

# Flows associated with product type odometers

M. OSIKAWA

College of General Education, Kyushu University, Fukuoka 810, Japan

(Received 7 April 1982)

*Abstract.* An AC-flow is the associated flow of a product type odometer (PTO). We give examples of AC-flows and compute their  $L^\infty$ -point-spectra. We also introduce an invariant for isomorphism of aperiodic conservative ergodic non-singular flows which is a closed subset of the unit interval and contains 0 and 1. We give a necessary condition for the associated flow of an approximately finite ergodic group to be finite measure preserving.

## 0. Introduction

In § 1 we define an AC-flow and give examples of AC-flows, one of which has trivial  $L^\infty$ -point spectrum. In § 2 we introduce an invariant,  $\Gamma(\{T_i\})$ , for isomorphisms of aperiodic conservative ergodic non-singular flows  $\{T_i\}$ , which is a closed subset of  $[0, 1]$  and contains 0 and 1, and show that if  $\{T_i\}$  is finite measure preserving then

$$\Gamma(\{T_i\}) = [0, 1],$$

and that for any closed subset  $\Gamma$  of  $[0, 1]$  that contains 0 and 1 there exists an AC-flow  $\{T_i\}$  with

$$\Gamma(\{T_i\}) = \Gamma.$$

Applying this invariant to associated flows of approximately finite ergodic groups  $G$ , it is realized as a set  $\Delta(G)$  relating to the recurrence of the Radon–Nikodym cocycle  $(dPg/dP)(\omega)$ ,  $g \in G$ . We should note that a PTO induces an infinite tensor product of finite type I von Neumann factor by the group measure space construction.

The following definitions are omitted in the present paper but can be found in [1], [4]:  $L^\infty$ -point spectrum; orbit equivalence, (weak equivalence); the associated flow; type II<sub>1</sub>; III<sub>λ</sub> ( $0 < \lambda < 1$ ) and III<sub>0</sub>; and approximate finiteness.

## 1. AC-flow

Let  $T$  be an ergodic non-singular transformation of a lebesgue space  $(\Omega, P)$  and let  $\xi(\omega)$  be measurable positive function on  $(\Omega, P)$ . Define  $\xi_T(k, \omega)$ ,  $\omega \in \Omega$ ,  $k = 0, \pm 1, \dots$  by

$$\xi_T(k, \omega) = \begin{cases} \sum_{i=0}^{k-1} \xi(T^i \omega) & k = 1, 2, \dots \\ 0 & k = 0 \\ -\sum_{i=1}^{-k} \xi(T^{-i} \omega) & k = -1, -2, \dots \end{cases} \quad (1)$$

Denote by  $\tilde{\Omega}$  the subset  $\{(\omega, u); \omega \in \Omega, u \in \mathbb{R}, 0 \leq u < \xi(\omega)\}$  of  $\Omega \times \mathbb{R}$  and by  $\tilde{P}$  the restriction of  $P \times du$  to  $\tilde{\Omega}$ , where  $du$  is Lebesgue measure on the real line  $\mathbb{R}$ . Define  $\tilde{T}_t(\omega, u)$  for  $(\omega, u)$  in  $\tilde{\Omega}$  and  $-\infty < t < \infty$  by

$$\tilde{T}_t(\omega, u) = (T^k \omega, u + t - \xi_T(k, \omega))$$

if  $\xi_T(k, \omega) \leq u + t < \xi_T(k + 1, \omega)$ . Then  $\{\tilde{T}_t\}$  is an aperiodic conservative ergodic measurable flow on  $(\tilde{\Omega}, \tilde{P})$  and is called *the flow built under the function  $\xi(\omega)$  with base transformation  $T$* .

If a measurable function  $\xi(\omega)$  is positive-integer-valued one can define a transformation  $\tilde{T}$  in the same way as above taking  $\mathbb{Z}$  instead of  $\mathbb{R}$ ; that is,

$$\tilde{T}^n(\omega, i) = (T^k \omega, i + n - \xi_T(k, \omega))$$

if  $\xi_T(k, \omega) \leq i + n < \xi_T(k + 1, \omega); i, n \in \mathbb{Z}$ .  $\tilde{T}$  is called the *transformation built under the function  $\xi(\omega)$  with base transformation  $T$* .

An ergodic countable group of non-singular transformations can be uniquely associated to an ergodic measurable flow [2]. W. Krieger [4] showed that the correspondence gives a one-to-one mapping from orbit equivalence classes of approximately finite ergodic groups of non-singular transformations of type III<sub>0</sub> onto isomorphism classes of aperiodic conservative ergodic measurable flows.

Let  $n_k, k = 1, 2, \dots$  be a sequence of positive integers ( $n_k \geq 2$ ). For each  $k$  we denote by  $\Omega_k$  the finite set  $\{0, 1, \dots, n_k - 1\}$ ; by  $G_k$  the permutation group on  $\Omega_k$ ; and let  $P_k$  be a probability measure on  $\Omega_k$  such that  $P_k(\{j\}) > 0$  for  $j = 0, 1, \dots, n_k - 1$ . Let  $(\Omega, P)$  be the infinite direct product measure space of  $(\Omega_k, P_k), k = 1, 2, \dots$ . Each  $G_k$  may be considered to act on  $\Omega$ . The transformation group, which we denote by  $G$ , on  $(\Omega, P)$  generated by  $G_k, k = 1, 2, \dots$  is non-singular and ergodic. The group  $G$  is called *a product type odometer group (PTOG)*. We denote by  $A_{k,j}$  for  $j = 0, 1, \dots, n_k - 2, k = 1, 2, \dots$ , the set of points  $\omega$  in  $\Omega$  such that

$$\begin{aligned} \omega_i &= n_i - 1 & \text{for } i = 1, 2, \dots, k - 1 \\ \omega_k &= j, \end{aligned}$$

where  $\omega_k$  is the  $k$ th coordinate of  $\omega$ . Then  $A_{k,j}, j = 0, 1, \dots, n_k - 2, k = 1, 2, \dots$  are disjoint. For  $\omega$  in  $A_{k,j}, j = 0, 1, \dots, n_k - 2, k = 1, 2, \dots$  we denote by  $T\omega$  the point in  $\Omega$  such that

$$\begin{aligned} (T\omega)_i &= 0 & \text{for } i = 1, 2, \dots, k - 1, \\ (T\omega)_k &= j + 1 \\ (T\omega)_i &= \omega_i & \text{for } i = k + 1, k + 2, \dots \end{aligned}$$

Then  $T$  is a mapping from  $\Omega - \{\bar{\omega}\}$  onto  $\Omega - \{\omega\}$ , where  $\bar{\omega}$  and  $\omega$  are the points such that

$$(\bar{\omega})_k = n_k - 1 \quad \text{and} \quad (\omega)_k = 0 \quad \text{for } k = 1, 2, \dots$$

Assume that

$$P(\{\bar{\omega}\}) = \prod_{k=1}^{\infty} P_k(\{n_k - 1\}) = 0$$

and

$$P(\{\omega\}) = \prod_{k=1}^{\infty} P_k(\{0\}) = 0,$$

then  $T$  is a non-singular transformation of  $(\Omega, P)$  and satisfies

$$\{T^n \omega; n = 0, \pm 1, \dots\} = \{g\omega; g \in G\} \quad \text{for a.e. } \omega \text{ in } \Omega. \tag{2}$$

The transformation  $T$  is therefore ergodic and is called a *product type odometer* (PTO). For any positive numbers  $C_{k,j}$   $j = 0, 1, \dots, n_k - 2$ ,  $k = 1, 2, \dots$  we define a positive measurable function  $\xi(\omega)$  on  $\Omega - \{\bar{\omega}\}$  by

$$\xi(\omega) = C_{k,j}$$

if  $\omega$  is in  $A_{k,j}$   $j = 0, 1, \dots, n_k - 2$ ,  $k = 1, 2, \dots$ . We will call the flow built under the function  $\xi(\omega)$  with base transformation  $T$ , the *AC-flow generated by  $P_k$* ,  $k = 1, 2, \dots$  and  $C_{k,j}$   $j = 0, 1, \dots, n_k - 2$ ,  $k = 1, 2, \dots$  ([5]).

If the  $C_{k,j}$ 's are positive integers we will call the transformation built under the function  $\xi(\omega)$  with base transformation  $T$ , an *AC-transformation*.

In [5] we proved the following theorems:

**THEOREM 1.** *Any AC-flow is the associated flow of a PTO of type  $III_0$ .*

**THEOREM 2.** *Let  $\{T_i\}$  be an AC-flow generated by  $P_k$ ,  $k = 1, 2, \dots$  and  $C_{k,j}$   $j = 0, 1, \dots, n_k - 2$ ,  $k = 1, 2, \dots$ . A real number  $2\pi t$  is in the  $L^\infty$ -point-spectrum,  $Sp(\{T_i\})$ , if and only if there exists a real sequence  $a_k$ ,  $k = 1, 2, \dots$  such that*

$$\exp\left(2\pi i t \sum_{k=1}^n (\xi_k(\omega) - a_k)\right)$$

converges a.e.  $\omega$  as  $n \rightarrow \infty$ , where  $\xi_k(\omega) = b_k(\omega_k)$  for  $\omega$  in  $\Omega - \{\bar{\omega}\}$ ,  $k = 1, 2, \dots$ , and  $b_k(j)$ ,  $j = 0, 1, \dots, n_k - 1$ ,  $k = 1, 2, \dots$  are defined inductively by

$$b_k(j) = \sum_{m=0}^{j-1} C_{k,m} + j \sum_{i=1}^{k-1} b_i(n_i - 1).$$

The crucial properties used to prove theorem 2 were that  $\xi_k(\omega)$ ,  $k = 1, 2, \dots$  should be a sequence of independent random variables and that we have

$$\xi_G(g, \omega) = \sum_{k=1}^{\infty} (\xi_k(g\omega) - \xi_k(\omega)) \quad \text{for } \omega \text{ in } \Omega, \text{ for all } g \text{ in the PTO } G, \tag{3}$$

where  $\xi_G(g, \omega) := \xi_T(i(g, \omega), \omega)$ , and  $i(g, \omega)$  denotes the integers given by (2) satisfying  $g\omega = T^{i(g, \omega)} \omega$ ,  $\omega \in \Omega$ .

*Examples.* In the following examples we put  $n_k = 2$ ,  $k = 1, 2, \dots$ , and

$$P_k(\{0\}) = \frac{1}{1+\lambda}, \quad P_k(\{1\}) = \frac{\lambda}{1+\lambda}, \quad k = 1, 2, \dots, \quad \text{for some } 0 < \lambda \leq 1.$$

The odometer transformation  $T$  defined by  $P_k$ ,  $k = 1, 2, \dots$  is of type  $II_1$  if  $\lambda = 1$ , of type  $III$  if  $0 < \lambda < 1$ . In examples (i)–(iv) below we compute the  $L^\infty$ -point-spectrum,  $Sp(\{T_i\})$ , of the AC-flow  $\{T_i\}$  generated by particular  $C_{k,0}$ 's,  $k = 1, 2, \dots$ . Note that in each case the  $L^\infty$ -point-spectrum,  $Sp(\{T_i\})$  is the  $T$ -set of the corresponding PTO of type  $III_0$  given by theorem 1 ([2]).

(i) Let  $m_k, k = 1, 2, \dots$  be a sequence of positive integers in which every positive integer appears infinitely often. Put

$$C_{4k+1,0} = 2 - 2^{-m_k}, \quad C_{4k+2,0} = 2 + 2^{-m_k}, \quad C_{4k+3,0} = 2 + 2^{-m_{k+1}} \quad \text{and} \quad C_{4k+4,0} = 2, \\ k = 0, 1, \dots; \text{ then}$$

$$\text{Sp}(\{T_i\}) = \{0\}.$$

(ii) Put

$$C_{4k+1,0} = 1/a, \quad C_{4k+2,0} = 3/a, \quad C_{4k+3,0} = 4/a \quad \text{and} \quad C_{4k+4,0} = 2/a, \\ k = 0, 1, \dots \text{ for some positive number } a; \text{ then}$$

$$\text{Sp}(\{T_i\}) = 2\pi a\mathbb{Z}.$$

(iii) Put

$$C_{k,0} = \left( P^k - \sum_{i=1}^{k-1} p^i \right) / a,$$

$k = 1, 2, \dots$  for some positive number  $a$  and positive integer  $p$ ; then

$$\text{Sp}(\{T_i\}) = 2\pi a \times \{p\text{-adic rational number}\}.$$

(iv) Put

$$C_{k,0} = M_k - \sum_{i=1}^{k-1} M_i,$$

$k = 1, 2, \dots$  where  $M_1 = 2$  and  $M_k = 2^k M_{k-1}, k = 2, 3, \dots$ ; then  $\text{Sp}(\{T_i\})$  is the set of real numbers

$$2\pi \sum_{k=1}^{\infty} (t_k / M_k)$$

for all sequences of integers  $t_k, k = 1, 2, \dots$  such that  $\sum_{k=1}^{\infty} (t_k / 2^k)^2$  converges. The set  $\text{Sp}(\{T_i\})$  is a nontrivial uncountable subgroup of  $\mathbb{R}$ .

*Proof.* (i) We have

$$b_k(0) = 0 \quad \text{for } k = 1, 2, \dots, \\ b_{4k+1}(1) = 2^{4k+1} - 2^{-m_k}, \quad b_{4k+2}(1) = 2^{4k+2}, \\ b_{4k+3}(1) = 2^{4k+3} + 2^{-m_k} \quad \text{and} \quad b_{4k+4}(1) = 2^{4k+4},$$

$k = 0, 1, \dots$ . Let  $2\pi t$  be in  $\text{Sp}(\{T_i\})$ , then by theorem 2 there is a real sequence  $a_k, k = 1, 2, \dots$  with  $P(E) = 1$  where  $E$  is the set consisting of all  $\omega$  in  $\Omega$  such that

$$\lim_{k \rightarrow \infty} \exp(2\pi i t (\xi_k(\omega) - a_k)) = 1.$$

Assume first that  $t$  is not a 2-adic rational number, then there exists an infinite set of positive integers,  $N_0$ , such that for any infinite subset  $N'_0$  of  $N_0$ ,

$$\lim_{k \in N'_0, k \rightarrow \infty} \exp(2\pi i t \times 2^{2k}) \neq 1.$$

By the Borel–Cantelli lemma there exists a point  $\omega^1$  in the set  $E$  and an infinite subset  $N_1$  of  $N_0$  such that

$$(\omega^1)_{2k} = 1 \quad \text{for } k \text{ in } N_1.$$

Then we have

$$\lim_{k \in N_1, k \rightarrow \infty} \exp(2\pi it(2^{2k} - a_{2k})) = 1.$$

Again by the Borel–Cantelli lemma there exists a point  $\omega^2$  in  $E$  and an infinite subset  $N_2$  of  $N_1$  such that

$$(\omega^2)_{2k} = 0 \quad \text{for } k \text{ in } N_2.$$

Then we have

$$\lim_{k \in N_2, k \rightarrow \infty} \exp(2\pi it(-a_{2k})) = 1.$$

This contradicts our assumption that

$$\lim_{k \in N_2, k \rightarrow \infty} \exp(2\pi it \times 2^{2k}) \neq 1.$$

Assume next that  $t$  is a 2-adic rational non-zero number; then from the property of the sequence  $m_k, k = 1, 2, \dots$  and by the Borel–Cantelli lemma there exists a point  $\omega^3$  in  $E$  and an infinite set  $N_3$  of positive integers such that

$$(\omega^3)_{4k+3} = 1 \quad \text{for } k \text{ in } N_3$$

and such that  $\exp(2\pi it \times 2^{-m_k})$  is a constant and not 1 for  $k$  in  $N_3$ . The Borel–Cantelli lemma implies that there exists a point  $\omega^4$  in  $E$  and an infinite subset  $N_4$  of  $N_3$  such that

$$(\omega^4)_{4k+3} = 0 \quad \text{for } k \text{ in } N_4.$$

From  $(\omega^3)_{4k+3} = 1$  and  $(\omega^4)_{4k+3} = 0$  for  $k$  in  $N_4$  and from the fact that  $\omega^3$  and  $\omega^4$  are in the set  $E$  we have the contradiction

$$\lim_{k \in N_4, k \rightarrow \infty} \exp(2\pi it \times 2^{-m_k}) = 1.$$

Hence we have  $\text{Sp}(\{T_i\}) = \{0\}$ .

(ii) We have

$$\begin{aligned} b_k(0) &= 0, & k &= 1, 2, \dots, \\ b_{4k+1}(1) &= (2^{4k+1} - 1)/a, & b_{4k+2}(1) &= 2^{4k+2}/a, \\ b_{4k+3}(1) &= (2^{4k+3} + 1)/a & \text{and } b_{4k+4}(1) &= 2^{4k+4}/a, \end{aligned}$$

$k = 0, 1, \dots$ . One can prove (ii) using the same method as for (i).

(iii) We have

$$b_k(0) = 0 \quad \text{and} \quad b_k(1) = p^k/a,$$

$k = 1, 2, \dots$ . We proved (iii) for the case  $p = 2$  in [2]. A similar proof can be constructed for the general case.

(iv) We have

$$b_k(0) = 0 \quad \text{and} \quad b_k(1) = M_k,$$

$k = 1, 2, \dots$ . This case was proved in [5]. □

*Remark.* Take  $a = 1$  in example (ii) and consider the AC-transformation instead of the AC-flow, then its  $L^\infty$ -point spectrum is trivial.

2. An invariant of ergodic flows

Let  $T$  be an ergodic non-singular transformation on a Lebesgue space  $(\Omega, P)$  and  $\xi(\omega)$  be a measurable positive function on  $\Omega$ . For a set  $A$  with  $P(A) > 0$  we denote by  $k_A(i, \omega)$  the  $i$ th return time to  $A$  starting from  $\omega$  in  $A$ , and by  $T_A$  the induced transformation of  $T$  on  $A$ , that is,

$$T_A^i \omega = T^{k_A(i, \omega)} \omega \quad \text{for } \omega \text{ in } A, \quad i = 1, 2, \dots$$

Put

$$\xi_A(\omega) = \sum_{j=1}^{k_A(1, \omega)-1} \xi(T^j \omega) \quad \text{for } \omega \text{ in } A$$

and

$$\xi_{T,A}(i, \omega) = \sum_{j=0}^{i-1} \xi_A(T_A^j \omega) = \xi_T(k_A(i, \omega), \omega) \quad \text{for } \omega \text{ in } A, \quad i = 1, 2, \dots$$

We denote by  $\Gamma(T, \xi)$  the set of numbers  $a$  in the unit interval  $[0, 1]$  such that for any set  $A$  with  $P(A) > 0$  the following condition (\*) holds:

(\*) for any  $\varepsilon, r > 0$  there exists a positive number  $s > r$  and positive integers  $i, j$  with

$$P(\{\omega \in A; |a - (1/s)\xi_{T,A}(i, \omega)| < \varepsilon, \quad |1 - (1/s)\xi_{T,A}(j, \omega)| < \varepsilon\}) > 0.$$

LEMMA 1. (1)  $\Gamma(T, \xi)$  is a closed subset of  $[0, 1]$  that contains 0 and 1.

(2)  $\Gamma(T, \xi) = \Gamma(T_A, \xi_A)$ , for any set  $A$  with  $P(A) > 0$ .

(3) If flows built under functions  $\xi(\omega)$  and  $\xi'(\omega')$  with base transformations  $T$  and  $T'$  respectively are isomorphic,

$$\Gamma(T, \xi) = \Gamma(T', \xi').$$

Proof. (1) is obvious.

(2)  $\Gamma(T, \xi) \subset \Gamma(T_A, \xi_A)$  is obvious. To prove the converse let  $B$  be a set with  $P(B) > 0$ . From the ergodicity of  $T$  there exists a subset  $C$  of  $A$  with  $P(C) > 0$  and a non-negative integer  $k$  such that  $C, TC, \dots, T^k C$  are disjoint and such that  $T^k C$  is a subset of  $B$ . We have

$$\xi_{T, T^k C}(i, T^k \omega) = \xi_{T, C}(i, \omega) - \sum_{j=0}^{k-1} \xi(T^j \omega) + \sum_{j=k_C(i, \omega)}^{k_C(i, \omega)+k-1} \xi(T^j \omega)$$

for  $\omega$  in  $C$  and  $i = 1, 2, \dots$ . We may assume  $\sum_{j=0}^{k-1} \xi(T^j \omega)$  is bounded for  $\omega$  in  $C$ . Then if (\*) holds for the set  $C$  it holds for  $T^k C$ , and so for  $B$ . This means that

$$\Gamma(T_A, \xi_A) \subset \Gamma(T, \xi).$$

Thus we have proved (2).

(3) From the assumption there exist subsets  $A$  and  $A'$  with  $P(A) > 0, P'(A') > 0$ , and a non-singular mapping  $\phi$  from  $A$  onto  $A'$  such that

$$T'_A \phi \omega = \phi T_A \omega \quad \text{for } \omega \text{ in } A$$

and such that

$$\xi_A(\omega) - \xi'_{A'}(\phi \omega) = \eta(T_A \omega) - \eta(\omega) \quad \text{for } \omega \text{ in } A,$$

for some measurable function  $\eta(\omega)$ . Considering subsets of  $A$  on which  $\eta(\omega)$  is

bounded we have

$$\Gamma(T_A, \xi_A) = \Gamma(T_A, \xi'_A(\phi)) = \Gamma(T'_A, \xi'_A).$$

By (2) we have  $\Gamma(T, \xi) = \Gamma(T', \xi')$ . □

Denote by  $\Gamma(\{T_i\})$  the set  $\Gamma(T, \xi)$  where  $\{T_i\}$  is the flow built under the function  $\xi(\omega)$  with base transformation  $T$ , and note that every measurable aperiodic conservative ergodic flow is isomorphic to a flow built under a function with a base transformation (Ambrose–Kakutani–Kubo–Krengel). Then lemma 1 (3) says that  $\Gamma(\{T_i\})$  is an invariant for isomorphism of aperiodic conservative ergodic flows.

**THEOREM 3.** *If  $\{T_i\}$  is finite measure preserving then  $\Gamma(\{T_i\}) = [0, 1]$ .*

*Proof.* By the pointwise ergodic theorem, for any set  $A$  and any  $\epsilon > 0$  there exists an integer  $N$  such that

$$P(\{\omega \in A; |(\xi_{T,A}(n, \omega)/n) - L| < (\epsilon/2)L \text{ for } n \geq N\}) > 0,$$

where  $L = \int_A \xi_A(\omega) dP(\omega)/P(A) > 0$ . For  $0 \leq a \leq 1$  there exists  $i, j \geq N$  with

$$\left| a - \frac{i}{j} \right| < \epsilon/2.$$

Then for  $\omega$  in  $A$  such that

$$|(\xi_{T,A}(n, \omega)/n) - L| < (\epsilon/2)L \quad \text{for } n > N$$

we have

$$|(1/s)\xi_{T,A}(i, \omega) - a| < \epsilon \quad \text{and} \quad |(1/s)\xi_{T,A}(j, \omega) - 1| < \epsilon,$$

where  $s = jL$ . This means that  $a$  is in  $\Gamma(\{T_i\})$  and we have

$$\Gamma(\{T_i\}) = [0, 1]. \quad \square$$

**THEOREM 4.** *For any closed subset  $\Gamma$  of  $[0, 1]$  that contains 0 and 1 there exists an AC-flow  $\{T_i\}$  with  $\Gamma(\{T_i\}) = \Gamma$ .*

*Proof.* We first prove the case of  $\Gamma \neq \{0, 1\}$ . Let  $\Gamma_0$  be a countable dense subset of  $\Gamma$  that contains neither 0 nor 1. Let  $C(k), k = 1, 2, \dots$  be a sequence of numbers in  $\Gamma_0$  such that every element of  $\Gamma_0$  appears infinitely often, and let  $S(k), k = 1, 2, \dots$  be a sequence of positive numbers such that

$$\min \{C(k)S(k), (1 - C(k))S(k)\} > k \sum_{i=1}^{k-1} S(i)$$

for  $k = 1, 2, \dots$ . Let  $P_k, k = 1, 2, \dots$  be measures on the 3-point set  $\{0, 1, 2\}$  such that

$$P_k(\{0\}) = \frac{1}{1 + \lambda + \eta}, \quad P_k(\{1\}) = \frac{\lambda}{1 + \lambda + \eta}, \quad P_k(\{2\}) = \frac{\eta}{1 + \lambda + \eta},$$

$k = 1, 2, \dots$ , for some positive numbers  $\lambda$  and  $\eta$ , and let

$$C_{k,0} = C(k)S(k) - \sum_{i=1}^{k-1} S(i)$$

and

$$C_{k,1} = (1 - C(k))S(k) - \sum_{i=1}^{k-1} S(i),$$

$k = 1, 2, \dots$ . Consider the AC-flow generated by  $P_k$ ,  $k = 1, 2, \dots$  and  $C_{k,j}$ ,  $j = 0, 1$ ,  $k = 1, 2, \dots$ ; then we have

$$b_k(0) = 0, \quad b_k(1) = C(k)S(k) \quad \text{and} \quad b_k(2) = S(k),$$

$k = 1, 2, \dots$ . Let  $a$  be a number in  $\Gamma_0$  and let  $A$  be a subset with  $P(A) > 0$ . One can choose a positive integer  $I$  and an element  $u$  in  $\prod_{i=1}^I \{0, 1, 2\}$  such that

$$P(A \cap Z_u) > \left(1 - \frac{\delta}{3}\right)P(Z_u),$$

where  $Z_u$  is the cylinder set determined by  $u$ , and

$$\delta = \min \left\{ \frac{1}{1 + \lambda + \eta}, \frac{\lambda}{1 + \lambda + \eta}, \frac{\eta}{1 + \lambda + \eta} \right\}.$$

For any  $\varepsilon > 0$  and  $r > 0$  a positive integer  $J$  can be chosen so that

$$C(J) = a, \quad J > I, \quad S(J) > r \quad \text{and} \quad \frac{1}{J} < \varepsilon.$$

For each  $j = 0, 1, 2$  one can choose an element  $v_j$  in  $\prod_{i=1}^J \{0, 1, 2\}$  such that  $(v_j)_i = j$  and

$$P(A \cap Z_u \cap Z_{v_j}) > (1 - \frac{1}{3})P(Z_u)P(Z_{v_j}).$$

Let  $f$  and  $g$  be elements of the PTO  $G$  such that

$$f(Z_u \cap Z_{v_0}) = Z_u \cap Z_{v_1}, \quad g(Z_u \cap Z_{v_0}) = Z_u \cap Z_{v_2}$$

and

$$(f\omega)_k = (g\omega)_k = \omega_k$$

for  $k \geq J + 1$  and  $\omega$  in  $Z_u \cap Z_{v_0}$ . Since the Radon–Nikodym densities of  $f$  and  $g$  are constant on  $Z_u \cap Z_{v_0}$  we have

$$P((A \cap Z_u \cap Z_{v_0}) \cap f^{-1}(A \cap Z_u \cap Z_{v_1}) \cap g^{-1}(A \cap Z_u \cap Z_{v_2})) > 0.$$

From (3) we have

$$\xi_G(f, \omega) = b_J(1) - b_J(2) + \sum_{i=I+1}^{J-1} (b_i((v_1)_i) - b_i((v_0)_i))$$

and

$$\xi_G(g, \omega) = b_J(2) - b_J(0) + \sum_{i=I+1}^{J-1} (b_i((v_2)_i) - b_i((v_0)_i))$$

for  $\omega$  in  $Z_u \cap Z_{v_0}$ , and hence,

$$|a - (1/S(J))\xi_G(f, \omega)| \leq \sum_{i=1}^{J-1} S(i)/S(J) < 1/J < \varepsilon$$

and

$$|1 - (1/S(J))\xi_G(g, \omega)| \leq \sum_{i=1}^{J-1} S(i)/S(J) < 1/J < \varepsilon$$

for  $\omega$  in

$$(A \cap Z_u \cap Z_{v_0}) \cap f^{-1}(A \cap Z_u \cap Z_{v_1}) \cap g^{-1}(A \cap Z_u \cap Z_{v_2}).$$

This means that

$$P(\{\omega \in A; f\omega \in A, g\omega \in A, |a - (1/S(J))\xi_G(f, \omega)| < \varepsilon, |1 - (1/S(J))\xi_G(g, \omega)| < \varepsilon\}) > 0.$$



Hence  $a$  is in  $\Gamma(\{T_i\})$  and we have proved

$$\Gamma \subset \Gamma(\{T_i\}).$$

Next let  $a$  be a number of  $\Gamma(\{T_i\})$ , then there exists a sequence  $(s_n, f_n, g_n, \omega_n)$ ,  $n = 1, 2, \dots$  of positive numbers  $s_n$ , elements  $f_n, g_n$  in  $G$  and points  $\omega_n$  in  $\Omega$  such that

$$\lim_{n \rightarrow \infty} s_n = \infty, \quad \lim_{n \rightarrow \infty} \frac{1}{s_n} \xi_G(f_n, \omega_n) = a \quad \text{and} \quad \lim_{n \rightarrow \infty} \frac{1}{s_n} \xi_G(g_n, \omega_n) = 1.$$

We may assume that

$$\xi_G(f_n, \omega_n) \leq \xi_G(g_n, \omega_n),$$

$n = 1, 2, \dots$ . For each integer  $n$  let  $m(n)$  be the maximum coordinate of  $\omega_n$  that is changed by  $g_n$ ; then at least one of the following cases holds for infinitely many  $n$ :

- (a)  $(\omega_n)_{m(n)} = 0, \quad (f_n \omega_n)_{m(n)} = 2, \quad (g_n \omega_n)_{m(n)} = 2$
- (b)  $(\omega_n)_{m(n)} = 0, \quad (f_n \omega_n)_{m(n)} = 1, \quad (g_n \omega_n)_{m(n)} = 2$
- (c)  $(\omega_n)_{m(n)} = 0, \quad (f_n \omega_n)_{m(n)} = 0, \quad (g_n \omega_n)_{m(n)} = 2$
- (d)  $(\omega_n)_{m(n)} = 0, \quad (f_n \omega_n)_{m(n)} = 1, \quad (g_n \omega_n)_{m(n)} = 1$
- (e)  $(\omega_n)_{m(n)} = 1, \quad (f_n \omega_n)_{m(n)} = 2, \quad (g_n \omega_n)_{m(n)} = 1$
- (f)  $(\omega_n)_{m(n)} = 1, \quad (f_n \omega_n)_{m(n)} = 2, \quad (g_n \omega_n)_{m(n)} = 2$
- (g)  $(\omega_n)_{m(n)} = 1, \quad (f_n \omega_n)_{m(n)} = 1, \quad (g_n \omega_n)_{m(n)} = 2.$

In case (b) we have

$$|\xi_G(g_n, \omega_n) - S(m(n))| < \sum_{i=1}^{m(n)-1} S(i)$$

and

$$|\xi_G(f_n, \omega_n) - C(m(n))S(m(n))| < \sum_{i=1}^{m(n)-1} S(i)$$

for infinitely many  $n$ . We have

$$\lim_{n \rightarrow \infty} \frac{\xi_G(g_n, \omega_n)}{S(m(n))} = 1, \quad \lim_{n \rightarrow \infty} \frac{S(m(n))}{S_n} = 1 \quad \text{and} \quad \lim_{n \rightarrow \infty} C(m(n)) = a.$$

Hence,  $a$  is a limit point of  $\Gamma_0$ . In the same way as above we have  $a = 1$  in cases (a), (d) and (f), and  $a = 0$  in cases (c), (e) and (g). We have proved  $\Gamma(\{T_i\}) \subset \Gamma$  and therefore

$$\Gamma(\{T_i\}) = \Gamma.$$

We next prove the case of  $\Gamma = \{0, 1\}$ . Let  $S(k)$ ,  $k = 1, 2, \dots$  be a sequence of positive numbers such that

$$S(k) > k \sum_{i=1}^{k-1} S(i)$$

for  $k = 1, 2, \dots$  and let  $P_k$ ,  $k = 1, 2, \dots$  be measures on the 2-point set  $\{0, 1\}$  such that

$$P_k(\{0\}) = \frac{1}{1 + \lambda}, \quad P_k(\{1\}) = \frac{\lambda}{1 + \lambda},$$

$k = 1, 2, \dots$  for some positive number  $\lambda$ , and

$$C_{k,0} = S(k) - \sum_{i=1}^{k-1} S(i),$$

$k = 1, 2, \dots$ . Consider the AC-flow generated by  $P_k, k = 1, 2, \dots$  and  $C_{k,0}, k = 1, 2, \dots$ , then we have  $b_k(0) = 0, b_k(1) = S(k), k = 1, 2, \dots$  and we can show in the same way as above that  $\Gamma(\{T_i\}) = \{0, 1\}$ . □

For an ergodic non-singular transformation  $T$  let us denote by  $\Gamma(T)$  the set  $\Gamma(T, 1)$ , then a number  $a \in [0, 1]$  is in  $\Gamma(T)$  if and only if for any set  $A$  with  $P(A) > 0$  and  $\epsilon, r > 0$  there exists a positive number  $s > r$  and positive integers  $i, j$  with

$$P\left(\left\{\omega \in A; \left|a - \frac{1}{s}k_A(i, \omega)\right| < \epsilon, \left|1 - \frac{1}{s}k_A(j, \omega)\right| < \epsilon\right\}\right) > 0,$$

where  $k_A(i, \omega)$  is the  $i$ th return time of  $T$  on  $A$ . The set  $\Gamma(T)$  is an invariant for isomorphism of ergodic non-singular transformations. Similar results to theorems 3 and 4 can be obtained in this setting.

Let  $G$  be an ergodic countable group of non-singular type III transformations on a Lebesgue space  $(\Omega, P)$ . We denote by  $\Delta(G)$  the set of numbers  $a \in [0, 1]$  such that for any subset  $A$  with  $P(A) > 0$  and  $\epsilon, r > 0$  there exists a positive number  $s > r$  and elements  $f, g$  in  $G$  with

$$P\left(\left\{\omega \in A; f\omega \in A, g\omega \in A, \left|a - \frac{1}{s} \log \frac{dPf}{dP}(\omega)\right| < \epsilon, \left|1 - \frac{1}{s} \log \frac{dPg}{dP}(\omega)\right| < \epsilon\right\}\right) > 0.$$

$\Delta(G)$  is a closed subset of  $[0, 1]$  that contains 0 and 1, does not depend on the measure  $P$ , and hence is an invariant for orbit equivalence of ergodic countable groups of non-singular transformations of type III.

**THEOREM 5.** *Let  $G$  be an approximately finite group of type III<sub>0</sub> and let  $\{T_i\}$  be its associated flow, then  $\Delta(G) = \Gamma(\{T_i\})$ .*

*Proof.* Let  $S$  be an ergodic  $m$ -measure preserving transformation of an infinite  $\sigma$ -finite Lebesgue space  $(W, m)$ , and denote by  $N[S]$  the set of non-singular transformations  $R$  such that

$$R \text{ Orb}_S(w) = \text{Orb}_S(Rw) \quad \text{for a.e. } w.$$

By a result of W. Krieger [4] there exists an ergodic non-singular transformation  $U$  of a Lebesgue space  $(Y, \nu)$  and for each  $y$  in  $Y$  an element  $R(y)$  in  $N[S]$  such that the mapping  $(y, w) \rightarrow (Y, R(y)w)$  is measurable and such that  $G$  is orbit equivalent to the group generated by  $\tilde{U}_R$  and  $\tilde{S}$ , where

$$\tilde{U}_R(y, w) = (Uy, R(y)w) \quad \text{and} \quad \tilde{S}(y, w) = (y, Sw)$$

for  $(y, w)$  in  $Y \times W$ . Put

$$\xi(y) = \log \frac{d\nu U}{d\nu}(y) + \log \frac{dmR(y)}{dm}(w),$$

(which does not depend on  $w$ ), then the associated flow  $\{T_i\}$  of the group is isomorphic to the flow built under the function  $\xi(y)$  with base transformation  $U$ . One can easily see that

$$\Delta(G) = \Gamma(U, \xi) = \Gamma(\{T_i\}).$$

□

By theorem 3 and theorem 5 we have:

**COROLLARY.** *If the associated flow of an approximately finite group  $G$  of type  $III_0$  is finite measure preserving, then  $\Delta(G) = [0, 1]$ .*

*Acknowledgement.* The author would like to thank R. Butler for fruitful conversations and the Mathematics Institute of the University of Warwick for its warm hospitality during the period of preparation of this paper.

#### REFERENCES

- [1] T. Hamachi & M. Osikawa. Ergodic groups of automorphisms and Krieger's theorems. *Seminar on Math. Sci. KEIO Univ. No. 3* (1981).
- [2] T. Hamachi, Y. Oka & M. Osikawa. Flows associated with ergodic non-singular transformation groups. *Publ. RIMS Kyoto Univ.* **11** (1975), 31–50.
- [3] W. Krieger. On the infinite product construction of non-singular transformations of a measure space. *Inventiones Math.* **15** (1972), 144–163.
- [4] W. Krieger. On ergodic flows and isomorphism of factors. *Math. Ann.* **223** (1976), 19–70.
- [5] M. Osikawa. Point spectra of non-singular flows. *Publ. RIMS Kyoto Univ.* **13** (1977), 167–172.
- [6] M. Osikawa. Construction of ITPFI with non-trivial uncountable  $T$ -set. In *Lecture notes in Math.* (Springer-Verlag) No. 650 (1977), 186–188.