

## DIRICHLET SERIES IN THE THEORY OF SIEGEL MODULAR FORMS

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We are concerned with Dirichlet series which appear in the Fourier expansion of the non-analytic Eisenstein series on the Siegel upper half space  $H_m$  of degree  $m$ . In the case of  $m = 2$  Kaufhold [1] evaluated them. Here we treat the general cases by a different method.

For a rational matrix  $R$  we denote the product of denominators of elementary divisors of  $R$  by  $\nu(R)$ . For a half-integral symmetric matrix  $T^{(n)}$  we put

$$b(s, T) = \sum \nu(R)^{-s} e(\sigma(TR)),$$

where  $R$  runs over  $n \times n$  rational symmetric matrices modulo 1 and  $\sigma$  means the trace, and  $e(z)$  is  $\exp(2\pi iz)$ . If  $\operatorname{Re} s > n + 1$ , then  $b(s, T)$  is absolutely convergent. For a rational symmetric matrix  $R$  there is a unique decomposition  $R \equiv \sum R_p \pmod{1}$  where  $R_p$  is a rational symmetric matrix such that  $\nu(R_p)$  is a power of prime  $p$ . Therefore we have a decomposition

$$b(s, T) = \prod b_p(s, T), \\ b_p(s, T) = \sum \nu(R)^{-s} e(\sigma(TR)).$$

where  $R$  runs over rational symmetric matrices modulo 1 such that  $\nu(R)$  is a power of prime  $p$ . Our aim is to give  $b_p(s, T)$  in a form easy to see. Shimura [7] also treats  $b_p(s, T)$  in a more general situation.  $b_p(s, T)$  here is a special case  $\alpha_0$ , Case SP in [7]. His results about  $\alpha_0$  are weaker than ours.

Generalized confluent hypergeometric functions in the Fourier expansion of the non-analytic Eisenstein series are investigated by Shimura [6].

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**THEOREM 1.** *Let  $T_1^{(n-1)}$  be a half-integral symmetric matrix and  $T^{(n)} = \begin{pmatrix} T_1 & 0 \\ 0 & 0 \end{pmatrix}$ . Then we have*

$$b_p(s, T) = (1 - p^{-s})(1 + p^{1-s})(1 - p^{n+1-2s})^{-1}b_p(s - 1, T_1).$$

We prepare some lemmas to prove this theorem. Put  $C(k; p) = \{C \in M_k(\mathbb{Z}) \mid |C| \text{ is a power of } p\}$  and

$$A_k = \{S \in M_k(\mathbb{Z}) \mid {}^tS = S\}.$$

The following lemma is known ([1], [5]).

**LEMMA 1.**  $b_p(s, T) = \sum |C|^{-s} e(\sigma(TC^{-1}D)),$

where  $C, D$  run over  $SL_n(\mathbb{Z}) \backslash C(n; p)$ ,  $\{D \in M_n(\mathbb{Z}) \mid C^{-1}D = {}^t(C^{-1}D) \text{ and } (C, D) \text{ is primitive}\} \bmod CA_n$  respectively.

$$\prod_{k=0}^{n-1} (1 - p^{k-s})^{-1} b_p(s, T) = \sum |C|^{-s} e(\sigma(TC^{-1}D)),$$

where  $C, D$  run over  $SL_n(\mathbb{Z}) \backslash C(n; p)$ ,  $\{D \in M_n(\mathbb{Z}) \mid C^{-1}D = {}^t(C^{-1}D)\} \bmod CA_n$  respectively.

The next lemma is easy.

**LEMMA 2.** *As representatives of  $SL_n(\mathbb{Z}) \backslash C(n; p)$  we can choose*

$$C = \begin{pmatrix} C_1^{(n-1)} & 0 \\ C_3 & C_4 \end{pmatrix},$$

where  $C_1, C_4$  and  $C_3$  run over  $SL_{n-1}(\mathbb{Z}) \backslash C(n-1; p)$ ,  $C(1; p)$  and  $M_{1, n-1}(\mathbb{Z}) \bmod M_{1, n-1}(\mathbb{Z})C_1$  respectively.

**LEMMA 3.** *For  $C = \begin{pmatrix} C_1^{(n-1)} & 0 \\ C_3 & C_4 \end{pmatrix} \in C(n; p)$  we can choose as representatives of  $\{D \in M_n(\mathbb{Z}) \mid C^{-1}D = {}^t(C^{-1}D)\} \bmod CA_n$*

$$D = \begin{pmatrix} D_1^{(n-1)} & D_2 \\ D_3 & D_4 \end{pmatrix},$$

where  $D_1, D_2$  and  $D_4$  run over  $\{D_1 \in M_{n-1}(\mathbb{Z}) \mid C_1^{-1}D_1 = {}^t(C_1^{-1}D_1)\} \bmod C_1A_{n-1}$ ,  $\{D_2 \in M_{n-1,1}(\mathbb{Z}) \mid C_4 {}^tD_2 + C_3 {}^tD_1 \in M_{1, n-1}(\mathbb{Z}) {}^tC_1\} \bmod C_1M_{n-1,1}(\mathbb{Z})$  and  $\mathbb{Z} \bmod C_4$  respectively and then  $D_3 = (C_4 {}^tD_2 + C_3 {}^tD_1) {}^tC_1^{-1}$ .

*Proof.* Since  $C^{-1} = \begin{pmatrix} C_1^{-1} & 0 \\ -C_4^{-1}C_3C_1^{-1} & C_4^{-1} \end{pmatrix}$ , we have

$$C^{-1}D = \begin{pmatrix} C_1^{-1}D_1 & C_1^{-1}D_2 \\ -C_4^{-1}(C_3C_1^{-1}D_1 - D_3) & -C_4^{-1}(C_3C_1^{-1}D_2 - D_4) \end{pmatrix}.$$

Since  $C^{-1}D$  is symmetric,  $C_1^{-1}D_1$  is symmetric and  $D_3 = (C_4^tD_2 + C_3^tD_1)^tC_1^{-1}$ .

For an integral symmetric matrix  $S = \begin{pmatrix} S_1^{(n-1)} & S_2 \\ {}^tS_2 & S_4 \end{pmatrix}$ ,

$$CS = \begin{pmatrix} C_1S_1 & C_1S_2 \\ C_3S_1 + C_4^tS_2 & C_3S_2 + C_4S_4 \end{pmatrix} \text{ holds.}$$

From these follows easily our lemma.

The next lemma is an immediate corollary.

**LEMMA 4.** *Let  $C_1 \in C(n - 1; p)$ ,  $D_1 \in M_{n-1}(\mathbb{Z})$  and  $C_4 \in C(1; p)$ . Denote by  $x(C_1, D_1, C_4)$  the number of elements of the set*

$$\{D_2 \in M_{n-1,1}(\mathbb{Z}) \bmod C_1M_{n-1,1}(\mathbb{Z}), C_3 \in M_{1,n-1}(\mathbb{Z}) \bmod M_{1,n-1}(\mathbb{Z})C_1 \\ \text{such that } C_4^tD_2 + C_3^tD_1 \in M_{1,n-1}(\mathbb{Z})^tC_1\}.$$

*Then the number of  $C = \begin{pmatrix} C_1 & 0 \\ C_3 & C_4 \end{pmatrix}$ ,  $D = \begin{pmatrix} D_1 & D_2 \\ D_3 & D_4 \end{pmatrix}$  where  $C_3, D$  run over  $M_{1,n-1}(\mathbb{Z}) \bmod M_{1,n-1}(\mathbb{Z})C_1$ ,  $\{D \in M_n(\mathbb{Z}) \bmod CA_n \mid C^{-1}D = {}^t(C^{-1}D)\}$  respectively is  $C_4x(C_1, D_1, C_4)$ .*

**LEMMA 5.** *Let  $R$  be a rational symmetric matrix and  $C_i^{-1}D_i = R$  for  $C_i, D_i \in M_n(\mathbb{Z})$  ( $i = 1, 2$ ). If  $(C_1, D_1)$  is primitive then  $(C_2, D_2) = W(C_1, D_1)$  for some  $W \in M_n(\mathbb{Z})$ .*

*Proof.* This is well known [5].

**LEMMA 6.** *Let  $W \in C(n - 1; p)$ ,  $C_4 \in C(1; p)$ ,  $C_1 \in C(n - 1; p)$  and  $D_1 \in M_{n-1}(\mathbb{Z})$  such that  $C_1^{-1}D_1$  is symmetric and  $(C_1, D_1)$  is primitive. Then we have*

$$x(WC_1, WD_1, C_4) = |WC_1| \prod_{i=1}^{n-1} (C_4, w_i),$$

where  $\{w_i\}$  is the set of elementary divisors of  $W$ .

*Proof.* Let  $A_1, B_1 \in M_{n-1}(\mathbb{Z})$  such that  $\begin{pmatrix} A_1 & B_1 \\ C_1 & D_1 \end{pmatrix} \in Sp_{n-1}(\mathbb{Z})$ . Suppose  $z^tD_1 = w^tC_1$  for  $z, w \in M_{1,n-1}(\mathbb{Z})$ ; then  $z = z({}^tD_1A_1 - {}^tB_1C_1) = w^tC_1A_1 -$

$z^t B_1 C_1 = (w^t A_1 - z^t B_1) C_1 \in M_{1,n-1}(Z) C_1$ . Conversely, suppose  $z = x C_1$  for  $z, x \in M_{1,n-1}(Z)$ ; then  $z^t D_1 = x C_1^t D_1 = x D_1^t C_1 \in M_{1,n-1}(Z)^t C_1$ . Thus we have proved that for  $z \in M_{1,n-1}(Z)$

$$z^t D_1 \in M_{1,n-1}(Z)^t C_1 \quad \text{iff } z \in M_{1,n-1}(Z) C_1.$$

Next we show that for  $D_2 \in M_{n-1,1}(Z)$  there exists  $C_3 \in M_{1,n-1}(Z)$  such that  $C_4^t D_2 + C_3^t (W D_1) \in M_{1,n-1}(Z)^t (W C_1)$  iff  $C_4^t D_2^t W^{-1} \in M_{1,n-1}(Z)$ . The “only if” part is trivial. Suppose  $C_4^t D_2^t W^{-1} = y \in M_{1,n-1}(Z)$ ; then  $y - y A_1^t D_1 = -y B_1^t C_1$  implies  $C_4^t D_2 + (-y A_1)^t (W D_1) = -y B_1^t (W C_1) \in M_{1,n-1}(Z)^t (W C_1)$ . Hence we can take  $-y A_1$  as  $C_3$ .

Lastly suppose that  $D_2 \in M_{n-1,1}(Z)$ ,  $C_{3,i} \in M_{1,n-1}(Z)$  satisfy

$$C_4^t D_2 + C_{3,i}^t (W D_1) \in M_{1,n-1}(Z)^t (W C_1) \quad (i = 1, 2),$$

then  $(C_{3,1} - C_{3,2})^t D_1 \in M_{1,n-1}(Z)^t C_1$  and then  $C_{3,1} - C_{3,2} \in M_{1,n-1}(Z) C_1$ . Therefore

$$\begin{aligned} &x(W C_1, W D_1, C_4) \\ &= |W| \# \{D_2 \in M_{n-1,1}(Z) \bmod W C_1 M_{n-1,1}(Z) \mid C_4^t D_2^t W^{-1} \in M_{1,n-1}(Z)\}. \end{aligned}$$

Let  $W = U W_0 V$  where

$$U, V \in GL_n(Z), \quad W_0 = \begin{bmatrix} w_1 & & & \\ & \ddots & & \\ & & \ddots & \\ & & & w_{n-1} \end{bmatrix} \quad \text{and} \quad \text{put } {}^t D_2^t U^{-1} = (y_1, \dots, y_{n-1}).$$

${}^t D_2^t W^{-1} = (\dots, y_i/w_i, \dots)^t V^{-1}$  implies

$$\begin{aligned} &x(W C_1, W D_1, C_4) \\ &= |W| \# \{(y_1, \dots, y_{n-1}) \in M_{1,n-1}(Z) \bmod M_{1,n-1}(Z)^t C_1^t V W_0 \mid C_4 y_i \equiv 0 \bmod w_i\} \\ &= |W| [M_{1,n-1}(Z) : M_{1,n-1}(Z)^t C_1^t V W_0] / \\ &\quad [M_{1,n-1}(Z) : \{(y_1, \dots, y_{n-1}) \in M_{1,n-1}(Z) \mid y_i \equiv 0 \bmod w_i / (C_4, w_i)\}] \\ &= |C_1 W| \prod (C_4, w_i). \end{aligned}$$

*Proof of Theorem 1.* From above lemmas follows that

$$\begin{aligned} &\prod_{k=0}^{n-1} (1 - p^{k-s})^{-1} b_p(s, T) \\ &= \sum |C_1|^{-s} C_4^{1-s} e(\sigma(T_1 C_1^{-1} D_1)) x(C_1, D_1, C_4), \end{aligned}$$

where  $C_1, D_1, C_4$  run over  $SL_{n-1}(Z) \setminus C(n-1; p)$ ,  $\{D_1 \in M_{n-1}(Z) \bmod C_1 A_{n-1} \mid C_1^{-1} D_1 = {}^t(C_1^{-1} D_1)\}$  and  $C(1; p)$  respectively

$$= \sum |W C_1|^{-s} C_4^{1-s} e(\sigma(T_1 C_1^{-1} D_1)) x(W C_1, W D_1, C_4),$$

where  $C_1, D_1, C_4$  run over the same set as above with an additional condition that  $(C_1, D_1)$  is primitive, and  $W$  runs over  $SL_{n-1}(\mathbf{Z}) \setminus C(n-1; p)$

$$= \sum |C_1|^{1-s} e(\sigma(T_1 C_1^{-1} D_1)) \cdot \sum |W|^{1-s} C_4^{1-s} \prod (C_4, w_i),$$

where  $C_1, D_1, C_4, W$  run over the above set and  $\{w_i\}$  is the set of elementary divisors of  $W$ .

Thus we have proved that  $b_p(s, T)b_p(s-1, T_1)^{-1}$  is independent of  $T_1$ . Hence by the formula of  $b_p(s, 0)$  ([7]) or evaluating  $b_p(s, T), b_p(s, T_1)$  for

$$T_1 = \frac{1}{2} \begin{pmatrix} 0 & 1 & & & & \\ 1 & 0 & & & & \\ & & \ddots & & & \\ & & & 0 & 1 & \\ & & & 1 & 0 & \end{pmatrix} \quad \text{or} \quad \frac{1}{2} \begin{pmatrix} 0 & 1 & & & & \\ 1 & 0 & & & & \\ & & \ddots & & & \\ & & & 0 & 1 & \\ & & & 1 & 0 & \\ & & & & & 2 \end{pmatrix}$$

similarly to the proof of the next theorem we have  $b_p(s, T)b_p(s-1, T_1)^{-1} = (1-p^{-s})(1+p^{1-s})(1-p^{n+1-2s})^{-1}$ .

**COROLLARY 1.** *Let  $T^{(n)} = \begin{pmatrix} T_1^{(n-r)} & 0 \\ 0 & 0 \end{pmatrix}$  ( $1 \leq r < n$ ) be a half-integral symmetric matrix. Then we have*

$$\begin{aligned} b_p(s, T) &= (1-p^{-s})(1+p^{r-s}) \prod_{0 < i \leq \min(r-1, [n/2])} (1-p^{2i-2s}) \\ &\times \prod_{\max(r, [n/2]+1) \leq j \leq [(n+r)/2]} (1-p^{2j-2s})^{-1} \\ &\times \prod_{\substack{n+1 \leq k \leq n+r \\ 2 \nmid k}} (1-p^{k-2s})^{-1} b_p(s-r, T_1), \end{aligned}$$

where  $[ ]$  means the Gauss' symbol.

*Proof.* By induction it is easy to see

$$\begin{aligned} b_p(s, T) &= (1-p^{-s})(1+p^{r-s}) \prod_{0 < i < r} (1-p^{2i-2s}) \\ &\cdot \prod_{n+1 \leq j \leq n+r} (1-p^{j-2s})^{-1} b_p(s-r, T_1). \end{aligned}$$

From this follows our formula.

**COROLLARY 2.** *Let  $O^{(n)}$  be the  $n \times n$  zero matrix. Then we have*

$$\begin{aligned} b_p(s, O^{(n)}) &= (1-p^{-s}) \prod_{0 < k \leq [n/2]} (1-p^{2k-2s}) \\ &\cdot \{(1-p^{n-s}) \prod_{\substack{n+1 \leq j < 2n \\ 2 \nmid j}} (1-p^{j-2s})\}^{-1}. \end{aligned}$$

*Proof.*  $b_p(s, O^{(1)}) = (1 - p^{-s})(1 - p^{1-s})^{-1}$  is easy to see. Applying Corollary 1 to  $r = n - 1$ ,  $T = O^{(n)}$  we get

$$b_p(s, O^{(n)}) = (1 - p^{-s})(1 - p^{n-s})^{-1} \prod_{1 \leq k \leq n-1} \{(1 - p^{2k-2s})(1 - p^{n+k-2s})^{-1}\}.$$

From this follows our formula.

*Remark.* In Corollary 1 there is a cancellation

$$(1 + p^{r-s})(1 - p^{2j-2s})^{-1} = (1 - p^{r-s})^{-1} \quad (j = r) \quad \text{if } r \geq [n/2] + 1.$$

In the rest of this paper we will show that  $b_p(s, T)$  is a polynomial in  $p^{-s}$  for regular half-integral symmetric matrices  $T$ .

Put  $E_n = \{S = (s_{ij}) \in M_n(\mathbb{Z}_p) \mid S = {}^tS, s_{ii} \in 2\mathbb{Z}_p (1 \leq i \leq n)\}$  and

$$H_s = \begin{pmatrix} 0 & 1 & & & \\ 1 & 0 & & & \\ & & \ddots & & \\ & & & 0 & 1 \\ & & & 1 & 0 \end{pmatrix} \in E_{2s}.$$

For  $N \in E_n$  we put

$$\begin{aligned} \alpha(N, H_s; p^t) &= \{T \in M_{2s,n}(\mathbb{Z}_p/(p^t)) \mid H_s[T] - N \in p^t E_n\}, \\ B(N, H_s; p^t) &= \{T \in \alpha(N, H_s; p^t) \mid T: \text{primitive}\}. \end{aligned}$$

LEMMA 7. Let  $N \in E_n$  with  $|N| \neq 0$  and  $G \in GL_n(\mathbb{Q}_p) \cap M_n(\mathbb{Z}_p)$ . If  $t > \text{ord}_p |N|$ , we have

$$\begin{aligned} &(p^t)^{n(n+1)/2-2sn} \#\{T \in \alpha(N, H_s; p^t) \mid M_{2s,n}(\mathbb{Z}_p) \ni TG^{-1}: \text{primitive}\} \\ &= (p^{\text{ord}_p |G|})^{n-2s+1} p^{n(n+1)/2-2sn} \#B(N[G^{-1}], H_s; p). \end{aligned}$$

*Proof.* Let  $T \in M_{2s,n}(\mathbb{Z}_p)$  and suppose that  $H_s[T] - N \in p^t E_n$  and  $T_1 = TG^{-1}$  is primitive. Then  $H_s[T] = N + p^t C$  holds for some  $C \in E_n$  and  $H_s[T] \equiv N \pmod{p^t}$ . Hence  $|H_s[T_1]| |G|^2 \equiv |N| \pmod{p^t}$  holds and  $2 \text{ord}_p |G| \leq \text{ord}_p |N| < t$  follows from  $\text{ord}_p |N| < t$ . Denote by  $C_1, \dots, C_a$  the representatives of the set  $\{p^t \bar{C}[G^{-1}] \mid \bar{C} \in E_n\} \pmod{p^t E_n}$ , then we have  $H_s[T_1] = N[G^{-1}] + p^t C[G^{-1}] \equiv N[G^{-1}] + C_k \pmod{p^t E_n}$ . Conversely suppose that  $T_1 \in M_{2s,n}(\mathbb{Z}_p)$  and  $T_1$  is primitive and  $H_s[T_1] \equiv N[G^{-1}] + C_k \pmod{p^t E_n}$ , then we have  $H_s[T_1 G] \equiv N \pmod{p^t E_n}$ . Therefore we get

$$\begin{aligned} &\#\{T \in M_{2s,n}(\mathbb{Z}_p) \pmod{p^t} M_{2s,n}(\mathbb{Z}_p) G \mid H_s[T] - N \in p^t E_n, TG^{-1}: \text{primitive}\} \\ &= \sum_{k=1}^a \#B(N[G^{-1}] + C_k, H_s; p^t). \end{aligned}$$

Since  $C_k$  is in  $pE_n$ , by virtue of 2.2 in [2] we have

$$\begin{aligned} & (p^t)^{n(n+1)/2-2sn} \# B(N[G^{-1}] + C_k, H_s; p^t) \\ &= p^{n(n+1)/2-2sn} \# B(N[G^{-1}] + C_k, H_s; p) \\ &= p^{n(n+1)/2-2sn} \# B(N[G^{-1}], H_s; p). \end{aligned}$$

Let  $p^{a_1}, \dots, p^{a_n}$  be elementary divisors of  $G$ , then from the definition of  $a$  follows immediately

$$\begin{aligned} a &= \# \{ [p^t(c_{ij} p^{-a_i - a_j}) | (c_{ij}) \in E_n] \bmod p^t E_n \} \\ &= (p^{\text{ord}_p |G|})^{n+1}. \end{aligned}$$

Thus we have

$$\begin{aligned} & (p^{\text{ord}_p |G|})^{2s} \# \{ T \in \alpha(N, H_s; p^t) | M_{2s, n}(\mathbb{Z}_p) \ni TG^{-1}: \text{primitive} \} \\ &= (p^{\text{ord}_p |G|})^{n+1} (p^{-t})^{n(n+1)/2-2sn} p^{n(n+1)/2-2sn} \# B(N[G^{-1}], H_s; p). \end{aligned}$$

As a corollary we get

LEMMA 8. *Let  $N \in E_n$  with  $|N| \neq 0$  and  $t > \text{ord}_p |N|$ . Then we have*

$$\begin{aligned} & (p^t)^{n(n+1)/2-2sn} \# \alpha(N, H_s; p^t) \\ &= \sum (p^{\text{ord}_p |G|})^{n+1-2s} p^{n(n+1)/2-2sn} \# B(N[G^{-1}], H_s; p) \end{aligned}$$

where  $G$  runs over  $GL_n(\mathbb{Z}_p) \setminus \{GL_n(\mathbb{Q}_p) \cap M_n(\mathbb{Z}_p)\}$ .

*Proof.* Let  $T \in \alpha(N, H_s; p^t)$  and suppose that  $TG^{-1}$  is primitive for  $G \in GL_n(\mathbb{Q}_p) \cap M_n(\mathbb{Z}_p)$ . For any matrix  $T_1 \equiv T \bmod p^t$   $T_1 G^{-1}$  is also primitive since  $2 \text{ord}_p |G| < t$  as in the proof of the previous lemma. If  $TG_1^{-1}, TG_2^{-1}$  are primitive for  $G_i \in GL_n(\mathbb{Q}_p) \cap M_n(\mathbb{Z}_p)$ , then  $G_1 G_2^{-1} \in GL_n(\mathbb{Z}_p)$  since  $TG_1^{-1}(G_1 G_2^{-1}) = TG_2^{-1}$ . Now Lemma 7 completes the proof of Lemma 8.

Let  $H = \mathbb{Z}/(p)[e, f]$  be a quadratic space over  $\mathbb{Z}/(p)$  such that  $q(e) = q(f) = 0, b(e, f) = 1(q(x + y) - q(x) - q(y) = b(x, y))$ , and  $\bar{H}_s = \perp_s H$ . For a quadratic space  $N$  over  $\mathbb{Z}/(p)$  we put

$$B(N, \bar{H}_s) = \# \{ \text{isometries from } N \text{ to } \bar{H}_s \}.$$

If  $N \in E_n$ , then

$$q(x_1, \dots, x_n) = \frac{1}{2} N \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix}$$

makes a quadratic space  $N'$  over  $\mathbb{Z}/(p)$  corresponding to  $N$  and  $\# B(N, H_s; p) = B(N', \bar{H}_s)$  holds.

LEMMA 9. Let  $N$  be a quadratic space over  $Z/(p)$  and  $\dim N = n$ . Let  $N = N_1 \perp N_2$  where  $N_2$  is a maximal totally singular subspace, that is,  $N_2$  has a maximal dimension among the subspaces in  $N$  such that  $q(N_2) = 0$ . Put  $\dim N_1 = d$  and  $\varepsilon = 1$  if  $N_1$  is isometric to  $\bar{H}_k$  for some  $k$  or  $d = 0$ , otherwise  $\varepsilon = -1$ . Then for a sufficiently large  $s$  we have

$$p^{n(n+1)/2-2sn} B(N, \bar{H}_s) = \begin{cases} (1 - p^{-s})(1 + \varepsilon p^{n-d/2-s}) \prod_{1 \leq i \leq n-d/2-1} (1 - p^{2i-2s}) & 2|d, \\ (1 - p^{-s}) \prod_{1 \leq i \leq n-(d+1)/2} (1 - p^{2i-2s}) & 2 \nmid d. \end{cases}$$

*Proof.* Let  $p$  be an odd prime. This follows from the proof of Lemma 1 in [2]. For a sufficiently large  $s$  there is an isometry  $u$  from  $N$  into  $\bar{H}_s$ . Let  $M$  be the orthogonal complement of  $u(N_1)$  in  $\bar{H}_s$ . By the theorem of Witt the isometry class of  $M$  is independent of the choice of  $u$ . Then we have

$$B(N, \bar{H}_s) = B(N_1, \bar{H}_s) B(N_2, M),$$

where  $B(N_2, M)$  is the number of isometries from  $N_2$  into  $M$ . Then it is known ([8], [2]).

$$p^{d(d+1)/2-2sd} B(N_1, \bar{H}_s) = \begin{cases} (1 - p^{-s})(1 + \varepsilon p^{d/2-s}) \prod_{1 \leq k \leq d/2-1} (1 - p^{2k-2s}) & 2|d > 0, \\ (1 - p^{-s}) \prod_{1 \leq k \leq (d-1)/2} (1 - p^{2k-2s}) & 2 \nmid d, \end{cases}$$

$$p^{-(2s-d)(n-d) + (n-d)(n-d+1)/2} B(N_2, M) = \begin{cases} \prod_{0 \leq k \leq n-d-1} \{(1 - \varepsilon p^{n-s-d/2-k-1})(1 + \varepsilon p^{n-s-d/2-k})\} & 2|d, \\ \prod_{0 \leq k \leq n-d-1} (1 - p^{2n-2s-d-1-2k}) & 2 \nmid d. \end{cases}$$

From this follows our formula. Similarly we get the same formulas for  $p = 2$ . There is nothing to change in the above proof for an odd prime  $p$ .

Let  $T$  be a half-integral symmetric matrix with  $|T| \neq 0$ . Put

$$b_p(s, T; p^t) = \sum_{R \bmod p^t} \nu(p^{-t}R)^{-s} e(\sigma(T(p^{-t}R))),$$

where  $R$  runs over integral symmetric matrices mod  $p^t$ . Then it is known ([4]) that for a natural number  $s$

$$b_p(s, T; p^t) = (p^t)^{n(n+1)/2-2ns} \#\{K^{(n,2s)} \bmod p^t \mid p^{-t}(\frac{1}{2}H_s[K] + T) \in 2^{-1}E_n\} = (p^t)^{n(n+1)/2-2ns} \#\alpha(-2T, H^s; p^t).$$



By definition  $b_p(s, T; p^t)$  is a polynomial in  $p^{-s}$ . On the other hand by virtue of Lemma 8,9 there exists a polynomial  $f(x, T)$  which depends only on  $T$  such that  $b_p(s, T; p^t) = f(p^{-s}, T)$  if  $s, t$  are sufficiently large integers. Hence we have  $b_p(s, T; p^t) = f(p^{-s}, T)$  for any  $s \in C$ , and  $b_p(s, T) = f(p^{-s}, T)$  as  $t \rightarrow \infty$ .

Thus we have proved

**THEOREM 2.** *Let  $T^{(n)}$  be a half-integral symmetric matrix with  $|T| \neq 0$ . Then we have*

$$b_p(s, T) = \sum_G (p^{\text{ord}_p |G|})^{n+1-2s} a(-T[G^{-1}], s),$$

where  $G$  runs over  $GL_n(\mathbb{Z}_p) \setminus \{GL_n(\mathbb{Q}_p) \cap M_n(\mathbb{Z}_p)\}$  and  $a(T, s)$  is defined as follows. If  $T$  is not half-integral,  $a(T, s) = 0$ . If  $T$  is half-integral, we define a quadratic space  $N$  over  $\mathbb{Z}/(p)$  with  $\dim N = n$  by

$$q(x_1, \dots, x_n) = T \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} \pmod p, \quad \text{and} \quad N = N_1 \perp N_2$$

where  $N_2$  is a maximal totally singular subspace. Put  $d = \dim N_1$  and  $\varepsilon = 1$  if  $N_1$  is a hyperbolic space or  $d = 0$ , otherwise  $\varepsilon = -1$ . Then we set

$$a(T, s) = \begin{cases} (1 - p^{-s})(1 + \varepsilon p^{n-d/2-s}) \prod_{1 \leq i \leq n-d/2-1} (1 - p^{2i-2s}) & 2|d, \\ (1 - p^{-s}) \prod_{1 \leq i \leq n-(d+1)/2} (1 - p^{2i-2s}) & 2 \nmid d. \end{cases}$$

In the above formula for  $b_p(s, T)$   $G$  runs over a finite set.

**COROLLARY.** (i) *Let  $O^{(n)}$  be the  $n \times n$  zero matrix. Then*

$$b_p(s, O^{(n)}) = (1 - p^{-s}) \prod_{0 < k \leq [n/2]} (1 - p^{2k-2s}) \{(1 - p^{n-s}) \prod_{\substack{n+1 \leq j < 2n \\ 2 \nmid j}} (1 - p^{j-2s})\}^{-1}.$$

Let  $T^{(n)} = \begin{pmatrix} T_1^{(n-r)} & 0 \\ 0 & 0 \end{pmatrix}$  be a half-integral symmetric matrix with  $|T_1| \neq 0$  ( $0 \leq r < n$ ).

(ii) *If  $p$  does not divide  $|2T_1|$ , then*

$$b_p(s, T) = (1 - p^{-s}) \prod_{1 \leq j \leq [n/2]} (1 - p^{2j-2s}) \prod_{\substack{n+1 \leq k \leq n+r \\ 2 \nmid k}} (1 - p^{k-2s})^{-1} \\ \times \begin{cases} (1 - \varepsilon(T_1) p^{(n+r)/2-s})^{-1} & 2|n - r, \\ 1 & 2 \nmid n - r \end{cases}$$

where  $\epsilon(T_1) = 1$  if  $T_1$  corresponds to a hyperbolic space over  $Z/(p)$ , and  $\epsilon(T_1) = -1$  otherwise, i.e.,  $\epsilon(T_1) = (((-1)^{(n-r)/2} |2T_1|)/p)$  (Kronecker symbol).

(iii) If  $n - r$  is odd, then

$$b_p(s, T) = (\text{polynomial in } p^{-s})(1 - p^{-s}) \prod_{1 \leq j \leq \lceil n/2 \rceil} (1 - p^{2j-2s}) \\ \times \prod_{\substack{n+1 \leq k \leq n+r \\ 2|k}} (1 - p^{k-2s})^{-1}.$$

(iv) If  $n - r$  is even, then

$$b_p(s, T) = (\text{polynomial in } p^{-s}) \times (1 - \eta p^{(n+r)/2-s})^{-1} (1 - p^{-s}) \\ \times \prod_{1 \leq j \leq \lceil n/2 \rceil} (1 - p^{2j-2s}) \prod_{\substack{n+1 \leq k \leq n+r \\ 2|k}} (1 - p^{k-2s})^{-1},$$

where  $\eta$  is defined as follows:

If there is an integral matrix  $G^{(n-r)}$  such that  $T_1[G^{-1}]$  is half-integral and  $|2T_1[G^{-1}]|$  is not divided by  $p$ , then

$$\eta = \epsilon(T_1[G^{-1}]) \quad (\text{in (ii)}).$$

( $\eta$  is uniquely determined by  $T_1$ ).

Otherwise  $\eta = 0$ .

*Epecially*  $\eta = 0$  if  $\text{ord}_p |2T_1|$  is odd.

*Proof.* (i) is already proved. (ii) follows from Corollary 1 and Theorem 2. Let  $T_2^{(n-r)}$  be a half-integral matrix with  $|T_2| \neq 0$ . If  $n - r$  is odd or  $p \mid |2T_2|$ , then  $\alpha(T_2, s)$  is divided by

$$(1 - p^{-s}) \prod_{1 \leq i \leq \lceil (n-r)/2 \rceil} (1 - p^{2i-2s}).$$

(iii) and (iv) for  $\eta = 0$  follow from this and Corollary 1 and Theorem 2. Suppose that there is an integral matrix  $G^{(n-r)}$  such that  $T_1[G^{-1}]$  is half-integral and  $|2T_1[G^{-1}]|$  is not divided by  $p$ . Then

$$\alpha(T_1[G^{-1}], s) = (1 - p^{-s})(1 + \epsilon(T_1[G^{-1}])p^{(n-r)/2-s}) \prod_{1 \leq i \leq (n-r)/2-1} (1 - p^{2i-2s}).$$

The coset  $G_{n-r}(Z_p)G$  is not necessarily unique, but  $\epsilon(T_1[G^{-1}])$  depends only on  $T_1$ . Taking these terms into account, we complete the proof of the case  $\eta \neq 0$ .

*Remark 1.* Let  $n = 2k$  be an even integer and  $T^{(n)}$  a half-integral symmetric regular matrix. Let  $L = Z_p[e_1, \dots, e_n]$  be a free module over

and define a bilinear form  $B(e_i, e_j)$  on it by  $(B(e_i, e_j)) = 2T$ . Then there is an integral matrix  $G$  such that  $T[G^{-1}]$  is half-integral and  $p \nmid |2T[G^{-1}]|$  if and only if there is a unimodular lattice  $M$  such that  $M \supset L$  and the norm of  $M$  is  $2Z_p$ . A corresponding matrix to  $M$  is  $\text{diag}[1, \dots, 1, \delta]$  in  $Z_p^\times$  if  $p \neq 2$ ,

$$\begin{cases} \text{diag} \left[ \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \dots, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right] \\ \text{or} \\ \text{diag} \left[ \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \dots, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix} \right] \end{cases} \quad \text{if } p = 2.$$

Let  $|2T| = p^a \cdot u (p \nmid u)$ . Then there is an integral matrix  $G$  such that  $T[G^{-1}]$  is half-integral and  $p \nmid |2T[G^{-1}]|$  if and only if the following conditions hold:

- (i)  $a$  is even,
  - (ii) if  $p \neq 2$ , then the Hasse invariant is 1,
  - (iii) if  $p = 2$ , then  $(-1)^k u \equiv 1 \pmod{4}$  and the Hasse invariant is  $(-1)^{k(k+1)/2}$  if  $(-1)^k u \equiv 1 \pmod{8}$ ,  $(-1)^{k(k+1)/2+1}$  if  $(-1)^k u \equiv 5 \pmod{8}$ .
- where the Hasse invariant  $S$  is defined as follows: Taking a regular matrix  $H$  such that  $2T[H] = \text{diag}[d_1, \dots, d_n]$ , we put

$$S = \prod_{1 \leq i \leq n} (d_i, \prod_{1 \leq j \leq i} d_j),$$

where  $(,)$  is the Hilbert symbol of degree 2 on  $\mathbf{Q}_p^\times$ .  $S$  is uniquely determined by  $T$ .

*Remark 2.* Let  $K$  be a finite extension field over the  $p$ -adic rational number field  $\mathbf{Q}_p$ ,  $O$  the maximal order of  $K$  and  $(\delta)$  the different of  $K$  over  $\mathbf{Q}_p$ ,  $(\delta \in K)$ . For  $x \in K$  we denote by  $|x|_K$  the normalized valuation of  $x$ . For a prime element  $\pi$  of  $K$  we have  $|\pi|_K^{-1} = \#(O/(\pi))$ . Let  $R$  be a symmetric matrix in  $M_n(K)$ . Then  $R$  is decomposed as  $R = C^{-1}D$  such that  $C \in Sp_n(O)$  and we put  $\nu(R) = |\det C|_K^{-1}$ . This is well-defined. For  $x \in \mathbf{Q}_p$  we put  $e(x) = \exp(2\pi i (\text{the fractional part of } x))$ . Let  $T$  be a half-integral matrix, that is,  $2T \in M_n(O)$ ,  $T = {}^tT$  and all diagonal entries of  $T$  are in  $O$ . Then we put

$$b(s, T) = \sum \nu(R)^{-s} e(\text{tr}_{K/\mathbf{Q}_p} (\sigma(TR)\delta^{-1})),$$

where  $R$  runs over  $\{R \in M_n(K) \mid R = {}^tR\} \pmod{O}$ . Then all theorems and

corollaries hold for  $b(s, T)$  instead of  $b_p(s, T)$  with the following minor changes:

(i)  $p$  should be  $|\pi|_K^{-1}$ .

(ii) In Theorem 2  $G$  runs over  $GL_n(O) \setminus \{GL_n(K) \cap M_n(O)\}$  and  $p^{\text{ord}_p |G|}$  should be  $|\det G|_K^{-1}$  and a quadratic form  $q$  should be defined over  $O/(\pi)$  (also in Corollary).

Conjecture 6.3 for  $\lambda = 0$ , Case SP in [7] where the denominator can be solved therein does not necessarily refer to the reduced denominator.

*Remark 3.* Let  $T$  be a half-integral symmetric binary regular matrix. Denote by  $t^*$  the discriminant of  $\mathbf{Q}(\sqrt{-|T|})$  and let  $\alpha$  be the integer such that  $p^{2\alpha} || |2T|/t^*$ . Then from the explicit formula of  $b_p(s, T)$  ([1], [3]) follows that  $b_p(s, pT) - p^{2-\alpha} b_p(s, T)$  does not depend on  $T$  itself but only on  $\alpha$ ,  $(t^*/p)$  (Kronecker symbol). A weaker assertion holds for the function  $\alpha_1$  (Case SP) defined in [7] from [3].

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