# Apolar Schemes of Algebraic Forms 

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#### Abstract

This is a note on the classical Waring's problem for algebraic forms. Fix integers ( $n, d, r, s$ ), and let $\Lambda$ be a general $r$-dimensional subspace of degree $d$ homogeneous polynomials in $n+1$ variables. Let $\mathcal{A}$ denote the variety of $s$-sided polar polyhedra of $\Lambda$. We carry out a case-by-case study of the structure of $\mathcal{A}$ for several specific values of ( $n, d, r, s$ ). In the first batch of examples, $\mathcal{A}$ is shown to be a rational variety. In the second batch, $\mathcal{A}$ is a finite set of which we calculate the cardinality.


## 1 Introduction

We begin with a classical example to illustrate the theme of this paper. Let $F_{1}, F_{2}$ be general quadratic forms in variables $x_{0}, \ldots, x_{n}$, with coefficients in $\mathbf{C}$. It is then possible to diagonalize the $F_{i}$ simultaneously (see [16, Chapter 22]), i.e., one can find linear forms $L_{1}, \ldots, L_{n+1}$ such that

$$
F_{i}=c_{i 1} L_{1}^{2}+\cdots+c_{i(n+1)} L_{n+1}^{2}
$$

for $i=1,2$ and some constants $c_{i j} \in \mathbf{C}$. Moreover, up to rescaling there is a unique choice for the set $\left\{L_{1}, \ldots, L_{n+1}\right\}$. This result naturally leads to similar questions about forms of higher degree, where much less is known in general.

Now assume that $F_{1}, \ldots, F_{r}$ are forms of degree $d$ in $x_{0}, \ldots, x_{n}$. Let $Z=$ $\left\{L_{1}, \ldots, L_{s}\right\}$ be a collection of linear forms in the $x_{i}$, such that it is possible to write

$$
F_{i}=c_{i 1} L_{1}^{d}+\cdots+c_{i s} L_{s}^{d}, \quad 1 \leq i \leq r,
$$

for some constants $c_{i j} \in \mathbf{C}$. In nineteenth century terminology (introduced by Reye), $Z$ is then called a polar $s$-hedron (polar s-seit) of the $\left\{F_{i}\right\}$. It corresponds to a collection of hyperplanes in $\mathbb{P}^{n}$ which stands in some geometric relation to the system of hypersurfaces defined by the $F_{i}$. The precise nature of this relation is very sensitive to the values ( $n, d, r, s$ ), but in any event it is invariant under the automorphisms of $\mathbb{P}^{n}$.

For instance, in the example above, let $\Pi_{i}$ be the hyperplane defined by $L_{i}=0$. Then the $n+1$ points

$$
P_{k}=\Pi_{1} \cap \cdots \cap \hat{\Pi}_{k} \cap \cdots \cap \Pi_{n+1}, \quad(k=1, \ldots, n+1)
$$

are exactly the vertices of the singular quadrics belonging to the pencil

$$
\left\{F_{1}+\lambda F_{2}=0\right\}_{\lambda \in \mathbb{P}^{1}} .
$$

Received by the editors March 7, 2004.
AMS subject classification: $14 \mathrm{~N} 05,14 \mathrm{~N} 15$.
Keywords: Waring's problem, apolarity, polar polyhedron.
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### 1.1 Summary of Results

Fix degree $d$ forms $\left\{F_{1}, \ldots, F_{r}\right\}$ as above. Then the polar $s$-hedra of this collection move in an algebraic family, denoted by $\mathcal{A}$. (See Definition 2.5 et seq. for the precise statement.) In this note we deduce results about the birational structure of $\mathcal{A}$ for several specific quadruples ( $n, d, r, s$ ), in each case assuming that the $F_{i}$ are chosen generally. A parameter count shows that the dimension of the variety $\mathcal{A}$ is "expected" to be $s(n+r)-r\binom{n+d}{d}$ (more on this in Section 2 below). For the quadruples

$$
(2,4,2,8),(2,3,4,7),(3,2,6,7)(2,3,7,8)
$$

we show that $\mathcal{A}$ is a rational variety of expected dimension. For the quadruples

$$
(2,3,8,8),(3,2,7,7),(2,4,3,9),(3,3,2,8),(2,3,3,6)
$$

the variety $\mathcal{A}$ is expected to be (and is) a finite set of points; in each case we determine its cardinality. The calculation for $(2,3,3,6)$ was done by Franz London over a century ago; we have given a more rigorous and modern version of his proof.

Along the way, we deduce some miscellaneous results for the quadruples

$$
(2,3,2,6),(2,4,2,8),(3,2,3,9)
$$

For instance, the result for $(2,3,2,6)$ says the following: let $F_{1}, F_{2}$ be two general ternary cubics and $E$ a smooth planar cubic curve apolar to $F_{1}, F_{2}$ (in the sense explained below). Then $E$ passes through exactly three sextuples in $\mathcal{A}$.

In each of the cases above, there is a specific feature of the free resolution of $s$ general points in $\mathbb{P}^{n}$ which is exploited to deduce the answer. Hence we require a technical condition on the polar $s$-hedra, namely that they be "resolution-general" (in the sense of Definition 2.4). Although the specific technique used depends on the case at hand, two general themes are identifiable: the geometry of associated points and intersection theory on symmetric products of elliptic curves. I do not know of any technique which would apply uniformly to all ( $n, d, r, s$ ).

This subject is broadly referred to as "reduction to canonical form" or "Waring's problem for algebraic forms"-see $[2,14,19]$ for an introduction and further references. The paper [22] is an excellent compendium of known results about the structure of $\mathcal{A}$ when $r=1$. For a discussion of ternary cubics (the case $n=2, d=3$ ), see [21, 23].

## 2 Preliminaries

In this section we establish notation and describe the basic set-up of apolarity. The proofs may be found in [19], also see [6, 7, 14, 18].

The base field is $\mathbf{C}$. Let $V$ be an $(n+1)$-dimensional $\mathbf{C}$-vector space and consider the symmetric algebras

$$
R=\bigoplus_{i \geq 0} \operatorname{Sym}^{i} V^{*}, \quad S=\bigoplus_{j \geq 0} \operatorname{Sym}^{j} V
$$

If $\underline{u}=\left\{u_{0}, \ldots, u_{n}\right\}$ and $\underline{x}=\left\{x_{0}, \ldots, x_{n}\right\}$ are dual bases of $V^{*}$ and $V$ respectively, then

$$
R=\mathbf{C}\left[u_{0}, \ldots, u_{n}\right], \quad S=\mathbf{C}\left[x_{0}, \ldots, x_{n}\right]
$$

There are internal product maps $R_{i} \otimes S_{j} \xrightarrow{f_{i j}} S_{j-i}$ (see e.g. [13, p. 476]), so $S$ acquires the structure of a graded $R$-module. With the identification $u_{\ell}=\frac{\partial}{\partial x_{\ell}}$, the internal product can be seen as partial differentiation: if $\varphi \in R_{i}$ and $F \in S_{j}$, then $f_{i j}(\varphi \otimes F)$ is obtained by applying the differential operator $\varphi\left(\frac{\partial}{\partial x_{0}}, \ldots, \frac{\partial}{\partial x_{n}}\right)$ to $F\left(x_{0}, \ldots, x_{n}\right)$. We will write $\varphi \circ F$ for $f_{i j}(\varphi \otimes F)$.

Let $\Lambda \subseteq S_{d}$ be an $r$-dimensional subspace of degree $d$ forms in the $\underline{x}$, defining a point in the Grassmannian $G\left(r, S_{d}\right)$. Let

$$
\begin{equation*}
\Lambda^{\perp}=\{\varphi \in R: \varphi \circ F=0 \text { for every } F \text { in } \Lambda\} \tag{1}
\end{equation*}
$$

Then $\Lambda^{\perp}=\bigoplus_{i} \Lambda_{i}^{\perp}$ is a graded ideal in $R$, with $\Lambda_{i}^{\perp}=R_{i}$ for $i>d$. (It follows that the quotient $R / \Lambda^{\perp}$ is an artin level algebra of socle degree $d$ and type $r$, but we will not use this explicitly.)

For $i \leq d$, the codimension of $\Lambda_{i}^{\perp}$ in $R_{i}$ equals the dimension of the image of the internal product map

$$
R_{d-i} \otimes \Lambda \rightarrow S_{i}
$$

Hence

$$
\begin{equation*}
\operatorname{dim} \Lambda_{i}^{\perp} \geq \max \left\{0, \operatorname{dim} R_{i}-r \cdot \operatorname{dim} R_{d-i}\right\} . \tag{2}
\end{equation*}
$$

Equality always holds for $i=d$, and it holds for all $i<d$ if $\Lambda$ is a general point in $G\left(r, S_{d}\right)$.

We will commonly use geometric language in the sequel, e.g., if $n=3$, then a point in $G\left(2, S_{4}\right)$ will be called a pencil of planar quartics.

Remark 2.1 If $\varphi \circ F=0$, then $\varphi, F$ were classically said to be apolar to each other; and sometimes the entire set-up is called apolarity. Of course, all of the above is subsumed in the statement that $R, S$ are dual Hopf algebras such that all structure maps are $\mathrm{SL}(V)$-equivariant.

Henceforth we set $\mathbb{P}^{n}=\mathbb{P}^{P} S_{1}=\operatorname{Proj} R$. Usually $Z \subseteq \mathbb{P}^{n}$ will denote a closed subscheme with (saturated) ideal $I_{Z} \subseteq R$.

Definition 2.2 (cf. [19, Definition 5.1]) The scheme $Z$ is said to be apolar to $\Lambda$, if $I_{Z} \subseteq \Lambda^{\perp}$.

The point of the definition is the following:
Theorem 2.3 (Reye) If $Z$ consists of $s$ distinct points $\left\{L_{1}, \ldots, L_{s}\right\} \subseteq \mathbb{P}^{n}$, then $Z$ is apolar to $\Lambda$ if and only if $\Lambda \subseteq \operatorname{span}\left\{\mathrm{L}_{1}^{\mathrm{d}}, \ldots, \mathrm{L}_{\mathrm{s}}^{\mathrm{d}}\right\}$.

We would like to consider the family of such $Z$, but for technical reasons, we single out those schemes whose ideals are well-behaved.

Definition 2.4 A (zero-dimensional) length $s$ scheme $Z \subseteq \mathbb{P}^{n}$ will be called resolu-tion-general, if the graded Betti numbers in the minimal resolution of $I_{Z}$ are the same as those in the resolution of $s$ general points.

For instance, a length 7 subscheme $Z \subseteq \mathbb{P}^{2}$ is resolution-general iff its minimal resolution looks like

$$
0 \rightarrow R(-5) \oplus R(-4) \rightarrow R(-3)^{3} \rightarrow R \rightarrow R / I_{Z} \rightarrow 0
$$

In particular, $Z$ does not lie on a conic.

Definition 2.5 A zero-dimensional scheme $Z \subseteq \mathbb{P}^{n}$ will be called a polar polyhedron of $\Lambda$, if it is apolar to $\Lambda$ and resolution-general.

Let $\operatorname{Hilb}\left(s, \mathbb{P}^{n}\right)$ be the Hilbert scheme parametrising length $s$ subschemes of $\mathbb{P}^{n}$. Let $\mathcal{A}(s, \Lambda)$ denote the set of polar $s$-hedra of $\Lambda$, it is then a constructible subset of $\operatorname{Hilb}\left(s, \mathbb{P}^{n}\right)$. We will write $\mathcal{A}$ for $\mathcal{A}(s, \Lambda)$ if no confusion is likely.

Remark 2.6 In the literature there is no unanimity on the definition of a "polar polyhedron". In particular the approaches in [6] and [22] are different from ours and from each other. It is understood that if $Z=\left\{L_{1}, \ldots, L_{s}\right\}$ are $s$ general points, then morally $Z$ should count as a polar $s$-hedron of any $\Lambda \subseteq \operatorname{span}\left\{\mathrm{L}_{\mathrm{i}}^{\mathrm{d}}\right\}$. However, it is not obvious which degenerations of $Z$ should be allowed, and it seems that (within reason) we should tailor our definition to the specific problem at hand. Many of our results depend on a free resolution of $I_{Z}$, and hence "resolution-general" seems to be the most suitable notion. This issue never arises in [21], because there it is tacitly assumed that all geometric configurations are nondegenerate.

If $\mathcal{A}(s, \Lambda)$ is nonempty, so is $\mathcal{A}(t, \Lambda)$ for any $t>s$. It is the case that every $\Lambda$ in $G\left(r, S_{d}\right)$ admits a polar $\binom{n+d}{d}$-hedron. An elementary parameter count (see [2]) shows that a general $\Lambda$ in $G\left(r, S_{d}\right)$ will admit a polar s-hedron only if

$$
\begin{equation*}
s \geq \frac{r\binom{n+d}{d}}{n+r} \tag{3}
\end{equation*}
$$

Definition 2.7 A quadruple ( $n, d, r, s$ ) which satisfies (3) is said to be nondegenerate, if a general $\Lambda$ admits a polar $s$-hedron.

A quadruple satisfying (3) is degenerate if the set $\{\Lambda: \mathcal{A}(s, \Lambda) \neq \varnothing\}$ fails to be dense in $G\left(r, S_{d}\right)$. Very few such examples are known (see [2] for the list), but none of them is without its geometric peculiarity. In general it is not trivial to prove that a particular quadruple is nondegenerate.

For $r=1$, we have the following classification theorem by Alexander and Hirschowitz.

Theorem 2.8 (see [18]) Assuming $r=1$ and $d>2$, the only degenerate cases are $(n, d, s)=(2,4,5),(3,4,9),(4,4,14)$ and $(4,3,7)$.

For $r>1$ we have the following results by Dionisi and Fontanari.
Theorem 2.9 Assume $r>1$. Then
(i) for $n=2$, the only degenerate quadruple is $(2,3,2,5)$;
(ii) there are no degenerate quadruples with $r \geq n+1$.

The proofs may be found in [4, 11] respectively. Part (i) was claimed by Terracini [26], but his proof is obscure.

If $(n, d, r, s)$ is nondegenerate, then with a slight abuse of notation we will write $\mathcal{A}$ for $\mathcal{A}(s, \Lambda)$, where $\Lambda$ is understood to be a general point of $G\left(r, S_{d}\right)$. It has dimension $s(n+r)-r\binom{n+d}{d}$.

## 3 Associated Systems of Points

Recall ( $[9, \mathrm{p} .313]$ ) that if $\Gamma$ is a zero-dimensional Gorenstein scheme, then any closed subscheme $\Gamma^{\prime} \subseteq \Gamma$ has a residual scheme $\Gamma^{\prime \prime} \subseteq \Gamma$, such that

$$
\operatorname{deg} \Gamma^{\prime}+\operatorname{deg} \Gamma^{\prime \prime}=\operatorname{deg} \Gamma
$$

In particular this applies if $\Gamma$ is a (global) complete intersection in $\mathbb{P}^{n}$, which is the only case we will need.

Now let $\Lambda$ denote a general pencil of planar quartics. Then $\mathcal{A}=\mathcal{A}(8, \Lambda)$ is 2-dimensional; we will show that it is rational. Every $Z \in \mathcal{A}$ has a Hilbert-Burch resolution

$$
0 \rightarrow R(-5)^{2} \xrightarrow{\mu} R(-4) \oplus R(-3)^{2} \rightarrow R \rightarrow R / I_{Z} \rightarrow 0
$$

(See [3] for the basic theory behind the Hilbert-Burch theorem.) In particular $\operatorname{dim}\left(I_{Z}\right)_{3}=2$, so $Z$ has an associated point $\alpha(Z)$, defined to be the residual intersection of cubics passing through $Z$. The matrix of the map $\mu$ has the form

$$
M=\left[\begin{array}{lll}
\underline{2} & \underline{2} & \underline{1}  \tag{4}\\
\underline{2} & \underline{2} & \underline{1}
\end{array}\right]
$$

with the convention that $\underline{j}$ stands for a degree $j$ form.
Theorem 3.1 Let $\Lambda$ be a general pencil of planar quartics. Then the morphism $\alpha: \mathcal{A} \rightarrow \mathbb{P}^{2}$ admits a rational inverse, hence $\mathcal{A}$ is a rational surface.

Proof Fix a general point in the image of $\alpha$, by change of coordinates we assume it to be $P=[0,0,1]$. We would like to show that there is a unique resolution general length 8 scheme $Z$ with associated point $P$.

Now $P$ is defined by the vanishing of the rightmost column in (4), hence, after row-operations, $M$ can be brought into the form

$$
M=\left[\begin{array}{lll}
q_{1} & q_{2} & u_{0} \\
q_{3} & q_{4} & u_{1}
\end{array}\right], \quad q_{i} \in R_{2}
$$

We start with the 24 -dimensional vector space of $2 \times 2$ matrices

$$
V_{1}=\left\{N=\left[\begin{array}{ll}
q_{1} & q_{2} \\
q_{3} & q_{4}
\end{array}\right]: q_{i} \in R_{2}\right\}
$$

For $N \in V_{1}$, write

$$
\begin{equation*}
\theta_{N}=u_{1} q_{1}-u_{0} q_{3}, \theta_{N}^{\prime}=u_{1} q_{2}-u_{0} q_{4}, \omega_{N}=q_{1} q_{4}-q_{2} q_{3} \tag{5}
\end{equation*}
$$

and let $J_{N}$ be the ideal generated by $\theta_{N}, \theta_{N}^{\prime}, \omega_{N}$. Thus $V_{1}$ is a parameter space for all Hilbert-Burch matrices as above. For a dense open set of elements $N$ in $V_{1}$, the ideal $J_{N}$ defines a planar length 8 scheme.
We let $\mathrm{GL}_{2}(\mathbf{C})$ act on $V_{1}$ by right multiplication, i.e., for $g=\left[\begin{array}{ll}\alpha & \beta \\ \gamma & \delta\end{array}\right] \in \mathrm{GL}_{2}$, and $N$ as above,

$$
N g=\left[\begin{array}{ll}
q_{1} \alpha+q_{2} \gamma & q_{1} \beta+q_{2} \delta  \tag{6}\\
q_{3} \alpha+q_{4} \gamma & q_{3} \beta+q_{4} \delta
\end{array}\right]
$$

Define $V_{2}=\left\{N \in V_{1}: \theta_{N}, \theta_{N}^{\prime} \in \Lambda_{3}^{\perp}\right\}$, which is a 12-dimensional subspace of $V_{1}$. (If $F \in \Lambda$, then $\theta_{N} \circ F=\theta_{N}^{\prime} \circ F=0$ is a set of six linear equations. In all, $V_{2}$ is defined by 12 linear equations which are independent for a general $\Lambda$, hence $\operatorname{dim} V_{2}=12$.) Inside $V_{2}$, there is a 6-dimensional subspace

$$
V_{3}=\left\{\left[\begin{array}{ll}
a u_{0} & b u_{0} \\
a u_{1} & b u_{1}
\end{array}\right]: a, b \in R_{1}\right\} .
$$

(Since $\theta_{N}, \theta_{N}^{\prime}=0$ for $N \in V_{3}$, the containment $V_{3} \subseteq V_{2}$ is clear.) Form the 6dimensional space $W=V_{2} / V_{3}$. For $N \in V_{2}$, write [ $N$ ] for the corresponding point in the projective space $\mathbb{P}^{P} W \simeq \mathbb{P}^{5}$. Since $V_{3} \subseteq V_{2} \subseteq V_{1}$ are inclusions of $\mathrm{GL}_{2}{ }^{-}$ modules, $W$ is also a (right) $\mathrm{GL}_{2}$-module; in particular $\mathrm{PGL}_{2}$ acts on $\mathbb{P} W$. The point of this construction lies in the following lemma:

## Lemma 3.2

(i) If $N, \tilde{N} \in V_{2}$ are such that $[N],[\tilde{N}]$ lie in the same $\mathrm{PGL}_{2}$-orbit of $\mathbb{P} W$, then $J_{N}=J_{\tilde{N}}$.
(ii) Let $Z \in \alpha^{-1}(P)$. Consider two minimal resolutions of $I_{Z}$ with corresponding Hilbert-Burch matrices $M, \tilde{M}$, and let $N, \tilde{N}$ denote their leftmost $2 \times 2$ submatrices. Then $[N],[\tilde{N}]$ lie in the same $\mathrm{PGL}_{2}$-orbit in $\mathbb{P} W$.

Proof By straightforward calculation,

$$
\begin{equation*}
\theta_{N g}=\alpha \theta_{N}+\gamma \theta_{N}^{\prime}, \theta_{N g}^{\prime}=\beta \theta_{N}+\delta \theta_{N}^{\prime}, \omega_{N g}=\operatorname{det}(g) \omega_{N} \tag{7}
\end{equation*}
$$

so $J_{N}=J_{N g}$. Let $Q=\left[\begin{array}{ll}a u_{0} & b u_{0} \\ a u_{1} & b u_{1}\end{array}\right] \in V_{3}$. Then

$$
\begin{equation*}
\theta_{N+Q}=\theta_{N}, \theta_{N+Q}^{\prime}=\theta_{N}^{\prime}, \omega_{N+Q}=\omega_{N}-a \theta_{N}^{\prime}+b \theta_{N} \tag{8}
\end{equation*}
$$

so $J_{N+Q}=J_{N}$. This proves (i).
Any two minimal resolutions of $I_{Z}$ are isomorphic (see [8, Section 20.1]), which translates into the statement that $N$ and some $\mathrm{GL}_{2}$-translate of $\tilde{N}$ must differ by an element of $V_{3}$. This says that $[N],[\tilde{N}]$ must be in the same orbit, which is (ii).

Now define a subvariety $Y=\left\{[N] \in \mathbb{P} W: \omega_{N} \circ \Lambda=0\right\} \subseteq \mathbb{P} W$. Formulae (8) imply that $\omega_{N+Q} \circ \Lambda=0 \Leftrightarrow \omega_{N} \circ \Lambda=0$ (since $\theta_{N} \circ \Lambda=\theta_{N}^{\prime} \circ \Lambda=0$ ), hence this definition is meaningful. The inclusion $Y \subseteq \mathbb{P} W$ is a $\mathrm{PGL}_{2}$-stable by formulae (7). By the previous lemma, each $Z \in \alpha^{-1}(P)$ defines an orbit $\Omega_{Z} \subseteq Y$. The $\mathrm{PGL}_{2}$-stabilizer of a point in $\Omega_{Z}$ is trivial, hence $\operatorname{dim} \Omega_{Z}=3$. The union of $\left\{\Omega_{Z}\right\}_{Z \in \alpha^{-1}(P)}$ fills a dense open subset in $Y$. Hence it is enough to show that $Y$ contains only one threedimensional component, this will imply that $\alpha^{-1}(P)$ is singleton. Define

$$
\begin{gathered}
\Gamma_{=}=\left\{[N] \in \mathbb{P} W: N=\left[\begin{array}{ll}
q_{1} & 0 \\
q_{3} & 0
\end{array}\right] \text { for some } q_{i} \text { and } \theta_{N} \circ \Lambda=0\right\}, \\
\Gamma_{2}=\left\{[N] \in \mathbb{P} W: N=\left[\begin{array}{ll}
0 & q_{2} \\
0 & q_{4}
\end{array}\right] \text { for some } q_{i} \text { and } \theta_{N}^{\prime} \circ \Lambda=0\right\},
\end{gathered}
$$

each of which is a copy of $\mathbb{P}^{2}$ in $Y$. Define a birational map $h: \Gamma_{1} \rightarrow \Gamma_{2}$ as follows. Let $[N] \in \Gamma_{1}$, then there is a 4-dimensional family of solutions $\left(q_{2}, q_{4}\right)$ to the equations

$$
\theta_{N}^{\prime} \circ \Lambda=\omega_{N} \circ \Lambda=0
$$

(This is so because $q_{2}, q_{4}$ together depend upon 12 parameters and there are 8 equations.) However, if $\left(q_{2}, q_{4}\right)$ is one such solution, then $\left(q_{2}+a u_{0}, q_{4}+a u_{1}\right)$ is also one for any $a \in R_{1}$, and this accounts for all the solutions. Hence the class in $\mathbb{P} W$ of the matrix $\left[\begin{array}{ccc}0 & q_{2} \\ 0 & q_{4}\end{array}\right]$ is uniquely determined. We define $h([N])$ to be this class. (The reader should verify that this definition is independent of the choice of coset representative for $[N]$.) Now a general element in $Y$ can be written as a sum $[N]+[h(N)]$ for $[N] \in \Gamma_{1}$, i.e., the ruled join of $\Gamma_{1}, \Gamma_{2}$ along $h$ contains a dense open subset of $Y$. Since this join is irreducible (it is the image of the Segre imbedding $\mathbb{P}^{2} \times \mathbb{P}^{1} \subseteq \mathbb{P}^{5}$ ), we are done.

The argument for the following proposition is similar. As before, $(2,3,4,7)$ is nondegenerate by Theorem 2.9.

Proposition 3.3 Let $\Lambda$ be a general web of planar cubics. Then $\mathcal{A}(7, \Lambda)$ is a rational surface.

Proof The Hilbert-Burch matrix for $Z \in \mathcal{A}$ is

$$
\left[\begin{array}{lll}
\underline{1} & \underline{1} & \underline{1} \\
\underline{2} & \underline{2} & \underline{2}
\end{array}\right] .
$$

For a general $Z$, the linear forms in the top row are independent, hence after column operations we can assume the matrix to be

$$
\left[\begin{array}{lll}
u_{0} & u_{1} & u_{2} \\
q_{0} & q_{1} & q_{2}
\end{array}\right], \quad q_{i} \in R_{2}
$$

Let $V_{1}$ denote the 18-dimensional vector space $\left\{\left[q_{0}, q_{1}, q_{2}\right]: q_{i} \in R_{2}\right\}$ and $V_{2}$ the 3-dimensional subspace $\left\{\left[a u_{0}, a u_{1}, a u_{2}\right]: a \in R_{1}\right\}$. Let $W=V_{1} / V_{2}$. Then the 12 equations $\left\{\left(u_{i} q_{j}-u_{j} q_{i}\right) \circ \Lambda=0\right\}$ cut out a 2-plane in $\mathbb{P} W$ which is birational to $\mathcal{A}$.

Now let $(n, d, r, s)=(3,2,6,7)$; we will show that $\mathcal{A}$ is birational to the projective 3-space. The ideal of every $Z \in \mathcal{A}$ is generated by three quadrics and and a cubic. The associated point $\alpha(Z)$ is defined to the residual intersection of the quadrics through $Z$.

Proposition 3.4 Let $\Lambda$ be a general point of $G\left(6, S_{2}\right)$. Then the map $\alpha: \mathcal{A} \rightarrow \mathbb{P}^{3}$ is birational.

Proof Let $Z$ be a resolution-general scheme of length 7. It is apolar to $\Lambda$ iff the three generating quadrics lie in $\Lambda_{2}^{\perp}$.

Let $P$ be a general point of $\mathbb{P}^{3}$, and let $W \subseteq \Lambda_{2}^{\perp}$ be the 3-dimensional subspace of forms vanishing at $P$. Then $W$ defines a length 8 scheme $Y$. Now the residual scheme of $P$ in $Y$ is the only point of $\mathcal{A}$ mapping to $P$.

Remark 3.5 The case $(2,3,7,8)$ has a similar geometry, where $\mathcal{A}$ is birational to $\mathbb{P}^{2}$. For $(2,3,8,8)$ (resp. $(3,2,7,7)$ ), $\mathcal{A}$ is a finite set consisting of 9 (resp. 8) points.

## 4 Symmetric Products of Elliptic Curves

For the examples in this section, the determination of $\mathcal{A}$ reduces to an intersectiontheoretic calculation on the symmetric product of an elliptic curve. If $E$ is a smooth projective curve, then $E^{(m)}$ will denote its $m$-th symmetric product. This is a smooth projective variety whose points are naturally seen as effective degree $m$ divisors on $E$.

Let $\Lambda$ be a general net of planar quartics. Since (2, 4,3,9) is nondegenerate, $\mathcal{A}$ is a finite set. In the next theorem we calculate its cardinality.

Theorem 4.1 Let $\Lambda$ be a general net of planar quartics. Then $\Lambda$ admits 4 polar enneahedra.

Proof The ideal of $Z \in \mathcal{A}$ is generated by one cubic and 3 quartics. The space $\Lambda_{3}^{\perp}$ is one-dimensional, i.e., $\Lambda$ is apolar to a unique cubic curve $E \subseteq \mathbb{P}^{2}$. Since $\Lambda$ is general, we may (and will) assume that $E$ is smooth. If $H$ denotes the hyperplane divisor on $E$, then we have an identification $H^{0}(E, 4 H)=R_{4} /\left(I_{E}\right)_{4}$. This is a 12-dimensional space, denoted $U$.

Let $W=\Lambda_{4}^{\perp} /\left(I_{E}\right)_{4}$, which is a 9-dimensional space inside $U$. Every scheme $Z \subseteq$ $\mathbb{P}^{2}$ of length 9 which is apolar to $\Lambda$ is contained in $E$, and thus defines an effective divisor on $E$. Then the 3-dimensional space $H^{0}(E, 4 H-Z)$, which is a priori inside $U$, is in fact contained in $W$. The argument shows that the following diagram is a
fibre square:


Here $i_{1}$ is the natural inclusion and $i_{2}(Z)=H^{0}(E, 4 H-Z)$. Since the images of both inclusions have complementary codimensions, it is enough to take the intersection of their classes inside $H^{*}(G(3, U), \mathbf{Z})$ in order to calculate the degree of $\mathcal{A}$ as a zerocycle.

Conventions The notation for Schubert calculus follows [12, Section 14.7]. We refer to [1] for some basic cohomological calculations on curves. If $X_{1}, X_{2}$ are varieties, then denote projections by $\pi_{i}: X_{1} \times X_{2} \rightarrow X_{i}$. All cohomology is with Z-coefficients. If $\alpha$ is a class in $H^{*}\left(X_{1}\right)$ (resp. $\left.H^{*}\left(X_{2}\right)\right)$, then its pullback to $H^{*}\left(X_{1} \times X_{2}\right)$ is denoted $\alpha \otimes 1$ (resp. $1 \otimes \alpha$ ). Cup product is written as juxtaposition.

Firstly, we should find the rank 3 subbundle of $U \otimes \mathcal{O}_{E^{(9)}}$ which defines the inclusion $i_{2}$. Let $\Delta$ denote the universal divisor on $E^{(9)} \times E$ (see [1, Chapter IV]), so that $\left.\Delta\right|_{\{Z\} \times E}=Z \times E$. Define a line bundle $\mathcal{M}=\pi_{2}^{*}\left(\mathcal{O}_{E}(4 H)\right) \otimes \mathcal{O}(-\Delta)$ on $E^{(9)} \times E$. Applying $\pi_{1 *}$ to the inclusion

$$
\mathcal{M} \subseteq \pi_{2}^{*}\left(\mathcal{O}_{E}(4 H)\right)
$$

we have

$$
(\mathcal{G}=) \pi_{1 *}(\mathcal{M}) \subseteq U \otimes \mathcal{O}_{E^{(9)}}
$$

A moment's reflection will show that $i_{2}$ is induced by the last inclusion.
The image of $i_{2}$ has class $\{3,3,3\}$. Hence by the Jacobi-Trudi identity, the class of $\mathcal{A}$ in $H^{18}\left(E^{(9)}\right)$ is given by $c_{3}\left(\mathcal{G}^{*}\right)^{3}$, which we now calculate.

The Cohomology Rings of $E$ and $E^{(9)}$ Let $\delta_{1}, \delta_{2} \in H^{1}(E)$ be a symplectic basis. It will then generate $H^{*}(E)$. The product $\eta=\delta_{1} \delta_{2} \in H^{2}(E)$ is the class of a point.

Let $\mathcal{L}$ be a Poincaré line bundle ( $\left[1\right.$, Chapter IV]) on $E \times \operatorname{Pic}^{9}(E)$, then $\mathcal{E}=$ $\pi_{2 *}(\mathcal{L})$ is a rank 9 bundle on $\operatorname{Pic}^{9}(E)$. Fix an isomorphism $\operatorname{Pic}^{9}(E)=E$, then by the calculation of $\left[1\right.$, p. 336], $c_{1}(\mathcal{E})=-\eta$. Now let $\xi=c_{1}\left(\mathcal{O}_{\mathbb{P} \mathcal{E}}(1)\right) \in H^{2}(\mathbb{P} \mathcal{E})$. With the identification $\mathbb{P} \mathcal{E}=E^{(9)}$, the ring $H^{*}\left(E^{(9)}\right)$ is generated by $\xi$ and (the pullbacks of) $\delta_{1}, \delta_{2}$, subject to the relation $\xi^{9}=\xi^{8} \eta$.

## The Chern Class of $\mathcal{M}$ and G-R-R Let

$$
-\gamma=\left(\delta_{1} \otimes 1\right)\left(1 \otimes \delta_{2}\right)-\left(\delta_{2} \otimes 1\right)\left(1 \otimes \delta_{1}\right)
$$

a class in $H^{1,1}\left(E^{(9)} \times E\right)$. By [1, p. 337-338],

$$
c_{1}(\mathcal{O}(\Delta))=\xi \otimes 1+\gamma+9(1 \otimes \eta)
$$

hence

$$
c_{1}(\mathcal{M})=-\xi \otimes 1-\gamma+3(1 \otimes \eta)
$$

Now we apply Grothendieck-Riemann-Roch to $\mathcal{M}$ along the projection $E^{(9)} \times E \xrightarrow{\pi_{1}}$ $E^{(9)}$. Thus

$$
\operatorname{ch}\left(\pi_{1!} \mathcal{M}\right) \operatorname{td}\left(E^{(9)}\right)=\pi_{1 *}\left(\operatorname{ch}(\mathcal{M}) \operatorname{td}\left(E^{(9)} \times E\right)\right)
$$

Since $R^{i} \pi_{1 *} \mathcal{M}=0$ for $i>0$ and $\operatorname{td}(E)=1$, this simplifies to

$$
\operatorname{ch}(\mathcal{G})=\pi_{1 *}\left(e^{c_{1}(\mathcal{M})}\right)
$$

Let $n_{i}$ denote the $i$-th Newton class of $\mathcal{G}$ (i.e., the sum of $i$-th powers of the Chern roots of $\mathcal{G}$ ), then $\operatorname{ch}(\mathcal{G})=\sum_{i \geq 0} n_{i} / i$ !. Now we expand the exponential series, and apply $\pi_{1 *}$ term by term, to get

$$
\begin{gathered}
n_{0}=3, \quad n_{1}=\frac{1}{2}(-6 \xi-2 \eta) \\
n_{2}=\frac{1}{3}\left(9 \xi^{2}+6 \xi \eta\right), \quad n_{3}=\frac{1}{4}\left(-12 \xi^{3}-12 \xi^{2} \eta\right)
\end{gathered}
$$

Then

$$
c_{3}(\mathcal{G})=\frac{1}{6} n_{1}^{3}-\frac{1}{2} n_{1} n_{2}+\frac{1}{3} n_{3}=-\left(\xi^{3}+\xi^{2} \eta\right)
$$

Hence finally

$$
c_{3}\left(\mathcal{G}^{*}\right)^{3}=\left(\xi^{3}+\xi^{2} \eta\right)^{3}=4 \xi^{8} \eta
$$

Since $\xi^{8} \eta$ is the class of a point on $E^{(9)}$, we deduce that $\mathcal{A}$ has degree 4 .
In order to show that $\mathcal{A}$ is reduced and hence consists of 4 geometric points, we use Kleiman's transversality result (see [17, Theorem 10.8]). We can reformulate the entire construction in the following way: start with a smooth $E$ and hence $U$, then specifying a codimension 3 subspace $W \subseteq U$ is tantamount to specifying $\Lambda$. Since $G(3, U)$ is a homogeneous space for $\mathrm{GL}(U)$, the intersection is transversal for a general $W$, so $\mathcal{A}$ is reduced.

The next example is that of a pencil of cubic surfaces. We need to show that $(3,3,2,8)$ is nondegenerate, the proof is given in Section 6.

Proposition 4.2 Let $\Lambda$ be a general pencil of cubic surfaces. Then $\Lambda$ admits 3 polar octahedra.

Proof The calculation is very similar to Theorem 4.1. The ideal of 8 general points in $\mathbb{P}^{3}$ is generated by 2 quadrics and 4 cubics. Now $\Lambda_{2}^{\perp}$ is 2 -dimensional, hence generates the ideal of a smooth normal elliptic quartic $E \subseteq \mathbb{P}^{3}$ apolar to $\Lambda$, and every $Z \in \mathcal{A}$ is in fact contained in $E$. Let

$$
U=R_{3} /\left(I_{E}\right)_{3}, \quad W=\Lambda_{3}^{\perp} /\left(I_{E}\right)_{3}
$$

which are spaces of dimension 12,10 respectively. Define $i_{1}, i_{2}$ as before, then the following diagram is a fibre square


Now $i_{2}$ is induced by a rank 4 bundle $\mathcal{G}$ on $E^{(8)}$. The class of $\mathcal{A}$ in $E^{(8)}$ equals

$$
c_{4}\left(\mathcal{G}^{*}\right)^{2}=\left(\xi^{4}+\xi^{3} \eta\right)^{2}=3 \xi^{7} \eta
$$

The argument for transversality is the same as before.
Using similar calculations, we can give alternate proofs of the following results by Schlesinger [25, p. 212]). The original argument used $\vartheta$-functions.

Proposition 4.3 (Schlesinger)
(1) Let $\Lambda$ be a general pencil of planar cubics. Fix a general elliptic curve $E \subseteq \mathbb{P}^{2}$ apolar to $\Lambda$. Then there are 3 polar hexahedra of $\Lambda$ which are contained in $E$.
(2) Let $\Lambda$ be a general pencil of planar quartics. Fix a general elliptic curve $E \subseteq \mathbb{P}^{2}$ apolar to $\Lambda$. Then there are 3 polar octahedra of $\Lambda$ which are contained in $E$.

Proof We will only prove (1), the argument for (2) is identical in essence. Recall that the ideal of 6 general planar points is generated by 4 cubics. Since $(2,3,2,6)$ is nondegenerate ${ }^{1}, \mathcal{A}(6, \Lambda)$ is 4 -dimensional. Consider the incidence correspondence

$$
\Phi \subseteq \mathcal{A} \times \mathbb{P} \Lambda_{3}^{\perp}, \quad \Phi=\{(Z, E): Z \subseteq E\}
$$

The projection $\pi_{1}: \Phi \rightarrow \mathcal{A}$ is generically a $\mathbb{P}^{3}$-bundle, so $\operatorname{dim} \Phi=7$. Fix a general elliptic curve $E$ apolar to $\Lambda$, and consider the diagram


As usual, $i_{1}$ is the inclusion and $i_{2}(Z)=H^{0}(E, 3 H-Z)$. Then $i_{2}(Z)$ lies in the image of $i_{1}$, iff $Z$ is apolar to $\Lambda$. Calculating as before, the product [image $i_{1}$ ] • [image $i_{2}$ ] equals thrice the class of a point. Hence $\pi_{2}^{-1}(E)$ must be nonempty. This implies that $\pi_{2}: \Phi \rightarrow \mathbb{P} \Lambda_{3}^{\perp}\left(\simeq \mathbb{P}^{7}\right)$ is dominant. But then it is generically finite, hence for a general $E$, the fibre $\pi_{2}^{-1}(E)$ consists of 3 points.

It is shown in [2] (using a machine calculation) that $(5,2,3,9)$ is nondegenerate. Now there is a (unique) elliptic sextic curve passing through 9 general points of $\mathbb{P}^{5}$. (The classical reference is [24], also see [5] for a proof using Gale duality.) Hence if $\Lambda$ is a general net of quadrics in $\mathbb{P}^{5}$ and $Z$ a set of 9 general points apolar to $\Lambda$, then the elliptic sextic passing through $Z$ is apolar to $\Lambda$.

Proposition 4.4 Let $\Lambda$ be a general net of quadrics in $\mathbb{P}^{5}$. Fix a general elliptic sextic curve $E \subseteq \mathbb{P}^{5}$ apolar to $\Lambda$. Then there are 4 polar enneahedra of $\Lambda$ which are contained in $E$.

Proof Similar to above. Use the fact that the ideal of 9 general points (resp. an elliptic sextic curve) is generated by 12 (resp. 9) quadrics.

[^0]
## 5 The (2, 3, 3, 6) Case

Now we come to London's beautiful calculation in [21], where he determines the number of polar hexahedra of a general net of cubic curves. I have rewritten the proof so as to make it more transparent, but all the key ideas are already in the original.

Let $\Lambda$ be such a net. By Theorem 2.9(i), $\Lambda$ has a finite number of polar hexahedra. We will count them by setting up a correspondence on a certain elliptic curve.
5.1 We begin by motivating the constructions which are to follow. Say $\left\{F_{1}, F_{2}, F_{3}\right\}$ is a basis of $\Lambda$ and $Z=\left\{L_{1}, \ldots, L_{6}\right\}$ one of its polar hexahedra. We have expressions

$$
F_{j}=c_{1 j} L_{1}^{3}+\cdots+c_{6 j} L_{6}^{3}, \quad j=1,2,3 .
$$

Let $\psi \in R_{2}$ be the form which defines the conic passing through $\left\{L_{2}, \ldots, L_{6}\right\} \subseteq \mathbb{P} S_{1}$. Since $\psi$ annihilates $L_{2}^{3}, \ldots, L_{6}^{3}$, we have $\psi \circ F_{j}=$ constant $\times L_{1}$ for every $j$, so $\psi \circ \Lambda$ is only a 1 -dimensional vector space. It will be seen below (Section 5.2) that all $\psi$ with this property lie on a curve. Similarly if $l_{1}, l_{1}^{\prime} \in R_{1}$ annihilate $L_{1}$, then the six derivatives $\left\{l_{1} \circ F_{j}, l_{1}^{\prime} \circ F_{j}: j=1,2,3\right\}$ span only a 5 -dimensional space. It will be seen below (Section 5.3) that all 2-dimensional spaces span $\left\{1_{1}, l_{1}^{\prime}\right\} \subseteq \mathrm{R}_{1}$ with this property lie on a curve, isomorphic to the previous one.
5.2 Now we come to the actual constructions. The symbol ( $\leftarrow)$ will appear frequently, it is explained in Remark 5.2. Consider the vector bundle morphism on $\mathbb{P}^{\wedge} R_{2}\left(=\mathbb{P}^{5}\right)$

$$
f_{23}: \mathcal{O}_{\mathbb{P}^{5}}(-1) \otimes \Lambda \rightarrow S_{1}
$$

coming from the internal product map of Section 2. Define the degeneracy locus $\Psi=\left\{\operatorname{rank} f_{23} \leq 1\right\}$. For a general $\Lambda$, it is a degree 6 normal elliptic curve in $\mathbb{P}^{5}(\leftarrow)$. Note that $\Lambda_{2}^{\perp}=0$ by the generality of $\Lambda$, so rank $f_{23}$ is exactly 1 at each $\psi \in \Psi$.
5.3 Now identify $\mathbb{P P}_{1}$ with the Grassmannian $G\left(2, R_{1}\right)$. The latter is equipped with a rank two tautological bundle $\mathcal{B} \subseteq R_{1} \otimes \mathcal{O}_{G}$. The internal product $f_{13}$ gives a morphism

$$
f_{13}: \mathcal{B} \otimes \Lambda \rightarrow S_{2}
$$

The locus $E=\left\{\operatorname{rank} f_{13} \leq 5\right\}=\left\{\operatorname{det} f_{13}=0\right\}$ is given by a section of $\mathcal{O}_{\mathbb{P} S_{1}}(3)$, hence it is a smooth ( $\leftarrow$ ) degree 3 curve in $\mathbb{P P} S_{1}$. By the generality of $\Lambda$, the rank of $f_{13}$ is exactly 5 at every $L \in E(\leftarrow)$.
5.4 We have an isomorphism

$$
\alpha: E \rightarrow \Psi
$$

defined as follows: let $L \in E$, and $U=L^{\perp}$. By hypothesis, the space $f_{13}(U \otimes \Lambda)$ is 5-dimensional, so it is annihilated by a unique form in $\mathbb{P} R_{2}$, we declare $\alpha(L)$ to be this form. It is clear that $f_{23}(\alpha(L) \otimes \Lambda)$ is only 1-dimensional (since $U$ annihilates it), so $\alpha(L) \in \Psi$.

If $Z$ is as in Section 5.1 above, then $\alpha\left(L_{1}\right)$ is the conic envelope containing the lines defined by $L_{2}, \ldots, L_{6}$.
5.5 Define a correspondence $\mathbb{T}$ on $E$ as follows: $(L, M) \in \mathbb{T}$ iff $M$ lies on the conic defined by $\alpha(L)$. For a fixed $L$, there are 6 positions of $M$ such that $(L, M) \in \mathbb{T}$. For a fixed $M$, the elements of $\Psi$ which vanish at $M$ lie on a hyperplane section of $\Psi$. Via $\alpha^{-1}$, the points of this hyperplane section correspond to 6 positions of $L$. This shows that $T$ has degree $(6,6)$ and valence zero.
5.6 By the general theory of correspondences (see [15, Section 2.5]), there are 12 elements in $\mathbb{T}$ of the form $(L, L)$, they are called the united points of $\mathbb{T}$. Moreover $\mathbb{T}, \mathbb{T}^{-1}$ have 72 common points, i.e., pairs $(L, M)$ such that $(L, M),(M, L) \in \mathbb{T}$. Hence there are $72-12=60$ such pairs where $(L, M)$ are distinct.

It is clear that starting from $Z$, the pairs $\left(L_{1}, L_{2}\right)$ etc. are common to $\mathbb{T}, \mathbb{T}^{-1}$. The next lemma says that the implication is reversible.

Lemma 5.1 Assume $(L, M),(M, L) \in \mathbb{T}$, and $L \neq M$. Let the conics $\alpha(L), \alpha(M)$ intersect in $\left\{P_{1}, P_{2}, P_{3}, P_{4}\right\}$. Then $Z=\left\{L, M, P_{1}, \ldots, P_{4}\right\}$ is a polar hexahedron of $\Lambda$.

Proof Recall that the ideal of 6 general points is generated by 4 cubics. Let $l, l^{\prime} \in R_{1}$ be generators of $L^{\perp}$, and $m, m^{\prime}$ of $M^{\perp}$. Consider the four cubic forms

$$
\left\{l \alpha(L), l^{\prime} \alpha(L), m \alpha(M), m^{\prime} \alpha(M)\right\} .
$$

They are linearly independent and each of them vanishes at all points of $Z$. Hence together they generate $\left(I_{Z}\right)_{3}$. Moreover, the definition of $\alpha$ implies that each of them annihilates $\Lambda$. Hence $I_{Z} \subseteq \Lambda^{\perp}$.

Now a polar hexahedron of $\Lambda$ gives $2\binom{6}{2}=30$ pairs $\left(L_{i}, L_{j}\right)$ common to $\mathbb{T}, \mathbb{T}^{-1}$. Alternately, starting from a common point we can reconstruct a polar hexahedron as shown above. Hence, following London, we conclude that $\Lambda$ has $60 \div 30=2$ polar hexahedra.

Remark 5.2 At several points in the proof we need to argue that our construction satisfies a certain "good" property, for instance $\Psi$ has codimension 4 as expected and is smooth. This follows from the generality of $\Lambda$, as soon as we verify that it holds for a specific $\Lambda$. Such points are marked by $(\leftarrow)$, and I have verified the property in question by a direct computer calculation for a general net in the span of

$$
x_{0}^{3}, x_{1}^{3}, x_{2}^{3},\left(x_{0}+x_{1}+x_{2}\right)^{3},\left(x_{0}-x_{1}+x_{2}\right)^{3},\left(x_{0}-2 x_{1}+3 x_{2}\right)^{3} .
$$

This was carried out in Macaulay-2. For instance, to verify the last point in Section 5.3, we choose two basis elements with indeterminate entries for an element of $G\left(2, R_{1}\right)$, represent $f_{13}$ by a matrix and check that the ideal defined by all $5 \times 5$ minors defines the empty scheme.

## 6 Nondegeneracy of (3, 3, 2, 8)

To prove this result, we will use the notion of a grove, which was introduced in [2]. The general definition is meaningful for any ( $n, d, r, s$ ), but we will formulate it only for the case at hand.

Let $\underline{p}=\left\{p_{1}, \ldots, p_{8}\right\}\left(\right.$ resp. $\left.\underline{Q}=\left\{Q_{1}, \ldots, Q_{8}\right\}\right)$ be points in $\mathbb{P}^{1}\left(\right.$ resp. in $\left.\mathbb{P}^{3}\right)$.
Definition 6.1 A grove for the data $\underline{p}, \underline{Q}$ is a linear system $\Gamma \subseteq \mathbb{P}^{0} H^{0}\left(\mathbb{P}^{3}, \mathcal{O}_{\mathbb{P}}(3)\right)$ of projective dimension (say) $t$, satisfying the following conditions:
(1) The base locus of $\Gamma$ contains all the $Q_{i}$;
(2) $t=0$ or 1 ;
(3) either $t=0$ and the generator of $\Gamma$ is singular at all $Q_{i}$, or $t=1$ and there is an isomorphism $\gamma: \mathbb{P}^{1} \rightarrow \Gamma$ such that for every $i$, the hypersurface $\gamma\left(p_{i}\right)$ is singular at $Q_{i}$.

Now [2, Theorem 2.6] says the following: the quadruple $(3,3,2,8)$ is nondegenerate iff there does not exist a grove for general points $\underline{p}, \underline{Q}$ as above. Existence of a grove is an open property of $\underline{p}, \underline{Q}$ (loc. cit.), so it is enough to exhibit some collection of points which does not admit a grove. I concede that the definition of a grove is awkward, in defence one can only say that it is a proof-generated concept in the sense of Lakatos (see [20, Appendix 2]). We begin with a preliminary lemma.

Lemma 6.2 Let $E$ be an elliptic curve and $\mathcal{M}$ a line bundle on $E$ of degree 4. Let $Q_{1}, \ldots, Q_{8}$ be distinct points on $E$. Then it is possible to find points $p_{1}, \ldots, p_{8}$ on $\mathbb{P}^{1}$, such that there is no morphism $f: E \rightarrow \mathbb{P}^{1}$ satisfying the following conditions:
A. $2 \leq \operatorname{deg} f \leq 4$, and if $\operatorname{deg} f=4$ then $f^{*}\left(\mathcal{O}_{\mathbb{P}^{1}}(1)\right) \simeq \mathcal{M}$;
B. the equality $f\left(Q_{i}\right)=p_{i}$ holds for at least $4+\operatorname{deg} f$ values of $i$.

Proof Since $h^{0}(\mathcal{M})=4$, there are $\infty^{4} g_{4}^{1 \text { 's }}$ coming from $\mathcal{N}$. However, modulo automorphisms of $\mathbb{P}^{1}$ there are $\infty^{5}$ octuples $\left(p_{1}, \ldots, p_{8}\right)$. Hence for a general octuple, there is no such map of degree 4.

Similarly there are $\infty^{3}$ (resp. $\infty^{1}$ ) $g_{3}^{1}$ 's (resp. $g_{2}^{1}$ 's) on E. Since (B) imposes 4 (resp. 3) conditions in these cases, for a general choice of $p_{i}$ none of the possibilities can hold. The lemma is proved.

Now $w, x, y, z$ be the coordinates in $\mathbb{P}^{3}$. Consider the normal elliptic quartic $E \subseteq$ $\mathbb{P}^{9}$ defined by the two quadrics

$$
G_{1}=w x+x y+y z+z w, \quad G_{2}=w y+x z
$$

Choose points

$$
\begin{aligned}
& Q_{1}=[1,0,0,0], \quad Q_{3}=[0,0,1,0], \quad Q_{5}=[-1,1,1,1], \quad Q_{7}=[1,1,-1,1], \\
& Q_{2}=[0,1,0,0], \quad Q_{4}=[0,0,0,1], \quad Q_{6}=[1,-1,1,1], \quad Q_{8}=[1,1,1,-1],
\end{aligned}
$$

all lying on $E$, and the $p_{i}=\left[p_{i 1}, p_{i 2}\right]$ general in $\mathbb{P}^{1}$.
Assume by way of contradiction that $\Gamma$ is a grove for the data. If $t=0$, then the generator of $\Gamma$ contains at least 16 points of $E$ (counting each $Q_{i}$ as two), hence it contains $E$ by Bézout's theorem.

Case 1 Assume that $\Gamma$ contains $E$ as a fixed component (with $t$ possibly 0 or 1 ). Then $\Gamma$ is spanned by two cubics of the form

$$
C_{1}=L_{1} G_{1}+L_{2} G_{2}, \quad C_{2}=L_{1}^{\prime} G_{1}+L_{2}^{\prime} G_{2},
$$

where $L_{1}, L_{1}^{\prime}$ etc. are linear forms and $p_{i 1} C_{1}+p_{i 2} C_{2}$ is singular at $Q_{i}$ for $i=1, \ldots, 8$. (The case $C_{1}=$ (constant) $\cdot C_{2}$ corresponds to $t=0$.) An elementary linear algebra computation on the Jacobian matrix shows that this is impossible for general $p_{i}$.

Case 2 Assume that $E$ is not contained in the base locus of $\Gamma$ (hence necessarily $t=1$ ). Let $\lambda$ be the linear series obtained by restricting $\Gamma$ to $E$ and removing the base divisor $\sum Q_{i}$. Thus $\lambda$ is a $g_{4}^{1}$. Let $f: E \rightarrow \mathbb{P}^{1}$ be the corresponding morphism (of course, only well-defined up to automorphisms of $\mathbb{P}^{1}$ ). Let $H$ denote the hyperplane divisor on $E$ and $\mathcal{M}=\mathcal{O}_{E}\left(4 H-\sum Q_{i}\right)$.

Case 2.1 If $\lambda$ is base point free (i.e., if $\Gamma$ has no additional base point on $E$ away from $\left.\sum Q_{i}\right)$, then $\operatorname{deg} f=4$ and $f^{*}\left(\mathcal{O}_{\mathbb{P}^{1}}(1)\right) \simeq \mathcal{M}$. Since the quartic $\gamma\left(p_{i}\right)$ passes doubly through $Q_{i}$, we have $f\left(Q_{i}\right)=p_{i}$ for all $i$.

Case 2.2 If $\lambda$ has base points, then $\operatorname{deg} f \leq 3$. The base locus of $\lambda$ can contain at most $4-\operatorname{deg} f$ points from the set $\left\{Q_{i}\right\}$, hence $f\left(Q_{i}\right)=p_{i}$ holds for at least $4+\operatorname{deg} f$ values of $i$.

Now the previous lemma implies that either subcase is impossible for general choice of $p_{i}$, hence no such grove can exist. We have proved that $(3,3,2,8)$ is nondegenerate.

## 7 Open Problems

Whenever $\mathcal{A}$ is a finite set, we have the obvious enumerative problem of counting its cardinality. Beyond a handful of cases (see [22]) it is entirely open. In particular, I do not know the cardinality of $\mathcal{A}$ for $(2,4,4,10)$ or $(3,3,3,10)$.

It is also of interest to consider the family of positive dimensional schemes (with a fixed Hilbert polynomial) apolar to $\Lambda$. For instance, it is known that there are two twisted cubics apolar to a general web of quadrics in $\mathbb{P}^{3}$ (see [10, p. 32]).

It is known that a general net of quadrics in $\mathbb{P}^{5}$ does not admit a polar octahedron (see [2]), contrary to what one would expect by counting parameters. However it is not known if such a net admits an apolar rational normal quintic curve. A solution to this would help in elucidating the case $(5,2,3,8)$.

Acknowledgements I would like to thank Anthony V. Geramita, Leslie Roberts and Queen's University for financial support while this work was in progress. The pro-
gram Macaulay-2 has been useful, and I am grateful to its authors Dan Grayson and Mike Stillman. I would like to thank the referee for several constructive suggestions.

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[^0]:    ${ }^{1}$ This is the smallest $s$ possible, because $(2,3,2,5)$ is degenerate by [2].

