

TOPOLOGICAL ENTROPY FOR THE CANONICAL COMPLETELY  
 POSITIVE MAPS ON GRAPH  $C^*$ -ALGEBRAS

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Let  $C^*(E) = C^*(s_e, p_v)$  be the graph  $C^*$ -algebra of a directed graph  $E = (E^0, E^1)$  with the vertices  $E^0$  and the edges  $E^1$ . We prove that if  $E$  is a finite graph (possibly with sinks) and  $\phi_E : C^*(E) \rightarrow C^*(E)$  is the canonical completely positive map defined by

$$\phi_E(x) = \sum_{e \in E^1} s_e x s_e^*,$$

then Voiculescu's topological entropy  $\text{ht}(\phi_E)$  of  $\phi_E$  is  $\log r(A_E)$ , where  $r(A_E)$  is the spectral radius of the edge matrix  $A_E$  of  $E$ . This extends the same result known for finite graphs with no sinks. We also consider the map  $\phi_E$  when  $E$  is a locally finite irreducible infinite graph and prove that  $\sup_{E'} \{\text{ht}(\phi_{E'})\} \leq \text{ht}(\phi_E)$ , where the supremum is taken over the set of all finite subgraphs of  $E$ .

1. INTRODUCTION

Given a directed graph  $E$  with the vertex set  $E^0$  and the edge set  $E^1$  it is well known that there exists a universal  $C^*$ -algebra  $C^*(E)$  generated by partial isometries  $\{s_e \mid e \in E^1\}$  and mutually orthogonal projections  $\{p_v \mid v \in E^0\}$  satisfying certain relations determined by the graph  $E$ . A classical Cuntz–Krieger algebra  $\mathcal{O}_A$  of an  $n \times n$   $\{0, 1\}$  matrix  $A$  is now well understood as a graph  $C^*$ -algebra  $C^*(E)$  of a finite directed graph  $E$  with the vertex matrix  $A$  ( $\mathcal{O}_A \cong \mathcal{O}_B$  for the edge matrix  $B$  of  $E$ ). If  $A$  has no zero rows or columns, the map  $\phi_A : \mathcal{O}_A \rightarrow \mathcal{O}_A$  defined by

$$\phi_A(x) = \sum_{j=1}^n s_j x s_j^*, \quad x \in \mathcal{O}_A$$

is unital and completely positive, where  $s_j$ 's,  $1 \leq j \leq n$ , are the partial isometries that generate  $\mathcal{O}_A$ . If  $A$  is the edge matrix of  $E$ ,  $\phi_A$  corresponds to the unital completely positive map  $\phi_E : C^*(E) \rightarrow C^*(E)$  given by

$$\phi_E(x) = \sum_{e \in E^1} s_e x s_e^*.$$

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Then one can think of Voiculescu’s topological entropy of  $\phi_E$  (or  $\phi_A$ ), and it turns out that if  $E$  is a finite directed graph with no sinks

$$\text{ht}(\phi_E) = \log r(A_E),$$

where  $r(A)$  is the spectral radius of the edge matrix  $A_E$  of  $E$  (see [15, 4, 7, 3, 5, 14]). One purpose of the present paper is to extend this result to a finite graph possibly with sinks, and the other is to provide a lower bound for  $\text{ht}(\phi_E)$  when  $E$  is a locally finite irreducible infinite graph.

In Section 2, we review several definitions and properties of graph  $C^*$ -algebras, entropies, and Voiculescu’s topological entropy of a completely positive map. Then Section 3 is devoted to obtaining  $\text{ht}(\phi_E)$  for an arbitrary finite graph  $E$  with the sinks  $\mathcal{S}(E)$ . To this end we consider another completely positive map  $\psi_E$  on  $C^*(E)$ ,

$$\psi_E(x) = \phi_E(x) + \sum_{v \in \mathcal{S}(E)} p_v x p_v,$$

and show that

$$\text{ht}(\phi_E) = \text{ht}(\psi_E) = \log r(A_E).$$

We first prove that  $\log r(A_E) \leq \text{ht}(\psi_E)$  by considering the topological entropy  $h_{\text{top}}(X_{E_S}, \sigma)$  of the (compact) edge shift space  $(X_{E_S}, \sigma)$  of the finite graph  $E_S$  which we obtain from  $E$  by adding a loop edge to each sink of  $E$ . For the reverse inequality  $\text{ht}(\psi_E) \leq \log r(A_E)$  we shall modify the proof of [3, Theorem 1] to cover our general situation. Then  $\text{ht}(\phi_E) = \text{ht}(\psi_E)$  is proved.

In Section 4 we consider a locally finite (irreducible) infinite graph  $E$ , and prove that the map  $\phi_E$  given by

$$\phi_E(x) = \sum_{e \in E^1} s_e x s_e^*, \quad x \in C^*(E),$$

is a (well defined) completely positive contraction. But in this case the edge shift space  $X_E$  may not be compact, so we shall consider Gureyic’s compactification  $\overline{X}_E$  of  $X_E$  in order to find its topological entropy  $h_{\text{top}}(\overline{X}_E)$  as a lower bound for  $\text{ht}(\phi_E)$ . Note from [8] that  $h_{\text{top}}(\overline{X}_E) = \sup_{E'} h_{\text{top}}(X_{E'})$ , where the supremum is taken over all the finite subgraphs of  $E$ . Then it follows that  $\text{ht}(\phi_E) = \infty$  for many infinite irreducible graphs  $E$ . Nevertheless it would be interesting and important to know the exact value of  $\text{ht}(\phi_E)$  when  $\text{ht}(\phi_E)$  is finite.

## 2. PRELIMINARIES

2.1. GRAPHS AND GRAPH  $C^*$ -ALGEBRAS. Let  $E = (E^0, E^1, \tau, s)$  be a directed graph (or simply a graph) with a countable vertex set  $E^0$  and a countable edge set  $E^1$ , where  $\tau, s : E^1 \rightarrow E^0$  are the range and source maps. If each vertex of  $E$  emits and receives

only finitely many edges,  $E$  is called *locally finite*. By  $\mathcal{S}(E)$  we denote the set of all sinks (vertices which emit no edges) of  $E$ . A sequence  $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n)$  of edges satisfying  $r(\alpha_i) = s(\alpha_{i+1})$ ,  $i = 1, \dots, n - 1$ , is called a (finite) *path of length*  $|\alpha| = n$ . We simply write  $\alpha$  as  $\alpha = \alpha_1\alpha_2 \cdots \alpha_n$  and extend the maps  $r, s$  to finite paths by  $s(\alpha) = s(\alpha_1)$ ,  $r(\alpha) = r(\alpha_n)$ .  $E^n$  will denote the set of all finite paths of length  $n$  (each vertex is regarded as a finite path of length zero), and  $E^* = \bigcup_{n=0}^{\infty} E^n$  denotes the set of all finite paths. Similarly an *infinite path* is defined to be an infinite sequence  $\alpha = \alpha_1\alpha_2 \cdots$  of edges with  $r(\alpha_i) = s(\alpha_{i+1})$ ,  $i = 1, 2, \dots$ . If a path  $\alpha$  ( $|\alpha| > 0$ ) satisfies  $s(\alpha) = r(\alpha)$  we call  $\alpha$  a *loop*. A loop  $\alpha$  is called a *loop edge* if  $|\alpha| = 1$ .

For a graph  $E$ , a family  $\{s_e, p_v \mid e \in E^1, v \in E^0\}$  of partial isometries  $s_e$  (with mutually orthogonal ranges) and mutually orthogonal projections  $p_v$  is called a *Cuntz-Krieger  $E$ -family* if it satisfies the following.

$$\begin{aligned} s_e^* s_e &= p_{r(e)}, \\ s_e s_e^* &\leq p_{s(e)}, \text{ and} \\ p_v &= \sum_{s(e)=v} s_e s_e^* \text{ if } 0 < |s^{-1}(v)| < \infty. \end{aligned}$$

It is known (see [2, 12] for example) that there exists a universal  $C^*$ -algebra  $C^*(E)$  (or  $C^*(s_e, p_v)$ ) generated by a Cuntz-Krieger  $E$ -family  $\{s_e, p_v\}$ . We call  $C^*(E)$  the *graph  $C^*$ -algebra* associated with  $E$ . It is useful to note that  $\text{span}\{s_\alpha s_\beta^* \mid \alpha, \beta \in E^*\}$  is dense in  $C^*(E)$ , where  $s_\alpha = s_{\alpha_1} \cdots s_{\alpha_k}$  if  $\alpha = \alpha_1 \cdots \alpha_k \in E^k$ ,  $k \geq 1$ , and  $s_\alpha = p_v$  if  $\alpha = v \in E^0$ .

**2.2. SHIFT SPACE AND ENTROPIES.** Let  $\mathcal{A}$  be a finite set. Then a subset  $X \subset \mathcal{A}^{\mathbb{N}}$  is called a (one-sided) *shift space* if there is a collection  $\mathcal{F}$  of words over  $\mathcal{A}$  such that  $X$  is the set of all sequences  $x$  in which no word of  $\mathcal{F}$  can appear. By  $\sigma_X$  we denote the shift map on  $X$ . Since  $\mathcal{A}$  is finite (so compact in discrete topology), a shift space  $X \subset \mathcal{A}^{\mathbb{N}}$  is a compact space and  $\sigma_X$  is continuous, hence  $(X, \sigma_X)$  carries the entropies which we review below.

(i) ([13, Definition 4.1.1] or [10, p.23]) The *entropy*  $h(X)$  of  $X$  is defined by

$$h(X) = \lim_{n \rightarrow \infty} \frac{1}{n} \log |W_n(X)|,$$

where  $W_n(X)$  is the set of all words of length  $n$  that appear in a sequence of  $X$ . If  $X \neq \emptyset$  we have  $0 \leq h(X) < \log |\mathcal{A}| < \infty$  since  $1 \leq |W_n(X)| \leq |\mathcal{A}|^n$ . In particular, the full shift space  $X_n = \mathcal{A}^{\mathbb{N}}$  ( $|\mathcal{A}| = n$ ) has  $h(X_n) = \log n$ . If  $X = \emptyset$  then  $h(X) = -\infty$  by definition.

(ii) ([16, Chapter 7]) Let  $T : X \rightarrow X$  be a continuous map on a compact space  $X$ . If  $\mathcal{U}$  is an open cover of  $X$  then so is  $T^{-1}\mathcal{U}$ . By  $N(\mathcal{U})$  we denote the number of sets in a finite subcover of  $\mathcal{U}$  with smallest cardinality. Then the *entropy of  $T$  relative to  $\mathcal{U}$*  is given by

$$h_{\text{top}}(T, \mathcal{U}) := \lim_{n \rightarrow \infty} \frac{1}{n} \log \left( N \left( \bigvee_{i=0}^{n-1} T^{-i}\mathcal{U} \right) \right),$$

where  $\mathcal{U} \vee \mathcal{V}$  denotes the join of  $\mathcal{U}$  and  $\mathcal{V}$ , and the *topological entropy* of  $(X, T)$  is defined to be

$$h_{\text{top}}(X, T) = \sup_{\mathcal{U}} h_{\text{top}}(T, \mathcal{U}),$$

where the supremum is taken over all the open covers (or equivalently, over all the finite open covers) of  $X$ .

REMARK 2.1. (a) If  $E$  is a finite graph we have the *edge shift space*

$$X_E = \{\alpha = (\alpha_i) \in (E^1)^{\mathbb{N}} \mid r(\alpha_i) = s(\alpha_{i+1}), i \in \mathbb{N}\}$$

(or the infinite path space) and the shift map  $\sigma_E$  given by  $\sigma_E(\alpha)_i = \alpha_{i+1}$  for each  $i \in \mathbb{N}$ . For  $E$  with no infinite paths, we have  $h(X_E) = -\infty$ . Otherwise it is known [16, Theorem 7.13] that

$$h_{\text{top}}(X_E, \sigma_E) = h(X_E).$$

(b) Let  $\Sigma_E \subset (E^1)^{\mathbb{Z}}$  be the two-sided shift space associated with a finite graph  $E$ . Then we know from ([10, p.23]) that

$$h(X_E) = h(\Sigma_E).$$

We call a graph  $E$  *irreducible* if for any two vertices  $v, w$  there exists a finite path  $\alpha$  with  $s(\alpha) = v, r(\alpha) = w$ . So a finite graph  $E$  is irreducible if and only if its vertex matrix  $V_E$  (or edge matrix  $A_E$ ) is irreducible. Here a real, nonnegative square matrix  $A = (A_{ij})_{1 \leq i, j \leq n}$  is *irreducible* if for each  $i, j$  there exists an  $m \geq 1$  such that  $(A^m)_{ij} > 0$ .

If  $E$  is a finite graph, the vertex matrix  $V_E$  has irreducible components  $V_1, \dots, V_k$  in the sense that each  $V_i$  is an irreducible nonnegative square integer matrix and there exists a permutation matrix  $P$  such that  $PV_E P^{-1}$  is in a block triangular form with blocks  $V_1, \dots, V_k$  on its diagonal. Let  $\lambda_{V_i}$  be the Perron-Frobenius eigenvalue of  $V_i$ . Then the *Perron value*  $\lambda_E = \max_{1 \leq i \leq k} \lambda_{V_i}$  is the largest eigenvalue of  $V_E$ , hence  $\lambda_E = r(V_E)$ , the spectral radius of  $V_E$  (see [13, Section 4.4]). One can write  $E^0$  as the disjoint union of vertices  $E_i^0$  ( $1 \leq i \leq k$ ) so that each  $V_i$  is a matrix with the index  $E_i^0$ . Let  $E_i$  be the subgraph of  $E$  with the vertex set  $E_i^0$  and edge set  $E_i^1 = \{e \in E^1 \mid s(e), r(e) \in E_i^0\}$ , then  $E_i$  is irreducible, and  $E_i$ 's are called the irreducible components of  $E$ . If  $E_i^0$  is a singleton and  $|E_i^1| = 1$ , then  $\log \lambda_{V_i} = 0$ , thus the subgraph  $E_i$  makes no contribution to the value of  $h(X_E)$  because

$$h(X_E) = \log \lambda_E = \max_{1 \leq i \leq k} \log \lambda_{V_i}$$

([13, Theorem 4.4.4]). On the other hand, it is easy to see that  $r(A_E) = r(V_E)$ . In fact, the rectangular matrices  $R = (R_{ev})_{e \in E^1, v \in E^0}, S = (S_{ve})_{v \in E^0, e \in E^1}$ , where

$$R_{ev} = \begin{cases} 1, & \text{if } r(e) = v, \\ 0, & \text{otherwise,} \end{cases} \quad \text{and} \quad S_{ve} = \begin{cases} 1, & \text{if } s(e) = v, \\ 0, & \text{otherwise,} \end{cases}$$

satisfy  $RS = A_E$  and  $SR = V_E$ , which implies that  $\lambda$  is an eigenvalue of  $V_E$  if and only if  $\lambda$  is an eigenvalue of  $A_E$ . Hence we have the following.

**PROPOSITION 2.2.** *Let  $E$  be a finite graph and  $X_E$  be the one-sided shift space associated with  $E$ . Then*

$$h(X_E) = \log \lambda_E = \log r(A_E),$$

where  $\lambda_E$  is the Perron value of the edge matrix  $A_E$  (or the vertex matrix  $V_E$ ) of  $E$  and  $r(A_E)$  is the spectral radius of  $A_E$ .

**2.3. TOPOLOGICAL ENTROPY OF A COMPLETELY POSITIVE MAP.** We briefly review the definition of topological entropy for a completely positive map of a  $C^*$ -algebra which was first defined for automorphisms of unital nuclear  $C^*$ -algebras by Voiculescu [15] and then extended to automorphisms of exact  $C^*$ -algebras by Brown [4]. See also [7] and [3] for the following definition of topological entropy for a completely positive map.

Let  $\pi : A \rightarrow B(H)$  be a faithful representation of a  $C^*$ -algebra  $A$  and  $Pf(A)$  be the set of all finite subsets of  $A$ . For  $\omega \in Pf(A)$  and  $\delta > 0$ , we put

$$\begin{aligned} \text{CPA}(\pi, A) &:= \{(\phi, \psi, B) \mid \phi : A \rightarrow B, \psi : B \rightarrow B(H) \\ &\quad \text{contractive completely positive maps, } \dim B < \infty\}, \\ \text{rcp}(\pi, \omega, \delta) &:= \inf \left\{ \text{rank}(B) \mid (\phi, \psi, B) \in \text{CPA}(\pi, A), \|\psi \circ \phi(x) - \pi(x)\| < \delta, \right. \\ &\quad \left. \text{for all } x \in \omega \right\}, \end{aligned}$$

where  $\text{rank}(B) :=$  the dimension of a maximal Abelian subalgebra of  $B$ .

It is well known [9] that every exact  $C^*$ -algebra  $A$  is nuclearly embeddable, that is, there exists a faithful representation  $\pi : A \rightarrow B(H)$  such that for each finite subset  $\omega \subset A$  and  $\delta > 0$  there is  $(\phi, \psi, B) \in \text{CPA}(\pi, A)$  with  $\psi \circ \phi$  close to  $\pi$  within  $\delta$  on  $\omega$ . Moreover the value  $\text{rcp}(\pi, \omega, \delta)$  is independent of the choice of  $\pi$  (see [4, 3]). Since graph  $C^*$ -algebras  $C^*(E)$  are nuclear (see [11, p. 193]) we may write  $\text{rcp}(\omega, \delta)$  for  $\text{rcp}(\pi, \omega, \delta)$  assuming  $C^*(E) \subset B(H)$  for a Hilbert space  $H$ .

**DEFINITION 2.3:** ([4, 3]) Let  $A \subset B(H)$  be a  $C^*$ -algebra and  $\Phi : A \rightarrow A$  be a completely positive map. Then we define

$$\begin{aligned} \text{ht}(\Phi, \omega, \delta) &= \limsup_{n \rightarrow \infty} \frac{1}{n} \log (\text{rcp}(\omega \cup \Phi(\omega) \cup \dots \cup \Phi^{n-1}(\omega), \delta)), \\ \text{ht}(\Phi, \omega) &= \sup_{\delta > 0} \text{ht}(\Phi, \omega, \delta), \\ \text{ht}(\Phi) &= \sup_{\omega \in Pf(A)} \text{ht}(\Phi, \omega). \end{aligned}$$

$\text{ht}(\Phi)$  is called the *topological entropy* of  $\Phi$ .

**REMARK 2.4.** We refer the reader to [3, 4], and [7] for the following useful properties. Let  $\Phi : A \rightarrow A$  be a completely positive map on an exact  $C^*$ -algebra  $A$ .

- (a) If  $\theta : A \rightarrow B$  is a  $C^*$ -isomorphism then

$$\text{ht}(\Phi) = \text{ht}(\theta\Phi\theta^{-1}).$$

- (b) Let  $\tilde{A}$  be the unital  $C^*$ -algebra obtained by adjoining a unit. Let  $\tilde{\Phi} : \tilde{A} \rightarrow \tilde{A}$  be the extension of  $\Phi$ . Then

$$\text{ht}(\tilde{\Phi}) = \text{ht}(\Phi).$$

- (c) If  $A_0$  is a  $\Phi$ -invariant  $C^*$ -subalgebra of  $A$ , then

$$\text{ht}(\Phi|_{A_0}) \leq \text{ht}(\Phi).$$

- (d) If  $\{\omega_k\}$  is an increasing sequence of finite subsets in  $A$  such that the linear span of the set  $\bigcup_{k,i \in \mathbb{Z}^+} \Phi^i(\omega_k)$  is dense in  $A$ , then

$$\text{ht}(\Phi) = \sup_k \text{ht}(\Phi, \omega_k).$$

- (e) Let  $T : X \rightarrow X$  be a continuous map on a compact metric space  $X$ . Then  $\text{ht}(T^*) = h_{\text{top}}(X, T)$ , where  $T^* : C(X) \rightarrow C(X)$  is the completely positive map given by  $T^*(f) = f \circ T, f \in C(X)$ .

### 3. FINITE GRAPHS

In this section we consider the following two completely positive maps  $\phi_E, \psi_E$  on the graph  $C^*$ -algebra  $C^*(E)$  associated with a finite graph  $E$ ,

$$\begin{aligned} \phi_E(x) &= \sum_{e \in E^1} s_e x s_e^*, \\ \psi_E(x) &= \sum_{e \in E^1} s_e x s_e^* + \sum_{v \in \mathcal{S}(E)} p_v x p_v. \end{aligned}$$

We call  $\phi_E$  the *canonical completely positive map* of  $C^*(E)$  which is not unital if  $E$  contains a sink while  $\psi_E$  is always. A computation shows that

$$(1) \quad \psi_E^n(x) = \sum_{|\mu|=n} s_\mu x s_\mu^* + \sum_{\substack{0 < |\eta| < n \\ r(\eta) \in \mathcal{S}(E)}} s_\eta x s_\eta^* + \sum_{v \in \mathcal{S}(E)} p_v x p_v.$$

Hence if  $E$  has no infinite paths then there exists an  $N$  such that the first term  $\sum_{|\mu|=n} s_\mu x s_\mu^*$  vanishes and  $\psi_E^n(x) = \psi_E^N(x)$  whenever  $n > N$ . Thus it follows that  $\text{ht}(\psi_E) = 0$ . But the edge matrix  $A_E$  has no nonzero irreducible components and so its Perron value is 0. Hence we see from Proposition 2.2 that  $\log r(A_E) = -\infty$ .

We now compute  $\text{ht}(\psi_E)$  (and  $\text{ht}(\phi_E)$ ) for  $E$  which contains an infinite path.

**THEOREM 3.1.** *Let  $E$  be a finite graph with the edge matrix  $A_E$ . If  $E$  contains an infinite path then*

$$\text{ht}(\psi_E) = \log r(A_E),$$

where  $r(A_E)$  is the spectral radius of  $A_E$ .

Let  $\mathcal{D}_E$  be the commutative  $C^*$ -subalgebra of  $C^*(E)$  generated by projections of the form  $p_\mu = s_\mu s_\mu^*$ ,  $\mu \in E^*$ . Then  $\mathcal{D}_E$  is  $\psi_E$ -invariant and

$$\mathcal{D}_E = \overline{\text{span}}\{p_\mu = s_\mu s_\mu^* \in C^*(E) \mid \mu \in E^*\}.$$

Now we seek a shift space  $(X, \sigma_X)$  such that there exists an isomorphism  $w : \mathcal{D}_E \rightarrow C(X)$  satisfying  $w(\psi_E|_{\mathcal{D}_E})w^{-1} = \sigma_X^*$  from which we deduce that  $h(X) \leq \text{ht}(\psi_E)$ . Let  $E_S$  be the graph obtained from  $E$  by adding a loop edge  $e_v$  to each sink  $v \in \mathcal{S}(E)$ , that is,

$$E_S^0 = E^0, \quad E_S^1 = E^1 \cup \{e_v \mid s(e_v) = r(e_v) = v, v \in \mathcal{S}(E)\}$$

and consider the shift space  $X_{E_S}$  of infinite paths. Then the cylinder sets  $[\mu] = \{\mu\alpha \mid \mu\alpha \in X_{E_S}\}$ ,  $\mu \in E_S^*$ , are both open and compact, and form a basis for the subspace topology of the compact space  $X_{E_S} \subset (E_S^1)^\mathbb{N}$ . Hence the characteristic functions  $\chi_{[\mu]}$ ,  $\mu \in E_S^*$ , are continuous on  $X_{E_S}$ . Moreover applying the Stone–Weierstrass theorem one sees that the linear span of the characteristic functions  $\{\chi_{[\mu]} \mid \mu \in E_S^n, n \in \mathbb{N}\}$  is dense in  $C(X_{E_S})$ . Then as in [6, Proposition 2.5] and [14, Corollary 7.2], one obtains the following.

**LEMMA 3.2.** *The linear map  $w : \mathcal{D}_E \rightarrow C(X_{E_S})$  given by*

$$w(p_\mu) = \begin{cases} \chi_{[\mu]}, & \text{if } |\mu| \geq 1, \\ \chi_{[e_v]}, & \text{if } \mu = v \in \mathcal{S}(E) \end{cases}$$

is a  $*$ -isomorphism such that  $w(\psi_E|_{\mathcal{D}(E)})w^{-1} = (\sigma_{X_{E_S}})^*$ .

**PROPOSITION 3.3.**  $h_{\text{top}}(X_{E_S}, \sigma_{X_{E_S}}) = \text{ht}(\psi_E|_{\mathcal{D}_E}) \leq \text{ht}(\psi_E)$ .

**PROOF:** By Remark 2.4(e), we have  $h_{\text{top}}(X_{E_S}, \sigma_{X_{E_S}}) = \text{ht}((\sigma_{X_{E_S}})^*)$ . Also Remark 2.4(a) and Lemma 3.2 imply that  $\text{ht}((\sigma_{X_{E_S}})^*) = \text{ht}(\psi_E|_{\mathcal{D}_E})$ . The last inequality follows from Remark 2.4(c). □

**PROPOSITION 3.4.**

- (a)  $h(X_E) = h(X_{E_S})$ .
- (b) *Let  $G$  be the graph obtained from  $E$  by removing vertices  $v$  with  $s^{-1}(v)$  consisting of a loop edge and all edges in  $r^{-1}(v)$  and then adding a loop edge to each newly formed sink, if any. Then  $h(X_E) = h(X_G)$ .*

**PROOF:** (a) immediately follows from Proposition 2.2 and the arguments before it. For (b), apply (a) and the arguments before Proposition 2.2 repeatedly. □

**PROPOSITION 3.5.**  $\log r(A_E) = h(X_E) \leq \text{ht}(\psi_E)$ .

**PROOF:**  $h(X_E) = h(X_{E_S})$  by Proposition 3.4(a), and  $h(X_{E_S}) = h_{\text{top}}(X_{E_S}, \sigma_{X_{E_S}})$  by Remark 2.1.(a). Then Proposition 3.3 proves the assertion.  $\square$

For the proof of the reverse inequality  $\text{ht}(\psi_E) \leq \log r(A_E)$ , we modify the proof in [3] according to our general situation. But we have to deal with more complicated situation due to the existence of sinks which do not appear in case of [3], so we present a proof here. Put

$$W(n) := E^n \cup \left\{ \mu \in \bigcup_{k=0}^{n-1} E^k \mid r(\mu) \in S(E) \right\}.$$

Then there is a one to one correspondence between  $W(n)$  and the set  $(E_S)^n$  of finite paths of length  $n$  in  $E_S$ , and so the following lemma is an immediate consequence of Proposition 3.4(a).

**LEMMA 3.6.**  $\lim_{n \rightarrow \infty} (1/n) \log |W(n)| = \log r(A_E)$ .

As in [3] we define a map  $\rho_m : C^*(E) \rightarrow M_{|W(m)|} \otimes C^*(E)$  by

$$\rho_m(x) := \sum_{\mu, \nu \in W(m)} e_{\mu\nu} \otimes s_\mu^* x s_\nu.$$

**LEMMA 3.7.**  $\rho_m$  is an injective  $*$ -homomorphism.

**PROOF:** Since  $\sum_{\mu \in W(m)} s_\mu s_\mu^* = I$ , the unit of  $C^*(E)$ , it easily follows that  $\rho_m$  is a  $*$ -homomorphism. To see that  $\rho_m$  is injective, suppose  $\rho_m(x) = 0$  (in [3],  $C^*(E)$  was simple). Then  $s_\mu^* x s_\nu = 0$  for all  $\mu, \nu \in W(m)$ . Thus for each pair of vertices  $v, w \in E^0$ ,

$$\sum_{\substack{\mu \in W(m), s(\mu)=v \\ \nu \in W(m), s(\nu)=w}} s_\mu s_\mu^* x s_\nu s_\nu^* = 0,$$

which implies that  $p_v x p_w = 0$  since

$$p_v = \sum_{\mu \in W(m), s(\mu)=v} s_\mu s_\mu^*.$$

Therefore  $x = 0$  and  $\rho_m$  is injective.  $\square$

**LEMMA 3.8.** Let  $n \in \mathbb{N}$ ,  $|\beta| \leq |\alpha| \leq n_0$ , and  $m \geq n + n_0$ . Then for each  $0 \leq l \leq n - 1$ ,

$$\rho_m(\psi_E^l(s_\alpha s_\beta^*)) = \sum_{\mu \in W(|\alpha| - |\beta|)} X(\mu, \alpha, \beta, l, m) \otimes s_\mu$$

for some partial isometries  $X(\mu, \alpha, \beta, l, m)$ .



PROOF: Note first that if  $\mu, \nu \in W(m)$  and  $|\mu| \neq |\nu|$  then  $s_\mu^* s_\nu = 0$ . Also if  $\mu, \nu \in E^*$ ,  $r(\mu) \in \mathcal{S}(E)$ , and  $|\mu| < |\nu|$  then  $s_\mu^* s_\nu = 0$ . Then from the formula (1)

$$\begin{aligned} \rho_m(\psi_E^l(s_\alpha s_\beta^*)) &= \sum_{\eta \in W(l)} \rho_m(s_\eta s_\alpha s_\beta^* s_\eta^*) \\ &= \sum_{\eta \in W(l)} \sum_{\mu, \nu \in W(m)} e_{\mu\nu} \otimes s_\mu^* s_\eta s_\alpha s_\beta^* s_\eta^* s_\nu \\ &= \sum_{\mu \in W(|\alpha| - |\beta|)} \sum_{\substack{\eta\alpha\mu', \eta\beta\mu' \in W(m) \\ \eta \in W(l)}} e_{\eta\alpha\mu', \eta\beta\mu'} \otimes s_\mu, \end{aligned}$$

and  $X(\mu, \alpha, \beta, l, m) := \sum_{\substack{\eta\alpha\mu', \eta\beta\mu' \in W(m) \\ \eta \in W(l)}} e_{\eta\alpha\mu', \eta\beta\mu'}$  is a partial isometry with the range projection  $X(\mu, \alpha, \beta, l, m)X(\mu, \alpha, \beta, l, m)^* = \sum_{\substack{\eta\alpha\mu' \in W(m) \\ \eta \in W(l)}} e_{\eta\alpha\mu', \eta\alpha\mu'}$ . □

For each  $n_0 \geq 1$ , put

$$\omega(n_0) := \{s_\alpha s_\beta^* \mid |\beta| \leq |\alpha| \leq n_0\}.$$

Then the following proposition implies that

$$\text{ht}(\psi_E) \leq \log r(A_E),$$

since the linear span of the set  $\bigcup_{k \geq 1} (\omega(k) \cup \omega(k)^*)$  is dense in  $C^*(E)$  (Remark 2.4.(d)).

**PROPOSITION 3.9.** *Let  $n_0 \geq 1$  and  $\delta > 0$ . Then*

$$\text{ht}(\psi_E, \omega(n_0), \delta) = \limsup_n \frac{1}{n} \log \text{rcp} \left( \bigcup_{i=0}^{n-1} \psi_E^i(\omega(n_0)), \delta \right) \leq \log r(A_E).$$

PROOF: Let  $H$  be a Hilbert space on which  $C^*(E)$  acts faithfully. Since  $C^*(E)$  is nuclear, there exists  $(\phi_0, \psi_0, M_{m_0}) \in \text{CPA}(id_{C^*(E)}, C^*(E))$  such that

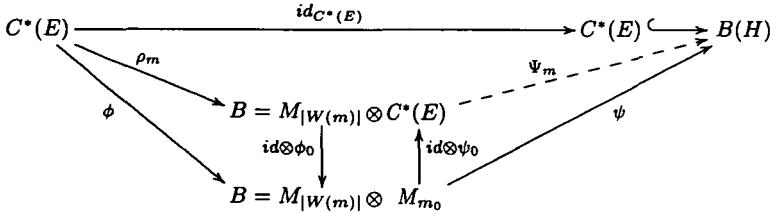
$$(2) \quad \|\psi_0 \phi_0(s_\gamma) - s_\gamma\| < \frac{\delta}{|W(n_0)|}, \quad \gamma \in W(n_0).$$

Now for  $n \geq 1$ , let  $m = m(n) = n + n_0$  and  $B = M_{|W(m)|} \otimes M_{m_0}$ . Then by Arveson's extension theorem (see [4, p. 349]) the  $*$ -isomorphism  $\rho_m^{-1} : \rho_m(C^*(E)) \rightarrow C^*(E)$  extends to a unital completely positive map

$$\Psi_m : M_{|W(m)|} \otimes C^*(E) \rightarrow B(H).$$

Now consider the completely positive maps  $\phi$  and  $\psi$  given by

$$\phi = (id \otimes \phi_0)\rho_m : C^*(E) \rightarrow B \quad \text{and} \quad \psi = \Psi_m(id \otimes \psi_0) : B \rightarrow B(H).$$



Let  $a = s_\alpha s_\beta^* \in \omega(n_0)$ . Then by Lemma 3.8 there exist partial isometries  $X(\mu) = X(\mu, \alpha, \beta, l, m)$  such that

$$(3) \quad \rho_m \psi_E^l(a) = \sum_{\mu \in W(|\alpha| - |\beta|)} X(\mu) \otimes s_\mu.$$

Then as in [3] it follows from (2) and (3) that

$$\left\| \psi \phi(\psi_E^l(a)) - \psi_E^l(a) \right\| < |W(n_0)| \cdot \frac{\delta}{|W(n_0)|} = \delta.$$

Therefore

$$\text{rcp} \left( \bigcup_{i=0}^{n-1} \psi_E^i(\omega(n_0)), \delta \right) \leq m_0 |W(m)| = m_0 |W(n + n_0)|,$$

and so  $\limsup_n (1/n) \log \text{rcp} \left( \bigcup_{i=0}^{n-1} \psi_E^i(\omega(n_0)), \delta \right) \leq \log r(A_E)$  (by Lemma 3.6). □

**COROLLARY 3.10.** *Let  $E$  be a finite directed graph and  $G$  be a subgraph of  $E$  obtained by removing sinks and edges going into them. Then*

$$\text{ht}(\psi_E) = \text{ht}(\psi_G).$$

In the rest of the section we show that  $\text{ht}(\phi_E) = \text{ht}(\psi_E)$ .

**LEMMA 3.11.**  $\psi_E^l(x) = \phi_E^l(x) + \psi_E^{l-1} \left( \sum_{v \in S(E)} p_v x p_v \right)$ ,  $l \in \mathbb{N}$ .

**PROOF:** Since  $\psi_E(x) = \phi_E(x) + \sum_{v \in S(E)} p_v x p_v$ , we have

$$\begin{aligned} \psi_E^2(x) &= \phi_E \left( \phi_E(x) + \sum_{v \in S(E)} p_v x p_v \right) + \sum_{w \in S(E)} p_w \left( \phi_E(x) + \sum_{v \in S(E)} p_v x p_v \right) p_w \\ &= \phi_E^2(x) + \phi_E \left( \sum_{v \in S(E)} p_v x p_v \right) + \sum_{w \in S(E)} p_w \left( \sum_{v \in S(E)} p_v x p_v \right) p_w \\ &= \phi_E^2(x) + \psi_E \left( \sum_{v \in S(E)} p_v x p_v \right). \end{aligned}$$

For  $l \geq 3$ , use induction on  $l$ . □

Let  $\phi_L : C^*(E) \rightarrow C^*(E)$  be the completely positive map given by  $\phi_L(x) = \sum_{\substack{e \in E^1 \\ r(e) \notin S(E)}} s_e x s_e^*$ .

**PROPOSITION 3.12.**  $ht(\phi_L) = ht(\phi_E) \leq ht(\psi_E)$ .

**PROOF:** Let  $\delta > 0$ ,  $n \in \mathbb{N}$ , and let  $\omega \subset C^*(E)$  be a finite set of the elements of the form  $s_\alpha s_\beta^*$ ,  $\alpha, \beta \in E^*$  such that  $\{p_v \mid v \in S(E)\} \subset \omega$ . Then choose an element  $(\psi_1, \psi_2, B) \in CPA(id_{C^*(E)}, C^*(E))$  satisfying  $rank(B) = rcp\left(\bigcup_{j=0}^{n-1} \psi_E^j(\omega), \delta\right)$ . If  $x \in \omega$ ,  $1 \leq l \leq n - 1$ , then by the above lemma

$$\begin{aligned} \|\psi_2 \psi_1(\phi_E^l(x)) - \phi_E^l(x)\| &\leq \|\psi_2 \psi_1(\psi_E^l(x)) - \psi_E^l(x)\| \\ &\quad + \left\| \psi_2 \psi_1\left(\psi_E^{l-1}\left(\sum_{v \in S(E)} p_v x p_v\right)\right) - \psi_E^{l-1}\left(\sum_{v \in S(E)} p_v x p_v\right) \right\| \leq 2\delta, \end{aligned}$$

and  $rcp\left(\bigcup_{j=0}^{n-1} \phi_E^j(\omega), 2\delta\right) \leq rcp\left(\bigcup_{j=0}^{n-1} \psi_E^j(\omega), \delta\right)$ . Thus we have  $ht(\phi_E) \leq ht(\psi_E)$ .

To prove the first equality, note that  $\phi_E^l(x) = \phi_L^l(x)$  if  $x = s_\alpha s_\beta^* \in \omega$  with  $|\alpha| + |\beta| > 0$ , and  $\phi_L^l(x) = 0$  if  $x = p_v$ ,  $v \in S(E)$ . Thus

$$\bigcup_{i=0}^{n-1} \phi_L^i(\omega) \subseteq \bigcup_{i=0}^{n-1} \phi_E^i(\omega) \cup \{0\},$$

and hence  $ht(\phi_L) \leq ht(\phi_E)$ . Put  $\bar{\omega} := \omega \cup \phi_E(\omega)$ . From definitions of  $\phi_E$  and  $\phi_L$  it is easily seen that  $\phi_E^l(x) = \phi_L^{l-1}(\phi_E(x))$ ,  $l \geq 1$ . Thus

$$\bigcup_{i=0}^{n-1} \phi_E^i(\omega) \subseteq \bigcup_{i=0}^{n-1} \phi_L^i(\bar{\omega}),$$

which also shows that  $ht(\phi_E) \leq ht(\phi_L)$ . □

Note that the commutative  $C^*$ -subalgebra

$$\mathcal{D}'_E := \overline{\text{span}}\{p_\mu = s_\mu s_\mu^* \mid \mu \in E^*, r(\mu) \notin S(E)\}.$$

of  $C^*(E)$  is  $\phi_L$ -invariant and so  $ht(\phi_L|_{\mathcal{D}'_E}) \leq ht(\phi_L)$ .

**PROPOSITION 3.13.**  $ht(\phi_E) = ht(\psi_E)$ .

**PROOF:** Let  $G$  be the graph obtained from  $E$  by removing the sinks  $S(E)$  and the edges going into them. Then as in Lemma 3.2, one can show that there is an isomorphism  $w' : \mathcal{D}'_E \rightarrow C(X_G)$  such that

$$\sigma_G^* = w'(\phi_L|_{\mathcal{D}'_E})(w')^{-1},$$

where  $\sigma_G$  is the shift map on  $X_G$ . Thus  $ht(\phi_L|_{\mathcal{D}'_E}) = h(X_G)$ . Consequently,

$$ht(\psi_E) = \log r(A_E) = h(X_E) = h(X_G) = ht(\phi_L|_{\mathcal{D}'_E}) \leq ht(\phi_E)$$

by Theorem 3.1, Corollary 3.10, and Proposition 3.12. □

EXAMPLE 3.14. The Toeplitz algebra  $\mathcal{T}$  can be viewed as the graph  $C^*$ -algebra  $C^*(E)$  of  $E = (E^0 = \{v, w\}, E^1 = \{e, f\})$ , where  $s(e) = r(e) = s(f) = v, r(f) = w$ . In fact, if  $\{s_e, s_f, p_v, p_w\}$  is a Cuntz–Krieger  $E$ -family generating  $C^*(E)$  the element  $U := s_e + s_f$  satisfies that  $U^*U = I = p_v + p_w, UU^* = p_v, U^*U - UU^* = p_w, U^2U^* = s_e,$  and  $U - U^2U^* = s_f$ . Thus  $C^*(E) = C^*\{U\}$  and so by Coburn’s theorem  $\mathcal{T} = C^*\{U\} = C^*(E)$ . Since  $U^*U = I$ , the linear span of the set  $\{U^m(U^*)^n \mid m, n \geq 0\}$  is dense in  $C^*(E)$ , and one can show that  $\phi_E(x) = UxU^*$  for each  $x$  of the form  $U^m(U^*)^n$ . Thus  $\phi_E$  is the endomorphism  $\text{Ad}(U)$  on  $\mathcal{T}$ . Since  $r(A_E) = 1$ , it follows from Theorem 3.1 and Proposition 3.13 that  $\text{ht}(\phi_E) = \log r(A_E) = 0$ . Thus  $\text{ht}(\text{Ad}(U)) = 0$ .

#### 4. INFINITE GRAPHS

In this section we consider the topological entropy of  $\phi_E$  for an infinite graph  $E$ .

PROPOSITION 4.1. *Let  $E$  be a locally finite infinite graph and let  $C^*(E) = C^*(s_e, p_v)$  be its associated  $C^*$ -algebra. Then the sum  $\sum_{e \in E^1} s_e x s_e^*$  exists for each  $x \in C^*(E)$  and the map  $\phi_E : C^*(E) \rightarrow C^*(E)$  given by*

$$\phi_E(x) = \sum_{e \in E^1} s_e x s_e^*, \quad x \in C^*(E)$$

is a completely positive contraction.

PROOF: For an  $x \in C^*(E)$  and  $\varepsilon > 0$ , choose a finite subgraph  $F$  of  $E$  and an element  $z = \sum_{\alpha, \beta \in F^*} \lambda_{\alpha\beta} s_\alpha s_\beta^* \ (\lambda_{\alpha\beta} \in \mathbb{C})$  such that  $\|x - z\| < \varepsilon$ . Put  $E^1 = \{e_1, e_2, \dots\}$ . Then by the local finiteness of  $E$  there is a number  $N$  such that

$$F^1 \cup \{e \in E^1 \mid r(e) \in F^0\} \subset E_N^1 := \{e_1, e_2, \dots, e_N\},$$

so that  $z p_{r(e_k)} = 0$  for  $k \geq N + 1$ . For any finite set  $E'$  of edges, let  $V_{E'} := \{r(e) \mid e \in E' \setminus E_N^1\}$  and  $P := \sum_{v \in V_{E'}} p_v$ . Then  $\|xP\| = \|(x - z)P\| < \varepsilon$ , and

$$\left\| \sum_{e \in E' \setminus E_N^1} s_e x s_e^* \right\| = \left\| \sum_{e \in E' \setminus E_N^1} s_e (xP)^* (xP) s_e^* \right\|^{1/2} \leq \|xP\| < \varepsilon.$$

Thus if  $E', E''$  are two finite sets of edges with  $E_N^1 \subset E' \cap E''$ , then

$$\left\| \sum_{e \in E'} s_e x s_e^* - \sum_{e \in E''} s_e x s_e^* \right\| \leq \left\| \sum_{e \in E' \setminus E_N^1} s_e x s_e^* \right\| + \left\| \sum_{e \in E'' \setminus E_N^1} s_e x s_e^* \right\| < 2\varepsilon,$$

which shows that the sum  $\sum_{e \in E^1} s_e x s_e^*$  exists and the map  $\phi_E$  is well defined. To see that  $\phi_E$  is a contractive completely positive map, consider a sequence of completely positive

maps  $\phi_n : C^*(E) \rightarrow C^*(E)$  given by  $\phi_n(x) = \sum_{i=1}^n s_{e_i} x s_{e_i}^*$ . If  $x \geq 0$  then  $\phi_E(x) \geq 0$  as the limit of positive elements  $\phi_n(x)$  in norm. The same argument also proves that  $\phi_E$  is completely positive. Since each  $\phi_n$  is contractive we have  $\|\phi_E\| \leq 1$ .  $\square$

The (one-sided) shift space  $X_E$  may not be compact for an infinite graph  $E$ , which makes the definition  $h_{\text{top}}(X_E)$  meaningless. This leads Gurevic [8] to consider a compactification of  $X_E$ : Identify the edge set  $E^1 = \{e_n\}_{n \in \mathbb{N}}$  with the metric space  $\{1, (1/2), (1/3), \dots\} \subset [0, 1]$  by  $e_n \mapsto (1/n)$ , and let  $\overline{E}^1 := E^1 \cup \{0\} = \{0, 1, (1/2), (1/3), \dots\}$  be the one-point compactification. Then  $X_E$  becomes the subspace of the product space  $(\overline{E}^1)^{\mathbb{N}}$  with the closure  $\overline{X}_E$ , where the metric

$$d((x_n), (y_n)) = \sum_{n=1}^{\infty} \frac{1}{2^n} |x_n - y_n|, \quad x_n, y_n \in \overline{E}^1$$

is compatible with the product topology. The shift map  $\overline{\sigma}_E := \sigma_{\overline{X}_E}$  on the compact metric space  $\overline{X}_E$  now has a well-defined topological entropy. Similarly we have the compact metric space  $\overline{\Sigma}_E \subset (\overline{E}^1)^{\mathbb{Z}}$  and the shift map  $\overline{\sigma}_E := \sigma_{\overline{\Sigma}_E}$ . We use the same notation for two shift maps.

**LEMMA 4.2.** *If  $E$  is a locally finite irreducible infinite graph then*

$$h_{\text{top}}(\overline{X}_E, \overline{\sigma}_E) = h_{\text{top}}(\overline{\Sigma}_E, \overline{\sigma}_E).$$

**PROOF:** Consider the open cover  $\mathcal{P}_n := \{[1], \dots, [1/n], [\overline{1/n}]\}$  of  $\overline{\Sigma}_E$ , where

$$\begin{aligned} [1/k] &= \{(x_i) \in \overline{\Sigma}_E \mid x_1 = 1/k\}, \quad k = 1, \dots, n, \\ [\overline{1/n}] &= \{(x_i) \in \overline{\Sigma}_E \mid x_1 < 1/n\}. \end{aligned}$$

Put  $\mathcal{V}_n := \overline{\sigma}_E^n \mathcal{P}_n \vee \overline{\sigma}_E^{n-1} \mathcal{P}_n \vee \dots \vee \overline{\sigma}_E \mathcal{P}_n \vee \mathcal{P}_n \vee \overline{\sigma}_E^{-1} \mathcal{P}_n \vee \dots \vee \overline{\sigma}_E^{-n} \mathcal{P}_n$ . Then

$$\begin{aligned} &h_{\text{top}}(\overline{\sigma}_E, \mathcal{V}_n) \\ &= \lim_{k \rightarrow \infty} \frac{1}{k} \log N \left( \bigvee_{l=0}^{k-1} \overline{\sigma}_E^{-l}(\mathcal{V}_n) \right) \\ &= \lim_{k \rightarrow \infty} \frac{1}{k} \log N(\overline{\sigma}_E^n \mathcal{P}_n \vee \dots \vee \overline{\sigma}_E^1 \mathcal{P}_n \vee \dots \vee \mathcal{P}_n \vee \dots \vee \overline{\sigma}_E^{-n-k+1} \mathcal{P}_n) \\ (4) \quad &\leq \lim_{k \rightarrow \infty} \left( \frac{1}{k} \log N(\overline{\sigma}_E^n \mathcal{P}_n \vee \dots \vee \overline{\sigma}_E^1 \mathcal{P}_n) + \frac{1}{k} \log N(\mathcal{P}_n \vee \dots \vee \overline{\sigma}_E^{-n-k+1} \mathcal{P}_n) \right) \\ &= \lim_{k \rightarrow \infty} \frac{1}{k} \log N(\mathcal{P}_n \vee \overline{\sigma}_E^{-1} \mathcal{P}_n \vee \dots \vee \overline{\sigma}_E^{-n-k+1} \mathcal{P}_n). \end{aligned}$$

Similarly for the finite open cover  $\mathcal{Q}_n := \{[1], \dots, [1/n], [\overline{1/n}]\}$  of  $\overline{X}_E$ , where

$$\begin{aligned} [1/k] &= \{(x_i) \in \overline{X}_E \mid x_1 = 1/k\}, \quad k = 1, \dots, n, \\ [\overline{1/n}] &= \{(x_i) \in \overline{X}_E \mid x_1 < 1/n\}, \end{aligned}$$

and for  $\mathcal{U}_n := \mathcal{Q}_n \vee \bar{\sigma}_E^{-1} \mathcal{Q}_n \vee \dots \vee \bar{\sigma}_E^{-n} \mathcal{Q}_n$ , one has

$$(5) \quad h_{\text{top}}(\bar{X}_E, \mathcal{U}_n) = \lim_{k \rightarrow \infty} \log N(\mathcal{Q}_n \vee \bar{\sigma}_E^{-1} \mathcal{Q}_n \vee \dots \vee \bar{\sigma}_E^{-n-k+1} \mathcal{Q}_n).$$

But  $N(\mathcal{P}_n \vee \bar{\sigma}_E^{-1} \mathcal{P}_n \vee \dots \vee \bar{\sigma}_E^{-n-k+1} \mathcal{P}_n) = N(\mathcal{Q}_n \vee \bar{\sigma}_E^{-1} \mathcal{Q}_n \vee \dots \vee \bar{\sigma}_E^{-n-k+1} \mathcal{Q}_n)$  follows easily, thus from (4) and (5), we have

$$h_{\text{top}}(\bar{\Sigma}_E, \mathcal{V}_n) = h_{\text{top}}(\bar{X}_E, \mathcal{U}_n).$$

On the other hand, the sequence  $\{\mathcal{U}_n\}$  ( $\{\mathcal{V}_n\}$ , respectively) is refining (see [1]), that is,  $\mathcal{U}_{n+1}$  is a refinement of  $\mathcal{U}_n$  and for every (finite) open cover  $\mathcal{B}$  there exists an  $n$  such that  $\mathcal{U}_n$  is a refinement of  $\mathcal{B}$ , which implies that

$$\begin{aligned} h_{\text{top}}(\bar{X}_E, \bar{\sigma}_E) &= \lim_{n \rightarrow \infty} h_{\text{top}}(\bar{X}_E, \mathcal{U}_n) \\ h_{\text{top}}(\bar{\Sigma}_E, \bar{\sigma}_E) &= \lim_{n \rightarrow \infty} h_{\text{top}}(\bar{\Sigma}_E, \mathcal{V}_n). \end{aligned}$$

□

REMARK 4.3. For an infinite graph  $E$ , Gurevic [8] introduced an entropy

$$\sup\{h(\Sigma_{E'}) \mid E' \subset E \text{ finite subgraph}\},$$

and proved that  $h_{\text{top}}(\bar{\Sigma}_E) = \sup_{E'} h(\Sigma_{E'})$  holds if  $E$  is irreducible. Moreover the supremum can be taken over all the irreducible finite subgraphs by [8, Lemma 2].

**THEOREM 4.4.** *Let  $E$  be a locally finite irreducible infinite graph. Then*

$$h_{\text{top}}(\bar{X}_E) = \sup_{E'} h(X_{E'}) \leq \text{ht}(\phi_E),$$

where the supremum is taken over all the finite subgraphs of  $E$ .

PROOF: Recall that  $h(\Sigma_{E'}) = h(X_{E'})$  for any finite subgraph  $E'$  of  $E$  (see Remark 2.1(b)). Then the first equality follows from Lemma 4.2 and Remark 4.3.

Note that for the locally compact shift space  $X_E \subset (\bar{E}^1)^{\mathbb{N}}$  the cylinder sets

$$[\alpha] = \{x = (x_1, x_2, \dots) \in X_E \mid x_i = \alpha_i, 1 \leq i \leq |\alpha|\}, \alpha \in E^*$$

are both compact and open and form a basis for the topology. Also one can easily show that the closure  $\bar{X}_E$  is nothing but the one point compactification of  $X_E$ . As in a finite graph case, let

$$\mathcal{D}_E := C^*\{p_\alpha \mid \alpha \in E^*\}$$

be the commutative  $C^*$ -subalgebra of  $C^*(E)$  generated by projections  $p_\alpha = s_\alpha s_\alpha^*$ . Then clearly  $\phi_E(\mathcal{D}_E) \subset \mathcal{D}_E$ , hence  $\text{ht}(\phi_E|_{\mathcal{D}_E}) \leq \text{ht}(\phi_E)$ . Thus it suffices to see that

$$\text{ht}(\phi_E|_{\mathcal{D}_E}) = h_{\text{top}}(\bar{X}_E).$$

We prove that the map  $w : \mathcal{D}_E \rightarrow C_0(X_E)$ ,  $w(p_\alpha) = \chi_{[\alpha]}$ , is a  $*$ -isomorphism such that

$$(6) \quad w(\phi_E|_{\mathcal{D}_E})w^{-1} = \sigma_E^*$$

which then implies that  $\text{ht}(\phi_E|_{\mathcal{D}_E}) = \text{ht}(\sigma_E^*)$ , and thus by Remark 2.4(b) we have

$$\text{ht}(\phi_E|_{\mathcal{D}_E}) = \text{ht}(\tilde{\phi}_E|_{\tilde{\mathcal{D}}_E}) = h_{\text{top}}(\bar{X}_E).$$

Since it is tedious to show that  $w$  is an injective  $*$ -homomorphism satisfying (6), here we only prove that  $w$  is surjective. It is enough to see that the linear span of the characteristic functions  $\chi_{[\alpha]}$  is dense in  $C_0(X_E)$ . Let  $f \in C_0(X_E)$  and  $\varepsilon > 0$ . Then there is a compact subset  $K \subset X_E$  such that  $\|f|_{X_E \setminus K}\| < \varepsilon$ . For each  $x = (x_n) \in K$ , consider the cylinder set

$$[x]_n := \{y = (y_n) \in X_E \mid x_k = y_k, 1 \leq k \leq n\}.$$

Since  $f$  is continuous at  $x$  there is a neighborhood  $U_x$  of  $x$  such that

$$|\dot{f}(x) - f(y)| < \varepsilon \text{ whenever } y \in U_x.$$

Moreover we can choose  $U_x = [x]_N$  for some  $N \in \mathbb{N}$ . Then there exists a finite subcover of  $\{U_x \mid x \in K\}$  consisting of disjoint open sets, say  $\{[x^1]_{N_1}, \dots, [x^m]_{N_m}\}$ . Put  $g := \sum_{j=1}^m f(x^j)\chi_{[x^j]_{N_j}}$ . Then  $g(y) = 0$  for  $y \notin \bigcup_{j=1}^m [x^j]_{N_j}$ . If  $y \in [x^j]_{N_j}$  for some  $j$  then

$$|f(y) - g(y)| \leq |f(y) - f(x^j)| + |f(x^j) - g(y)| < \varepsilon.$$

Therefore  $|g(y) - f(y)| < \varepsilon$  for each  $y \in X_E$ . □

REMARK 4.5. It would be nice to obtain an upper bound for the topological entropy  $\text{ht}(\phi_E)$  for  $E$  in Theorem 4.4. Let  $E$  be a locally finite irreducible infinite graph and let  $\mathcal{A}_E$  be the AF subalgebra of  $C^*(E) = C^*\{p_\nu, s_e\}$  generated by the partial isometries of the form  $s_\alpha s_\beta^*$  with  $|\alpha| = |\beta|$ . Then  $\mathcal{A}_E$  is  $\phi_E$ -invariant and contains the commutative subalgebra  $\mathcal{D}_E$ , so that  $\text{ht}(\phi_E|_{\mathcal{D}_E}) \leq \text{ht}(\phi_E|_{\mathcal{A}_E})$ . We shall give an upper bound for  $\text{ht}(\phi_E|_{\mathcal{A}_E})$  elsewhere.

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