

## DISTINGUISHED SUBFIELDS OF INTERMEDIATE FIELDS

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Let  $L$  be a finitely generated extension of a field  $K$  of characteristic  $p \neq 0$ . If  $L/K$  is algebraic, then there is a unique intermediate field  $S$  such that

$$L \subseteq K^{p^{-\infty}} \otimes_K S.$$

$S$  is just the maximal separable extension of  $K$  in  $L$ . If  $L/K$  is not algebraic, then Dieudonne [4] showed there exist maximal separable extensions  $D$  of  $K$  in  $L$  such that  $L \subseteq K^{p^{-\infty}} \otimes_K D$ . In general, not every maximal separable extension of  $K$  in  $L$  has the property. Those which do have the property are called distinguished. Kraft [7] established that a maximal separable extension  $D$  of  $K$  in  $L$  is distinguished if and only if  $[L:D]$  is as small as possible. If the minimum of the  $[L:D]$  is  $p^r$ ,  $r$  is called the order of inseparability of  $L/K$ , denoted  $\text{inor}(L/K)$ .

Let  $L_1$  be an intermediate field of  $L/K$ . If  $L/K$  is algebraic, then the maximal separable extension  $S_1$  of  $K$  in  $L_1$  is contained in the maximal separable extension  $S$  of  $K$  in  $L$ , and moreover  $S$  is separable over  $S_1$ . This paper is concerned with the relationship between distinguished subfields  $D_1$  of  $L_1/K$  and distinguished subfields  $D$  of  $L/K$  in the case where  $L/K$  is not necessarily algebraic. The exact analogue holds, that is every  $D_1$  is contained in a  $D$  with  $D$  separable over  $D_1$  if and only if

$$\text{inor}(L/K) = \text{inor}(L_1/K) + \text{inor}(L/L_1).$$

However in view of the nonuniqueness of distinguished subfields and the fact that maximal separable extensions need not be distinguished, the exact analogue of the algebraic situation is quite strong to impose in the general situation. Thus we are led to examine when some (or all) distinguished subfields  $D_1$  of  $L_1/K$  are merely contained in a distinguished subfield  $D$  of  $L/K$ .

Recall that  $L$  is modular over  $K$  if  $L^{p^n}$  and  $K$  are linearly disjoint over their intersection for all  $n$ . The concept was first introduced by Sweedler to characterize which finite dimensional purely inseparable field extensions can be expressed as a tensor product of simple extensions. It has since been used successfully to investigate arbitrary field extensions [8]. One general result is Theorem 2.3; If  $L/L_1$  is modular and  $L_1(L^p)$  is

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separable over  $L_1$ , then there is a distinguished subfield of  $L_1/K$  contained in one of  $L/K$ .

Results along this line can be used to determine structural properties of inseparable field extensions. In [1] and [2] it is shown that there exist unique minimal intermediate fields  $C^*$  and  $L^*$ ,  $L \supseteq C^* \supseteq L^* \supseteq K$ , such that  $L/C^*$  is separable and  $L^*/K$  has the same order of inseparability as does  $L/K$ . Any intermediate field  $F$  such that  $\text{inor}(F/K) = \text{inor}(L/K)$  is called a form of  $L/K$ . Forms have been characterized by the condition that  $L^{p^n}$  and  $K(F^{p^n})$  are linearly disjoint over  $F^{p^n}$  for all  $n$  [2, Theorem 1.3, p. 656]. In [2, Theorem 2.2, p. 659], it was shown that if  $F$  is a form of  $L/K$  and  $D$  is distinguished for  $L/K$ , then  $L = FD$ . Thus if  $D \cap F$  is distinguished in  $F/K$ , a degree argument shows  $L = D \otimes_{D \cap F} F$ . Now, let  $L \supseteq C^* \supseteq L^* \supseteq K$  be the unique intermediate fields defined above and assume there exists a distinguished subfield  $D_1$  of  $L^*/K$  contained in a distinguished subfield  $D_2$  of  $C^*/K$ . Then by a degree argument

$$C^* = L^* \otimes_{D_1} D_2$$

and since  $L/D_2$  is finitely generated with  $L/C^*$  separable and  $C^*/D_2$  purely inseparable,

$$L = C^* \otimes_{D_2} S$$

for some separable extension  $S$  of  $D_2$  [8, Theorem 4, p. 1178]. Thus

$$L = L^* \otimes_{D_1} D_2 \otimes_{D_2} S \approx L^* \otimes_{D_1} S.$$

Theorem 3.9 shows that if  $C^*/L^*$  is modular, then we can find such a  $D_2$  and  $D_1$ . An example is presented proving that in general such  $D_2 \supseteq D_1$  need not exist.

**1.** Since  $L/K$  is finitely generated, there exists an integer  $n$  such that  $K(L^{p^n})$  is separable over  $K$ . The least such  $n$  is called the *inseparability exponent* of  $L$  over  $K$ , denoted  $\text{inex}(L/K)$ .

**1.1 LEMMA.** *Let  $j \geq \text{inex}(L/K)$ . If  $Y^{p^j} \subseteq L^{p^j}$  is a relative  $p$ -basis of  $K(L^{p^j})/K$ , then  $K(L^{p^j})(Y)$  is a distinguished subfield of  $L/K$ .*

*Proof.* Since  $j \geq \text{inex}(L/K)$ ,  $K(L^{p^j})$  is separable over  $K$ . Thus  $Y^{p^j}$  is a separating transcendence basis of  $K(L^{p^j})$  over  $K$ . Thus  $Y$  is a separating transcendence basis of  $K(L^{p^j})(Y)$  over  $K$  and hence  $K(L^{p^j})(Y)$  is separable over  $K$ . Since

$$K((K(L^{p^j})(Y))^{p^j}) = K(L^{p^{2j}})(Y^{p^j}) = K(L^{p^j}),$$

[5, Proposition 1, p. 288] shows  $K(L^{p^j})(Y)$  is distinguished.

**1.2 THEOREM.** *Let  $L_1$  be an intermediate field of  $L/K$  and let  $n = \text{inex}(L/K)$ . Then the following conditions are equivalent.*

(1) *There exists a distinguished subfield  $D_1$  of  $L_1/K$  which is coseparable in a distinguished subfield  $D$  of  $L/K$ .*

(2) *Every distinguished subfield  $D_1$  of  $L_1/K$  is coseparable in a distinguished subfield  $D$  of  $L/K$ .*

(3)  *$K(L^{p^n})/K(L_1^{p^n})$  is separable.*

(4)  $\text{inor}(L/K) = \text{inor}(L_1/K) + \text{inor}(L/L_1)$ .

*Proof.* (1) implies (4): Let  $D$  be separable over  $D_1$ . Since  $L_1/D_1$  is purely inseparable,  $D \otimes_{D_1} L_1$  is separable over  $L_1$ . Since  $L \subset K^{p^{-\infty}}(D)$ ,

$$L \subset L_1^{p^{-\infty}}(D \otimes_{D_1} L_1).$$

So  $D \otimes_{D_1} L_1$  is distinguished for  $L/L_1$ . Since

$$\begin{aligned} [L:D] &= [L:D \otimes_{D_1} L_1][D \otimes_{D_1} L_1:D] \\ &= p^{\text{inor}(L/L_1)} \cdot [L_1:D_1] \\ &= p^{\text{inor}(L/L_1)} \cdot p^{\text{inor}(L_1/K)}, \end{aligned}$$

(4) follows.

(4) implies (3): Let  $D_2$  be distinguished for  $L/L_1$  and let  $D_1$  be distinguished for  $L_1/K$ . By [8, Theorem 4, p. 1178],  $D_2 = S \otimes_{D_1} L_1$  where  $S$  is separable over  $D_1$ . By (4),  $S$  is distinguished for  $L/K$ . Thus

$$K(L^{p^n}) = K(S^{p^n}) \quad \text{and} \quad K(L_1^{p^n}) = K(D_1^{p^n}).$$

Since  $S/D_1$  and  $D_1/K$  are separable,  $K(S^{p^n})/K(D_1^{p^n})$ , i.e.,  $K(L^{p^n})/K(L_1^{p^n})$  is separable.

(3) implies (2): Let  $D_1$  be a distinguished subfield of  $L_1/K$  and let  $Y_1$  be a relative  $p$ -basis of  $D_1/K$ . Then  $Y_1^{p^n}$  is a relative  $p$ -basis of  $K(L_1^{p^n})/K$ . Since  $K(L^{p^n})/K(L_1^{p^n})$  is separable, there is a subset  $Y$  of  $L$  such that  $Y \supseteq Y_1$  and  $Y^{p^n}$  is a relative  $p$ -basis of  $K(L^{p^n})/K$ . By Lemma 1.1,  $D = K(L^{p^n})(Y)$  is a distinguished subfield of  $L/K$ . Clearly  $D_1 \subseteq D$ . If  $B$  is a  $p$ -basis of  $K$ , then  $B \cup Y_1$  is a  $p$ -basis of  $D_1$  and  $B \cup Y$  is a  $p$ -basis of  $D$ . Thus  $D/D_1$  preserves  $p$ -independence, i.e.,  $D/D_1$  is separable.

(2) implies (1): This is immediate since  $L/K$  and  $L_1/K$  have distinguished subfields.

**1.3 COROLLARY.** *For every intermediate field  $L_1$  of  $L/K$ , every distinguished subfield of  $L_1/K$  is coseparable in a distinguished subfield of  $L/K$  if and only if  $L/K$  is algebraic.*

*Proof.* Suppose  $L/K$  is not algebraic. Let  $n = \text{inex}(L/K)$  and let  $L_1 = K(L^p)$ . Since  $L/K$  is finitely generated and non-algebraic,

$$K(L^{p^n}) \not\supseteq K(L^{p^{n+1}}).$$

Thus  $K(L^{p^n})$  is purely inseparable over its proper subfield  $K(L_1^{p^n})$  and Theorem 1.2 applies. The converse is easy.

1.4 PROPOSITION. *For every intermediate field  $L_1$  of  $L/K$ , every distinguished subfield of  $L_1/K$  is contained in a distinguished subfield of  $L/K$  if and only if every maximal separable intermediate field of  $L/K$  is distinguished.*

*Proof.* Let  $S$  be a maximal separable intermediate field of  $L/K$  which is not distinguished. Then  $L_1 = S$  does not have a distinguished subfield which is contained in one of  $L/K$ . For the converse, it suffices to show that every intermediate field of  $L/K$  which is separable over  $K$  is extendable to a maximal separable intermediate field of  $L/K$ . That this is true follows easily from Zorn's Lemma.

When every maximal separable intermediate field of  $L/K$  is distinguished is examined in [3].

2. In this section we concentrate on a single subfield  $L_1$  of  $L/K$  and examine when a (or every) distinguished subfield of  $L_1$  is contained in one of  $L/K$ .

2.1 PROPOSITION. *Let  $L_1$  be an intermediate field of  $L/K$  and let  $n = \text{inex}(L/K)$ . If every distinguished subfield of  $L_1/K$  is contained in one of  $L/K$ , then*

$$K^{p-n}(L^{p^i}) \cap L_1 \subseteq K(L^{p^i}) \cup K^{p-n}(L_1^p) \quad \text{for } 0 \leq i \leq n.$$

*Proof.* The conclusion is immediate for  $i = 0$ . Assume the conclusion is not true for all  $i$ ,  $0 \leq i \leq n$ , and let  $i$  be the least integer such that there exists

$$\theta \in K^{p-n}(L^{p^{i+1}}) \cap L_1 \setminus K(L^{p^{i+1}}) \cup K^{p-n}(L_1^p).$$

Then

$$\theta^{p^n} \in K(L_1^{p^n}) \setminus K(L_1^{p^{n+1}}),$$

so by Lemma 1.1  $\theta$  is part of a separating transcendence basis of a distinguished subfield  $D_1$  of  $L_1/K$ . Now

$$\theta \in K^{p-n}(L^{p^i}) \cap L_1 \subseteq K(L^{p^i}) \cup K^{p-n}(L_1^p)$$

by the minimality of  $i$ . But  $\theta \notin K^{p-n}(L_1^p)$ , so  $\theta \in K(L^{p^i})$ . From above,

$$\theta \in K^{p-n}(L^{p^{i+1}}) \cap L_1 \setminus K(L^{p^{i+1}}).$$

As in the proof of [3, Theorem 1],  $\theta$  is not in any distinguished subfield of  $L/K$ , and hence  $D_1$  cannot be contained in any distinguished subfield of  $L/K$ .

The following result shows that the necessary condition of Proposition 2.1 is sufficient in a special situation.

**2.2 THEOREM.** *Let  $L_1$  be an intermediate field of  $L/K$ . Suppose  $\text{inex}(L/K) = 1$  and the transcendence degree of  $L/K$  is 1. Then*

$$K^{p-1}(L^p) \cap L_1 \subseteq K(L^p) \cup K^{p-1}(L_1^p)$$

*if and only if every distinguished subfield of  $L_1/K$  is contained in one of  $L/K$ .*

*Proof.* Let  $D_1$  be a distinguished subfield of  $L_1/K$ . If  $D_1$  is algebraic over  $K$ , then  $D_1$  is in every distinguished subfield of  $L/K$ . Thus suppose  $D_1$  is not algebraic over  $K$  and let  $t$  be a separating transcendence basis of  $D_1/K$ . Either  $t \in K^{p-1}(L^p)$  or  $t \notin K^{p-1}(L^p)$ . If  $t \in K^{p-1}(L^p)$ , then by assumption

$$t \in K(L^p) \cup K^{p-1}(L_1^p).$$

Since  $t$  is a separating transcendence basis of  $D_1$  over  $K$  and  $K(L_1^p) = K(D_1^p)$  ( $\text{inex}(L/K) = 1$ ),  $t^p \notin K(L_1^{p^2})$  i.e.,  $t \notin K^{p-1}(L_1^p)$ . Thus  $t \in K(L^p)$ . But any element of  $D_1$  which is separable algebraic over  $K(t)$  is also separable algebraic over  $K(L^p)$ , and hence in  $K(L^p)$ . Thus  $D_1 \subseteq K(L^p)$  and since  $\text{inex}(L/K) = 1$ ,  $D_1$  is contained in every distinguished subfield of  $L/K$ . If  $t \notin K^{p-1}(L^p)$ , i.e.,  $t^p \notin K(L^{p^2})$ ,  $t$  is a separating transcendence basis of a distinguished subfield  $D$  of  $L/K$  by Lemma 1.1. Clearly  $D \supseteq D_1$ .

**2.3 THEOREM.** *Let  $L_1$  be an intermediate field of  $L/K$  and suppose every distinguished subfield of  $L_1/K$  is contained in one of  $L/K$ . If  $T$  is relatively  $p$ -independent in  $L/K$  and is part of a separating-transcendence basis of a distinguished subfield of  $L_1/K$ , then  $T$  is part of a separation transcendence basis of a distinguished subfield of  $L/K$ . If  $\text{inex}(L/K) = 1$  the converse is also true.*

*Proof.* The proof is by induction on  $|T|$ . Let  $n = \text{inex}(L/K)$ . We have

$$K^{p-n}(L^p) \cap L_1 \subseteq K(L^p) \cup K^{p-n}(L_1^p)$$

by Proposition 2.1. Suppose  $T = \{t\}$ . Then

$$t \notin K(L^p) \cup K^{p-n}(L_1^p)$$

and  $t \in L_1$ . Hence  $t \notin K^{p-n}(L^p)$ . Thus  $t$  is part of a separating transcendence basis for a distinguished subfield of  $L/K$ . Suppose  $|T| = m > 1$  and that the result is true for field extensions of exponent  $n$  such that  $|T| = m - 1$ . Let  $T = \{t_1, \dots, t_m\}$ . As above  $t_1$  is part of a separating transcendence basis of a distinguished subfield of  $L_1/K$  and  $L/K$ . Thus every distinguished subfield of  $L_1/K(t_1)$  and  $L/K(t_1)$  is also one of  $L_1/K$  and  $L/K$  respectively. Hence every distinguished subfield of  $L_1/K(t_1)$  is contained in one of  $L/K(t_1)$ . Now  $\{t_2, \dots, t_m\}$  is relatively  $p$ -independent in  $L/K(t_1)$  and is part of a separating transcendence basis for a dis-

tinguished subfield of  $L_1/K(t_1)$ . Thus by the induction hypothesis,  $\{t_2, \dots, t_m\}$  is part of a separating transcendence basis of a distinguished subfield of  $L/K(t_1)$ . Hence  $T$  is part of a separating transcendence basis of a distinguished subfield of  $L/K$ .

Now assume  $\text{inex}(L/K) = 1$ . Let  $T$  be a separating transcendence basis of a distinguished subfield  $D_1$  of  $L_1/K$ . Let  $T' \subseteq T$  be maximal such that  $T'$  is relatively  $p$ -independent in  $L/K$ . Then by assumption  $T'$  is in a distinguished subfield  $D$  of  $L/K$ . Hence

$$T \subseteq K(L^p)(T') = K(D^p)(T') \subseteq D.$$

Thus  $D_1 \subseteq D$ .

We now show the necessary conditions in Proposition 2.1 and Proposition 2.3 are not sufficient when  $\text{inex}(L/K) > 1$ .

2.4 *Example.* Let

$$K = P(x, y) \quad \text{and} \quad L = K(z, zx^{p-2} + y^{p-2})$$

where  $P$  is a perfect field of characteristic  $p \neq 0$  and  $\{x, y, z\}$  is algebraically independent over  $P$ . Every set of one relatively  $p$ -independent element of  $L/K$  is (part of) a separating transcendence basis of  $L/K$  [3, Example 13]. Hence for every intermediate field  $L_1$  of  $L/K$ , any set which is relatively  $p$ -independent in  $L/K$  and which is part of a separating transcendence basis for a distinguished subfield of  $L_1/K$  is also part of a separating transcendence basis of a distinguished subfield of  $L/K$ . Also,

$$K^{p-2}(L^{p^i}) \cap L = K(L^{p^i}), \quad i = 1, 2.$$

Hence for every intermediate field  $L_1$  of  $L/K$ ,

$$K^{p-2}(L^{p^i}) \cap L_1 \subseteq K(L^{p^i}) \cup K^{p-2}(L_1^p), \quad i = 1, 2.$$

That is, every intermediate field  $L_1$  of  $L/K$  satisfies the necessary conditions of Propositions 2.1 and 2.3. However,  $L/K$  has a maximal separable subfield  $S$  which is not distinguished in  $L/K$  [3, Example 13]. Let  $L_1 = S$ . Then no distinguished subfield of  $L_1/K$  is contained in a distinguished subfield of  $L/K$ .

In the following example we show that the assumption of transcendence degree 1 in Theorem 2.3 is needed. The example provides us with extensions  $L/L_1/K$  for which the necessary condition in Proposition 2.1 holds, but not the one in Theorem 2.3.

2.5 *Example.* Let  $K = P(x, y_1, y_2)$  and

$$L = K(z, w, x^{p-1}z + y_1^{p-1}, x^{p-1}w + y_2^{p-1})$$

where  $P$  is a perfect field of characteristic  $p \neq 0$  and  $\{x, y_1, y_2, w, z\}$  is algebraically independent over  $P$ . Then

$$K^{p-1}(L^p) \cap L = K(L^p)$$

and hence for every intermediate field  $L_1$  of  $L/K$ , the necessary condition of Proposition 2.1 holds. It is shown that  $L/K$  has a maximal separable intermediate field  $S$  which is not distinguished [3, Example 12]. Let  $L_1 = S$ . Then no distinguished subfield of  $L_1/K$  is contained in one of  $L/K$ . Hence the necessary condition of Theorem 2.3 cannot hold since  $\text{inex}(L/K) = 1$ .

[3, Example 11] provides an extension  $L/K$  of transcendence degree  $>1$  which has every maximal separable subfield distinguished. Hence every maximal intermediate field  $L_1$  has every distinguished subfield in a distinguished subfield of  $L/K$  by Proposition 1.4.

**2.6 THEOREM.** *Let  $L_1$  be an intermediate field of  $L/K$ . If  $L/L_1$  is modular and  $\text{inex}(L/L_1) = 1$ , then there exists a distinguished subfield of  $L_1/K$  contained in one of  $L/K$ .*

*Proof.* Since  $L/L_1$  is modular,  $L = S \otimes_{L_1} J$  where  $S$  and  $J$  are intermediate fields of  $L/L_1$  such that  $S/L_1$  is separable and  $J/L_1$  is purely inseparable of exponent 1 [8, Theorem 4, p. 1178]. Since  $L/J$  is separable, every distinguished subfield of  $J/K$  is contained in one of  $L/K$  by Theorem 1.2. Thus it suffices to prove the theorem for  $L^p \subseteq L_1$ . Let  $n = \text{inex}(L/K)$ . Let  $Y_3 \subseteq L^{p^{n+1}} \subseteq L_1^{p^n}$  be a relative  $p$ -basis of  $K(L^{p^{n+1}})/K(L_1^{p^{n+1}})$ . Then  $Y_3^{p^{-1}}$  is a relative  $p$ -basis of  $K^{p^{-1}}(L^{p^n})/K^{p^{-1}}(L_1^{p^n})$  and hence is relatively  $p$ -independent in  $K(L^{p^n})/K(L_1^{p^n})$ . Extend  $Y_3^{p^{-1}}$  to a relative  $p$ -basis  $Y_1 \subseteq L^{p^n}$  of  $K(L^{p^n})/K(L_1^{p^n})$ . Let  $Y_2 \subseteq L_1^{p^n}$  be a relative  $p$ -basis of  $K(L_1^{p^n})/K(L^{p^{n+1}})$ . Then  $Y_2 \cup Y_3$  is a relative  $p$ -basis of  $K(L_1^{p^n})/K$  and  $Y_1 \cup Y_2$  is a relative  $p$ -basis of  $K(L^{p^n})/K$ . By Lemma 1.1,

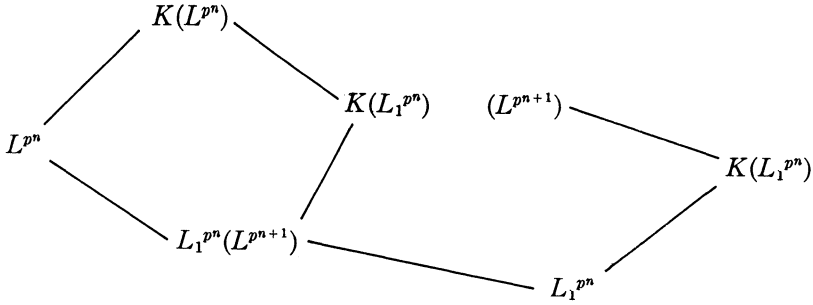
$$D_1 = K(L_1^{p^n})(Y_2^{p^{-n}}, Y_3^{p^{-n}}) \quad \text{and} \quad D = K(L^{p^n})(Y_1^{p^{-n}}, Y_2^{p^{-n}})$$

are distinguished subfields of  $L_1/K$  and  $L/K$  respectively. Clearly  $D_1 \subseteq D$ .

**3.** In this section we examine containment relations for distinguished subfields in the case where  $L_1$  is a form of  $L/K$ . Recall  $L_1$  is a form if  $\text{inor}(L/K) = \text{inor}(L_1/K)$ . Forms  $L_1$  have been characterized by the condition  $L^{p^n}$  and  $K(L_1^{p^n})$  are linearly disjoint over  $L_1^{p^n}$  for all  $n$  [2, Theorem 1.3, p. 656].

**3.1 PROPOSITION.** *Let  $L_1/K$  be a form of  $L/K$ . If  $B$  is a relative  $p$ -basis of  $L/L_1$ , then  $B$  is part of a separating transcendence basis of a distinguished subfield of  $L/K$ . Conversely, if  $B$  is a separating transcendence basis of a distinguished subfield of  $L/K$ , then  $B$  contains a relative  $p$ -basis of  $L/L_1$ .*

*Proof.* Suppose  $B$  is a relative  $p$ -basis of  $L/L_1$ . Since  $L_1/K$  is a form of  $L/K$ , we have linear disjointness in the following diagram by [6, Lemma, p. 162], where  $n = \text{inex}(L/K)$ .



Clearly then  $B^{p^n}$  is relatively  $p$ -independent in  $K(L^{p^n})/K$ . By Lemma 1.1,  $B$  is part of a separating transcendence basis of a distinguished subfield.

Conversely, suppose  $B$  is a separating transcendence basis of a distinguished subfield  $D$ . Then every element of  $D$  is separable algebraic over  $K(B)$ . Hence  $D \subseteq L_1(L^p)(B)$ . By [2, Theorem 2.2, p. 659],  $L = DL_1$ , so  $L = L_1(L^p)(B)$ .

Thus, the number of elements of a relative  $p$ -basis of  $L$  over a form  $L_1$  is bounded above by the transcendence degree of  $L/K$ . For  $L/K$  finitely generated,  $\text{insep}(L/K)$  equals the number of elements in a relative  $p$  basis of  $L$  over  $K$  less the transcendence degree of  $L/K$ .

**3.2 COROLLARY.** *Let  $L_1/K$  be a form of  $L/K$  and let  $n = \text{inex}(L/K)$ . Then the following conditions are equivalent.*

- (1)  $K(L_1^{p^n}) \subseteq K(L^{p^{n+1}})$ .
- (2)  $\text{transcendence degree of } (L/K) = \log_p[L:L_1(L^p)]$
- (3)  $B$  is a relative  $p$ -basis of  $L/L_1$  if and only if  $B$  is a separating transcendence basis of a distinguished subfield of  $L/K$ .
- (4)  $\text{insep}(L/L_1) = \text{transcendence degree of } L_1/K$ .

*Proof.* The equivalence of the conditions (1), (2), and (3) follows easily from Proposition 3.1 and the diagram there. The equivalence of (2) and (4) follows easily from the definition of  $\text{insep}(L/K)$ .

**3.3 THEOREM.** *Let  $L_1/K$  be a form of an inseparable extension  $L/K$ . Every distinguished subfield of  $L_1/K$  is contained in one of  $L/K$  if and only if  $L/L_1$  is separable.*

*Proof.* Suppose every distinguished subfield of  $L_1/K$  is contained in one of  $L/K$ . We prove  $L/L_1$  is separable by induction on the transcendence degree of  $L/K$ . Suppose it is 1. Then by Proposition 3.1 either  $L = L_1(L^p)$  or  $[L:L_1(L^p)] = p$ . If  $L = L_1(L^p)$ , then since  $L/L_1$  is finitely generated,  $L/L_1$  is separable algebraic. Suppose  $[L:L_1(L^p)] = p$ . Then by Corollary 3.2,  $K(L_1^{p^n}) \subseteq K(L^{p^{n+1}})$  so  $L_1 \subseteq K^{p^{-n}}(L^p)$ . Thus

$$L_1 \subseteq K(L^p) \cup K^{p^{-n}}(L_1^p)$$

by Proposition 2.1. Now  $L_1 \not\subseteq K(L^p)$  since  $L_1/K$  is a form of  $L/K$  and



$L/K$  is not separable. Hence  $L_1 \subseteq K^{p-n}(L_1^p)$ . Thus  $K(L_1^{pn}) = K/(L_1^{pn+1})$  and so  $L_1/K$  is algebraic. Thus the transcendence degree of  $L/L_1$  is one, and since  $[L:L_1(L^p)] \leq 1$  by Proposition 3.1,  $L/L_1$  is separable. Now suppose the transcendence degree of  $L/K = d > 1$ . Let  $D_1 \subseteq D$  be distinguished subfields of  $L_1/K$  and  $L/K$  respectively. Assume  $K(D^p) \not\supseteq D_1$ . Then there is an  $x \in D$  such that  $x$  is part of a separating transcendence of  $D_1/K$  and  $D/K$ . Now  $L_1/K(x)$  is a form of  $L/K(x)$  and if  $D_1^*$  is a distinguished subfield of  $L_1/K(x)$  it is also one of  $L_1/K$ . Hence  $D_1^*$  is contained in a distinguished subfield  $D^*$  of  $L/K$ , and  $D^*$  is also a distinguished subfield of  $L/K(x)$ . That is, every distinguished subfield of  $L_1/K(x)$  is in one of  $L/K(x)$ . Thus  $L/L_1$  is separable by the induction hypothesis. Assume  $K(D^p) \supseteq D_1$ . Then

$$L_1 \subseteq K^{p-n}(D^p) \subseteq K^{p-n}(L^p).$$

Hence by Proposition 2.1,

$$L_1 \subseteq K(L^p) \cup K^{p-n}(L_1^p).$$

As above, we conclude  $L/L_1$  is separable. The converse follows from Theorem 1.2.

**3.4 COROLLARY.** *The following conditions are equivalent for an inseparable extension of  $L/K$ .*

- (1) *For every form  $L_1/K$  of  $L/K$ , every distinguished subfield of  $L_1/K$  is coseparable in one of  $L/K$ .*
- (2) *For every form  $L_1/K$  of  $L/K$ , every distinguished subfield of  $L_1/K$  is contained in one of  $L/K$ .*
- (3)  *$L/L^*$  is separable algebraic where  $L^*$  is the unique minimal form of  $L/K$ .*

*Proof.* Clearly (1) implies (2). Assume (2). Then  $L/L^*$  is separable. For any field  $L_1$ ,  $L \supseteq L_1 \supseteq L^*$ ,

$$\text{inor}(L/K) \geq \text{inor}(L_1/K) \geq \text{inor}(L^*/K)$$

[2, Theorem 1.2, p. 656], so  $L_1$  is a form of  $L/K$ . Thus  $L^*(L^p)$  is a form of  $L/K$  and by Theorem 3.3,  $L/L^*(L^p)$  is separable. Thus  $L = L^*(L^p)$ , i.e.,  $L$  is separable algebraic over  $L^*$ . Assume (3). Then  $L$  is separable algebraic over any form  $L_1$ . Thus if  $D_1$  is a distinguished subfield of  $L_1/K$ ,  $L = L_1 \otimes_{D_1} S$  where  $S/D_1$  is separable algebraic. By a degree argument  $S$  is distinguished for  $L/K$ .

**3.5 COROLLARY.** *The following conditions are equivalent for a form  $L_1/K$  of an inseparable extension  $L/K$ .*

- (1) *Every distinguished subfield of  $L_1/K$  is coseparable in one of  $L/K$ .*
- (2) *There exists a distinguished subfield of  $L_1/K$  which is coseparable in one of  $L/K$ .*

- (3) Every distinguished subfield of  $L_1/K$  is contained in one of  $L/K$ .  
 (4)  $L/L_1$  is separable.

*Proof.* The equivalence of (3) and (4) is Theorem 3.3. The equivalence of (1) and (2) follows from Theorem 1.2. Let  $n = \text{inex}(L/K)$ . Since  $L_1/K$  is a form of  $L/K$ ,  $L^{pn}$  and  $K(L_1^{pn})$  are linearly disjoint over  $L_1^{pn}$ . Thus  $L/L_1$  is separable if and only if  $K(L^{pn})/K(L_1^{pn})$  is separable. Hence (1) and (4) are equivalent by Theorem 2.2.

We now show it is possible for a form of  $L/K$  to have no distinguished subfield contained in one of  $L/K$ . We need the following result.

**3.6 PROPOSITION.** *Suppose  $L_1/K$  is a form of  $L/K$  such that  $L/L_1$  is not separable and suppose  $\text{inex}(L/K) = 1 =$  the transcendence degree of  $L/K$ .*

(1) *Let  $D_1$  be a distinguished subfield of  $L_1/K$ . Then  $D_1$  is contained in a distinguished subfield  $D$  of  $L/K$  if and only if  $D_1 \subseteq K(L^p)$ .*

(2) *No distinguished subfield  $D_1$  of  $L_1/K$  is contained in  $K(L^p)$  if and only if*

$$L_1 \cap K(L^p) = K(L_1^{(1)}) \cap K(L^p),$$

where

$$K(L_1^{(1)}) = \{x \in L_1 \mid x^p \in K(L_1^{p^2})\}.$$

*Proof.* (1) Suppose  $D_1 \subseteq D$ , but  $D_1 \not\subseteq K(L^p)$ . Since  $\text{inex}(L/K) = 1$ ,  $K(L^p) = K(D^p)$ . Thus if  $\{x\}$  is a separating transcendence basis of  $D_1/K$ ,  $\{x\}$  is also a separating transcendence basis of  $D/K$ . Thus  $D/D_1$  is separable algebraic and  $L/L_1$  is separable (Corollary 3.5), a contradiction. Conversely, if  $D_1 \subseteq K(L^p)$ , then  $D_1$  is in every distinguished subfield of  $L/K$ .

(2) Now  $x \in L_1 \setminus K(L_1^{(1)})$  if and only if  $x^p \notin K(L_1^{p^2})$ , i.e.,  $x$  is a separating transcendence basis of a distinguished subfield of  $L_1/K$ . Hence no distinguished subfield of  $L_1/K$  is in  $K(L^p)$  if and only if

$$(L_1 \setminus K(L_1^{(1)})) \cap K(L^p) = \emptyset,$$

i.e., if and only if

$$L_1 \cap K(L^p) \subseteq K(L_1^{(1)}) \cap K(L^p).$$

**3.7 Example.**  $\text{inex}(L/K) = 1 =$  the transcendence degree of  $L/K$  and there exists a form  $L_1$  of  $L/K$  such that no distinguished subfield of  $L_1/K$  is contained in one of  $L/K$ : Let

$$K = P(x), \quad L_1 = K((z^p + x^{p-1})^2, x^{p-1})$$

and

$$L = K(z, x^{p-1})$$

where  $P$  is a perfect field of characteristic  $p > 2$  and  $\{x, z\}$  is algebraically independent over  $P$ . By Proposition 3.6, it suffices to show

$$L_1 \cap K(L^p) = K(L_1^{(1)}) \cap K(L^p)$$

since  $L/L_1$  is clearly not separable. It follows easily that

$$K(L_1^{(1)}) \cap K(L^p) = K((z^{p^2} + x)^2).$$

Suppose

$$L_1 \cap K(L^p) \supsetneq K((z^{p^2} + x)^2).$$

Then  $K(L^p)/(L_1 \cap K(L^p))$  is separable algebraic and

$$(L_1 \cap K(L^p))/K((z^{p^2} + x)^2)$$

is purely inseparable. Thus

$$K(L^p) = (L_1 \cap K(L^p)) \otimes_{K((z^{p^2} + x)^2)} S$$

where  $S/K((z^{p^2} + x)^2)$  is separable. Now  $z^p$  is a root of the irreducible polynomial

$$t^{2p} + 2xt^p - (z^{2p^2} + 2z^{p^2}x)$$

in an indeterminate  $t$  over  $K((z^{p^2} + x)^2)$ . Hence by [10, Lemma 3.7, p. 102],  $x^{p-1} \in K(z^p)$ , a contradiction.

Proposition 3.6 can also be used to establish conditions for a form of  $L/K$  to have a distinguished subfield contained in one of  $L/K$ . Recall that an inseparable algebraic extension  $L/K$  is *exceptional* if  $K^{p^{-1}} \cap L = K$ .

**3.8 THEOREM.** *Let  $L_1/K$  be a form of  $L/K$  such that  $L/L_1$  is not exceptional. If  $\text{inex}(L/K) = 1 = \text{transcendence degree of } L/K$ , then there exists a distinguished subfield of  $L_1/K$  contained in one of  $L/K$ .*

*Proof.* If  $L_1$  is algebraic over  $K$ , then the unique distinguished subfield for  $L_1$  is in every distinguished subfield of  $L/K$ . Thus we may assume  $L/L_1$  is algebraic. Suppose no distinguished subfield of  $L_1/K$  is contained in one of  $L/K$ . Then

$$L_1 \cap K(L^p) = K(L_1^{(1)}) \cap K(L^p)$$

by Proposition 3.6. Since  $\text{inex}(L/K) = 1 = \text{transcendence degree of } L/K$  if

$$K(L_1^{(1)}) \cap K(L^p) \supsetneq K(L_1^p)$$

then  $K(L_1^{(1)}) \cap K(L^p)$  is distinguished for  $L_1/K$  since  $K(L_1^{(1)}) \cap K(L^p)$  is separable over  $K$ . However, this is impossible since  $K(L_1^{(1)}) \cap$

$K(L^p)$  is in every distinguished subfield of  $L/K$ . Thus

$$K(L_1^{(1)} \cap K(L^p)) = K(L_1^p).$$

Hence  $L_1 \cap K(L^p) = K(L_1^p)$ . Since  $L^p$  and  $K(L_1^p)$  are linearly disjoint over  $L_1^p$ ,

$$L^p \cap K(L_1^p) = L_1^p.$$

Thus  $L^p \cap L_1 = L_1^p$ . Since  $L/L_1$  cannot be separable,  $L/L_1$  must be exceptional, a contradiction.

**3.9 THEOREM.** *Let  $L_1/K$  be a form of  $L/K$ . If  $L/L_1$  is modular, then there exists a distinguished subfield of  $L_1/K$  contained in one of  $L/K$ .*

*Proof.* As in the proof of Theorem 2.6, it suffices to assume  $L/L_1$  is purely inseparable. Let  $\{x_1, \dots, x_s\}$  be a subbasis of  $L/L_1$  and let  $n_i$  be the exponent of  $x_i$  over  $L_1$  and let  $n$  be the inseparability exponent of  $L/K$ . Since  $L^{pn+1}$  and  $K(L_1^{pn+1})$  are linearly disjoint over  $L_1^{pn+1}$ ,  $\{x_1^{pn+1}, \dots, x_s^{pn+1}\}$  is relatively  $p$ -independent over  $K(L_1^{pn+1})$  since it is such over  $L_1^{pn+1}$ . Thus  $\{x_1^{pn}, \dots, x_s^{pn}\}$  is part of a separating transcendence basis of a distinguished subfield  $D_1$  of  $L_1/K$  (Lemma 1.1). Thus

$$L = D_1(x_1, \dots, x_s) \otimes_{D_1} L_1$$

by a degree argument. Hence  $D_1(x_1, \dots, x_s)$  is a distinguished subfield of  $L/K$ .

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