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Abstract

Background: One of the challenges of psychiatry is the staging of patients, especially those with severe mental disorders. Therefore, we aim to develop an empirical staging model for schizophrenia.

Methods: Data were obtained from 212 stable outpatients with schizophrenia: demographic, clinical, psychometric (PANSS, CAINS, CDSS, OSQ, CGI-S, PSP, MATRICS), inflammatory peripheral blood markers (C-reactive protein, interleukins-1RA and 6, and platelet/lymphocyte (PLR), neutrophil/lymphocyte (NLR), and monocyte/lymphocyte (MLR) ratios). We used machine learning techniques to develop the model (genetic algorithms, support vector machines) and applied a fitness function to measure the model's accuracy (% agreement between patient classification of our model and the CGI-S).

Results: Our model includes 12 variables from 5 dimensions: 1) Psychopathology: positive, negative, depressive, general psychopathology symptoms; 2) Clinical features: number of hospitalizations; 3) Cognition: processing speed, visual learning, social cognition; 4) Biomarkers: PLR, NLR, MLR; and 5) Functioning: PSP total score. Accuracy was 62% (SD=5.3), and sensitivity values were appropriate for mild, moderate, and marked severity (from 0.62106 to 0.6728).

Discussion: We present a multidimensional, accessible, and easy-to-apply model that goes beyond simply categorizing patients according to CGI-S score. It provides clinicians with a multifaceted patient profile that facilitates the design of personalized intervention plans.

Keywords: schizophrenia, empirical staging model, clinical tool, multidimensional model, personalized intervention.

45 **Introduction**

46 Increasing schizophrenia research studies are providing important insights into some of its main
47 challenges, such as genetic, neurobiological, and neuroimaging biomarkers [1-2]. However,
48 another significant challenge yet to be achieved is developing a staging model for this disorder.
49 Staging models allow us to integrate clinical information with biomarkers, comorbid conditions,
50 and other significant variables [3]. Thus, they offer a unitary framework for providing effective
51 interventions adapted to the stages of the disorder [4-6] and reducing heterogeneity in clinical
52 practice [5, 7].

53 The first staging model for schizophrenia was proposed by Fava & Kellner in 1993 [8].
54 Since then, different theoretical staging models have been proposed, ranging from the simplest,
55 which includes only psychotic psychopathology and functioning [8], to the most complex,
56 which also comprises affective symptoms, cognition, neuroimaging, and biological and
57 endophenotypic markers [9, 10]. In this regard, the recently developed models based solely on
58 the Positive and Negative Syndrome Scale (PANSS) deserve a separate mention [11-13].
59 Additionally, we have notice growing interest in validating some of the proposed theoretical
60 models [6] for the purpose of establishing their validity and/or improving them [14-22].
61 However, despite these above-mentioned efforts, practically all of these models have significant
62 limitations [6]. According to the literature, most were theoretical proposals, only partially
63 validated at best, and have rarely been integrated into routine clinical practice.

64 In this context, our study aims to develop a staging model for schizophrenia that overcomes
65 the limitations of those already proposed, using machine-learning methodologies from
66 information on different dimensions relevant to this disorder.

67

68 **Methods**

69 This is a naturalistic and cross-sectional study of patients with schizophrenia in outpatient
70 treatment. The study was developed according to the ethical principles of the Declaration of
71 Helsinki and the Good Clinical Practice guidelines. The Clinical Research Ethics Committee of

72 Hospital Universitario Central de Asturias in Oviedo also approved the study protocol
73 (Ref.36/2012, Ref.25/2014). Before enrollment, written informed consent was obtained from all
74 subjects.

75

76 *Participants*

77 A total of 212 patients with stable schizophrenia were recruited. Inclusion criteria were (1)
78 outpatients with a confirmed diagnosis of schizophrenia according to the ICD-10 (International
79 Classification of Diseases 10th Edition) criteria, in treatment at any of the participating centers
80 (La Eria and La Corredoria mental health centers in Oviedo, Spain)]; (2) age >17 years; and (3)
81 written informed consent to participate in the study.

82 Exclusion criteria were designed to be minimal to obtain a representative and heterogeneous
83 sample. Therefore, only patients with an intellectual developmental disability or acquired brain
84 injury were excluded from the study.

85

86 *Evaluations*

87 Extensive evaluations were performed for all subjects where demographic and clinical data were
88 collected, such as length of illness, number of hospitalizations, and physical comorbidities. In
89 addition, we also included pragmatic variables, which are an indirect measure of functionality,
90 such as educational level, marital status, employment status, official disability status, etc.

91 The assessment was developed by trained clinicians and also included the Spanish versions
92 of the following instruments:

93

94 *Psychopathology*. Positive and Negative Syndrome Scale (PANSS) [23], Clinical Assessment
95 Interview of Negative Symptoms (CAINS) [24], and Calgary Depression Scale for
96 Schizophrenia (CDSS) [25]. The presence of sleep disturbances was also assessed through the
97 Oviedo Sleep Questionnaire (OSQ) [26]. Although the OSQ comprises three subscales
98 (subjective satisfaction, insomnia, and hypersomnia), we used only the subjective satisfaction

99 subscale for this study. In addition, we included the items that assessed sleep latency (OSQ3)
100 and efficiency (OSQ6), and the use of pharmacotherapy or other sleep remedies (OSQ11).

101 As for negative symptoms, the PANSS negative subscale (PANSS-N) and Marder Negative
102 Factor (PANSS-MNF) scores were calculated. The PANSS-MNF includes the items of the
103 PANSS-N, except difficulty in abstract thinking and stereotyped thinking, plus two items from
104 the PANSS general psychopathology subscale of the (PANSS-GP): motor retardation and active
105 social avoidance. In addition, due to the psychometric limitations of existing instruments to
106 evaluate negative symptoms [27], we used the CAINS scale, which focuses on the patient's
107 subjective experience of the negative signs and symptoms instead of the patient's functioning.
108 This scale comprises two subscales: motivation and pleasure (MAP), which evaluates the
109 severity of abulia and anhedonia, and emotional expression (EXP), which measures the severity
110 of alogia and blunted affect. It provides scores for each subscale and a total score obtained by
111 combining the scores on the two subscales, where higher scores reflect greater symptom
112 severity.

113

114 *Cognition.* We used the Measurement and Treatment Research to Improve Cognition in
115 Schizophrenia Consensus Cognitive Battery (MATRICS-CCB) [28], which consists of 10 tests
116 that are grouped into seven cognitive domains: Processing Speed (Trail Making Test: Part A;
117 Brief Assessment of Cognition in Schizophrenia: Symbol Coding and Category Fluency Test:
118 Animal Naming); Attention/Vigilance (Continuous Performance Test: Identical Pairs); Working
119 Memory (Wechsler Memory Scale Spatial Span-III, and Letter Number Span Test); Visual
120 Learning (Brief Visuospatial Memory Test-Revised); Verbal Learning (Hopkins Verbal
121 Learning Test-Revised); Reasoning/Problem-Solving (Neuropsychological Assessment Battery:
122 Mazes); and Social Cognition (Mayer-Salovey-Caruso Emotional Intelligence Test: Managing
123 Emotions [D and H sections]). First, the raw score was obtained for each of the subtests, where
124 higher scores reflect better cognitive performance, except for Trail Making Test A, where
125 higher scores reflect greater impairment. Secondly, we transformed the raw scores, according to

126 age and sex, into t-scores. Finally, we summed the t-scores from each domain test and
127 transformed them into the final score using the tables provided by the MATRICS.

128

129 *Real-world functioning.* The Personal and Social Performance scale (PSP) [29] was employed,
130 and its total score was used. We chose this instrument due to the well-known difficulties
131 associated with the GAF [30, 31] and because it was available in several languages.

132

133 *Global severity.* We used the score on the Clinical Global Impression-Schizophrenia Severity
134 scale (CGI-S) [32] as the "best current gold standard" to determine the performance of each of
135 the models generated by genetic algorithms. We decided to use this scale because, as reported in
136 previous studies [32-34], it demonstrates high interrater reliability when raters are specifically
137 trained in the use of this instrument. Consistent with the inclusion criteria, the percentage of
138 people recruited with CGI-S scores of 1 (normal, not ill), 2 (minimally ill), 6 (severely ill), or 7
139 (Among the most severely ill) was inadequate. Therefore, we regrouped these CGI-S scores: 1
140 and 2 into the same dimension and 6 and 7 into the same category.

141

142 *Biological assessment.* A physical examination of the patients was also performed, in which
143 height, weight, waist circumference, heart rate, and blood pressure were recorded. In addition,
144 blood samples were collected to perform laboratory tests (hematology, biochemistry, and
145 hormones) after a confirmed overnight fast. Additionally, the following blood biomarkers of
146 inflammation were obtained: C-reactive protein (CRP), interleukin (IL) 1RA and IL6, and
147 platelet/lymphocyte (PLR), neutrophil/lymphocyte (NLR), and monocyte/lymphocyte (MLR)
148 ratios (Table 2). In addition, we used the NHANES criteria [35] to determine the presence of
149 metabolic syndrome.

150

151 ***Machine-learning Model***

152 *Genetic algorithms.*

153 Genetic algorithms (GA) are a methodology based on the natural selection process and suitable
154 for solving optimization problems. These algorithms simulate natural selection processes such
155 as inheritance, mutation, crossover, and selection [36]. Every genetic algorithm uses an initial
156 population from which the algorithm will start searching for optimum values. A fitness function
157 is applied to the initial population to assess how suitable each initial population's elements are as
158 the solution to the problem under study.

159 The solutions that are deemed to be the best, as determined by the fitness function, will be
160 chosen to transmit knowledge to the following generation. This knowledge transmission is
161 performed with the help of the genetic operators' mutation, crossover, and elitism applied to
162 create a new generation that achieves better values when assessed with the fitness function.

163

164 *Support-vector machines.*

165 Support-vector machines (SVM) are supervised-learning models for classification problems.
166 Given a set of training data, each marked with the category to which it belongs, an SVM model
167 can assign new examples in one category or another. Using the kernel method, SVM can
168 efficiently perform linear and nonlinear classifications [37]. This implicitly assumes mapping its
169 inputs into high-dimensional feature spaces. The original SVM algorithm was created by
170 Vapnik and Chervonenkis (1962) [38]. Years later, Boser et al. (1992) [39] suggested creating
171 nonlinear classifiers by applying the kernel method to maximum margin hyperplanes. Currently,
172 the most widely used implementation of this method is the one proposed by Cortes and Vapnik
173 (1995) [40].

174

175 *The proposed algorithm.*

176 The algorithm proposed for the variable selection made use of GA and SVM. Their steps are
177 presented as a flowchart in Figure 1. The first step consists of initialization of the GA
178 population. Each population's member is formed by a string of '0s' and '1s' with a length of 61,
179 which is the total number of possible input variables of the model. The criteria for

180 including/excluding data from the analysis were the subject of our previous systematic review
181 [6] and the team discussion. Each '0' means that the variable will not be present in the model
182 under study, and each '1' means that the variable will be employed for training the model.

183 To evaluate the performance of all the trained models, we used the CGI-S patient
184 classification as the "best current gold standard." We applied a fitness function to measure the
185 model's accuracy: the percentage of concordance between the classification of patients
186 according to our model and the CGI-S.

187 To avoid the selected subsets influencing the model's performance, a three-fold cross-
188 validation was applied [41]. This means that the data set was randomly divided into three parts,
189 two of which were employed for the model training and the other for the validation. Three-fold
190 cross-validation is a particularization of the k -fold cross-validation methodology, also known as
191 out-of-sample testing, for $k = 3$. This methodology is frequently applied in machine-learning
192 studies to reduce bias, with good performance [42], suggesting that it is beneficial in
193 minimizing data-testing uncertainties and overfitting issues [43].

194 The three-fold cross-validation process was repeated 10,000 times for each model (see
195 Figure 2). Therefore, the value of the fitness function is the average of the model performance
196 of all models trained for each variable's subset. The stop criterion employed in this research was
197 for the algorithm to stop after 100 cycles where none of the individuals in the population
198 improved the percentage of patients classified in the correct category according to the CGI-S
199 classification.

200 The population size for the GA was 10,000. For the mutation, the value of 1% was chosen,
201 for the crossover it was 100%, and for elitism it was 5%. Please note that these values have
202 shown good performance in previous research studies by the authors [44, 45]. The classification
203 version of SVM was applied in this algorithm, using the radial basis function kernel and a
204 gamma value equal to the inverse of the number of input variables of the model. The tolerance
205 values of the models were 0.001 with an epsilon of 0.1, as those values showed good
206 performance in previous research [46, 47].

207

208 **Results**209 *Demographic and clinical characteristics*

210 The mean age of our sample was 40.3 (SD=13.1) years, 63.7% were males, 74.1% were never
211 married, and 37.7% received disability benefits due to schizophrenia. The rest of the
212 sociodemographic characteristics are shown in Table 1.

213 The mean age at diagnosis was 28.3 (SD=8.2) years, the mean length of the disorder was
214 12.0 (SD=12.0) years, and 16% had a comorbid mental disorder. Regarding the use of
215 substances, while cannabis was the substance with the highest reported consumption (51.9%),
216 tobacco (43.4%) and alcohol (28.3%) were currently the most used. On average, our sample's
217 mean severity level was 4.2 (SD=0.9) (Table 2). The patients' psychometric scores and
218 laboratory results are shown in Table 2. Concerning physical health, 68.4% had at least one
219 comorbid physical disease, and 70 (33.3%) patients had metabolic syndrome.

220

221 *Development of the “PsiOvi Staging Model for Schizophrenia (PsiOvi SMS)”*

222 The best SVM model used the following 12 variables as input variables: PANSS-Positive
223 subscale, PANSS-MNF subscale, PANSS-GP subscale, Calgary Depression scale, number of
224 hospitalizations, Trail Making Test – Part A, Brief Visuospatial Memory Test-Revised, Mayer-
225 Salovey-Caruso Emotional Intelligence Test: Managing Emotions (D and H sections), PLR,
226 NLR, MLR and total PSP (Figure 3).

227 Concerning the performance of PsiOvi SMS, we found a percentage of concordance of 62%
228 (SD=5.3) between the CGI-S and our model's classifications. Its specificity and sensitivity
229 (mean and standard deviation) are shown in Table 3. As can be seen, in general, the specificity
230 values are quite high, but depending on the characteristics of the model and the problem under
231 study, the sensitivity values seem to be of greater interest. In this regard, the sensitivity values
232 are satisfactory for patients classified as Mildly ill, Moderately ill, and Markedly ill by the CGI-

233 S (values ranging from 0.62106 to 0.6728). In contrast, they are moderate and low for the
234 minimally ill and severely ill groups, respectively.

235 **Discussion**

236 Our work provides clinicians with a staging model, PsiOvi SMS, that is easily and directly
237 transferable to daily clinical practice to classify patients with schizophrenia according to the
238 severity of their disorder. This model is aligned with personalized medicine, the prevailing trend
239 in the 21st century across most medical specialties. In addition to classifying patients by
240 severity, our model provides clinicians with a comprehensive profile including
241 symptomatology, cognition, functionality, and biological factors for each patient. This will
242 allow clinicians to design specific interventions aimed at enhancing the strengths of each
243 individual and reducing, as much as possible, their deficits.

244 Although we used a large number of psychometric and biological assessments, our final
245 model comprises only 12 easily obtainable profilers. Profilers include positive, negative,
246 depressive, and general psychopathology symptoms, number of hospitalizations, processing
247 speed, visual learning, social cognition, PLR, MLR, NLR, and real-world functioning.

248 In the past few years, the use of machine-learning methodologies has become common in
249 healthcare. These methodologies have proved their interest in other fields of science and
250 engineering [48, 49]. They have also been adopted in the healthcare field, and their performance
251 has been tested in very different applications, e.g., exploitation of electronic health record data
252 [50], training and validation of models able to prevent cardiovascular diseases [51], and
253 improvement of patient outcomes in dermatology [52].

254 The specialty of psychiatry is no stranger to such emergence of new techniques. According
255 to some authors, these methodologies would promote a paradigm shift in the diagnosis,
256 prognosis, monitoring, and treatment of mental illnesses [53]. One of the most recent research
257 studies in this field is the one performed by Ramos-Lima et al. (2022) [54], which investigated
258 the viability of a predictive model to support posttraumatic stress disorders (PTSD). In that
259 study, a model with four stages suitable for PTSD staging was developed.

260 In the present research, we have developed a machine-learning-based staging model for
261 patients with schizophrenia. The proposed model uses genetic algorithms and SVM for patient
262 classification. Although the sensitivity values can be considered adequate globally, values for
263 the CGI-S minimally ill and severely ill categories, 0.22331 and 0.36334, respectively, can be
264 regarded as low. However, it must be taken into account that, according to the inclusion criteria,
265 both categories are composed of a very small set of individuals, which makes the process of
266 training and validating the model more complex.

267 One of the benefits of this work is the neutrality and absence of bias when generating the
268 models. This is achieved thanks to the three-fold cross-validation [55] and the 10,000-fold
269 repetition of each randomly selected subset of variables – the methodology used in the
270 development and validation process. Although this way of working reduces specificity and
271 sensitivity, not using this methodology can lead to severely inflated performance indicators
272 [56]. Furthermore, it means that certain machine-learning models may appear to predict well
273 when they do not if they have not been overtrained [57]. Please note that this practice is
274 sometimes hidden in some research studies testing different machine-learning models until one
275 seems to predict well enough for the problem under study [58, 59].

276 As stated in the Methods section, our model was trained against the CGI-S patient
277 classification. We may face criticism for our decision to use the CGI-S, as it has been suggested
278 that our methodology is tautological and that the CGI-S is easier to use and requires minimal
279 administration time. First, we do recognize that our model requires greater effort on the part of
280 clinicians in terms of patient assessment. They will need to become familiar with the 12
281 profilers, which represent the patient's scores on specific instruments and the results of a
282 complete blood count. Although incorporating the model into routine clinical practice may seem
283 laborious, we firmly believe that this effort is justified. Schizophrenia is one of the most severe
284 mental disorders, associated with poor prognosis and substantial variability in intervention
285 outcomes. Therefore, not performing fundamental assessments of core symptomatology,
286 cognition, functioning, and basic laboratory tests could be considered negligent. Second, as

287 noted in the Methods section, with specific training, this instrument can be considered the "best
288 current gold standard" grading system. However, psychiatrists lack it. Generally speaking then,
289 the CGI-S should be viewed as a "black box," as the dimensions of the disorder that clinicians
290 take into consideration and the scoring anchors used when assessing severity are unknown [60,
291 61]. It is also important to highlight the conceptual change schizophrenia has undergone since
292 the CGI-S scale was developed. In these almost 50 years, schizophrenia has gone from being
293 considered an exclusively mental illness to a disease underlying by chronic subclinical
294 inflammation and presenting high rates of somatic comorbidity, mainly endocrine-metabolic
295 and cardiovascular diseases [62]. In line with the results of Dunlop et al. (2017) [63], we doubt
296 that these changes are borne in mind by clinicians when using the CGI-S. Finally, since it
297 provides a single index rather than a profile of a patient's strengths and deficits, it does not help
298 design personalized intervention plans to enhance strengths and reduce deficits as much as
299 possible.

300 The 12 profilers included in PsiOvi SMS pertain to the following five dimensions:
301 psychopathology, clinical features, functioning, cognition, and biomarkers. Although other
302 authors have also proposed these dimensions and primarily psychopathology [4, 11-13, 64], and
303 functioning [8, 9, 10, 16, 65, 66], most models do not provide information on how to evaluate
304 them.

305 Regarding the psychopathology dimension, our model includes positive, negative,
306 depressive, and general symptoms. It seems logical that psychotic symptoms should be part of
307 the model since they are the disorder's core symptoms. However, traditionally, the literature has
308 placed less importance on depressive symptoms. Specifically, in the theoretical model of
309 McGorry et al. (2010) [9], they were included only in the premorbid and prodromal phases of
310 the disorder. However, recent studies have analyzed the impact of depressive symptoms on the
311 long-term evolution of the disorder, finding that depressive symptoms play a significant role in
312 functional remission and personal recovery [67, 68]. Our model also includes the number of

313 hospitalizations, which refers to relapses requiring hospitalization. It makes sense to include this
314 profiler due to its demonstrated negative impact on the disorder's prognosis [69, 70].

315 In cognition, significant domains emerged: processing speed and visual learning assessed
316 with Trail Making Test – Part A and Brief Visuospatial Memory Test-Revised, respectively.
317 Different cognitive dimensions have also been included in previous staging models [4, 9, 10-13,
318 16, 18, 21, 64]. However, it is worth noting the findings of Lin et al. (2022) [71], who
319 demonstrated that processing speed and visual learning and memory tests were the best
320 predictors of global cognition in schizophrenia. Therefore, their results may explain why
321 processing speed and visual learning were the only cognitive domains that emerged in our
322 model. Thus, it might be possible to obtain an approximation of the global cognitive function of
323 these patients only through the Trail Making Test – Part A and Brief Visuospatial Memory Test-
324 Revised tests. On the other hand, we would point out that the model does not include pure
325 dimensions of cognition only, since social cognition has also emerged as a significant variable.
326 Although several authors mentioned social deficits and impairment of social functioning [9, 64,
327 65], only Hickie et al. (2013) [10] included social cognition in their staging model. Social
328 cognition consists of the fundamental ability to engage in social interactions, such as
329 recognizing other people's feelings, perceiving their intentions, and understanding social and
330 cultural norms [72, 73]. For this reason, development of social cognition is crucial for
331 appropriate psychosocial and work-related adjustment of these patients [74, 75].

332 Another important finding is that PLR, MLR, and NLR have emerged as profilers within
333 PsiOvi SMS. Other authors had previously included biomarkers in their theoretical models [9,
334 10], but they were not empirically validated. Specifically, Godín et al. (2019) [16], whose
335 objective was to empirically validate and improve the model of McGorry et al. (2010) [9], found
336 no association between CRP and the severity stages of the model. Therefore, to the best of our
337 knowledge, our model is the first to include specific empirically validated biomarkers associated
338 with the severity of the disorder. Furthermore, in keeping with the present results, a previous
339 study by Özdin and Bökeb (2019) [76] found that NLR, PLR, and MLR increased significantly

340 in the relapse period. Additionally, MLR and PLR were found to be significantly higher in the
341 remission period of patients with schizophrenia compared with the control group. Therefore,
342 these results support the possibility that PLR, MLR, and NLR could be biomarkers of
343 schizophrenia severity. Furthermore, although our model did not include any somatic
344 comorbidities, these would be indirectly indicated by peripheral inflammation biomarkers,
345 underlying metabolic syndrome, and obesity.

346 Finally, functioning also emerged as a significant variable in our staging model. Previous
347 theoretical models also included this variable; even McGorry et al. (2010) [9] and Hickie et al.
348 (2013) [10] proposed specific psychometric ranges of the Global Assessment of Functioning
349 (GAF) scale [77]. However, we use the PSP to assess functioning since its scores include
350 objective indicators and do not overlap with psychopathology [30, 31] as occurs with the GAF
351 scale.

352 *Strengths and limitations*

353 From a methodological point of view, using the CGI-S to train and obtain the best model might
354 be viewed as the main limitation, and even a tautology, of the study. We have explained our
355 point of view extensively and discussed this topic in the Discussion section. Another significant
356 limitation is the small sample size of each CGI-S group, which may affect the generalization of
357 our results. However, as stated before, we consider our sample a good fit with the typical
358 severity distribution found in outpatient clinical practice. Thus, we would point out that the
359 PsiOvi SMS is applicable only to patients with schizophrenia in outpatient treatment, and the
360 prodromal and extremely severe phases are outside the scope of the model. However, since
361 people with schizophrenia will spend most of their lives in outpatient treatment, as very severe
362 acute phases are rare and brief, our model can be used in virtually all patients.

363 Our study had several strengths. First, we developed an empirical staging model to classify
364 patients in a standardized manner, based on psychometric and biological parameters, that is
365 easily translatable into clinical practice. The required biological parameters are available in
366 almost all settings, easy to obtain, and inexpensive. A second strength is the transparency in the

367 data and selection criteria employed in the model development. Thus, readers can check their
368 strengths and limitations. A third strength is that the raters were extensively trained in
369 psychometric assessments, including the CGI-S. This allowed us to correctly assess the patient's
370 level of severity for training and obtaining an accurate staging model. Its final strengths are its
371 neutrality, absence of bias, and reproducibility. Furthermore, in addition to the previously
372 mentioned clinical advantages, the "PsiOvi SMS" is associated with a calculator
373 (<https://areapsiquiatria.unioviado.es/enlaces/temas-clinicos/>) that automatically generates the
374 patient's stage, which makes our model truly transferable to clinical practice.

375 Therefore, the next step after developing our model will be to follow patients over time and
376 evaluate the effectiveness of the interventions implemented at each stage. This will allow us to
377 verify and propose interventions that are truly useful to improve patient outcomes depending on
378 the stage in which they are located, which could represent progress in the standardization of
379 clinical practice and the implementation of personalized medicine.

380 **Conclusion**

381 To the best of our knowledge, ours is the first development of an empirical multidimensional
382 staging model for schizophrenia using machine learning. Our model constitutes a unique,
383 accessible, inexpensive, and easy-to-apply tool to help doctors manage the heterogeneity of
384 schizophrenia, facilitate the transfer of information between professionals, and implement
385 personalized therapeutic interventions. Therefore, they should be aware of these results, as they
386 represent a further step towards implementing patient-centered precision medicine.

387

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397

398 **Conflicts of Interest.** The authors declare no conflict of interest related to the submitted work.

399

400 **CRedit authorship contribution statement.** **Clara Martínez Cao:** Writing - original draft,
401 Data curation, Conceptualization, Investigation. **Fernando Sánchez Lasheras:** Writing -
402 original draft, Data curation, Formal analysis, Methodology. **Ainoa García-Fernández:**
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404 editing, Investigation. **Paula Zurrón Madera:** Writing - review & editing, Investigation. **Pilar**
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406 Writing - review & editing, Conceptualization, Resources, Supervision. **María Paz García-**
407 **Portilla:** Writing - original draft, Conceptualization, Investigation, Resources, Supervision.

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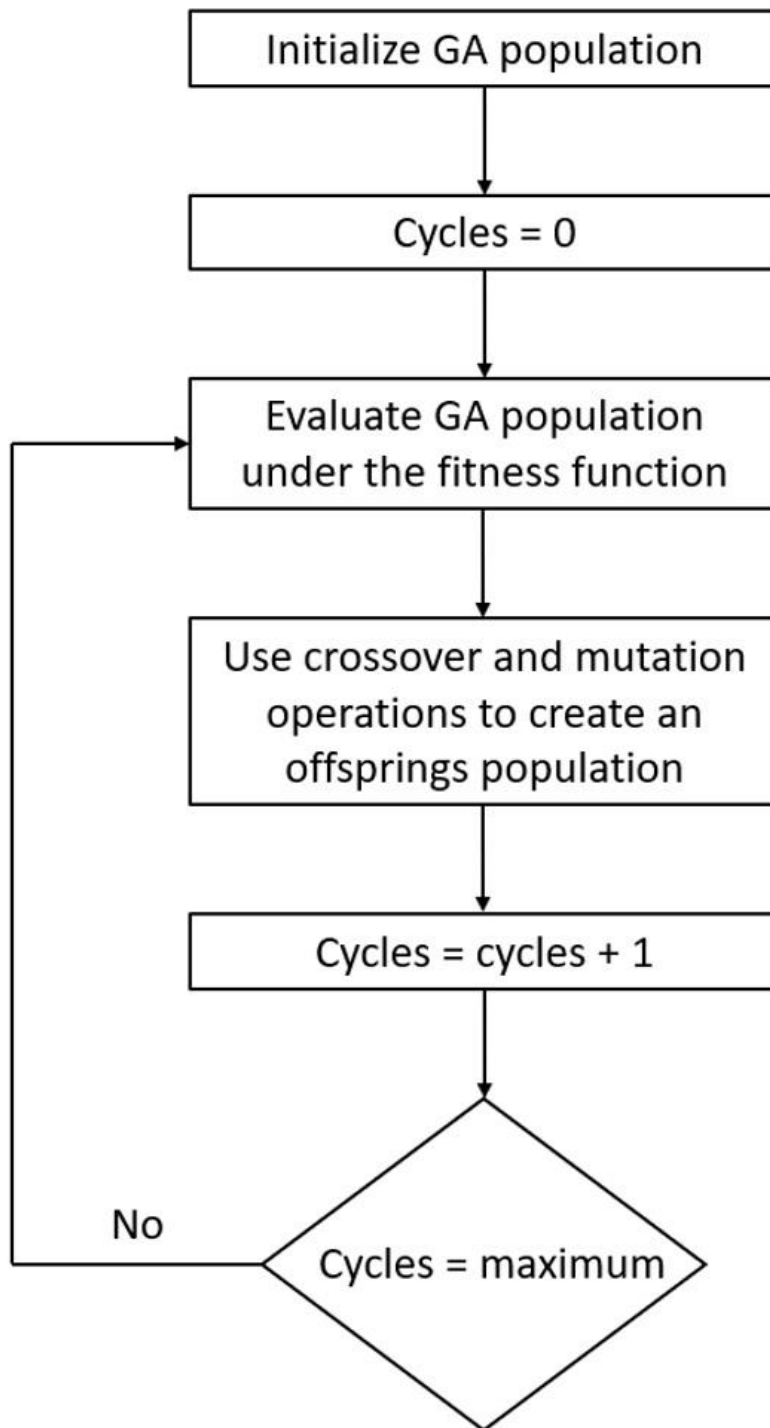
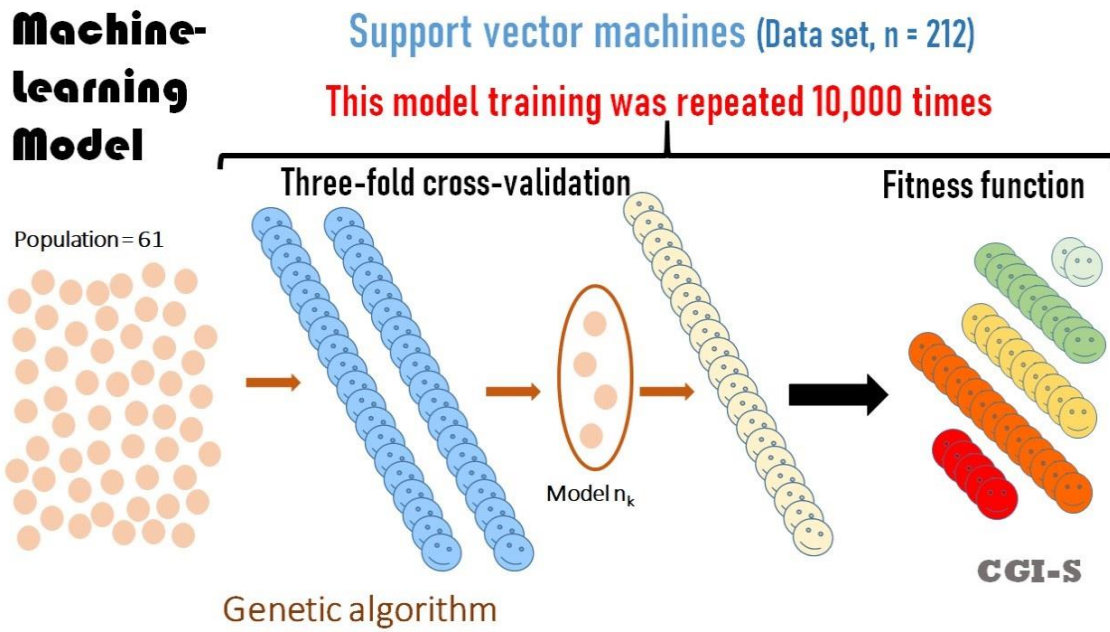


Fig 1. Flowchart of the algorithm employed in this research. *GA* Genetic Algorithm.

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651

Fig 2. Development of our model using Machine-Learning techniques. CGI-S Clinical Global Impression-Schizophrenia Severity.

652

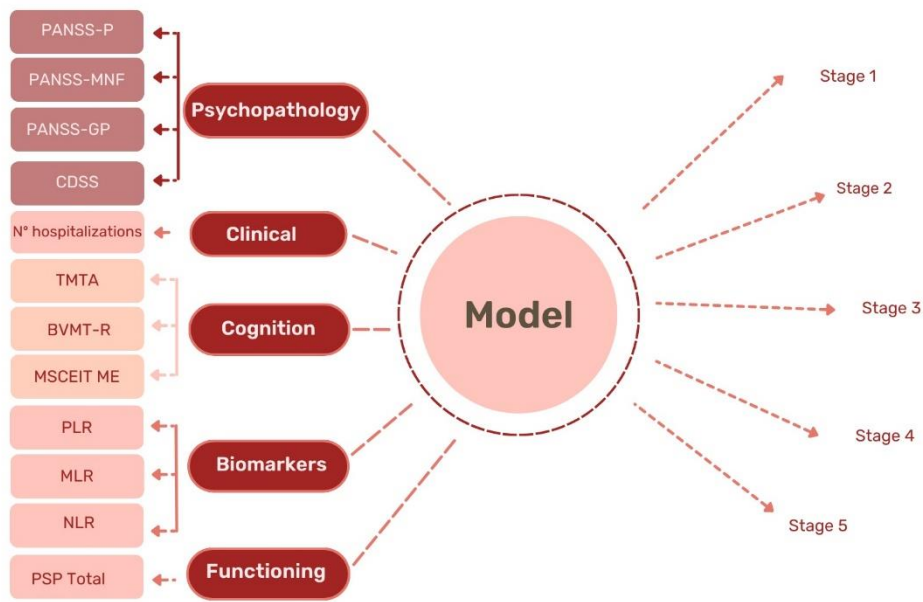


Fig 3. Variables included in the staging model. *PANSS* Positive and Negative Syndrome Scale, *PANSS-P* Positive, *PANSS-MNF* Marder Negative Factor, *PANSS-GP* General Psychopathology, *CDSS* Calgary Depression Scale for Schizophrenia, *TMT A* Trail Making Test A, *BVMT-R* Brief Visuospatial Memory Test Revised, *MSCEIT* Mayer-Salovey-Caruso Emotional Intelligence Test: Managing Emotions, *PLR* Platelets/lymphocytes Ratio, *MLR* Monocytes/lymphocytes Ratio, *NLR* Neutrophils/lymphocytes Ratio; *PSP-Total*: Personal and Social Performance Total score.

653

654

655 **Table 1**

656 Sociodemographic and clinical characteristics of the sample.

Sociodemographic characteristics	Mean (SD)
Age	40.30 (13.05)
Sex, males [n (%)]	135 (63.70)
Marital status [n (%)]	
Never married	157 (74.10)
Married ¹	55 (25.9)
Educational level [n (%)]	
Primary school	46 (21.70)
Secondary school	125 (59.50)
University	41 (19.30)
Work status [n (%)]	
Working (full-/part-time)	31 (14.60)
Not working ²	152 (71.70)
Homemaker or student	29 (13.70)
Recognized disability, yes [n (%)]	80 (37.70)
Clinical characteristics	Mean (SD)
Length of illness, years	11.97 (12.02)
Number of hospitalizations	1.62 (1.89)
Suicide attempts	
Yes [n (%)]	34 (16.00)
No. of suicide attempts	1.71 (1.50)
Use of substances	
Coffee (current) [n (%)]	122 (57.50)
No. of cups	2.68 (1.78)
Tobacco (current) [n (%)]	91 (42.90)
No. of cigarettes	17.88 (9.63)
Alcohol (current) [n (%)]	60 (28.30)
Cannabis (lifetime) [n (%)]	110 (51.90)
Metabolic Syndrome	
Yes [n (%)]	70 (33.02)
No. of criteria	1.89 (1.40)
Physical disease (Yes) [n (%)]	145 (68.39)
Physical treatment (Yes) [n (%)]	62 (29.25)

657

658 ¹Married includes married, cohabiting, widowed, and divorced. ²Not working includes permanently

659 disabled due to health conditions, temporarily disabled, retired, and unemployed.

660 Note: SD Standard Deviation.

661

662 **Table 2**

663 Psychometric, cognitive, functional, and laboratory results for the total sample.

Psychometric scores	Mean (SD)
PANSS-Positive	12.90 (5.10)
PANSS-Negative	18.21 (5.59)
PANSS-Marder Negative Factor	18.14 (6.12)
PANSS-General Psychopathology	29.382 (7.44)
CAINS-MAP	20.81 (8.98)
CAINS-EXP	6.95 (4.56)
CDSS	3.17 (4.03)
CGI-S	4.18 (0.93)
OSQ-Satisfaction	4.55 (1.64)
OSQ3	2.21 (1.21)
OSQ6	1.87 (1.28)
OSQ11	2.49 (1.79)
Cognition scores	Mean (SD)
MATRICES-CCB Subtest Raw Scores	
TMT A	52.75 (35.09)
BACS	38.23 (14.30)
HVLT-R	21.92 (6.66)
WMSIII	14.13 (4.08)
LNS	12.36 (4.12)
NAB:MAZES	11.66 (8.02)
BVMT-R	16.88 (9.42)
CF	17.85 (5.95)
MSCEIT ME	88.95 (14.69)
CPT-IP	1.91 (0.83)
MATRICES-CCB Domain Scores	
Speed of processing	32.68 (15.04)
Attention/Vigilance	34.06 (11.19)
Working Memory	38.70 (12.93)
Visual Learning	36.46 (13.73)
Verbal Learning	38.78 (10.31)
Reasoning/Problem-Solving	37.17 (9.46)
Social Cognition	41.46 (16.36)
MATRICES-CS	259.34 (63.02)
Functioning scores	Mean (SD)
PSP-Total	53.54 (17.67)
Laboratory results	Mean (SD)
Hematology	
RBCs (μ l)	4.88 (0.48)
Hemoglobin (g/dl)	14.67 (1.54)
Platelets (μ l)	229.67 (57.34)
PLR (μ l)	198.78 (41.47)
NLR (μ l)	1.96 (1.02)
MLR (μ l)	0.26 (0.11)
Hormones	
Insulin (μ U/ml)	16.23 (12.60)
Inflammatory and oxidative biomarkers	

CRP (ml/dl)	0.43 (0.66)
IL_1RA (pg/ml)	209.12 (142.85)
IL_6 (pg/ml)	1.40 (0.82)

664 Note: SD standard deviation; PANSS Positive and Negative Syndrome Scale; CAINS Clinical
665 Assessment Interview for Negative Symptoms; CAINS-EXP Expression subscale; CAINS-MAP
666 Motivation and Pleasure subscale; CDSS Calgary Depression Scale for Schizophrenia; CGI-S Clinical
667 Global Impression-Schizophrenia Severity; PSP Personal and Social Performance; OSQ Oviedo Sleep
668 Questionnaire; MATRICS-CCB Measurement and Treatment Research to Improve Cognition in
669 Schizophrenia-Consensus Cognitive Battery; TMTA Trail Making Test A; BACS Brief Assessment of
670 Cognition in Schizophrenia: Symbol Coding; HVLT-R Hopkins Verbal Learning Test-Revised; WMSIII
671 Wechsler Memory Scale Spatial Span-III; LNS Letter Number Span; NAB:MAZES Neuropsychological
672 Assessment Battery: Mazes; BVMT-R Brief Visuospatial Memory Test Revised; CF Category Fluency;
673 MSCEIT ME Mayer-Salovey-Caruso Emotional Intelligence Test: Managing Emotions; CPT-IP
674 Continuous Performance Test: Identical Pairs; MATRICS-CS Composite Score; PLR
675 Platelet/Lymphocyte Ratio; NLR Neutrophil/Lymphocyte Ratio; MLR Monocyte/Lymphocyte Ratio;
676 CRP C-Reactive Protein; IL Interleukin; RBCs Red Blood cells.

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681 **Table 3**

682 Model specificity and sensitivity of patient classification according to CGI-S category.

CGI-S Category	Model Specificity		Model Sensitivity	
	Mean	SD	Mean	SD
Stage 1	0.96692	0.01920	0.22331	0.30293
Stage 2	0.91212	0.03675	0.62106	0.13500
Stage 3	0.79897	0.06656	0.63647	0.07970
Stage 4	0.83270	0.05222	0.67284	0.09089
Stage 5	0.95384	0.02211	0.36334	0.32796

683 Note: SD Standard Deviation; CGI-S Clinical Global Impression-Schizophrenia Severity.

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