

## ON THE BEHAVIOR OF EXTENSIONS OF VECTOR BUNDLES UNDER THE FROBENIUS MAP

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### Introduction.

Let  $k$  be an algebraically closed field of characteristic  $p > 0$ , and let  $X$  be a curve defined over  $k$ . The aim of this paper is to study the behavior of the Frobenius map  $F^*: H^1(X, E) \rightarrow H^1(X, F^*E)$  for a vector bundle  $E$ .

Our main result is the following.

**THEOREM 15.** *Let  $X$  be a curve of genus  $g > 0$ . Let  $n(X)$  be the integer defined by*

$$n(X) = \max \left\{ \sum_{x \in X} \left[ \frac{v_x(df)}{p} \right]; f \text{ runs over all rational functions on } X \right. \\ \left. \text{with } df \neq 0 \right\}.$$

*Then*

(i) *for any line bundle  $L$  such that  $\deg L > n(X)$ , the Frobenius map  $F^*: H^1(X, \check{L}) \rightarrow H^1(X, F^*\check{L})$  is injective.*

(ii) *if  $n(X) > 0$ , then there exists a line bundle  $M$  of degree  $n(X)$  such that the Frobenius map  $F^*: H^1(X, \check{M}) \rightarrow H^1(X, F^*\check{M})$  is not injective. (where  $\check{L}$  is the dual line bundle of  $L$ )*

This main result leads us to a counter example to a question posed by R. Hartshorne:

**QUESTION.** Assume the Hasse-Witt matrix of  $X$  is non-singular. Is the Frobenius map  $F^*: H^1(X, \check{L}) \rightarrow H^1(X, F^*\check{L})$  injective for any ample line bundle  $L$ ?

I wish to thank Professor Hideyasu Sumihiro for his advice and

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Received September 4, 1971.

Revised May 19, 1972.

encouragement, and Professors Masayoshi Nagata and Masaki Maruyama for their valuable conversations.

### Notations.

Throughout this paper, we mean by a variety (resp. curve) an irreducible complete non-singular variety (resp. curve) defined over an algebraically closed field of characteristic  $p > 0$ . We denote by  $\mathcal{O}_X$  the structure sheaf of  $X$ , by  $K = K(X)$  the field of rational functions on  $X$  and by  $\Omega_X^i$  the sheaf of germs of regular differential  $i$ -forms.

We use the words vector bundle and locally free sheaf interchangeably. For any vector bundle  $E$  of rank  $n$  on a curve, there exists a series of subbundles of  $E$

$$0 = E_0 \subset E_1 \subset E_2 \subset \dots \subset E_n = E$$

where  $L_i = E_i/E_{i-1}$  is a line bundle (cf. Atiyah [1])  $(L_1, L_2, \dots, L_n)$  will be called a splitting of  $E$ . A line subbundle  $L$  of  $E$  will be called a maximal line subbundle of  $E$ , if  $L$  satisfies the following condition: for any line subbundle  $M$  of  $E$ ,  $\deg L \geq \deg M$ .

A splitting  $(L_1, L_2, \dots, L_n)$  will be called a maximal splitting of  $E$ , if it satisfies the following conditions:

- (i)  $L_1$  is a maximal line subbundle of  $E$ ,
- (ii)  $(L_2, L_3, \dots, L_n)$  is a maximal splitting of  $E/L_1$ .

We denote by  $\check{E}$  the dual vector bundle of  $E$  and denote by  $h^i(E)$  the dimension of the  $k$ -vector space  $H^i(X, E)$ .

**1.** Let  $X$  be a variety of  $\dim n$ . Let  $F: X \rightarrow X$  be the Frobenius morphism. (cf. [4]). The natural derivation  $d: \mathcal{O}_X \rightarrow \Omega_X^1$  gives rise to a  $k$ -linear map  $d: \Omega_X^i \rightarrow \Omega_X^{i+1}$  for each  $i$ , which induce a  $\mathcal{O}_X$ -homomorphism  $F_*d: F_*\Omega_X^i \rightarrow F_*\Omega_X^{i+1}$  for each  $i$ . We denote by  $\mathcal{Z}_X^i$  (resp.  $\mathcal{B}_X^{i+1}$ ) the kernel (resp. image) of  $F_*d: F_*\Omega_X^i \rightarrow F_*\Omega_X^{i+1}$ . Let  $x$  be a point of  $X$  and let  $u_1, u_2, \dots, u_n$  be local parameters of  $X$  at  $x$ . Then we have the following Propositions, due to Cartier (cf. [10]).

**PROPOSITION 1.**  $\mathcal{Z}_{XX}^i = \mathcal{B}_{X,x}^i \oplus (\oplus \mathcal{O}_{X,x}^p(u_{j_1}, u_{j_2}, \dots, u_{j_i})^{p-1} du_{j_1} \wedge \dots \wedge du_{j_i})$  where  $\mathcal{O}_{X,x}^p = \{f^p; f \in \mathcal{O}_{X,x}\}$ ,  $\mathcal{Z}_{X,x}^i$  is an  $\mathcal{O}_{X,x}$ -module through the  $p$ -th power map.

PROPOSITION 2. *There are  $\mathcal{O}_X$ -homomorphisms  $C: \mathcal{L}_X^i \rightarrow \Omega_X^i$ , called the Cartier operator, with the following properties.*

- (i)  $C(\omega_1 + \omega_2) = C(\omega_1) + C(\omega_2)$
- (ii)  $C(f^p\omega) = fC(\omega)$
- (iii)  $C(\omega) = 0$  if  $\omega \in \mathcal{B}_{X,x}^i$
- (iv)  $C((f_1 f_2 \cdots f_i)^{p-1} df_1 \wedge df_2 \wedge \cdots \wedge df_i) = df_1 \wedge df_2 \wedge \cdots \wedge df_i$

where  $\omega_1, \omega_2, \omega \in \mathcal{L}_{X,x}^i$  and  $f, f_1, f_2, \dots, f_i \in \mathcal{O}_{X,x}$ .

PROPOSITION 3. *The following sequence of  $\mathcal{O}_X$ -Modules are exact.*

- (i)  $0 \rightarrow \mathcal{L}_X^i \rightarrow F_*\Omega_X^i \xrightarrow{F_*d} \mathcal{B}_X^{i+1} \rightarrow 0$
- (ii)  $0 \rightarrow \mathcal{O}_X \xrightarrow{F'} F_*\mathcal{O}_X \xrightarrow{F_*d} \mathcal{B}_X^1 \rightarrow 0$
- (iii)  $0 \rightarrow \mathcal{B}_X^i \rightarrow \mathcal{L}_X^i \xrightarrow{C} \Omega_X^i \rightarrow 0$

Since the Frobenius morphism  $F$  is affine, the canonical  $p$ -linear map  $\alpha: H^i(X, F_*\mathcal{F}) \rightarrow H^i(X, \mathcal{F})$  is bijective, for any coherent sheaf  $\mathcal{F}$  on  $X$  and for any integer  $i$ , (cf. [3] III. 1. 3. 3.). Since  $\mathcal{L}_X^n = F_*\Omega_X^n$ ,  $\dim H^n(X, \mathcal{L}_X^n) = \dim H^n(X, \Omega_X^n) = 1$  and the Cartier operator  $C^*: H^n(X, \mathcal{L}_X^n) \rightarrow H^n(X, \Omega_X^n)$  is surjective, so we have that  $C^*$  is bijective. Let  $E$  be a vector bundle on  $X$ . Then there exists a natural map  $\psi: E \otimes \check{E} \otimes \Omega_X^n \rightarrow \Omega_X^n$  and the cup product

$$U: H^i(X, E) \times H^{n-i}(X, \check{E} \otimes \Omega_X^n) \longrightarrow H^n(X, E \otimes \check{E} \otimes \Omega_X^n).$$

The composition map

$$H^i(X, E) \times H^{n-i}(X, \check{E} \otimes \Omega_X^n) \longrightarrow H^n(X, E \otimes \check{E} \otimes \Omega_X^n) \longrightarrow H^n(X, \Omega_X^n) \approx k.$$

gives the Serre duality between  $H^i(X, E)$  and  $H^{n-i}(X, E \otimes \Omega_X^n)$ .

The following is well known (e.g. for curves Serre [9]).

PROPOSITION 4. *Let  $E$  be a vector bundle on  $X$ . Then the following two  $k$ -linear maps are dual to each other.*

- (i)  $F'^*(i, E): H^i(X, E) \longrightarrow H^i(X, E \otimes F_*\mathcal{O}_X)$
- (ii)  $C^*(n - i, \check{E}): H^{n-i}(X, \check{E} \otimes \mathcal{L}_X^n) \longrightarrow H^{n-i}(X, \check{E} \otimes \Omega_X^n)$ .

In particular, we have  $\dim \text{Image } F'^*(i, E) = \dim \text{Image } C^*(n - i, \check{E})$ .

For the sake of completeness we include a proof:

$$\begin{array}{ccccc}
 H^i(X, E) \times H^{n-i}(X, \check{E} \otimes \Omega_X^n) & \xrightarrow{U} & H^n(X, E \otimes \check{E} \otimes \Omega_X^n) & \xrightarrow{\psi^*} & H^n(X, \Omega_X^n) \approx k \\
 \uparrow id \times C^*(n-i, \check{E}) & & \uparrow C^*(n, E \otimes \check{E}) & & \uparrow C^*(n, \mathcal{O}_X) \\
 H^i(X, E) \times H^{n-i}(X, \check{E} \otimes \mathcal{Z}_X^n) & \xrightarrow{U} & H^n(X, E \otimes \check{E} \otimes \mathcal{Z}_X^n) & \xrightarrow{\psi^*} & H^n(X, \mathcal{Z}_X^n) \\
 \downarrow F'^*(i, E) \times id & & \downarrow \alpha & & \downarrow \alpha \\
 H^i(X, E \otimes F_*\mathcal{O}) \times H^{n-i}(X, \check{E} \otimes \mathcal{Z}_X^n) & & \Downarrow \alpha & & \Downarrow \alpha \\
 \Downarrow \alpha & & & & \Downarrow \alpha \\
 H^i(X, F^*E) \times H^{n-i}(X, F^*\check{E} \otimes \Omega_X^n) & \xrightarrow{U} & H^n(X, F^*E \otimes F^*\check{E} \otimes \Omega_X^n) & \xrightarrow{\psi^*} & H^n(X, \Omega_X^n)
 \end{array}$$

Giving the duality between  $H^i(X, E \otimes F_*\mathcal{O}_X)$  and  $H^{n-i}(X, \check{E} \otimes \mathcal{Z}_X^n)$  by the composition map  $C^*(n, \mathcal{O}_X) \circ \alpha^{-1} \circ \psi^* \circ U \circ (\alpha \times a)$ , we have the duality between  $F'^*(i, E)$  and  $C^*(n-i, E)$ .

2. Let  $E$  be a vector bundle on  $X$ . We denote by  $F^*(i, E)$ , the composition map  $\alpha \circ F'^*(i, E) : H^i(X, E) \rightarrow H^i(X, F^*E)$ .

**THEOREM 5.** *Let  $X$  be a curve and let  $E$  be a vector bundle on  $X$ . Then*

- (i)  $\dim \text{Cokernel } F^*(1, E) = h^0(\check{E} \otimes \mathcal{B}_X^1)$
- (ii)  $\dim \text{Kernel } F^*(1, \check{E}) = h_0(\check{E} \otimes \mathcal{B}_X^1) - (h^0(F^*\check{E}) - h^0(\check{E}))$   
 $\leq h^0(\check{E} \otimes \mathcal{B}_X^1)$

*Proof.* By virtue of Proposition 3, we have the following exact sequences,

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \check{E} \otimes \mathcal{B}_X^1 & \longrightarrow & \check{E} \otimes \mathcal{Z}_X^1 & \xrightarrow{C} & \check{E} \otimes \Omega_X^1 \longrightarrow 0 \\
 0 & \longrightarrow & \check{E} & \longrightarrow & \check{E} \otimes F_*\mathcal{O}_X & \longrightarrow & \check{E} \otimes \mathcal{B}_X^1 \longrightarrow 0
 \end{array}$$

and hence following cohomology exact sequences

$$\begin{array}{ccccccc}
 0 & \longrightarrow & H^0(X, \check{E} \otimes \mathcal{B}_X^1) & \longrightarrow & H^0(X, \check{E} \otimes \mathcal{Z}_X^1) & \xrightarrow{C^*(0, \check{E})} & H^0(X, \check{E} \otimes \Omega_X^1) \\
 0 & \longrightarrow & H^0(X, \check{E}) & \longrightarrow & H^0(X, \check{E} \otimes F_*\mathcal{O}_X) & \longrightarrow & H^0(X, \check{E} \otimes \mathcal{B}_X^1) \\
 & & \longrightarrow & H^1(X, \check{E}) & \xrightarrow{F'^*(1, \check{E})} & H^1(X, \check{E} \otimes F_*\mathcal{O}_X) &
 \end{array}$$

Hence we have

$$\begin{aligned}
 \dim \text{Cokernel } F^*(1, E) &= \dim \text{Cokernel } F'^*(1, E) \\
 &= h^1(E \otimes F_*\mathcal{O}_X) - \dim \text{Image } F'^*(1, E) \\
 &= h^1(F^*E) - \dim \text{Image } C^*(0, \check{E}) \quad (\text{by virtue of Proposition 4}) \\
 &= h^1(F^*E) - (h^0(\check{E} \otimes F_*\Omega_X^1) - h^0(\check{E} \otimes \mathcal{B}_X^1)) \\
 &= h^0(\check{E} \otimes \mathcal{B}_X^1)
 \end{aligned}$$

And we have

$$\begin{aligned} \dim \text{Kernel } F^*(1, \check{E}) &= \dim \text{Kernel } F'^*(1, \check{E}) \\ &= h^0(\check{E} \otimes \mathcal{B}_X^1) - h^0(\check{E} \otimes F'_* \mathcal{O}_X) + h^0(\check{E}) \\ &= h^0(\check{E} \otimes \mathcal{B}_X^1) - (h^0(F^* \check{E}) - h^0(\check{E})) \\ &\leq h^0(\check{E} \otimes \mathcal{B}_X^1) \end{aligned}$$

**COROLLARY 6.** *Let  $X$  be a curve and let  $E$  be a vector bundle. Assume that the Frobenius map  $F^*(1, E)$  is surjective, then  $F^*(1, \check{E})$  is injective and  $h^0(F^* \check{E}) = h^0(\check{E})$ .*

As a corollary of this Theorem 5, we have the following Theorem of Oda:

**THEOREM 7. (T. Oda).** *Let  $X$  be an elliptic curve and let  $E$  be an indecomposable vector bundle of rank  $r$  and of degree  $d$ . Then we have the following results.*

- (i) *When the Hasse-Witt matrix of  $X$  is not zero (i.e.,  $F^*(1, \mathcal{O}_X)$  is injective), the Frobenius map  $F^*(1, E)$  is injective.*
- (ii) *When the Hasse-Witt matrix of  $X$  is zero (i.e.,  $F^*(1, \mathcal{O}_X)$  is the zero map), the Frobenius map  $F^*(1, E)$  is not injective (and in fact the zero map) if and only if  $r < p$ ,  $d = 0$  and  $E$  has a non-zero section (i.e., in Atiyah's notation  $E = F_r$  with  $r < p$ ).*

**COROLLARY 8.** *(Corollary of the proof of Theorem 7) (cf. [1] p. 451) Let  $X$  be an elliptic curve.*

- (i) *When the Hasse-Witt matrix of  $X$  is not zero, then  $\mathcal{B}_X^1 \approx L_1 \oplus L_2 \oplus \dots \oplus L_{p-1}$  where  $\{\mathcal{O}_X, L_1, L_2, \dots, L_{p-1}\} = \{L; \text{line bundles with } L^{\otimes p} \approx \mathcal{O}_X\}$*
- (ii) *When the Hasse-Witt matrix of  $X$  is zero, then  $\mathcal{B}_X^1 \approx F_{p-1}$ .*
- (iii)  *$F^* F'_* \mathcal{O}_X \approx \bigoplus^p \mathcal{O}_X$*

*Proof.* Let  $E$  be an indecomposable vector bundle of rank  $r$  and of degree  $d$ . We use the following results of Atiyah (cf. [1]).

$$\begin{aligned} h^0(E) &= d \quad \text{and} \quad h^1(E) = 0 \quad \text{when } d \text{ is positive} \\ h^0(E) &= 0 \quad \text{and} \quad h^1(E) = -d \quad \text{when } d \text{ is negative.} \\ h^0(E) &= h^1(E) = 0 \quad \text{when } d = 0 \quad \text{and} \quad E \not\approx F_r. \\ h^0(E) &= h^1(E) = 1 \quad \text{when} \quad E \approx F_r. \end{aligned}$$

When  $d = 0$ , there is a line bundle of degree 0 with  $E \approx L \otimes F_r$ . It is easy to see that  $\mathcal{B}_X^1$  is a vector bundle of rank  $p - 1$ . Let  $\mathcal{B}_X^1 \approx E_1 \oplus E_2 \oplus \dots \oplus E_s$  be the decomposition of  $\mathcal{B}_X^1$  into indecomposable factors. Let  $r_i$  be the rank of  $E_i$  and let  $d_i$  be the degree of  $E_i$ . Then we have  $\sum d_i = \text{deg } \mathcal{B}_X^1 = \chi(\mathcal{B}_X^1) = \chi(F_*\mathcal{O}_X) - \chi(\mathcal{O}_X) = 0$ . Let  $L$  be a non trivial line bundle of degree 0, then  $h^0(L \otimes \mathcal{B}_X^1) \neq 0$  (in fact equal to 1) if and only if  $L^{\otimes p} \approx \mathcal{O}_X$  by virtue of following exact sequence.

$$0 = H^0(X, L) \longrightarrow H^0(X, L \otimes F_*\mathcal{O}_X) \longrightarrow H^0(X, L \otimes \mathcal{B}_X^1) \longrightarrow H^1(X, L) = 0 .$$

This shows that  $d_i \leq 0$  for all  $i$  and so  $d_i = 0$  for all  $i$ . Let  $L_i$  be the line bundle with  $E_i \approx L_i \otimes F_{r_i}$ , then  $L_i^{\otimes p} \approx \mathcal{O}_X$ . By virtue of Lemma 13, we have the following results. When  $h^0(\mathcal{B}_X^1) = 1$ , then  $s = 1$ ,  $r_1 = p - 1$  and  $L_1 \approx \mathcal{O}_X$ . And when  $h^0(\mathcal{B}_X^1) = 0$ , then  $s = p - 1$ ,  $r_i = 1$  and  $\{\mathcal{O}_X, L_1, L_2, \dots, L_{p-1}\} = \{L; \text{line bundles with } L^{\otimes p} \approx \mathcal{O}_X\}$ . Let  $E$  be an indecomposable vector bundle of rank  $r$  and of degree  $d$ . If  $d > 0$ , then  $h^1(E) = 0$ . If  $d < 0$ , then  $h^0(E \otimes L) = 0$  for all line bundle  $L$  of degree 0, and so  $h^0(E \otimes \mathcal{B}_X^1) = 0$ . Thus the Frobenius map  $F^*(1, E)$  is injective when  $d \neq 0$ . When  $d = 0$  and  $E \not\approx F_r$  then  $h^1(E) = 0$  and the Frobenius map  $F^*(1, E)$  is injective. When  $E = F_r$  and the Hasse-Witt matrix of  $X$  is not zero, then  $h^0(E \otimes \mathcal{B}_X^1) = 0$  and the Frobenius map  $F^*(1, E)$  is injective. When  $E = F_r$  and the Hasse-Witt matrix of  $X$  is zero, then we have the following results by induction on  $r$ .  $h^0(F^*F_r) = \min\{p, r\}$ ,  $F^*F_r \approx \bigoplus^r \mathcal{O}_X$  for all  $r$  with  $r \leq p$  and  $F^*(1, F_r)$  is the zero map if and only if  $r \leq p - 1$ .

$r = 1$ . It is obvious.

$p \geq r > 1$ . We have the following exact sequence

$$0 \longrightarrow F_{r-1} \longrightarrow F_r \longrightarrow \mathcal{O}_X \longrightarrow 0 .$$

Hence we have  $F^*F_r \approx \bigoplus^r \mathcal{O}_X$  and  $h^0(F^*F_r) = r$  by the induction assumption. But we have  $h^0(F_r \otimes \mathcal{B}_X^1) = h^0(F_r \otimes F_{p-1}) = \min\{r, p - 1\}$  (for all  $r$ , cf. [1] Lemma 17). Hence we have  $h^0(F_r \otimes \mathcal{B}_X^1) - h^0(F^*F_r) + h^0(F_r) = 1$ , if  $r < p$ . This shows that when  $r < p$  the Frobenius map  $F^*(1, F_r)$  is the zero map.

$p \leq r$ .  $F_r$  has  $F_p$  as a subbundle and so  $h^0(F^*F_r) \geq p$  by the induction assumption. Hence we have

$0 \leq h(F_r \otimes \mathcal{B}_X^1) - h^0(F^*F_r) + h^0(F_r) \leq 0$ . This shows that  $h_0(F^*F_r) = p$  and the Frobenius map  $F^*(1, F_r)$  is injective.

3. Let  $X$  be a curve. For any divisor  $D$  on  $X$ , we denote by  $\mathcal{O}(D)$  the line bundle associated with  $D$ .

DEFINITION 9. For any function  $f \in K$ , we denote by  $n(f)$  the integer (or infinity)  $\sum_{x \in X} [v_x(df)/p]$  where  $[ \ ]$  is the Gauss symbol, and  $v_x$  is the valuation associated with  $x$ .

LEMMA 10. Let  $g$  be the genus of the curve  $X$ . Then

- (i)  $n(f) = \infty$  if and only if  $f \in K^p$ ,
- (ii)  $n(f) \leq [2(g - 1)/p]$ , if  $n(f) < \infty$ .

Proof.  $df = 0$  if and only if  $f \in K^p$ , and if  $df = 0$ , then  $n(f) = \infty$ . If  $df \neq 0$ , the divisor  $D = \sum_{x \in X} v_x(df)x$  is a canonical divisor, and so the degree of  $D$  is  $2(g - 1)$ . Therefore we have

$$n(f) \leq \left[ \frac{2(g - 1)}{p} \right].$$

DEFINITION 11. We define  $n(X)$  by the following formula

$$n(X) = \max \{n(f); f \in K \text{ and } f \notin K^p\}.$$

Note that  $n(X) \leq [2(g - 1)/p]$ , by virtue of Lemma 10.

LEMMA 12. Let  $D$  be a divisor on  $X$ , Then we have

$$H^0(X, \mathcal{O}(-D) \otimes \mathcal{B}_X^1) \cong \{df; f \in K \text{ and } (df) > pD\}.$$

Proof. By virtue of Proposition 3, we have the following exact sequence.

$$0 \longrightarrow \mathcal{O}(-D) \otimes \mathcal{B}_X^1 \longrightarrow \mathcal{O}(-D) \otimes \mathcal{Z}_X^1 \xrightarrow{C} \mathcal{O}(-D) \otimes \Omega_X^1 \longrightarrow 0$$

Hence, we have the following cohomology exact sequence.

$$0 \longrightarrow H^0(X, \mathcal{O}(-D) \otimes \mathcal{B}_X^1) \longrightarrow H^0(X, \mathcal{O}(-D) \otimes \mathcal{Z}_X^1) \xrightarrow{C^*} H^0(X, \mathcal{O}(-D) \otimes \Omega_X^1) \\ \cong H^0(X, \mathcal{O}(-pD) \otimes \Omega_X^1)$$

Since,  $H^0(X, \mathcal{O}(-pD) \otimes \Omega_X^1) = \{\omega \in \Omega^1(K/k); (\omega) > pD\}$ . The assertion is obvious by virtue of Proposition 3.

Remark: By Lemma 12, it is easy to see that  $n(X)$  coincides with the degree of a maximal line subbundle of  $\mathcal{B}_X^1$ .

LEMMA 13. Let  $G$  be the group of linear equivalence classes of

divisors on  $X$ , and let  $G_p$  be the subgroup of elements  $\bar{D} \in G$  such that  $p\bar{D} = 0$ . Then  $G_p$  is a finite group of order  $p^\sigma$ , where  $\sigma$  is the rank of the Hasse-Witt matrix of  $X$ .

*Proof.* See Serre [9] Proposition 10 § 2.

PROPOSITION 14. Let  $X$  be a curve of genus  $g > 0$ . Then  $n(X) \geq 0$ .

*Proof.* When the Hasse-Witt matrix of  $X$  is not zero.  $G_p \neq 0$ , by virtue of Lemma 13. So there exists a non-zero element  $\bar{D} \in G$  such that  $p\bar{D} = 0$ . Therefore, there exists a rational function  $f$  such that  $f \in K^p$  and  $(f) = pD$ . Hence  $(df) > pD$ . Thus  $n(X) \geq \text{deg } D = 0$ .

When the Hasse-Witt matrix of  $X$  is zero, i.e.,  $F^*(1, \mathcal{O}_x)$  is the zero map. We have

$$0 \longrightarrow H^0(X, \mathcal{O}_x) \longrightarrow H^0(X, F^*\mathcal{O}_x) \longrightarrow H^0(X, \mathcal{B}_x^1) \longrightarrow H^1(X, \mathcal{O}_x) \longrightarrow 0$$

and hence we have  $H^0(X, \mathcal{B}_x^1) \approx H^1(X, \mathcal{O}_x) \neq 0$ . Therefore  $n(X) \geq 0$ , by virtue of Remark.

THEOREM 15. Let  $X$  be a curve of genus  $g > 0$ . Then

(i) for any line bundle  $L$  such that  $\text{deg } L > n(X)$ , the Frobenius map  $F^*(1, \check{L}): H^1(X, \check{L}) \rightarrow H^1(X, F^*\check{L})$  is injective.

(ii) if  $n(X) > 0$ , then there exists a line bundle  $M$  of degree  $n(X)$  such that the Frobenius map  $F^*(1, \check{M})$  is not injective.

*Proof.* Let  $\text{deg } L > n(X)$ . Then  $H^0(X, \check{L} \otimes \mathcal{B}_x^1) = 0$  by virtue of Remark. Therefore the Frobenius map  $F^*(1, \check{L})$  is injective by virtue Theorem 5.

(ii)  $n(X) > 0$ . There exists a line bundle  $M$  of degree  $n(X) > 0$ , with  $H^0(X, \check{M} \otimes \mathcal{B}_x^1) \neq 0$ . Since  $h^0(F^*(\check{M})) = 0$ , the Frobenius map  $F^*(1, \check{M})$  is not injective by virtue of Theorem 5.

The following Proposition gives the relation between the number  $n(X)$  and the rank of the Hasse-Witt matrix.

PROPOSITION 16. Let  $X$  be a curve of genus  $g > 0$ , and let  $h(X)$  be the rank of the Hasse-Witt matrix of  $x$ . Then we have

$$g - h(X) \leq (p - 1)(n(X) + 1)$$

*Proof.* Let  $D$  be an effective divisor of degree  $d > 0$ , such that the



Frobenius map  $F^*(1, \mathcal{O}(-D))$  is injective. Then we have the following exact commutative diagram.

$$\begin{array}{ccccccc}
 & & & 0 & & & \\
 & & & \downarrow & & & \\
 & & & H^1(X, \mathcal{O}(-D)) & \longrightarrow & H^1(X, \mathcal{O}_X) & \longrightarrow 0 \\
 & & & \downarrow F^*(1, \mathcal{O}(-D)) & & \downarrow F^*(1, \mathcal{O}) & \\
 0 & \longrightarrow & \text{Kernel } \varphi & \longrightarrow & H^1(X, \mathcal{O}(-pD)) & \xrightarrow{\varphi} & H^1(X, \mathcal{O}_X) \longrightarrow 0
 \end{array}$$

And we have

$$\dim \text{Image } \varphi \circ F^*(1, \mathcal{O}(-D)) \geq h^1(\mathcal{O}(-D)) - \dim \text{Kernel } \varphi = g + d - pd .$$

Hence we have  $h(X) \geq g + d - pd$ , i.e.,  $g - h(X) \leq (p - 1)d$ . Since, for any effective divisor  $D$  of degree  $n(X) + 1$ , the Frobenius map  $F^*(1, \mathcal{O}(-D))$  is injective, we have

$$g - h(X) \leq (p - 1)(n(X) + 1) .$$

**4.** In this section we shall extend Theorem 15 from line bundles to indecomposable vector bundles of arbitrary rank.

**PROPOSITION 17.** *Let  $X$  be a curve of genus  $g > 0$ . Then for any  $r$ , there exists an indecomposable vector bundle which has a splitting*

$$(\Omega_X^{1 \otimes (r-1)}, \Omega_X^{1 \otimes (r-2)}, \dots, \Omega_X^1, \mathcal{O}_X) .$$

In order to prove Proposition 17, we need the following Lemmas.

**LEMMA 18.** *Let  $E$  and  $E'$  be vector bundle on  $X$ , and let  $(L_1, L_2, \dots, L_r)$  be a splitting of  $E$ , and suppose that  $\varphi: E \rightarrow E'$  is a generically surjective morphism. Then there exists a splitting  $(L'_1, L'_2, \dots, L'_s)$  of  $E'$  which satisfies the following condition; There exists a sequence  $1 \leq i_1 < i_2 < \dots, < i_s$  such that  $\text{Hom}(L_{i_j}, L'_j) \neq 0$  for all  $j$ , in particular  $\text{deg } L_{i_j} \leq \text{deg } L'_j$ .*

*Proof of Lemma 18.* It is easy.

**LEMMA 19.** *Let  $X$  be a curve and let  $E'$  be an indecomposable vector bundle which has a splitting  $(L_1, L_2, \dots, L_r)$ . Let  $L$  be a line bundle such that  $\text{deg } L < \text{deg } L_j$  for all  $j$ . If an exact sequence  $0 \rightarrow E' \xrightarrow{\alpha} E \xrightarrow{\varphi} L \rightarrow 0$  does not split, then  $E$  is indecomposable.*

*Proof of Lemma 19.* Tensoring the sequence with  $\check{L}$  we may assume

that  $L = \mathcal{O}_X$  and  $\text{deg } L_j > 0$  for all  $j$ . Suppose  $E$  is decomposable. Let  $E = E_1 \oplus E_2$  and let  $\psi_i$  be the injection  $E_i \rightarrow E$  ( $i = 1, 2$ ). We may assume that  $\varphi \circ \psi_1 \neq 0$ . By virtue of Lemma 18, there exists a splitting  $(L'_1, L'_2, \dots, L'_{r_1})$  of  $E_1$  such that  $\text{deg } L'_i \geq 0$  for all  $i$ . Therefore  $\varphi \circ \psi$  is surjective. And we have the following exact commutative diagram.

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & \\
 & & \downarrow & & \downarrow & & \\
 0 & \longrightarrow & E'' & \longrightarrow & E_1 & \xrightarrow{\varphi \circ \psi_1} & \mathcal{O}_X \longrightarrow 0 \\
 & & \downarrow \psi'_1 & & \downarrow \psi_1 & & \parallel \\
 0 & \longrightarrow & E' & \xrightarrow{\alpha} & E & \xrightarrow{\varphi} & \mathcal{O}_X \longrightarrow 0 \\
 & & \uparrow \psi'_2 \quad \eta' & & \uparrow \psi_2 \quad \eta & & \\
 & & E''' & \xrightarrow{\alpha'} & E_2 & & \\
 & & \downarrow & & \downarrow & & \\
 & & 0 & & 0 & & 
 \end{array}$$

where  $E''$  is the kernel of  $\varphi \circ \psi_1$ ,  $\psi'_1$  is the injection induced by  $\psi_1$ ,  $E'''$  is the cokernel of  $\psi'_1$  and  $\alpha'$  is the homomorphism induced by  $\alpha$ . By virtue of Snake Lemma, the map  $\alpha'$  is an isomorphism. Since  $\text{deg } L_i > 0$  for all  $j$ , the composition map  $\varphi \circ \psi_2 \circ \alpha' \circ \eta' = 0$ , by virtue of Lemma 18, since  $\eta$  is a surjection,  $\varphi \circ \psi_2 \circ \alpha' = 0$ . Hence, there exists a map  $\psi'_2: E''' \rightarrow E'$  such that  $\alpha \circ \psi'_1 = \psi_2 \circ \alpha'$ . It is easy to show that  $\eta' \circ \psi'_2 = \text{identity}$ . Therefore  $E' = E'' \oplus E'''$ .  $E'' = 0$ , since  $E'$  is indecomposable and  $E''' \approx E_2 \neq 0$ . Hence  $E_1 = \mathcal{O}_X$ . This shows that the exact sequence  $0 \rightarrow E' \xrightarrow{\alpha} E \xrightarrow{\varphi} \mathcal{O}_X \rightarrow 0$  splits. This is a contradiction. Therefore  $E$  is indecomposable.

*Proof of Proposition 17.* When  $g = 1$ .  $\Omega_X^1 \approx \mathcal{O}_X$  and  $F_r$  has a splitting  $(\mathcal{O}_X, \mathcal{O}_X, \dots, \mathcal{O}_X)$  (cf. [1]).

When  $g > 1$ . We prove this by induction on  $r$ .

$r = 1$ . It is obvious.

$r > 1$ . By induction assumption, there exists an indecomposable vector  $F_{r-1}$  which has a splitting  $(\Omega_X^{1 \otimes (r-2)}, \Omega_X^{1 \otimes (r-3)}, \dots, \Omega_X^1, \mathcal{O}_X)$ . Since  $H^1(X, F_{r-1} \otimes \Omega_X^1) = H^0(X, \check{F}_{r-1}) \neq 0$ , there exists a non-split exact sequence  $0 \rightarrow F_{r-1} \otimes \Omega_X^1 \rightarrow E \rightarrow \mathcal{O}_X \rightarrow 0$ .

Applying Lemma 19 to this exact sequence, we see that  $E$  is indecomposable. It is easy to show that  $E$  has a splitting  $(\Omega_X^{1 \otimes (r-1)}, \Omega_X^{1 \otimes (r-2)}, \dots, \mathcal{O}_X)$ .

PROPOSITION 20. *Let  $X$  be a curve of genus  $g \geq 2$ . Let  $E$  be an indecomposable vector bundle of rank  $r$  on  $X$  and let  $(L_1, L_2, \dots, L_r)$  be a maximal splitting of  $E$ . If  $d_0 = \min \{\deg L_1, \deg L_2, \dots, \deg L_r\}$ , then*

$$\deg E \leq r(r - 1)(g - 1) + rd_0 .$$

In order to prove Proposition 20, we need the following Lemmas.

LEMMA 21. *Let  $X$  be a curve of genus  $g$ . Let  $E$  (resp.  $E'$ ) be a vector bundle of rank  $r$  (resp.  $r'$ ) on  $X$  and let (resp.  $(M_1, M_2, \dots, M_s)$ ) be a splitting of  $E$  (resp.  $E'$ ). Suppose that  $\deg L_i > \deg M_j + 2(g - 1)$ , for all  $i, j$ , then  $H^1(X, \check{E} \otimes E') = 0$ .*

*Proof of Lemma 21.*  $(L_i \otimes \check{M}_j)$   $i, j$  is a splitting of  $E \otimes \check{E}'$ . Since  $\deg \Omega_X^1 \otimes M_j \otimes \check{L}_i < 0$ , we have  $H^1(X, L_i \otimes \check{M}_j) = H^0(X, \Omega_X^1 \otimes M_j \otimes \check{L}_i) = 0$ . Therefore we have  $H^1(X, E \otimes \check{E}') = 0$ .

LEMMA 22. *Let  $X$  be a curve of genus  $g$ . Let  $E$  be an indecomposable vector bundle of rank  $r$  on  $X$  and let  $(L_1, L_2, \dots, L_r)$  be a splitting of  $E$ . Then for any  $m$  with  $1 \leq m \leq r$ , we have*

$$\begin{aligned} & \min \{\deg L_1, \deg L_2, \dots, \deg L_{m-1}\} \\ & \leq \max \{\deg L_m, \deg L_{m+1}, \dots, \deg L_r\} + 2(g - 1) . \end{aligned}$$

*Proof of Lemma 22.* It is obvious by virtue of Lemma 21.

LEMMA 23 (M. Nagata). *Let  $X$  be a curve of genus  $g$ . Let  $E$  be a vector bundle of rank 2 and let  $(L_1, L_2)$  be a maximal splitting of  $E$ . Then*

$$\deg L_2 \leq \deg L_1 + g .$$

*Proof of Lemma 23.* See M. Nagata [7] or M. Maruyama [6] Theorem 3. 13.

LEMMA 24. *Let  $X$  be a curve of genus  $g$ . Let  $E$  be a vector bundle of rank  $r$  on  $X$  and let  $(L_1, L_2, \dots, L_r)$  be a maximal splitting of  $E$ . Then*

$$\deg L_r \leq \deg L_1 + (r - 1)g .$$

*Proof of Lemma 24.* It is obvious by virtue of Lemma 23.

*Proof of Proposition 20.* We shall define a sequence of integers,

$1 = i_n < i_{n-1} < \dots < i_2 < i_1 < i_0 = r + 1$ , which satisfies the following condition.

$$\deg L_{i_m} = \min \{ \deg L_1, \deg L_2, \dots, \deg L_{i_{m-1}-1} \} \quad (m > 0).$$

We define a one-to-one onto map

$$\varphi: \{1, 2, \dots, r\} \longrightarrow \{0, 1, \dots, r-1\},$$

such that  $\varphi(j) = r + j - i_m - i_{m-1} + 1$  where  $i_m \leq j < i_{m-1}$ . We shall prove that

$$\deg L_j \leq d_0 + 2\varphi(j)(g-1)$$

by induction on  $m$  such that  $i_m \leq j < i_{m-1}$ .

For  $m = 1$ . Since  $(L_{i_1}, L_{i_1+1}, \dots, L_j)$  is a maximal splitting of a vector bundle, we have  $\deg L_j \leq d_0 + (j - i_1)g$ , by virtue of Lemma 24. Since  $\varphi(j) = j - i_1$  and  $g \leq 2(g-1)$ , we have

$$\deg L_j \leq d_0 + 2\varphi(j)(g-1).$$

For  $m > 1$ . Since  $(L_{i_m}, L_{i_m+1}, \dots, L_j)$  is a maximal splitting of a vector bundle, we have  $\deg L_j \leq \deg L_{i_m} + (j - i_m)g \leq \deg L_{i_m} + 2(j - i_m)(g-1)$ . Since  $\varphi(i_{m-2} - 1) \geq \varphi(q)$  for all  $i_{m-1} \leq q \leq r$ , we have

$$\deg L_q \leq d_0 + 2\varphi(q)(g-1) \leq d_0 + 2\varphi(i_{m-2} - 1)(g-1),$$

for all  $i_{m-1} \leq q \leq r$ , by induction assumption. For any  $1 \leq q' < i_{m-1}$ ,  $\deg L_{q'} \geq \deg L_{i_m}$ . Hence by virtue of Lemma 22, we have

$$\deg L_{i_m} \leq d_0 + 2\varphi(i_{m-2} - 1)(g-1) + 2(g-1).$$

Hence we have

$$\begin{aligned} \deg L_j &\leq d_0 + 2(r - i_{m-1} + 1)(g-1) + 2(j - i_m)(g-1) \\ &= d_0 + 2\varphi(j)(g-1). \end{aligned}$$

Therefore, we have

$$\deg E = \sum_{j=1}^r \deg L_j \leq rd_0 + \sum_{j=1}^r 2\varphi(j)(g-1) = rd_0 + r(r-1)(g-1).$$

**THEOREM 25.** *Let  $X$  be a curve of genus  $g > 1$ . Then*

(i) *for any indecomposable vector bundle of rank  $r$  such that  $\deg E > r(r-1) + (g-1) + rn(X)$ , the Frobenius map  $F^*(1, \check{E})$  is injective.*

(ii) if  $n(X) > 0$ , then for any  $r > 0$ , there exists an indecomposable vector bundle  $E'$  of rank  $r$  with  $\text{deg } E' = r(r - 1)(g - 1) + rn(X)$  such that the Frobenius map  $F^*(1, \check{E}')$  is not injective

*Proof.* (i) Let  $(L_1, L_2, \dots, L_r)$  be a maximal splitting of  $E$ . Then  $\text{deg } L_j > n(X)$ , by virtue of Proposition 20. Hence the Frobenius map  $F^*(1, L_j)$  is surjective for all  $j$ , and the Frobenius map  $F^*(1, E)$  is surjective. Therefore, the Frobenius map  $F^*(1, \check{E})$  is injective by virtue of Corollary 8.

(ii) When  $n(X) > 0$ , there exists a line bundle  $M$  of degree  $n(X)$ , such that the Frobenius map  $F^*(1, \check{M})$  is not injective. There exists an indecomposable vector bundle  $F_r$  which has a splitting  $(\Omega_X^{1 \otimes (r-1)}, \Omega_X^{1 \otimes (r-2)}, \dots, \Omega_X^1, \mathcal{O}_X)$ . Put  $E' = F_r \otimes M$ . Then  $E'$  is an indecomposable vector bundle of rank  $r$ , and of degree  $r(r - 1)(g - 1) + rn(X)$ , which has  $M$  as a quotient line bundle. And  $H^0(X, \check{E}') = H^0(X, \check{E}'^{(p)}) = 0$ . Therefore, the Frobenius map  $F^*(1, \check{E}')$  is not injective, by virtue of Corollary 6.

5. In this section we shall give an example of a curve with positive  $n(X)$  although the Hasse-Witt matrix of  $X$  is non-singular. We also give other examples of a curve  $X$  with positive  $n(X)$ .

EXAMPLE 1. Let  $k$  be an algebraically closed field of characteristic 3. Let  $X \subset \mathbb{P}_k^2$  be the curve defined by the homogeneous equation

$$X_0^3 X_1 + X_1^3 X_2 + X_2^3 X_0 = 0 .$$

One verifies easily that  $X$  is non-singular. Being a plane curve of degree 4, it has genus 3. (This example was given in [5]). The Hasse-Witt matrix of  $X$  is identically zero. (cf. [5]).

PROPOSITION 26. If  $X$  is the curve in Example 1, then  $n(X) = 1$ .

*Proof.* By Definition 11,  $n(X) \leq 1$ . Let  $f = (X_0 - X_2/X_1) \in K = K(X)$ . We have  $(f)_\infty = (0, 0, 1) + 3(1, 0, 0)$ . This shows that  $f \notin K^3$ . It is easy to show that  $v_x(df) \geq -3$ , if  $x = (0, 0, 1)$  or  $x = (1, 0, 0)$ , and  $v_x(df) \geq 3$ , if  $x = (1 - \alpha, -1, 1)$   $i = 1, 2, 3$  where  $\alpha_i$  are the distinct roots of the equation  $\alpha^3 = \alpha + 1$ , and  $v_x(df) \geq 0$ , if  $x \neq (1, 0, 0)$ . This shows that  $n(f) \geq 1$ , and  $n(X) = 1$ .

EXAMPLE 2. Let  $k$  be an algebraically closed field of characteristic 3. Let  $X \subset \mathbb{P}_k^2$  be the curve defined by the homogeneous equation

$$X_0^4 - X_1^3 X_2 - X_1 X_2^3 = 0 .$$

One verifies easily that  $X$  is non-singular. Being a plane curve of degree 4, it has genus 3. (This example was given in [2]). The Hasse-Witt matrix of  $X$  is identically zero. (cf. [2]).

PROPOSITION 27. *If  $X$  is the curve in Example 2, then  $n(X) = 1$ .*

*Proof.* We prove this in the same way as in Proposition 26. We have  $n(X) \leq 1$ . Put  $f = (X_2/X_1) \in K$ , then  $n(f) = 1$ . Therefore we have  $n(X) = 1$ .

EXAMPLE 3. Let  $k$  be an algebraically closed field of characteristic  $p \geq 3$ . Let  $X \subset \mathbb{P}_k^2$  be the curve defined by the homogeneous equation

$$X_0^{p+1} = X_1 X_2 (X_0^{p-1} + X_1^{p-1} - X_2^{p-1}) .$$

One verifies easily that  $X$  is non-singular. Being a plane curve of degree  $p + 1$ , it has genus  $(1/2)p(p - 1)$ .

PROPOSITION 28. *If  $X$  is the curve in Example 3, then  $n(X) = p - 2 > 0$ .*

*Proof.* We have  $n(X) \leq p - 2$ . Put  $f = (X_0/X_1) \in K$ , then we have  $n(X) = p - 2$ .

PROPOSITION 29. *If  $X$  is the curve in Example 3, then the Hasse-Witt matrix is non-singular, i.e., the Frobenius endomorphism of  $H^1(X, \mathcal{O}_X)$  is injective.*

*Proof.*  $U_i = \{(X_0, X_1, X_2); X_i \neq 0\}$   $i = 1, 2$  are affine open subsets of  $\mathbb{P}_k^2$ . Then  $X \subset U_1 \cup U_2$ . Let  $f = X_0^{p+1} - X_1 X_2 (X_0^{p-1} + X_1^{p-1} - X_2^{p-1}) \in k[X_0, X_1, X_2]$ . Now let  $\alpha \in H^1(X, \mathcal{O}_X)$ . Since  $\{X \cap U_1, X \cap U_2\}$  is an affine open covering of  $X$ , we can realize  $\alpha$  as a function  $\bar{h}$  on  $X \cap U_1 \cap U_2$ . This function extends to a function  $h$  on  $U_1 \cap U_2$ , i.e., to an element of the ring  $k[X_0/X_1, X_2/X_1, X_1/X_2]$ . The set of coboundaries is

$$\left\{ h_1 - h_2; h_1 \in k \left[ \frac{X_0}{X_1}, \frac{X_2}{X_1} \right], h_2 \in k \left[ \frac{X_0}{X_2}, \frac{X_1}{X_2} \right] \right\} .$$

$h$  is a linear combination of monomials  $X_0^i/X_1^j X_2^{i-j}$ . Now if  $i \geq p + 1$ , we can write

$$\frac{X_0^i}{X_1^j X_2^{i-j}} \equiv \frac{X_0^{i-2}}{X_1^{j-1} X_2^{i-j-1}} + \frac{X_0^{i-p-1}}{X_1^{j-p} X_2^{i-j-1}} + \frac{X_0^{i-p-1}}{X_1^{j-1} X_2^{i-j-p}} \pmod{f}.$$

If  $i \leq j$  or  $j \leq 0$ , then  $X_0^i/X_1^j X_2^{i-j}$  is a coboundary. Let  $\varphi$  be the natural map  $k[X_0/X_1, X_2 X_1, X_1 X_2] \rightarrow H^1(K, \mathcal{O}_X)$ . Then we can choose  $\varphi(X_0^2/X_1 X_2)$ ,  $\varphi(X_0^3/X_1 X_2^2)$ ,  $\varphi(X_0^3/X_1^2 X_2)$ ,  $\dots$ ,  $\varphi(X_0^p/X_1 X_2^{p-1})$ ,  $\varphi(X_0^p/X_1^2 X_2^{p-2})$ ,  $\dots$ ,  $\varphi(X_0^p/X_1^{p-1} X_2)$  as a basis of  $H^1(X, \mathcal{O}_X)$ . Let  $\alpha_{\epsilon i j} = (X_0^{p-2j+\epsilon-1}/X_1^{i-j} X_2^{p-i-j+\epsilon-1})$ , for all  $i, j$  and  $\epsilon = 0$  or  $1$ . To complete the proof we need the following Lemma.

LEMMA 30. Under the same notation as above,

(i) let  $V_{\epsilon i}$  be a vector subspace of  $H^1(X, \mathcal{O}_X)$  which is spanned by  $\alpha_{\epsilon i 0}, \alpha_{\epsilon i 1}, \dots, \alpha_{\epsilon i j(\epsilon i)}$  where  $j(\epsilon i) = \min\{i - 1, p - i + \epsilon - 2\}$ , for all  $i$  such that  $p + \epsilon - 2 \geq i \geq 1$ . Then  $V_{\epsilon i}$  is stable under the Frobenius endomorphism.

(ii)  $F^*(1, \mathcal{O}_X | V_{\epsilon i})$  is an injection.

(iii)  $\bigoplus_{\epsilon, i} V_{\epsilon i} = H^1(X, \mathcal{O}_X)$ .

Proof. Let  $1/2(p - 1) \geq j \geq 1$ , then we have

$$\begin{aligned} & X_0^{p(p-2j+\epsilon+1)} - X_0^{p(p-2j+\epsilon-1)}(X_1 X_2)^p \\ &= X_0^{p(p-2j+\epsilon-1)}(X_0^2 - X_1 X_2)^p \\ &\equiv X_0^{p-2j+\epsilon-1}(X_0^2 - X_1 X_2)^{2j-\epsilon+1} X_0^{p-1}(X_0^2 - X_1 X_2)^{p-2j+\epsilon-1} \\ &\quad X_0^{p-2j+\epsilon-1}(X_0^2 - X_1 X_2)^{2j-\epsilon+1}(X_1 X_2)^{p-2j+\epsilon-1}(X_1^{p-1} - X_2^{p-1})^{p-2j+\epsilon-1} \pmod{f} \\ &= \sum_{m=0}^j (-1)^m \binom{2j - \epsilon + 1}{m} X_0^{p-2j+2m+\epsilon-1}(X_1 X_2)^{p-m}(X_1^{p-1} - X_2^{p-1})^{p-2j+\epsilon-1} \\ (1) \quad &+ \sum_{m=1}^{j-\epsilon+1} (-1)^{j+m} \binom{2j - \epsilon + 1}{j+m} X_0^{p+2m+\epsilon-1}(X_1 X_2)^{p-m-j}(X_1^{p-1} - X_2^{p-1})^{p-2j+\epsilon-1} \\ &\equiv \sum_{m=0}^j (-1)^m \binom{2j - \epsilon + 1}{m} X_0^{p-2j+2m+\epsilon-1}(X_1 X_2)^{p-m}(X_1^{p-1} - X_2^{p-1})^{p-2j+\epsilon-1} \\ &\quad + \sum_{m=1}^{j-\epsilon+1} \left( \sum_{n=m}^{j-\epsilon+1} (-1)^{j+n} \binom{2j - \epsilon + 1}{j+n} \right) X_0^{2m+\epsilon-2}(X_1 X_2)^{p-m-j+1} \\ &\quad \quad \quad \times (X_1^{p-1} - X_2^{p-1})^{p-2j+\epsilon} \\ &\quad + \left( \sum_{n=1}^{j-\epsilon+1} (-1)^{j+n} \binom{2j - \epsilon + 1}{j+n} \right) X_0^{p+\epsilon-1}(X_1 X_2)^{p-j}(X_1^{p-1} - X_2^{p-1})^{p-2j+\epsilon-1} \pmod{f}. \end{aligned}$$

In the sequel, let  $p > i$  and  $0 \leq j - 1 \leq j(\epsilon i)$ . Then we have

$$\begin{aligned}
 (2) \quad & \varphi \left( \frac{X_0^{p-2j+2m+\varepsilon-1} (X_1 X_2)^{p-m} (X_1^{p-1} - X_2^{p-1})^{p-2j+\varepsilon-1}}{(X_1^{i-j+1} X_2^{p-i-j+\varepsilon})^p} \right) \\
 &= (-1)^{i-j} \binom{p-2j+\varepsilon-1}{i-j} \varphi \left( \frac{X_0^{p-2j+2m+\varepsilon-1}}{X_1^{i-j+m} X_2^{p-i-j+m+\varepsilon-1}} \right) \\
 &= (-1)^{i-j} \binom{p-2j+\varepsilon-1}{i-j} \alpha_{ij-m}.
 \end{aligned}$$

$$(3) \quad \varphi \left( \frac{X^{2m+\varepsilon-2} (X_1 X_2)^{p-m-j+1} (X_1^{p-1} - X_2^{p-1})^{p-2j+\varepsilon}}{(X_0^{i-j+1} X_2^{p-i-j+\varepsilon})} \right) = 0.$$

By virtue of formulas (1), (2) and (3), we have

$$\begin{aligned}
 & F^*(1, \mathcal{O}_X)(\alpha_{\varepsilon ij-1}) - F^*(1, \mathcal{O}_X)(\alpha_{\varepsilon ij}) \\
 &= \sum_{m=0}^{j-1} (-1)^m \binom{2j-\varepsilon+1}{m} (-1)^{i-j} \binom{p-2j+\varepsilon-1}{i-j} \alpha_{\varepsilon ij-m} \\
 &+ a_{\varepsilon ij-1} (-1)^{i-j} \binom{p-2j+\varepsilon-1}{i-j} \alpha_{\varepsilon i0},
 \end{aligned}$$

where  $a_{\varepsilon ij-1} = \sum_{m=0}^{j-\varepsilon+1} (-1)^{j+m} \binom{2j-\varepsilon+1}{j+m}$

Since  $j(\varepsilon i) + 1 \leq (1/2)(p-1)$  and  $\alpha_{\varepsilon ij(\varepsilon i)+1} = 0$ , formula (4) shows that (i) is true.

(ii) Since  $a_{\varepsilon ij-1} + \sum_{m=0}^{j-1} (-1)^m \binom{2j-\varepsilon+1}{m} = 0$ , it is easy to verify that  $F^*(1, \mathcal{O}_X)|V_i$  is injective

(iii) It is obvious.

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