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## COMPOSITION OPERATORS ON $Q^P$ SPACES

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#### Abstract

A holomorphic map  $\varphi$  of the unit disk into itself induces an operator  $C_{\varphi}$  on holomorphic functions by composition. We characterize bounded and compact composition operators  $C_{\varphi}$  on  $Q^p$  spaces, which coincide with the *BMOA* for p = 1 and Bloch spaces for p > 1. We also give boundedness and compactness characterizations of  $C_{\varphi}$  from analytic function space X to  $Q^p$  spaces,  $X = \text{Dirichlet space } \mathcal{D}$ , Bloch space B or  $B^0 = \{f : f' \in H^{\infty}\}$ .

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## 1. Introduction

First, we introduce some basic notation which is used in this paper. Let D and  $\partial D$  be the unit disk and the unit circle in the finite complex plane  $\mathbb{C}$ , respectively. Also let dm(z) be the Lebesgue measure on D. Denote by  $g(z, a) = \log |(1 - \bar{a}z)/(a - z)|$  the Green function for D with pole at a. Also denote by  $H^{\infty}$  the set of bounded analytic functions on D.

Let  $\varphi : D \to D$  be an analytic self-map of the unit disk D. The composition operator  $C_{\varphi}$  induced by such  $\varphi$  is the linear map on the space of all analytic functions on the unit disk defined by

$$C_{\varphi}(f) = f \circ \varphi.$$

A fundamental problem concerning composition operators is to relate functiontheoretic properties of  $\varphi$  to operator-theoretic properties of the restrictions of  $C_{\varphi}$  to various Banach spaces of analytic functions. It is well known that  $C_{\varphi}$  preserves many

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analytic function spaces such as Hardy spaces, Bergman spaces, Bloch type spaces and BMOA. The compactness problem for Hardy-space composition operators was solved in 1987 by Shapiro [Sh]. The boundedness and compactness of composition operators on Bergman spaces and Bloch type spaces were solved by Smith and Yang [SmYa], Madigan and Matheson [MaMa] and Lou [Lo]. Recently, the composition operators on BMOA was studied by Tjani [Tj] and Bourdon, Cima and Matheson [BoCiMa]. In [BoCiMa] it was shown that the compactness of composition operators  $C_{\varphi}$  on BMOA is equivalent to a little-oh Carleson measure condition holding uniformly for all functions in the unit ball of BMOA; see [BoCiMa, Theorem 3.1]. Motivated by [BoCiMa] and [Tj], in this paper we study the composition operators  $C_{\varphi}$  on  $Q^{p}$  spaces, for  $0 . Note that <math>Q^1 = BMOA$  and  $Q^p = B$ , Bloch space, for 1 .This paper is organized as follows, in Section 1 and Section 2, introduction and preliminaries are provided. Next, in Section 3, we give the compact characterization of composition operators  $C_{\varphi}: Q^p \to Q^p$  for 0 via a Carleson measurecondition. In Section 4, we give the bounded and compact characterizations of  $C_{\omega}$ from Dirichlet space  $\mathcal{D}$  to  $Q^p$  and  $Q_0^p$  (0 ) spaces. In Section 5, we studythe boundedness and compactness of  $C_{\omega}$  from  $B^0$  to  $Q^p$  and  $Q_0^p$  (0 ),where  $B^0 = \{f : f' \in H^\infty\}$ . In the final section, we obtain necessary and sufficient conditions for composition operators  $C_{\omega}$  to be bounded and compact from Bloch space to  $Q^p$  and  $Q_0^p$ .

Throughout this paper, the letter C denotes different positive constants which are not necessarily the same from line to line.

## 2. Preliminaries

**2.1. Notations** The space  $Q^p$  is defined by means of a modified Garcia norm which was introduced by Aulaskari, Xiao and Zhao in 1995 [AuXiZh]. The definition can be given in the following way. For  $p \in (-1, \infty)$ , we say that  $f \in Q^p$  if f is analytic in D and

(2.1) 
$$\|f\|_{1(Q^p)} = |f(0)| + \left(\sup_{a \in D} \iint_D |f'(z)|^2 g(z, a)^p \, dm(z)\right)^{1/2} < \infty.$$

It is clear that  $Q^p$  is a Banach space relative to the above norm. From [AuStXi],  $||f||_1$  is equivalent to the following norm on  $Q^p$ 

(2.2) 
$$||f||_{2(Q^p)} = |f(0)| + \left(\sup_{a \in D} \iint_D |f'(z)|^2 (1 - |\sigma_a(z)|^2)^p dm(z)\right)^{1/2} < \infty,$$

where  $\sigma_a(z) = (z - a)/(1 - \bar{a}z)$ . The subspace  $Q_0^p$  of  $Q^p$  consists of those functions f such that the integral in the display in (2.1) tends to 0 as  $|a| \rightarrow 1$ .  $Q_0^p$  is a closed subspace.

When p = 1,  $Q^p$  is *BMOA*, which is the space of analytic functions on *D* that are of bounded mean oscillation on the unit circle  $\partial D$  (see [Ba] and [Ga] for more information on *BMOA*). When  $p \in (1, \infty)$ , it is well known (see [AuLa] for p > 1and [Xi] for p = 2) that  $Q^p$  coincides with the Bloch space *B* of functions *f* analytic in *D* with

$$\|f\|_{B} = |f(0)| + \sup_{z \in D} (1 - |z|^{2})|f'(z)| < \infty.$$

From discussion of [AuLa], we know that the Bloch norm  $||f||_B$  is equivalent to  $||f||_{1(Q^p)}$  and  $||f||_{2(Q^p)}$  for p > 1. When p = 0,  $Q^p$  is the classical Dirichlet space  $\mathcal{D}$  of functions analytic in D satisfying

$$||f||_{\mathscr{D}} = |f(0)| + \left(\int_{D} |f'(z)|^2 dm(z)\right)^{1/2} < \infty,$$

when  $p \in (-1, 0)$ ,  $Q^p$  consists of complex constants ([EsXi]).

Also,  $Q_0^1 = VMOA$ , the subspace of *BMOA* consisting of functions of vanishing mean oscillation on  $\partial D$  ([Ga]), and for p > 1,  $Q_0^p = B_0$ , the little Bloch space of functions f analytic on D for which (see [AuLa] and [Xi])

$$f'(z)(1-|z|^2) \to 0, \quad |z| \to 1.$$

It is well known ([AuXiZh]) that for  $0 < p_1 < p_2 < 1$ ,

$$\mathscr{D} \subseteq Q^{p_1} \subset Q^{p_2} \subseteq BMOA \subset B.$$

The spaces  $Q^p$ ,  $p \in (0, 1)$  are of independent interest.

**2.2.** Carleson measure Our characterization of compact composition operators on  $Q^p$  involves Carleson type measures.

For  $p \in (0, \infty)$  we say that a positive Borel measure  $\mu$  on D is a bounded p-Carleson measure provided that

(2.3) 
$$\sup_{I\subset\partial D}\frac{\mu(S(I))}{|I|^p}<\infty,$$

where S(I) means the Carleson square based on I,

$$S(I) = \left\{ z \in D : 1 - \frac{|I|}{2\pi} \le |z| < 1, \ \frac{z}{|z|} \in I \right\}.$$

If

(2.4) 
$$\lim_{|I|\to 0} \frac{\mu(S(I))}{|I|^p} = 0,$$

then we say that  $\mu$  is a compact p-Carleson measure.

Let  $0 < h < 1, 0 \le \theta \le 2\pi$ , and set

$$S(h,\theta) = \left\{ z \in D : \left| z - e^{i\theta} \right| < h \right\}.$$

It is easy to see that (2.3) and (2.4) are equivalent to

(2.5) 
$$\sup_{h\in(0,1),\ \theta\in[0,2\pi)}\frac{\mu(S(h,\theta))}{h^p}<\infty,$$

and

(2.6) 
$$\lim_{h \to 0} \frac{\mu(S(h, \theta))}{h^p} = 0,$$

respectively. Observe that p = 1 gives the classical Carleson measure and vanishing Carleson measure (see, for example, [Ga] for more information). As Carleson measure (vanishing Carleson measure) can be used to characterize functions in BMOA (VMOA) (refer to the work of Fefferman, Garcia and Pommerenke [Ba]) bounded p-Carleson measure (compact p-Carleson measure) can be used to characterize functions in  $Q^p$  $(Q_0^p)$  for 0 .

For f analytic in D and  $0 , let <math>\mu_f$  be defined by

$$d\mu_f(z) = |f'(z)|^2 (1 - |z|^2)^p dm(z).$$

For a function  $f \in Q^p$ , we set

(2.7) 
$$\|f\|_{3(Q^p)} = |f(0)| + \left(\sup_{I} \frac{\mu_f(S(I))}{|I|^p}\right)^{1/2}$$

from the discussion in [AuStXi],  $||f||_{3(Q^p)}$  is a norm of  $Q^p$  which is equivalent to the norms  $||f||_{1(Q^p)}$  and  $||f||_{2(Q^p)}$  defined by (2.1) and (2.2). For convenience we use  $\|\cdot\|_{O^p}$  to denote all these  $Q^p$  norms, even though  $\|\cdot\|_{O^p}$  may have a different meaning at different occurrences.

THEOREM 1 ([Ba, AuStXi]). Let  $p \in (0, \infty)$  and f analytic in D. Then

- (1)  $f \in Q^p$  if and only if  $d\mu_f$  is a bounded p-Carleson measure;
- (2)  $f \in Q_0^p$  if and only if  $d\mu_f$  is a compact p-Carleson measure.

From Lemma 1.1 of [AuStXi] and its proof it is easy to show that

**THEOREM 2.** Let  $\{\mu_b : b \in D\}$  be a collection of positive measures on D. Then, for 0 ,

- (1)  $\sup_{\substack{h \in \{0,1\}\\\theta \in \{0,2\pi\}, b \in D}} \frac{\mu_b(S(h,\theta))}{h^p} < \infty \text{ is equivalent to } \sup_{a,b \in D} \int_D |\sigma_a'(z)|^p d\mu_b(z) < \infty;$ (2)  $\lim_{\substack{h \to 0\\b \in D}} \sup_{\substack{\theta \in \{0,2\pi\}\\h \in D}} \frac{\mu_b(S(h,\theta))}{h^p} = 0 \text{ is equivalent to } \lim_{|a| \to 1} \sup_{b \in D} \int_D |\sigma_a'(z)|^p d\mu_b(z) = 0.$

**2.3. Counting function** Let  $\varphi$  be analytic in D and denote by  $n(\varphi, w)$  the number of roots in D of equation  $\varphi(z) = w$ , where  $w \in \mathbb{C}$ . The classical Nevanlinna counting function  $N_{\varphi}$  for  $\varphi$  was first used to study composition operators on  $H^2$  by Shapiro in [Sh]. In this paper Shapiro also introduced the generalized counting functions for  $0 \le p < \infty$  by

$$N_{\varphi,p}(w) = \begin{cases} \sum_{z \in \varphi^{-1}\{w\}} \left[ \log(1/|z|) \right]^p, & w \in \varphi(D), \\ 0, & w \in D \setminus \varphi(D) \end{cases}$$

(observe that  $N_{\varphi,0}(w) = n(\varphi, w)$ ), and proved for any positive measurable function on D

$$\int_{D} (h \circ \varphi)(z) |\varphi'(z)|^{2} [\log(1/|z|)]^{p} dm(z) = \frac{2^{p}}{\Gamma(p+1)} \int_{D} h(w) N_{\varphi,p}(w) dm(w).$$

With  $\varphi \circ \sigma_a$  replacing  $\varphi$ , we have

(2.8) 
$$\int_{D} (h \circ \varphi)(z) |\varphi'(z)|^2 g(z, a)^p \, dm(z) = \frac{2^p}{\Gamma(p+1)} \int_{D} h(w) N_{\varphi \circ \sigma_a, p}(w) dm(w).$$

Define measure  $\mu_{a,p}$  on D by

$$d\mu_{a,p}(w) = N_{\varphi \circ \sigma_{a},p}(w)dm(w).$$

From (2.1) and (2.8) we have, for  $0 \le p < \infty$ 

(2.9) 
$$\|f \circ \varphi\|_{Q^p} = |f(0)| + \left(\sup_{a \in D} \int_D |f'(w)|^2 d\mu_{a,p}(w)\right)^{1/2}$$

## 3. Composition operators on $Q^p$

In this section we characterize the compact composition operators on  $Q^p$  spaces. Let  $D_{\delta} = \{z \in D : |\varphi(z)| > \delta\}, \delta \in (0, 1)$ . The characteristic function of  $D_{\delta}$  will be denoted by  $1_{D_{\delta}}(z)$ . Now we establish the main result of this section.

THEOREM 3.1. Suppose that  $0 and <math>\varphi$  is an analytic self-map of D. Then the composition operator  $C_{\varphi}$  is compact on  $Q^p$  if and only if  $\varphi \in Q^p$  and for every  $\varepsilon > 0$  there is  $\delta$ ,  $0 < \delta < 1$ , such that

(3.1) 
$$\int_{S(I)} 1_{D_{\delta}}(z)(1-|z|^{2})^{p} |f'(\varphi(z))|^{2} |\varphi'(z)|^{2} dm(z) \leq \varepsilon |I|^{p}$$

for every arc I and every  $f \in Q^p$  with  $||f||_{Q^p} \leq 1$ .

For the proof of Theorem 3.1 we need the following lemmas.

LEMMA 1. Let  $X = \mathcal{D}$ , BMOA, B or  $Q^p$ . Then  $C_{\varphi} : X \to Q^p$  is a compact operator if and only if for any bounded sequence  $(f_n)$  in X with  $f_n \to 0$  uniformly on compact subsets of D as  $n \to \infty$ ,  $\|C_{\varphi}f_n\|_{Q^p} \to 0$  as  $n \to \infty$ .

PROOF. From [Zh, page 82] we know that a Bloch function can grow at most as fast as  $\log(1/(1 - |z|))$ :

(3.2) 
$$|f_n(z) - f_n(0)| \le C ||f_n||_B \log \frac{1}{1 - |z|} \le C ||f_n||_{Q^p} \log \frac{1}{1 - |z|}$$

Using [Tj, Lemma 1.10] and (3.2) we only need to prove that the closed unit ball of  $Q^p$  is a compact subset of  $Q^p$  in the topology of uniform convergence on compact subsets of D.

Let  $(f_n)$  be a sequence in the closed unit ball of  $Q^p$ , then from (3.2)  $(f_n)$  is uniformly bounded on compact subsets of D. By Montel's theorem ([Co, page 137]) there is a subsequence  $(f_{n_k})$  and an analytic function g such that  $f_{n_k} \to g$  uniformly on compact subsets of D. We show that  $g \in Q^p$ :

$$\begin{split} \int_{D} |g'(z)|^{2} (1 - |\sigma_{a}(z)|^{2})^{p} \, dm(z) &= \int_{D} \lim_{k \to \infty} |f'_{n_{k}}(z)|^{2} (1 - |\sigma_{a}(z)|^{2})^{p} \, dm(z) \\ &\leq \liminf_{k \to \infty} \int_{D} |f'_{n_{k}}(z)|^{2} (1 - |\sigma_{a}(z)|^{2})^{p} \, dm(z) \\ &\leq \liminf_{k \to \infty} \|f_{n_{k}}\|_{Q^{p}}^{2}, \end{split}$$

by Fatou's Theorem. This gives  $g \in Q^p$ .

LEMMA 2. Suppose that  $0 , <math>\varphi$  is an analytic self-map of D and  $C_{\varphi}$  is compact on  $Q^p$ . Then for every  $\varepsilon > 0$  there is  $\delta$ ,  $0 < \delta < 1$ , such that

(3.3) 
$$\int_{S(I)} 1_{D_{\delta}}(z)(1-|z|^2)^p |\varphi'(z)|^2 dm(z) < \varepsilon |I|^p$$

for all arcs I on  $\partial D$ .

PROOF. Since  $C_{\varphi}$  is compact, then for any bounded sequence  $(f_n)$  in  $Q^p$ ,  $||f_n||_{Q^p} \leq C$ , converges uniformly to 0 on compact subsets of D,  $||f_n \circ \varphi||_{Q^p} \to 0$  as  $n \to \infty$  by Lemma 1. Set  $f_n(z) = z^n$ , since  $z^n$  is norm bounded in  $Q^p$  and converges uniformly to 0 on compact subsets of D, we have  $||\varphi^n||_{Q^p} \to 0$ , as  $n \to \infty$ . So, given  $\varepsilon > 0$ , there is an integer N > 0 such that if  $n \geq N$ ,

$$n^{2} \int_{S(I)} |\varphi(z)|^{2n-2} |\varphi'(z)|^{2} (1-|z|^{2})^{p} dm(z) < \varepsilon |I|^{p}$$

for all I on  $\partial D$ . Given  $\delta$ ,  $0 < \delta < 1$ , we have

$$N^{2}\delta^{2N-2} \int_{S(I)} 1_{D_{\delta}}(z)(1-|z|^{2})^{p} |\varphi'(z)|^{2} dm(z)$$
  
$$\leq N^{2} \int_{S(I)} |\varphi(z)|^{2N-2} |\varphi'(z)|^{2}(1-|z|^{2})^{p} dm(z) < \varepsilon |I|^{p}$$

for all I on  $\partial D$ , since  $|\varphi(z)| > \delta$  on  $D_{\delta}$ . Choosing  $\delta$  so that  $N^2 \delta^{2N-2} = 1$ , we have (3.3) and the lemma is proved.

REMARK 1. Sufficiency of Lemma 2 does not hold for  $1 \le p < \infty$ . For p = 1, we consider univalent function  $\varphi_1(z) = 1 - (1 - z)^{1/2}$  ([BoCiMa]), we know that  $\varphi_1 \in BMOA$  and  $C_{\varphi_1}$  is not compact on *BMOA*, however we will show that this  $\varphi_1$  satisfies (3.3).

Since 
$$\varphi_1'(z) = (1/2)(1-z)^{-1/2}$$
, then  $|\varphi_1'(z)|^2 \le 1/4(1-|z|)$ . Thus  

$$\int_{S(I)} 1_{D_\delta}(z)(1-|z|^2) |\varphi_1'(z)|^2 dm(z) \le \frac{1}{2} \int_{S(I)} 1_{D_\delta}(z) dm(z)$$

$$\le \frac{1}{2} \int_{\theta}^{\theta+|I|} \int_{1-|I|/2\pi}^1 1_{D_\delta}(z) dm(z)$$

Since  $|D_{\delta}| \to 0$  as  $\delta \to 1$ , then for every  $\varepsilon > 0$  there exists  $0 < \delta < 1$  such that  $|D_{\delta}| < \varepsilon$ , So

$$\int_{1-|I|/2\pi}^1 \mathbf{1}_{D_{\delta}}(z)(re^{i\theta})\,dr\leq |D_{\delta}|<\varepsilon.$$

Thus

$$\int_{S(I)} 1_{D_{\delta}}(z)(1-|z|^2) |\varphi_1'(z)|^2 \, dm(z) \leq \varepsilon \frac{|I|}{2}$$

for all arcs I on  $\partial D$ .

For  $1 , <math>Q^p = B$ , we consider function  $\varphi_2 = 1 - (1/2)(1 - z)^{1/2}$ ,  $\varphi_2 \in B_0 \subset B$  and  $C_{\varphi_2}$  is not compact on B (since  $C_{\varphi_2}$  is not compact on  $B_0$  [MaMa]), but with a similar proof as above we can show that  $\varphi_2$  also satisfies (3.3).

From Remark 1, (3.3) is not sufficient for the compactness of  $C_{\varphi}$  on  $Q^p$   $(1 \le p < \infty)$ . In Section 5 we show that (3.3) is not only necessary but also sufficient for  $C_{\varphi}$  to be compact from a subspace of  $Q^p$  to  $Q^p$  for 0 .

PROOF OF THEOREM 3.1. Sufficiency. Suppose that (3.1) holds, we prove that  $C_{\varphi}$  is compact. Let  $\{f_n\} \subset Q^p$  such that  $||f_n||_{Q^p} \leq 1$  and  $f_n \to 0$  uniformly on compact subsets of D as  $n \to \infty$ . By Lemma 1 we need show that

$$\lim_{n\to\infty}\|C_{\varphi}(f_n)\|_{Q^p}=0.$$

Fix  $\varepsilon > 0$  and let  $\delta$  ( $0 < \delta < 1$ ) be such that (3.1) holds. Since  $\varphi(D \setminus D_{\delta})$  is a relatively compact subset of D,  $f'_{n} \circ \varphi$  converges uniformly to 0 on  $D \setminus D_{\delta}$ , then there is an integer N > 0 such that  $|f'_{n} \circ \varphi|^{2} < \varepsilon$  if  $n \ge N$  and  $z \in D \setminus D_{\delta}$ . So for all  $n \ge N$  and I on  $\partial D$ 

$$\int_{S(I)} 1_{D\setminus D_{\delta}}(z)(1-|z|^2)^p |f'_n(\varphi(z))|^2 |\varphi'(z)|^2 dm(z) \leq \varepsilon \|\varphi\|_{Q^p}^2 |I|^p.$$

From (3.1), for all n and I on  $\partial D$ 

$$\int_{S(I)} 1_{D_{\delta}}(z)(1-|z|^2)^p |f'_n(\varphi(z))|^2 |\varphi'(z)|^2 dm(z) \leq \varepsilon |I|^p.$$

Hence, for  $n \ge N$  we obtain

$$\int_{\mathcal{S}(I)} (1-|z|^2)^p |f'_n(\varphi(z))|^2 |\varphi'(z)|^2 \, dm(z) \leq (\|\varphi\|_{\mathcal{Q}^p}^2+1)\varepsilon |I|^p.$$

Since  $f_n \circ \varphi(0) \to 0$  as  $n \to \infty$ , then by (2.7)

$$\|f_n\circ\varphi\|_{Q^p}\to 0, \quad n\to\infty.$$

Necessity. Suppose that  $C_{\varphi}$  is compact on  $Q^p$ , then  $C_{\varphi}(f) \in Q^p$  for all  $f \in Q^p$ . Set f = z, we get  $\varphi \in Q^p$ . Let  $f_s(z) = f(sz)$  for  $s \in (0, 1)$ , then  $f_s \to f$  uniformly on compact subsets of D as  $s \to 1$  and the family  $\{f_s : 0 < s < 1\}$  is bounded in  $Q^p$ , So

$$\|f_s \circ \varphi - f \circ \varphi\|_{Q^p} \to 0, \quad s \to 1.$$

Thus for each  $\varepsilon > 0$  there is s, 0 < s < 1, such that

(3.4) 
$$\int_{S(I)} (1-|z|^2)^p |f'_s(\varphi(z)) - f'(\varphi(z))|^2 |\varphi'(z)|^2 dm(z) < \frac{\varepsilon}{4} |I|^p.$$

Since  $f_s$  is analytic on the closed unit disk, then  $\sup_s \|f'_s\|_{\infty} < \infty$ , where  $\|\cdot\|_{\infty} = \sup_D |f(\cdot)|$ . From Lemma 2, for  $\varepsilon/(4 \sup_s \|f'_s\|_{\infty}^2) > 0$ , there exists  $\delta = \delta(\varepsilon, f) > 0$  such that

$$\int_{S(I)} 1_{D_{\delta}}(z) (1-|z|^2)^p |\varphi'(z)|^2 dm(z) \leq \frac{\varepsilon}{4 \sup_s \|f_s'\|_{\infty}^2} |I|^p.$$

So

(3.5) 
$$\int_{S(I)} 1_{D_{\delta}}(z)(1-|z|^{2})^{p} |f'_{s}(\varphi(z))|^{2} |\varphi'(z)|^{2} dm(z)$$
$$\leq \|f'_{s}\|_{\infty}^{2} \int_{S(I)} 1_{D_{\delta}}(z)(1-|z|^{2})^{p} |\varphi'(z)|^{2} dm(z) < \frac{\varepsilon}{4} |I|^{p}.$$

From (3.4), (3.5) and applying the triangle inequality, for  $\varepsilon > 0$  and  $f \in Q^p$ , there exists  $\delta = \delta(\varepsilon, f)$  such that

(3.6) 
$$\int_{\mathcal{S}(I)} 1_{D_{\delta}}(z) (1-|z|^2)^p |f'(\varphi(z))|^2 |\varphi'(z)|^2 dm(z) < \varepsilon |I|^p$$

for every arc I on  $\partial D$ . Since  $C_{\varphi}$  is compact on  $Q^p$ , then  $C_{\varphi}(\mathbf{B})$  is relatively compact in  $Q^p$ , where **B** is the unit ball of  $Q^p$ . Thus for each  $\varepsilon > 0$ , there is a  $\varepsilon/2$ -net:  $f_1, f_2, \ldots, f_n \in \mathbf{B}$  such that for each  $f \in \mathbf{B}$  there exists  $f_i$   $(1 \le i \le n)$ 

(3.7) 
$$\int_{\mathcal{S}(I)} (1-|z|^2)^p |f'(\varphi(z)) - f_i'(\varphi(z))|^2 |\varphi'(z)|^2 dm(z) < \frac{\varepsilon}{2} |I|^p$$

for each arc I on  $\partial D$ . Using (3.6) for  $f_1, \ldots, f_n$  and setting  $\delta = \max_{1 \le i \le n} \delta(\varepsilon, f_i)$  we get

(3.8) 
$$\int_{S(I)} 1_{D_{\delta}}(z)(1-|z|^{2})^{p} |f_{i}'(\varphi(z))|^{2} |\varphi'(z)|^{2} dm(z) < \frac{\varepsilon}{2} |I|^{p}$$

for each arc I. Applying the triangle inequality again on (3.7) and (3.8) we obtain (3.1). The proof of the theorem is complete.

Since  $\varphi \in H^{\infty} \subset BMOA \subset B$ , we have the following corollaries.

COROLLARY 3.2 ([BoCiMa]). Suppose that  $\varphi$  is an analytic self-map of D. Then the composition operator  $C_{\varphi}$  is compact on BMOA if and only if for every  $\varepsilon > 0$  there is  $\delta$ ,  $0 < \delta < 1$ , such that

$$\int_{\mathcal{S}(I)} 1_{D_{\delta}}(z)(1-|z|^2) |f'(\varphi(z))|^2 |\varphi'(z)|^2 dm(z) \le \varepsilon |I|$$

for every arc I and every  $f \in BMOA$  with  $||f||_{BMOA} \le 1$ .

COROLLARY 3.3. Suppose that  $\varphi$  is an analytic self-map of D. Then the composition operator  $C_{\varphi}$  is compact on B if and only if for every  $\varepsilon > 0$  there is  $\delta$ ,  $0 < \delta < 1$ , such that

$$\int_{S(I)} 1_{D_{\delta}}(z) (1-|z|^2)^p |f'(\varphi(z))|^2 |\varphi'(z)|^2 dm(z) \le \varepsilon |I|^p$$

for every arc I,  $f \in B$  with  $||f||_B \leq 1$  and  $p \in [1, \infty)$ .

The compactness of  $C_{\varphi}$  on Bloch space *B* was obtained by Madigan and Matheson [MaMa] (also see [Lo]), Corollary 3.3 gives a different compactness characterization of  $C_{\varphi}$  on Bloch space *B*. With a similar proof to Theorem3.1, we can prove the following compactness characterization of  $C_{\varphi}$  on  $Q_0^p$ .

PROPOSITION 3.4. Suppose that  $0 and <math>\varphi$  is an analytic self-map of D. Then the composition operator  $C_{\varphi}$  is compact on  $Q_0^p$  if and only if  $\varphi \in Q_0^p$  and for every  $\varepsilon > 0$  there is  $\delta$ ,  $0 < \delta < 1$ , such that

$$\int_{S(I)} |f'(\varphi(z))|^2 |\varphi'(z)|^2 (1-|z|^2)^p \, dm(z) \le \varepsilon |I|^p$$

for every arc  $I : |I| < \delta$  and every  $f \in Q_0^p$  with  $||f||_{Q^p} \le 1$ .

PROOF. Sufficiency is similar to that of Theorem3.1, we leave the details to readers. Necessity. Since  $C_{\varphi}$  is compact on  $Q_0^p$ , then  $C_{\varphi}(\mathbf{B})$  is relatively compact in  $Q^p$ , where **B** is a unit ball of  $Q_0^p$ . Thus for each  $\varepsilon > 0$ , there is  $f_1, f_2, \ldots, f_n \in \mathbf{B}$  such that for each  $f \in \mathbf{B}$  (3.7) holds for some  $f_i$  and each arc I on  $\partial D$ . For  $f_i \in \mathbf{B}$ , there is  $\delta_i = \delta(\varepsilon, f_i)$ , such that if  $|I| < \delta_i$ 

(3.9) 
$$\int_{S(I)} (1-|z|^2)^p |f_i'(\varphi(z))|^2 |\varphi'(z)|^2 dm(z) < \frac{\varepsilon}{2} |I|^p.$$

Set  $\delta = \min_{1 \le i \le n} \delta_i$ , then if  $|I| < \delta$  (3.9) holds for any  $f_i$ , i = 1, 2, ..., n. Combining (3.9) with (3.7) we get the result.

COROLLARY 3.5 ([BoCiMa]). Suppose that  $\varphi$  is an analytic self-map of D. Then the composition operator  $C_{\varphi}$  is compact on VMOA if and only if  $\varphi \in VMOA$  and for every  $\varepsilon > 0$  there is  $\delta$ ,  $0 < \delta < 1$ , such that

$$\int_{S(I)} |f'(\varphi(z))|^2 |\varphi'(z)|^2 (1-|z|^2)^p \, dm(z) \le \varepsilon |I|^p$$

for every arc  $I : |I| < \delta$  and every  $f \in VMOA$  with  $||f||_{BMOA} \le 1$ .

COROLLARY 3.6. Suppose that  $\varphi$  is an analytic self-map of D. Then the composition operator  $C_{\varphi}$  is compact on  $B_0$  if and only if  $\varphi \in B_0$  and for every  $\varepsilon > 0$  there is  $\delta$ ,  $0 < \delta < 1$ , such that

$$\int_{S(I)} |f'(\varphi(z))|^2 |\varphi'(z)|^2 (1-|z|^2)^p \, dm(z) \le \varepsilon |I|^p$$

for every arc  $I : |I| < \delta$ ,  $f \in B_0$  with  $||f||_B \le 1$  and  $p \in [1, \infty)$ .

Compare to results in [MaMa] and [Lo], Corollary 3.6 gives a different compactnness characterization of  $C_{\varphi}$  on little Bloch space  $B_0$ . About the compactness of composition operators, we know one way to approach this problem is to relate it to properties of  $\varphi$ . That is to see how fast or how often  $\varphi(D)$  touches  $\partial D$ . The following result is a natural consequence PROPOSITION 3.7. Suppose that  $0 , and <math>\varphi$  is an analytic self-map of Dand  $\varphi \in Q^p$  with  $\|\varphi\|_{\infty} < 1$ . Then the composition operator  $C_{\varphi}$  is compact on  $Q^p$ .

PROOF. Let  $\{f_n\}$  be a bounded sequence in  $Q^p$ , and converges to 0 uniformly on compact subsets of D. Given  $\varepsilon > 0$ , since  $\|\varphi\|_{\infty} < 1$ ,  $\overline{\varphi(D)}$  is a compact subset of D. So there exists integer N > 0 such that for all  $n \ge N$ ,  $|f'_n(\varphi(z))|^2 < \varepsilon$ , if  $z \in D$ . Thus from (2.2) for all  $n \ge N$ 

(3.10) 
$$\int_{D} |f'_{n}(\varphi(z))|^{2} |\varphi'(z)|^{2} (1 - |\sigma'_{a}(z)|^{2})^{p} dm(z) \\ \leq \varepsilon \int_{D} |\varphi'(z)|^{2} (1 - |\sigma'_{a}(z)|^{2})^{p} dm(z) \leq \varepsilon \|\varphi\|_{Q^{p}}^{2}.$$

Combining (3.10) with  $f_n(\varphi(0)) \to 0$   $(n \to \infty)$ , we have  $||C_{\varphi}(f_n)||_{Q^p} \to 0$  as  $n \to \infty$ , hence  $C_{\varphi}$  is compact on  $Q^p$ .

REMARK 2. The following example shows that  $C_{\varphi}$  is compact on  $Q^p$  but not on  $Q_0^p$ for  $1 \le p < \infty$ . Consider function  $\varphi(z) = (1/2)e^{(z+1)/(z-1)}$ , it is obvious that  $\varphi$  is an analytic self-map of D and  $\|\varphi\|_{\infty} < 1$ . So, from Proposition 3.7,  $C_{\varphi}$  is compact on  $Q^p$  for  $1 \le p < \infty$ . By the definition of  $B_0, \varphi \notin B_0$ , since  $Q_0^p \subset B_0$  for  $1 \le p < \infty$ , so  $\varphi \notin Q_0^p$   $(1 \le p < \infty)$ . We claim that  $C_{\varphi}$  is not compact on  $Q_0^p$ . In fact, if  $C_{\varphi}$ is compact on  $Q_0^p$ , then  $C_{\varphi}$  is bounded on  $Q_0^p$ . That is  $f \circ \varphi \in Q_0^p$  for all  $f \in Q_0^p$ . Taking f(z) = z we have  $z \circ \varphi = \varphi \in Q_0^p$ , this is a contradiction.

In [BoCiMa], mean order of contact was introduced to study the compactness of the corresponding composition operator on *BMOA*. For  $\alpha > 0$ , and *G* an open subset of *D*, we say that *G* contacts  $\partial D$  with mean order (at most)  $\alpha > 0$  provide that

$$\int_0^{2\pi} \mathbf{1}_G(re^{i\theta}) d\theta = O\left((1-r)^{1/\alpha}\right)$$

as  $r \rightarrow 1^-$ .

The function  $\varphi = 1 - (1 - z)^{1/2}$  (see [BoCiMa, page 11]) shows that contact of  $\varphi(D)$  of mean order 1 is not sufficient to guarantee that  $C_{\varphi}$  is compact on *BMOA*. However, the following result shows that mean order contact less than 1 does guarantee the compactness not only on *BMOA* but also on  $Q^p$  for all 0 .

PROPOSITION 3.8. Suppose that  $0 , <math>\varphi(D)$  is contained in a simply connected region which contacts the unit circle with  $\alpha < 1$ . Then  $C_{\varphi}$  is compact on  $Q^{p}$ .

PROOF. Similar to the proof of Corollary 5.7 of [BoCiMa].

Proposition 3.7 shows that if  $\varphi \in Q^p$  and  $\|\varphi\|_{\infty} < 1$ , then  $C_{\varphi}$  is compact on  $Q^p$   $(0 . This is only a sufficient condition. In fact, the example of <math>\varphi$  given in

[BoCiMa, page 14] shows that  $C_{\varphi}$  is compact on  $Q^p$  (Proposition 3.8),  $1 \le p < \infty$ , but  $\overline{\varphi(D)} = \overline{D}$ .

In [Sh], Shapiro solves the compactness problem for composition operators on  $H^2$  using the Navanlinna counting function  $N_{\varphi}(w)$ . The following theorem gives a sufficient condition for a composition operator to be compact on  $Q^p$  spaces.

**PROPOSITION 3.9.** Suppose that  $0 , <math>\varphi$  is an analytic self-map of D,  $\varphi \in Q^p$ , and

(3.11) 
$$\lim_{|w| \to 1} \frac{N_{\varphi \circ \sigma_a, p}(w)}{(1 - |\sigma_a(w)|^2)^p} = 0.$$

Then the composition operator  $C_{\varphi}$  is compact on  $Q^{p}$ .

**PROOF.** Let  $\{f_n\}$  be a bounded sequence of  $Q^p$ ,  $||f_n||_{Q^p} \leq C$ , such that  $f_n \to 0$  uniformly on compact subsets of D. Given  $\varepsilon > 0$ , (3.11) implies that there is  $\delta > 0$  such that if  $\delta < |w| < 1$ ,

$$(3.12) N_{\varphi \circ \sigma_a, p}(w) < \varepsilon (1 - |\sigma_a(w)|^2)^p.$$

Set

(3.13) 
$$\sup_{a \in D} \int_{D} |f'_{n}(w)|^{2} N_{\varphi \circ \sigma_{a}, p}(w) dm(w)$$
$$= \sup_{a} \left( \int_{\delta < |w| < 1} + \int_{|w| \le \delta} \right) |f'_{n}(w)|^{2} N_{\varphi \circ \sigma_{a}, p}(w) dm(w) = \mathbf{I} + \mathbf{II}.$$

By (3.12) and the fact that  $f_n$  is bounded in  $Q^p$ ,

(3.14) 
$$I \leq \varepsilon \sup_{a} \int_{\delta < |w| < 1} |f'_{n}(w)|^{2} (1 - |\sigma_{a}(w)|^{2})^{p} dm(w) \leq \varepsilon ||f_{n}||_{Q^{p}}^{2} \leq \varepsilon C.$$

Since  $f'_n$  converges to 0 uniformly on  $|w| \le \delta$ , there is N > 0 such that for all  $n \ge N$  $|f'_n(w)|^2 < \varepsilon$  if  $|w| \le \delta$ . So using (2.9), we have

(3.15) 
$$II \leq \varepsilon \sup_{a} \int_{|w| \leq \delta} N_{\varphi \circ \sigma_{a}, p}(w) \, dm(w) \leq \varepsilon \|C_{\varphi}(z)\|_{Q^{p}}^{2} = \varepsilon \|\varphi\|_{Q^{p}}^{2}.$$

Combining (3.13), (3.14) and (3.15) with  $f_n(\varphi(0)) \to 0 \ (n \to \infty)$ , we have

$$\|C_{\varphi}(f_n)\|_{Q^p} = |f_n(\varphi(0))| + \left(\sup_{a \in D} \int_D |f'_n(z)|^2 N_{\varphi \circ \sigma_a, p}(w) \, dm(w)\right)^{1/2} \to 0$$

as  $n \to \infty$ . Hence  $C_{\varphi}$  is compact on  $Q^p$  by Lemma 1.

# 4. Composition operators from $\mathscr{D}$ to $Q^p$ and $Q_0^p$

In this section, motivated by [Tj], we study the boundedness and compactness of composition operators from Dirichlet space  $\mathcal{D}$  to  $Q^p$  and  $Q_0^p$  spaces, which were characterized by the basic conformal automorphism  $\sigma_a$  defined by

$$\sigma_a(z) = \frac{a-z}{1-\bar{a}z}, \quad z \in D.$$

It is easy to check that  $\sigma_a \circ \sigma_a(z) = z$  and

(4.1) 
$$1 - |\sigma_a(z)|^2 = \frac{(1 - |a|^2)(1 - |z|^2)}{|1 - \bar{a}z|^2} = (1 - |z|^2)|\sigma_a'(z)|.$$

THEOREM 4.1. Suppose that  $0 \le p < \infty$  and  $\varphi$  is an analytic self-map of D. Then the composition operator  $C_{\varphi} : \mathcal{D} \to Q^p$  is bounded if and only if

(4.2) 
$$\sup_{a\in D} \|C_{\varphi}(\sigma_a)\|_{Q^p} < \infty.$$

PROOF. Necessity. Suppose that  $C_{\varphi} : \mathcal{D} \to Q^p$  is bounded. It is easy to check that  $\{\sigma_a, a \in D\}$  is bounded in  $\mathcal{D}$ , since  $\|\sigma_a\|_{\mathcal{D}} = \|z \circ \sigma_a\|_{\mathcal{D}} = \|z\|_{\mathcal{D}}$ . So we have  $\sup_a \|C_{\varphi}(\sigma_a)\|_{\mathcal{Q}^p} \le C \|\sigma_a\|_{\mathcal{D}} \le C$ .

Sufficiency. Suppose that  $\sup_a \|C_{\varphi}(\sigma_a)\|_{Q^p} < \infty$  and  $f \in \mathcal{D}$ , then from (2.9) we have

(4.3) 
$$\int_D |\sigma'_a(w)|^2 d\mu_{b,p}(w) \leq C$$

for all  $a, b \in D$ . By Theorem 2, (4.3) is equivalent to

(4.4) 
$$\int_{S(h,\theta)} d\mu_{b,p}(w) \leq Ch^2$$

for all  $h \in (0, 1)$ ,  $\theta \in [0, 2\pi)$  and  $b \in D$ . Using the Mean Value Theorem and Jensen's inequality we have

(4.5) 
$$|f(w)|^2 \leq \frac{4}{\pi(1-|w|)^2} \int_{|w-z|<(1-|w|)/2} |f(z)|^2 dm(z), \quad w \in D.$$

From the discussion in [Tj, page 36], the inequality |w - z| < (1 - |w|)/2 implies  $w \in S(2(1 - |z|), \arg z)$  and  $1/(1 - |w|)^2 \leq C/(1 - |z|)^2$ . Combining this with

[13]

Fubini's Theorem, (4.4) and (4.5), we obtain

$$(4.6) \qquad \int_{D} |f'(w)|^{2} d\mu_{b,p}(w) \\ \leq \int_{D} \frac{4}{\pi (1 - |w|)^{2}} \int_{|w-z| < (1 - |w|)/2} |f'(z)|^{2} dm(z) d\mu_{b,p}(w) \\ \leq C \int_{D} |f'(z)|^{2} \int_{D} \frac{1}{(1 - |w|)^{2}} \mathbb{1}_{\{z:|w-z| < (1 - |w|)/2\}}(z) d\mu_{b,p}(w) dm(z) \\ \leq C \int_{D} \frac{|f'(z)|^{2}}{(1 - |z|)^{2}} \int_{S(2(1 - |z|), \arg z)} d\mu_{b,p}(w) dm(z) \\ \leq C \left( \int_{|z| > 1/2} + \int_{|z| \le 1/2} \right) \frac{|f'(z)|^{2}}{(1 - |z|)^{2}} \mu_{b,p}(\tilde{S}(2(1 - |z|), \arg z)) dm(z) \\ = I + II,$$

where  $1_S(z)$  denotes the characteristic function on S. For  $|z| > \frac{1}{2}$ , since 2(1-|z|) < 1, then (4.4) gives

(4.7) 
$$I \leq C \int_{|z|>1/2} \frac{|f'(z)|^2}{(1-|z|)^2} (2(1-|z|))^2 dm(z)$$
$$\leq C \int_D |f'(z)|^2 dm(z) \leq C ||f||_{\mathscr{D}}^2.$$

For  $|z| \leq 1/2$ , since  $f \in \mathscr{D} \subset B$ , we have  $||f||_B \leq C ||f||_{\mathscr{D}}$ . From (4.2) for any  $a \in D$ ,  $||\sigma_a \circ \varphi||_{Q^p} \leq C$ , taking a = 0, we have  $\varphi \in Q^p$  and  $||\varphi||_{Q^p} = |\varphi(0)| + (\sup_{b \in D} \mu_{b,p}(D))^{1/2}$  by (2.9), thus

(4.8) 
$$II \leq C \int_{|z| \leq 1/2} \frac{|f'(z)|^2}{(1-|z|)^2} \mu_{b,p}(D) dm(z) \\ \leq C \int_{|z| \leq 1/2} \frac{||f||_B^2}{(1-|z|)^4} \mu_{b,p}(D) dm(z) \leq C ||f||_{\mathscr{D}}^2 ||\varphi||_{Q^p}^2.$$

Since

$$|f(z)| \le ||f||_B \log \frac{1}{1-|z|}, \text{ for all } z \in D, \ f \in B,$$

then

(4.9) 
$$|f(\varphi(0))| \le C ||f||_{\mathscr{D}} \log \frac{1}{1 - |\varphi(0)|}, \quad \varphi(0) \ne 1.$$

From (4.6), (4.7), (4.8) and (4.9) we get

$$\begin{aligned} \|C_{\varphi}(f)\|_{\mathcal{Q}^{p}} &= |f(\varphi(0))| + \left(\sup_{b \in D} \int_{D} |f'(w)|^{2} d\mu_{b,p}(w)\right)^{1/2} \\ &\leq C \|f\|_{\mathscr{D}} \log \frac{1}{1 - |\varphi(0)|} + \left(C \|f\|_{\mathscr{D}}^{2} + C \|\varphi\|_{\mathcal{Q}^{p}}^{2} \|f\|_{\mathscr{D}}^{2}\right)^{1/2} \leq C(\varphi) \|f\|_{\mathscr{D}}, \end{aligned}$$

where  $C(\varphi)$  is a constant depending only on  $\varphi$ . Thus  $C_{\varphi}$  is bounded and the proof is finished.

The following corollary characterizes boundedness of  $C_{\varphi}$  from Dirichlet space  $\mathcal{D}$  to the well-known spaces  $\mathcal{D}$ , *BMOA* and Bloch space *B*.

COROLLARY 4.2. Suppose that  $\varphi$  is an analytic self-map of D. Then the composition operator

(1)  $C_{\varphi}: \mathcal{D} \to \mathcal{D}$  is bounded if and only if  $\sup_{a \in D} \|C_{\varphi}(\sigma_a)\|_{\mathcal{D}} < \infty$ .

(2)  $C_{\varphi}: \mathscr{D} \to BMOA \text{ is bounded if and only if } \sup_{a \in D} \|C_{\varphi}(\sigma_a)\|_{BMOA} < \infty.$ 

(3)  $C_{\varphi}: \mathcal{D} \to B$  is bounded if and only if  $\sup_{a \in D} \|C_{\varphi}(\sigma_a)\|_B < \infty$ .

THEOREM 4.3. Suppose that  $0 \le p < \infty$  and  $\varphi$  is an analytic self-map of D. Then the composition operator  $C_{\varphi} : \mathcal{D} \to Q^p$  is compact if and only if

$$\|C_{\varphi}(\sigma_a)\|_{Q^p} \to 0, \quad |a| \to 1$$

PROOF. Necessity. Suppose  $C_{\varphi} : \mathcal{D} \to \mathcal{Q}^p$  is compact. Since  $\{\sigma_a, a \in D\}$  is bounded in  $\mathcal{D}$ , and  $|\sigma_a - a| = |z|(1 - |a|^2)/|1 - \bar{a}z|$  converges to 0 uniformly on compact subsets of D as  $|a| \to 1$ , we have  $\|C_{\varphi}(\sigma_a)\|_{\mathcal{Q}^p} \to 0$  as  $|a| \to 1$  by Lemma 1.

Sufficiency. Suppose that  $\{f_n\}$  is a bounded sequence of  $\mathcal{D}$ ,  $||f_n||_{\mathcal{D}} \leq C$ , such that  $f_n \to 0$  uniformly on compact subsets of D. Since  $||C_{\varphi}(\sigma_a)||_{Q^p} \to 0$  as  $|a| \to 1$ , then from (2.9) we have

(4.10) 
$$\sup_{b\in D}\int_{D}|\sigma_{a}'(w)|^{2}d\mu_{b,p}(w)\to 0, \quad |a|\to 1.$$

By Theorem 2, (4.10) is equivalent to

$$\lim_{h\to 0} \sup_{\substack{b\in D\\\theta\in[0,2\pi)}} \frac{1}{h^2} \int_{S(h,\theta)} d\mu_{b,p}(w) = 0.$$

Given  $\varepsilon > 0$ , there is  $0 < \delta < 1$  such that for all  $h, h < \delta, \theta \in [0, 2\pi)$  and  $b \in D$ 

(4.11) 
$$\int_{\mathcal{S}(h,\theta)} d\mu_{b,p}(w) < \varepsilon h^2.$$

As in the proof of Theorem 4.1, we obtain

$$(4.12) \quad \int_{D} |f'_{n}(w)|^{2} d\mu_{b,p}(w)$$

$$\leq \int_{D} \frac{4}{\pi(1-|w|)^{2}} \int_{|w-z|<(1-|w|)/2} |f'_{n}(z)|^{2} dm(z) d\mu_{b,p}(w)$$

$$\leq C \int_{D} \frac{|f'_{n}(z)|^{2}}{(1-|z|)^{2}} \int_{S(2(1-|z|),\arg z)} d\mu_{b,p}(w) dm(z)$$

$$\leq C\left(\int_{|z|>1-\delta/2} + \int_{|z|\leq 1-\delta/2}\right) \frac{|f'_n(z)|^2}{(1-|z|)^2} \int_{S(2(1-|z|),\arg z)} d\mu_{b,p}(w) \, dm(z)$$
  
= I + II.

If  $|z| > 1 - \delta/2$ , then  $2(1 - |z|) < \delta$  and (4.11) gives

(4.13) 
$$I \leq \varepsilon C \int_{|z|>1-\delta/2} \frac{|f'_n(z)|^2}{(1-|z|)^2} (2(1-|z|))^2 dm(z) \leq \varepsilon C ||f_n||_{\mathscr{D}}^2 \leq \varepsilon C.$$

If  $|z| \le 1 - \delta/2$ , since  $f'_n \to 0$  uniformly on compact subsets of D, then there is N > 0 such that if  $n \ge N$ ,

(4.14) 
$$|f'_n(z)|^2 < \varepsilon, \quad |z| \le 1 - \delta/2.$$

By (4.11)  $d\mu_{b,p}(w)$  is a compact 2-Careleson measure, it is also a bounded 2-Carleson measure, then for all  $h \in (0, 1)$ ,  $b \in D$  and  $\theta \in [0, 2\pi)$ , (4.4) holds. From Theorem 4.1,  $C_{\varphi} : \mathscr{D} \to Q^{p}$  is bounded, so  $\varphi \in Q^{p}$  and (2.9) give  $\sup_{b \in D} \int_{D} d\mu_{b,p}(w) \leq \|\varphi\|_{Q^{p}}^{2} < \infty$ . Combining this with (4.14) we get, for  $n \geq N$ ,

(4.15) II 
$$\leq C \int_{|z| \leq 1-\delta/2} \frac{|f'_n(z)|^2}{(1-|z|)^2} dm(z) \left( \sup_b \int_D d\mu_{b,p}(w) \right) \leq \varepsilon C \|\varphi\|_{Q^p}^2.$$

Hence (4.12), (4.13), (4.15) and  $f_n(\varphi(0)) \rightarrow 0 \ (n \rightarrow \infty)$  yield that

$$\|C_{\varphi}(f_n)\|_{Q^p} = |f_n(\varphi(0))| + \left(\sup_{b \in D} \int_D |f'_n(w)|^2 d\mu_{b,p}(w)\right)^{1/2} < \varepsilon \left(C + C \|\varphi\|_{Q^p}^2\right)^{1/2}$$

for *n* large enough. So  $||C_{\varphi}(f_n)||_{Q^p} \to 0$  as  $n \to \infty$ . From Lemma 1,  $C_{\varphi} : \mathscr{D} \to Q^p$  is compact.

COROLLARY 4.4. Suppose that  $\varphi$  is an analytic self-map of D. Then the composition operator

(1)  $C_{\varphi}: \mathcal{D} \to \mathcal{D}$  is compact if and only if  $\|C_{\varphi}(\sigma_a)\|_{\mathcal{D}} \to 0$  as  $|a| \to 1$ .

- (2)  $C_{\varphi}: \mathcal{D} \to BMOA$  is compact if and only if  $\|C_{\varphi}(\sigma_a)\|_{BMOA} \to 0$  as  $|a| \to 1$ .
- (3)  $C_{\varphi}: \mathcal{D} \to B$  is compact if and only if  $||C_{\varphi}(\sigma_a)||_B \to 0$  as  $|a| \to 1$ .

THEOREM 4.5. Suppose that  $0 and <math>\varphi$  is an analytic self-map of D. Then  $C_{\varphi}(\mathcal{D}) \subset Q_0^p$  if and only if  $\varphi \in Q_0^p$  and for every  $\varepsilon > 0$ , there exists  $\delta > 0$  such that for all b ( $|b| > \delta$ ),  $\theta \in [0, 2\pi)$  and all  $h \in (0, 1)$ 

(4.16) 
$$\mu_{b,p}(S(h,\theta)) \leq \varepsilon Ch^2.$$

PROOF. Necessity. Suppose that  $C_{\varphi}(\mathcal{D}) \subset Q_0^p$ . It is obvious that  $C_{\varphi}(z) = z \circ \varphi = \varphi \in Q_0^p$  as  $z \in \mathcal{D}$ . Since  $\{\sigma_a : a \in D\}$  is bounded in  $\mathcal{D}$ . Then  $C_{\varphi}(\sigma_a) \in Q_0^p$ , that is,

$$\lim_{|b|\to 1}\int_D |\sigma'_a(w)|^2 d\mu_{b,p}(w) = 0.$$

Given  $\varepsilon > 0$ , there is  $\delta > 0$ , such that for all b,  $|b| > 1 - \delta$ ,

(4.17) 
$$\int_D |\sigma'_a(w)|^2 d\mu_{b,p}(w) < \varepsilon.$$

For  $w \in S(h, \theta)$  and  $a = (1 - h)e^{i\theta}$ , from the discussion in [Tj, page 26], for all  $h \in (0, 1)$ 

$$\frac{1-|a|^2}{|1-\bar{a}w|^2} \ge \frac{1}{4h}.$$

So

$$\begin{split} \int_{D} |\sigma_{a}'(w)|^{2} d\mu_{b,p}(w) &= \int_{D} \left( \frac{1 - |a|^{2}}{|1 - \bar{a}w|^{2}} \right)^{2} d\mu_{b,p}(w) \\ &\geq \inf_{w \in S(h,\theta)} \left( \frac{1 - |a|^{2}}{|1 - \bar{a}w|^{2}} \right)^{2} \mu_{b,p}(S(h,\theta)) \geq \frac{\mu_{b,p}(S(h,\theta))}{4^{2}h^{2}}. \end{split}$$

Thus for all b,  $|b| > 1 - \delta$ ,  $h \in (0, 1)$  and  $\theta \in [0, 2\pi)$ 

$$\mu_{b,p}(S(h,\theta)) \leq 4^2 h^2 \int_D |\sigma_a'(w)|^2 dm_{b,p}(w) < \varepsilon 4h^2$$

Sufficiency. If  $f \in \mathcal{D}$ , we show that  $C_{\varphi}(f) \in Q_0^p$ . As in the proof of Theorem 4.1.

(4.18) 
$$\int_{D} |f'(w)|^{2} d\mu_{b,p}(w)$$

$$\leq C \left( \int_{|z|>1/2} + \int_{|z|\le 1/2} \right) \frac{|f'(z)|^{2}}{(1-|z|)^{2}} \mu_{b,p}(S(2(1-|z|), \arg z) dm(z))$$

$$\leq I + II.$$

If |z| > 1/2, from (4.16), for every  $\varepsilon > 0$  there is  $\delta_1 > 0$  such that for  $|b| > \delta_1$ ,

(4.19) 
$$I \leq \varepsilon C \int_{|z|>1/2} \frac{|f'(z)|^2}{(1-|z|)^2} (2(1-|z|))^2 dm(z)$$
$$\leq \varepsilon C \int_D |f'(z)|^2 dm(z) \leq \varepsilon C ||f||_{\mathscr{P}}^2.$$

If  $|z| \leq 1/2$ , since  $f \in \mathcal{D} \subset B$ , we have  $||f||_B \leq C||f||_{\mathcal{D}}$ . By  $\varphi \in Q_0^p$ , (2.9) and the definition of  $Q_0^p$  we have  $\lim_{|b|\to 1} \int_D d\mu_{b,p}(w) = 0$ . So there exists  $\delta_2 > 0$  such that

if  $|b| > \delta_2$ ,  $\mu_{b,p}(D) < \varepsilon$ , thus

(4.20) 
$$II \leq C \int_{|z| \leq 1/2} \frac{|f'(z)|^2}{(1-|z|)^2} \mu_{b,p}(D) dm(z) \\ \leq C \int_{|z| \leq 1/2} \frac{\|f\|_B^2}{(1-|z|)^4} \mu_{b,p}(D) dm(z) \leq \varepsilon C \|f\|_{\mathscr{D}}^2.$$

Taking  $\delta = \max{\{\delta_1, \delta_2\}}$ , if  $|b| > \delta$ , from (4.18), (4.19) and (4.20), we get

$$\int_{D} |f'(w)|^2 d\mu_{b,p}(w) < \varepsilon C ||f||_{\mathscr{D}}^2,$$

that is,  $C_{\varphi}(f) \in Q_0^p$ .

Combining Theorem 4.1, Theorem 4.3 and Theorem 4.5 we obtain the boundedness and compactness of  $C_{\varphi} : \mathscr{D} \to Q_0^p$ , where the boundedness means  $C_{\varphi}(\mathscr{D}) \subset Q_0^p$ and  $C_{\varphi} : \mathscr{D} \to Q^p$  is bounded, and compactness means that  $C_{\varphi}(\mathscr{D}) \subset Q_0^p$  and  $C_{\varphi} : \mathscr{D} \to Q^p$  is compact.

COROLLARY 4.6. Suppose that  $0 and <math>\varphi$  is an analytic self-map of D. Then the composition operator

(1)  $C_{\varphi} : \mathcal{D} \to Q_0^p$  is bounded if and only if  $\sup_{a \in D} \|C_{\varphi}(\sigma_a)\|_{Q^p} < \infty$  and the sufficient condition (4.16) of Theorem 4.5 holds.

(2)  $C_{\varphi} : \mathcal{D} \to Q_0^p$  is compact if and only if  $\lim_{|a| \to 1} \|C_{\varphi}(\sigma_a)\|_{Q^p} = 0$  and the sufficient condition (4.16) of Theorem 4.5 holds.

# 5. Composition operators from $B^0$ to $Q^p$ and $Q_0^p$

In Remark 1 of Section 3, we point out that (3.3) is not sufficient for the compactness of  $C_{\varphi}$  on  $Q^p$  spaces. In this section we show that (3.3) is necessary and sufficient for the compactness of  $C_{\varphi}$  from a subspace  $B^0$  of  $Q^p$  to  $Q^p$ , where  $B^0$  is a space of analytic functions f with  $f' \in H^{\infty}$ , and  $||f||_{B^0} = |f(0)| + ||f'||_{\infty}$ .

THEOREM 5.1. Suppose that  $0 and <math>\varphi$  is an analytic self-map of D. Then the composition operator  $C_{\varphi} : B^0 \to Q^p$  is bounded if and only if  $\varphi \in Q^p$ .

PROOF. Suppose that  $\varphi \in Q^p$ , and  $f \in B^0$ , we show that  $f \circ \varphi \in Q^p$ . From  $\varphi \in Q^p$  and  $f \in B^0$  we have

$$\int_{S(I)} |(f \circ \varphi)'(z)|^2 (1 - |z|^2)^p \, dm(z)$$
  
= 
$$\int_{S(I)} |f'(\varphi(z))|^2 |\varphi'(z)|^2 (1 - |z|^2)^p \, dm(z)$$

Composition operators on  $Q^p$  spaces

$$\leq \|f\|_{B^0}^2 \int_{S(I)} |\varphi'(z)|^2 (1-|z|^2)^p \, dm(z) \leq \|f\|_{B^0}^2 \|\varphi\|_{Q^p}^2 |I|^p$$

for all I on  $\partial D$ . Since  $f \in B^0 \subset \mathcal{D}$ , then (4.9) gives

$$|f(\varphi(0))| \le C ||f||_{B^0} \log \frac{1}{1 - |\varphi(0)|}$$

So, from (2.7),

$$\|C_{\varphi}f\|_{Q^p} \leq C(\varphi)\|f\|_{B^0}, \quad f \in B^0,$$

where  $C(\varphi)$  is a constant depending only on  $\varphi$ . If  $C_{\varphi} : B^0 \to Q^p$  is bounded, then  $C_{\varphi}(f) \in Q^p$  for all  $f \in B^0$ . Taking f = z, we have  $\varphi \in Q^p$ .

COROLLARY 5.2. If  $\varphi$  is an analytic self-map of D, then the composition operator  $C_{\varphi}: B^0 \to BMOA(B)$  is bounded.

THEOREM 5.3. Suppose that  $0 and <math>\varphi$  is an analytic self-map of D. Then the composition operator  $C_{\varphi} : B^0 \to Q^p$  is compact if and only if  $\varphi \in Q^p$  and for every  $\varepsilon > 0$  there is  $\delta$ ,  $0 < \delta < 1$ , such that

(5.1) 
$$\int_{S(I)} 1_{D_{\delta}}(z)(1-|z|^{2})^{p} |\varphi'(z)|^{2} dm(z) < \varepsilon |I|^{p}$$

for all arcs I on  $\partial D$ .

PROOF. If  $C_{\varphi} : B^0 \to Q^p$  is compact, then  $\varphi \in Q^p$  by Theorem 5.1. From Lemma 1 and for any  $f_n \in B^0$ ,  $||f_n||_{B^0} \leq C$  and converges uniformly to 0 on compact subsets of D, we have  $||f_n \circ \varphi||_{Q^p} \to 0$  as  $n \to \infty$ . Set  $f_n(z) = z^n/n$ , since  $z^n/n$  is norm bounded in  $B^0$  and converges uniformly to 0 on compact subsets of D, we have

$$\left\|\frac{\varphi^n}{n}\right\|_{Q^p}\to 0, \quad n\to\infty.$$

Hence, given  $\varepsilon > 0$ , there is N > 0 such that if  $n \ge N$ , then

$$\frac{1}{n}\int_{S(I)}n^{2}|\varphi(z)|^{2n-2}|\varphi'(z)|^{2}(1-|z|^{2})^{p}\,dm(z)<\varepsilon|I|^{p}$$

for all *I*. Given  $\delta$ ,  $0 < \delta < 1$ ,

$$N\delta^{2N-2} \int_{S(I)} 1_{D_{\delta}}(z)(1-|z|^{2})^{p} |\varphi'(z)|^{2} dm(z)$$
  
$$\leq N \int_{S(I)} |\varphi(z)|^{2N-2} |\varphi'(z)|^{2} (1-|z|^{2})^{p} dm(z) < \varepsilon |I|^{p}$$

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for all I, since  $|\varphi(z)| > \delta$  on  $D_{\delta}$ . Choosing  $\delta$  so that  $N\delta^{2N-2} = 1$ , we obtain (5.1).

To prove that  $C_{\varphi}$  is compact, let  $\{f_n\} \subset B^0$  be such that  $||f_n||_{B^0} \leq C$  and converges to 0 uniformly on compact subsets of D. We show that

$$\|C_{\varphi}(f_n)\|_{Q^p}\to 0, \quad n\to\infty.$$

Fix  $\varepsilon > 0$  and let  $\delta$ ,  $0 < \delta < 1$ , such that (5.1). Since  $\varphi(D \setminus D_{\delta})$  is a relatively compact subset of D,  $f'_{n} \circ \varphi$  converges uniformly to 0 on  $D \setminus D_{\delta}$ , then there is  $N \ge 0$  such that  $|f'_{n} \circ \varphi|^{2} < \varepsilon$  if  $n \ge N$  and  $z \in D \setminus D_{\delta}$ . So for all  $n \ge N$  and I on  $\partial D$ 

(5.2) 
$$\int_{\mathcal{S}(I)} 1_{D \setminus D_{\delta}}(z) (1 - |z|^2)^p |f'_n(\varphi(z))|\varphi'(z)|^2 dm(z) \le \varepsilon ||\varphi||_{Q^p}^2 |I|^p$$

and

(5.3) 
$$\int_{S(I)} 1_{D_{\delta}}(z)(1-|z|^{2})^{p} |f_{n}'(\varphi(z))|^{2} |\varphi'(z)|^{2} dm(z)$$
$$\leq \|f_{n}\|_{B^{0}}^{2} \int_{S(I)} 1_{D_{\delta}}(z)(1-|z|^{2})^{p} |\varphi'(z)|^{2} dm(z) \leq \varepsilon C |I|^{p}.$$

Hence, combining (5.2) with (5.3), we obtain,

$$\int_{S(I)} (1-|z|^2)^p |f'_n(\varphi(z))|\varphi'(z)|^2 \, dm(z) \le \varepsilon (C+\|\varphi\|_{Q^p}^2) |I|^p$$

for all I on  $\partial D$  and  $n \ge N$ . Since  $f_n \circ \varphi(0) \to 0$  as  $n \to \infty$ , we have

$$\lim_{n\to\infty} \|C_{\varphi}(f_n)\|_{Q^p} = 0$$

The proof of Theorem 5.3 is complete.

When p = 1, we get the compactness of composition operator  $C_{\varphi}$  from  $B^0$  to *BMOA* and Bloch space *B*.

COROLLARY 5.4. Suppose that  $\varphi$  is an analytic self-map of D. Then  $C_{\varphi} : B^0 \rightarrow BMOA$  is compact if and only if for every  $\varepsilon > 0$  there is  $\delta$ ,  $0 < \delta < 1$ , such that

$$\int_{S(I)} 1_{D_{\delta}}(z)(1-|z|^2) |\varphi'(z)|^2 \, dm(z) < \varepsilon |I|$$

for all arcs I on  $\partial D$ .

COROLLARY 5.5. Suppose that  $\varphi$  is an analytic self-map of D. Then  $C_{\varphi} : B^0 \to B$  is compact if and only if for every  $\varepsilon > 0$  there is  $\delta$ ,  $0 < \delta < 1$ , such that

$$\int_{S(I)} 1_{D_{\delta}}(z) (1-|z|^2)^p |\varphi'(z)|^2 dm(z) < \varepsilon |I|^p$$

for all arcs I on  $\partial D$  and  $p \in [1, \infty)$ .

THEOREM 5.6. Suppose that  $0 and <math>\varphi$  is an analytic self-map of D. Then the following statements are equivalent:

- (1)  $\varphi \in Q_0^p$ .
- (2)  $C_{\varphi}: B^0 \to Q_0^p$  is bounded.
- (3)  $C_{\varphi}: B^0 \to Q_0^p$  is compact.

PROOF. (3) implies (2) is obvious.

(2) implies (1). If  $C_{\varphi}$  is bounded, then  $f \circ \varphi \in Q_0^p$  for all  $f \in B^0$ . Set f(z) = z, we obtain  $\varphi \in Q_0^p$ .

(1) implies (2). If  $\varphi \in Q_0^p$ , then  $C_{\varphi} : B^0 \to Q^p$  is bounded by Theorem 5.1. So it is enough to show that  $C_{\varphi}(B^0) \subset Q_0^p$ . Since  $\varphi \in Q_0^p$ , then for every  $\varepsilon > 0$ , there exists  $\delta > 0$ 

$$\begin{split} \int_{S(I)} |(f \circ \varphi)'(z)|^2 (1 - |z|^2)^p \, dm(z) \\ &= \int_{S(I)} |f'(\varphi(z))|^2 |\varphi'(z)|^2 (1 - |z|^2)^p \, dm(z) \\ &\leq \|f\|_{B^0}^2 \int_{S(I)} |\varphi'(z)|^2 (1 - |z|^2)^p \, dm(z) \leq \varepsilon \|f\|_{B^0}^2 \|\varphi\|_{Q^p}^2 |I|^p \end{split}$$

for all  $I, |I| \leq \delta$ , and  $f \in B^0$ , that is,  $C_{\varphi}(f) = f \circ \varphi \in Q_0^p$ .

(1) implies (3). Suppose that  $\varphi \in Q_0^p$ . To prove that  $C_{\varphi}$  is compact, we need to show that  $C_{\varphi}(B^0) \subset Q_0^p$  and  $C_{\varphi} : B^0 \to Q^p$  is compact. The first inclusion is obvious from (1) implies (2). Now we prove compactness of  $C_{\varphi}$ . Let  $\{f_n\} \subset B^0$  such that  $\|f_n\|_{B^0} \leq C$ , and converges to 0 uniformly on compact subsets of D. It is enough to show that

$$\|C_{\varphi}(f_n)\|_{Q^p}\to 0, \quad n\to\infty.$$

Since  $\varphi \in Q_0^p$ , from Theorem 1 and (2.6), for  $\varepsilon > 0$ , there is  $0 < \delta < 1$  such that for  $h < \delta$  and  $\theta \in [0, 2\pi)$ ,

(5.4) 
$$\int_{\mathcal{S}(h,\theta)} |\dot{\varphi}'(z)|^2 (1-|z|^2)^p \, dm(z) \leq \varepsilon h^p.$$

For  $h, h < \delta, \theta \in [0, 2\pi)$ , from (5.4) and  $||f_n||_{B^0} \leq C$ , we have

(5.5) 
$$\int_{S(h,\theta)} |(f_n \circ \varphi)'(z)|^2 (1 - |z|^2)^p \, dm(z)$$
$$= \int_{S(h,\theta)} |f_n'(\varphi(z))|^2 |\varphi'(z)|^2 (1 - |z|^2)^p \, dm(z)$$
$$\leq \|f_n\|_{B^0}^2 \int_{S(h,\theta)} |\varphi'(z)|^2 (1 - |z|^2)^p \, dm(z) \leq \varepsilon \, Ch^p$$

For  $h > \delta$ , choose  $h_0 < \delta$ ,  $\theta \in [0, 2\pi)$ . From the definition of  $S(h, \theta)$ , it is obvious that there exist  $\theta_1, \ldots, \theta_m \in [0, 2\pi)$  and a compact subset K of D such that

(5.6) 
$$S(h,\theta) = K \cup \left(\bigcup_{i=1}^{m} S(h_0,\theta_i)\right).$$

Since  $f'_n$  converges to 0 uniformly on a compact subset K, then there exists N > 0 such that for all  $n \ge N$  and  $h \in (0, 1)$ 

(5.7) 
$$\int_{K} |(f_n \circ \varphi)'(z)|^2 (1-|z|^2)^p \, dm(z) \leq \varepsilon \int_{K} (1-|z|^2)^p \leq \varepsilon Ch^p.$$

For  $S(h_0, \theta_i)$ , i = 1, ..., m, using (5.5), we have

(5.8) 
$$\int_{S(h_0,\theta_i)} |(f_n \circ \varphi)'(z)|^2 (1-|z|^2)^p \, dm(z) \le \varepsilon h_0^p.$$

From (5.6), (5.7) and (5.8), we have for  $h > \delta$  and  $n \ge N$ 

(5.9) 
$$\int_{S(h,\theta)} |(f_n \circ \varphi)'(z)|^2 (1 - |z|^2)^p \, dm(z)$$
$$\leq \left( \int_K + \int_{\sum_{i=1}^m S(h_0,\theta_i)} \right) |(f_n \circ \varphi)'(z)|^2 |(1 - |z|^2)^p \, dm(z)$$
$$\leq C\varepsilon h^p + \sum_{i=1}^m \int_{S(h_0,\theta_i)} |(f_n \circ \varphi)'(z)|^2 (1 - |z|^2)^p \, dm(z)$$
$$\leq C\varepsilon h^p + C \sum_{i=1}^m \varepsilon h_0^p \leq C\varepsilon h^p.$$

Combining (5.5) with (5.9) we get for all  $n \ge N$ ,  $h \in (0, 1)$  and all  $\theta \in [0, 2\pi)$ 

$$\int_{S(h,\theta)} |(f_n \circ \varphi)'(z)|^2 (1-|z|^2)^p \, dm(z) \leq C \varepsilon h^p.$$

Hence

 $\|C_{\varphi}(f_n)\|_{Q^p}\to 0 \quad n\to\infty.$ 

by Theorem 1 and (2.6). The proof is finished.

COROLLARY 5.7. If  $\varphi$  is an analytic self-map of D, then the following statements are equivalent:

- (1)  $\varphi \in VMOA$ .
- (2)  $C_{\varphi}: B^0 \to VMOA$  is bounded.

(3)  $C_{\varphi}: B^0 \to VMOA$  is compact.

COROLLARY 5.8. If  $\varphi$  is an analytic self-map of D, then the following statements are equivalent:

- (1)  $\varphi \in B_0$ .
- (2)  $C_{\varphi}: B^0 \to B_0$  is bounded.
- (3)  $C_{\varphi}: B^0 \to B_0$  is compact.

Corollary 5.8 shows that Theorem 4.1 in [Lo] holds for  $\alpha = 0$  and  $\beta = 1$ .

# 6. Composition operators from B to $Q^p$ and $Q_0^p$

In [SmZh], Smith and Zhao have studied the compactness of composition operators  $C_{\varphi}$  from Bloch space B to  $Q^{p}$  and  $Q_{0}^{p}$  spaces, see [SmZh, Theorem 1.6 and Proposition 6.5]. In this section, we give different compact characterizations of  $C_{\varphi}: B \to Q^{p}(Q_{0}^{p})$ . In [ArFiPe], the following result was proved

THEOREM 6.1. Let  $\mu$  be a positive measure on D and  $0 \le p < \infty$ . Then

$$\int_d |f'(w)|^p d\mu(w) \le C \|f\|_B$$

for all  $f \in B$ , if and only if

$$\int_D \frac{d\mu(w)}{(1-|w|^2)^p} < \infty.$$

Combining Theorem 6.1 with (2.9), yields the following characterization of bounded composition operator from Bloch space to  $Q^p$  spaces for  $0 \le p < \infty$ .

THEOREM 6.2. Suppose that  $0 \le p < \infty$  and  $\varphi$  is an analytic self-map of D. Then the composition operator  $C_{\varphi} : B \to Q^p$  is bounded if and only if

$$\sup_{a\in D}\int_{D}\frac{|\varphi'(z)|^2}{(1-|\varphi(z)|^2)^2}(1-|\sigma_a(z)|^2)^p\,dm(z)<\infty.$$

Smith and Zhao [SmZh] proved Theorem 6.2 for  $0 using a different idea. For <math>1 , we know by Schwarz-Pick lemma that <math>C_{\varphi}$  is bounded on *B* for any analytic self-map  $\varphi$ . For p = 0, 1, we get the following corollary (Note that when  $p = 0, N_{\varphi,0}(w) = n(\varphi, w)$ .

COROLLARY 6.3. Suppose that  $\varphi$  be is analytic self-map of D. Then the composition operator

[23]

(1)  $C_{\varphi}: B \to \mathcal{D}$  is bounded if and only if

(6.1) 
$$\int_{D} \frac{|\varphi'(z)|^2}{(1-|\varphi(z)|^2)^2} \, dm(z) < \infty.$$

(2)  $C_{\varphi}: B \rightarrow BMOA$  is bounded if and only if

$$\sup_{a\in D}\int_{D}\frac{|\varphi'(z)|^{2}}{(1-|\varphi(z)|^{2})^{2}}(1-|\sigma_{a}(z)|^{2})\,dm(z)<\infty.$$

THEOREM 6.4. Suppose that  $0 and <math>\varphi$  is an analytic self-map of D. Then  $C_{\varphi} : B \to Q^p$  is compact if and only if  $\varphi \in Q^p$  and for every  $\varepsilon > 0$  there is  $\delta : 0 < \delta < 1$  such that

(6.2) 
$$\int_{S(I)} 1_{D_{\delta}}(z) |f'(\varphi(z))|^2 |\varphi(z)|^2 (1-|z|^2)^p \, dm(z) \le \varepsilon |I|^p$$

for every arc I and every  $f \in B$  with  $||f||_B \le 1$ .

**PROOF.** Similar to the proof of Theorem 3.1, we omit the details.  $\Box$ 

**PROPOSITION 6.5.** Suppose that  $0 , <math>\varphi$  is an analytic self-map of D and satisfies

(6.3) 
$$\int_D \frac{|\varphi'(z)|^2}{(1-|\varphi(z)|^2)^2} \, dm(z) < \infty.$$

Then  $C_{\varphi}: B \rightarrow Q^{p}$  is compact.

**PROOF.** For 0 , since

$$\int_{D} 1_{D_{\delta}}(z) \frac{|\varphi'(z)|^2}{(1-|\varphi(z)|^2)^2} \, dm(z) \to 0, \quad \delta \to 1.$$

We have

$$\begin{aligned} \frac{1}{|I|^p} \int_{S(I)} &\mathbf{1}_{D_\delta}(z) |f'(\varphi(z))|^2 |\varphi'(z)|^2 (1-|z|^2)^p \, dm(z) \\ &\leq \int_{S(I)} &\mathbf{1}_{D_\delta}(z) \frac{|\varphi'(z)|^2}{(1-|\varphi(z)|^2)^2} \, dm(z) \\ &\leq \int_D &\mathbf{1}_{D_\delta}(z) \frac{|\varphi'(z)|^2}{(1-|\varphi(z)|^2)^2} \, dm(z) \to 0 \quad (\delta \to 1) \end{aligned}$$

for all arc I on  $\partial D$ . By Theorem 6.4  $C_{\varphi}$  is compact.

For p = 0, the proof is standard. Let  $(f_n)$  be a bounded sequence in B,  $||f_n||_B^2 \le C$ , and converges to 0 uniformly on compact subsets. From hypothesis (6.3)

$$\int_{\{\delta < |\varphi| < 1\}} \frac{|\varphi'(z)|^2}{(1 - |\varphi(z)|^2)^2} \, dm(z) \to 0, \quad \delta \to 1.$$

Set

(6.4) 
$$\|C_{\varphi}(f_n)\|_{\mathscr{D}}^2 = \int_D |f_n'(\varphi(z))|^2 |\varphi'(z)|^2 dm(z)$$
$$= \left(\int_{\{\delta < |\varphi| < 1\}} + \int_{\{|\varphi| \le \delta\}}\right) |f_n'(\varphi(z))|^2 |\varphi'(z)|^2 dm(z) = I + II.$$

So for any  $\varepsilon > 0$ , there exists  $\delta < 1$ , such that

(6.5) 
$$I \leq \|f_n\|_B^2 \int_{\{\delta < |\varphi| < 1\}} \frac{|\varphi'(z)|^2}{(1 - |\varphi(z)|^2)^2} \, dm(z) \leq C\varepsilon.$$

Since  $f'_n$  converges to 0 uniformly on  $\{z \in D : |\varphi| \le \delta\}$ , there is N > 0 such that if  $n \ge N$ ,

(6.6) 
$$II \leq \varepsilon \int_{\{|\varphi| \leq \delta\}} |\varphi'(z)|^2 dm(z) \leq \varepsilon \|\varphi\|_{\mathscr{D}}^2,$$

here  $\varphi \in \mathcal{D}$ , because under the condition of Proposition 6.5,  $C_{\varphi} : B \to \mathcal{D}$  is bounded by Corollary 6.3 (1), so  $\varphi \in \mathcal{D}$ . Combining (6.4), (6.5) with (6.6) we have  $\|C_{\varphi}(f_n)\|_{\mathcal{D}} \to 0 \ (n \to \infty)$  and  $C_{\varphi} : B \to \mathcal{D}$  is compact by Lemma 1.

Combining Corollary 6.3 (1) with Proposition 6.5 we get the following result,

COROLLARY 6.6. Suppose that  $\varphi$  is an analytic self-map of D. Then the following statements are equivalent:

(1)  $C_{\varphi}: B \to \mathcal{D} \text{ is compact.}$ (2)  $C_{\varphi}: B \to \mathcal{D} \text{ is bounded.}$ (3)  $\int_{D} \frac{|\varphi'(z)|^2}{(1-|\varphi(z)|^2)^2} dm(z) < \infty.$ 

THEOREM 6.7. Suppose that  $0 and <math>\varphi$  is an analytic self-map of D. Then  $C_{\varphi}: B \to Q_0^p$  is compact if and only if

(6.7) 
$$\lim_{|a|\to 1} \sup_{\{f\in B: \|f\|_{B}<1\}} \int_{D} |f'(\varphi(z))|^{2} |\varphi(z)|^{2} (1-|\sigma_{a}(z)|^{2})^{p} dm(z) = 0.$$

PROOF. Necessity. Suppose that  $C_{\varphi} : B \to Q_0^p$  is compact, then  $C_{\varphi}(\mathbf{B})$  is relatively compact in  $Q_0^p$ , **B** is the unit ball of *B*. Let  $\varepsilon > 0$ , then there is  $(\varepsilon/4)$ -net  $f_1, \ldots, f_m$  in **B**. For  $f_i$ ,  $i = 1, 2, \ldots, m$ , there is  $\delta > 0$ , if  $|z| > \delta$ ,

$$\int_D |(f_i \circ \varphi)'(z)|^2 |(1-|\sigma_a(z)|^2)^p \, dm(z) < \frac{\varepsilon}{4}.$$

For any  $f \in \mathbf{B}$ , there exists  $f_i \in \mathbf{B}$ ,  $i \in \{1, \dots, m\}$  such that

$$\|(f-f_i)\circ\varphi\|_{Q^p}<\varepsilon/4.$$

So we get

$$\begin{split} \int_{D} |(f \circ \varphi)'(z)|^{2} |(1 - |\sigma_{a}(z)|^{2})^{p} \, dm(z) \\ &\leq 2 \int_{D} |(f \circ \varphi - f_{i} \circ \varphi)'(z)|^{2} |(1 - |\sigma_{a}(z)|^{2})^{p} \, dm(z) \\ &+ 2 \int_{D} |(f_{i} \circ \varphi)'(z)|^{2} |(1 - |\sigma_{a}(z)|^{2})^{p} \, dm(z) < 2\frac{\varepsilon}{4} + 2\frac{\varepsilon}{4} = \varepsilon, \end{split}$$

if  $|a| > \delta$  and for all  $f \in B$  with  $||f||_B < 1$ . So (6.7) is proved.

Sufficiency. Suppose that  $(f_n) \subset B$  with  $||f_n||_B < 1$  and converges to 0 uniformly on compact subsets of D, we prove

(6.8) 
$$\lim_{k \to \infty} \|C_{\varphi}(f_n)\|_{Q^p} = 0.$$

Let  $\varepsilon > 0$ , from (6.1), there is  $\delta > 0$ , such that for all  $f_n$ ,  $||f_n||_B < 1$ ,

(6.9) 
$$\sup_{\delta < |a| < 1} \int_{D} |(f_n \circ \varphi)'(z)|^2 |(1 - |\sigma_a(z)|^2)^p \, dm(z) < \varepsilon.$$

For  $a \in D$ ,  $t \in (0, 1)$  and  $D_t = \{z \in D : |\varphi(z)| > t\}$ , set

$$T_t(a) = \int_{D_t} |(f_n \circ \varphi)'(z)|^2 (1 - |\sigma_a(z)|^2)^p \, dm(z).$$

Since  $f_n \circ \varphi \in Q^p$ , then  $\lim_{t \to 1} T_t(a) = 0$ . For each  $a \in D$ , there exists  $t_a$  such that  $T_{t_a}(a) < \varepsilon$ . The same as in the proof of Lemma 1.3 of [SmZh],  $T_t(a)$  is a continuous function of a, so there is a neighbourhood  $N(a) \subset D$  of a such that  $T_{t_a}(z) < \varepsilon$ , for all  $z \in N(a)$ . Since  $\{a : |a| \le \delta\} \subset \bigcup_{a \in \{a: |a| \le \delta\}} N(a)$  and  $\{a : |a| \le \delta\}$  is closed, there exist  $N(a_1), \ldots, N(a_m)$  such that  $\{a: |a| \le \delta\} \subset \bigcup_{i=1}^m N(a_i)$ . For  $a_i$ ,  $i = 1, \ldots, m$ , there exists  $t_{a_i}$  such that  $T_{t_{a_i}}(z) < \varepsilon$ ,  $z \in N(a_i)$ ,  $i = 1, \ldots, m$ . Setting  $t_0 = \max\{t_{a_1}, \ldots, t_{a_m}\}$ ,  $T_{t_0}(a) < \varepsilon$  for all  $|a| \le \delta$ . That is

(6.10) 
$$\sup_{|a| \le \delta} \int_{D_{t_0}} |(f_n \circ \varphi)'(z)|^2 |(1 - |\sigma_a(z)|^2)^p \, dm(z) < \varepsilon.$$

On the other hand, since  $f_n$  converges to 0 uniformly on compact subsets of D, there exists N, such that for all  $n \ge N$ , if  $|w| \le t_0$ ,  $|f'_n(w)|^2 < \varepsilon$ . Set f = z in (6.7) we have  $\varphi \in Q^p$ , so

(6.11) 
$$\sup_{|a| \le \delta} \int_{D \setminus D_0} |(f_n \circ \varphi)'(z)|^2 (1 - |\sigma_a(z)|^2)^p \, dm(z)$$
$$\leq \sup_{|a| \le \delta} \varepsilon \int_D |\varphi'(z)|^2 (1 - |\sigma_a(z)|^2)^p \, dm(z) \le \varepsilon \|\varphi\|_{Q^p}^2.$$

From (6.10) and (6.11), we get, for  $n \ge N$ ,

(6.12) 
$$\sup_{|a|\leq\delta} \int_D |(f_n \circ \varphi)'(z)|^2 (1 - |\sigma_a(z)|^2)^p \, dm(z) \leq (1 + \|\varphi\|_{Q^p}^2)\varepsilon.$$

Combining (6.9) with (6.12) we have  $||C_{\varphi}(f_n)||_{Q^p} \to 0$  as  $n \to \infty$ . This completes the proof.

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