*J. Fluid Mech.* (2024), v*ol*. 982, A3, doi:10.1017/jfm.2024.61



# A simple prediction of time-mean and wave orbital velocities in submerged canopy

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(Received 7 July 2023; revised 29 November 2023; accepted 8 January 2024)

Flow within submerged canopies influences the transport of nutrients, sediment, pollutants, plant seeds and the settlement of larvae. To improve our understanding of mass transport within canopies, a simple model is proposed to predict the total time-varying velocity within submerged rigid canopies (representing coral reefs) and flexible canopies (representing seagrasses and saltmarshes). The model divides the momentum equations into a canopy layer and free-stream layer. The difference in the time derivative of the velocity between the two layers is balanced by the sum of the shear stress and canopy drag, both of which depend on the in-canopy total velocity. The present model extended the shear stress model developed for steady current to combined current and wave conditions without additional calibrating coefficients. The model agreed well with the in-canopy velocity measured in the present and several previous studies. Importantly, the proposed model significantly improved the accuracy of canopy time-mean velocity prediction, which reduced the root mean square error by more than 50 %, compared with previous models. The model revealed that the addition of waves can significantly decrease the in-canopy time-mean velocity.

Key words: wave-structure interactions, coastal engineering, flow-structure interactions

## 1. Introduction

Submerged canopies are present in many aquatic ecosystems, including coral reefs, [seagrass](https://doi.org/10.1017/jfm.2024.61) meadows as well as salt marshes and dwarf mangroves (during high tide and storm surge). These ecosystems serve multiple ecological and environmental functions.

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<span id="page-1-0"></span>

Figure 1. Illustration of velocity modification due to canopy resistance. Here, *Uc* is the imposed current and  $U_w$  is the wave orbi[tal](#page-17-0) [velo](#page-17-0)city defined upstream of the canopy. S[ubscrip](#page-19-0)ts 1 and 2 de[note](#page-19-1) [vel](#page-19-1)ocity in the canopy layer and in the free-stream layer above the canopy, respectively, *h* and  $h_p$  [are th](#page-17-1)e water depth and canopy height, respectively, *X* is the velocity [adjustm](#page-19-2)ent length and  $\delta$  is the penetration length describing the length scale of turbulent momentum exchange between the two layers.

Fo[r](#page-1-0) [exampl](#page-1-0)e, these canopies provide shelter, nursery habitat and food sources for fish (Costanza *et al*. 1997; Waycott, Longstaff & Mellors 2005; Whitfield 2017). They improve water quality by filtering nutrients (De Los Santos *et al*. 2020) and capturing suspended sediments (Palmer *et al*. 2004). The canopy resistance reduces current and waves, which reduces bed erosion and enhances coastal stability (Gedan *et al*. 2011).

The resistance of the canopy can significantly alter the flow structure and hydrodynamic properties within and above a submerged canopy (Villanueva *et al*. 2017). As illustrated in figure 1, when flow enters a submerged canopy with canopy height  $h_p$ , two distinct flow regions are formed, the in-canopy and above-canopy layer. Note that, for a flexible canopy, the effective canopy height is smaller than the fully erect plant height when plants move in response to hydrodynamic forces. Within the canopy layer, the horizont[al](#page-17-2) [velo](#page-17-2)city gradually decelerates from the [leadin](#page-18-0)g edge due to canopy drag. This results in a deflection of flow into the above canopy layer, which causes an increase in the above-canopy velocity. Velocities within and above the canopy are referenced by subscripts 1 and 2, respectively. After an adjustment length *X*, the time-mean current reaches a new equilibrium, called the [fully](#page-18-1) developed flow structure. The [adjustm](#page-18-2)ent length *X* is in[versely](#page-19-3) proportional to the canopy [drag,](#page-18-3) *CDa*, with *CD* [the](#page-18-4) drag coefficie[nt and](#page-19-4) *a* the canopy density defined as the frontal area per canopy volume. Based on equation (10) in Chen, Jiang & Nepf (2013) and equation (5.1) in Lei & Nepf (2021),  $X/h_p \approx 1$  to 20 for canopy de[nsity \(](#page-18-5)defined as the [plant](#page-18-6) frontal area per bed area)  $ah_p = 0.1$  to 10, which is a typical range for submerged canopies, and the shallow submergence  $2 < h/h_p < 5$ , where *h* is the water depth.

The canopy velocity structure [determ](#page-18-6)ines the transport of sediment, pollutants, pollen, plant seeds and fish larvae through submerged ecosystems (Falter, Atkinson & Merrifield 2004; Lowe, Koseff & Monismith 2005*b*; Reidenbach *et al*. 2006; Malul, Holzman & Shavit 2020; Huai *et al*. 2021; Stride *et al*. 2023). Further, for some hydrodynamic conditions, the physical mass transfer was found to control the nutrient uptake rate by submerged ecosystems (Falter *et al*. 2004; Larned, Nikora & Biggs 2004; Morris *et al*. [2008\).](https://doi.org/10.1017/jfm.2024.61) [For](https://doi.org/10.1017/jfm.2024.61) example, the ammonium uptake in *Cymodocea nodosa* meadow was twice that observed for a *Zostera noltii* meadow, which was attributed to a doubling of the in-canopy velocity (Morris *et al*. 2008). Hydrodynamic models that can accurately predict the in-canopy and above canopy velocity provide a useful tool to understand and quantify

the mass transfer and nut[rient u](#page-18-7)ptake in submerged ecosystems (Lowe *et al*. 2005*b*; Stride *et al*. 2023).

[Pre](#page-17-3)vious studies have described the velocity field associated with submerged canopies for a uni-directional [curren](#page-18-8)t in both the fully developed (Luha[r & N](#page-18-9)epf 2013) and the adjustment regions (Chen *et al*. 2013; Lei & Nepf 2021). For a deeply submerged canopy, defined as a water depth to canopy height ratio  $h/h_p > 10$ , the flow within the canopy is driven by the turbulent stress at the top of the canopy ([Nepf](#page-19-5) 2012). For s[hallow](#page-18-10) submergence  $(1 < h/h_p < 5)$ , canopy flow is driven by both the turbulent stress and potential gr[adients](#page-19-6) (Nepf 2012). Many canopies exist within the submergence range  $1 <$  $h/h_p < 5$ . For example, seagrasses usually colonize water depths  $1 < h < 10$  m (Duarte 1991), with canopy height  $h_p = 0.1$  to 1 m (based on the blade length summarized in table 3 in Luhar *et al*. 2010 and table [1](#page-18-7) [in](#page-18-7) [H](#page-18-7)ansen & Reidenbach 2012), corresponding to  $1 < h/h_p < 100$ . For many intertidal salt marshes,  $h_p = 0.4$  to 0.9 m, with water depths in the range from  $h = 0.8$  to 2 m, varying from an emergent to submerged state over a tide cycle with 1 < *h*/*hp* < 5 when s[ubmer](#page-18-0)ged (e.g. Ysebaert *et al*. 2011; Garzon *et al*. 2019; Zhang *et al*. [2020\).](#page-17-2)

[The ca](#page-1-0)nopy resistance is often described by the dimensionless canopy density,  $ah_p$  (the plant frontal area per bed area). For [many](#page-17-2) coastal canop[ies, e](#page-18-0).g. salt marshes, seagrasses [and m](#page-17-2)angroves summarized in Nepf (2012),  $ah_p > 0.1$ , indicating that the canopy drag is large compared with the bed drag and generates a shear layer wi[th a](#page-2-0)n inflection point near the top of the canopy. The in-canopy time-mean velocity *Uc*<sup>1</sup> is decreased and the above-canopy time-mean velocity *Uc*<sup>2</sup> is increased compared with the depth-averaged *Uc* (Chen *et al.* 2013; Lei & Nepf 2021). Specifically, the ratio of  $U_{c1}$  to  $U_c$ , defined as time-mean velocity reduction  $\alpha_c$ , is significantly smaller than 1, i.e.  $\alpha_c = U_{c1}/U_c \ll 1$ (figure 1). Theoretical models have been developed to predict the in-canopy and above canopy current velocity (Chen *et al*. 2013; Lei & Nepf 2021). For example, Chen *et al*. (2013) predicted the current velocity within an array of rigid cylinders based on the continuity and momentum equations within and above the canopy (1.1), herein noted as the CJN model after the authors' names

$$
\alpha_c = \frac{U_{c1}}{U_c} = \frac{1}{1 - \frac{h_p}{h} \lambda_p + \sqrt{\frac{C_D a h_p}{2C(1 - \lambda_p)} \left(\frac{h - h_p}{h}\right)^3}},
$$
(1.1)

in which  $\lambda_p = V_p/h_p$  is the solid volume fraction within the canopy,  $V_p$  is the submerged plant [volum](#page-17-2)e per bed area and *C* chara[cterize](#page-17-2)s the turbulent momentum exchange between the canopy and over-flow layers. Previous studies have sugge[sted \(](#page-18-0)Konings, Katul & Thompson 2012)

<span id="page-2-1"></span><span id="page-2-0"></span>
$$
C = K_c \left(\frac{\delta}{h}\right)^{1/3},\tag{1.2}
$$

in which  $K_c = 0.07 \pm 0.02$  (SD, standard deviation) is an empirical constant (Chen *et al*. 2013), δ is the penetration length that quantifies the vertical extent of the shear layer within the canopy. Chen *et al.* (2013) assumed  $\delta = 0.23/(C_D a)$  for  $h/h_p \ge 2$  and  $\delta = 0.23(h/h_p - 1)/(C_p a)$  for  $h/h_p < 2$ . Recently, Lei & Nepf (2021) extended the CJN [model](https://doi.org/10.1017/jfm.2024.61) [to](https://doi.org/10.1017/jfm.2024.61) [flexib](https://doi.org/10.1017/jfm.2024.61)le canopies and to describe the evolution of velocity from the leading edge for both wide (two-dimensional) submerged canopies and submerged canopies of finite width (three-dimensional), referred to as the L&N model. The L&N model improved the parameterization of turbulent momentum exchange between the two layers by considering

the physical limits of the penetration length  $\delta$ , which is constrained by the canop[y heigh](#page-18-11)t  $(h_p)$  and the depth of water above the canopy  $(h - h_p)$ 

<span id="page-3-0"></span>
$$
\delta = \min\left(\frac{0.3 \pm 0.1}{C_{D}a}, h - h_p, h_p\right). \tag{1.3}
$$

Considering the relative magnitudes of the inertial and drag forces in a r[ange](#page-18-11) [of](#page-18-11) natural canopies, the reduction of the wave orbital velocity within the canopy is significantly less than the reduction of a uni-directional current (Lowe, Koseff & Monismith 2005*a*). As a result, waves are often more important than current for generating in-canopy fluid motion that enhances the nutrient uptake and mass transfer, e.g. within coral reefs (Hearn, Atkinson & Falter 2001; Falter *et al*. 2004, Falter, Atkinson & Coimbra 2005; Reidenbach *et al*. 2006) and seagrasses (Thomas & Cornelisen 2003). The reduction of the in-canopy wave orbital velocity has been described by a [two](#page-18-11)-layer model (Lowe *et al*. 2005*a*), called the LKM model

$$
\frac{\partial (U_{w1} - U_{w2})}{\partial t} = \frac{|U_{w2}|U_{w2}}{L_S} - \frac{|U_{w1}|U_{w1}}{L_D} - \frac{C_M \lambda_p}{1 - \lambda_p} \frac{\partial U_{w1}}{\partial t},\tag{1.4}
$$

in which  $L_S = 2h_p/C_f$  is the shear length scale,  $L_D = 2h_p(1 - \lambda_P)/(C_D a h_p)$  is the drag length scale,  $C_f$  is the friction coefficient for the canopy interface and  $C_M$  is the inertial force coefficient. Although Lowe *et al*. (2005*a*) considered waves with a background current in their experiments, (1.4) was derive[d for](#page-3-0) the wave component only. The reduction in the wave orbital velocity within the canopy is described by  $\alpha_w^* = U_{w1}/U_{w2}$ , i.e. [the ra](#page-18-11)tio of the wave orbital velocity for the two layers at the same *x* position. When inertial forces dominate, (1.4) reduces to

$$
\alpha_w^* = \frac{U_{w1}}{U_{w2}} = \frac{(1 - \lambda_p)}{1 + (C_M - 1)\lambda_p}.
$$
\n(1.5)

When the wave period is infinitely long,  $(1.4)$  approaches the unidirectional limit, for which a simplified solution for the time-mean velocity reduction is (Lowe *et al*. 2005*a*)

<span id="page-3-1"></span>
$$
\alpha_c^* = \frac{U_{c1}}{U_{c2}} = \sqrt{\frac{L_D}{L_S}}.\tag{1.6}
$$

Note that the LKM model defined the canopy velocity redu[ction](#page-17-2) relative to [the](#page-6-0) [v](#page-6-0)elocity above the canopy, and a superscript \* was added to distinguish this from the definition used in the CJN and L&N models, in which the canopy velocity reduction is defined relative to the imposed velocity unaffected by the canopy (*U*), i.e.  $\alpha_c = U_{c1}/U_c$ . With these definitions,  $\alpha_c^* < \alpha_c$ , because flow diverted from the canopy to the overflow layer results in  $U_{c2} > U_c > U_{c1}$ . To make comparisons between different models and with measurements from different studies, the reduction coefficients can be transformed considerin[g the m](#page-18-11)ass conservation through the canopy (equation 18 in Chen *et al*. 2013, see also (2.10) in the present study)

$$
\alpha_c^* = \frac{\alpha_c (h - h_p)}{h - \alpha_c h_p (1 - \lambda_p)}.\tag{1.7}
$$

For many natural canopies, the reduction in wave orbital velocity is in the range of  $\alpha_w^* = 0.68$  to 0.99. The lowest values of  $\alpha_w^*$  are associated with very dense canopies, e.g. rigid canopies with *D* = 50 mm and a density of 100 stems  $m^{-2}$  (Lowe *et al.* 2005*a*) or

*D* = 6.4 mm and a density of 3100 stems m<sup>-2</sup> (van Rooijen *et al.* 2020). In comparison, the current ratio falls in the range of  $\alpha_c^* = 0.03$  to 0.3, which is much smaller t[han th](#page-19-8)e wave ratio, indicating that the time-mean current experiences a much greater reduction within a canopy compared with the wave velocity (Luhar *et al*. 2010). Further, submerged canopies are often exposed to combined current and waves. In these combined conditions, current and waves may affect one another, so that the in-canopy time-mean current and wave orbital velocity should be predicted together. As introduced above, the LKM model predicts current and wave orbital velocity reduction as two limits of behaviour with respect to wave excursion, and it cannot describe the interaction between currents and waves. The first effort to predict current and wave velocity together was made in Zeller *et al*. (2015). Specifically, they developed a one-dimensional Reynolds-averaged Navier–Stokes model, herein called the ZWK mod[el](#page-19-9)

$$
\frac{\partial U_1}{\partial t} + \frac{[UW]_{h_p}}{h_p} = -\frac{1}{\rho} \frac{\partial P}{\partial x} + \frac{\tau_{xz}|_{h_p}}{h_p} - \frac{1}{2} \frac{C_D a |U_1| U_1}{1 - \lambda_p} - \frac{C_M \lambda_p}{1 - \lambda_p} \frac{\partial U_1}{\partial t},\tag{1.8}
$$

in which  $U_1$  and  $U_2$  are the total velocity in the canopy and free-stream layer, respectively, *W* is the ve[rtica](#page-4-0)l velocity and *P* is pressure. The shear stress at the top of the canopy is modelled as  $\tau_{xz}$   $|h_p = C_{Sm}^2 |U_2 - U_1|$   $(U_2 - U_1)$ , with  $C_{Sm}$  the Smagorinsky coefficient (Vreman, Geu[rts](#page-4-0) [&](#page-4-0) Kuerten 1997). Assuming *W* follows linear wave theory, the vertical advection at the interface of the two flow layers is modelled as

<span id="page-4-0"></span>
$$
\frac{[UW]_{h_p}}{h_p} = -\frac{(h - h_p)U_1 + h_p U_2}{h} \frac{1}{\rho \sqrt{gh}} \frac{\partial P}{\partial x}.
$$
\n(1.9)

Equation  $(1.8)$  predicts the total time-varying in-canopy velocity  $U_1$ , which is the sum of time-mean  $U_{c1}$  and wave orbital  $U_{w1}$ , such that both  $\alpha_c^*$  and  $\alpha_w^*$  can be obtained. In the current limit, (1.8) reduces to

<span id="page-4-1"></span>
$$
\alpha_c^* = \frac{\sqrt{\frac{L_D}{L_S'}}}{\sqrt{\frac{L_D}{L_S'}} + \sqrt{1 - \frac{h_p}{h}}},\tag{1.10}
$$

in which the shear length scale is defined by  $L'_{\mathcal{S}} \equiv h_p/C_{\mathcal{S}m}^2$ . The difference between (1.10) and (1.6) comes from the fact that (1.10) considers the pressure gradient for unidirectional flow, which is neglected in the ZWK model  $(1.6)$ .

Scale analysis shows that the ZWK model is only effective for a limited range of flow and canopy conditions. Specifically, the ZWK model (1.8) is applicable for  $A_w/L_D \ll 10$ , *L<sub>D</sub>*/*L*<sup>'</sup><sub>S</sub>  $\ll$  1, *U<sub>c</sub>*/*U<sub>w</sub>* ∼ *O*(1), 2 < *h*/*h<sub>p</sub>* < 5 and *Fr*  $\ll$  1 (Zeller *et al.* 2015). Here, *A<sub>w</sub>* is the wave orbital excursion and  $Fr = \sqrt{\frac{U_c^2 + U_w^2}{(gh)}}$  is the Froude number. However, natural canopy and flow conditions can have a much wider range of parameters. First,  $U_c/U_w$  can vary between the current limit and wave limit, so that  $U_c/U_w$  can range from 0 to infinity. Further, some natural canopies, such as salt marshes, vary from emergent (during low tide) to submerged (during high tide or storm surge), such that a shallow [submergence,](https://doi.org/10.1017/jfm.2024.61)  $1 < h/h_p < 2$ , is a common natural condition (Ysebaert *et al.* 2011; Zhang *et al*. 2020).

The present study developed a model for combined wave–current conditions to overcome the limitations of previous models. The new model is applicable to a much wider

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<span id="page-5-0"></span>

Model name	Model prediction	<b>Empirical coefficients</b>	Reference
CJN model	$\alpha_c = f(a, \lambda_p, h_p, h, U_c)$	$C_D, C$	Chen <i>et al.</i> (2013)
L&N model	$\alpha_c = f(a, \lambda_p, h_p, h, U_c)$	$C_D, C$	Lei & Nepf $(2021)$
LKM model	$\alpha_c^* = f(a, \lambda_p, h_p)$	$C_D, C_M, C_f$	Lowe et al. $(2005a)$
	$\alpha_w^* = f(a, \lambda_p, h_p, U_{w2})$		
ZWK model	$\alpha_c^*$ , $\alpha_w^* = f(a, \lambda_p, h_p, h, U_2)$	$C_D, C_M, C_{Sm}$	Zeller <i>et al.</i> $(2015)$
Table 1. Models predicting in-canopy velocity for fully developed canopy flow.			

range of c[urrent](#page-5-0) [a](#page-5-0)nd wave combinations, covers the limits of pure current and pure wave and can be applied to both rigid and flexible structures such as seagrasses and salt marshes. Experiments were conducted to measure detailed velocity profiles upstream of and within a submerged canopy formed of rigid cylinders under pure current, pure wave and combined current and wave conditions. The new model was validated using measurements in the present study as well as previous studies, including flexible seagrass and salt marsh plant models. The new model performed as well or better than previous models described in the literature (table 1).

#### 2. Theoretical modelling

## <span id="page-5-1"></span>2.1. *Force on individual plant*

The impact of a canopy on the velocity field is described through the hydrodynamic drag generated by individual plants. Therefore, it makes sense to begin with a description of that drag. For greatest generality, we use a model that accounts for plant flexibility and mo[rpho](#page-5-1)logy in both waves and current. Specifically, the drag force on a plant with multiple leaves (each is *ll* long, *b* wide and *d* thick) distributed on a central stem (with diameter *D* and length *ls*), *Fd*, has been described for both waves alone and in combination with current (Zhang & Nepf 2021*b*, 2022)

$$
F_d = \underbrace{F_{r,l}\{C_s N_l K_l (Ca_l L_l)^{-1/4}\}}_{force\ on\ leaves} + \underbrace{F_{r,s}\{K_s (Ca_s L_s)^{-1/4}\}}_{force\ on\ stem}.
$$
 (2.1)

In (2.1), the time-varying dr[ag for](#page-19-10)ces on an individual [rigid](#page-18-12) [l](#page-18-12)eaf  $(F_r)$  and a rigid stem  $(F_{r,s})$  are modified by the bracketed terms that account [for th](#page-6-1)e reduction in drag due to leaf and stem reconfiguration. Throughout the manuscript, the subs[cript](#page-6-2)s *l* and *s* represent parameters associated with the leaves and stem, respectively,  $N_l$  is the number of leaves on the plant,  $C_s$  is the sheltering coefficient that reflects the drag reduction due to sheltering and interaction between leaves and stem and  $K_l$  and  $K_s$  are coefficients that reflect the geometric difference between a leaf (flat) and a stem (cylindrical). Specifically, based on measurements on an individual leaf,  $K_l = 1$  (Lei & Nepf 2019*b*), and for a cylindrical stem  $K_s = 1.2$  (Zhang, Lin & Nepf 2021). The Cauchy number, *Ca*, is the ratio of hydrodynamic drag to the restoring force due to structural stiffness, see (2.4). Here, *L* is the length ratio between the structure length, *l*, and wave orbital excursion,  $A_w$ , see (2.5)

$$
F_{r,l} = \frac{1}{2} \rho C_{D,l} bl_l |U_1| U_1 + \rho C_{M,l} b dl_l \frac{\partial U_1}{\partial t},
$$
\n(2.2)

$$
F_{r,s} = \frac{1}{2} \rho C_{D,s} D l_s |U_1| U_1 + \rho C_{M,s} \frac{\pi D^2}{4} l_s \frac{\partial U_1}{\partial t},\tag{2.3}
$$

<span id="page-6-2"></span><span id="page-6-1"></span>
$$
Ca = \frac{\rho A U_{max}^2}{EI/l^2},\tag{2.4}
$$

<span id="page-6-3"></span>
$$
L = \frac{l}{A_w},\tag{2.5}
$$

$$
U_{\text{max}} = \max(|U_1|). \tag{2.6}
$$

[Here,](#page-18-13)  $U_{max}$  is the [maxim](#page-18-12)um in-canopy [velocity](#page-19-11). In (2.4), *A* is the frontal area, and  $A = Dl_s$ for a cylindric[al](#page-5-1) [ste](#page-5-1)m and  $A = bl_l$  for a flat leaf, E is the [Young](#page-18-14)'s modulus, I is the second momentum of area and  $I = \pi D^4/64$  for a cylindrical stem and  $I = bd^3/12$  for a flat leaf.

Finally, flexible plants bend in response to flow, called reconfiguration, which reduces drag. The influence of plant flexibility on plant drag is captured by the scaling term (*Ca L*)−1/<sup>4</sup> (2.1), which applies to waves with *L* > 1 and *Ca L* > 1. For *Ca L* < 1, the drag reduction is negligible and  $F_d = F_{r,l}\{C_sN_lK_l\} + F_{r,s}K_s$  (Luhar & Nepf 2016; Henderson 2019; Lei & Nepf 2019*b*; Zhang & Nepf 2021*a*). For pure current, the reconfiguration term  $(Ca L)^{-1/4}$  in (2.1) is replaced by  $Ca^{-1/3}$  (Luhar & Nepf 2011).

#### 2.2. *Fully developed in- and above-canopy velocity*

T[he](#page-1-0) [fully](#page-1-0) developed in- and above-canopy velocities are defined for  $x > X$ . Beyond this position, the time-mean velocity does not adjust further with increasing  $x$ , but the wave orbital velocity might decrease due to plant-induced wave energy dissipation. For simplicity, the evolution of the wave velocity with distance was not considered in the present model, which focused on the vertical adjustment of horizontal velocity in response to canopy drag. Consider a co-linear current  $U_c$  and wave  $U_w$  that enter the canopy at  $x = 0$ . The total imposed velocity is defined as the depth-averaged velocity over  $z = 0$  to  $h$  (fi[gure](#page-1-0) [1\)](#page-1-0)

$$
U = U_c + U_w \cos(\phi),\tag{2.7}
$$

in which  $\phi$  is the wave phase. After an adjustment length of *X*, the flow structure is fully developed, with a reduced in-canopy time-mean current *Uc*<sup>1</sup> and wave orbital velocity  $U_{w1}$ , compared with the velocity in the absence of a canopy (without energy dissipation), which equals the imposed velocity  $U_c$  and  $U_w$ . The above-canopy time-mean current  $U_{c2}$ is increased. The degree of velocity reduction in the canopy is represented by  $\alpha_c^*$  and  $\alpha_w^*$  (figure 1). Assuming there is no phase difference in the vertical direction, the total in-canopy (defined as the depth average over  $z = 0$  to  $h_p$ ) and above-canopy (defined as the depth average over  $z = h_p$  to *h*) velocities are, respectively,

<span id="page-6-0"></span>
$$
U_1 = U_{c1} + U_{w1} \cos(\phi), \tag{2.8}
$$

$$
U_2 = U_{c2} + U_{w2} \cos(\phi). \tag{2.9}
$$

Conservation of mass requires that, at each phase of the wave, the sum of flux within each layer equals the depth-averaged flux, expressed as

$$
U(\phi)h = U_1(\phi)h_p(1 - \lambda_p) + U_2(\phi)(h - h_p).
$$
 (2.10)

Assume the bottom friction can be neglected relative to the canopy drag (i.e.  $ah_p > 0.1$ ), and the free-surface stress is zero, then the vertically averaged momentum equation in the

canopy layer  $(2.11)$  and the overflow layer  $(2.12)$  are, respectively,

$$
\frac{\partial U_1(\phi)}{\partial t} + \frac{W_1(\phi)U_1(\phi)}{h_p} + U_1(\phi)\frac{\partial U_1(\phi)}{\partial x} = -\frac{1}{\rho}\frac{\partial P_1(\phi)}{\partial x} + \frac{\tau_{xz}|_{h_p}}{h_p} - \langle F_d(\phi) \rangle, \quad (2.11)
$$

<span id="page-7-1"></span><span id="page-7-0"></span>
$$
\frac{\partial U_2(\phi)}{\partial t} + \frac{W_2(\phi)U_2(\phi)}{h - h_p} + U_2(\phi)\frac{\partial U_2(\phi)}{\partial x} = -\frac{1}{\rho}\frac{\partial P_2(\phi)}{\partial x} - \frac{\tau_{xz}|_{h_p}}{h - h_p}.\tag{2.12}
$$

The b[r](#page-18-16)acket notation  $\langle \rangle$  defines [the](#page-18-16) average drag over the canopy. Integrating the continuity equation over the cano[py lay](#page-18-10)er,  $W_1(\phi) = -h_p(\partial U_1(\phi)/\partial x)$ . Substituting for *W*<sub>1</sub> [in](#page-19-6) (2.11), [the](#page-19-6) convective terms cancel. Similarly, in the overflow layer,  $W_2(\phi)$  =  $-(h-h_p)(\partial U_2(\phi)/\partial x)$ , and the convective terms cancel. The convective terms were also neglected in previous layer-averaged models (Lowe *et al*. 2005*a*; Weitzman *et al*. 2015; Jacobsen 2016). The pressure gradients are associated with the time-[mean](#page-18-16) free-surface slope, which is hydrostatic, and the surface wave dynamic pressure. For shallow-water waves ( $kh \ll 1$ ), the dynamic pressure is  $\rho g a_w \cos(\phi)$  (Lin 2008) and does not depend on the vertical position, such that  $\partial P_1(\phi)/\partial x = \partial P_2(\phi)/\partial x$ . Based on field measurements, e.g. data shown in Garzon *et al*. (2019), Zhang *et al*. (2020) and Zhang, Lin & Chen (2022*a*), offshore and coastal regions are often domin[ated](#page-7-0) by sh[allow](#page-7-1) to near shallow wave conditions with  $kh = 0.1$  to 1. Considering shallow submergence,  $h/h_p \ge 2$ , the estimated pressure gradient for the canopy and free-stream layers differed by 20 % at maximum based on linear wave theory with finite water depth (Lin 2008). Therefore, for simplicity,  $\partial P_1(\phi)/\partial x = \partial P_2(\phi)/\partial x$  was considered in the model derivation and the model is theoretically valid for  $kh \leq 1$ .

For *Ns* plants per bed area, the total canopy resistance per unit in-canopy fluid can be calculated from the force on an individual plant,  $F_d(\phi)$ . Specifically,  $\langle F_d(\phi) \rangle =$  $(1/\rho)(F_d(\phi)N_s/h_p(1-\lambda_p))$ . Using this and combining (2.11) and (2.12)

<span id="page-7-2"></span>
$$
\frac{\partial U_2}{\partial t} - \frac{\partial U_1}{\partial t} = \frac{1}{\rho} \frac{F_d N_s}{h_p (1 - \lambda_p)} - \frac{h}{h_p (h - h_p)} \tau_{xz} |_{h_p}.
$$
 (2.13)

To sim[plify](#page-6-0) the notation, the phase  $\phi$  will be dropped, but keep in mind that each [term](#page-2-1) varies with the w[ave ph](#page-7-2)ase. The plant drag  $F_d$  is a function of in-canopy velocity  $U_1$ . The shear stress,  $\tau_{xz}$ <sub>*h<sub>n</sub>*</sub>, is a function of the velocity difference  $U_2 - U_1$ . For combined current and wave conditions, we assume that the shear stress model developed for a unidirectional current is valid at each velocity phase, i.e.

<span id="page-7-3"></span>
$$
\tau_{xz} = C|U_2 - U_1|(U_2 - U_1),\tag{2.14}
$$

in which *C* characterize[s](#page-7-2) [the](#page-7-2) turbulent momentum exchan[ge](#page-7-3) [bet](#page-7-3)ween the two layers (1.2). Solving (2.10),  $U_2 = RU + R_1U_1$  with  $R = h/(h - h_p)$ ,  $R_1 = h_p(1 - \lambda_p)/(h - h_p)$  and plugging  $U_2$  into (2.13), we [obtai](#page-7-3)ned  $U_1$  as a [fun](#page-2-0)ction of  $U$ 

$$
R_1 \frac{\partial U}{\partial t} - (R_2 + 1) \frac{\partial U_1}{\partial t} = \frac{1}{\rho} \frac{F_d N_s}{h_p (1 - \lambda_p)} - \frac{R_1}{h_p} C|R_1 U - (R_2 + 1)U_1|(R_1 U - (R_2 + 1)U_1).
$$
 (2.15)

Since  $F_d$  [is](https://doi.org/10.1017/jfm.2024.61) [a](https://doi.org/10.1017/jfm.2024.61) [function](https://doi.org/10.1017/jfm.2024.61) [of](https://doi.org/10.1017/jfm.2024.61)  $U_1$  and plant properties (2.1), the only unknown variable,  $U_1$ , can be solved by (2.13) when  $U_2$  is known, or by (2.15) when *U* is known. The predicted total velocity is then separated into a time-mean *Uc*<sup>1</sup> and wave orbital velocity  $U_{w1}$ , which were used to quantify the velocity reduction parameters. For pure current, the [time](https://doi.org/10.1017/jfm.2024.61) [deriva](https://doi.org/10.1017/jfm.2024.61)tive is zero, and  $(2.15)$  reduces to  $(1.1)$ , described by the CJN and L&N models (Chen *et al*. 2013; Lei & Nepf 2021). With waves, (2.13) and (2.15) need to be solved numerically. The associated MATLAB code is described in the supplementary material available at https://doi.org/10.1017/jfm.2024.61.

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<span id="page-8-0"></span>

Flow condition	Cylinder (stem)	Flat plate (leaf)		
Pure current	$C_{D,s} = 1 + 10Re_c^{2/3}$ $Re_c = \frac{U_1 D}{v} \frac{1 - \lambda_p}{1 - \sqrt{2\lambda_p/\pi}}$	$C_{D,l} = 1.95 + \frac{50}{Re}$ $Re = \frac{U_1 b}{V}$		
	Etminan, Lowe & Ghisalberti (2017)	Ellington (1991)		
Waves, with or	$C_{D,s} = \begin{cases} 16KC^{-0.52} & KC \leq 10 \\ max(1.95, 10KC^{-1/3}) & KC > 10 \end{cases}$	$C_{D,l} = \begin{cases} 0.19\text{KC} + 0.2 & \text{KC} \le 11 \\ 7.6\text{KC}^{-0.5} & 11 < \text{KC} \le 25 \\ \text{max}(1, 2.9\text{KC}^{-0.2}) & \text{KC} > 25 \end{cases}$		
without current	Figure A1 in Zhang, Lin & Nepf $(2022b)$	Figure A1 in Zhang et al. (2022b)		
Table 2. Drag coefficient $C_D$ and inertia coefficient $C_M$ .				

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#### 2.3. *Model coefficients*

The inertia coefficient was set to  $C_M = 2$  for all conditions. The drag coefficient,  $C_D$ , was estimated from formulations described in the literature for pure current and pure [wave](#page-6-3) conditions, as summarized in table 2. For pure waves,  $C_D$  was determined by the Keulegan–Carpenter number (KC) (Keulegan & Carpenter [1958\),](#page-8-0) with  $KC = U_{max}T_w/D$ for c[ylinder](#page-19-13)s and  $KC = U_{max}T_w/b$  for a flat plate with *b* the plate width. The wave drag model was also applied for the combin[ed curr](#page-19-11)ent and wave conditions. Although Keulegan & Carpenter (1958) only co[nsidere](#page-19-14)d pure wave conditions in their experiments, previous studies have shown that the  $C<sub>D</sub>$  dependence on KC also fits combined current and wave conditions, if KC is defined using the maximum horizontal velo[city](#page-18-17)  $U_{max} = \max(|U_1|)$ ((2.6), for combined current and waves) instead of the wave orbital velocity ( $U_{max} = U_{\rm w}$ for pure waves). Specifically, the drag coefficient shown in table 2 correctly p[redicte](#page-18-17)d the drag force measured on individual plants under combined current and waves (Zhang & Nepf 2022), and also predicted the measured wave dissipation by vegetation under the influence of current (Zhang & Nepf 2021*a*). Drag coef[ficients](#page-18-11) were taken from [figur](#page-19-8)e A1 in Zhang, Lin & Nepf (2022*b*), but with an adjustment for submergence needed to account for the reduction in drag at the free end of a cylinder, i.e. at the top of a rigid canopy (e.g. see figure 7 and equation 18 in Ghisalberti & N[epf](#page-19-15) 2004). Specifically, for the array geometry considered, the canopy average drag coefficient was reduce[d by a](#page-18-18) factor of 0.64 compared with that of an infinite cylinder (Ghisalberti & Nepf 2004). The meas[ured in-](#page-18-11)canopy velocity was used to predict  $C<sub>D</sub>$ , such that, for each case, different models applied the same drag coefficient. When provided in the references, the reported drag coefficients were used for validation, as in Lowe *et al*. (2005*a*) and Zeller *et al*. (2015). A 20 % uncertainty in  $C_D$  [was ass](#page-19-9)umed for all model predictions.

In addition to  $C_D$  and  $C_M$ , the LKM model requires a friction coefficient  $C_f$  to describe the turbulent momentum exchange between layers. Poggi *et al*. (2004) fitted friction factors for submerged arrays in the range of  $C_f = 0.005$  to 0.13. Similarly, Luhar & Nepf (2013) showed that  $C_f = 0.04$  works well in predicting their canopy flow observations, and Lowe *et al.* (2005*a*) found  $C_f = 0.017$  to 0.032. Based on these studies, we assumed  $C_f = 0.03 \pm 0.03$ 0.02 in the LKM model to predict the velocity reduction and its uncertainty. Finally, the [ZWK](https://doi.org/10.1017/jfm.2024.61) [model](https://doi.org/10.1017/jfm.2024.61) [r](https://doi.org/10.1017/jfm.2024.61)equires a Smagorinsky coefficient  $C_{Sm}$ , which is suggested to fall between 0.1 and 0.2 (Vreman *et al.* 1997). Consequently,  $C_{Sm} = 0.15 \pm 0.05$  was applied in the ZWK model in this study. Finally, for flexible canopies,  $h_p$  was assumed to be the mean deflected canopy height (see table S1 in the supplementary material).

#### 3. Laboratory measurements of canopy flow velocity

To validate the proposed model, flume experiments were conducted in a 22 m long and 45 cm wide flume in the State Key Laboratory of Coastal and Offshore Engineering in Dalian University of Technology. For simplicity, the experiment used rigid cylinders with 6 mm diameter and 20 cm height distributed in a staggered array. The test canopy was 4 m long and filled the flume width. Three densities were considered, 284, 444 and 830 cylinders per bed area. The water depth was 40 cm, such that  $h/h_p = 2$ . Eight pure current, seven pure wave and sixteen combined current and wave conditions were used. See all tested combinations of canopy and flow conditions in table S1 in the supplementary material.

Th[e](#page-19-13) leading edge [of](#page-19-13) [th](#page-19-13)e canopy was designated as  $x = 0$ , with x positive in the streamwise direction. Velocity profiles with 4 mm vertical resolution were measured using a Nortek Vectrino profiler at *x* = −0.2, 0, 0.1, 0.3, 0.5, 0.7, 1, 1.5, 2, 3 m in the flume centre. The measurements in the canopy were taken at the middle of two adjacent cylinders both in the streamwise and channel width direction. At each position, the velocity was sampled at 100 Hz for 1 min. For each measurement, the horizontal velocity *u* for conditions with waves was separated into phase bins and despiked using the same method as in Zhang & Nepf (2022). The phase-averaged velocity was defined as the mean velocity in each phase bin,  $\check{u}(\phi, z)$ . The depth-averaged velocity *U* was defined by the average of  $\dot{u}(\phi, z)$  at  $x = -1$  m over  $z = 0$  to 30 cm. Based on profiles measured along the canopy, we determined that the flow was fully developed at  $x = 3$  m. Using the profile at this position, *U*<sub>1</sub> was defined as the depth average of  $\check{u}(\phi, z)$  over the canopy height ( $z = 0$  to 20 cm), and *U*<sub>2</sub> was defined as the depth average of  $\check{u}(\phi, z)$  above the canopy ( $z = 20$  to 30 cm). Note that  $U$  (also  $U_1$  and  $U_2$ ) vary with wave phase, and the mean over all phase defines the time-mean velocity  $U_c$  and  $U - U_c$  is the unsteady wave component. The wave orbital velocity is defined by the root mean square value,  $U_w = \sqrt{(2/T_w) \int_0^{2\pi} (U - U_c)^2 d\phi}$ . From the baseline measurements without a canopy and measured at  $x = -0.2$  and 1 (each case includes 160 measurements from  $z = 0$  to 30 cm), the time-mean velocity at different z has an average uncertainty of 5 % (represented by the standard deviation). The associated uncertainties in the current and wave reduction were within 0.1 for all conditions (see table

S1 in the supplementary material for details).

Data from several previous studies were used to extend the model validation to flexible canopies and canopy flow with a wider range of parameters. The detailed canopy and flow conditions of all sources of data are summarized in table S1 in the supplementary material. The canopy and flow conditions covered a wide range,  $ah_p = 0.13$  to 1.36,  $h/h_p = 1.3$  to 4.3,  $L_p/L_s = 0.04$  to 0.34,  $A_w/L_p = 0.04$  to 0.37,  $U_c/U_w = 0.4$  to 7 (for combined current and wav[e conditio](#page-10-0)ns) and  $Fr = 0.02$  to 0.21.

## 4. Result

#### 4.1. *Time-mean in-canopy velocity under pure current*

Measured time-mean velocities under pure current conditions were compared with several models in the literature and with the new model. We consider the time-mean current reduction  $\alpha_c$  (=  $U_c$ <sub>1</sub>/ $U_c$ ) (figure 2*a*). Remember that the model proposed in the present [study,](https://doi.org/10.1017/jfm.2024.61) [\(2.15](https://doi.org/10.1017/jfm.2024.61)), reduces to the L&N model for pure current conditions. The LKM model (1.6) and ZWK model (1.10) predicted  $\alpha_c^*$  (=  $U_c$ 1/ $U_c$ 2) which was converted to  $\alpha_c$  using (1.7). The L&N (green diamonds) and CJN (black triangles) models had the best agreement with measurements for both the rigid (open symbols) and flexible canopies (filled symbols),

<span id="page-10-0"></span>

Figure 2. Comparison of model predictions with laboratory measurements with (*a*) predicted  $\alpha_c$  plotted against measured α*c*, (*b*) the error in prediction plotted against the canopy solid volume fraction. The open symbols and the filled symbols represent measurements within the present and previous rigid (Lowe *et al*. 2005*a*; Chen *et al*. 2013) and flexible canopies (Lei & Nepf 2021; Zhang & Nepf 2021*a*), respectively. The measurements have an uncertainty from 0.01 to 0.06 (see table S1 in the supplementary material). In (*a*), the vertical line with black, green, blue and red colours indicate the mean uncertainties in the predictions made by the CJN, L&N, LKM and ZWK [models ba](#page-18-11)sed on the uncertainty in the model empiri[cal coef](#page-17-2)ficients, respectively. The reference line for predicted  $\alpha_c$  equalling measured  $\alpha_c$  is shown by the black line with the dashed lines indicating an uncertainty of 0.1.

with the root mean square error  $(RMSE) = 0.05$ . The ZWK (red circles) and LKM (blue squares) models te[nde](#page-4-0)d to pr[edict](#page-4-0) greater reductions to the in-canopy velocity, i.e. lower values of  $\alpha_c$ , except for the most dense canopies, producing the smallest  $\alpha_c$ . [Spec](#page-4-1)ifically, for seven cases from Lowe *et al*. (2005*a*) and three cases from Chen *et al*. (2013), each associated with  $\lambda_p = 0.09$  to 0[.2](#page-4-0) [\(](#page-4-0)all other cases ranged within  $\lambda_p < 0.05$ ), the LKM model had a slightly better agreement with the measurements (figure 2*b*). Over all the [cases,](#page-11-0) [RM](#page-11-0)SE for ZWK and LKM model were 0.09 and 0.14, respectively, which were significantly larger than the L&N and CJN model. The value of  $\alpha_c$  can also be predicted through the full ZWK model (1.8) with a long wave period and a constant  $U_2 = U_{c2}$ . The  $\alpha_c$  predictions by (1.8) were very close to the LKM model (not [show](#page-7-2)n because it would collapse with the LKM model), but do not collapse to [their s](#page-18-11)imple predicti[on \(1.1](#page-19-8)0), which raises doubt in the LKM model (1.8) in capturing the limit of pure current conditio[ns. Thi](#page-19-11)s will be further supported by the comparison with combi[ned cu](#page-18-11)rrent and wave con[ditions](#page-19-8) (figure 3 and the next section).

#### 4.2. *Time-mean in-canopy velocity under combined current and waves*

Under combined wave and current conditions, the present model (2.13) is validated against  $\alpha_c^* = U_{c1}/U_{c2}$  measured in previous (Lowe *et al.* 2005*a*; Zeller *et al.* 2015) and the present rigid canopies and for canopies of flexible marsh plants (Zhang & Nepf 2021*a*). Here,  $\alpha_c^*$ , is used instead of  $\alpha_c$ , because Lowe *et al.* (2005*a*) and Zeller *et al.* (2015) only reported  $\alpha_c^*$  and the LKM and ZWK models predict  $\alpha_c^*$ . Although our model was [derived](https://doi.org/10.1017/jfm.2024.61) [with](https://doi.org/10.1017/jfm.2024.61) [a](https://doi.org/10.1017/jfm.2024.61) shallow-water wave assumption (*kh* < 1, water depth/wavelength < 1/(2π) in practice), waves in coastal regions and flumes are usually associated with shallow to intermediate-water depths. Respecting this, the model was cautiously validated over a range of shallow to intermediate wave conditions, with  $kh = 0.26$  to 1.83.



<span id="page-11-0"></span>

Figure 3. Compar[ison](#page-11-0) [of](#page-11-0) [mod](#page-11-0)el predictions and laboratory measurements with  $(a)$  predicted  $\alpha_c^*$  plotted against measured  $\alpha_c^*$  in rigid (open symbols) and flexible canopies (filled symbols), (*b*) difference between predicted and measured  $\alpha_c^*$  versus canopy solid volume fraction  $\lambda_p$ . Vertical lines with green, blue and red colours indicate the mean uncertainty in the prediction made, respectively, by the present model (2.13), LKM and ZWK models. The solid black line shows perfect agreement, and the dashed lines indicate an error of 0.1. The uncertainty in each measurement is listed in table S1 in the supplementary material.

As shown in figure 3(*a*), the present model (2.13) captured the variation of in-canopy time-mean velocity under different canopy and flow conditions, with  $RMSE = 0.06$ . The LKM model predicted similar reductions for a given canopy structure, even though the measured  $\alpha_c^*$  varied significantly with changing flow condition. The ZWK model did well for dense canopies with a large reduction in velocity and small  $\alpha_c^*$ , but the discrepancy increased with increasing  $\alpha_c^*$ , i.e. when canopy density decreased. The larger discrepancy in the ZWK model with lower canopy density  $(\lambda_p)$  is also shown in figure 3(*b*). The [accuracie](#page-12-0)s of the present model and the LKM model have no clear dependence on canopy density. Considering all cases,  $RMSE = 0.09$  and 0.15 for the LKM a[nd ZWK](#page-11-0) models, respectively, which were 1.5 and 2.5 times larger than the new model. It is wort[h noting](#page-19-11) that the new model worked well for b[oth th](#page-7-2)e rigid canopies (open symbols) and flexible canopies (filled symbols), and for a wide range of canopy density ( $\lambda_p = 0.0009$  to 0.2).

## 4.3. *Reduction of wave orbital velocity within the canopy*

Figure 4(*a*) shows the c[om](#page-11-0)parison of measured and predicted reduction in wave orbital velocity fo[r](#page-10-0) [52](#page-10-0) [comb](#page-10-0)ined current and wave cases (the same cases in figure 3) and 16 pure wave conditions (13 cases from the present s[tudy and 3](#page-10-0) fro[m](#page-11-0) Zhang & Nepf 2021*a*). For all conditions, the present model (2.13) predicted a similar wave orbital velocity to [the L](#page-19-9)KM model, but the ZWK model was slightly deviated;  $RMSE = 0.13$  for all the three models. Note that the LKM model predicted current and wave orbital velocities separately, without considering their mutual influence. As a result, for the same canopy, the LKM model predicted the same reduction in time-mean current under different flow conditions (figures 2 and 3). In contrast, the present model and the ZWK model predicted [the](https://doi.org/10.1017/jfm.2024.61) [time-va](https://doi.org/10.1017/jfm.2024.61)rying total velocity. The ZWK model generally overestimated the measured reduction in time-mean velocity (smaller  $\alpha_c$ , see figures 2 and 3), even considering the suggested range of Smagorinsky coefficient  $(C_{Sm} = 0.1$  to 0.2 based on Vreman *et al.* 1997). The present model predicted a similar wave orbital velocity to previous models.

<span id="page-12-0"></span>

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Figure 4. (*a*) Comparison of predicted and measured  $\alpha_w^*$ . The LKM model and the present model predicted similar wave orbital velocity reductions, so that the symbols overlaps. The uncertainty in measured  $\alpha_w^*$  ranged from 0.01 to 0.14. The uncertainty in predicted  $\alpha_w^*$  is 0.001 (0.03) on average (maximum). (*b*,*c*) Show the error in the predicted  $\alpha_w^*$  by the present model against the dimensionless wavenumber *kh* and canopy solid volume fraction  $\lambda_p$ [, respective](#page-12-0)ly. In (*c*), the symbols filled with red plus signs indicate cases with  $kh > 1$  ( $kh = 1.05$ to 1.83). (*d*) Compares the minimum  $\alpha_w^*$  estimated by the present model and the linear wave theory with the measured  $\alpha_w^*$ . The reference line for predicted  $\alpha_w^*$  equalling measured  $\alpha_w^*$  is shown by the black line with the dashed lines indicating an uncertainty of 0.1.

Considering [the sma](#page-12-0)ll difference between the models, the model performance will be di[scussed u](#page-12-0)sing the present model prediction.

From figure 4(*a*), the model performance can be separated into two regimes, the black oval indicates cases for which predicted  $\alpha_w^*$  agreed with the measurements within uncertainty, and the red oval indicates cases for which the predicted  $\alpha_w^* \approx 1$ , while the measured  $\alpha_w^*$  ranged from 0.66 to 0.9. The two distinct regimes of model performance can be explained by the fact that, in the absence of a canopy, the model assumed a depth-uniform velocity profile. This assumption works better for shallow-water waves  $(kh < 1$ , [see](https://doi.org/10.1017/jfm.2024.61) figure 4*b*). For intermediate waves,  $kh = 1.05$  to 1.83 (noted by red plus signs in figure 4*c*), the wave orbital velocity is greater near the surface and smaller near the bed, even in the absence of a canopy, which caused the measured  $\alpha_w^*$  to deviate from the model assumptions, contributing to poor agreement between the measured and predicted values.



<span id="page-13-0"></span>

Figure 5. Reduction in time-mean current within the canopy,  $\alpha_c$  over a range of wave velocities for canopy and current conditions labelled as G2–C3 ( $N_s = 830$  stems m<sup>-2</sup>), G3–C3 ( $N_s = 444$  stems m<sup>-2</sup>), G4–C3 ( $N_s =$ 284 stems m<sup>-2</sup>) with *D* = 6 mm,  $h_p$  = 0.2 m,  $h$  = 0.4 m,  $U_c$  = 16.3 cm s<sup>-1</sup> and Z ( $N_s$  = 595 stems m<sup>-2</sup>,  $D = 6.3$  mm,  $h_p = 0.155$  m,  $h = 0.4$  m,  $U_c = 17.4$  cm s<sup>-1</sup>). [See table S](#page-12-0)1 in the supplementary material for detailed conditions. The symbols are measured values, and solid curves are predictions made by the present model (2.15). Error bars indicate an [uncertainty](#page-12-0) of 0.06 in the measured  $\alpha_c$ . The prediction has an average uncertainty of 0.03.

The impact of this disc[repancy w](#page-12-0)as greatest when the canopy had a s[mall](#page-7-2) [im](#page-7-2)pact [on wave](#page-12-0) velocity, i.e. for sparse canopies. Whereas for dense canopies (e.g.  $\lambda_p \geq 0.05$ ), associated with a larger reduction in wave orbital reduction (figure 4*c*), the lack of a non-uniform reference state was less important, and agreement between model and prediction improved. Considering all conditions in figure 4, the ratio of wave orbital velocity averaged over the canopy layer ( $z = 0$  to  $h_p$ ) to that averaged over the free-stream layer ( $z = h_p$  to  $h$ ) ranged from 0.56 to 0.[99](#page-7-2) [ba](#page-7-2)sed on linear wave theory. Consistent with this, the minimum estimated  $\alpha_w^*$  using the linear wave theory and the model prediction (2.13) agreed better with the measured  $\alpha_w^*$  (figure 4*d*), with the RMSE reduced to 0.1. In conclusion, figure 4 suggests that the present model does well predicting the wave orbital velocity for shallow or near shallow waves  $kh < 1$ . However, for  $kh > 1$ , the non-uniform wave velocity in the absence of a canopy should be consid[ered](#page-16-0) [a](#page-16-0)nd corrected. Further research might be needed to improve the prediction of  $\alpha_w^*$  for  $kh > 1$ . Note that the time-mean velocity predicted by the present model (2.13) agreed with measurements for all validated flow c[onditions](#page-13-0)  $(kh = 0.26 \text{ to } 1.83).$  $(kh = 0.26 \text{ to } 1.83).$  $(kh = 0.26 \text{ to } 1.83).$ 

#### 4.4. *Effect of waves on the in-canopy time-mean velocity*

Measurements and the new model (2.15) were used to explore how waves affect the reduction of in-canopy current, i.e.  $\alpha_c$ . For the same current and canopy conditions, the measured  $\alpha_c$  decreased as the wave velocity (specifically  $U_w/U_c$ ) increased (figure 5, symbols). That is, adding waves increased the drag experienced by the current. Equation (2.15), shown with solid curves in figure 5, captured this trend, and also suggested a limit of  $\alpha_c$ [.](https://doi.org/10.1017/jfm.2024.61) [Spe](https://doi.org/10.1017/jfm.2024.61)cifically, the model predicted a minimum  $\alpha_c$  is reached at  $U_w/U_c = 2$  to 4, and further increases in wave velocity did not change  $\alpha_c$  further. Importantly, figure 5 illustrates that the present model worked equally well in the pure current limit ( $U_w = 0$ ) and for combined current and waves. It is worth noting that, although the model was

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<span id="page-14-0"></span>

Figure 6. Variation of  $\alpha_c$  with canopy density  $a = N_s D_s = 0.1$  to 10 m<sup>-1</sup> under pure current with increasing  $U_c$ . Curves in black, blue and red colours associated with  $D = 2$ , 5 and 10 mm, respectively. Predictions were made by the L&N model.

derived for a current with shallow-water waves  $(kh < 1)$ , the predicted time-mean velocity agreed with measurements over a wider range of wavenumber  $(kh = 0.26$  to 1.83) and the model performance was not affected by wavenumber. The decrease in  $\alpha_c$  associated with increasing  $U_w$  can be explained through the plant drag. Specifically, for combined current and waves, the mean drag on a single rigid plant scales as  $F_d \sim U_c^2 + 0.5U_w^2$ , which becomes increasingly greater than  $F_d \sim U_c^2$  as the wave velocity increases (Lei & Nepf 2019*a*; Tan & Yuan 2022). Greater mean canopy resis[tance](#page-18-19) resulted in greater in-canopy time-mean velocity reduction (smaller  $\alpha_c$ ).

#### 5. Discussion

# 5.1. *Influence of canopy and flow condition on the current reduction*

First, the L&N model was used to [explore t](#page-8-0)he variation of time-mean reduction over sparse to dense canopies composed of rigid cylinders ( $a = N<sub>s</sub>D = 0.1$  to 10 m<sup>-1</sup>), which covered the range of coastal vegetation canopies (Mullarney & Henderson 2018) under pure current  $(U_c = 0.05$  to 0.8 m s<sup>-1</sup>). For this test, the plant height  $h_p = 1$  m and water depth  $h = 2$ m. The prediction suggested that, for a given canopy and submerge[nce,](#page-14-0) [the](#page-14-0) time-mean reduction is the same within uncertainty ( $\pm 0.03$ ) for  $U_c = 0.05$  to 0.8 m s<sup>-1</sup> (figure 6). The small uncertainty was caused by the dependence of  $\alpha_c$  on canopy velocity through the drag coefficient  $C_D$ . Considering all tested c[onditions](#page-15-0), the drag coefficient equation for rigid c[ylinders](#page-8-0) in a canopy (table 2) estimated  $C_D$  ranged from 1.7 to 1.1, while  $U_c$  increased from 0.05 to 0.8 m s<sup>-1</sup>, which resulted in the same  $\alpha_c$  within uncertainty ( $\pm$ 0.03). Considering the small uncertainty in  $\alpha_c$  with changing current magnitude, a constant  $C_D = 1.2$  (which is the average value for the tests shown in figure 6) and fixed setting current  $U_c = 0.2 \text{ m s}^{-1}$  will be applied in the following discussion, so that the [dependence](https://doi.org/10.1017/jfm.2024.61) [of](https://doi.org/10.1017/jfm.2024.61)  $\alpha_c$  on wave orbital velocity and canopy density can be highlighted. Note that, considering a 20% uncertainty,  $C_D = 1.2$  also represents the drag coefficient for the tested current and wave conditions shown in figure 7, based on the drag coefficient equation in table 2.



<span id="page-15-0"></span>

Figure 7. Time-mean velocity reduction versus the canopy density,  $a = N<sub>s</sub>D$  with  $N<sub>s</sub> = 50$  to 1000 cylinders per unit bed area and  $D = 2$  to 10 mm, for (*a*)  $U_w = 0$  m s<sup>-1</sup>, (*b*)  $U_w = 0.1$  m s<sup>-1</sup>, (*c*)  $U_w = 0.2$  m s<sup>-1</sup> and (*d*)  $U_w = 0.4 \text{ m s}^{-1}$ . Setting current velocity, wave period and water depth as constants,  $U_c = 0.2 \text{ m s}^{-1}$ ,  $T_w = 4$  s,  $h = 2$  m (corresponding to  $kh = 0.77$ ). Each panel contains plant submergence from  $h/h_p = 1.1$  to [10, indicate](#page-15-0)d by the numbers. Predictions were made by the proposed model (2.15).

Under pure current, the time-mean velocity was reduced by a factor  $\alpha_c$ , which decreased with increasing can[opy](#page-19-14) [den](#page-19-14)sity *a*, reaching  $\alpha_c = 0.1$  for canopy density  $a = 10 \text{ m}^{-1}$ (figure 7*a*). When waves were added, a reduction ratio of  $\alpha_c = 0.1$  was reached at a lower canopy density. Specifically,  $\alpha_c = 0.1$  was reached at  $a = 3.0 \pm 0.8$  m<sup>-1</sup>,  $a =$  $2.0 \pm 0.3$  m<sup>-1</sup> and  $a = 1.0 \pm 0.2$  m<sup>-1</sup>, as the waves increased over  $U_w/U_c = 0.5$ , 1 and 2, respectively (indicate[d by the g](#page-15-0)rey area in figure 7). That is, the canopy density required to obtain  $\alpha_c = 0.1$  was reduced by an order of magnitude when [the w](#page-15-0)ave orbital velocity was double the magnitude of the current. The value  $a = 1 \text{ m}^{-1}$  is present in many natural canopies (e.g. those summarized in Nepf 2012; Zhang *et al*. 2022*b*), suggesting that, when waves are present, canopy time-mean velocity can be significantly reduced compared [with](https://doi.org/10.1017/jfm.2024.61) [pure](https://doi.org/10.1017/jfm.2024.61) [c](https://doi.org/10.1017/jfm.2024.61)urrent conditions. Next, consider the influence of the submergence ration. For  $h/h_p = 2$  to 10, the degree of submergence had very little influence on canopy velocity, the solid black curves in figure 7. However, for smaller submergence, i.e. for  $1 < h/h_p < 2$ ,  $\alpha_c$  changed rapidly with decreasing  $h/h_p$  (dash curves in figure 7).

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## 5.2. *Model performance and uncertainty*

We proposed a two-layer model to predict the in-canopy time-mean and wave orbital velocities. The momentum exchange between the layers wa[s](#page-7-2) [ass](#page-7-2)umed [to](#page-7-3) [fo](#page-7-3)llow a shear stress model developed for pure current (proportional to  $(U_2 - U_1)^2$ ). Specifically, for cond[itions](#page-18-11) [w](#page-18-11)ith waves, t[he](#page-17-2) [turb](#page-17-2)ulent stress [at](#page-19-8) [each](#page-19-8) phase is assumed to be dominated [by](#page-18-0) [the](#page-18-0) instantaneous s[hear,](#page-19-11) [su](#page-19-11)ch that the shear stress model  $\tau_{xz} = C|U_2 - U_1|(U_2 - U_1)$  can be applied at each velocity phase. Consistent with this, the scale coefficient  $(C = K_c(\delta/h)^{1/3})$ validated for pure current works well for general flow conditions. The canopy drag is estimated by a simple plant force model that can be applied for both flexible (e.g. salt marshes and seagrasses) and rigid structures. The model  $((2.13)$  and  $(2.15))$  prediction agreed with measured time-mean and wave orbital velocity reductions in both rigid (Lowe *et al*. 2005*a*; Chen *et al*. 2013; Zeller *et al*. 2015) and flexible canopies (Lei & Nepf 2021; Zhang & Nepf  $2021a$ ) within uncertainty. Considering a  $20\%$  uncertainty in the drag coefficient and the uncertainties in the momentum exchange coefficient *C*, the proposed model has a maximum model uncertainty of  $\pm 0.1$  for current reduction and  $\pm 0.01$  for the wave orbital velocity reduction, respectively. The wave orbital velocity reduction coefficient is not sensitive to the empirical coefficients. By considering mass conservation, we have extended the canopy flow to the small submergence regime  $(1 < h/h_p < 2)$  and validated for a flexible salt marsh canopy with  $h/h_p = 1.3$ . This is important because many coastal canopies are within this submergence range; salt marshes are typical examples, as they may vary from emergent to submerged over each tide cycle.

The present model has been shown to work well for both the pure current and pure wave limits, as well as combined current and wave conditions. The model significantly improved the accuracy in predicting canopy time-mean flow with the influence of waves compared with exist models. The current model effectively captures the wave orbital velocity reduction for shallow-water waves with  $kh < 1$ . For deeper-water waves  $(kh > 1)$ , we recommend the wave velocity profile without a canopy should also be considered.

# <span id="page-16-0"></span>5.3. *Model extension to N layer canopies*

Although the present model has been evaluated over a wide range of canopy and flow condit[ions,](#page-19-8) we cautiously [recal](#page-7-2)l that natural canopies are more complex, with varying structures and geometries and even multiple layers. For example, many mangroves (e.g. *Rhizophora* mangroves) have three typical layers, including the near bed dense root layer, the middle tree trunk layer and the upper crown layer. Further, the solid volume fraction of aquatic vegetation can vary vertically, e.g. figure 2 in Ysebaert *et al*. (2011) and figure 1(*e*) in Zhang *et al.* (2022*a*), such that a two-layer model might not reflect the vertical variation of velocity. The present model can be easily extended to an *N*-box model, as done in Zeller *et al*. (2015). Specifically, (2.13) can be extended to the following form:

$$
\frac{\partial U_i}{\partial t} - \frac{\partial U_{i-1}}{\partial t} = \frac{1}{\rho} \frac{N_s}{h_p (1 - \lambda_p)} F_{d, i-1} - \frac{\Delta_{z, i} + \Delta_{z, i-1}}{\Delta_{z, i} \Delta_{z, i-1}} \tau_{xz, i-1/2},
$$
(5.1)

[in](https://doi.org/10.1017/jfm.2024.61) [which](https://doi.org/10.1017/jfm.2024.61)  $i = 1$  to *N* represents the flow layers from the bottom to the free surface and  $\Delta_{z,i}$ is the thickness of the *i*th layer. The corresponding momentum exchange coefficient *C* becomes a function of the drag generated by the relevant layer and the penetration length is confined by the layer thickness.

#### 6. Conclusion

The present study proposed a simple and robust prediction for fully developed canopy flow. The model was shown to accurately capture the reduction of in-canopy time-mean and wave orbital velocities under pure current, pure waves and combined current and wave conditions (figures 2 to 5). Specifically, the maximum uncertain[ty was](#page-19-16)  $\pm$  0.1 and  $\pm 0.01$  for  $\alpha_c$  and  $\alpha_w$ , res[pectiv](#page-7-3)ely. Under pure current, the present model red[uces](#page-19-13) [to](#page-19-13) the prediction prop[osed](#page-7-2) by Lei & Nepf (2021). In comparison with the LKM model and the ZWK model, the present model had better agreement with laboratory measurements without any additional calibration. The model [was v](#page-18-0)alidated for a wide range of conditions relevant to natural canopies:  $ah_p = 0.13$  to 1.36;  $h/h_p = 1.3$  to 4.3;  $L_D/L_S = 0.04$  to 0.34;  $A_w/L_D = 0.04$  to 0.37;  $U_c/U_w = 0.4$  to 7 (for combin[e](#page-18-20)d current and wave [cond](#page-18-20)itions) and  $Fr = 0.02$  to 0.21.

With a simple force prediction for flexible plants from Zhang & Nepf [\(2021](#page-19-11)*b*, 2022), the prese[nt](#page-18-12) [mod](#page-18-12)el  $((2.13)$  and  $(2.15)$ ) can be applied t[o](#page-19-17) [both](#page-19-17) rigid canopies (e.g. coral reefs and mangroves) and flexible canopies (e.g. salt marshes and seagrasses). Although we focused on the fully developed regime, the model can be extended to describe [the evolution a](https://doi.org/10.1017/jfm.2024.61.)t the canopy leading edge, following Lei & Nepf (2021). The proposed model can be coupled to large-scale, shallow-water numeric models (e.g. Maza, Lara & Losada 2013), to resolve the vertical velocity variation associated with submerged canopies. Finally, the canopy velocity prediction can be used within one-dimensional wave dissipation models (Lei & Nepf 2019*b*; van Veelen, Karunarathna & Reeve 2021; Zhang & Nepf 2021*a*) to improve the prediction of canopy drag.

[Su](https://orcid.org/0000-0002-2184-4208)pplementary material. [Supplementary material is av](https://orcid.org/0000-0002-2184-4208)ailable at https://doi.org/10.1017/jfm.2024.61.

Acknowledgements. This study was supported by the National Key Research and Development Program of China (no. 2022YFE0136700). Any opinions, findings and conclusions in this paper are those of author(s) and do not necessarily reflect the views of the National Key Research and Development Program of China.

Declaration of interests. The authors report no conflict of interests.

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