

SUM-PRODUCT ESTIMATES AND MULTIPLICATIVE ORDERS OF γ AND $\gamma + \gamma^{-1}$ IN FINITE FIELDS

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Abstract

Using a recent result on the sum-product problem, we estimate the number of elements γ in a prime finite field such that both γ and $\gamma + \gamma^{-1}$ are of small order.

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1. Introduction

Let \mathbb{F}_q denote the finite field of q elements. Given a nonzero element $\alpha \in \mathbb{F}_q^*$, as usual we define its multiplicative order $\text{ord } \alpha$ as the smallest positive integer t with $\alpha^t = 1$.

In [2, Research problem 3.1], a question was posed about the possibility of finding $\text{ord}(\gamma + \gamma^{-1})$ from the known value of $\text{ord } \gamma$; see also [2, Research problem 5.1].

It was shown in [11] that no such algorithm can possibly exist and in fact $\text{ord } \gamma$ and $\text{ord}(\gamma + \gamma^{-1})$ are independent in the following sense: for a sufficiently large q and any positive divisors n and m of $q - 1$, the number $W_p(m, n)$ of $\gamma \in \mathbb{F}_q^*$ with

$$\text{ord } \gamma = n \quad \text{and} \quad \text{ord}(\gamma + \gamma^{-1}) = m$$

satisfies the inequality

$$\left| W_p(m, n) - \frac{\varphi(m)\varphi(n)}{q-1} \right| < 2q^{1/2}\tau(m)\tau(n), \quad (1)$$

where, as usual, $\varphi(k)$ and $\tau(k)$ denote the Euler and divisor functions, respectively. See also [4]. In particular, using the well-known estimates

$$\tau(k) = k^{o(1)} \quad \text{and} \quad \varphi(k) = k^{1+o(1)} \quad (2)$$

(see [9, Theorems 317 and 328]), we conclude that, for any fixed $\varepsilon > 0$ and sufficiently large q , and for any positive divisors n and m of $q - 1$ with $nm \geq q^{3/2+\varepsilon}$, there exists $\gamma \in \mathbb{F}_q^*$ with

$$\text{ord } \gamma = n \quad \text{and} \quad \text{ord}(\gamma + \gamma^{-1}) = m.$$

Furthermore, it follows from [8] that for fields \mathbb{F}_q of a fixed characteristic p , for any fixed $\varepsilon > 0$, at least one of the multiplicative orders $\text{ord } \gamma$ and $\text{ord } (\gamma + \gamma^{-1})$ is at least $c(p, \varepsilon)(\ln q)^{4/3-\varepsilon}$, where $c(p, \varepsilon) > 0$ depends only on p and ε .

Several more results about the multiplicative orders of γ and $\gamma + \gamma^{-1}$ are given in [1, 6, 7]. Orders of algebraically related finite field elements are investigated by Voloch [13, 14].

In this paper we study an associated question of estimating the cardinality $\#\Gamma_q(T)$ of the set

$$\Gamma_q(T) = \{\gamma \in \mathbb{F}_q : \text{ord } \gamma \leq T \text{ and } \text{ord } (\gamma + \gamma^{-1}) \leq T\}.$$

Since for every $t \mid q - 1$ there are $\varphi(t)$ elements $\gamma \in \mathbb{F}_q$ of order t ,

$$\#\Gamma_q(T) \leq \sum_{\substack{t \leq T \\ t \mid q-1}} \varphi(t) \leq \sum_{\substack{t \leq T \\ t \mid q-1}} t \leq T\tau(q - 1),$$

which implies the following trivial bound:

$$\#\Gamma_q(T) \leq Tq^{o(1)}. \tag{3}$$

For large values of T one derives from (1) that

$$\#\Gamma_q(T) \leq \sum_{\substack{m \leq T \\ m \mid q-1}} \sum_{\substack{n \leq T \\ n \mid q-1}} W_p(m, n) = \frac{1}{q-1} \left(\sum_{\substack{m \leq T \\ m \mid q-1}} \varphi(m) \right)^2 + O(q^{1/2+o(1)}).$$

Thus, using (2), we deduce

$$\#\Gamma_q(T) \leq T^2q^{-1+o(1)} + q^{1/2+o(1)}. \tag{4}$$

Although the question is also interesting for arbitrary finite fields \mathbb{F}_q , here we concentrate on the case of prime fields, that is, when $q = p$ is prime. This allows us to use a recent result of Rudnev [10] on the sum–product problem, see [3, 5, 10, 12] and references therein, which generally speaking does not hold in arbitrary finite fields. Furthermore, if $\mathbb{F}_r \subseteq \mathbb{F}_q$ then obviously $\#\Gamma_q(r - 1) = r - 1$. Thus without any other restrictions the trivial bound (3) is actually tied.

Here, we improve the bounds (3) and (4) for a prime $q = p$ and for values of T that are not too large.

THEOREM 1. *Let p be prime. Then, for any fixed $\varepsilon > 0$ and $T \leq p^{11/20-\varepsilon}$,*

$$\#\Gamma_p(T) \leq T^{10/11} p^{o(1)}.$$

Note that for $T > p^{11/20-\varepsilon}$ the bound (4) already also gives a nontrivial estimate on $\#\Gamma_p(T)$.

2. Sum-product problem

Let \mathbb{F}_p denote the finite field of p elements. For a set $\mathcal{A} \subseteq \mathbb{F}_p^*$ we define the sum and product sets

$$2\mathcal{A} = \{a_1 + a_2 : a_1, a_2 \in \mathcal{A}\} \quad \text{and} \quad \mathcal{A}^2 = \{a_1 a_2 : a_1, a_2 \in \mathcal{A}\}.$$

Rudnev [10], improving the previous result of Bourgain and Garaev [3], proved the following estimate.

LEMMA 2. *Let p be prime. Then, for an arbitrary set $\mathcal{A} \subseteq \mathbb{F}_p$ with $\#\mathcal{A} \leq p^{1/2}$,*

$$\max\{\#(2\mathcal{A}), \#(\mathcal{A}^2)\} \geq c \frac{(\#\mathcal{A})^{11/10}}{(\log \#\mathcal{A})^{4/11}}$$

for some absolute constant $c > 0$.

3. Proof of Theorem 1

We see from (2) that for some $m, n \leq T$ there is a set

$$\mathcal{R} = \{\gamma : \gamma \in \Gamma_q(T), \text{ord } \gamma = m, \text{ord } (\gamma + \gamma^{-1}) = n\}$$

and also

$$\#\mathcal{R} = \#\Gamma_p(T) p^{o(1)}. \tag{5}$$

Removing, if necessary, some elements from \mathcal{R} we obtain a set $\mathcal{S} \subseteq \mathcal{R}$ with

$$\#\mathcal{S} = \min\{\#\mathcal{R}, \lfloor p^{1/2} \rfloor\}.$$

We now define

$$\mathcal{A} = \{\gamma^2 + \gamma^{-2} : \gamma \in \mathcal{S}\} \quad \text{and} \quad \mathcal{B} = \{\gamma + \gamma^{-1} : \gamma \in \mathcal{S}\}.$$

Clearly

$$\#\mathcal{A} \geq \frac{\#\mathcal{S}}{4}.$$

From the identity

$$(\rho + \rho^{-1})(\sigma + \sigma^{-1}) = \rho\sigma + \rho^{-1}\sigma^{-1} + \rho\sigma^{-1} + \rho^{-1}\sigma,$$

we conclude that

$$\mathcal{A}^2 \subseteq 2\mathcal{A}. \tag{6}$$

Now let us take $\alpha, \beta \in \mathcal{S}$. Then

$$\alpha^2 + \alpha^{-2} + \beta^2 + \beta^{-2} = (\alpha\beta + \alpha^{-1}\beta^{-1})(\alpha\beta^{-1} + \alpha^{-1}\beta).$$

Therefore

$$2\mathcal{A} \subseteq \mathcal{B}^2. \tag{7}$$

Combining (6) and (7),

$$\#(\mathcal{B}^2) \geq \#(2\mathcal{A}) = \max\{\#(2\mathcal{A}), \#(\mathcal{A}^2)\}.$$

Now, using Lemma 2 and the inequality (6),

$$\#(\mathcal{B}^2) \geq (\#\mathcal{S})^{11/10} p^{o(1)}. \quad (8)$$

On the other hand, recalling the definition of \mathcal{R} ,

$$\#(\mathcal{B}^2) \leq \varphi(n) \leq n \leq T,$$

which, together with (5) and (8), implies

$$T \geq \min\{(\#\Gamma_p(T))^{11/10}, p^{11/20}\} p^{o(1)}.$$

From the condition on T we see that $\min\{(\#\Gamma_p(T))^{11/10}, p^{11/20}\} = p^{11/20}$ is impossible, which concludes the proof.

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