A NEW FAMILY OF POISSON ALGEBRAS AND THEIR DEFORMATIONS

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Abstract. Let \Bbbk be a field of characteristic zero. For any positive integer n and any scalar $a \in \Bbbk$, we construct a family of Artin–Schelter regular algebras R(n, a), which are quantizations of Poisson structures on $\Bbbk[x_0, \ldots, x_n]$. This generalizes an example given by Pym when n = 3. For a particular choice of the parameter a we obtain new examples of Calabi–Yau algebras when $n \ge 4$. We also study the ring theoretic properties of the algebras R(n, a). We show that the point modules of R(n, a) are parameterized by a bouquet of rational normal curves in \mathbb{P}^n , and that the prime spectrum of R(n, a) is homeomorphic to the Poisson spectrum of its semiclassical limit. Moreover, we explicitly describe Spec R(n, a) as a union of commutative strata.

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§1. Introduction

One of the fundamental notions of noncommutative algebraic geometry is that the noncommutative analogues of polynomial rings (or, alternatively, the coordinate rings of "noncommutative projective spaces") are algebras which are *Artin–Schelter regular* [AS87]. Constructing and, if possible, classifying, Artin–Schelter regular algebras of various global dimensions is thus one of the core problems in the subject. Within the class of quadratic Artin–Schelter regular algebras one also seeks to construct those which are *Calabi–Yau* in the sense of Ginzburg [Gin06]. In general, the problem of classifying Artin–Schelter regular algebras (or Calabi–Yau algebras) is unsolved, even for global dimension 4.

In 2014, Brent Pym classified 4-dimensional Calabi–Yau algebras arising as deformation quantizations of torus-invariant Poisson structures on $\mathbb{A}^4_{\mathbb{C}}$. He showed that there are six families of Calabi–Yau algebras which arise this way; the most interesting example has generators x_0, x_1, x_2, x_3 and relations

$$[x_0, x_1] = 5x_0^2
[x_0, x_2] = -\frac{45}{2}x_0^2 + 5x_0x_1
[x_0, x_3] = \frac{195}{2}x_0^2 - \frac{45}{2}x_0x_1 + 5x_0x_2
[x_1, x_2] = -\frac{3}{2}x_0x_1 + 3x_0x_2 + x_1^2
[x_1, x_3] = 5x_0x_1 - 3x_0x_2 + 7x_0x_3 - \frac{5}{2}x_1^2 + x_1x_2
[x_2, x_3] = -\frac{77}{2}x_0x_2 - \frac{77}{2}x_0x_3 + \frac{21}{2}x_1x_2 + 7x_1x_3 - 3x_2^2$$

In this paper we generalize Pym's example to arbitrary dimensions, and study the properties of the resulting algebras. In particular, we obtain new examples of Calabi–Yau algebras in all global dimensions ≥ 5 .

Pym's example comes from an action of the 2-dimensional solvable Lie algebra on $\mathbb{C}[x_0, x_1, x_2, x_3]$, inducing a Poisson bracket. He shows, using a deformation formula of Coll, Gerstenhaber, and Giaquinto, that this Poisson bracket quantizes to give the algebra (1.1). We generalize Pym's methods to construct a family of algebras R(n, a), depending on a scalar a and an integer $n \ge 1$. The R(n, a) are graded, quadratic, Noetherian, AS-regular domains of global dimension n + 1 (Theorem 3.8, Proposition 3.15). When n = 3 and $a = -\frac{5}{4}$ we obtain Pym's algebra (1.1). For another example, R(1, a) is isomorphic to the Jordan plane $\mathbb{C}\langle x, y \rangle/(xy - yx - x^2)$ (if $a \ne 0$) or to the polynomial ring $\mathbb{C}[x, y]$ (if a = 0). For each n, there is one algebra R(n, a) that is Calabi–Yau (Definition 4.14). We have:

THEOREM 1.2. (Corollary 4.17) The algebra R(n, a) is Calabi-Yau if and only if

$$a = -\frac{(n+2)(n-1)}{2(n+1)}$$

Example (1.1) is the algebra arising from Theorem 1.2 when n = 3. The examples for $n \ge 4$ have not to our knowledge been studied before.

Each R(n, a) induces a Poisson bracket $\{-, -\}_a$ on $\mathbb{C}[x_0, \ldots, x_n]$ in the semiclassical limit. Let A(n, a) be the Poisson algebra $(\mathbb{C}[x_0, \ldots, x_n], \{-, -\}_a)$. In such a deformation-quantization context it is often expected that the algebra R(n, a) and the Poisson algebra A(n, a)share similarities. For instance we make a detailed study of the prime spectrum Spec R(n, a) of R(n, a) and the Poisson prime spectrum PSpec A(n, a)of A(n, a) and show that they are homeomorphic (Theorem 7.1). We further investigate the structure of this space and prove:

THEOREM 1.3. (Corollary 7.6) Let $n \ge 3$. The space Spec $R(n, a) \cong$ PSpec A(n, a) is a union of quasiprojective strata, and has dimension

$$\begin{cases} (n-2) & \text{if } a \notin \mathbb{Q} \\ (n-1) & \text{if } a \in \mathbb{Q} \end{cases}$$

Moreover, we show that R(n, a) satisfies the Dixmier-Moeglin equivalence (Theorem 8.3) and, using a transfer result, we prove that A(n, a) satisfies the Poisson Dixmier-Moeglin equivalence (Theorem 8.6). Taking advantage of the fact that Spec $R(n, a) \cong PSpec A(n, a)$ we compute many examples of prime spectra of the R(n, a).

We investigate various ring theoretic properties of the rings R(n, a) and A(n, a). For $n \ge 2$ we show that $R(n, a) \cong R(n, b)$ if and only if A(n, a) is Poisson isomorphic to A(n, b) if and only if a = b (Theorem 5.1). We compute the skewfield of fractions of R(n, a) and show that it is isomorphic to a Weyl skewfield if and only if $a \in \mathbb{Q}$ (Theorem 8.11). We also show that the graded automorphism group of R(n, a) is isomorphic to the graded Poisson automorphism group of A(n, a).

Finally, we compute the point modules of the R(n, a). We show:

THEOREM 1.4. (Theorem 6.1) Let $n \ge 1$. For any a, the point schemes of R(n, a) are isomorphic. The point modules of R = R(n, a) are parameterized by the union $C_1 \cup \cdots \cup C_n$, where C_k is a rational normal curve of degree k in $\mathbb{P}(R_1^*) \cong \mathbb{P}^n$.

As with Pym's original example, these curves correspond to various nice (equivariant with respect to the appropriate group action) ways to embed \mathbb{P}^1 in $\operatorname{Sym}^n(\mathbb{P}^1) \cong \mathbb{P}^n$.

The organization of the paper is as follows. In Section 2 we define the R(n, a) and A(n, a), and in Section 3 we prove that A(n, a) is the associated graded ring of R(n, a) and that R(n, a) is an Artin–Schelter regular Noetherian domain. We prove Theorem 1.2 in Section 4, and also calculate the graded automorphism group of R(n, a) and the graded Poisson automorphism group of A(n, a). We study when two R(n, a) are isomorphic in Section 5 and prove Theorem 1.3 in Section 6.

In the final three sections we describe the (Poisson) prime and primitive ideals of R(n, a) and A(n, a). We prove Theorem 1.4 in Section 7. In Section 8 we show that R(n, a) satisfies the Dixmier–Moeglin equivalence describing primitive ideals, and that A(n, a) satisfies the related Poisson Dixmier–Moeglin equivalence. We also compute the fraction skewfield of R(n, a). Finally, in Section 9 we give many explicit examples of prime spectra.

§2. Notation and definitions

Throughout we work over a field \Bbbk of characteristic zero (in the introduction for simplicity we worked over \mathbb{C}).

In this section we define the algebras R(n, a) and the Poisson algebras A(n, a) that are the subject of the paper, and describe how they arise from \mathbb{k}^{\times} -invariant actions of the 2-dimensional solvable Lie algebra on polynomial rings.

We begin by discussing such actions. Fix an integer $n \in \mathbb{Z}_{>0}$, and let Δ be the "downward derivation"

$$\Delta = X_0 \partial_1 + \dots + X_{n-1} \partial_n$$

(where $\partial_i = \partial/\partial X_i$). For $a_0, \ldots, a_n \in \mathbb{k}$, let $\Gamma = \Gamma(a_0, \ldots, a_n)$ be the weighted Euler operator

$$\Gamma = \sum a_i X_i \partial_i.$$

We are interested in when Δ and Γ generate a copy of the 2-dimensional solvable Lie algebra inside $\text{Der}_{\Bbbk}(\Bbbk[X_0,\ldots,X_n])$.

LEMMA 2.1. We have $\Delta \Gamma - \Gamma \Delta = \Delta$ if and only if $a_j = a_0 + j$ for $j \in \{0, \ldots, n\}$.

Proof. This follows from the computation

$$(\Delta \Gamma - \Gamma \Delta) X_{i+1} = (a_{i+1} - a_i) X_i,$$

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so $\Delta \Gamma - \Gamma \Delta = \Delta$ if and only if $a_{i+1} = a_i + 1$ for all *i*.

The importance of Lemma 2.1 is the following result of [Pym15], based on the universal deformation formula of [CGG89].

PROPOSITION 2.2. [Pym15, Lemma 3.3] Let A be a commutative \Bbbk algebra, and let $\Delta, \Gamma : A \to A$ be \Bbbk -derivations so that Δ is locally nilpotent and $[\Delta, \Gamma] = \Delta$. For $k \in \mathbb{N}$, define $\binom{\Gamma}{k} = (1/k!)\Gamma \cdot (\Gamma - 1) \cdots (\Gamma - (k - 1))$. Then

$$f \ast g = \sum_{k=0}^{\infty} \hbar^k \Delta^k(f) \binom{\Gamma}{k}(g)$$

defines an associative product on $A[\hbar]$ whose semiclassical limit as $\hbar \to 0$ is the Poisson bracket

$$\{f,g\} = \Delta(f)\Gamma(g) - \Gamma(f)\Delta(g).$$

Further, evaluating at a particular $\hbar \in \mathbb{k}$ gives an associative product

 $*_{\hbar}: A \otimes_{\Bbbk} A \to A.$

The rings $(A, *_{\hbar})$ are isomorphic for any $\hbar \neq 0$.¹

Thus let $A = \Bbbk[X_0, \ldots, X_n]$. For $a \in \Bbbk$, define

$$\Gamma_a = \sum_{i=0}^n (a+i) X_i \partial_i.$$

Let $*_a : A \otimes_{\Bbbk} A \to A$ be defined by

(2.3)
$$f *_a g = \sum_{k=0}^{\infty} \Delta^k(f) \binom{\Gamma_a}{k}(g).$$

¹We note that our sign convention in Proposition 2.2 differs from that of Pym's original result.

By Lemma 2.1 and Proposition 2.2, this defines an associative multiplication on A. Let $\{-, -\}_a : A \otimes_{\Bbbk} A \to A$ be the associated Poisson bracket

(2.4)
$$\{f, g\}_a = \Delta(f)\Gamma_a(g) - \Gamma_a(f)\Delta(g).$$

Going forward, we define R(n, a) to be the associative algebra $(A, *_a)$ and A(n, a) to be the Poisson algebra $(A, \{-, -\}_a)$. The goal of this paper is to study these two algebras.

To end the section, we describe how the construction above relates to the canonical action of the standard Borel subgroup of $\mathbb{P}GL_2(\Bbbk)$ on \mathbb{P}^1 . Let

$$G = \left\{ \begin{pmatrix} a & b \\ 0 & c \end{pmatrix} \middle| ac \neq 0 \right\} \middle/ \Bbbk^{\times}$$

be the standard Borel subgroup of $\mathbb{P}GL_2(\Bbbk)$. Note that $G \cong \Bbbk \rtimes \Bbbk^{\times}$ and is the subgroup of $\mathbb{P}GL_2(\Bbbk)$ that fixes the point $\infty = [1:0] \in \mathbb{P}^1$.

The group G acts on $\operatorname{Sym}^n(\mathbb{P}^1) \cong \mathbb{P}^n$ and thus on the homogeneous coordinate ring $B(\mathbb{P}^n, \mathcal{O}(1)) \cong \Bbbk[X_0, \ldots, X_n]$. Thus the 2-dimensional solvable Lie algebra Lie G acts by derivations on $\Bbbk[X_0, \ldots, X_n]$ for all n. All of these actions are induced by taking symmetric powers of the standard action on $\mathbb{V} = \Bbbk \cdot \{X, Y\}$.

To see how this works explicitly, fix $a \in \Bbbk$ and let $\Gamma_a = aX\partial_X + (a+1)Y\partial_Y$ and $\Delta = X\partial_Y$ as above. Fix also $n \ge 1$. If we set $X_j = X^{n-j}Y^j/j!$ then X_0, \ldots, X_n form a basis for $\operatorname{Sym}^n(\mathbb{V})$ with $\Delta(X_j) = X_{j-1}$ and $\Gamma_a(X_j) = ((n-j)a + j(a+1))X_j = (na+j)X_j$. We see that *n*th symmetric power of the bracket $\{-, -\}_a$ on $\Bbbk[X, Y]$ induces $\{-, -\}_{na}$ on $\Bbbk[X_0, \ldots, X_n]$.

Consider the surjection $\phi : \Bbbk[X_0, \ldots, X_n] \to \Bbbk[X_0, X_1]^{(n)}$ induced from the Veronese embedding of \mathbb{P}^1 as a rational normal curve in \mathbb{P}^n . The Veronese embedding is *G*-equivariant by construction, and from the discussion above we expect ϕ to be a Poisson homomorphism from $A(n, a) \to A(1, a/n)^{(n)}$. We see in Section 6 that this does happen, and furthermore that there is also a surjection $R(n, a) \to R(1, a/n)^{(n)}$.

§3. First properties

Fix $n \ge 1$ and $a \in \mathbb{k}$, and let A = A(n, a) and R = R(n, a). In this section, we give an explicit presentation of R. We show that R is a Noetherian Artin–Schelter regular domain of global dimension n + 1. Finally we study the localizations of A and R at the (Poisson) normal element X_0 .

In the following, we suppress the subscript a where it is clear from context, so we use * to denote multiplication in R and $\{-, -\}$ to denote the Poisson bracket on A. We use concatenation to denote the (commutative) multiplication in A.

Let $z_j = a + j$ and set $X_{-1} := 0$. Since $\binom{\Gamma_a}{\ell}(X_j) = \binom{a+j}{\ell}X_j$ we deduce from (2.3) that, for all $0 \leq i, j \leq n$, we have:

(3.1)
$$X_i * X_j = \sum_{\ell=0}^{i} {\binom{z_j}{\ell}} X_{i-\ell} X_j$$

and

(3.2)
$$\{X_i, X_j\} = z_j X_{i-1} X_j - z_i X_{j-1} X_i.$$

We use two gradings on A: the standard *degree grading* d defined by $d(X_i) = 1$ for all i and the weight grading ϵ defined by $\epsilon(X_i) = i$. For $k \in \mathbb{N}$, let A_k be the d-homogeneous component of d-degree k, and let A^k be the ϵ -homogeneous component of ϵ -degree k. Let $A^j_k = A^j \cap A_k$. Note that we have

$$(3.3)\qquad \qquad \Delta(A_k^j) \subseteq A_k^{j-1},$$

whereas Γ_a preserves both *d*-and ϵ -degree. It follows immediately that *R* is also *d*-graded. Thus the Hilbert series of *R* (with respect to *d*) is

(3.4) hilb
$$R =$$
hilb $A = \frac{1}{(1-t)^{n+1}}$.

Observe also that the Poisson bracket on A is d-homogeneous: $\{A_k, A_\ell\} \subseteq A_{k+\ell}$.

On the other hand, ϵ defines a filtration on R:

LEMMA 3.5. Let A = A(n, a) with Poisson bracket $\{-, -\}$ and let R = R(n, a), with multiplication *. Let $R^{\leq k} := \bigoplus_{\ell \leq k} A^{\ell}$, considered as a subspace of R. Then $R^{\leq k} * R^{\leq \ell} \subseteq R^{\leq k+\ell}$, so R is filtered by the $R^{\leq k}$. The associated graded algebra of R is naturally isomorphic as a graded algebra to A, and under this identification we have

$$\{\operatorname{gr} f, \operatorname{gr} g\} = \operatorname{gr}(f * g - g * f).$$

Proof. As graded vector spaces, since R = A certainly gr $R = \bigoplus_k R^{\leq k}/R^{\leq k-1} \cong \bigoplus_k A^k = A$. Let $f = \sum_{i=0}^k f_i, g = \sum_{i=0}^\ell g_i \in A$, where $f_i, g_i \in A^i$ and $f_k, g_\ell \neq 0$. From (2.3) and (3.3) we have that the ϵ -degree $k + \ell$ components of both f * g and g * f are equal to $f_k g_\ell = g_\ell f_k$ so the multiplications on gr R and A agree.

Finally, gr(f * g - g * f) lies in ϵ -degree $k + \ell - 1$ and is thus equal to

$$\Delta(f_k)\Gamma_a(g_\ell) - \Delta(g_\ell)\Gamma_a(f_k) = \{ \operatorname{gr} f, \operatorname{gr} g \},\$$

as needed.

Recall that a k-algebra R is strongly Noetherian if $R \otimes_{k} C$ is Noetherian for any commutative Noetherian k-algebra C. (For example, polynomial rings are strongly Noetherian.) We have:

COROLLARY 3.6. For any $n \in \mathbb{Z}_{>0}$ and $a \in \mathbb{k}$, the ring R(n, a) is a strongly Noetherian domain.

Proof. This follows by standard arguments (see [MR01, Proposition 1.6.6, Theorem 1.6.9]) from the corresponding properties for $A(n, a) = \operatorname{gr} R(n, a)$.

The next result is useful because it allows us to use inductive arguments to establish properties of the R(n, a) or A(n, a).

PROPOSITION 3.7. Suppose that $n \ge 1$. Let A = A(n, a) and let R = R(n, a). Then

- (1) X_0 is normal in R, and $R/\langle X_0 \rangle \cong R(n-1, a+1)$.
- (2) X_0 is Poisson normal in A, and $A/\langle X_0 \rangle$ is Poisson isomorphic to A(n-1, a+1).

Proof. (1) That $X_0 * R = R * X_0 = X_0 A$ is immediate from (3.1), and so X_0 is normal.

Let $\pi: R \to R/\langle X_0 \rangle$ be the canonical map, and let $Y_i := \pi(X_{i+1})$ for all $0 \leq i < n$. Clearly $R/\langle X_0 \rangle = R/X_0 * R$ may be identified with $A/X_0A = A/\langle X_0 \rangle$ as a graded vector space, and this and R(n-1, a+1) are isomorphic as graded vector spaces. From (3.1), the multiplication * on $R/\langle X_0 \rangle$ satisfies

$$Y_i * Y_j = \sum_{\ell=0}^{i} \binom{a+j+1}{\ell} Y_{i-\ell} Y_j,$$

which is precisely the multiplication on R(n-1, a+1).

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Note that the isomorphism $R/\langle X_0 \rangle \cong R(n-1, a+1)$ respects both the *d*-grading and the ϵ -filtration on R.

For (2), it is clear that X_0 is Poisson normal. Since $A/\langle X_0 \rangle$ is the associated graded of the ϵ -filtration on $R/\langle X_0 \rangle \cong R(n-1, a+1)$, the remaining statement follows immediately from Lemma 3.5.

We next prove that the algebras R(n, a) have good homological properties. Recall that an N-graded k-algebra R with $R_0 = k$ is Artin-Schelter regular or AS-regular if:

- (1) gldim $R < \infty$;
- (2) R has finite Gelfand–Kirillov dimension;

(3)
$$\operatorname{Ext}_{R}^{i}(\mathbb{k}_{R}, R_{R}) \cong \begin{cases} 0 & \text{if } i \neq \operatorname{gldim} R\\ {}_{R}\mathbb{k}[\ell] & \text{if } i = \operatorname{gldim} R. \end{cases}$$

(Here $k[\ell]$ means that the module is degree-shifted by some amount $\ell \in \mathbb{Z}$.) The Artin–Schelter regular condition is a noncommutative analogue of the good properties of commutative polynomial rings [AS87]. Condition (3) above is called the *AS–Gorenstein* condition.

THEOREM 3.8. Fix $n \in \mathbb{Z}_{>0}$ and let $a \in \mathbb{k}$. The algebra R = R(n, a) is Artin–Schelter regular of global dimension n + 1.

Proof. We prove by induction on n that R is AS-regular, Auslander–Gorenstein, and Cohen–Macaulay. We do not give the definitions of Auslander–Gorenstein or Cohen–Macaulay; the unfamiliar reader may treat them as technical terms internal to this proof.

It is well known that the Jordan plane R(1, a) and the polynomial ring R(1, 0) satisfy all of the above properties, so that the base case n = 1 is clear. Thus we may assume that n > 1.

By Lemma 3.5 A(n, a) is the associated graded ring of R under the ϵ -filtration. Thus by [MR01, Corollary 7.6.18], gldim $R \leq$ gldim A(n, a) = n + 1. By Proposition 3.7 and [MR01, Theorem 7.3.5], gldim $R \geq$ gldim R(n-1, a+1) + 1. This last is n by induction. Thus gldim R = n + 1.

By induction, R(n-1, a+1) is Auslander–Gorenstein and Cohen–Macaulay. By [Lev92, Theorem 5.10], the same holds for R. By [Lev92, Theorem 6.3] and (3.4), R is AS–Gorenstein and thus AS-regular.

(3.9)
$$\sum_{u=0}^{k} \binom{a}{u} \binom{b}{k-u} = \binom{a+b}{k}.$$

We also use the following lemma:

LEMMA 3.10. Let $V = A_1 = \operatorname{span}_{\Bbbk}(X_0, \ldots, X_n)$. For $b \in \Bbbk$, define a linear map $\phi_b : V \to V$ by

$$\phi_b(X_i) = \sum_{\ell=0}^i \binom{b}{\ell} X_{i-\ell}$$

Then $\phi_a \phi_b = \phi_{a+b}$.

Proof. By (3.9) we have:

$$\phi_a \phi_b(X_j) = \phi_a \left(\sum_{i=0}^j {b \choose i} X_{j-i} \right) = \sum_{i=0}^j {b \choose i} \left(\sum_{\ell=0}^{j-i} {a \choose \ell} X_{j-i-\ell} \right)$$
$$= \sum_{k=0}^j \left(\sum_{u=0}^k {b \choose u} {a \choose k-u} \right) X_{j-k} = \sum_{k=0}^j {a+b \choose k} X_{j-k} = \phi_{a+b}(X_j).$$

The following lemma gives us quadratic relations that are satisfied in R. We also give an equation that reverses the deformation formula (3.1) and thus allows us to obtain the commutative product from the noncommutative product *.

Recall that we set $z_j = a + j$.

Lemma 3.11. For all $0 \leq i, j \leq n$ we have

(3.12)
$$X_i X_j = X_i * X_j + \sum_{\ell=1}^{i} {\binom{-z_j}{\ell}} X_{i-\ell} * X_j = \sum_{\ell=0}^{i} {\binom{-z_j}{\ell}} X_{i-\ell} * X_j$$

and

(3.13)
$$X_i * X_j - X_j * X_i = \sum_{k=1}^j {\binom{-z_i}{k}} X_{j-k} * X_i - \sum_{\ell=1}^i {\binom{-z_j}{\ell}} X_{i-\ell} * X_j.$$

Proof. Fix j, and recall the definition of the linear map ϕ_b from Lemma 3.10. Since for any $F \in R_1$ we have $F * X_j = \phi_{z_j}(F)X_j$, clearly $X_i X_j = \phi_{z_j}^{-1}(X_i) * X_j$. By Lemma 3.10, we immediately obtain (3.12). Applying (3.12) to the equation $X_i X_j = X_j X_i$, we obtain (3.13).

Note that relations (3.13) can be rewritten as:

(3.14)
$$\sum_{k=0}^{j} {\binom{-z_i}{k}} X_{j-k} * X_i = \sum_{\ell=0}^{i} {\binom{-z_j}{\ell}} X_{i-\ell} * X_j$$

We now have:

PROPOSITION 3.15. The relations in R = R(n, a) are exactly the $\binom{n+1}{2}$ relations given by (3.13) for $0 \le i < j \le n$.

Proof. By comparing dim $R_1 \otimes_{\mathbb{K}} R_1$ and dim R_2 it is clear that R satisfies $\binom{n+1}{2}$ quadratic relations; since the relations in (3.13) are linearly independent for $0 \leq i < j \leq n$ they are precisely the quadratic relations of R.

By Theorem 3.8 R is AS-regular, and by (3.4) it is what is referred to as a *quantum* \mathbb{P}^n in [ST01]. Thus by [ST01, Theorem 2.2], R is Koszul and in particular is given by quadratic relations. Thus the relations in (3.13) are precisely the relations of R.

EXAMPLE 3.16. When n = 1 we obtain:

$$\{X_0, X_1\} = -aX_0^2$$
 and $X_0 * X_1 - X_1 * X_0 = -aX_0 * X_0.$

For $a \neq 0$ the algebra R(1, a) is isomorphic to the well-known Jordan plane, and the Poisson algebra A(1, a) is isomorphic to the *Poisson–Jordan plane*: the polynomial algebra $\Bbbk[X_0, X_1]$ endowed with Poisson bracket $\{X_0, X_1\} = X_0^2$.

EXAMPLE 3.17. When n = 2 the algebra R(2, a) is given by generators X_0, X_1, X_2 and relations:

$$X_{0} * X_{1} - X_{1} * X_{0} = -aX_{0} * X_{0},$$

$$X_{0} * X_{2} - X_{2} * X_{0} = -aX_{0} * X_{1} - \binom{a}{2}X_{0} * X_{0},$$

$$X_{1} * X_{2} - X_{2} * X_{1} = (a+2)X_{0} * X_{2} - (a+1)X_{1} * X_{1} + \binom{a+2}{2}X_{0} * X_{1}.$$

The Poisson bracket on A(2, a) is given by:

(3.19)
$$\{X_0, X_1\} = -aX_0^2, \\ \{X_0, X_2\} = -aX_0X_1, \\ \{X_1, X_2\} = (a+2)X_0X_2 - (a+1)X_1^2.$$

EXAMPLE 3.20. When n = 3 and a = -5/4 we obtain an algebra isomorphic to Pym's example (1.1). The isomorphism sends $X_i \mapsto x_i/4^i$ for $i = 0, \ldots, 3$.

We now prove a technical result which gives equivalent conditions for an element to be normal (or Poisson normal), under mild conditions on a and n. In particular we show that, up to multiplication by nonzero scalars, X_0 is the only homogeneous element of d-degree 1 that is (Poisson) normal.

PROPOSITION 3.21. Assume either that $n \ge 2$ or that n = 1 and $a \ne 0$. Then:

(1a) N is Poisson normal in $A = A(n, a) \iff (1b)$ N is normal in $R = R(n, a) \iff (1c)$ we have $\Delta(N) = 0$ and $\Gamma_a(N) = uN$ for some $u \in k$.

(2a) N is Poisson central in $A \iff (2b)$ N is central in $R \iff (2c)$ we have $\Delta(N) = \Gamma_a(N) = 0$.

Proof. Without loss of generality, n > 0. The implications $(1c) \Rightarrow (1a), (1b)$ and $(2c) \Rightarrow (2a), (2b)$ are clear from (2.3). To prove the other implications, we first prove:

Claim. There is an irreducible $G \in A$ with $\Delta(G) = 0$ and $\Gamma(G) = \lambda G$ for some $\lambda \neq 0$, and further such that $\langle G \rangle = G * R = R * G$ is a completely prime ideal of R.

To prove the claim, if $a \neq 0$ then we may take $G = X_0$, so $\lambda = a$. The last statement follows from the isomorphism $R/\langle X_0 \rangle \cong R(n-1, a+1)$.

If a = 0 and $n \ge 2$ then let $G = X_0 X_2 - \frac{1}{2} X_1^2$ and $\lambda = 2$. We have $\Delta(G) = 0$ and $\Gamma_a(G) = 2(a+1)G = \lambda G$. Note that G is normal in R and ϵ -homogeneous and so the ϵ -filtration on R descends to $R/\langle G \rangle$. It is clear that $\operatorname{gr}(R/\langle G \rangle)$ is isomorphic to $A/\langle G \rangle$ which is a domain, so G * R is completely prime.

We now prove $(1a) \Rightarrow (1c)$. Let G, λ be as in the Claim. Suppose that N is Poisson normal in A. Without loss of generality we can assume that $N \notin GA$ and $N \notin X_0 A$ since G and X_0 are Poisson normal. Because $\{G, N\} = -\lambda G\Delta(N) \in NA$, there exists $G' \in A$ such that $G\Delta(N) = G'N$. Since $N \notin GA$ and G is irreducible there exists $v \in A$ such that G' = vG and we obtain

 $\Delta(N) = vN$ after dividing by G. Since Δ is *d*-homogeneous we must have $v \in A_0 = \mathbb{k}$. But Δ is locally nilpotent so we must have v = 0 and $\Delta(N) = 0$. There therefore exists $X'_1 \in A$ such that:

 $X'_1 N = \{X_1, N\} = X_0 \Gamma_a(N).$

Again since $N \notin X_0 A$ we can write $X'_1 = X_0 u$ for some $u \in A$, and we have $\Gamma_a(N) = uN$. The derivation Γ_a being *d*-homogeneous, we conclude as before that $u \in A_0 = \mathbb{k}$ is a scalar.

We now prove $(1b) \Rightarrow (1c)$. Suppose that N is normal in R. Without loss of generality we can assume that $N \notin X_0 * R$ and $N \notin G * R$ since X_0 and G are normal. By normality of N there exists G' such that G * N =N * G'. Since $N \notin G * R$ we must have $G' \in G * R$ as G * R is completely prime. Moreover, using the equation G * N = N * G' we deduce that G' is dhomogeneous and d(G') = d(G). So G' = wG for a scalar w, and we conclude that N must satisfy the equation G * N = wN * G. Using the definition of the product * we obtain the following equation after dividing by G:

(3.22)
$$w\left(N + \lambda\Delta(N) + \frac{\lambda(\lambda-1)}{2}\Delta^2(N) + \dots + \binom{\lambda}{k}\Delta^k(N)\right) = N$$

for some $k \ge 0$. We decompose $N = \sum_{i=0}^{\ell} N_i \in \bigoplus A^i$ into ϵ -homogeneous pieces. Since $\Delta(A^i) \subseteq A^{i-1}$ we deduce from Equation (3.22) that $N_{\ell} = wN_{\ell}$, that is, w = 1 and $\Delta(N_{\ell}) = 0$. Finally we obtain by (a decreasing) induction that $\Delta(N_i) = 0$ for all i, so that $N \in \ker \Delta$.

By normality of N there exists $F \in R$ such that $X_1 * N = N * F$. Since $\Delta(N) = 0$ we have

$$NF = N * F = X_1 * N = X_1N + X_0\Gamma_a(N),$$

so N divides $X_0\Gamma_a(N) \in A$. As $N \notin X_0 * R = X_0A$ we have that N divides $\Gamma_a(N)$, so there exists $u \in A$ with $\Gamma_a(N) = uN$. Comparing d-degrees we have $u \in A_0 = \Bbbk$.

For the remaining equivalences note that if N is either Poisson central in A or central in R then certainly N is (Poisson) normal. We have seen that $\Delta(N) = 0$ and $\Gamma_a(N) = uN$ for some $u \in \mathbb{k}$. We then have either: $0 = \{X_1, N\} = uX_0N$ (if N is Poisson central) or $0 = X_1 * N - N * X_1 = uX_0N$ (if N is central). In either case u = 0. Thus (2a) \Rightarrow (2c) and (2b) \Rightarrow (2c). \Box

REMARK 3.23. We note that Proposition 3.21 does not make it easy to find the normal (or central) elements of R. In particular, it is a famously

difficult problem in symbolic dynamics to calculate $A^{\Delta} = \{f \in A \mid \Delta(f) = 0\}$; see [Fre13]. In fact, A^{Δ} is not known explicitly for $n \ge 9$.

The rings R(n, a) are complicated to study but they become much simpler after localization at the normal element X_0 . To end this section we study the localizations of R = R(n, a) and A = A(n, a) at the (Poisson) normal element X_0 . Let $R^{\circ} := R[X_0^{-1}]$ and $A^{\circ} := A[X_0^{-1}]$. We note that Δ and Γ_a extend to A° , and that the Poisson bracket $\{-, -\}_a$ extends to A° and is still defined by (2.4). Likewise, the multiplication $*_a$ on R° is still defined by (2.3). The *d*-grading extends to A° and to R° . The ϵ -grading extends to A° , and ϵ defines a filtration on R° with associated graded A° , as with the nonlocalized rings.

We further define a new grading $A^{\circ} = \bigoplus_{e \in \mathbb{k}} A^{\circ}(e)$ by defining $A^{\circ}(e)$ to be the *e*-eigenspace of Γ_a . Notice that $A^{\circ}(e) \neq 0$ if and only if $e \in a\mathbb{Z} + \mathbb{N}$. Further note that if $F \in (A^{\circ})_d^{\epsilon}$, then $F \in A^{\circ}(e)$ for $e = da + \epsilon$. It follows from (3.3) that A° is also the associated graded ring of R° with respect to the *e*-filtration, and that the ϵ - and *e*-filtrations on R° both induce the Poisson bracket $\{-, -\}_a$ on A° .

Let $B = (A^{\circ})^{\Delta}$. In contrast to A^{Δ} , which is unknown in general, B is easy to compute and is isomorphic to a polynomial ring in n variables. Explicitly, for $j \ge 1$ define

$$Y_{j} = \sum_{p=0}^{\infty} \frac{(-X_{1})^{p}}{p! X_{0}^{p}} \Delta^{p}(X_{j}).$$

This is well defined since Δ is locally nilpotent. Note that $Y_j \in (A^\circ)_1^j$; in particular, Y_j is *e*-homogeneous, with $e(Y_j) = e(X_j) = z_j$.

LEMMA 3.24. We have that A° is freely generated by X_1, Y_2, \ldots, Y_n and B is freely generated by Y_2, \ldots, Y_n as commutative algebras over $\Bbbk[X_0^{\pm 1}]$.

Proof. That B is generated by $X_0^{\pm 1}, Y_2, \ldots, Y_n$ follows from [vdE93, Proposition 2.1], since $\Delta(X_1/X_0) = 1$. If $i \ge 2$, then

(3.25)
$$X_i - Y_i \in \mathbb{k}[X_0^{\pm 1}, X_2, \dots, X_{i-1}].$$

This shows that the elements $X_0, X_1, Y_2, \ldots, Y_n$ are algebraically independent, proving that B is freely generated as claimed.

By (3.25) and induction, A° is generated over B by X_1 , and thus over $\Bbbk[X_0^{\pm 1}]$ by X_1, Y_2, \ldots, Y_n . Since Kdim $A^{\circ} = n + 1$, thus A° is freely generated as well.

LEMMA 3.26. Let $\delta = X_0 \Gamma_a$, so $\delta \in \text{Der}_{\Bbbk}(B)$. Define a map $\beta : B[z] \to A^\circ$ by $\beta(\sum b_i z^i) = \sum b_i X_1^i$. Then β is a ring isomorphism $B[z; \delta] \to R^\circ$ and a Poisson isomorphism $(B[z], \{-..-\}_{\delta}) \to A^\circ$.

Proof. Since B is an e-graded subring of A° , therefore $\delta(B) \subseteq B$ and so $\delta \in \text{Der}_{\Bbbk}(B)$.

Let \star be the multiplication in $B[z; \delta]$. Note that if we put e(z) = a + 1then β is clearly *e*-graded as an isomorphism of vector spaces and *e*-filtered as a map from $B[z; \delta] \to R^{\circ}$. Since A° is the associated graded of R° it suffices to prove that $\beta : B[z; \delta] \to R^{\circ}$ is a ring homomorphism. It is enough to check that $\beta(z \star g) = \beta(z) *_a g$ for $g \in B(e)$. But we have

$$\beta(z) *_a g = X_1 *_a g = gX_1 + egX_0 = \beta(gz + \delta(g)) = \beta(z \star g)$$

as needed.

§4. Graded automorphisms and the Nakayama automorphism

In this section we compute the graded automorphism group of R(n, a) and determine its Nakayama automorphism. In particular we prove Theorem 1.2.

We begin with the graded automorphism group of R(n, a). In order to prove that the maps ϕ_b defined in Lemma 3.10 are well-defined automorphisms of R(n, a) for any $a, b \in \mathbb{k}$ we use the theory of Zhang twists. More specifically we prove that R(n, a) and R(n, b) are Zhang twists of each other for any $a, b \in \mathbb{k}$. We now recall the definition of Zhang twist from [Zha96]. If S is an N-graded ring with multiplication *, and $\phi \in \operatorname{Aut}(S)$ is a graded automorphism of S, then the Zhang twist of S by ϕ is written S^{ϕ} . As a graded vector space, S^{ϕ} is isomorphic to S. The multiplication \circ on S^{ϕ} is defined by $r \circ s = r * \phi^i(s)$ for all $r \in S_i^{\phi} = S_i$ and $s \in S_j^{\phi} = S_j$. This is associative by [Zha96, Proposition 2.3].

In the terminology of [Zha96] the family of maps $\{\phi^i \mid i \in \mathbb{N}\}$ is a twisting system of S. We note that the definition in [Zha96] is slightly more general than the one we give here, but we do not need this greater generality.

Also recall that we may define a *left-hand Zhang twist* of S by ϕ , which we write ${}^{\phi}S$. The multiplication \circ' on ${}^{\phi}S$ is defined by $r \circ' s = \phi^{j}(r) * s$ for all $r \in {}^{\phi}S_{i} = S_{i}$ and $s \in {}^{\phi}S_{j} = S_{j}$. This is associative by [Zha96, Proposition 4.2]. We have the following easy lemma.

LEMMA 4.1. Let S be an \mathbb{N} -graded ring with multiplication *, and let $\phi \in \operatorname{Aut}(S)$ be a graded automorphism of S. Then $S^{\phi} \cong {}^{\phi^{-1}}S$.

Proof. Define $\Phi: S^{\phi} \to {}^{\phi^{-1}}S$ by $\Phi(t) = \phi^{-k}(t)$ for all $t \in S_k^{\phi}$.

We denote the multiplication on S^{ϕ} by \circ and the multiplication on $\phi^{-1}S$ by \circ' . Then for $r \in S_i, s \in S_j$, we have

$$\Phi(r \circ s) = \Phi(r * \phi^{i}(s)) = \phi^{-(i+j)}(r * \phi^{i}(s)) = \phi^{-i-j}(r) * \phi^{-j}(s)$$

since ϕ is an algebra automorphism of S. Thus

$$\Phi(r) \circ' \Phi(s) = \phi^{-j}(\phi^{-i}(r)) * \phi^{-j}(s) = \Phi(r \circ s),$$

as needed.

The first goal of this section is to prove the following theorem

THEOREM 4.2. Fix $n \in \mathbb{Z}_{\geq 0}$. For any $a, b \in \mathbb{k}$, the rings R(n, a) and R(n, b) are right and left Zhang twists of each other.

We prove this by constructing some explicit graded automorphisms of R(n, a).

LEMMA 4.3. For any $a \in \mathbb{k}$, the map

$$\phi_a(X_j) = \sum_{i=0}^j \binom{a}{i} X_{j-i}$$

induces a d-graded automorphism of R(n, a), which we also denote ϕ_a .

Proof. Note that

$$X_{j} * X_{0} = \phi_{a}(X_{j})X_{0} = X_{0}\phi_{a}(X_{j}) = X_{0} * \phi_{a}(X_{j})$$

Thus ϕ_a is simply the automorphism of R(n, a) induced by conjugating by the normal element X_0 .

PROPOSITION 4.4. For any $a \in \mathbb{k}$, let ϕ_a be the automorphism of R(n, a) defined in Lemma 4.3. Then $R(n, 0) \cong R(n, a)^{\phi_a}$, under the map induced by sending $X_i \mapsto X_i$.

Proof. By Lemmas 4.1, and 3.10, it suffices to prove that $R(n, 0) \cong \phi^{-a}R(n, a)$. Let \circ denote the multiplication on $\phi^{-a}R(n, a)$. Then for any *i*

and j, we have

$$X_{i} \circ X_{j} = \phi_{-a}(X_{i}) * X_{j} = \sum_{\ell=0}^{i} {\binom{-a}{\ell}} X_{i-\ell} * X_{j}$$
$$= \sum_{\ell=0}^{i} \sum_{k=0}^{j-\ell} {\binom{-a}{\ell}} {\binom{a+j}{k}} X_{i-\ell-k} X_{j}$$
$$= \sum_{u=0}^{i} \sum_{v=0}^{u} {\binom{-a}{v}} {\binom{a+j}{u-v}} X_{i-u} X_{j}$$
$$= \sum_{u=0}^{i} {\binom{j}{u}} X_{i-u} X_{j},$$

where we have used the Chu-Vandermonde identity at the end. This agrees with the formula for multiplication in R(n, 0).

As a corollary of Proposition 4.4 we obtain that ϕ_b induces an automorphism of R(n, a) for any a, b. For we have

LEMMA 4.5. Let S be an \mathbb{N} -graded ring and let ϕ , ψ be graded automorphisms of S such that $\phi\psi = \psi\phi$. Then ψ is also an automorphism of S^{ϕ} and ${}^{\phi}S$.

Proof. We prove the lemma for S^{ϕ} ; note the statement makes sense because as a graded vector space $S = S^{\phi}$. Let * denote the multiplication on S and let \circ denote the multiplication on S^{ϕ} . We check that for any $r \in S_i$ and $s \in S_j$, we have

$$\psi(r \circ s) = \psi(r * \phi^{i}(s)) = \psi(r) * \psi \phi^{i}(s) = \psi(r) * \phi^{i} \psi(s) = \psi(r) \circ \psi(s),$$

as needed.

COROLLARY 4.6. Fix $n \in \mathbb{Z}_{>0}$. For any $a, b \in \mathbb{k}$, the action of ϕ_b on R(n, a) induces an automorphism of R(n, a).

Proof. That ϕ_b induces an automorphism of R(n, 0) for any b follows from Lemma 4.5, with $\phi = \psi = \phi_b$. We then apply Lemma 4.5 again, using the facts that $\phi_a \phi_b = \phi_{a+b} = \phi_b \phi_a$ and that $R(n, a) \cong \phi_a R(n, 0)$ proved in Proposition 4.4.

COROLLARY 4.7. For any $a, b \in \mathbb{k}$, we have $\phi_b R(n, a) \cong R(n, a + b)$, under the map $X_i \mapsto X_i$.

Proof. The proof is very similar to the proof of Proposition 4.4 and is left to the reader. \Box

Proof of Theorem 4.2. The result follows immediately from Corollary 4.7, using Lemma 4.1 to move from left to right twists.

We now show that up to composition with nonzero scalar multiplication, the only nontrivial graded automorphisms of R(n, a) are the maps ϕ_b . We need the following lemma.

LEMMA 4.8. Let $n \ge 2$. Suppose that $\psi \in \operatorname{Aut} R(n, a)$ is such that $\psi(X_0) = X_0$ and $\psi(X_j) = X_j + \alpha_j X_0$ with $\alpha_j \in \Bbbk$ for $1 \le j \le n$. Then ψ is the identity.

Proof. By applying ψ to the second equation of (3.18) we obtain

$$[X_0, X_2] = [X_0, X_2 + \alpha_2 X_0] = \psi([X_0, X_2]) = [X_0, X_2] - a\alpha_1 X_0 * X_0.$$

Thus we have $\alpha_1 = 0$ when $a \neq 0$. If a = 0 we apply ψ to the third equation of (3.18) to get

$$(X_1 + \alpha_1 X_0) * (X_2 + \alpha_2 X_0) - (X_2 + \alpha_2 X_0) * (X_1 + \alpha_1 X_0)$$

= [X₁, X₂] = [X₁, X₂] - 2\alpha_1 X_0 * X₁ + (2\alpha_2 - \alpha_1^2 + \alpha_1) X_0 * X_0.

Again we must have $\alpha_1 = 0$. Now suppose that $\alpha_j = 0$ for $1 \leq j < k$. We apply the automorphism ψ to the relation (3.13) with i = 1 and j = k. After rearranging we get

(4.9)
$$X_1 * (X_k + \alpha_k X_0) - (a+k)X_0 * (X_k + \alpha_k X_0) \\= (X_k + \alpha_k X_0) * X_1 + \sum_{j=1}^k \binom{-a-1}{j} X_{k-j} * X_1.$$

Thanks to Equation (3.1) we compare the coefficients of the X_0^2 term in both sides of the equality (4.9) and we obtain $\alpha_k(a - (a + k)) = 0$. So $-k\alpha_k = 0$ and $\alpha_k = 0$ since $k \neq 0$.

We can now determine the graded automorphism group $\operatorname{Aut}_{\operatorname{gr}} R(n, a)$ of R(n, a). For $\lambda \in \mathbb{k}^{\times}$, let ξ_{λ} be the automorphism that scales all X_i by λ .

THEOREM 4.10. Assume either that $n \ge 2$ or that n = 1 and $a \ne 0$. The d-graded automorphisms of R(n, a) are of the form $\xi_{\lambda}\phi_c$, for some $\lambda \in \mathbb{k}^{\times}$ and $c \in \mathbb{k}$. In particular we have $\operatorname{Aut}_{\operatorname{gr}} R(n, a) \cong \mathbb{k}^{\times} \times \mathbb{k}$.

Proof. It follows from Corollary 4.6 that the maps $\xi_{\lambda}\phi_c$ are indeed automorphisms of R(n, a). Reciprocally, we proceed by induction on n. We first assume that $a \neq -(n-1)$. For n = 1 (and $a \neq 0$), the result follows from [Shi05, Theorem 3.1]. We assume that the result is true for R(n-1, b)with $b \neq -(n-2)$. Let ψ be a *d*-graded automorphism of R(n, a). Thanks to Proposition 3.21 the only normal elements of R(n, a) with *d*-degree 1 are the nonzero scalar multiples of X_0 . Thus, up to composition with some ξ_{λ} , we have that $\psi(X_0) = X_0$. In particular ψ induces a *d*-graded automorphism of $R(n, a)/\langle X_0 \rangle \cong R(n-1, a+1)$. By the induction hypothesis we have $\psi(X_j) = \sum_{i=0}^{j-1} \lambda {c \choose i} X_{j-i} + \alpha_j X_0$ for any $j \ge 1$, where $\lambda \in \mathbb{k}^{\times}$ and $c, \alpha_j \in \mathbb{k}$. We obtain that $\lambda = 1$ by applying ϕ to the first equation of (3.18) when $a \neq 0$, or to the third equation of (3.18) when a = 0. In particular we have $\psi = \phi_c$ modulo X_0 , and by applying Lemma 4.8 to $\psi \circ \phi_{-c}$ we conclude that $\psi = \phi_c$.

We finally deal with the case a = -(n-1). We prove by induction on $n \ge 2$ that the *d*-graded automorphisms of R(n, -(n-1)) are of the form $\xi_{\lambda}\phi_c$. We only prove the base case of the induction since the induction step of the previous induction will also apply to that case. Let ψ be a *d*-graded automorphism of R(2, -1). Then $\psi(X_0) = \lambda X_0$ for some nonzero λ , and by rescaling we may assume that $\lambda = 1$. Further, ψ induces a *d*-graded automorphism of the commutative polynomial ring $R(2, -1)/\langle X_0 \rangle \cong R(1, 0) \cong \Bbbk[X_1, X_2]$. Therefore, there exist $c, d, \alpha, \beta, \gamma, \delta$ such that $\psi(X_1) = \alpha X_2 + \beta X_1 + cX_0$ and $\psi(X_2) = \gamma X_2 + \delta X_1 + dX_0$, where $\alpha \delta - \beta \gamma \neq 0$.

Applying ψ to the relations (3.18) we obtain that $\alpha = 0$, $\beta = \gamma = 1$, $\delta = c$ and $d = \binom{c}{2}$. This shows that $\psi = \phi_c$ and concludes the proof.

One can prove a similar version of Proposition 4.10 for the Poisson algebra A(n, a). For any $c \in \mathbb{k}$ the maps $\varphi_c := \exp(c\Delta)$ are well-defined automorphisms of the commutative polynomial ring A(n, a) since Δ is a locally nilpotent derivation. Moreover, we observe that

$$\Delta(\{X_i, X_j\}) = \{\Delta(X_i), X_j\} + \{X_i, \Delta(X_j)\}$$

for all $0 \leq i, j \leq n$. This shows that Δ is a Poisson derivation of A(n, a), and thus the maps φ_c are Poisson automorphisms of A(n, a).

We state the following result without proof.

PROPOSITION 4.11. Assume either that $n \ge 2$ or that $a \ne 0$. The dgraded Poisson automorphisms of A(n, a) are of the form $\xi_{\lambda}\varphi_c$, for some

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 $\lambda \in \mathbb{k}^{\times}$ and $c \in \mathbb{k}$. In particular the graded Poisson automorphism group $\operatorname{PAut}_{\operatorname{gr}} A(n, a)$ of A(n, a) is isomorphic to $\mathbb{k}^{\times} \times \mathbb{k}$.

COROLLARY 4.12. Assume either that $n \ge 2$ or that $a \ne 0$. Then

$$\operatorname{PAut}_{\operatorname{gr}} A(n, a) \cong \Bbbk^{\times} \times \Bbbk \cong \operatorname{Aut}_{\operatorname{gr}} R(n, a).$$

When n = 1 and a = 0 we have

$$\operatorname{PAut}_{\operatorname{gr}} A(1,0) = \operatorname{Aut}_{\operatorname{gr}} R(1,0) = \operatorname{Aut}_{\operatorname{gr}} \Bbbk[X,Y] \cong \operatorname{GL}_2(\Bbbk).$$

REMARK 4.13. We note that for certain values of a, there exist nongraded (Poisson) automorphisms. For instance it is well known that for any polynomial $P \in \mathbb{k}[X_0]$ the map sending $X_0 \mapsto X_0$ and $X_1 \mapsto X_1 + P$ defines an automorphism of the Jordan plane R(1, a) and the Poisson–Jordan plane A(1, a). When n = 2 and a = 1/q for some $q \in \mathbb{Z}_{>0}$, the map f defined by

$$f(X_0) = X_0,$$
 $f(X_1) = X_1 + X_0^{q+1},$ $f(X_2) = X_2 + X_0^q X_1 + X_0^{2q+1}$

is a nongraded Poisson automorphism of A(2, 1/q).

To conclude this section we calculate the Nakayama automorphism of R(n, a) and prove, in particular, that $R(n, -\frac{1}{2}(n+2)(n-1)/(n+1))$ is (n+1)-Calabi–Yau for every n > 0. We first recall some definitions. Let R be a k-algebra, and let $R^e = R \otimes_k R^{op}$ be the *enveloping algebra* of R. An (R, R)-bimodule M can be considered as a left R^e -module by defining $r \otimes s \cdot m = rms$.

DEFINITION 4.14. We say that R is skew Calabi-Yau (or skew CY) if

- (i) R is homologically smooth: R has a finite projective resolution as a left R^e -module such that each term is finitely generated;
- (ii) There are an algebra automorphism μ of R and an integer d such that

$$\operatorname{Ext}_{R^e}^i(R, R^e) \cong \begin{cases} 0 & \text{if } i \neq 0\\ {}^1R^{\mu} & \text{if } i = d. \end{cases}$$

(Here ${}^{1}R^{\mu}$ is the *R*-bimodule which is isomorphic to *R* as a k-vector space and such that $r \cdot s \cdot t = rs\mu(t)$.)

If R is skew CY, the automorphism μ is called the Nakayama automorphism of R. If μ is inner, then R is Calabi-Yau or CY.

By [RRZ14, Lemma 1.2], any AS-regular connected graded algebra is skew CY. In particular, the algebras R(n, a) are skew CY.

We need the following lemma before calculating the Nakayama automorphism of R(n, a).

LEMMA 4.15. Let μ be the Nakayama automorphism of R = R(n, a) for any $n \ge 1$. Then for all $0 \le j \le n$, we have $\mu(X_j) - X_j \in \text{span}(X_0, \ldots, X_{j-1})$. In particular $\mu(X_0) = X_0$.

Proof. The Nakayama automorphism of the commutative polynomial ring $A = \Bbbk[X_0, \ldots, X_n]$ is well known to be trivial. Since A is the associated graded of R with respect to the ϵ -filtration and clearly $A^e \cong \&[X_0, \ldots, X_{2n+1}]$ is the associated graded of R^e , by [Bjö89, Proposition 3.1], we have that the associated graded of $\operatorname{Ext}_{R^e}^n(R, R^e) = {}^1R^{\mu}$ is a subquotient of $\operatorname{Ext}_{A^e}^n(A, A^e) = {}^1A^1$. This shows that the ϵ -leading term of $\mu(X_j)$ must be X_j .

THEOREM 4.16. For any $n \ge 1$, the Nakayama automorphism of R(n, a) is ϕ_c , where

$$c = (n+1)a + {\binom{n+1}{2}} - 1.$$

Proof. We begin by calculating the Nakayama automorphism of R(n, 0). For n = 1 we have $R(1, 0) \cong \Bbbk[X_0, X_1]$, so the Nakayama automorphism is the identity ϕ_0 ; we have $0 = \binom{2}{2} - 1$. Suppose now that n > 1 and we wish to calculate the Nakayama automorphism μ of R(n, 0). Let $b = n + \binom{n}{2} - 1 = \binom{n+1}{2} - 1$. Since by Lemma 4.15 we have $\mu(X_0) = X_0$, thus μ induces an automorphism of $R(n, 0)/\langle X_0 \rangle \cong R(n - 1, 1)$. By [RRZ14, Lemma 1.5], using the fact that X_0 is central, this induced automorphism is equal to the Nakayama automorphism of R(n - 1, 1), which by induction on n is ϕ_b . Thus modulo X_0 , we have that $\mu = \phi_b$. By applying Lemma 4.8 to $\psi = \mu \circ \phi_{-b}$ we see that $\mu = \phi_b$.

Let μ be the Nakayama automorphism of R(n, 0) and ν be the Nakayama automorphism of $R(n, a) = {}^{\phi_a}R(n, 0)$. By [RRZ14, Theorem 0.3], we have $\nu = \mu \phi_a^{n+1} \xi_{\lambda}$ for some $\lambda \in \mathbb{k}^{\times}$. By Lemma 4.15, we must have $\lambda = 1$. Thus

$$\nu = \mu \phi_a^{n+1} = \phi_{\binom{n+1}{2} - 1 + (n+1)a};$$

as claimed.

COROLLARY 4.17. For any $n \ge 1$, the algebra R(n, a) is Calabi-Yau if and only if

$$a = \frac{1 - \binom{n+1}{2}}{n+1} = -\frac{(n+2)(n-1)}{2(n+1)}$$

EXAMPLE 4.18. When n = 3, we have $-(n+2)(n-1)/2(n+1) = -\frac{5}{4}$, so Pym's example 1.1 is Calabi–Yau, using Example 3.20.

§5. Isomorphisms

We have seen in Example 3.16 that we have $R(1, 0) \cong R(1, a) \iff a = 0$ and that $R(1, a) \cong R(1, b)$ for any nonzero $a, b \in \mathbb{k}$. A similar statement holds for the Poisson algebras A(1, a). In this section we analyze the isomorphism question for R(n, a) and A(n, a) where $n \ge 2$. Moreover, we show that each R(n, a) is isomorphic to its opposite ring.

The main theorem of this section is the next result.

THEOREM 5.1. Let $n \ge 2$ and let $a, a' \in k$. The following are equivalent:

- (1) a = a';
- (2) $R(n, a) \cong R(n, a');$
- (3) A(n, a) is Poisson isomorphic to A(n, a').

We remark that Theorem 5.1 is not particularly surprising given Corollary 4.17, since for each n there is a unique a with R(n, a) Calabi–Yau.

Proof of Theorem 5.1. $(1) \Rightarrow (2), (3)$ is trivial.

 $(3) \Rightarrow (1)$. Let A = A(n, a) and let A' = A(n, a'). To avoid any confusion, we denote the generators of A by X_0, \ldots, X_n as usual and denote the corresponding generators of A' by X'_0, \ldots, X'_n . We let Δ also denote the downward derivation on A', so $\Delta(X'_i) = X'_{i-1}$.

Suppose that there is a Poisson isomorphism $\alpha : A \to A'$. For $0 \leq i \leq n$ set $\alpha(X_i) = T_i = L_i + P_i$, where $L_i \in A'_1$ and $P_i \in \mathbb{k} \oplus \bigoplus_{k \geq 2} A'_k$. Note that since α can be seen as an algebra automorphism of the polynomial ring A, the linear parts L_i must be nonzero for all $0 \leq i \leq n$ (using the fact that the Jacobian of α must be nonzero at the origin).

If a = 0 then since X_0 is Poisson central T_0 must be as well. As the Poisson bracket respects the *d*-grading on A', the degree 1 part L_0 must be Poisson central and by Proposition 3.21 we have $L_0 \in \ker \Delta$. Thus $L_0 = \lambda_0 X'_0$ is Poisson central and so a' = 0. This shows that $a = 0 \iff a' = 0$.

Suppose now that $aa' \neq 0$. Now T_0 is Poisson normal and by Proposition 3.21 we have $T_0 \in \ker \Delta$ and T_0 is a $\Gamma_{a'}$ -eigenvector. Thus

 $L_0 \in \ker \Delta$ and so $L_0 = \lambda_0 X'_0$ for some $0 \neq \lambda_0 \in \mathbb{k}$. Since $\Gamma_{a'}(L_0) = a' L_0$ we have $\Gamma_{a'}(T_0) = a' T_0$.

Let $c = a/a' \neq 0$. Applying α to the equation $a\Delta(g)X_0 = \{g, X_0\}_a$ we obtain

$$a\alpha\Delta(g)T_0 = \{\alpha(g), T_0\}_{a'} = a'\Delta\alpha(g)T_0$$

and so

$$(5.2) \qquad \qquad \Delta \alpha = c \alpha \Delta$$

as maps from $A \to A'$. Since Δ respects the *d*-degree we have $\Delta(L_i) = cL_{i-1}$ for $1 \leq i \leq n$. Thus there are $\lambda_1, \lambda_2 \in \mathbb{k}$ so that

$$L_{0} = \lambda_{0} X'_{0},$$

$$L_{1} = c\lambda_{0} X'_{1} + \lambda_{1} X'_{0},$$

$$L_{2} = c^{2} \lambda_{0} X'_{2} + c\lambda_{1} X'_{1} + \lambda_{2} X'_{0}.$$

From (5.2) we also conclude that $T_0, T_1 \in \text{Im } \Delta$ and so have no constant term. Considering the equality

(5.3)
$$(a+2)T_0T_2 - (a+1)T_1^2 = \alpha(\{X_1, X_2\}_a) = \{T_1, T_2\}_{a'}$$

then gives that T_2 has no constant term. Therefore, the $X'_0X'_2$ term of the left-hand side of (5.3) is $(a+2)c^2\lambda_1^2X'_0X'_2$. On the other hand, the $X'_0X'_2$ term of the right-hand side is $\Delta(c\lambda_0X'_1)\Gamma_{a'}(c^2\lambda_0X'_2) = c^3\lambda_0^2(a'+2)X'_0X'_2$. Thus a+2/a = a'+2/a' and a' = a.

 $(2) \Rightarrow (1)$. Let R = R(n, a) and let R' = R(n, a'). As above, we denote the generators of R' by X'_0, \ldots, X'_n . If $R \cong R'$ then by [BZ17, Theorem 0.1] there exists a graded isomorphism $\alpha : R \to R'$. Since X_0 is normal in R_1 its image $\alpha(X_0)$ is normal in R'_1 and $\alpha(X_0) \in \operatorname{span}(X'_0)$ thanks to Proposition 3.21. We prove the result by induction on n.

We first consider the case n = 2. Since X_0 is central if and only if a = 0we deduce that $a = 0 \iff a' = 0$. Therefore, we may assume that $a, a' \neq 0$ in the following. Since $\alpha(X_1) \in R'_1$ there exist scalars μ_0, μ_1 and μ_2 not all zero such that $\alpha(X_1) = \mu_0 X'_0 + \mu_1 X'_1 + \mu_2 X'_2$. We fix $\lambda_0 \in \mathbb{k}^{\times}$ such that $\alpha(X_0) = \lambda_0 X'_0$. By applying α to the first equality in (3.18) we obtain

$$\lambda_0\mu_1(X'_0*X'_1-X'_1*X'_0)+\lambda_0\mu_2(X'_0*X'_2-X'_2*X'_0)=-a\lambda_0^2X'_0*X'_0.$$

After simplification using the relations (3.18) we compare the coefficients of the $X'_0 * X'_1$ term. We get $-a'\lambda_0\mu_2 = 0$ which implies that $\mu_2 = 0$ since $a' \neq 0$. In particular we have $\alpha(X_1) \in \text{span}(X'_0, X'_1)$ and α respects the

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 ϵ -filtrations on R and R'. By Lemma 3.5 α induces a Poisson isomorphism between A(2, a) and A(2, a'), so a = a'.

Suppose now $n \ge 3$ and the result true for n-1. Since $\alpha(X_0) \in \operatorname{span}(X'_0)$ there is an isomorphism $R(n-1, a+1) \cong R/\langle X_0 \rangle \to R'/\langle X'_0 \rangle \cong R(n-1, a'+1)$ and we have a+1=a'+1.

To end the section we prove that R(n, a) and $R(n, a)^{\text{op}}$ are isomorphic. This will be used in the next section.

THEOREM 5.4. For any $u \in \mathbb{k}$, the map ω_u from R(n, a) to R(n, a) defined by

$$\omega_u(X_i) = (-1)^i \sum_{\ell=0}^i \binom{i-u}{\ell} X_{i-\ell} = (-1)^i \phi_{i-u}(X_i)$$

is an anti-isomorphism. In particular R(n, a) and $R(n, a)^{\text{op}}$ are isomorphic for any $a \in \mathbb{k}$ and any n > 0.

We need the following lemmas.

LEMMA 5.5. For any $v \in \mathbb{N}$ and $a, m \in \Bbbk$ we have

(5.6)
$$\sum_{k=0}^{v} (-1)^k \binom{a}{k} \binom{m-k}{v-k} = \binom{m-a}{v}.$$

Proof. The proof is a combination of the Chu-Vandermonde identity (3.9) and the identity

$$\binom{u}{\ell} = (-1)^{\ell} \binom{\ell - u - 1}{\ell}$$

for any $\ell \in \mathbb{N}$ and $u \in \mathbb{k}$.

LEMMA 5.7. For $a, u \in \mathbb{k}$ we have

(5.8) $\omega_u \phi_a = \omega_{u+a}.$

Proof. We have

$$\omega_u \phi_a(X_i) = \sum_{k=0}^{i} \binom{a}{k} \sum_{\ell=0}^{i-k} (-1)^{i-k} \binom{i-k-u}{\ell} X_{i-k-\ell}$$
$$= (-1)^i \sum_{k=0}^{i} \sum_{\ell=0}^{i-k} (-1)^{-k} \binom{a}{k} \binom{i-k-u}{\ell} X_{i-k-\ell}$$

$$= (-1)^{i} \sum_{v=0}^{i} \sum_{k=0}^{v} (-1)^{-k} {a \choose k} {i-k-u \choose v-k} X_{i-v}$$
$$= (-1)^{i} \sum_{v=0}^{i} {i-(u+a) \choose v} X_{i-v} = w_{a+u}(X_{i}),$$

where we have set $v = k + \ell$ and then use Lemma 5.5 with m = i - u.

Proof of Theorem 5.4. Note that the relations (3.14) in R(n, a) can be rewritten as

(5.9)
$$\phi_{-z_i}(X_j) * X_i = \phi_{-z_j}(X_i) * X_j$$

for any $0 \leq i, j \leq n$. To see that ω_u is a well-defined anti-automorphism of R(n, a) it is enough to apply ω_u to both side of (5.9) and check that we obtain the same result. Using (5.8) we have

$$\omega_u(\phi_{-z_i}(X_j) * X_i) = \omega_u(X_i) * \omega_u \phi_{-z_i}(X_j)$$

= $\omega_u(X_i) * \omega_{u-z_i}(X_j) = (-1)^{i+j} \phi_{i-u}(X_i) * \phi_{j-u+z_i}(X_j)$

and similarly

$$\omega_u(\phi_{-z_j}(X_i) * X_j) = (-1)^{i+j} \phi_{j-u}(X_j) * \phi_{i-u+z_j}(X_j).$$

For any $c \in \mathbb{k}$ the map ϕ_c is an automorphism of R(n, a), and by applying ϕ_c to (5.9) we obtain that

$$\phi_{c-z_i}(X_j) * \phi_c(X_i) = \phi_{c-z_j}(X_i) * \phi_c(X_j).$$

Letting $c = a + i + j - u = i - u + z_j = j - u + z_i$ we deduce that

$$\phi_{j-u}(X_j) * \phi_{i-u+z_j}(X_i) = \phi_{i-u}(X_i) * \phi_{j-u+z_i}(X_j)$$

This proves that $\omega_u(\phi_{-z_i}(X_j) * X_i) = \omega_u(\phi_{-z_j}(X_i) * X_j)$ and finishes the proof.

The opposite algebra $A(n, a)^{\text{op}}$ of the Poisson algebra A(n, a) is the same associative k-algebra endowed with the opposite Poisson bracket $\{-, -\}^{\text{op}} := -\{-, -\}$. The analogue of Theorem 5.4 for A(n, a) follows easily from Lemma 3.5. It is straightforward to check that the map β from $A(n, a)^{\text{op}}$ to A(n, a) sending X_i to $(-1)^i X_i$ for all i is a Poisson algebra isomorphism.

§6. Point modules

Let R be an N-graded ring. A (left or right) point module over R is a cyclic graded module M with hilb(M) = 1/(1-t). In this section we study the point modules of the R(n, a) and prove:

THEOREM 6.1. Let R = R(n, a), where $n \ge 1$. If M = R/J is a right or left point module, then J is generated by J_1 as a right (respectively, left) ideal of R. There is a projective scheme X that parameterizes both left and right point modules, and X^{red} is isomorphic to n copies of \mathbb{P}^1 , where the kth copy is embedded in $V(X_0, \ldots, X_{n-k-1}) \subseteq \mathbb{P}(R_1^*)$ as a rational normal curve of degree k.

Recall that there are normal elements Y_2, \ldots, Y_n in $R^\circ = R[X_0^{-1}]$. Let T be the subalgebra of R° generated by $X_0, X_1, Y_2, \ldots, Y_n$. We compute the point modules for R by relating points of R to those of T.

Let $C = \mathbb{k}[X_0, Y_2, \dots, Y_n]$. We know from Lemma 3.26 that $R^{\circ} = C[X_0^{-1}][X_1; \delta]$ where δ is the derivation $X_0\Gamma_a$ of $C[X_0^{-1}]$. The proof of that result also gives that $T = C[X_1; \delta]$. We then have:

PROPOSITION 6.2.

(

(1) T has $\binom{n+1}{2}$ quadratic relations. They are:

| A: | $X_1 X_0 - X_0 X_1 - a X_0^2$ | |
|---------|---------------------------------|---------------------------------|
| A(k): | $X_1Y_k - Y_kX_1 - (a+k)X_0Y_k$ | for $2 \leqslant k \leqslant n$ |
| B(k): | $X_0Y_k - Y_kX_0$ | for $2 \leqslant k \leqslant n$ |
| C(j,k): | $Y_k Y_j - Y_j Y_k$ | for $2 \leq j < k \leq n$. |

(2) T is Artin–Schelter regular.

Proof. (1) is a straightforward computation. For (2), note that the weight grading ϵ can be used to define a filtration on T whose associated graded ring is the commutative polynomial ring $C[X_1]$. Thus T has finite global dimension. Clearly T has finite Gelfand-Kirillov dimension. Note that Y_2, \ldots, Y_n are normal in T, and $T/(Y_2, \ldots, Y_n)$ is isomorphic to the Jordan plane and is AS-regular. Thus T is AS-Gorenstein by [Lev92, Corollary 5.10, Theorem 6.3].

By Corollary 3.6 R is strongly Noetherian. Thus by [AZ01, Theorem E4.3] there is a projective scheme X that parameterizes right R-point modules up to isomorphism. For $x \in X$, let M(x) be the corresponding point module.

By [KRS05, Proposition 10.2], there is $\sigma \in \operatorname{Aut}(X)$ so that $M(x)[1]_{\geq 0} \cong M(\sigma(x))$ for all $x \in X$.

We also have:

LEMMA 6.3. If M is an R-point module or a T-point module, then either $MX_0 = 0$ or M is X_0 -torsionfree.

Proof. This is a consequence of the facts that R and T are AS-regular with Hilbert series $1/(1-t)^{n+1}$ and X_0 is a normal element. See [LBS93, page 728] for a summary of the argument.

We now relate X_0 -torsionfree point modules over R to point modules over T.

PROPOSITION 6.4. Let M be an X_0 -torsionfree point module over R. Then there is a unique T-action on M that extends the action of $T \cap R$ and makes M a T-point module.

Similarly, if L is an X_0 -torsionfree point module over T then there is a unique R-action on L that extends the action of $T \cap R$ and makes L also a point module over R.

Proof. Let $x \in X$ and recall the isomorphism $M(x)[1]_{\geq 0} \cong M(\sigma(x))$. Equivalently, there are inclusions $M(x) \subseteq M(\sigma^{-1}(x))[1]$ for all $x \in X$. Note also that because $\sigma \in \operatorname{Aut}(X)$, the point $\sigma^{-1}(x)$ is the unique $y \in X$ so that $M(x) \subseteq M(y)[1]$. Let

$$N(x) := \lim M(\sigma^{-n}(x))[n].$$

The module N(x) is \mathbb{Z} -graded with dim $N(x)_k = 1$ for all $k \in \mathbb{Z}$. (In fact, N(x) is the injective hull of M(x) in the category of graded *R*-modules.)

Suppose now that M(x) is X_0 -torsionfree. By Lemma 6.3 so is each $M(\sigma^{-n}(x))$, as $M(x) \subseteq M(\sigma^{-n}(x))[n]$. Thus N(x) is X_0 -torsionfree. We may thus choose a basis $\{n_k \mid k \in \mathbb{Z}\}$ of N(x) with $n_k \in N(x)_k$ and $n_k X_0 = n_{k+1}$. If we define $n_k X_0^{-1} = n_{k-1}$ for all $k \in \mathbb{Z}$, we obtain an action of $R[X_0^{-1}]$ on N(x), and thus an action of T on $N(x)_{\geq 0} = M(x)$. The action is clearly unique.

The proof that an X_0 -torsionfree point module over T has an induced R-action is similar.

If K is an X_0 -torsionfree point module over T (or over R) then K is cyclic as a module over $\Bbbk[X_0]$. Thus K is also cyclic under the induced R-action (or T-action).

We now compute the point modules of T, at least up to radical. Let $V := T/\langle Y_2, \ldots, Y_n \rangle$. We have $V \cong k \langle X_0, X_1 \rangle / \langle X_1 X_0 - X_0 X_1 - a X_0^2 \rangle$. If $a \neq 0$, this ring is the Jordan plane; in particular, the V are isomorphic for any $a \neq 0$. If a = 0, then V is a commutative polynomial ring.

PROPOSITION 6.5. The reduced point scheme of T is isomorphic to $V(X_0) \cup V(Y_2, \ldots, Y_n) \subseteq \mathbb{P}(T_1^*)$. In particular, an X_0 -torsionfree point module over T must be annihilated by Y_2, \ldots, Y_n .

The point modules over T that are annihilated by Y_2, \ldots, Y_n are parameterized by \mathbb{P}^1 .

Proof. The final statement comes directly from the isomorphism of V with the Jordan plane (if $a \neq 0$) or with $\mathbb{k}[X_0, X_1]$ (if a = 0).

We multilinearize the relations of T as in [ATVdB90], to compute the scheme $X(2) \subseteq \mathbb{P}(T_1^*)^{\times 2}$ parameterizing *truncated* point modules over T with Hilbert series $1 + t + t^2$. Let the coordinates on $\mathbb{P}(T_1^*)^{\times 2}$ be $X_0, X_1, Y_2, \ldots, Y_n, X'_0, X'_1, Y'_2, \ldots, Y'_n$. We thus obtain an $\binom{n+1}{2} \times (n+1)$ matrix A with entries in T_1 so that X(2) is defined by the equations

$$A \begin{bmatrix} X'_0 \\ X'_1 \\ Y'_2 \\ \vdots \\ Y'_n \end{bmatrix} = 0.$$

The rows of A are given by:

$$A: \begin{bmatrix} X_1 - aX_0 & -X_0 & 0 \dots \end{bmatrix}$$
$$A(k): \begin{bmatrix} 0 & -Y_k & \dots & X_1 - (a+k)X_0 & \dots \\ & & (k+1) \end{bmatrix}$$
$$B(k): \begin{bmatrix} -Y_k & 0 & \dots & X_0 & \dots \\ & & (k+1) \end{bmatrix}$$
$$C(j,k): \begin{bmatrix} 0 & \dots & Y_k & \dots & -Y_j & \dots \\ & & (j+1) & (k+1) \end{bmatrix}$$

(Here we use (ℓ) to indicate the column of an entry.)

Let X' be the projection of X(2) onto the first coordinate. It is standard that X' is defined by the locus where rank(A) < n + 1. Consider the minor A_k of A given by rows A, A(k), and B(2) - B(n). In columns $j \ge 2, j \ne k$ the only nonzero entry of A_k is the (j+1, j+1) entry X_0 . Thus

$$\det A_k = \pm X_0^{n-2} \begin{vmatrix} X_1 - aX_0 & -X_0 & 0\\ 0 & -Y_k & X_1 - (a+k)X_0\\ -Y_k & 0 & X_0 \end{vmatrix} = \pm k X_0^n Y_k.$$

Since $k \neq 0$ we have that X' is a closed subscheme of $V(X_1^n Y_2, \ldots, X_1^n Y_n)$.

Let Y be the reduced point scheme of T. Since T is strongly Noetherian, for some N we have $Y \subseteq \mathbb{P}(T_1^*)^{\times N}$. Let X be the projection of Y to the first factor; we have $X \subseteq X'$. To prove that this projection induces an isomorphism $Y \cong V(X_0) \cup V(Y_2, \ldots, Y_n)$ it suffices to prove that each point of $V(X_0) \cup V(Y_2, \ldots, Y_n)$ corresponds to a point module. That is, if W is a codimension-1 subspace of T_1 with either $X_0 \in W$ or $Y_2, \ldots, Y_n \in W$ we must show that T/WT is a point module. If $X_0 \in W$ then T/WT is isomorphic to the right module over T/X_0T defined by factoring out the image of W. Since T/X_0T is commutative any codimension-1 subspace of $(T/X_0T)_1$ defines a point module, so T/WT is a point module over T.

Now suppose that $Y_2, \ldots, Y_n \in W$. Then T/WT is isomorphic to the right module over V given by factoring out the image of W. As V is isomorphic either to the Jordan plane or to $\Bbbk[X_0, X_1]$, we see likewise that any codimension-1 subspace of T_1 that contains Y_2, \ldots, Y_n defines a point module.

The natural map $T \to V$ induces a graded homomorphism $\zeta : T[X_0^{-1}] \to D$, where $D = Q_{gr}(V) = V[v^{-1}: v \in V$ is nonzero and homogeneous]. Let $u = X_1 X_0^{-1}$ and let $t = X_0$. It is well known that $D \cong \Bbbk(u)[t, t^{-1}; \sigma]$, where $\sigma(u) = u - a$. To check this we verify that we have $X_1 X_0 = ut^2 = t(u + a)t = X_0(X_1 + aX_0)$.

Our next result computes $\zeta(R) \subseteq \Bbbk(u)[t; \sigma]$. To prove Theorem 6.1, the case a = 0 is the only one needed, but we give the general result because it is of independent interest.

PROPOSITION 6.6. We have $\zeta(R) \cong V^{(n)}$, the nth Veronese of V.

Proof. Recall that R = R(n, a), where $n \ge 1$. Note also that the subring of R generated by X_0, \ldots, X_k is isomorphic to R(k, a) for all $1 \le k \le n$. We abuse notation and write $R(1, a) \subseteq R(2, a) \subseteq \cdots \subseteq R(n, a)$, and use this to prove the theorem by induction on n. Likewise, we write $A(1, a) \subseteq A(n, a)$.

We show, for all k, that $\zeta(X_k) \in k[u] \cdot t$ and, more specifically, that

(6.7)
$$\zeta(X_k)t^{-1} \in \mathbb{k}[u]$$
 and has leading term $\frac{1}{k!}u^k$.

We need a subsidiary lemma. Recall that the underlying space of Ris the commutative ring A, with multiplication indicated by * in R and juxtaposition in A. When we write an expression like X_1^j , we mean the commutative power; we write X_1^{*j} to mean the noncommutative power.

LEMMA 6.8. For $0 \leq k \leq n$, the following hold.

(1) For any $f \in R(1, a)$, we have

$$X_k * f - X_k f \in \sum_{i=0}^{k-1} X_i * R(1, a) = \sum_{i=0}^{k-1} X_i A(1, a).$$

(2)

$$\sum_{i=0}^{k} X_i * R(1, a) = \sum_{i=0}^{k} X_i A(1, a)$$

Proof. Again, we induct on k; the result is trivial for k = 0. Assume we know the result for k.

(1) We may assume that f is e-homogeneous. We have

$$X_{k+1} * f - X_{k+1}f = \sum_{i=1}^{k+1} X_{k+1-i} \binom{\Gamma}{i} (f)$$

Each $X_{k+1-i} {\Gamma \choose i}(f)$ is a scalar multiple of $X_{k+1-i}f$. Thus the right-hand side is in $\sum_{i=0}^{k} X_i A(1, a) = \sum_{i=0}^{k} X_i * R(1, a)$ by induction. Π

(2) follows directly from (1).

Since $\zeta(X_0), \zeta(X_1) \in \mathbb{k}[u][t; \sigma]$ we obtain that $\zeta(R(1, a)) \subseteq \mathbb{k}[u][t; \sigma]$.

Since ζ is graded, we have $\zeta(X_1^j) = f_j(u)t^j$. We have $\zeta(X_1^{*j}) = (ut)^j =$ $u(u-a)(u-2a)\cdots(u-(j-1)a)t^{j}$, which has leading term $u^{j}t^{j}$. We immediately obtain from Lemma 6.8(1) (and an elementary induction) that

(6.9)
$$f_j = u^j + (\text{lower order terms}) \in \mathbb{k}[u].$$

Assume now that we have shown that (6.7) holds for $0, \ldots, k-1$, and consider the image of $X_0^k X_k = X_0^{*(k)} * X_k$ under ζ . Recall that

$$Y_k = X_k + \sum_{p=1}^k \frac{(-1)^p X_{k-p} X_1^p}{p! X_0^p}.$$

Thus

(6.10)
$$\zeta(X_0^k X_k) = \zeta \left(X_0^k Y_k + \sum_{p=1}^k \frac{(-1)^{p+1} X_0^{k-p} X_{k-p} X_1^p}{p!} \right)$$

We know that $\zeta(X_0^k Y_k) = 0$ since $\zeta(Y_k) = 0$. Applying (6.7), Lemma 6.8(1), and (6.9), we obtain that

$$\zeta(X_0^{k-p}X_{k-p}X_1^p) = \frac{1}{(k-p)!}(u^k + \text{lower terms})t^{k+1}.$$

Thus (6.10) reduces to

$$\zeta(X_0^k X_k) = \left(\left(\sum_{p=1}^k \frac{(-1)^{p+1}}{p!(k-p)!} \right) u^k + \text{lower terms} \right) t^{k+1}.$$

Since commuting with t does not effect the leading term of a polynomial in u, it follows that

$$\zeta(X_k) = t^{-k} \zeta(X_0^k X_k) = \left(\left(\sum_{p=1}^k \frac{(-1)^{p+1}}{p!(k-p)!} \right) u^k + \text{lower terms} \right) t,$$

and that $\zeta(X_k)t^{-1} \in \mathbb{k}[u]$. Finally,

$$\sum_{p=1}^{k} \frac{(-1)^{p+1}}{p!(k-p)!} = \sum_{p=0}^{k} \frac{(-1)^{p+1}}{p!(k-p)!} + \frac{1}{k!} = \frac{1}{k!},$$

as needed. We have thus established (6.7) for X_k .

It follows from (6.7) that

(6.11)
$$\zeta(R(k,a))_1 = \mathbb{k} \cdot \{t, ut, \dots, u^k t\}$$

for all k.

To complete the proof of the proposition, we must identify the ring $S = \zeta(R) = \Bbbk \langle t, ut, \ldots, u^n t \rangle \subseteq \Bbbk(u)[t; \sigma_a]$. Denoting the point $[1:0] \in \mathbb{P}^1$ by ∞ , we may identify S_1 with global sections of the sheaf $\mathcal{O}_{\mathbb{P}^1}(n\infty)$, multiplied by t. It is then clear that S is isomorphic to the *n*th Veronese of the *twisted* homogeneous coordinate ring (see [AVdB90]) $B(\mathbb{P}^1, \mathcal{O}(1), \sigma_{a/n})$. We have that $B(\mathbb{P}^1, \mathcal{O}(1), \sigma_{a/n})$ is isomorphic to the Jordan plane if $a \neq 0$ and to $\Bbbk[X_0, X_1]$ if a = 0 by [Rog14, Example 4.13]. Thus, no matter the value of a we have $S \cong V^{(n)}$.

Note that we have constructed above the homomorphism $R(n, a) \rightarrow R(1, a/n)^{(n)}$ that was predicted at the end of Section 2. Taking associated graded rings, we obtain also the predicted Poisson homomorphism $A(n, a) \rightarrow A(1, a/n)^{(n)}$. Further, if we let $1 \leq k \leq n$, then factoring out X_0, \ldots, X_{n-k} and applying this construction to the factor we obtain a surjection $R(n, a) \rightarrow R(1, (a + n - k)/k)^{(k)}$ and thus a \mathbb{P}^1 of point modules corresponding to point modules of R(1, (a + n - k)/k). These modules are all predicted by the discussion in Section 2. In a sense the striking content of Theorem 6.1 is that there are no other point modules for R(n, a).

We now prove Theorem 6.1.

Proof of Theorem 6.1. By Theorem 5.4, it suffices to prove the result for right point modules. We prove the result by induction on n, first noting the result is trivial for n = 1, since point modules over R = R(1, a) are in bijection with codimension-1 subspaces of R_1 for any a. Thus we may suppose that n > 1. Since R(n, a) is a Zhang twist of R(n, 0) (Theorem 4.2), by [Zha96] it suffices to prove the result for R = R(n, 0).

Let M = R/J be a point module, and recall the definition of the homomorphism ζ from just before Proposition 6.6. We claim that $J = J_1 R$. If $X_0 \notin J$ then by Lemma 6.3 M is X_0 -torsionfree and by Proposition 6.4, Mis also an X_0 -torsionfree T-point module. Thus $MY_k = 0$ for $k \in \{2, \ldots, n\}$ by Proposition 6.2, so M is annihilated by ker ζ . By Proposition 6.6, $R/\ker \zeta$ is isomorphic to $\Bbbk[X, Y]^{(n)}$. The claim follows from the fact that if J is a right ideal of $\Bbbk[X, Y]^{(n)}$ such that $(\Bbbk[X, Y]^{(n)})/J$ is a point module, then Jis generated in degree one.

We may thus view the point scheme X of R as contained in $\mathbb{P}(R_1^*)$, where M = R/J corresponds to the codimension-1 subspace $J_1 \subseteq R_1$. By slight abuse of notation, we regard a point module M as a closed point of the point scheme $X \subseteq \mathbb{P}(R_1^*)$. Let Z(1) be the Zariski closure of $\{M = R/J \mid X_0 \notin J\}$. Then ker $\zeta \subseteq J$ for all M = R/J in Z(1). Thus Z(1) is isomorphic to the point scheme of $R/\ker \zeta \cong \Bbbk[X,Y]^{(n)}$, which is the image of the degree n Veronese map from $\mathbb{P}^1 \to \mathbb{P}^n$; in other words, a degree n rational normal curve in $\mathbb{P}(\Bbbk[X,Y]_n^*) = \mathbb{P}(R_1^*)$.

It remains to consider the case of point modules annihilated by X_0 . By induction (using Proposition 3.7 as usual), these form a bouquet of n-1 rational normal curves in $V(X_0)$, and the result follows.

REMARK 6.12. Let $a, b \in \mathbb{k}$, and let ϕ_b be the automorphism of R(n, a) defined in Lemma 4.3. It is easy to see that ϕ_b extends to an automorphism of

 $R(n, a)[X_0^{-1}] =: R^{\circ}(n, a)$. By Corollary 4.7, we have ${}^{\phi_b}R^{\circ}(n, a) \cong R^{\circ}(n, a + b)$. Let $*_a$ denote multiplication in R(n, a). Since $[X_0, Y_k] = 0$ in $R^{\circ}(n, a + b)$, we have

$$\phi_b(Y_k) *_a X_0 = \phi_b(X_0) *_a Y_k = X_0 *_a Y_k = Y_k *_a X_0,$$

so $\phi_b(Y_k) = Y_k$. It follows that ker ζ is ϕ_b -invariant. One can use this to give an alternate proof of the X_0 -torsionfree case of Theorem 6.1.

§7. Primes of R and Poisson primes of A

The results of the previous section construct surjections from R(n, a) to $R(1, a')^{(n-k)}$ for all $0 \leq k < n$ (where a' depends on a, n, and k). The kernels of these maps are of course prime ideals, and are in some sense independent of a: for example, the kernel of the map $R(n, a) \to R(1, a/n)^{(n)}$ is generated by Y_2, \ldots, Y_n no matter the value of a. (This is a slight abuse of notation, since Y_2, \ldots, Y_n are in the localization $R(n, a)[X_0^{-1}]$.) We see that, similar to the above, the d-graded prime spectrum of R(n, a) is largely independent of a. On the other hand, the ungraded primes of R(n, a) depends very sensitively on a.

First, though, we explore the connection between primes of R(n, a) and Poisson primes of A(n, a). It is well known that there is often a close relationship between prime ideals of a noncommutative ring R and Poisson primes of its semiclassical limit. Fix n and a and let R = R(n, a) with multiplication $*_a$. Let A = A(n, a) with Poisson bracket $\{-, -\}_a$. We show that Spec R = PSpec A in the strongest possible sense. That is, we prove:

THEOREM 7.1. Let $P \subseteq A = R$. Then:

- (1) $P \in \operatorname{Spec} R$ if and only if $P \in \operatorname{PSpec} A$, and further every prime ideal of R is completely prime;
- (2) *P* is a primitive ideal of *R* if and only if *P* is a Poisson primitive ideal of *A*.

In the statement of Theorem 7.1, recall that an ideal I of a ring R is called *left (respectively right) primitive* if it is the annihilator of a simple left (respectively right) R-module. Thanks to Theorem 5.4 the two notions coincide for the ring R(n, a) and we do not specify left or right for a primitive ideal in this article. An ideal P of a Poisson ideal A is called *Poisson primitive* if it is the largest Poisson ideal contained in a maximal ideal of the commutative ring A.

We further give a stratification of Spec R = PSpec A, and show the strata are homeomorphic to commutative (projective, projective-over-affine, or affine) varieties. We compute also the *d*-homogeneous primes of R, and show that (if $a \notin \mathbb{Z}$) they do not depend on the precise value of a.

Jordan's work [Jor14] on Poisson algebras and Ore extensions is crucial to this section. The following is a slight strengthening of [Jor14, Theorem 3.6].

PROPOSITION 7.2. Let B be a Noetherian k-algebra that is a domain and let δ be a nonzero derivation of B. Let $R = B[z; \delta]$, which we write as the left B-module $R = \bigoplus_{n \ge 0} Bz^n$, with multiplication * such that

(7.3)
$$z * b = b * z + \delta(b) \quad for \ b \in B.$$

Let A be the Poisson-Ore extension $(B[z], \{-, -\}_{\delta})$ (see [Jor14, Lemma 3.1]); as a ring A = B[z], with Poisson bracket defined by

(7.4)
$$\{az^m, bz^n\}_{\delta} = (ma\delta(b) - nb\delta(a))z^{m+n-1}.$$

Let $P \subseteq R = A$. Then: $P \in \text{Spec } R$ if and only if $P \in \text{PSpec } A$. Further, if $P \in \text{Spec } R = \text{PSpec } A$, then either $P \supseteq \delta(B)$ or P is generated by the δ -invariant prime ideal $P \cap B$ of B.

For the proof of Proposition 7.2, recall that a δ -ideal I of B is an ideal I with $\delta(I) \subset I$. The δ -ideal I is δ -prime if for all δ -ideals J, K of B, we have that $JK \subseteq I$ implies that $J \subseteq I$ or $K \subseteq I$. Since char $\Bbbk = 0$, a δ -prime ideal of B is prime by [Goo06, Lemma 1.1].

Proof of Proposition 7.2. This proof is largely a recapitulation of the proof of [Jor14, Theorem 3.6], pointing out that the homeomorphism constructed there is in fact the identity map. Let $J = \delta(B)B$. For any δ -ideal Q of B, we have Q * R = R * Q = QA = Q[z] (using the identification of R with A as left B-modules). In particular, this holds for Q = J.

Let $P \subseteq A = R$ and suppose that either $P \in \operatorname{Spec} R$ or $P \in \operatorname{PSpec} A$. If $J \subseteq P$ then $P \supseteq JA = J * R = R * J$. From (7.3), the two multiplications on the graded vector space A/JA = R/J * R are equal, and the induced Poisson bracket on A/JA is trivial. Let C = R/J * R = A/JA. Then $J \subseteq P \in \operatorname{Spec} R$ holds if and only if $P/J * R = P/JA \in \operatorname{Spec} C = \operatorname{PSpec} C$, if and only if $J \subseteq P \in \operatorname{PSpec} A$.

Now suppose that $J \not\subseteq P$ and let $Q = P \cap B$; note Q is a δ -prime ideal of B. By [Jor14, Lemma 3.2], if $P \in PSpec A$ then P = QA = Q * R, and thus

 $P \in \text{Spec } R$. But by [Jor14, Lemma 3.3], if $P \in \text{Spec } R$ then P = Q * R = QA and $P \in \text{PSpec } A$.

Finally, we note that the results in [Jor14] are stated for $\mathbb{k} = \mathbb{C}$, but are valid over any field of characteristic 0.

We now prove Theorem 7.1. Recall from Section 3 that $R^{\circ} = R[X_0^{-1}]$ and $A^{\circ} = A[X_0^{-1}]$.

Proof of Theorem 7.1. (1) If n = 1 the result is well known. So let n > 1 and let $P \subseteq A = R$.

First suppose that $X_0 \notin P$. Let $P^{\circ} := P[X_0^{-1}] = P + X_0^{-1}P + \cdots \subseteq A^{\circ} = R^{\circ}$. If $P \in \operatorname{Spec} R$ or $P \in \operatorname{PSpec} A$ then $P = P^{\circ} \cap A$. So it suffices to prove that $P^{\circ} \in \operatorname{Spec} R^{\circ} \iff P^{\circ} \in \operatorname{PSpec} A^{\circ}$. This is an immediate application of Lemma 3.26 and Proposition 7.2. That prime ideals of R° are completely prime is shown in [Sig84] (see also [Jor14, Remark 3.7]) and the rest of (1) follows immediately for P.

Now suppose that $X_0 \in P$. If $P \in \operatorname{Spec} R$ or $P \in \operatorname{PSpec} A$ then $X_0 * R = X_0 A \subseteq P$, and (1) follows by induction, considering the image of P in $\operatorname{PSpec} A/\langle X_0 \rangle = \operatorname{Spec} R/\langle X_0 \rangle$.

For (2) the case $X_0 \notin P$ follows from [Jor14, Corollary 4.4], applied to $P^{\circ} \subseteq R^{\circ} = A^{\circ}$, and the case $X_0 \in P$ follows by induction, as above.

We turn now to describing the topological space Spec R = PSpec A. We note that this space has a natural stratification: for $0 \leq j \leq n+1$, let

$$\operatorname{Spec}_{i}(R) = \{ P \in \operatorname{Spec}(R) \mid X_{i} \in P \text{ if and only if } 0 \leq i < j \}.$$

It is immediate that Spec R is the disjoint union of the $\operatorname{Spec}_i(R)$. By Proposition 3.7, we have $\operatorname{Spec}_j R \cong \operatorname{Spec}_{j-1} R(n-1, a+1)$. Thus to describe the primes of R explicitly, it suffices by induction to describe the open stratum $\operatorname{Spec}_0 R$. We have $\operatorname{Spec}_0(R) \cong \operatorname{Spec} R^\circ = \operatorname{PSpec} A^\circ$, using (the proof of) Theorem 7.1.

Before describing PSpec $A^{\circ} = \operatorname{Spec} R^{\circ}$, we establish some notation. Let K be a commutative ring. By $\mathbb{P}_{K}(2, \ldots, n)$ we denote the weighted projective space $\mathbb{P}(2, \ldots, n)$ with base Spec K: explicitly, $\mathbb{P}_{K}(2, \ldots, n) =$ $\operatorname{Proj} K[Y_{2}, \ldots, Y_{n}]$ (see [Har77, page 76]), where K is assumed to be concentrated in degree 0, and deg $Y_{i} = i$.

If C is a graded ring, let $\operatorname{Spec}_{\operatorname{gr}}(C)$ be the set of prime graded ideals of C, under the Zariski topology; if C has multiple gradings, say d and e, we write $\operatorname{Spec}_{d-\operatorname{gr}}(C)$ or $\operatorname{Spec}_{e-\operatorname{gr}}(C)$ to indicate which grading is being used. Likewise, let $\operatorname{PSpec}_{d-\operatorname{gr}}(A^{\circ}) = \{d\text{-graded Poisson primes of } A^{\circ}\}.$

For $n \ge 2$ let $C(n) = \Bbbk[Z_2, \ldots, Z_n]$, graded with deg $Z_i = i$. Let X(n) =Spec_{gr} C(n). Note that $X(n) = \mathbb{P}_{\Bbbk}(2, \ldots, n) \sqcup \{C(n)_+ = \langle Z_2, \ldots, Z_n \rangle\}$ and is (n-2)-dimensional.

The structure of PSpec $A^{\circ} = \text{Spec } R^{\circ}$ depends sensitively on the value of a, as shown in the next result.

THEOREM 7.5. Assume that $n \ge 2$.

- (1) If $a \notin \mathbb{Q}$, then PSpec A° is homeomorphic to X(n). Further, all primes of R° and Poisson primes of A° are d-graded.
- (2) If $a \in \mathbb{Q}^{\times}$, then PSpec A° is homeomorphic to the rational affine variety Spec Z, where Z is the Poisson center of A° , and has dimension (n-1). Further, $\operatorname{PSpec}_{d-\operatorname{gr}}(A^{\circ})$ is homeomorphic to X(n).
- (3) If a = 0, then PSpec A° is the disjoint union of a stratum homeomorphic to P_{k[X₀^{±1}]}(2,...,n) and PSpec A°/(Y₂,...,Y_n) ≅ A² ∨ V(X₀) and has dimension max{n − 1, 2}. We have that PSpec_{d-gr}(A°) is the disjoint union of a stratum homeomorphic to P(2,...,n) and a stratum homeomorphic to A¹.
- (4) Further, as long as $a \neq 0$, then $\operatorname{PSpec}_{d-\operatorname{gr}}(A^\circ)$ does not depend on a: that is, an ideal P of $\Bbbk[X_0, \ldots, X_n]$ is a Poisson prime of some $A(n, a)^\circ$ (with $a \neq 0$) if and only if P is a Poisson prime of all $A(n, a)^\circ$.

We immediately obtain:

COROLLARY 7.6. Let $n \ge 1$ and let $a \in k$. Then Spec $R(n, a) \cong$ PSpec A(n, a) is a union of quasiprojective rational varieties and has dimension:

- max{n-2, 1} if $a \notin \mathbb{Q}$;
- $\max\{n-1,1\}$ if $a \in \mathbb{Q}$ and $(n,a) \notin \{(2,-1),(1,0)\};$
- 2 if $(n, a) \in \{(2, -1), (1, 0)\}.$

Proof. Combine Theorem 7.5, Proposition 3.7, and Example 3.16.

EXAMPLE 7.7. In the case $a \in \mathbb{Q}^{\times}$, it is not necessarily true that Poisson primes of A° are centrally generated. For example, let n = 2 and a = -7/4. Recall that $Y_2 = X_2 - (X_1^2/2X_0)$. Then $\Delta(Y_2) = 0$ and $\Gamma(Y_2) = (1/4)Y_2$. By Proposition 3.21, Y_2 is Poisson normal in A° .

We see that the Poisson center of A° is $Z = \Bbbk[X_0Y_2^7]$, so the Poisson ideal $\langle Y_2 \rangle$ of A° is not centrally generated. However, Y_2 is the unique Poisson prime of A° with $\langle Y_2 \rangle \cap Z = \langle X_0Y_2^7 \rangle$.

The situation in the example is typical. Theorem 7.5(2) will follow from:

PROPOSITION 7.8. Assume that $a \in \mathbb{Q}^{\times}$. The map

$$\phi: \operatorname{PSpec} A^{\circ} \to \operatorname{Spec} Z$$
$$P \mapsto P \cap Z$$

is a homeomorphism. The inverse map is defined by

$$\psi: \operatorname{Spec} Z \to \operatorname{PSpec} A^{\circ}$$
$$Q_0 \mapsto \sqrt{Q_0 A^{\circ}}.$$

Further, Spec Z is a rational variety of dimension n-1.

As an immediate consequence, we have:

COROLLARY 7.9. If $a \in \mathbb{Q}^{\times}$, the map $P \mapsto P[X_0^{-1}] \cap Z$ induces a homeomorphism between $PSpec(A) \smallsetminus V(X_0) \cong PSpec(A^\circ)$ and Spec(Z).

Proposition 7.8 will follow from a general lemma on gradings of localized polynomial rings.

LEMMA 7.10. Let $B = \Bbbk[X_0^{\pm 1}, X_1, \ldots, X_m]$, where deg $X_i = a_i \in \mathbb{Z}$. Assume that $a_0 \neq 0$. Write the \mathbb{Z} -grading on B as $B = \bigoplus_{n \in \mathbb{Z}} B_n$, and let $Z = B_0$.

Let $Q_0 \in \text{Spec } Z$ and let $N = \sqrt{Q_0 B}$. Then:

- (1) N is prime;
- (2) $\operatorname{Kdim}(B/N) = 1 + \operatorname{Kdim}(Z/Q_0).$
- (3) The map $Q_0 \mapsto \sqrt{Q_0 B}$ gives a homeomorphism $\eta : \operatorname{Spec} Z \to \operatorname{Spec}_{\operatorname{gr}}(B)$. The inverse map is given by $Q \mapsto Q \cap Z$. Further, $\operatorname{Spec} Z$ is a rational variety of dimension n-1: that is, the fraction field $\operatorname{Frac}(Z)$ of Z is isomorphic to $\Bbbk(t_0, \ldots, t_{n-2})$.

Proof. (1) Let $B' = \bigoplus_{k \in \mathbb{Z}} B_{ka_0} \cong Z[X_0^{\pm 1}]$. Suppose that N is not prime; then there are $x, y \notin N$ with $xy \in N$, so $(xy)^m \in Q_0B$ and $(xy)^{mp} \in Q_0B'$ for some $m, p \in \mathbb{Z}_{\geq 1}$. Thus either x^{mp} or y^{mp} is in Q_0B , since $Q_0B' \subseteq Q_0B$ is a prime ideal of B'. This is a contradiction.

(2) Since B is module-finite over B', therefore B/Q_0B is module-finite over $B'/Q_0B' \cong (Z/Q_0)[X_0^{\pm 1}]$. Thus Kdim B/N = Kdim $B/Q_0B =$ Kdim $B'/Q_0B' = 1 +$ Kdim Z/Q_0 .

(3) Let $\mathcal{C} = Z \setminus Q_0$ and let $T = B\mathcal{C}^{-1}/N\mathcal{C}^{-1}$. Since N is graded and prime, T is a graded domain. Let $d = \min\{k \in \mathbb{Z}_{\geq 0} \mid T_k \neq 0\}$ and let $0 \neq x \in T_d$.

We claim that $T \cong \operatorname{Frac}(Z/Q_0)[x^{\pm 1}]$. Certainly $T_0 = Z\mathcal{C}^{-1}/Q_0\mathcal{C}^{-1} \cong \operatorname{Frac}(Z/Q_0)$. Now, X_0 and X_0^{-1} map to nonzero elements of T under the natural map $B \to T$. By abuse of notation, let X_0 also denote the image of X_0 in T. A straightforward combinatorial argument shows that $T = \bigoplus_{k \in \mathbb{Z}} T_{kd}$. In particular, $a_0 = d\ell$ for some ℓ and so $x^{\ell}(X_0)^{-1} \in T_0$ is invertible. Thus x is invertible in T. It follows that $T_{dk} = T_0 x^k$ for all k, completing the proof of the claim.

Since $T \cong \operatorname{Frac}(Z/Q_0)[x^{\pm 1}]$ is a Laurent polynomial ring over a field, it has no nontrivial graded ideals. Now, $\operatorname{Spec}_{\operatorname{gr}} T$ is in bijection with

$$\{Q \in \operatorname{Spec}_{\operatorname{gr}} B \mid Q \supseteq N, Q \cap \mathcal{C} = \emptyset\} = \{Q \in \operatorname{Spec}_{\operatorname{gr}} B \mid Q \cap Z = Q_0\},\$$

where we have used part (1) of the lemma. Thus there is only one such Q, namely N.

Define $\theta : \operatorname{Spec}_{\operatorname{gr}} B \to \operatorname{Spec} Z$ by $\theta(Q) = Q \cap Z$. The argument above shows that if $Q \in \operatorname{Spec}_{\operatorname{gr}} B$, then $Q = \eta \theta(Q)$. Since $\theta \eta$ is easily seen to be the identity on Spec Z, therefore $\theta = \eta^{-1}$. As η and θ clearly preserve inclusions, they are continuous and thus homeomorphisms.

Since Z is a normal semigroup algebra, Spec Z is rational.

We next give an explicit characterization of Poisson primitive ideals of A° if $a \in \mathbb{Q}$. By Theorem 7.1 these are the same as the primitive ideals of R° . Recall from the end of Section 3 that we define a grading $A^{\circ} = \bigoplus_{e \in \mathbb{K}} A^{\circ}(e)$ by setting $A^{\circ}(e)$ to be the *e*-eigenspace of Γ_a . Moreover, notice that $(A^{\circ})^{\Delta}$ is an *e*-graded subalgebra of A° .

COROLLARY 7.11. If $a \in \mathbb{Q}^{\times}$ then PSpec A° is homeomorphic to Spec Z. Furthermore, a Poisson prime P of PSpec A° is Poisson primitive if and only if $P \cap Z$ is a maximal ideal of Z.

Proof. Let $B = \Bbbk[X_0^{\pm 1}, Y_2, \ldots, Y_n] = (A^{\circ})^{\Delta}$. Let Z be the Poisson center of A° . By Proposition 3.21, $Z = B^{\Gamma_a} = B(0)$. Since $a \neq 0, X_0 \in \delta(B)$ so no nontrivial ideal of A° can contain $\delta(B)$. By Proposition 7.2, there is an inclusion-preserving bijection ρ between PSpec A° and the set of δ -prime ideals of B, defined by $\rho(P) = P \cap B$. Note that a δ -prime of B is the same as an e-graded prime of B. Thus by Lemma 7.10 the map $\phi = \theta \rho$: Spec $A^{\circ} \to$

Spec Z is a homeomorphism. The inverse to ϕ is

$$\psi = \rho^{-1}\eta : Q_0 \mapsto A^{\circ}(\sqrt{Q_0 B}) = \sqrt{Q_0 A^{\circ}}.$$

By [Jor14, Corollary 4.4], $P \in PSpec A^{\circ}$ is Poisson primitive if and only if $P \cap B$ is a δ -primitive ideal of B, that is, $P \cap B$ is the largest δ -stable ideal of B contained in some maximal ideal M. Since an ideal of B is δ -stable \iff it is Γ_a -stable, a δ -primitive ideal of B is a maximal *e*-graded ideal of B, and by Lemma 7.10 these are precisely ideals of the form $\psi(M_0)$ for $M_0 = P \cap B \cap Z = P \cap Z \in \text{maxspec } Z$.

We next assume that $a \neq 0$, and consider *d*-graded Poisson primes of A° , which by Theorem 7.1 may be identified with *d*-graded primes of R° . For $2 \leq i \leq n$, let $Z_i = Y_i X_0^{-1}$ and $C = \Bbbk[Z_2, \ldots, Z_n]$. The *e*-grading on A° restricts to C, with $e(Z_i) = i$.

PROPOSITION 7.12. If $a \neq 0$, then $\operatorname{PSpec}_{d-\operatorname{gr}}(A^\circ)$ is homeomorphic to $\operatorname{Spec}_{e-\operatorname{gr}}(C) \cong X(n)$. Further, as long as $a \neq 0$, then $\operatorname{PSpec}_{d-\operatorname{gr}}(A^\circ)$ does not depend on a in the sense of Theorem 7.5(4).

Proof. Note that C is precisely $B_0 = \{b \in B \mid b \text{ is } d\text{-homogeneous} \text{ of degree } 0\}$. As in the proof of Corollary 7.11, there is an inclusion-preserving bijection between $\operatorname{PSpec}(A^\circ)$ and $\operatorname{Spec}_{e-\operatorname{gr}}(B)$, and it is clear that this bijection takes d-graded primes to d-graded primes: in other words, $\operatorname{PSpec}_{d-\operatorname{gr}}(A^\circ)$ is homeomorphic to the set of (d, e)-bigraded primes of B, via the map $P \mapsto P \cap B$. Since $B = C[X_0^{\pm 1}]$ is strongly d-graded, we have $\operatorname{Spec}_{d-\operatorname{gr}}(B) \cong \operatorname{Spec} C$. Thus $\operatorname{PSpec}_{d-\operatorname{gr}}(A^\circ)$ is homeomorphic to $\operatorname{Spec}_{e-\operatorname{gr}}(C)$ via $P \mapsto P \cap C$. But $\operatorname{Spec}_{e-\operatorname{gr}}(C)$ is homeomorphic by definition to X(n).

For the final statement, note that the definition of C, the *e*-grading on C, and the restriction homeomorphism $\operatorname{PSpec}_{d-\operatorname{gr}}(A^\circ) \to \operatorname{Spec}_{e-\operatorname{gr}}(C)$ do not depend on the value of a.

The result above is particularly strong if $a \notin \mathbb{Q}$, since we then have:

PROPOSITION 7.13. If $a \notin \mathbb{Q}$, then all Poisson primes of A° are d-graded.

Proof. We have seen that $\operatorname{PSpec}(A^\circ) \cong \operatorname{Spec}_{e-\operatorname{gr}}(B)$, where $B = \Bbbk[X_0^{\pm 1}, Y_2, \ldots, Y_n]$. Let $\mathbb{S} = \{e(b) \mid b \in B\}$, which is clearly equal to $\mathbb{Z}a + \mathbb{N}$. Since $a \notin \mathbb{Q}$, we have $\mathbb{S} \cong \mathbb{Z} \oplus \mathbb{N}$ as a semigroup, and it follows that if $b \in B$ is *e*-homogeneous then *b* is *d*-homogeneous.

We now combine the previous results to prove Theorem 7.5.

Proof of Theorem 7.5. (1) follows from Propositions 7.12 and 7.13, and (2) is Corollary 7.11 and Proposition 7.12 again.

For (3), let $B_+ = \sum BY_i$; we have $B_+ = \delta(B)B$. Note that by Proposition 7.2, PSpec A° is equal to the disjoint union of:

(7.14)
$$\{P = QA^{\circ} \mid Q \not\supseteq B_{+} \text{ is a } \delta \text{-prime ideal of } B\}$$

and

(7.15)
$$\{P \mid P \text{ is a prime ideal of } A^{\circ} \text{ with } P \supseteq B_+\}.$$

As we have repeatedly seen above, δ -prime ideals of B are the same as e-graded ideals: that is, (7.14) is homeomorphic to

$$\{Q \in \operatorname{Spec}_{e-\operatorname{gr}} B \mid Q \not\supseteq B_+\} \cong \operatorname{Proj} B = \mathbb{P}_{\Bbbk[X_0^{\pm 1}]}(2, \dots, n).$$

On the other hand, (7.15) is clearly homeomorphic to the spectrum of $A^{\circ}/(Y_2, \ldots, Y_n) \cong \mathbb{k}[X_0^{\pm 1}, X_1]$ (with trivial Poisson bracket).

Finally, (4) follows from Proposition 7.12.

COROLLARY 7.16. If $a \notin \{-n+1, \ldots, -1, 0\}$ then $\operatorname{PSpec}_{d-\operatorname{gr}} A(n, a) = \operatorname{Spec}_{d-\operatorname{gr}} R(n, a)$ does not depend on a. In particular $\operatorname{Spec} R(n, a) \cong \operatorname{Spec} R(n, b)$ for any $a, b \notin \mathbb{Q}$.

Proof. Combine Theorem 7.5(4), Proposition 3.7, and induction. The second assertion follows from Proposition 7.13. \Box

§8. Dixmier–Moeglin equivalences and skewfields

In this section we show that the algebra R(n, a) satisfies the Dixmier– Moeglin equivalence (DME). Recall that primitive ideals are annihilators of simple modules. In particular they are not easily distinguished among the primes. The DME characterizes them with algebraic and topological properties. A prime ideal P in a Noetherian ring R is said rational provided that the field $Z(\operatorname{Frac} R/P)$ is algebraic over the ground field, and is said locally closed if the point $\{P\}$ is locally closed in Spec R (with respect to the Zariski topology). We say that the Dixmier–Moeglin equivalence holds for a given Noetherian algebra if the sets of primitive ideals, locally closed ideals and rational ideals coincide. This idea originated in the work of Dixmier and Moeglin who showed that for any finite-dimensional complex Lie algebras, theses sets are equal.

Π

Thanks to our result on the DME we prove a transfer result which says that the Poisson algebra A(n, a) satisfies a similar equivalence, the so-called Poisson Dixmier–Moeglin equivalence (PDME), which we recall later. To prove our results on the DME we relate R(n, a) to the enveloping algebra of a solvable Lie algebra sitting inside the localization $R(n, a)^{\circ}$. With the Gelfand–Kirillov conjecture [GK66, Section 5] in mind, this motivated us to investigate the skewfield of fractions of R(n, a) at the end of this section.

Let R = R(n, a) and recall that $R^{\circ} = R[X_0^{-1}]$. We denote by T the subalgebra of R° generated by $X_0, X_1, Y_2, \ldots, Y_n$. Setting $C := \Bbbk[X_0, Y_2, \ldots, Y_n]$ we have that $T = C[X_1; \delta]$, where $\delta = X_0\Gamma_a$ is a derivation of the commutative ring C. Moreover, we set $Y_0 := X_0$ and we denote by \mathfrak{g}_a the *n*-dimensional solvable Lie algebra with basis elements Y_0, X, Y_2, \ldots, Y_n and Lie brackets

(8.1)
$$[X, Y_i] = (a+i)Y_i$$
 $[Y_i, Y_j] = 0$ for all i, j .

In particular sending Y_i to Y_i and X to $Y_0^{-1}X_1$ we obtain the isomorphism

(8.2)
$$U(\mathfrak{g}_a)[Y_0^{-1}] \cong T[Y_0^{-1}] \cong R^\circ.$$

We now state the main result of this section.

THEOREM 8.3. The algebra R(n, a) satisfies the DME for any $a \in \mathbb{k}$ and $n \ge 1$.

The proof of Theorem 8.3 relies on the following lemmas.

LEMMA 8.4. Let $P \in \text{Spec } R$ and suppose that $X_0 \in P$. Then P is locally closed in Spec R if and only if $P/\langle X_0 \rangle$ is locally closed in Spec $R/\langle X_0 \rangle$.

Proof. This follows from the isomorphism $(R/\langle X_0 \rangle)/(P/\langle X_0 \rangle) \cong R/P$.

For $P \in \operatorname{Spec} R$ with $X_0 \notin P$, we set $P^\circ := P[X_0^{-1}] \in \operatorname{Spec} R^\circ$.

LEMMA 8.5. Let $P \in \text{Spec } R$ and suppose that $X_0 \notin P$. Then P is locally closed in Spec R if and only if P° is locally closed in Spec R° .

Proof. It is enough to prove the lemma for $P = \langle 0 \rangle$. Recall that $\langle 0 \rangle$ is locally closed if and only if $\langle 0 \rangle \neq \bigcap \{Q \in \text{Spec } R \mid Q \neq \langle 0 \rangle \}$. We set

$$\mathcal{I}_1 = \bigcap_{\langle 0 \rangle \neq Q \in \text{Spec } R} Q \quad \text{and} \quad \mathcal{I}_2 = \bigcap_{\langle 0 \rangle \neq T \in \text{Spec } R^\circ} T.$$

Suppose that $\mathcal{I}_1 \neq \langle 0 \rangle$ and let $\langle 0 \rangle \neq U \in \mathcal{I}_1$. Then $U \in \mathcal{I}_2$ and $\mathcal{I}_2 \neq 0$. Reciprocally if $\mathcal{I}_2 \neq \langle 0 \rangle$, then there exists U nonzero inside $\bigcap_{\langle 0 \rangle \neq Q \in \text{Spec } R \text{ and } X_0 \notin Q} Q$ (recall that prime ideals are completely prime in R, see assertion (1) of Theorem 7.1). Then UX_0 belongs to any nonzero $Q \in \text{Spec } R \text{ and } \mathcal{I}_1 \neq \langle 0 \rangle$.

We can now prove Theorem 8.3.

Proof of Theorem 8.3. We proceed by induction on n. It is well known that the Jordan plane R(1, a) $(a \neq 0)$ and the commutative polynomial ring R(1, 0) satisfy the DME, so that the base case n = 1 is true. Suppose that R(n-1, b) satisfies the DME for any $b \in \mathbb{k}$ and n > 1. By [BG02, II.7.17] the algebra R satisfies the (noncommutative) Nullstellensatz. Then by [BG02, II.7.15] we have the implications locally closed \Rightarrow primitive \Rightarrow rational. It remains to prove that rational implies locally closed.

Let $P \in \text{Spec } R$ be rational. Suppose first that $X_0 \in P$. Then

$$Z\left(\operatorname{Frac}\frac{R/\langle X_0\rangle}{P/\langle X_0\rangle}\right) \cong Z\left(\operatorname{Frac}\frac{R}{P}\right)$$

and $P/\langle X_0 \rangle$ is rational in $R/\langle X_0 \rangle$. Since $R/\langle X_0 \rangle \cong R(n-1, a+1)$ satisfies the DME by the induction hypothesis, the prime $P/\langle X_0 \rangle$ is locally closed. We conclude that P is locally closed by Lemma 8.4.

Suppose now that $X_0 \notin P$. Then

$$Z\left(\operatorname{Frac}\frac{R^{\circ}}{P^{\circ}}\right) \cong Z\left(\operatorname{Frac}\frac{R}{P}\right)$$

and P° is rational in R° . Since the algebra $U(\mathfrak{g}_a)$ satisfies the DME over \Bbbk by [IS80], the localization $U(\mathfrak{g}_a)[X_0^{-1}] \cong R^{\circ}$ satisfies the DME. Then P° is locally closed in Spec R° and we conclude that P is locally closed in Spec R by Lemma 8.5.

The second main theorem of the section is that A(n, a) satisfies the Poisson Dixmier–Moeglin equivalence, which we define here. Recall from Section 7 that a Poisson primitive ideal is by definition the largest Poisson ideal contained inside a maximal ideal. Let A be a Poisson k-algebra and $P \in \operatorname{PSpec}(A)$. The ideal P is said *locally closed* if the point $\{P\}$ is a locally closed point of $\operatorname{PSpec}(A)$ and is said *Poisson rational* provided the field $Z_P(\operatorname{Frac}(A/P))$ is algebraic over the ground field k. We say that the *Poisson Dixmier–Moeglin equivalence* holds for the Poisson algebra A if the sets of Poisson primitive ideals, of locally closed Poisson ideals and of Poisson rational ideals coincide. Our proof proceeds via a transfer result for the PDME.

THEOREM 8.6. The algebra A(n, a) satisfies the PDME for any $a \in \mathbb{k}$ and $n \ge 1$.

Recall that we set $A^{\circ} := A[X_0^{-1}]$. We first prove two lemmas. An algebra is *catenary* if for every pair of distinct prime ideals $P \subset Q$ all saturated chains of prime ideals from P to Q have the same length.

LEMMA 8.7. Let S be a catenary and Noetherian \Bbbk -algebra with finite GK dimension and let $P \in \text{Spec } S$. Then

 $\{Q \in \operatorname{Spec} S \mid ht(Q) = ht(P) + 1\}$ is finite \Rightarrow P is locally closed,

where ht(P) is the height of P, that is, the supremum of the length of chains of prime ideals descending from P.

Proof. Since S is catenary we can assume that $P = \langle 0 \rangle$. Suppose that S has only finitely many height one prime ideals, namely, P_1, \ldots, P_ℓ . Since S has finite GK dimension, it satisfies the DCC on prime ideals. In particular any nonzero $P \in \text{Spec } S$ contains one of the P_i and therefore $\{\langle 0 \rangle\} = \text{Spec } S \setminus V(\cap_i P_i)$ is open in its closure.

LEMMA 8.8. The ring R = R(n, a) is catenary for any $a \in \Bbbk$ and $n \in \mathbb{Z}_{>0}$.

Proof. Thanks to [BG02, II.9.5], Lemma 3.6 and the proof of Theorem 3.8, we only need to prove that Spec R has normal separation, that is, for every distinct pair $P \subset Q$ of comparable primes in R the ideal Q/P of R/P contains a nonzero normal element of R/P.

We proceed by induction on n. Let $P \subset Q$ be a pair of comparable primes in R. The proof split into three cases.

First assume that $X_0 \in P$, so that $X_0 \in Q$. Then $P/\langle X_0 \rangle \subset Q/\langle X_0 \rangle$ inside $R/\langle X_0 \rangle \cong R(n-1, a+1)$, and we are done by induction.

Next assume that $X_0 \notin Q$, so that $X_0 \notin P$. Then $P^{\circ} \subset Q^{\circ}$ inside $R^{\circ} \cong U(\mathfrak{g}_a)[X_0^{-1}]$. Since \mathfrak{g}_a is solvable Spec $U(\mathfrak{g}_a)$ has normal separation by [GW89, Theorem 12.19]. It is then easy to see that Spec $U(\mathfrak{g}_a)[X_0^{-1}] \cong R^{\circ}$ has normal separation. Hence there exists a nonzero normal element U in $Q^{\circ}/P^{\circ} \cong Q/P[X_0^{-1}]$ (where we denote again by X_0 its image in the quotient

Q/P). In particular there is an integer $\ell \ge 0$ such that UX_0^{ℓ} is a nonzero normal element in Q/P (recall that X_0 is normal in R).

Finally suppose that $X_0 \notin P$ and $X_0 \in Q$. Then $X_0 \in Q \setminus P$ and is normal modulo P as it is already normal in R.

We now prove Theorem 8.6.

Proof of Theorem 8.6. By [Oh99, Propositions 1.7, 1.10] we have the implications Poisson locally closed \Rightarrow Poisson primitive \Rightarrow Poisson rational. Let P be a Poisson rational ideal of A. Then by [BLLM, Theorem 8.3] the set $\{Q \in \operatorname{PSpec} A \mid ht(Q) = ht(P) + 1\}$ is finite. Since $\operatorname{PSpec} A = \operatorname{Spec} R$, the set

$$\{Q \in \text{Spec } R \mid ht(Q) = ht(P) + 1\} = \{Q \in \text{PSpec } A \mid ht(Q) = ht(P) + 1\}$$

is finite. By Lemma 8.7 we conclude that P is locally closed in Spec R, hence P is locally closed in PSpec A since PSpec A =Spec R.

To end the section we investigate the structure of the skewfield of fractions Frac R of the Noetherian domain R.

Recall the definition of the solvable Lie algebra \mathfrak{g}_a from (8.1). By Equation (8.2), R° is isomorphic to a localization of the enveloping algebra $U(\mathfrak{g}_a)$. Then by [BGR73, Jos77, McC74], the skewfield Frac R is isomorphic to a Weyl skewfield, when k is algebraically closed and when the Lie algebra \mathfrak{g}_a is algebraic. From [GK66, Section 8] we note that this algebra is algebraic if and only if $a \in \mathbb{Q}$. In that case we provide an explicit description of this Weyl skewfield, and we show that Frac R is not isomorphic to a Weyl skewfield when $a \notin \mathbb{Q}$. Moreover, we prove these results over a field of characteristic zero that is not necessarily algebraically closed. Recall that $R^\circ = B[X_1; X_0\Gamma_a]$, where $B = \Bbbk[X_0^{\pm 1}, Y_2, \ldots, Y_n]$.

For any field K and ε , $a \in K$, let $\mathfrak{h}_{\varepsilon,a}(K)$ be the 3-dimensional solvable Lie algebra over K with basis $\{x, y, z\}$ and Lie bracket $[x, y] = \varepsilon y$, [x, z] = az and [y, z] = 0.

PROPOSITION 8.9. We have $\operatorname{Frac} R \cong \operatorname{Frac} U(\mathfrak{g}_a) \cong \operatorname{Frac} U(\mathfrak{h}_{\epsilon,a}(K))$ where $K = (Q_{gr}(B))_0$ is a field of transcendence degree n-2 over \Bbbk , and where $\varepsilon = 2$ if n = 2 and $\varepsilon = 1$ if n > 2.

Proof. We define a \mathbb{Z}^2 -grading f on B as follows. Set $f(X_1^{\pm 1}) = (\pm 1, 0)$ and $f(Y_i) = (1, i)$ for all i = 2, ..., n. This is a combination of the d-grading and the ϵ -grading. Note that if $u \in B$ has degree (0, 0), then $u \in \ker \Gamma_a$. We now form the graded quotient ring $E := Q_{gr}(B)$ of B by inverting all its homogeneous elements

$$E = B[h^{-1} \mid h \text{ } f\text{-homogeneous}].$$

Note that E is also \mathbb{Z}^2 -graded by a grading denoted f again. It is a standard fact that $E \cong K[s^{\pm 1}, t^{\pm 1}]$, where $K = E_{(0,0)}$ is a field such that

 $\operatorname{trdeg}_{\Bbbk} K = \operatorname{trdeg}_{\Bbbk} B - 2 = n - 2,$

and where $0 \neq s \in E_{(1,0)}$ and $0 \neq t \in E_{(0,\varepsilon)}$. By definition $\varepsilon := \min\{\alpha \ge 1 \mid E_{(0,\alpha)} \neq 0\}$. For instance we can choose $s = X_0$ and $t = Y_2 X_0^{-1}$ when n = 2, or $t = Y_3 Y_2^{-1}$ when n > 2. Thus we have

Frac
$$R = \operatorname{Frac} E[X_1; X_0 \Gamma_a] = \operatorname{Frac} K[s^{\pm 1}, t^{\pm 1}][X_1; X_0 \Gamma_a]$$

For $u \in K$ we have $X_1u - uX_1 = \Gamma_a(u) = 0$ since f(u) = (0, 0), and u commutes also with s and t since E is commutative. Moreover, we get

$$X_1 s - s X_1 = X_0 \Gamma_a(X_0) = a X_0^2$$
$$X_1 t - t X_1 = X_0 \Gamma_a(t) = \varepsilon X_0 t.$$

We conclude by setting $X'_1 := X_1 X_0^{-1}$ that $X'_1 s - sX'_1 = aX_0 = as$ and $X'_1 t - tX'_1 = \varepsilon t$. Since $s, t \in B$ we have st = ts and the result follows by setting $x := X'_1, y := t$ and z := s.

REMARK 8.10. Generators of the field K can be obtained by solving the system of equations

$$\begin{cases} u_0 + u_2 + \dots + u_n = 0, \\ 2u_2 + 3u_3 + \dots + nu_n = 0. \end{cases}$$

Setting $Y_0 := X_0$ and $Z_i := Y_i Y_{n-1}^{-(n-i)} Y_n^{n-i-1}$ for $i \in \{0, 2, ..., n-2\}$ we have $K = \Bbbk (Z_0, Z_2, ..., Z_{n-2})$. Note that K is purely transcendental over \Bbbk of transcendence degree n-2.

THEOREM 8.11. When $a \in \mathbb{Q}$ the skewfield Frac R is isomorphic to the first Weyl skewfield $D_1(F)$ over a field F of transcendence degree n-1 over \Bbbk . When $a \notin \mathbb{Q}$, we have $Z(\operatorname{Frac} R) = K$, and $\operatorname{Frac} R$ is not isomorphic to any Weyl skewfield.

Proof. The skewfield of the enveloping algebra of the Lie algebra $\mathfrak{h}_{\varepsilon,a}(\mathbb{k})$ is either isomorphic to the first Weyl skewfield over a field of transcendence degree 1 over \mathbb{k} when a is rational, or has a trivial center when a is irrational. This is a classical fact that can be found in [GK66, Section 8] and [Ric02, Proposition 1.2.4.1 and Remark after]. The result follows from Proposition 8.9 and the isomorphism $U(\mathfrak{h}_{\varepsilon,a}(K)) \cong U(\mathfrak{h}_{\varepsilon,a}(\mathbb{k})) \otimes_{\mathbb{k}} K$.

Remarks 8.12.

(1) Let $a \in \mathbb{Q}$ and suppose that $a = p/q \in \mathbb{Q}$ with gcd(p, q) = 1 (when a = 0 we have p = 0 and we set q = 1). Setting $Z := X_0^q Y_2^p Y_3^{-p}$ we obtain

$$F = \Bbbk(Z, Z_0, Z_1, \dots, Z_{n-2})$$

where the Z_i 's are defined in Remark 8.10.

(2) For $a, b \in \mathbb{Q}$ it is clear that Frac $R(n, a) \cong \operatorname{Frac} R(n, b)$ since both are isomorphic to a Weyl skewfield over a field of transcendence degree n-2. When $a \notin \mathbb{Q}$ and $b = \pm a + n$ for some $n \in \mathbb{Z}$, it is easy to verify that the skewfields Frac R(n, a) and Frac R(n, b) are isomorphic. However, it remains unclear whether or not this condition is also necessary for an isomorphism $\operatorname{Frac} R(n, a) \cong \operatorname{Frac} R(n, b)$ when $a, b \notin \mathbb{Q}$.

Using similar methods we can prove the following result about the Poisson structure of the field $\operatorname{Frac}(A)$. For a Lie algebra \mathfrak{g} we denote by $S(\mathfrak{g})$ its symmetric algebra that we endow with the so-called Kirillov-Kostant-Souriau Poisson bracket, that is the Poisson bracket obtained by extending by bi-derivation and bi-linearity the Lie bracket of \mathfrak{g} inside $S(\mathfrak{g})$

$$\{X,Y\} := [X,Y]_{\mathfrak{g}}$$

for any $X, Y \in \mathfrak{g}$.

THEOREM 8.13. As Poisson algebras, we have $\operatorname{Frac} A \cong \operatorname{Frac} S(\mathfrak{g}_a) \cong$ $\operatorname{Frac} S(\mathfrak{h}_{\varepsilon,a}(K))$. Moreover, if $a \in \mathbb{Q}$ then $\operatorname{Frac} A$ is isomorphic to the field of fractions of the Poisson algebra $\mathcal{P} = F[X, Y]$, where $\{X, Y\} = 1$ and where F is the field described in Remark 8.12. When $a \notin \mathbb{Q}$, the Poisson center of $\operatorname{Frac} A$ is K and $\operatorname{Frac} A$ is not isomorphic to $\operatorname{Frac} \mathcal{P}$.

§9. Examples of spectra

In this final section we study PSpec A(n, a) and Spec R(n, a) for small values of n. Since these two spectra are equal it is enough to describe

PSpec A(n, a). Because we give explicit description of these spectra we assume that k is algebraically closed in this section.

EXAMPLE 9.1. Let n = 1 and consider A = A(1, a). If a = 0 then $A(1, a) = \Bbbk[X_0, X_1]$ with trivial Poisson bracket and thus

$$PSpec A = Spec \Bbbk [X_0, X_1].$$

Suppose that $a \neq 0$. Then A is isomorphic to the Poisson–Jordan plane (see Example 3.16). It is well known that its Poisson spectrum is

$$\{\langle 0 \rangle, \langle X_0 \rangle, \langle X_0, X_1 - \lambda \rangle \mid \lambda \in \Bbbk\}$$

and that the *d*-graded primes are $\langle X_0 \rangle$, $\langle X_0, X_1 \rangle$. Moreover, only $\langle X_0 \rangle$ is not Poisson primitive.

Assume that $n \ge 2$ and that $a \in \mathbb{Q}^{\times}$. We denote by $\operatorname{PSpec}_1 A(n, a)$ the set of Poisson prime ideals of A that contain X_0 and by $\operatorname{PSpec}_0 A(n, a)$ the set of Poisson prime ideals of A that do not contain X_0 . Since $A(n, a)/\langle X_0 \rangle \cong A(n-1, a+1)$ by Proposition 3.7 there is a homeomorphism:

$$\operatorname{PSpec}_1 A(n, a) \cong \operatorname{PSpec} A(n-1, a+1).$$

On the other hand by Theorem 7.5 there is a homeomorphism

$$\operatorname{PSpec}_0 A(n, a) \cong \operatorname{Spec}(Z),$$

where Z is the Poisson center of $A^{\circ} = A(n, a)[X_0^{-1}]$. Thus to describe PSpec A(n, a) completely we must study the ring Z. We know that Kdim Z = n - 1, so we first construct n - 1 algebraically independent elements of Z.

Write a = p/q with gcd(p, q) = 1 and -p > 0. For i = 2, ..., n let $d_i = gcd(p, i) > 0$, and set $u_i = p + iq/d_i$ and $v_i = -p/d_i$. Note that $gcd(u_i, v_i) = 1$. Finally we set

(9.2)
$$Y'_i = X_0^{u_i} Y_i^{v_i}.$$

By construction $e(Y'_i) = 0$, and we have $Z' := \Bbbk[Y'_2, \ldots, Y'_n] \subseteq Z$.

We can be more precise

LEMMA 9.3. Z is a free Z'-module with basis (9.4) $S = \left\{ X_0^s Y_2^{s_2} \cdots Y_n^{s_n} \mid 0 \leqslant s_i < v_i, \ i = 2, \dots, n \text{ and } ps + \sum_{i=2}^n u_i d_i s_i = 0 \right\}.$

In particular its rank is $|S| \leq \prod_{i=2}^{n} v_i$.

Proof. By the extension of Proposition 3.21 to A° we have $Z = (A^{\circ})^{\Gamma_a, \Delta} = B_0$ and so

$$Z = \operatorname{Span} \left\{ X_0^s Y_2^{s_2} \cdots Y_n^{s_n} \mid s \in \mathbb{Z}, \ s_2, \dots, s_n \in \mathbb{N}, \text{ and } as \right.$$
$$\left. + \sum_{i=2}^n (a+i)s_i = 0 \right\}$$
$$= \operatorname{Span} \left\{ X_0^s Y_2^{s_2} \cdots Y_n^{s_n} \mid s \in \mathbb{Z}, \ s_2, \dots, s_n \in \mathbb{N}, \text{ and } ps \right.$$
$$\left. + \sum_{i=2}^n u_i d_i s_i = 0 \right\}.$$

Let $M = X_0^s Y_2^{s_2} \cdots Y_n^{s_n} \in \mathbb{Z}$ and for $i = 2, \ldots, n$ set $s_i = v_i s'_i + \varepsilon_i$, where $s'_i \ge 0$ and $0 \le \varepsilon_i < v_i$. Then we rewrite

$$M = (Y_2^{\prime s_2^{\prime}} \cdots Y_n^{\prime s_n^{\prime}}) (X_0^{s-\Omega} Y_2^{\varepsilon_2} \cdots Y_n^{\varepsilon_n}),$$

where $\Omega = \sum_{i=2}^{n} s'_i u_i$. Since $M, Y_2^{\prime s'_2} \cdots Y_n^{\prime s'_n} \in Z'$ we have $X_0^{s-\Omega} Y_2^{\varepsilon_2} \cdots Y_n^{\varepsilon_n} \in Z$. In particular this shows that a generating set of Z as a module over Z' is given by the set S. The result follows since the elements of S are linearly independent over Z'.

Note that it is possible that $S = \{1\}$. For example, let n = 2 and set $M = X_0^s Y_2^{s_2} \in S$. Then $ps + u_2 d_2 s_2 = 0$, that is, $v_2 s = u_2 s_2$ and v_2 must divide s_2 since $gcd(u_2, v_2) = 1$. This implies that $s_2 = 0$ since $0 \leq s_2 < v_2$. Therefore, $Z = Z' = \Bbbk[Y'_2]$ when n = 2.

We next work out PSpec A(2, a) explicitly. By Example 9.1, for $a, b \in \mathbb{Q} \setminus \{-1\}$ the sets $\operatorname{PSpec}_1 A(2, a)$ and $\operatorname{PSpec}_1 A(2, b)$ are homeomorphic. More precisely we have

$$\begin{aligned} \operatorname{PSpec}_{1} A(2, a) &\cong \operatorname{PSpec}_{1} A(2, b) \\ &\cong \{ \langle X_{0} \rangle, \langle X_{0}, X_{1} \rangle, \langle X_{0}, X_{1}, X_{2} - \mu \rangle \mid \mu \in \Bbbk \}. \end{aligned}$$

The following result explicitly describes the stratum $PSpec_0 A(2, a)$.

PROPOSITION 9.5. For $a \in \mathbb{Q}^{\times}$ we have $\operatorname{PSpec}_0 A(2, a) = \{\langle 0 \rangle, \langle X_0 Y_2 \rangle, P_{\lambda} \mid \lambda \in \mathbb{k}^{\times} \}$, where

$$P_{\lambda} = \begin{cases} \langle X_0^{u_2} Y_2^{v_2} - \lambda \rangle & -1 \leqslant a < 0, \\ \langle (X_0 Y_2)^{v_2} - \lambda X_0^{v_2 - u_2} \rangle & a < -1 \text{ or } a > 0. \end{cases}$$

The ideal P_{λ} is Poisson maximal if and only if -1 < a < 0.

Proof. Let A = A(2, a) and let $A^{\circ} = A[X_0^{-1}]$. Let Z be the Poisson center of A° . Recall from the discussion after Lemma 9.3 that $Z = \Bbbk[Y'_2]$ where $Y'_2 = X_0^{u_2} Y_2^{v_2}$. We denote by φ the bijection between Spec Z and PSpec₀ A sending $Q \in \text{Spec } Z$ to $\varphi(Q) = \sqrt{QA^{\circ}} \cap A$. It is clear that $\varphi(Y'_2Z) = \langle X_0Y_2 \rangle$. If $\lambda \neq 0$, the ideal $(Y'_2 - \lambda)A^{\circ}$ of A° is prime and so $\varphi((Y'_2 - \lambda)Z) = ((Y'_2 - \lambda)A^{\circ}) \cap A$. Since $Y'_2 = X_0^{u_2 - v_2} (X_0Y_2)^{v_2}$, we have

(9.6)
$$P_{\lambda} := \varphi((Y_2' - \lambda)Z) = \begin{cases} \langle Y_2' - \lambda \rangle & u_2 - v_2 \ge 0\\ \langle (X_0 Y_2)^{v_2} - \lambda X_0^{v_2 - u_2} \rangle & \text{else.} \end{cases}$$

But $u_2 - v_2 = \frac{2}{d_2}(p+q)$ and we have:

$$(u_2 - v_2) \ge 0 \iff -1 \le a < 0.$$

Thus PSpec A is as described.

If -1 < a < 0 then $u_2 - v_2 > 0$ and $P_{\lambda} = \langle X_0^{u_2 - v_2} (X_0 Y_2)^{v_2} - \lambda \rangle$ is comaximal with X_0 and thus with all primes in $\operatorname{PSpec}_1(A)$. If a = -1 then $P_{\lambda} = \langle X_0 X_2 - X_1^2/2 - \lambda \rangle$ is contained in the Poisson ideal $\langle X_0, X_1^2/2 - \lambda \rangle$. If a < -1 or a > 0 then $v_2 - u_2 > 0$ and P_{λ} is clearly contained in $\langle X_0, X_1 \rangle$.

We deduce the following result.

THEOREM 9.7. Let $a \in \mathbb{Q}^{\times} \setminus \{-1\}$. Then we have

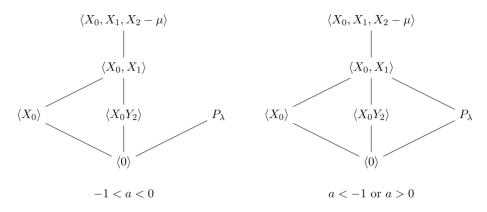
$$PSpec A(2, a)$$

= {\langle 0\rangle, \langle X_0 \rangle, \langle X_0, X_1 \rangle, \langle X_0, X_1, X_2 - \mu\rangle, P_\lambda, \langle X_0 Y_2 \rangle | \mu \in \mathbf{k}, \lambda \in \mathbf{k}^\times \rangle

where the ideals P_{λ} are described in Proposition 9.5. Moreover, only $\langle 0 \rangle$ and $\langle X_0, X_1 \rangle$ are not Poisson primitive. Further, PSpec A(2, a) is homeomorphic to PSpec A(2, b) if and only if $(a^2 + a)/(b^2 + b) > 0$.

Proof. The description of PSpec A(2, a) is immediate from Proposition 9.5. Pictorially, PSpec A(2, a) is given by the following diagrams, where

lines represent inclusion of ideals.



Note that despite the fact that the algebras A(2, a) are not isomorphic for different values of a (see Theorem 5.1), their spectra fall generically into only two nonhomeomorphic families.

Since the topology on PSpec A(2, a) is governed by inclusions, it is clear that PSpec A(2, a) and PSpec A(2, b) are homeomorphic if and only if a, b fall into the same case of (9.6), which is if and only if $(a^2 + a)/(b^2 + b) > 0$.

EXAMPLE 9.8. Let a = 1/2. Then p = -1, q = -2, $d_2 = 1$, $u_2 = -5$ and $v_2 = 1$. Thus

$$P_{\lambda} = \langle X_0(X_2 - \lambda X_0^5) - 1/2X_1^2 \rangle \subseteq \langle X_0, X_1 \rangle.$$

On the other hand when a = -1/2 we have p = -1, q = 2, $d_2 = 1$, $u_2 = 3$ and $v_2 = 1$. Thus

$$P_{\lambda} = \langle X_0^2 (X_0 X_2 - 1/2 X_1^2) - \lambda \rangle,$$

which is Poisson maximal. Therefore, the two spectra are not homeomorphic.

REMARK 9.9. From Theorem 7.5, when $a \notin \mathbb{Q}$ then

$$PSpec A(2, a) = \{ \langle 0 \rangle, \langle X_0 \rangle, \langle X_0 Y_2 \rangle, \langle X_0, X_1 \rangle, \langle X_0, X_1, X_2 - \mu \rangle \mid \mu \in \mathbb{k} \}.$$

Note that these are the prime ideals that are Poisson for all values of a.

For all $a \neq -1, 0$ there are five *d*-graded Poisson primes of A(2, a): the ideals

$$\{\langle 0 \rangle, \langle X_0 \rangle, \langle X_0 Y_2 \rangle, \langle X_0, X_1 \rangle, \langle X_0, X_1, X_2 \rangle\}.$$

For the remainder of this section, we study PSpec A(3, a) for various values of a. Again, we are most interested in $PSpec_0 A(3, a)$ which is homeomorphic to Spec Z as above. From Lemma 9.3 we know that Z is a free module over $Z' = \Bbbk[Y'_2, Y'_3]$ with basis

$$S = \{X_0^s Y_2^{s_2} Y_3^{s_3} \mid 0 \leqslant s_i < v_i, \ i = 2, 3 \text{ and } ps + u_2 d_2 s_2 + u_3 d_3 s_3 = 0\}.$$

PROPOSITION 9.10. The cardinality of S is equal to $\alpha := -p/d_2d_3$.

Proof. Since $p = -d_2v_2 = -d_3v_3$ the equation $ps + u_2d_2s_2 + u_3d_3s_3 = 0$ implies that d_2 divides s_3 (since $gcd(d_2, u_3d_3) = 1$) and d_3 divides s_2 (since $gcd(d_3, u_2d_2) = 1$). Set $s_2 := k_2d_3$ and $s_3 := k_3d_2$. Then the equation $ps + u_2d_2s_2 + u_3d_3s_3 = 0$ becomes

$$(9.11) \qquad \qquad -\alpha s + u_2 k_2 + u_2 k_2 = 0.$$

To solve this Diophantine equation we first set $t = -\alpha s + u_2 k_2$ and we solve $t + u_3 k_3 = 0$. We get

$$\begin{cases} t = -u_3 k, \\ k_3 = k, \end{cases}$$

for $k \in \mathbb{Z}$. We now solve the Diophantine equation $-\alpha s + u_2k_2 = t = -u_3k$. Since $gcd(-\alpha, u_2) = 1$ there exist $m, n \in \mathbb{Z}$ such that $-\alpha m + u_2n = 1$. The solution of the equation $-\alpha s + u_2k_2 = -u_3k$ is then

$$\begin{cases} s = -mu_3k + u_2\ell, \\ k_2 = -nu_3k + \alpha\ell, \end{cases}$$

for $k, \ell \in \mathbb{Z}$, and the solution of (9.11) is

(9.12)
$$\begin{cases} s = -mu_3k + u_2\ell, \\ k_2 = -nu_3k + \alpha\ell, \\ k_3 = k, \end{cases}$$

for $k, \ell \in \mathbb{Z}$.

For i = 2, 3 we have $0 \leq k_i < \alpha = -p/d_2d_3$ since $0 \leq s_i < v_i$. Fix $k_3 \in \{0, \ldots, \alpha - 1\}$. Since $k_2 = -nu_3k_3 + \alpha \ell$ for some $\ell \in \mathbb{Z}$, there exists a unique $\ell \in \mathbb{Z}$ such that $k_2 \in \{0, \ldots, \alpha - 1\}$. The integer s is uniquely determined by k_2 and k_3 , so we conclude that to each $k_3 \in \{0, \ldots, \alpha - 1\}$ corresponds a unique monomial $X_0^s Y_2^{s_2} Y_3^{s_3} \in S$.

Since $\alpha = 1 \iff S = \{1\}$ we deduce the following corollary.

COROLLARY 9.13. We have Z = Z' if and only if $\alpha = 1$ if and only if $p \in \{-1, -2, -3, -6\}$.

From the proof of Proposition 9.10 we observe that

$$S = \{X_0^s Y_2^{d_3 k_2} Y_3^{d_2 k_3} \mid s \in \mathbb{Z}, \ 0 \leqslant k_i < \alpha, \ \text{and} \ -\alpha s + u_2 k_2 + u_3 k_3 = 0\},\$$

and that a recipe for finding these basis elements consists of computing m, m' such that $-\alpha m + u_2m' = 1$, plugging these values into (9.12) and, for each $0 \leq k_3 < \alpha$, finding the unique $l \in \mathbb{Z}$ such that $k_2 = -m'u_3k_3 + \alpha l \in \{0, \ldots, \alpha - 1\}$. We conclude this section with a couple of examples with $\alpha \neq 1$ which illustrate possibilities for the ring structure of Z. But first we describe the *d*-graded Poisson prime that are common to A(3, a) for any generic *a*. By Theorem 7.5 we have $\operatorname{PSpec}_{\operatorname{gr}} A^{\circ} \cong \mathbb{P}_k(2, 3) \sqcup \{(Y_2, Y_3)\}$. In particular, for any $[\alpha : \beta] \in \mathbb{P}^1$, the element

$$F_{[\alpha:\beta]} = \alpha (Y_2 X_0^{-1})^3 + \beta (Y_3 X_0^{-1})^2$$

is Poisson central. Multiplying by X_0^6 , we obtain a pencil of Poisson normal sextic elements of A(4, a)

$$\begin{split} X_0^6 F_{[\alpha;\beta]} &= \alpha (X_0^3 X_2^3 - \frac{3}{2} X_0^2 X_1^2 X_2^2 + \frac{3}{4} X_0 X_1^4 X_2 - \frac{1}{8} X_1^6) \\ &+ \beta \left(X_0^4 X_3^2 + X_0^2 X_1^2 X_2^2 + \frac{1}{9} X_1^6 - 2 X_0^3 X_1 X_2 X_3 \right. \\ &+ \frac{2}{3} X_0^2 X_1^3 X_3 - \frac{2}{3} X_0 X_1^4 X_2). \end{split}$$

Therefore, the *d*-graded Poisson prime ideals that are common to A(3, a) for any $a \neq -2, -1, 0$ are

$$\begin{aligned} \{ \langle 0 \rangle, \langle X_0 \rangle, \langle X_0 Y_2 \rangle, \langle X_0^2 Y_3 \rangle, & \langle X_0^6 F_{[\alpha:\beta]} \rangle, \langle X_0, X_1 \rangle, \langle X_0 Y_2, X_0^2 Y_3 \rangle, \\ \langle X_0, X_1, X_2 \rangle, \langle X_0, X_1, X_2, X_3 \rangle \mid [\alpha:\beta] \in \mathbb{P}^1 \} . \end{aligned}$$

Among them the Poisson primitive ideals are $\langle X_0, X_1 \rangle$, $\langle X_0 Y_2, X_0^2 Y_3 \rangle$ and $\langle X_0, X_1, X_2 \rangle$, $\langle X_0, X_1, X_2, X_3 \rangle$.

EXAMPLE 9.14. For a = -5/4 (the algebra in Example 1.1) we have $\alpha = 5$, $Y'_2 = X_0^3 Y_2^5$ and $Y'_3 = X_0^7 Y_3^5$. Moreover,

$$S = \{ (X_0^2 Y_2 Y_3)^i \mid i = 0, \dots, 4 \},\$$

with $(X_0^2 Y_2 Y_3)^5 = Y_2' Y_3'$. Thus $Z \cong \Bbbk[A, B, C]/\langle C^5 = AB \rangle$.

EXAMPLE 9.15. Choose a = -24/5. We have $\alpha = 4$, $Y'_2 = X_0^{-7}Y_2^{12}$ and $Y'_3 = X_0^{-3}Y_2^8$. We have $S = \{1, C, D, E\}$, where $C := X_0^{-4}Y_2^3Y_3^6$, $D := X_0^{-5}Y_2^6Y_3^4$ and $E := X_0^{-6}Y_2^9Y_3^2$. We further set $A := Y'_2$ and $B := Y'_3$. We have

$$Z \cong \frac{\Bbbk[A, B, C, D, E]}{\langle C^2 = BD, D^2 = AB = CE, CD = BE, E^2 = AD, DE = AC \rangle}$$

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