equation-method (IEM) model, and the backscattering ratio as calculated using the ratio of VV-to-HH backscattering coefficients. The dielectric constants of sea ice at the SAR frequency that were used as input for the IEM model were calculated based on a simplified two-phase mixture model. The functional relationship between ice salinity and thickness that was used in this simulation was derived from the surface salinity and the sea-ice thickness data collected at the Sea of Okhotsk and Lake Saroma. The schematics of our algorithm, which includes the use of the IEM surface scattering model, the dielectric constant model and the salinity-to-thickness model, is presented in Figure 6.

Surface scattering model

To calculate the VV-to-HH backscattering ratio, we calculate the backscattering coefficients for the sea-ice surface using the IEM model (Fung, 1994), which gives the backscattering coefficients for surfaces with small or medium roughness as

$$\sigma_{pp}^0 = \frac{k^2}{2} \exp(-2k^2\sigma^2 \cos^2\theta) \sum_{n=1}^{\infty} |f_{pp}^n|^2 \frac{W^{(n)}(-2k \sin \theta, 0)}{n!}, \tag{4}$$

$$f_{pp}^n = (2k\sigma \cos \theta)^n f_{pp} \exp(-k^2\sigma^2 \cos^2\theta) + \frac{(k\sigma \cos \theta)^n [F_{pp}(-k \sin \theta, 0) + F_{pp}(k \sin \theta, 0)]}{2}, \tag{5}$$

where pp = vv or hh polarization, *k* is the wavenumber, σ is the rms height, $W^{(n)}(-2k \sin \theta, 0)$ is the Fourier transformation of the *n*th power of the surface correlation coefficient, and θ is the incidence angle. We previously measured (Wakabayashi and others, 2004) ice surfaces under several conditions, including smooth and slightly rough, and preliminarily investigated both the Gaussian and exponential surface correlation functions. Since there was a very small difference in the simulated VV-to-HH backscattering ratio between the two functions, here we use the Gaussian function. We also use f_{pp} , $F_{pp}(-k \sin \theta, 0)$, and $F_{pp}(k \sin \theta, 0)$ for a specific polarization (Fung, 1994).

Dielectric constant model

The dielectric constant of sea ice, ϵ_i , at the SAR frequency is needed to determine the backscattering coefficient using the IEM model. We calculated it using a simplified two-phase mixture model (Hoekstra and Cappillino, 1971):

$$\epsilon'_i = \frac{\epsilon'_p}{1 - 3v_b}, \tag{6}$$

where ϵ'_i is the real part of the dielectric constant for sea ice,

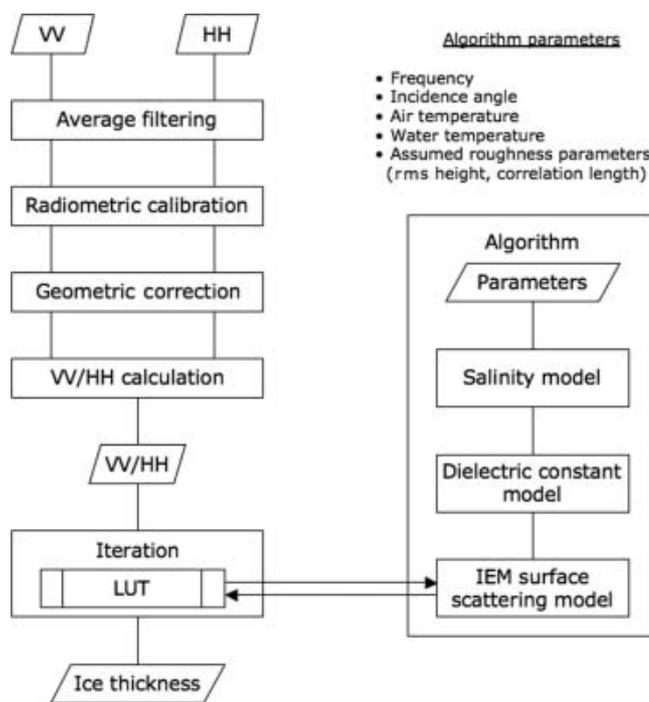


Fig. 6. Algorithm for retrieving ice thickness.

$\epsilon'_p (= 3.15)$ is the relative permittivity of pure ice, and v_b is the brine volume fraction.

$$\epsilon''_i = v_b \epsilon''_b, \tag{7}$$

where ϵ''_i is the imaginary part of the dielectric constant for sea ice, and ϵ''_b is the imaginary part of the dielectric constant for brine. The brine volume can be determined from (Frankenstein and Garner, 1967)

$$v_b = 10^{-3} S_i \left(-\frac{49.185}{T_i} + 0.532 \right), \tag{8}$$

-0.5°C ≥ *T_i* ≥ -22.9°C

where *S_i* is the ice surface salinity (ppt) and *T_i* is the ice surface temperature (°C), which can be determined from (Nakawo and Sinha, 1981)

$$T_i = \frac{(k_s H_s T_w) + (k_s H_i T_a)}{k_s H_i + k_i H_s}, \tag{9}$$

where *T_w* is the water temperature (°C), *T_a* is the air temperature (°C), *H_s* is the snow depth, *H_i* is the ice thickness, and *k_s* and *k_i* are the thermal conductivities for snow and ice (cal cm⁻¹ s⁻¹ deg⁻¹). Then, ϵ''_b can be determined from (Strogyn, 1971)

$$\epsilon''_b = (2\pi f \tau_b) \frac{(\epsilon_{b0} - \epsilon_{w\infty})}{1 + (2\pi f \tau_b)^2} + \frac{\sigma_b}{2\pi f \epsilon_0}, \tag{10}$$

where *f* is the frequency (Hz), $\epsilon_0 (= 8.85 \times 10^{-12}$ farad m⁻¹) is the free-space permittivity, and $\epsilon_{w\infty} (= 4.9)$ is the high-frequency limit of the dielectric constant for pure water (Lane and Saxton, 1952). The ϵ_{b0} and τ_b for a specific brine temperature are given in Grant and others (1957) and Klein and Swift (1977), and the σ_b for a specific brine salinity is given in Strogyn (1971).

Salinity model

Cox and Weeks (1974) observed that the ice salinity decreased as the ice thickened in the Arctic, and Toyota

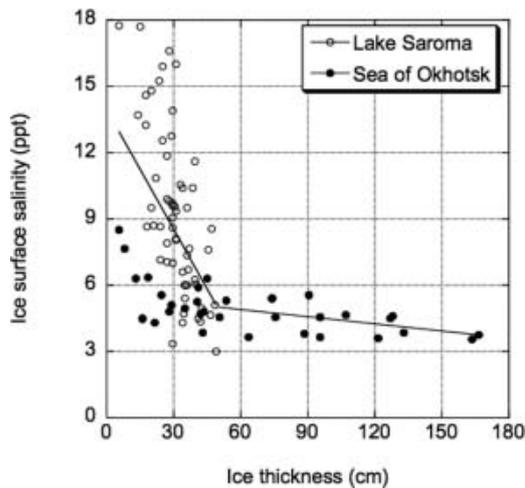


Fig. 7. Relationship between ice thickness and surface salinity for test site located on Lake Saroma (open circles), taken from 1996 to 1998 and in 2003 and 2004, and for Sea of Okhotsk (filled circles), from 2003 and 2004. Dashed lines show two regressions with different salinity gradients. Some of the salinity data for the Sea of Okhotsk came from the Institute of Low Temperature Science, Hokkaido University.

and Kawamura (2002) showed that the same tendency holds for the Sea of Okhotsk. Therefore, the surface salinity of sea ice could provide information about ice thickness. We thus calculated the brine volume and salinity based on the relationship between the ice thickness and ice surface salinity, derived from the ground-truth samplings at the Sea of Okhotsk and Lake Saroma. Figure 7 shows the relationship between the ice thickness and surface salinity obtained using ground-truth acquisition at Lake Saroma from 1996 to 1998 and in 2003 and 2004 and for the Sea of Okhotsk in 2003 and 2004.

The data show that ice surface salinity decreased rapidly from 18 to 5 ppt as the ice thickened to about 50 cm, while the salinity decreased slightly as the ice thickened over 50 cm. This result is similar to that described in previous reports (Cox and Weeks, 1974; Toyota and Kawamura, 2002). Hence, the relationship between the ice thickness and surface salinity can be expressed by two equations derived using an inflection point connecting different salinity gradients. The inflection point can be set to minimize the

scattering around the regression lines, as shown in Figure 7. The ice surface salinity used in our algorithm is based on that relationship.

$$S_i = 13.919 - 0.180H_i \quad (H_i < 50 \text{ cm})$$

$$S_i = 5.550 - 0.011H_i \quad (H_i \geq 50 \text{ cm}) \quad (11)$$

Sensitivity of VV-to-HH backscattering ratio

We investigated the sensitivity of the VV-to-HH backscattering ratio to the surface roughness and ice thickness. For the roughness investigation, the rms height was varied up to 8.0 mm while the other parameters were set at constant value based on the averages of measurements from the Sea of Okhotsk. In particular, the following values are used: snow depth on ice of 10.5 cm, ice thickness of 59.2 cm and correlation length of 30.0 mm. For the thickness investigation, the ice thickness was varied within 120 cm. The same fixed values for the other parameters, as for the roughness investigation, were used except for the rms height, which was set at 4.3 mm, based on the average of measurements from the Sea of Okhotsk. For both investigations, the water temperature was set to -1.8°C , and the air temperature was set to -10.1°C for the C-band (Envisat) and -4.5°C for the L-band (Pi-SAR), which were the temperatures at the time of SAR acquisition as recorded by the Yubetsu meteorological station in Mombetsu.

Figure 8a shows the dependence of the ratio on the roughness at an incidence angle of 39° . For the C-band, the dynamic range of the ratio decreased slightly as the rms height increased, while for the L-band the ratio did not change with the roughness. Therefore, the contribution of roughness to backscatter can be removed using the VV-to-HH backscattering of the L-band.

Figure 8b shows the dependence of the ratio on the ice thickness at an incidence angle of 39° . For both bands, the ratio decreased as the ice thickness increased. It is thus apparent that the L-band data provide a better estimate of sea-ice thickness than C-band data.

Estimation of ice thickness

To validate the ability of our algorithm to estimate ice thickness, we compared the ice thicknesses estimated by the algorithm with the ice thickness measured in situ during the morning run. The observed and estimated ice thicknesses are compared in Figure 9. The calculated rms errors (bias) for the

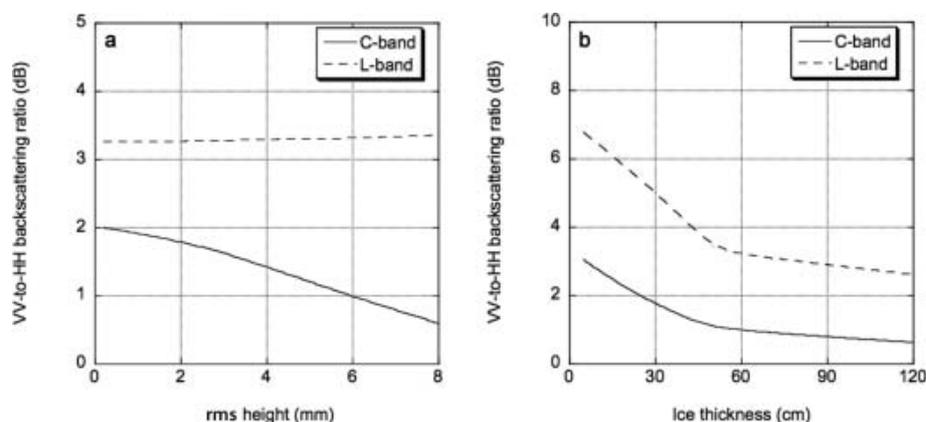


Fig. 8. Relationship between surface scattering parameters and VV-to-HH backscattering ratio derived from IEM model: (a) rms height; and (b) ice thickness.

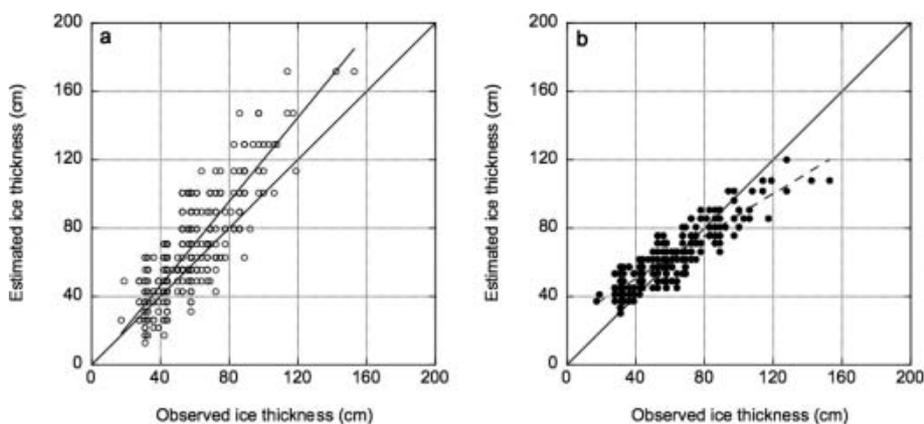


Fig. 9. Comparison between observed and estimated ice thickness. (a) Envisat/ASAR. (b) Pi-SAR/L-band SAR.

C-band Envisat and L-band Pi-SAR data were 13.6 (10.0) and 7.0 (2.8) cm, respectively. It is apparent that the use of L-band provides almost a factor of 2 improvement in accuracy over the C-band. The data points in Figure 7 show a lot of scatter for those below 50 cm. We explored the possibility of improving the accuracy in the retrieval by changing the values in Equation (8) with regression results for data points from the Lake Saroma measurements only. The results differed by a few centimeters, but at this point we are not able to estimate the improvement in accuracy. We also do not know why the data points from Lake Saroma as shown in Figure 7 have a much higher salinity than those at the Sea of Okhotsk.

Using the results of our algorithm, we created an ice-thickness map (Fig. 10). The image shows the large spatial variability in the thickness of ice at the Sea of Okhotsk and the value of having this kind of data for heat-flux and process studies in the polar regions.

CONCLUSION

Using Envisat and Pi-SAR data, we found that the VV-to-HH backscattering ratios for both bands are highly correlated with ice thickness, the correlation coefficient being 0.80 and 0.87 for ASAR and Pi-SAR, respectively. We use this information to develop an algorithm that makes use of the scattering ratio in conjunction with a IEM surface scattering

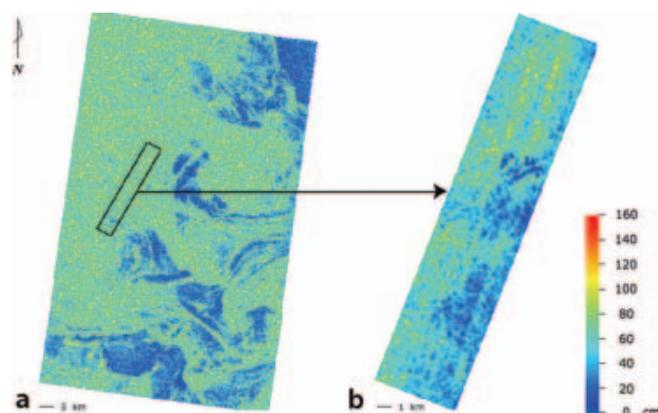


Fig. 10. Ice-thickness map based on results of algorithm for undeformed ice thickness. (a) Envisat/ASAR. (b) Pi-SAR/L-band SAR.

model, a dielectric constant model and a salinity model for sea ice. Our algorithm was tested using observed and calculated results, and the results show good agreement with in situ thickness data, with rms error of 7.0 cm if L-band Pi-SAR data are used. The agreement is not good if C-band ASAR data are used, the rms error being 13.6 cm. This indicates that L-band SAR is more useful for thickness retrieval than the C-band ASAR.

When the ice thickness was estimated using the backscattering coefficient directly, the correlation was not as good as when it was estimated using our algorithm. This study was limited to undeformed first-year ice and likely applicable to the Lake Saroma and Sea of Okhotsk area only. Future work includes using it to retrieve the thickness of undeformed ice in other regions, especially with the successful launch of ALOS which has an L-band PALSAR (Phased Array-type L-band SAR) sensor. Eventually, we also hope to find similar applications for sea ice under rafting and ridging conditions.

ACKNOWLEDGEMENTS

We are grateful to M. Nakayama, K. Tateyama, J. Inoue, S. Watanabe, the Japan Coast Guard and the crews of the *Soya* for their assistance with this study. We also thank the Institute of Low Temperature Science, Hokkaido University, and O. Nakagawa, S. Kojima, Husiletu, Y. Saitoh, A. Muto, A. Hasegawa and H. Enomoto for their assistance in collecting the data in the Sea of Okhotsk and Lake Saroma. The Pi-SAR observation in the Sea of Okhotsk was carried out under the Pi-SAR research announcement program by NICT and JAXA.

REFERENCES

- Cox, G.F.N. and W.F. Weeks. 1974. Salinity variations in sea ice. *J. Glaciol.*, **13**(67), 109–120.
- Frankenstein, G. and R. Garner. 1967. Equations for determining the brine volume of sea ice from -0.5°C to -22.9°C . *J. Glaciol.*, **6**(48), 943–944.
- Fung, A.K. 1994. *Microwave scattering and emission models and their applications*. Norwood, MA, Artech House.
- Grant, E.H., T.J. Buchanan and H.F. Cook. 1957. Dielectric behavior of water at microwave frequencies. *J. Chem. Phys.*, **26**(1), 156–161.

- Hoekstra, P. and P. Capillino. 1971. Dielectric properties of sea and sodium chloride ice at UHF and microwave frequencies. *J. Geophys. Res.*, **76**(20), 4922–4931.
- Inoue, J. and 6 others. 2003. Characteristics of heat transfer over the ice covered sea of Okhotsk during cold-air outbreaks. *J. Meteorol. Soc. Jpn*, **81**(5), 1057–1067.
- Klein, L. and C. Swift. 1977. An improved model for the dielectric constant of sea water at microwave frequencies. *IEEE Trans. Antennas Propag.*, **25**(1), 104–111.
- Kobayashi, T. and 10 others. 2000. Airborne dual-frequency polarimetric and interferometric SAR. *IEICE Trans. Commun.*, **E83-B**(9), 1945–1954.
- Lane, J.A. and J.A. Saxton. 1952. Dielectric dispersion in pure polar liquids at very high radio frequencies. III. The effect of electrolytes in solution. *Proc. R. Soc. London, Ser. A*, **214**(1119), 531–545.
- Maykut, G.A. 1978. Energy exchange over young sea ice in the central Arctic. *J. Geophys. Res.*, **83**(C7), 3646–3658.
- Nakamura, K., F. Nishio and H. Wakabayashi. 2000. Study on ice thickness estimation on Lake Saroma to develop a method to be applied [to] sea ice in the Sea of Okhotsk using SAR data. *Seppyo, J. Jpn. Soc. Snow Ice*, **62**(6), 537–548. [In Japanese with English summary.]
- Nakamura, K., H. Wakabayashi, F. Nishio and S. Uratsuka. 2002. Study on retrieval algorithms for roughness and thickness of ice on Lake Saroma by using multi-incidence angle SAR data. *J. Remote Sens. Soc. Jpn*, **22**(4), 405–422.
- Nakamura, K., H. Wakabayashi, K. Naoki, F. Nishio, T. Moriyama and S. Uratsuka. 2005. Observation of sea-ice thickness in the Sea of Okhotsk by using dual-frequency and fully polarimetric airborne SAR (Pi-SAR) data. *IEEE Trans. Geosci. Remote Sens.*, **43**(11), 2460–2469.
- Nakawo, M. and N.K. Sinha. 1981. Growth rate and salinity profile of first-year sea ice in the High Arctic. *J. Glaciol.*, **27**(96), 315–330.
- Ohshima, K.I., T. Watanabe and S. Nishio. 2003. Surface heat budget of the Sea of Okhotsk during 1987–2001 and the role of sea ice on it. *J. Meteorol. Soc. Jpn*, **81**(4), 653–677.
- Shimoda, H. and 7 others. 1997. Observations of sea-ice conditions in the Antarctic coastal region using ship-board video cameras. *[Antarct. Rec.]*, **41**, 355–365. [In Japanese.]
- Stogryn, A. 1971. Equations for calculating the dielectric constant of saline water (Correspondence). *IEEE Trans. Microwave Theory Tech.*, **19**(8), 733–736.
- Toyota, T. and T. Kawamura. 2002. Sea ice in the Sea of Okhotsk, offshore region of Hokkaido. *Kaiyo Monthly*, **30**(extra issue), 32–44.
- Toyota, T., J. Ukita, K.I. Ohshima, M. Wakatsuchi and K. Muramoto. 1999. A measurement of sea ice albedo over the southwestern Okhotsk Sea. *J. Meteorol. Soc. Jpn*, **77**(1), 117–133.
- Ulaby, F.T., R.K. Moore and A.K. Fung. 1982. *Microwave remote sensing, active and passive. Vol. 2. Radar remote sensing and surface scattering and emission theory*. Reading, MA, Addison-Wesley Publishing Co.
- Wakabayashi, H. and F. Nishio. 1996. A study of ice on Lake Saroma using SAR data. *J. Remote Sens. Soc. Jpn*, **16**(2), 59–66.
- Wakabayashi, H., T. Matsuoka, K. Nakamura and F. Nishio. 2004. Polarimetric characteristics of sea ice in the Sea of Okhotsk observed by airborne L-band SAR. *IEEE Trans. Geosci. Remote Sens.*, **42**(11), 2412–2425.
- Winebrenner, D.P., L.D. Farmer and I.R. Joughin. 1995. On the response of polarimetric synthetic aperture radar signatures at 24-cm wavelength to sea ice thickness in Arctic leads. *Radio Sci.*, **30**(2), 373–402.