LIMITS OF COVERING SPACES AND RESIDUAL PROPERTIES OF GROUPS

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1. Introduction. The purpose of this paper is to point out a flaw in H. B. Griffiths' covering space approach to residual properties of groups [3]. One is led to this paper from Lyndon and Schupp's book [4, pp. 114, 141] where it is cited for covering space methods and a proof that F-groups are residually finite. However the main result of [3] is false.

The problem is as follows. Given a group-theoretic property π , a group G is said to be residually π if for every element $g \neq 1$ of G there exists a quotient group \overline{G} which is a π -group such that the image of g is non-trivial in \overline{G} . Equivalently, G is residually π if and only if the collection of normal subgroups N of G such that G/N is a π -group has trivial intersection. For more details, see Magnus [5].

Consider the following situation. Suppose G is a group and let a series

$$G = G_0 \supseteq G_1 \supseteq G_2 \supseteq G_3 \supseteq \dots \tag{1}$$

of normal subgroups of G be given. Then if each quotient G/G_n is a π -group and the intersection of the terms of the series is trivial, it follows that G is residually π .

To investigate the series (1) topologically, choose a locally 1-connected topological space X such that $\pi_1(X) \cong G$ and form the sequence of covering spaces

$$X = X_0 \stackrel{\varphi_1}{\leftarrow} X_1 \stackrel{\varphi_2}{\leftarrow} X_2 \stackrel{\varphi_3}{\leftarrow} \dots$$
 (2)

corresponding to the series of subgroups (1). Denote the inverse limit of the system (2) of topological spaces and maps by $\lim_{n \to \infty} X_n$. Let $J = \bigcap_{n=0}^{\infty} G_n$ and let X_J be the covering space corresponding to the subgroup J.

In [3], Griffiths makes the claim that there is an injective homomorphism

$$\check{H}_1(X_J) \rightarrow \check{H}_1(\lim X_n)$$

of the Čech homology groups with compact carriers and arbitrary coefficients, see Theorem 1 of [3]. However in Section 2 we given a counterexample to this.

As an alternative approach, we use singular homology. The corresponding assertion in singular homology is true and easy to prove, see Theorem 3.2. But the singular homology of the inverse limit $\lim_{n \to \infty} X_n$ is usually more difficult to compute. Čech homology has the computational advantage of being continuous in the sense that $\check{H}_1(\lim_{n \to \infty} X_n) =$ $\lim_{n \to \infty} \check{H}_1(X_n)$, at least when the spaces involved are compact.

This technique is applied to study residual properties of groups as follows. Suppose the quotient G/G_n is a π -group for each term in the series (1). Then if one can show the singular homology $H_1(\lim X_n) = 0$, it follows that $H_1(X_J) = 0$; that is, $J = \pi_1(X_J)$ is a

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perfect group. And if G is known to contain no non-trivial perfect subgroups, then $J = \bigcap_{n=0}^{\infty} G_n$ is trivial and hence G is residually π . As a specific example, in Section 4 we give a covering space proof that free groups are residually finite p-groups for any prime p.

NOTATION. For convenience, all topological spaces are assumed to come with a preferred base point and if the space is a simplicial complex, the base point is at a vertex. The base point is then omitted from the notation of the fundamental group. Furthermore, all covering maps are assumed to be base point preserving.

If G is a group, its abelianization is denoted G^{ab} . We use additive notation for G^{ab} , for example, $p \,.\, G^{ab}$ is the subgroup of pth powers in G^{ab} . The torsion subgroup of G^{ab} is denoted T(G). If G is finitely generated, T(G) has a free abelian complement in G^{ab} , i.e., $G^{ab} = T(G) \oplus F(G)$ for some (non-unique) free abelian subgroup $F(G) \subseteq G^{ab}$.

2. Counterexample. We make use of the following observation. Let G be a finitely generated group. Then there exists a series as in (1) of subgroups with the properties:

(i) $G_n \leq G$,

(ii) G_n has finite index in G,

(iii) The image of $G_{n+1}^{ab} \rightarrow G_n^{ab}$ lies in p. $F(G_n)$,

where $F(G_n)$ is some free abelian complement of $T(G_n)$ in G_n^{ab} ; thus $G_n^{ab} = T(G_n) \oplus F(G_n)$.

The sequence is constructed inductively as follows. Let $G_0 = G$. Having constructed G_0, \ldots, G_n , write $G_n^{ab} = T(G_n) \oplus F(G_n)$ where $T(G_n)$ is the torsion subgroup and $F(G_n)$ is free abelian (note that (ii) implies G_n is finitely generated). Then let $H \subseteq G_n$ be the subgroup corresponding to $p \cdot F(G_n)$, so that $G_n/H \cong G_n^{ab}/p \cdot F(G_n)$. Then H has finite index in G_n and hence in G. So there exists a subgroup $G_{n+1} \subseteq H$ such that G_{n+1} has finite index and is normal in G. Moreover the inclusion induced homomorphism $G_{n+1}^{ab} \to G_n^{ab}$ factors through H^{ab} so its image lies in $p \cdot F(G_n)$. We see that G_{n+1} satisfies (i)–(iii), as required.

Now, to make a counterexample to Griffiths' claim, start with a finitely presented solvable group G that is not residually finite. For the existence of such groups, see Abels [1]. Construct a series of subgroups (1) satisfying (i)-(iii) as above. Choose a compact, connected simplicial complex X such that $\pi_1(X) \cong G$. Then each space X_n , in the sequence of coverings (2) corresponding to the series of subgroups (1), is also compact as the G_n have finite index in G. Furthermore $\check{H}_1(X_n) \cong G_n^{ab}$ as X_n is a simplicial complex, and the sequence of homology groups induced by (2) is naturally isomorphic to

$$G^{ab} = G_0^{ab} \leftarrow G_1^{ab} \leftarrow G_2^{ab} \leftarrow \dots$$
(3)

where $G_{n+1}^{ab} \rightarrow G_n^{ab}$ is induced by the inclusion $G_{n+1} \subseteq G_n$.

We observe that conditions (i)–(iii) imply

(iv) $\lim G_n^{ab} = 0.$

To see this, let $(g_n)_{n=0}^{\infty}$ be an element of $\lim_{n \to \infty} G_n^{ab}$. Fix an integer $n \ge 0$. Then for each

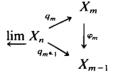
integer $m \ge 0$, g_n is in the image of $G_{n+m}^{ab} \to G_n^{ab}$. By (iii), this image lies in the subgroup $p^m \cdot F(G_n)$. Hence each $g_n \in \bigcap_{m=0}^{\infty} p^m \cdot F(G_n) = 0$, so $(g_n) = 0$ as required.

Now by the continuity of Čech homology (see Eilenberg-Steenrod [2]), $\check{H}_1(\lim X_n) \cong \lim \check{H}_1(X_n) \cong \lim G_n^{ab} = 0$. Since G is non-residually finite, $J = \bigcap G_n \neq 1$. But G is solvable, so it contains no non-trivial perfect subgroups. Hence $\check{H}_1(X_J) \cong J^{ab} \neq 0$ and there cannot be an injective homomorphism $\check{H}_1(X_J) \to \check{H}_1(\lim X_n)$. Therefore Griffiths' result is false in general.

3. The result in singular homology. Recall the setup of the introduction: a group G and a series of subgroups (1) of G are given; X is a locally 1-connected topological space with $\pi_1(X) = G$ and we form the sequence (2) of covering spaces corresponding to the sequence of subgroups (1). (Assume throughout that all spaces are connected.)

We may assume that X is a connected simplicial complex. Then the sequence (2) is an inverse system of simplicial complexes and simplicial maps. There are two ways to form the inverse limit of this system: either in the category of topological spaces or in the simplicial category. We now describe these and note that in general the results are different spaces.

The inverse limit in the topological category, which we denote by $\lim_{n \to \infty} X_n$, is defined to be the subset of the cartesian product $\prod_{n=0}^{\infty} X_n$ consisting of the sequences $(x_n)_{n=0}^{\infty}$ such that $x_{n-1} = \varphi_n(x_n)$. There are continuous projections $q_m : \lim_{n \to \infty} X_m$ such that the diagrams:



are commutative for all positive integers m. Indeed $\lim_{n \to \infty} X_n$ and the projections are characterized by being universal among spaces and projections with this property.

The inverse limit of (2) in the simplicial category, denoted Δ , is constructed as follows. The simplexes of Δ consist of sequences $(\sigma_n)_{n=0}^{\infty}$ where σ_n is a simplex in X_n and $\varphi_n(\sigma_n) = \sigma_{n-1}$. The face relation is given by: (σ'_n) is a face of (σ_n) if σ'_n is a face of σ_n in X_n for each n. This collection of simplexes and the face relation form an (abstract) simplicial complex. Let Δ be the realization with the usual simplicial complex topology.

There is a natural simplicial (hence continuous) map $\Delta \rightarrow X_n$ for each *n*. These maps determine a continuous map $h: \Delta \rightarrow \lim X_n$.

REMARK 3.1. The map h is easily seen to be bijective, though we don't use this fact. But h is not necessarily a homeomorphism. For example, if each X_n is a finite complex (i.e., compact) then it is well-known that $\lim_{x \to \infty} X_n$ is also compact. However if the number of simplexes in X_n is unbounded as $n \to \infty$, then Δ is not compact (i.e., it has infinitely many simplexes). Recall that $J = \bigcap_{n=0}^{\infty} G_n$ and $X_J \to X$ is the covering space corresponding to the subgroup J. Since $J \subseteq G_n$, there is a unique base point preserving lift $X_J \to X_n$ for each n. These maps determine a map $\varphi: X_J \to \lim_{n \to \infty} X_n$ into the inverse limit. Since the maps $X_J \to X_n$ are simplicial, they also determine a simplicial map $\theta: X_J \to \Delta$. Note that the composition

$$X_J \xrightarrow{\theta} \Delta \xrightarrow{h} \lim X_n$$

is φ . We have the following.

THEOREM 3.2. The induced map $\varphi_*: H_*(X_J) \to H_*(\lim_{\to} X_n)$ on singular homology with any coefficients is injective. Moreover, this map splits so $H_*(X_J)$ is a retract of $H_*(\lim_{\to} X_n)$.

Proof. Let $|S(\lim X_n)|$ be the geometric realization of the singular complex of $\lim X_n$. Since X_J is a simplicial complex, the map $\varphi: X_J \to \lim X_n$ factors through $|S(\lim X_n)|$:

$$X_J \xrightarrow{\varphi} |S(\lim X_n)| \xrightarrow{\alpha} \lim X_n$$

where $\alpha: |S(\lim X_n)| \to \lim X_n$ is the canonical map. Now $|S(\lim X_n)|$ is a connected *CW*-complex and the map

$$\pi_1(|S(\lim X_n)|) \to \pi_1(\lim X_n) \to \pi_1(X)$$

factors through $\pi_1(X_n) = G_n$ for all *n*, so the image is in $\bigcap G_n = J$. Thus $|S(\lim X_n)| \to X$ lifts to X_J . Then

$$X_J \xrightarrow{\varphi'} |S(\lim X_n)| \xrightarrow{\lim} X_J$$

is a lift of the covering map $X_J \to X$. By uniqueness of lifts, the composition is the identity; whence $H_*(X_J) \to H_*(|S(\lim X_n)|)$ is split injective. Since $\alpha_*: H_*(|S(\lim X_n)|) \to H_*(\lim X_n)$ is an isomorphism, the statement of the theorem follows.

COROLLARY 3.3. The induced map $\theta_*: H_*(X_J) \to H_*(\Delta)$ on singular (or simplicial) homology is injective.

Next consider the inverse limit $\lim_{\leftarrow} C(X_n)$ of the system of simplicial chain complexes induced by the sequence (2). The natural chain maps $C(\Delta) \rightarrow C(X_n)$ determine a chain map $C(\Delta) \rightarrow \lim_{\leftarrow} C(X_n)$.

LEMMA 3.4. The chain map $C(\Delta) \rightarrow \lim C(X_n)$ is injective.

Proof. Given a non-trivial chain $\sum n_i \sigma_i$ in $C(\Delta)$, notice that for sufficiently large n, the σ_i are mapped by $C(\Delta) \rightarrow C(X_n)$ to distinct simplexes in $C(X_n)$. Whence the image of $\sum n_i \sigma_i$ is non-trivial in $\lim C(X_n)$.

The following consequence is used in the next section.

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LEMMA 3.5. If X is a 1-complex then there is an injective homomorphism

$$H_1(\Delta) \rightarrow \lim H_1(X_n).$$

Proof. In this case, each X_n and Δ are also 1-complexes so their 1st homology groups are equal to the simplicial 1-cycles: $H_1(\Delta) = Z_1(\Delta)$ and $H_1(X_n) = Z_1(X_n)$. Therefore the natural inclusion $C_1(\Delta) \rightarrow \lim_{\leftarrow} C_1(X_n)$ of the previous lemma restricts to the desired monomorphism.

4. Application to free groups. As an application, we give a proof of a result due to Iwasawa:

Free groups are residually finite p-groups for any prime p.

Since finite p-groups are nilpotent, an immediate consequence is Magnus' theorem [6] that free groups are residually nilpotent, that is, the terms of the lower central series of a free group intersect trivially; also see [7, p. 314].

To prove Iwasawa's theorem, it clearly suffices to consider only finitely generated free groups. So let G be a finitely generated free group and consider the series (1) constructed in Section 2. Since the abelianization of a free group is torsion free, the construction simplifies and it follows that for $n \ge 1$, $G_n = G^{p^n}[G, G]$ where G^{p^n} is the subgroup generated by p^n th powers of elements of G. That is, G_n is the subgroup corresponding to $p^n \cdot G^{ab}$. Observe that the series satisfies a stronger form of condition (ii), namely:

(ii') $G/G_n \cong G^{ab}/p^n$. G^{ab} is a finite *p*-group.

The proof is completed by showing that $J = \bigcap_{n=0}^{\infty} G_n$ is trivial. For this, let X be a finite graph (connected 1-complex) with $\pi_1(X) \cong G$ and form the sequence of covering spaces

$$X = X_0 \leftarrow X_1 \leftarrow X_2 \leftarrow X_3 \leftarrow \dots$$

corresponding to the series in G constructed above. Then the composite of the maps of Corollary 3.3 and Lemma 3.5 gives an injective homomorphism $H_1(X_J) \rightarrow \lim_{i \to I} H_1(X_n)$, where X_J is the covering space corresponding to the subgroup J. But $\lim_{i \to I} H_1(X_n) \cong$ $\lim_{i \to I} G_n^{ab} = 0$ as noted in (iv) of Section 2. Consequently $J^{ab} \cong H_1(X_J)$ is trivial and hence J is trivial because free groups contain no non-trivial perfect subgroups.

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