

A NOTE ON A CONJECTURE OF BRAUER

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TO RICHARD BRAUER on the occasion of his 60th Birthday

§ 1. Introduction

In [1] R. Brauer asked the following question: Let \mathcal{G} be a finite group, p a rational prime number, and B a p -block of \mathcal{G} with defect d and defect group \mathcal{D} . Is it true that \mathcal{D} is abelian if and only if every irreducible character in B has height 0? The present results on this problem are quite incomplete. If $d=0, 1, 2$ the conjecture was proved by Brauer and Feit, [4] Theorem 2. They also showed that if \mathcal{D} is cyclic, then no characters of positive height appear in B . If \mathcal{D} is normal in \mathcal{G} , the conjecture was proved by W. Reynolds and M. Suzuki, [12]. In this paper we shall show that for a solvable group \mathcal{G} , the conjecture is true for the largest prime divisor p of the order of \mathcal{G} . Actually, one half of this has already been proved in [7]. There it was shown that if \mathcal{G} is a p -solvable group, where p is any prime, and if \mathcal{D} is abelian, then the condition on the irreducible characters in B is satisfied.

The proof of the converse presented here is somewhat difficult. A series of reductions gives rise to the following situation: \mathcal{G} is a finite solvable group of order pg' , where $(p, g') = 1$, such that \mathcal{G} has no proper normal subgroups of p' -index. Moreover \mathcal{G} acts faithfully and irreducibly on a vector space \mathcal{V} over a finite field, such that each vector v in \mathcal{V} is fixed by some Sylow p -subgroup of \mathcal{G} . Using methods similar to those used by Huppert in [10], [11], we shall see that $g' = 1$ if p is the largest prime divisor of the order of \mathcal{G} .

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§ 2. Proofs of the Theorems

Notation will be explained when used; for the most part, it will be that of [7]. Let \mathcal{G} be a finite group of order $|\mathcal{G}| = p^a g'$, where p is a fixed prime number, a is an integer ≥ 0 , and $(p, g') = 1$. Since the only characters of \mathcal{G} which will concern us are those of complex-valued representations, the word “character” will refer only to such characters. The basic results of modular representation theory can be found in [3]. If B is a block of \mathcal{G} of defect d , and χ is an irreducible character in B , then the height of χ is the integer $e \geq 0$ such that p^{a-d+e} is the exact power of p dividing the degree of χ .

THEOREM 1. *Let \mathcal{G} be a finite solvable group, p the largest prime divisor of $|\mathcal{G}|$. Let B be a p -block of \mathcal{G} with defect d and defect group \mathfrak{P} . If every character in B has height 0, then \mathfrak{P} is abelian.*

Proof. The proof is by double induction on a and $g = |\mathcal{G}|$. We assume that the theorem is true for all solvable groups of order divisible by at most p^{a-1} and for all solvable groups of order $p^a m$, where $(p, m) = 1$ and $p^a m < g$.

a) The reduction in [7] §3 permits us to assume B has defect a . The defect group \mathfrak{P} is hence a Sylow p -subgroup of \mathcal{G} and the condition on the heights means that the characters in B all have degree prime to p .

b) Let $\tilde{\mathcal{G}}$ be a maximal normal subgroup of \mathcal{G} . By [7] (3 J), (1 F), there is a block \tilde{B} of $\tilde{\mathcal{G}}$ such that $\mathfrak{P} \cap \tilde{\mathcal{G}}$ is a defect group of \tilde{B} , and such that every character in \tilde{B} has height 0. The induction hypothesis implies that $\mathfrak{P} \cap \tilde{\mathcal{G}}$ is abelian. If $|\mathcal{G} : \tilde{\mathcal{G}}| \neq p$, then $\mathfrak{P} \cap \tilde{\mathcal{G}} = \mathfrak{P}$ and we are done. We may therefore assume that \mathcal{G} has no nontrivial normal subgroups of p' -index (a number n is p' if $p \nmid n$).

c) Let \mathfrak{H} be the maximal normal p' -subgroup of \mathcal{G} ; we may assume that $\mathfrak{H} > 1$; otherwise B contains all the irreducible characters of \mathcal{G} and the theorem follows from [7] (3 A), (3 D). By [7] (2 D) there is then a group \mathfrak{M} and a block B' of \mathfrak{M} such that (i) B and B' have isomorphic defect groups, (ii) there is a 1-1 height preserving correspondence between the characters of B and B' , (iii) there is cyclic normal p' -subgroup \mathcal{C} in the center of \mathfrak{M} such that $\mathfrak{M}/\mathcal{C} \simeq \mathcal{G}/\mathfrak{H}$, (iv) the characters of \mathfrak{M} in B' are all the irreducible characters of \mathfrak{M} which induce a given linear character of \mathcal{C} .

The characters in B' all have height 0, and we therefore need prove

Theorem 1 only for the group \mathfrak{M} . We note p^a is the exact power of p dividing $|\mathfrak{M}|$; moreover, p is the largest prime divisor of $|\mathfrak{M}|$ by the construction of \mathfrak{M} in [7]. Let $\tilde{\mathfrak{M}}$ be a maximal normal subgroup of \mathfrak{M} containing \mathfrak{E} ; by b) and the isomorphism $\mathfrak{M}/\mathfrak{E} \simeq \mathfrak{G}/\mathfrak{H}$, $|\mathfrak{M} : \tilde{\mathfrak{M}}| = p$. Denote by \mathfrak{P} a Sylow p -subgroup of \mathfrak{M} (since the rest of the proof concerns \mathfrak{M} , this should cause no confusion). As in b) the subgroup $\mathfrak{D} = \mathfrak{P} \cap \tilde{\mathfrak{M}}$ is abelian. $\mathfrak{D}\mathfrak{E}/\mathfrak{E}$ is the maximal normal p -subgroup in $\mathfrak{M}/\mathfrak{E}$ by [9] Lemma 1.2.3, and since $\mathfrak{D}\mathfrak{E} = \mathfrak{D} \times \mathfrak{E}$, the characteristic subgroup \mathfrak{D} of $\tilde{\mathfrak{M}}$ is therefore normal in \mathfrak{M} .

d) Suppose $\phi(\mathfrak{D}) \neq 1$, where $\phi(\mathfrak{D})$ is the Frattini subgroup of \mathfrak{D} . Since the p -blocks of $\mathfrak{M}/\phi(\mathfrak{D})$ may be regarded as subsets of the p -blocks of \mathfrak{M} by means of the lifting mapping of characters [3] (9 B), it follows by induction that $\mathfrak{P}/\phi(\mathfrak{D})$ is abelian. But $\mathfrak{M}/\mathfrak{D}\mathfrak{E}$ acts faithfully on $\mathfrak{D}/\phi(\mathfrak{D})$ by [9] Lemma 1.2.5. This is impossible, and hence $\phi(\mathfrak{D}) = 1$. We may assume then \mathfrak{D} is an elementary abelian p -group.

e) Let D be any element in \mathfrak{D} . The condition on the heights of the characters in B' implies that D is centralized by a Sylow p -subgroup of \mathfrak{M} (see [7] (1 A), (3 D)). Suppose \mathfrak{D}_1 is a normal subgroup of \mathfrak{M} (written $\mathfrak{D}_1 \triangleleft \mathfrak{M}$) such that $1 < \mathfrak{D}_1 < \mathfrak{D}$. By d) $\mathfrak{D} = \mathfrak{D}_1 \times \mathfrak{D}_2$, where \mathfrak{D}_2 is any complement to \mathfrak{D}_1 in \mathfrak{D} . However, \mathfrak{D}_2 can be selected so that $\mathfrak{D}_2 \triangleleft \mathfrak{M}$. For represent $\tilde{\mathfrak{M}}/\mathfrak{D}$ on \mathfrak{D} by transformation. Since $\tilde{\mathfrak{M}}/\mathfrak{D}$ is a p' -group, this representation is completely reducible by Maschke's Theorem. Hence there exists a complement \mathfrak{D}_2 such that $\mathfrak{D}_2 \triangleleft \tilde{\mathfrak{M}}$. Let A be a fixed element of p -power order, A not in \mathfrak{D} . If D is any element in \mathfrak{D}_2 then $A^{-1}DA = X^{-1}DX$ for some X in $\tilde{\mathfrak{M}}$, and D^A is in \mathfrak{D}_2 , that is, $\mathfrak{D}_2 \triangleleft \mathfrak{M}$. Induction applies to $\mathfrak{M}/\mathfrak{D}_1$ and to $\mathfrak{M}/\mathfrak{D}_2$; therefore $\mathfrak{M}/\mathfrak{D}_1$ and $\mathfrak{M}/\mathfrak{D}_2$ have abelian Sylow p -subgroups. Since \mathfrak{M} can be embedded in $\mathfrak{M}/\mathfrak{D}_1 \times \mathfrak{M}/\mathfrak{D}_2$, \mathfrak{P} is abelian. We may therefore assume \mathfrak{D} is a minimal normal subgroup of \mathfrak{M} .

f) Let \mathfrak{B} be the representation of \mathfrak{M} in the vector space \mathfrak{D} over $GF(p)$. The group $\mathfrak{M}/\mathfrak{D}\mathfrak{E}$ with the representation \mathfrak{B} satisfies the hypothesis of the following theorem. Applying that theorem, we conclude that $\mathfrak{M}/\mathfrak{D}\mathfrak{E}$ is a p -group, and hence $\mathfrak{M} = \mathfrak{P} \times \mathfrak{E}$. From this it follows that \mathfrak{P} must be abelian.

THEOREM 2. *Let \mathfrak{G} be a finite solvable group of order pg' , where $(p, g') = 1$. Let \mathcal{V} be a vector space of dimension d over the finite field K on which \mathfrak{G} acts irreducibly and faithfully. Suppose*

- (i) \mathfrak{G} has no proper normal subgroups of p' -index.
- (ii) Each vector v in \mathcal{V} is fixed by some Sylow p -subgroup of \mathfrak{G} .
- (iii) p is the largest prime divisor of $|\mathfrak{G}|$.

Then $g' = 1$, that is, \mathfrak{G} is a group of order p .

Proof. We proceed by double induction on g' and d . We assume that the theorem is true for all groups of order pm with $m < g'$, and for all groups of order pg' acting on vector spaces of dimension less than d . Groups of order p satisfying the conditions of Theorem 2 trivially have the required structure. On the other hand, if $d = 1$, \mathfrak{G} must be a group of order p , and again Theorem 2 is true.

a) Denote the representation of \mathfrak{G} on \mathcal{V} by \mathfrak{B} . Suppose \mathfrak{B} is not absolutely irreducible. If \mathfrak{B} decomposes into $s > 1$ absolutely irreducible constituents, then there exists an extension field L of K of degree s such that in $L \otimes_K \mathcal{V}$,

$$(1) \quad \mathfrak{B} \approx \begin{pmatrix} \mathfrak{B}_1 & 0 & & 0 \\ 0 & \mathfrak{B}_2 & & 0 \\ & & \ddots & \\ 0 & 0 & & \mathfrak{B}_s \end{pmatrix}$$

The \mathfrak{B}_i are distinct absolutely irreducible representations of \mathfrak{G} , and they are all algebraically conjugate to a fixed one with respect to the automorphisms $\sigma_1, \sigma_2, \dots, \sigma_s$ of L/K . Let $L \otimes_K \mathcal{V} = \mathcal{W}_1 \oplus \mathcal{W}_2 \oplus \dots \oplus \mathcal{W}_s$ be the decomposition of $L \otimes_K \mathcal{V}$ corresponding to (1). If $e_{i1}, e_{i2}, \dots, e_{im}$ is a basis for \mathcal{W}_i , then the vectors of \mathcal{V} can be identified with the vectors in $L \otimes_K \mathcal{V}$ of the form

$$\sum_{i=1}^s \sum_{j=1}^{m_i} (\alpha_j)^{\sigma_i} e_{ij} \quad \alpha_j \text{ in } L.$$

It follows that each vector in \mathcal{W}_1 is fixed by some Sylow p -subgroup of \mathfrak{G} . Hence by induction on the degree of \mathfrak{B}_1 , \mathfrak{G} has the required structure. We may assume then \mathfrak{B} is absolutely irreducible.

b) Let $\tilde{\mathfrak{G}}$ be a maximal normal subgroup of \mathfrak{G} ; by condition (i) $\tilde{\mathfrak{G}}$ must have index p in \mathfrak{G} , and indeed $\tilde{\mathfrak{G}} = [\mathfrak{G}, \mathfrak{G}]$, where $[\mathfrak{G}, \mathfrak{G}]$ is the commutator subgroup of \mathfrak{G} . Suppose the restriction $\mathfrak{B}|_{\tilde{\mathfrak{G}}}$ of \mathfrak{B} to $\tilde{\mathfrak{G}}$ is reducible. If \mathcal{W} is any $\tilde{\mathfrak{G}}$ -invariant subspace of \mathcal{V} , and if w is any vector in \mathcal{W} , then there exists a Sylow p -subgroup \mathfrak{P} of \mathfrak{G} which fixes w . But $\mathfrak{P}\tilde{\mathfrak{G}} = \mathfrak{G}$, and thus $w\mathfrak{G} \subseteq \mathcal{W}$. In other words, \mathcal{W} is also \mathfrak{G} -invariant. Hence we may assume $\mathfrak{B}|_{\tilde{\mathfrak{G}}}$ is ir-

reducible (We shall show later that we may even assume $\mathfrak{B}|\tilde{\mathfrak{G}}$ is absolutely irreducible.).

c) Suppose that \mathfrak{B} is induced by some representation \mathfrak{U} over K from some subgroup $\mathfrak{M} < \mathfrak{G}$. By b) it follows that \mathfrak{M} contains a Sylow p -subgroup of \mathfrak{G} , say $\mathfrak{P} = \langle A \rangle$. We may assume \mathfrak{M} is a maximal subgroup of \mathfrak{G} by replacing \mathfrak{M} with a maximal subgroup containing it and by replacing \mathfrak{U} by the corresponding induced representation. Let \mathfrak{S} be the maximal normal subgroup of \mathfrak{G} contained in \mathfrak{M} , and let $\mathfrak{X}/\mathfrak{S}$ be a minimal normal subgroup of $\mathfrak{G}/\mathfrak{S}$. It is well-known that $\mathfrak{G} = \mathfrak{M}\mathfrak{X}$ and $\mathfrak{M} \cap \mathfrak{X} = \mathfrak{S}$. We may thus take for coset representatives of \mathfrak{M} in \mathfrak{G} , elements $1 = T_0, T_1, \dots, T_r$ of \mathfrak{X} which are coset representatives of \mathfrak{S} in \mathfrak{X} .

Let \mathfrak{Z} be the subspace of \mathfrak{V} on which \mathfrak{U} is defined. As a \mathfrak{G} -module \mathfrak{V} is isomorphic to the \mathfrak{G} -module

$$\mathfrak{V}' = \mathfrak{Z} \otimes 1 + \mathfrak{Z} \otimes T_1 + \dots + \mathfrak{Z} \otimes T_r$$

the action being defined as follows: If G is in \mathfrak{G} , let $T_i G = M_i T_{i'}$, where M_i is in \mathfrak{M} and $i \rightarrow i'$ is a permutation of $0, 1, \dots, r$. If $v = \sum v_i \otimes T_i$ is a vector in \mathfrak{V}' , where the v_i are in \mathfrak{Z} , then

$$vG = \sum_i v_i M_i \otimes T_{i'}$$

Let j be a fixed index, $1 \leq j \leq r$, and u a fixed non-zero vector in \mathfrak{Z} . The vector

$$v = u \otimes T_0 + u \otimes T_j + \sum_{i \neq 0, j} 0 \otimes T_i$$

by hypothesis is fixed by some conjugate A_j of A . Now we may assume $p \geq 3$; otherwise \mathfrak{G} is a cyclic group of order 2. $p \geq 3$ implies that A_j leaves the subspaces $\mathfrak{Z} \otimes T_0, \mathfrak{Z} \otimes T_j$ fixed, and since \mathfrak{M} is the subgroup of \mathfrak{G} leaving $\mathfrak{Z} \otimes T_0$ fixed, the element A_j must be in \mathfrak{M} . On the other hand $\mathfrak{Z} \otimes T_j A_j = \mathfrak{Z} \otimes T_j$ implies that $T_j A_j T_j^{-1}$ is in \mathfrak{M} , and hence $T_j A_j T_j^{-1} A_j^{-1}$ belongs to \mathfrak{M} . Since $T_j A_j T_j^{-1} A_j^{-1}$ belongs to \mathfrak{X} as well, $T_j A_j T_j^{-1} A_j^{-1}$ is in \mathfrak{S} . In other words, we have shown that given any element of $\mathfrak{X}/\mathfrak{S}$ there exists a p -element in \mathfrak{M} centralizing it.

Let \mathfrak{X} be the representation of \mathfrak{G} induced on $\mathfrak{X}/\mathfrak{S}$ by transformation, and let \mathfrak{K} be the kernel of \mathfrak{X} . If \mathfrak{K} contains A , then the permutation representation of \mathfrak{G} on the cosets of \mathfrak{M} would contain A in its kernel, which is impossible.

We may therefore assume $\mathfrak{R} < \mathfrak{G}$. In this case, the induction hypothesis applies to the group $\mathfrak{G}/\mathfrak{R}$ and the representation \mathfrak{X} . \mathfrak{R} must then be $\tilde{\mathfrak{G}}$; by the irreducibility of \mathfrak{X} , A can fix only the zero vector in the space $\mathfrak{I}/\mathfrak{E}$. This property is shared by the conjugates of A as well. But this is impossible, since we have just seen that given any T in $\mathfrak{I}/\mathfrak{E}$, there is a conjugate of A which transforms T onto itself. We may therefore assume \mathfrak{B} is not an induced representation over K .

d) Let \mathfrak{H} be the maximal abelian normal subgroup of \mathfrak{G} . By c) and Clifford's Theorem [5], the restriction $\mathfrak{B}|_{\mathfrak{H}}$ must be a direct sum of equivalent representations

$$(2) \quad \mathfrak{B}|_{\mathfrak{H}} = \mathfrak{B} \oplus \mathfrak{B} \oplus \cdots \oplus \mathfrak{B}$$

where \mathfrak{B} is an irreducible representation of \mathfrak{H} over K . Since $\mathfrak{B}(\mathfrak{H})$ is a cyclic group and \mathfrak{B} represents \mathfrak{H} faithfully by (2), it follows that \mathfrak{H} is cyclic. Let $\mathfrak{C}(\mathfrak{H})$ be the centralizer of \mathfrak{H} in \mathfrak{G} . $\mathfrak{G}/\mathfrak{C}(\mathfrak{H})$ is isomorphic to a subgroup of the automorphism group of \mathfrak{H} , and hence is abelian. By b) it follows that $\mathfrak{C}(\mathfrak{H}) \supseteq \tilde{\mathfrak{G}}$ (We shall show later that \mathfrak{H} is even in the center of \mathfrak{G}).

e) We may assume $\tilde{\mathfrak{G}}$ is non-abelian. For if not, then $\tilde{\mathfrak{G}} = \mathfrak{H}$ would be cyclic, and in particular, A would act trivially on the Frattini factor group $\mathfrak{H}/\phi(\mathfrak{H})$, since p is the largest prime divisor of $|\mathfrak{G}|$. This would contradict condition (i) of the theorem. Let \mathfrak{N} be a minimal non-abelian normal subgroup of \mathfrak{G} ; \mathfrak{N} is contained in $\tilde{\mathfrak{G}}$ and in particular, \mathfrak{N} is centralized by \mathfrak{H} . The results of Huppert [10] §2 therefore apply to this situation. Let r be the characteristic of K . \mathfrak{N} then has the following structure: i) \mathfrak{N} is a q -group for some prime $q \neq r$. ii) The center $\mathfrak{Z}(\mathfrak{N})$ of \mathfrak{N} is cyclic and $\mathfrak{N}/\mathfrak{Z}(\mathfrak{N})$ is a minimal normal subgroup of $\mathfrak{G}/\mathfrak{Z}(\mathfrak{N})$. iii) The order of $\mathfrak{N}/\mathfrak{Z}(\mathfrak{N})$ is of the form q^{2^n} , and $|\mathfrak{N}| = q^{2^{n+1}}$ or $q^{2^{n+2}}$, the latter possibility occurring only in the case $q=2$. iv) The exponent of \mathfrak{N} is q or q^2 , the latter occurring only for $q=2$. v) Transformation by elements of \mathfrak{G} on $\mathfrak{N}/\mathfrak{Z}(\mathfrak{N})$ induces symplectic linear transformations over $GF(q)$. (For q odd, \mathfrak{N} is an extra-special q -group in the terminology of Hall-Higman [9].)

f) Suppose $\mathfrak{B}|_{\mathfrak{N}}$ is reducible, say

$$\mathfrak{B}|_{\mathfrak{N}} = \mathfrak{U} \oplus \mathfrak{U} \oplus \cdots \oplus \mathfrak{U};$$

the irreducible constituents \mathfrak{U} of $\mathfrak{B}|_{\mathfrak{N}}$ are all equivalent by c). Let \mathfrak{L} be an

irreducible subspace of \mathcal{V} for \mathfrak{N} . If u is any non-zero vector in \mathcal{U} , there exists a conjugate B of A which fixes u . Now $\mathcal{U}B$ is also an irreducible subspace of \mathcal{V} for $B^{-1}\mathfrak{N}B = \mathfrak{N}$, and since u is in $\mathcal{U} \cap \mathcal{U}B$, it follows that $\mathcal{U} = \mathcal{U}B$. In other words every vector u in \mathcal{U} is fixed by a conjugate of A belonging to the normalizer $\mathfrak{N}(\mathcal{U})$ of \mathcal{U} in \mathfrak{G} . Let \mathfrak{Q} be the group $\mathfrak{N}(\mathcal{U})/\mathfrak{C}(\mathcal{U})$, where $\mathfrak{C}(\mathcal{U})$ is the centralizer of \mathcal{U} in \mathfrak{G} . Since \mathfrak{N} is faithfully represented on \mathcal{U} , $\mathfrak{N} \cap \mathfrak{C}(\mathcal{U}) = 1$. We may assume A is in $\mathfrak{N}(\mathcal{U})$ by replacing \mathcal{U} by a suitable conjugate subspace. If A is in $\mathfrak{C}(\mathcal{U})$, then A centralizes \mathfrak{N} , since \mathfrak{N} and $\mathfrak{C}(\mathcal{U})$ are normal subgroups of $\mathfrak{N}(\mathcal{U})$ with trivial intersection. This is impossible, for it would imply that $\mathfrak{C}(\mathfrak{N}) = \mathfrak{G}$ or that $\mathfrak{N} \subseteq \mathfrak{Z}(\mathfrak{G})$. We may therefore assume A is not in $\mathfrak{C}(\mathcal{U})$. Let \mathfrak{Q}_1 be the normal subgroup of \mathfrak{Q} generated by the Sylow p -subgroups of \mathfrak{Q} . \mathfrak{Q}_1 has p' -index in \mathfrak{Q} , and moreover \mathfrak{Q}_1 contains no proper normal subgroups of p' -index. Let $\tilde{\mathfrak{Q}}_1$ be the normal p -complement of \mathfrak{Q}_1 . $\mathfrak{U}|\mathfrak{Q}_1$ may no longer be irreducible. Suppose that

$$\mathfrak{U}|\mathfrak{Q}_1 \approx \begin{pmatrix} \mathfrak{B}_1 & & & \\ & \mathfrak{B}_2 & & \\ & & \ddots & \\ & & & \mathfrak{B}_t \end{pmatrix}$$

where the \mathfrak{B}_i are irreducible representations of \mathfrak{Q}_1 conjugate to one another in \mathfrak{Q} . For $i = 1, 2, \dots, t$ let \mathfrak{R}_i be the kernel of \mathfrak{B}_i . No \mathfrak{R}_i can contain A , for otherwise \mathfrak{R}_i would be \mathfrak{Q}_1 , and the representations $\mathfrak{B}_1, \mathfrak{B}_2, \dots, \mathfrak{B}_t$ would be trivial. Let \mathcal{W}_i be the subspace of \mathcal{U} corresponding to \mathfrak{B}_i . The group $\mathfrak{Q}_1/\mathfrak{R}_i$ acting on the subspace \mathcal{W}_i satisfies the conditions of Theorem 2. The induction hypothesis therefore implies that $\tilde{\mathfrak{Q}}_1 = \mathfrak{R}_i$. In other words, $\tilde{\mathfrak{Q}}_1$ is in the kernel of each \mathfrak{B}_i , and hence in the kernel of $\mathfrak{U}|\mathfrak{Q}_1$. It follows that $\langle A \rangle \mathfrak{C}(\mathcal{U})$ is normal in $\mathfrak{N}(\mathcal{U})$. But $\langle A \rangle \mathfrak{C}(\mathcal{U}) \cap \mathfrak{N} = 1$, and again we conclude that A centralizes \mathfrak{N} , which we have already seen to be impossible. We may therefore assume $\mathfrak{B}|\mathfrak{N}$ is irreducible.

g) Let K have r^b elements, where r is the characteristic of K . Let s be the order of r^b modulo q if q is odd, modulo 4 if $q = 2$. In particular s divides $q - 1$ if q is odd, s divides 2 if q is 2. The degree of \mathfrak{B} must be sq^n by [9], 2.4. Since $p > q$, p does not divide sq^n . In particular we conclude that $\mathfrak{B}|\tilde{\mathfrak{G}}$ is absolutely irreducible. Moreover, since $\mathfrak{H} \subseteq \mathfrak{Z}(\tilde{\mathfrak{G}})$, the matrices of $\mathfrak{B}(\mathfrak{H})$ can be represented

as scalar multiples of the identity matrix in some extension field of K , and we conclude that \mathfrak{H} is even in $\mathfrak{Z}(\mathfrak{G})$.

h) Let \mathscr{W} be the symplectic space $\mathfrak{N}/\mathfrak{Z}(\mathfrak{N})$, and let \mathscr{W}_0 be the subspace of all vectors in \mathscr{W} fixed by A . Since A acts as a symplectic transformation on \mathscr{W} , there exists a complement \mathscr{W}_1 to \mathscr{W}_0 in \mathscr{W} which is invariant under A and on which A acts symplectically. A has no fixed vectors in \mathscr{W}_1 besides the zero vector. Let $2m$ be the dimension of \mathscr{W}_1 over $GF(q)$. $m \geq 1$, for otherwise A would not only centralize $\mathfrak{N}/\mathfrak{Z}(\mathfrak{N})$, but even \mathfrak{N} by [8] §1.3. Let $\mathscr{W}_1 = \mathfrak{M}/\mathfrak{Z}(\mathfrak{N})$, and let the index of \mathscr{W}_1 in \mathscr{W} be q^{2t} . Choose a basis in \mathscr{V} over K such that the restriction of \mathfrak{B} to $\langle A, \mathfrak{M} \rangle$ has the form

$$\begin{pmatrix} \mathfrak{M}_1 & 0 & & 0 \\ * & \mathfrak{M}_2 & & 0 \\ & & \ddots & \\ * & * & & \mathfrak{M}_{q^t} \end{pmatrix}$$

Here each \mathfrak{M}_i is an irreducible representation of $\langle A, \mathfrak{M} \rangle$ of degree sq^m .

i) We now calculate the number of vectors in \mathscr{V} fixed by A . Let L be an extension field of degree s over K such that over L , the representation \mathfrak{M}_i decomposes into s absolutely irreducible representations

$$\mathfrak{M}_i \simeq \mathfrak{B}_1 \oplus \mathfrak{B}_2 \oplus \cdots \oplus \mathfrak{B}_s$$

If the vectors in the subspace corresponding to \mathfrak{B}_1 which are fixed by A span a subspace of dimension N over L , then the vectors in the space corresponding to \mathfrak{M}_i which are fixed by A span a subspace of dimension sN over K . Since there are q^t such representations \mathfrak{M}_i , the vectors of \mathscr{V} which are fixed by A span at most a subspace of dimension sNq^t over K .

If $r = p$, N can be computed by the theorems of Hall-Higman [9], 2.5.1-2.5.3. Indeed, $q^m = kp + 1$ or $q^m = kp + (p - 1)$, and $N = k + 1$. If $r \neq p$, we must use a different method. Since r does not divide $|\mathfrak{M}|$, N is precisely the number of characteristic values of $\mathfrak{B}_1(A)$ which are 1. Now there exist an algebraic number field \mathcal{O} , a prime ideal divisor \mathfrak{r} of r in \mathcal{O} , and an absolutely irreducible representation \mathfrak{X} of \mathfrak{M} written in the ring of \mathfrak{r} -local integers of \mathcal{O} , such that the representation \mathfrak{X} modulo \mathfrak{r} is equivalent to \mathfrak{B}_1 . In particular, N is also the number of characteristic values of $\mathfrak{X}(A)$ which are 1. Let χ be the character of \mathfrak{X} ; we then have

$$N = \frac{1}{p} \sum_{i=1}^p \chi(A^i)$$

Since \mathfrak{M} is a group whose order contains p only to the first power, N can be computed by the results of Brauer [2] Theorem 4. Indeed, for $i \not\equiv 0 \pmod{p}$,

$$\chi(A^i) = \begin{cases} \pm f & \text{if } \chi \text{ is non-exceptional} \\ \pm \varepsilon^i f & \text{if } \chi \text{ is exceptional} \end{cases}$$

where ε is a primitive p -th root of unity and f is the degree of an irreducible character of the p' -part of the centralizer of A in \mathfrak{M} . The structure of \mathfrak{M} implies that f must be 1. As for the case $r = p$, we find that $q^m = kp + 1$ or $q^m = kp + (p - 1)$, but now we have only $N \leq k + 1$. In any case, we can conclude that the total number of vectors in \mathcal{V} fixed by A is less than or equal to r^{bsNq^t} .

j) Let \mathfrak{P} be a Sylow p -subgroup of \mathfrak{G} , and let $\mathfrak{N}(\mathfrak{P})$ be the normalizer of \mathfrak{P} in \mathfrak{G} . Since the total number of vectors in \mathcal{V} is r^{bsq^n} , the conditions of Theorem 2 imply that

$$(3) \quad |\mathfrak{G} : \mathfrak{N}(\mathfrak{P})| \geq r^{bs(q^n - Nq^t)}$$

Represent \mathfrak{G} on $\mathfrak{N}/\mathfrak{Z}(\mathfrak{N})$, and let \mathfrak{K} be the kernel of this representation. By [10] Hilfssatz II

$$\begin{aligned} \mathfrak{G}/\mathfrak{K} &\subseteq Sp(2n, q) \\ \mathfrak{K}/\mathfrak{Z} &\subseteq \mathfrak{Z}(\mathfrak{N}) \times \mathfrak{Z}(\mathfrak{N}) \times \cdots \times \mathfrak{Z}(\mathfrak{N}) \quad (2n \text{ times}), \end{aligned}$$

where $Sp(2n, q)$ is the symplectic group of dimension $2n$ over $GF(q)$. Now

$$\begin{aligned} |Sp(2n, q)| &= (q^{2n} - 1)(q^{2n-2} - 1) \cdots (q^2 - 1)q^{2n-1}q^{2n-3} \cdots q \\ &\leq q^{2n^2+n} \end{aligned}$$

$$|\mathfrak{K}/\mathfrak{Z}| \leq \begin{cases} q^{2n} & \text{if } q \neq 2 \\ q^{4n} & \text{if } q = 2 \end{cases}$$

It then follows that

$$r^{bs(q^n - Nq^t)} |\mathfrak{N}(\mathfrak{P})| \leq \begin{cases} |\mathfrak{Z}| q^{2n^2+n} q^{2n} & \text{if } q \neq 2 \\ |\mathfrak{Z}| q^{2n^2+n} q^{4n} & \text{if } q = 2. \end{cases}$$

$\mathfrak{Z} \subseteq \mathfrak{Z}(\mathfrak{G})$ implies that $\mathfrak{Z} \subseteq \mathfrak{N}(\mathfrak{P})$ and thus we have finally

$$(4) \quad r^{bs(q^n - Nq^t)} \leq \begin{cases} q^{2n^2 + 3n} & \text{if } q \neq 2 \\ q^{2n^2 + 5n} & \text{if } q = 2. \end{cases}$$

The inequality (4) holds only for small values of $n, r, p,$ and q . The proof will then be complete once we show no groups \mathcal{G} correspond to these exceptional values.

k) To obtain an estimate on n , we use the inequality

$$q^n - Nq^t \geq q^n - \frac{2q^n}{p}$$

Putting this in (4) we obtain the inequality

$$\frac{p-2}{p} q^n \log r \leq \begin{cases} (2n^2 + 3n) \log q & \text{if } q \neq 2 \\ (2n^2 + 5n) \log q & \text{if } q = 2, \end{cases}$$

and this can hold only for the following values of n and q .

n	q
7	2
6	2
5	2
4	2
3	2, 3
2	2, 3, 5, 7
1	$q \leq 31$

We treat the case $p=3$ separately. For $p=3$, the 3-complement in \mathcal{G} must be a 2-group. Hence $|\mathcal{G} : \mathcal{R}| = 3, |\mathcal{N} : \mathcal{B}(\mathcal{N})| = 4,$ and $|\mathcal{G}| = 48$ or 24 . Since the representation \mathfrak{B} of \mathcal{G} is absolutely irreducible, \mathfrak{B} must have degree 2. Let \mathfrak{P} be a Sylow 3-subgroup of \mathcal{G} ; $\mathcal{N}(\mathfrak{P})$ has index 1, 2, or 4 in \mathcal{G} . \mathfrak{P} can fix at most r^b vectors in \mathcal{V} , so that (3) for this case becomes $4r^b \geq r^{2b}$. This is possible only for $r^b = 3$. But then s would be 2 and the degree of \mathfrak{B} would be 4, which is a contradiction. We may therefore assume that $p \geq 5$.

If $n=1, p|q \pm 1$ implies that $p < q$ or $p=3$. Thus no groups \mathcal{G} can occur for this case. The same argument allows us to assume $m \geq 2$ in the remaining cases. The following argument will be used frequently. For given n, m, q, p we know that $|\mathcal{G} : \mathcal{R}|$ divides the order of $Sp(2n, q)$. The conditions (i) and (iii) of the theorem further restrict the possible divisors of $|\mathcal{G} : \mathcal{R}|$. Using the bounds for $|\mathcal{G} : \mathcal{R}|$ obtained in this way in (3), we can eliminate most of

the remaining cases.

If $n = 2$, there are three cases,

m	q	p
2	2	5
2	3	5
2	5	13

The case $m = 2, q = 2, p = 5$. The group $Sp(4, 2)$ has order $2^4 \cdot 3 \cdot 5$, and hence $|\mathbb{G} : \mathbb{K}| = 5$. If $|\mathfrak{B}(\mathfrak{N})| = 2$, then (3) for this case becomes $2^4 \cdot r^{bs} \geq r^{4bs}$ or $r^{3bs} \leq 2^4$. This cannot hold for any possible value of r . If $|\mathfrak{B}(\mathfrak{N})| = 4$, then $|\mathbb{G} : \mathfrak{N}(\mathfrak{P})| \leq 2^4$. (3) for this case becomes $r^{3bs} \leq 2^4$ and again this is impossible.

The case $m = 2, q = 3, p = 5$. The group $Sp(4, 3)$ has order $2^7 \cdot 3^4 \cdot 5$. The subgroups of $Sp(4, 3)$ have been studied by Dickson in [6]; in particular \mathbb{G}/\mathbb{K} must have order dividing $2^7 \cdot 5$, and thus $|\mathbb{G} : \mathfrak{N}(\mathfrak{P})|$ divides $2^7 \cdot 3^4$. Since $|\mathbb{G} : \mathfrak{N}(\mathfrak{P})| \equiv 1 \pmod{5}$, we can even assert that $|\mathbb{G} : \mathfrak{N}(\mathfrak{P})|$ divides $2^4 \cdot 3^4 = 6^4$. (3) for this case becomes $r^{7bs} \leq 6^4$. If $r = 2$, then $bs \geq 2$ and the inequality is false. No other values for r are possible.

The case $m = 2, q = 5, p = 13$. The group $Sp(4, 5)$ has order $2^7 \cdot 3^2 \cdot 5^4 \cdot 13$, and hence $|\mathbb{G} : \mathbb{K}| = 13$. (3) for this case becomes $r^{23bs} \leq 5^4$, which is impossible.

If $n = 3$, there are five cases,

m	q	p
2	2	5
2	3	5
3	2	7
3	3	13
3	3	7

The case $m = 2, q = 2, p = 5$. The group $Sp(6, 2)$ has order $2^9 \cdot 3^4 \cdot 5 \cdot 7$, and hence $|\mathbb{G} : \mathbb{K}|$ divides $2^9 \cdot 3^4 \cdot 5$. The representation \mathfrak{X} of \mathbb{G}/\mathbb{K} on $\mathfrak{N}/\mathfrak{B}(\mathfrak{N})$ is irreducible, and has dimension 6 over $GF(2)$. A degree consideration shows that $\mathfrak{X}|_{\tilde{\mathbb{G}}}$ is still irreducible. Now if 3^4 does not divide $|\mathbb{G} : \mathbb{K}|$, then $|\mathbb{G} : \mathbb{K}| = 5$, and (3) for this case becomes $r^{6bs} \leq 2^{12}$. If $r = 3$, then $bs \geq 2$ and the inequality is impossible. No other values for r are possible. If 3^4 divides $|\mathbb{G} : \mathbb{K}|$, then $\tilde{\mathbb{G}}/\mathbb{K}$ must have a normal Sylow 3-subgroup of type $(3, 3, 3, 3)$. But such a

group cannot have an irreducible representation of degree 6 over $GF(2)$.

The case $m = 2, q = 3, p = 5$. The group $Sp(6, 3)$ has order $2^{10} \cdot 3^9 \cdot 5 \cdot 7 \cdot 13$, and hence $|\mathbb{G} : \mathbb{K}|$ divides $2^{10} \cdot 3^9 \cdot 5$. (3) for this case becomes $r^{21bs} \leq 2^{10} \cdot 3^{15}$. If $r = 2$, then $bs \geq 2$ and the inequality is impossible. The inequality cannot hold for $r \geq 5$. The last three cases are very similar to this one. Indeed (3) for these cases becomes $r^{6bs} \leq 2^{12}, r^{25bs} \leq 3^6, r^{23bs} \leq 2^{10} \cdot 3^{15}$ respectively, and these are impossible.

If $n = 4$, there are four cases,

m	q	p
2	2	5
3	2	7
4	2	5
4	2	17

The group $Sp(8, 2)$ has order $2^{16} \cdot 3^5 \cdot 5^2 \cdot 7 \cdot 17$. (3) for the cases $p = 7, 17$ becomes $r^{12bs} \leq 2^{16}, r^{14bs} \leq 2^{16}$, respectively, and both are impossible. Suppose then that $p = 5$, so that $|\mathbb{G} : \mathbb{K}|$ divides $2^{16} \cdot 3^5 \cdot 5$. If \mathbb{G}/\mathbb{K} has no principal factor of type $(3, 3, 3, 3)$, then $|\mathbb{G} : \mathbb{K}| = 5$, and (3) becomes $r^{12bs} \leq 2^{16}$, which is impossible. Let \mathbb{Q}/\mathbb{K} be the maximal normal 3-subgroup of \mathbb{G}/\mathbb{K} ; the order of \mathbb{Q}/\mathbb{K} is either 3^4 or 3^5 . If \mathfrak{X} is the representation of \mathbb{G}/\mathbb{K} on $\mathbb{N}/\mathfrak{Z}(\mathbb{N})$, then the restriction $\mathfrak{X}|_{\mathbb{Q}/\mathbb{K}}$ must decompose into four distinct irreducible representations; otherwise \mathfrak{X} would not represent \mathbb{Q}/\mathbb{K} faithfully. But this would imply that \mathbb{G} has a subgroup of index 4, and hence a homomorphic image in the symmetric group on 4 letters. This is a contradiction, since 5 does not divide 4!

If $n = 5$, there are six cases,

m	q	p
2	2	5
3	2	7
4	2	5
4	2	17
5	2	31
5	2	11

The group $Sp(10, 2)$ has order $2^{25} \cdot 3^6 \cdot 5^2 \cdot 7 \cdot 11 \cdot 17 \cdot 31$. All six cases can be eliminated by the same sort of argument. For $p = 5, 7$, (3) becomes $r^{24bs} \leq$

$2^{45} \cdot 3^6$; for $p = 17, 31$, (3) becomes $r^{30bs} \leq 2^{20}$; and for $p = 11$, (3) becomes $r^{29bs} \leq 2^{45} \cdot 3^6$. In all six cases, these inequalities cannot hold for the possible values of r and bs .

Finally, for $n = 6, 7$ the inequality (4) cannot hold for $p \geq 5$. Indeed, for $n = 6$, we find that $r^{bs(q^n - Nq^t)} \geq r^{48bs}$, and for $n = 7$, $r^{bs(q^n - Nq^t)} \geq r^{56bs}$. Then (4) becomes $r^{48bs} \leq 2^{102}$, $r^{56bs} \leq 2^{133}$ respectively, both of which cannot hold for the possible values of r and bs .

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