## ORIGINAL ARTICLE

## Frequency and Nature of Infectious Risk Moments During Acute Care Based on the INFORM Structured Classification Taxonomy

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OBJECTIVE. In this study, we sought to establish a comprehensive inventory of infectious risk moments (IRMs), defined as seemingly innocuous yet frequently occurring care manipulations potentially resulting in transfer of pathogens to patients. We also aimed to develop and employ an observational taxonomy to quantify the frequency and nature of IRMs in acute-care settings.

DESIGN. Prospective observational study and establishment of observational taxonomy.

SETTING. Intensive care unit, general medical ward, and emergency ward of a university-affiliated hospital.

PARTICIPANTS. Healthcare workers (HCWs).

METHODS. Exploratory observations were conducted to identify IRMs, which were coded based on the surfaces involved in the transmission pathway to establish a structured taxonomy. Structured observations were performed using this taxonomy to quantify IRMs in all 3 settings.

**RESULTS.** Following 129.17 hours of exploratory observations, identified IRMs involved HCW hands, gloves, care devices, mobile objects, and HCW clothing and accessories. A structured taxonomy called INFORM (INFectiOus Risk Moment) was established to classify each IRM according to the source, vector, and endpoint of potential pathogen transfer. We observed 1,138 IRMs during 53.77 hours of structured observations (31.25 active care hours) for an average foundation of 42.8 IRMs per active care hour overall, and average densities of 34.9, 36.8, and 56.3 IRMs in the intensive care, medical, and emergency wards, respectively.

CONCLUSIONS. Hands and gloves remain among the most important contributors to the transfer of pathogens within the healthcare setting, but medical devices, mobile objects, invasive devices, and HCW clothing and accessories may also contribute to patient colonization and/or infection. The INFORM observational taxonomy and IRM inventory presented may benefit clinical risk assessment, training and education, and future research.

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Healthcare-associated infections (HAIs) remain a major threat to patient safety. A significant proportion of such infections are likely preventable through the application of infection prevention measures,<sup>1–4</sup> such as those aiming to reduce the transmission of pathogens that may lead to patient colonization or infection.<sup>5</sup> Hand hygiene, for example, is widely recognized as one of the most effective practices to reduce infection rates and patient colonization with multidrug-resistant bacteria by reducing the transmission of microorganisms.<sup>6</sup> Strong evidence also suggests that environmental contamination of surfaces and objects contribute to HAI,<sup>7–12</sup> yet the behavioral focus of such studies is often limited to hand hygiene and environmental cleaning. While the practice of hand hygiene has been increasingly studied over the last decade for its role in infection prevention, considerably less knowledge exists regarding other important infection-related behaviors.

A growing body of evidence suggests that practices beyond those addressed by hand hygiene may be relevant in the transmission of microorganisms that results in patient colonization and infection, such as handling of mobile objects,<sup>13,14</sup> healthcare worker (HCW) private<sup>15</sup> and professional attire,<sup>16,17</sup> and medical devices.<sup>11,14,18</sup> Therefore, we hypothesize that an important portion of infectious risks lie in infectious risk moments (IRM), defined as seemingly innocuous, yet frequently occurring care manipulations that potentially result in the transfer of pathogens. Such IRM include yet go beyond existing indications for hand hygiene.<sup>13</sup>

The design of infection prevention strategies that consider a broad range of infectious risks must begin with systematic identification and classification of IRMs. In a 2-part project, we conducted (1) exploratory observations to establish a comprehensive inventory of potential IRMs, which served as

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a basis for developing a taxonomy for structured observations and (2) structured observations to quantify the frequency and nature of IRMs in 3 distinct typical healthcare settings.

#### METHODS

#### Design

We conducted a prospective observational study in 2 parts. First, we conducted live exploratory observations to identify a wide range of potential IRM and to establish a structured taxonomy called INFORM (INFectiOus Risk Moment) for identifying and classifying IRMs. Second, we conducted live structured observations based on the INFORM taxonomy. Parts of this methodology have been pilot tested previously.<sup>13</sup> The observations reported in the current manuscript do not include the pilot observations.

## Setting

An intensive care unit (ICU), general medical ward, and emergency ward, including trauma unit, located at a 900-bed, university-affiliated, tertiary-care hospital were purposefully sampled to represent a broad range of care activities and potential infectious risks. All healthcare workers (HCWs) from the participating wards were included in the study. The study hospital has a well-established infection prevention and control (IPC) group with extensive state-of-the-art, written IPC standard operating procedures, weekly IPC rounds, and a designated IPC nurse consultant for each hospital ward.

## **Exploratory Observations**

Observers with backgrounds in nursing (C.D.A. and V.G.) and human factors/psychology (L.C.) and extensive experience conducting observations for patient safety research carried out exploratory observations in all 3 settings. Field notes documented the care processes observed and any potential IRMs, which were operationally defined as behaviors potentially resulting in the transmission of pathogens that may result in patient colonization or infection. The observers discussed all identified potential IRMs regularly throughout the exploratory observation period together with a senior infection prevention physician (H.S.) and all potential IRM were collected in a database.

Based on the definition of IRMs and following the hand hygiene literature, IRMs were limited to moments resulting in potential transfer of pathogens to patients and their immediate surroundings (eg, bedding), rather than the larger translocation of microorganisms throughout the healthcare environment. For example, an HCW entering a patient room then, without doing hand hygiene, touching the patient's bedside monitor to silence an alarm (a behavior that occurs often and may introduce nonpatient flora to the patient environment) was not considered an IRM. Only behaviors that resulted in potential transfer of pathogens directly to the patient were considered. We distinguished between noncritical patient sites (eg, intact skin, intact dressings, patient clothing), critical patient sites, defined as "body sites or medical devices that have to be protected against microorganisms potentially leading to HAI"<sup>19</sup> (eg, mucous membranes, catheter insertion sites, or open wounds), and patient bedding. Exploratory observations were conducted until saturation was achieved in each setting, that is, until no new IRMs were observed.

# Structured Observation Taxonomy and Mobile Observation Tool Validation

Following exploratory observations, all IRMs were extracted from field notes and were systematically coded according to the source, vector, and endpoint from, through, and to which pathogens were transferred, respectively. This structure was used to establish the INFORM classification taxonomy, on which structured observations were based (Figure 1). A mobile observation tool based on the INFORM taxonomy was programmed with Filemaker 14 (FileMaker, Santa Clara, CA). To ensure the quality of observations, 2 observers (L.C. and S. P.) validated the mobile observation tool during a 1-month test period. The percentage of agreement between the 2 observers was calculated to measure sensitivity (detection of the same IRM) and Cohen's  $\kappa$  was calculated to determine interobserver agreement (ie, consistent classification of IRM) using STATA version 14 software (StataCorp, College Station, TX).

## Structured Observations

Structured observations were carried out in the same 3 clinical settings using the mobile observation tool. Two observers (L.C. and S.P.) conducted live, structured observations in parallel to ensure systematic documentation of all IRMs. Structured observations targeted periods of active patient care, and both observers focused on the same HCW at once. Observation sessions of 30-60 minutes were deliberately conducted at different times throughout the workday to include many different HCWs who performed a diverse range of care tasks for multiple patients during each session. During live observations, both observers independently noted the source, vector, and endpoint of pathogens for each IRM according to the observational taxonomy as well as demographic information about the HCW being observed (ie, gender and professional category) and contextual information (ie, date, time, ward name, and patient isolation status) using the mobile observation tool (Appendix 1). No identifying patient or HCW data were collected during observations. For each observation period, we recorded the total amount of observation time, as well as the amount of active patient care time to calculate the density of IRMs per setting. Following each structured observation session, all observed IRMs were compared between the 2 observers, and any discrepancies were discussed until a consensus agreement was achieved. Frequent discussion among researchers to achieve consensus after each observation period was maintained throughout the study to ensure quality and to avoid drift between observers.

#### Ethics

The Cantonal Ethics Committee of Zurich formally waived the ethics requirement for this study (KEK-StV-Nr.73/14). Participation in observations was voluntary, and HCWs were free to opt out or stop observations at any time without providing justification.

#### RESULTS

#### **Exploratory Observations**

A total of 129.17 hours of exploratory observations resulted in the identification of 292 unique IRMs. Identified IRMs included moments of potential direct contact transmission (potentially infected or colonized HCW to patient) as well as potential indirect contact transmission via vectors such as care devices, mobile objects, and HCW clothing and accessories. Following exploratory observations, IRMs were systematically coded according to the source, vector, and endpoint of potential pathogen transfer, and these codes formed the basis of the INFORM structured taxonomy (Figure 1).

## Structured Observation Taxonomy and Mobile Observation Tool Validation

The 3-level taxonomy begins with classification of surfaces (loci) involved in the observed IRM according to source, vector, or endpoint of potential pathogen transfer (level 1: locus), then assigns each source, vector, and endpoint to a main category (level 2: surface), and specifies the exact nature (level 3: surface detail). Each observed IRM is then represented as a transmission chain composed of 3 loci (source, vector, and endpoint), with each locus having 2 levels of detail (surface and surface detail). Table 1 lists examples of archetypal observed and classified IRMs for each of the observed vectors.

During the 1-month test of the taxonomy using the mobile observation tool (5.5 hours of active patient care), observers 1 and 2 detected 123 (78.9%) and 118 (75.6%) of all observed IRMs, respectively. Based on this detection rate, the decision was made to have 2 observers present for all structured observations to ensure the highest possible sensitivity. For moments identified by both observers during the pilot test, the Cohen's  $\kappa$  measure of interobserver agreement was 0.75, indicating substantial agreement between individual observers.<sup>20</sup>

	Source			Vector			Endpoint
(Source)	(Source detail)		(Vector)	(Vector detail)		(Endpoint)	(Endpoint detail)
Environment	Bedside Table, Curtains, Floor, Lamp, Outside Patient Room, Paper Patient Records, Partition		Gloves	Don Gloves Without HH, Non-Sterile Gloves, Remove Gloves Without HH, Sterile Gloves	- •	Critical Site	Airways, Arterial 3-Way Valve, Arterial Insertion Site, Arterial Lumen Port, Bloodstream, CVC Insertion Site, CVC Line 3-Way
	Walls, Patient Bed,	-	Hands	HCW Hands, Patient Hands	_		Valve, CVC Line-Infusion
•	Trolley, Other		Healthcare	Badge, HCW Private			Connection, CVC Lumen Port,
Gloves	HCW Gloves		Worker	Clothing, HCW White			Feeding Tube, Mucous Membrane
Hands	HCW Hands	-		Clothing, Watch	_		Face, Mucous Membrane
Healthcare Worker	Body, Clothing, Face,		Invasive Device	Arterial Catheter Tip, CVC Tip, Invasive Ventilator, IV			Genitals, Mucous Membrane Rectum, Mucous Membrane
	Hair, Other		Device	Tubes, Needle/Cannula, PVC			Urethra, Open Wound, PVC
Invasive Device	IV Tubes, Mechanical			Tip, Suction Catheter,			Insertion Site, PVC Line 3-Way
Device	Ventilator, Suction Catheter, Other			Thoracic Tube, Uncapped			Valve, PVC Line-Infusion
Medical	Bedside Monitor. Blood-			Hub, Urinary Catheter Tip,			Connection, PVC Lumen Port,
Device	Pressure Cuff, Blood-			Ventilation Filter, Other			Urinary Catheter, Other
Device	Pressure Monitor, ECG,	-	Medical	Blood-Pressure Cuff, ECG,	-	Non-Critical	Head, Lower Limbs, Trunk, Upper
	Infusion Pump, Non-		Device	Infusion Pump, Non-Invasive		Site	Limbs, Catheter Dressing, Patient
	Invasive Ventilator.		201100	Ventilator, Stethoscope,			Clothing, Wound Dressing, Other
	Stethoscope,			Thermometer, Ultrasound, X-		Patient Bed	Bedding, Pillow
	Thermometer.			Ray, Other			× '
	Ultrasound, Ventilator	-	Mobile	Bedding, Dressing or	-		
	Monitor, X-Ray, Other		Object	Bandage, Flashlight, Medical			
Mobile Object	Flashlight, Mobile Phone,		-	Tape, Mobile Phone, Pen,			
	Pen, Secretions, Tape			Secretions, Tape Dispenser,			
	Dispenser, Toilet Brush,			Tourniquet, Transfer, Board,			
	Washcloth, Other			Transfer Cannula, Washcloth,			
Other Patient	Critical Site, Environment, Intact Skin	-		Wristwatch, Other	-		
Patient	Airways, Bloodstream,						
Critical Site	Mucous Membrane Face,						
	Mucous Membrane						
	Genitals, Mucous						
	Membrane Rectum, Open						
	Wound, Uncapped CVC						
	Hub, Uncapped IV Line,						
<b>.</b>	Urethra, Other						
Patient Intact	Contaminated Skin,						
Skin	Head, Lower Limbs,						
Halm arm	Trunk, Upper Limbs						
Unknown Status	No Disinfection Observed						

FIGURE 1. The INFORM (INFectious Risk Moment) structured taxonomy used to classify surfaces involved in the observed infectious risk moment according to source, vector, and endpoint of potential pathogen transfer. Note: HCW, Healthcare worker; IV, Intravenous; ECG, electrocardiography; CVC, Central-venous catheter; PVC, Peripheral-venous catheter

Gloves: An HCW wearing glo	oves removes and discards the dressing f	rom a patient's open wound, his g	loves contact the open wound, then,
without changing gloves, h	he touches the insertion site of the same	patient's urinary catheter.	
Level 1: Locus	Source	Vector	Endpoint
Level 2: Surface	Patient critical site	Gloves	Critical site
Level 3: Surface detail	Open wound	Nonsterile gloves	Urinary catheter
Healthcare worker: While adj badge touches the intact sk	justing the electrocardiography suction 1 cin of the patient's arm.	nodes to a patient's upper limbs, ar	n HCW leans over the patient and his
Level 1: Locus	Source	Vector	Endpoint
Level 2: Surface	Unknown status	Healthcare worker	Noncritical site
Level 3: Surface detail	No disinfection observed	Badge	Upper limbs
Invasive device: An HCW ins	serts an arterial catheter without having	disinfected the skin of the insertior	n site.
Level 1: Locus	Source	Vector	Endpoint
Level 2: Surface	Patient intact skin	Invasive device	Critical site
Level 3: Surface detail	Contaminated skin	Arterial catheter tip	Bloodstream
	ries a stethoscope around her neck and tethoscope to auscultate the patient.	the chest piece comes into contact	with her own skin, then, without
Level 1: Locus	Source	Vector	Endpoint
Level 2: Surface	Healthcare worker	Medical device	Noncritical site
Level 3: Surface detail	Body	Stethoscope	Trunk

TABLE 1. Exa	mple Coding of Arch	etypal Infectious Risk Moment	ts Using the INFORM Structured	Taxonomy
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Mobile object: Medical-grade adhesive tape is attached to bedrails prior to being used to secure the gauze of a wound dressing onto the patient's skin.

Level 1: Locus	Source	Vector	Endpoint
Level 2: Surface	Environment	Mobile object	Noncritical site
Level 3: Surface detail	Patient bed	Medical tape	Wound dressing

## Structured Observations

Following validation of the taxonomy using the mobile observation tool, 53.77 hours of structured observations (31.25 hours of active care) were conducted, during which 1,338 IRM were identified. The average densities of IRMs per active care hour were 42.8 overall, and 34.9, 36.8, and 56.3 in the intensive care, medical, and emergency wards, respectively. We identified 566 unique IRMs, which fell into 71 main categories according to level 2 of the structured taxonomy. A comprehensive inventory of observed IRMs appears in Table 2.

The vectors in the identified IRMs included hands (n = 596; 44.54%), gloves (n = 457; 34.16%), medical devices (n = 115; 8.59%), mobile objects (n = 102; 7.62%), invasive devices (n = 53; 3.96%), and HCW clothing and accessories (n = 15; 1.12%). Overall, 25.8% of IRM concerned moments of potential transmission of pathogens to a critical site, described in detail in Table 2A. Among the 217 IRMs dealing with medical devices and mobile objects as vectors, 143 IRMs (65.90%) involved the lack of disinfection of a device or object prior to patient contact. The 3 most frequently occurring IRMs per clinical setting are described in detail in Table 3.

## DISCUSSION

Hands and gloves continue to be among the most important contributors to the transfer of pathogens in the healthcare

setting. Nonetheless, we identified moments dealing with other vectors such as medical devices, mobile objects, invasive devices, and HCW clothing and accessories, which may also contribute to patient colonization and/or infection. While previous studies have shown that indications for hand hygiene occur between 8 per hour in pediatric wards and 30 per hour in ICUs,<sup>21,22</sup> we found that IRMs occurred with a frequency of 42.8 IRM per active care hour overall and up to 56.3 IRM per active care hour in emergency settings. Similar to opportunities for hand hygiene, the high frequency with which IRMs occur suggests that the cumulative risk of negative patient outcomes due to IRMs may be significant, although the risk of patient infection or colonization with multiresistant pathogens at any single IRM may be low. The fact that 25.8% of IRMs concerned moments of potential pathogen transfer to critical patient sites further highlights the clinical relevance of IRM for infection prevention.

The structured observations in this study were targeted to moments resulting in potential pathogen transfer to the patient, as opposed to movement of pathogens around the larger healthcare environment. Our exploratory observations nonetheless revealed that pathogen transfer from outside to inside the patient zone likely occurred, for example when coming from one patient to silence an alarm on another patient's monitor without hand hygiene, or when transporting mobile objects that come into contact with multiple consecutive patients during clinical rounds. These findings are

TABLE	2.	Inventory	and	Observed	Frequency	of	All
Infectious	s Ris	k Moments p	per Car	e Setting by	(A) Critical Si	ite an	d
(B) Nonc	ritic	al Site					

TABLE 2. Continued

Source		Pathway		Endpoint	All	ICU	MED	ER	B. Infectious I	€isk	Moments
		•	<b>7</b> 1	-					Patient Site		momento
A. Infectious I Sites				-		Critic	al Pati	ent	Environment		Gloves
		Gloves		Critical site		36	35	14	Medical	_	Gloves
Medical device	$\rightarrow$	Gloves	$\rightarrow$	Critical site	46	28	3	1	device		
Mobile object	$\rightarrow$	Gloves	$\rightarrow$	Critical site	20	14	3	2	Mobile object	$\rightarrow$	Gloves
Patient intact skin			$\rightarrow$	Critical site	17	8	3	5	Patient intact	$\rightarrow$	Gloves
Healthcare worker	$\rightarrow$	Gloves	$\rightarrow$	Critical site	15	11	1	0	skin Healthcare	$\rightarrow$	Gloves
Invasive device		Gloves	$\rightarrow$	Critical site	1	0	0	0	worker Patient	$\rightarrow$	Gloves
Other patient			$\rightarrow$	Critical site	1	1	0	0	critical site Invasive		Gloves
Environment	$\rightarrow$	Hands		Critical site		17	12	4	device	$\rightarrow$	Gloves
Medical device	<b>→</b>	Hands		Critical site	24	12	3	1	Environment	$\rightarrow$	Hands
Healthcare worker		Hands		Critical site	5	2	1	0	Mobile object	$\rightarrow$	Hands
Mobile object				Critical site	4	1	3	0	Medical	$\rightarrow$	Hands
Patient intact skin				Critical site	2	0	0	0	device Healthcare		Hands
Invasive device		Hands		Critical site	1	0	1	0	worker Patient intact		
Gloves		Invasive device		Critical site	19	14	2	0	skin Other patient		
Patient intact skin		device		Critical site	13	4	1	8	Exterior		Hands
Environment		device		Critical site	12	8	1	1	Exterior	,	Tunas
Healthcare worker	$\rightarrow$	Invasive device		Critical site	4	1	0	0	Patient critical site	$\rightarrow$	Hands
Hands	$\rightarrow$	Invasive device	$\rightarrow$	Critical site	3	3	0	0	Unknown status	$\rightarrow$	Hands
Patient critical site		Invasive device		Critical site	1	1	0	0	Unknown status	$\rightarrow$	HCW
Gloves		Medical device		Critical site	3	0	1	2	Patient intact	$\rightarrow$	HCW
Hands		Medical device		Critical site	1	1	0	0	Unknown status	$\rightarrow$	Medical device
Unknown status		Medical device		Critical site	1	0	1	0	Healthcare worker	$\rightarrow$	Medical device
Environment		Mobile object		Critical site	4	1	1	0	Hands	$\rightarrow$	Medical device
Patient critical site		Mobile object		Critical site	4	0	0	0	Gloves	$\rightarrow$	Medical device
Gloves		Mobile object		Critical site	1	0	1	1	Patient intact skin	$\rightarrow$	Medical device
Hands		Mobile object	$\rightarrow$	Critical site	1	0	0	2	Unknown status	$\rightarrow$	Mobile object
Patient intact skin		Mobile object		Critical site	1	1	0	0	Environment	$\rightarrow$	Mobile
Unknown status	$\rightarrow$	Mobile object	$\rightarrow$	Critical site	1	2	2	0	Healthcare worker	$\rightarrow$	object Mobile object

				-				
3. Infectious I Patient Site		Moments	s Invol	ving Transfe	er to	Nonc	ritical	
		Gloves	$\rightarrow$	Noncritical site	97	26	24	27
Medical device	$\rightarrow$	Gloves	$\rightarrow$	Noncritical site	61	9	3	14
Mobile object	$\rightarrow$	Gloves	$\rightarrow$	Noncritical site	45	8	10	10
Patient intact skin	$\rightarrow$	Gloves	$\rightarrow$	Noncritical	17	7	1	4
Healthcare worker	$\rightarrow$	Gloves	$\rightarrow$	Noncritical site	15	4	1	4
Patient critical site	$\rightarrow$	Gloves	$\rightarrow$	Noncritical	9	1	4	3
nvasive device	$\rightarrow$	Gloves	$\rightarrow$	Noncritical site	1	1	0	0
	$\rightarrow$	Hands	$\rightarrow$	Noncritical	229	34	91	90
Mobile object	$\rightarrow$	Hands	$\rightarrow$	Noncritical site	92	16	33	38
Medical device	$\rightarrow$	Hands	$\rightarrow$	Noncritical site	77	21	22	24
Healthcare worker	$\rightarrow$	Hands	$\rightarrow$	Noncritical site	68	9	40	13
Patient intact skin	$\rightarrow$	Hands	$\rightarrow$	Noncritical site	17	6	4	5
Other patient	$\rightarrow$	Hands	$\rightarrow$	Noncritical site	2	0	2	0
Exterior	$\rightarrow$	Hands	$\rightarrow$	Non- critical site	1	0	1	0
Patient critical site	$\rightarrow$	Hands	$\rightarrow$	Noncritical site	1	1	0	0
Jnknown status	$\rightarrow$	Hands	$\rightarrow$	Noncritical site	1	0	1	0
Jnknown status	$\rightarrow$	HCW	$\rightarrow$	Noncritical site	13	5	1	3
Patient intact skin	$\rightarrow$	HCW	$\rightarrow$	Noncritical site	2	2	0	0
Jnknown status		Medical device		Noncritical site	81	0	0	1
Healthcare worker		Medical device	$\rightarrow$		13	2	0	1
Hands	$\rightarrow$	Medical device	$\rightarrow$	Noncritical site	3	0	0	1
Gloves	$\rightarrow$	Medical device	$\rightarrow$	Noncritical site	1	2	0	1
Patient intact skin	$\rightarrow$	Medical device	$\rightarrow$	Noncritical site	1	0	1	0
<b>T</b> 1		3 6 1 1		NT 1	4.2		0	6

 $\rightarrow$  Noncritical 43

→ Noncritical 17

site

site → Noncritical 6

site

1

1

0

0 0

2 0

0 1

All ICU MED ER

Endpoint

TABLE 2. Continued

Source		Pathway		Endpoint	All	ICU	MED	ER
Patient intact skin	$\rightarrow$	Mobile object	$\rightarrow$	Noncritical site	4	0	0	0
Gloves	$\rightarrow$	Mobile object	$\rightarrow$	Noncritical site	2	1	0	0
Medical device	$\rightarrow$	Mobile object	$\rightarrow$	Noncritical site	2	0	6	0
Patient critical site	$\rightarrow$	Mobile object	$\rightarrow$	Noncritical site	1	4	0	0
Environment	$\rightarrow$	Gloves	$\rightarrow$	Patient bed	7	0	0	5
Medical device	$\rightarrow$	Gloves	$\rightarrow$	Patient bed	5	0	0	0
Healthcare worker	$\rightarrow$	Gloves	$\rightarrow$	Patient bed	1	0	0	0
Environment	$\rightarrow$	Hands	$\rightarrow$	Patient bed	18	3	8	7
Healthcare worker	$\rightarrow$	Hands	$\rightarrow$	Patient bed	5	1	2	0
Medical device	$\rightarrow$	Hands	$\rightarrow$	Patient bed	5	3	0	2
Mobile object	$\rightarrow$	Hands	$\rightarrow$	Patient bed	3	0	2	1
Environment	$\rightarrow$	Invasive device	$\rightarrow$	Patient bed	1	1	0	0
Unknown status	$\rightarrow$	Medical device	$\rightarrow$	Patient bed	7	9	26	42
Healthcare worker	$\rightarrow$	Medical device	$\rightarrow$	Patient bed	1	2	1	9
Unknown status	$\rightarrow$	Mobile object	$\rightarrow$	Patient bed	10	6	12	18
Environment	$\rightarrow$	Mobile object	$\rightarrow$	Patient bed	5	5	5	0

NOTE. ICU, intensive care unit; MED, general medical ward; ER, emergency ward; HCW, healthcare worker.

consistent with other studies demonstrating that HCW hand hygiene compliance prior to initial contact with the patient or the patient environment is suboptimal.<sup>23</sup> Our results also challenge the "patient zone" concept, which defines the patient and his/her immediate surroundings (eg, bed rails, bedside table, and medical equipment) and frequently touched surfaces (eg, monitors, knobs, and buttons) as the patient zone and assumes that surfaces within the patient zone are colonized by patient flora.<sup>19</sup> When disinfection is omitted prior to contact with the patient or patient environment,<sup>23</sup> it is likely that pathogens from the healthcare environment are introduced to these surfaces. Such ambiguity is a major challenge to safe behavior.<sup>24</sup> For this reason, during observations, we considered that environmental surfaces could potentially harbor pathogenic bacteria regardless of their location inside or outside of the patient zone.

Similarly, our findings are consistent with multiple systematic reviews demonstrating that the frequent movement of healthcare equipment<sup>25</sup> and care items<sup>14</sup> between patients, together with suboptimal or missing disinfection of such items, result in the transfer of pathogens between patients. Potential contamination or missing disinfection of medical devices and mobile objects (classified as source = "unknown status" and source detail = "no disinfection observed") accounted for 16.2% of IRMs observed in this study (Table 2).

The transmission-based observational approach employed in this study, which sought to identify all behaviors potentially resulting in transmission pathogen, differs from traditional rule-based observations that measure compliance with existing local or national guidelines. Observations using the INFORM taxonomy could hence be employed in additional settings, regardless of local guidelines, to identify the most frequently occurring IRMs and to establish local infection prevention priorities.

This study has several limitations. It is possible that being observed influenced HCW behavior during this study.<sup>26</sup> It is unlikely, however, that this resulted in systematic bias because HCWs were not aware of exactly what was being observed. Observations were limited to contact transmission (ie, the most common mode of transmission<sup>5</sup>) and did not consider airborne and droplet transmission. Furthermore, our observations did not consider other behaviors that may also impact infectious risks, such as those interfering with the patient's defense system against infectious risks (eg, immune status, skin integrity, cough reflex, etc) because the associated HCW behavior rarely occurs at the bedside. Moreover, these observations were conducted in a single university hospital located in a high-income setting, which limits the generalizability of our findings. Further exploration of the nature and frequency of IRMs using the INFORM structured observational taxonomy is warranted to assess local priorities for infection prevention efforts in additional care settings. Finally, the risk of transmission during each type of IRM remains unknown. We aimed to bridge this gap through a modified Delphi survey with an international panel of experts in infectious diseases, infection prevention and control, and microbiology, in which experts rated the likelihood of infectious outcomes (eg, colonization, infection) following archetypical IRM.<sup>27</sup>

Despite these limitations, the combination of methods employed in this study was well suited to identify a wide range of potential IRMs and to systematically observe their frequency and nature in multiple healthcare settings. The resulting mobile observation tool featuring the INFORM taxonomy of source, vector, and endpoint of pathogens was useful for the systematic documentation and categorization of IRMs. Further observations based on the INFORM taxonomy may prove useful in other settings to identify the most frequently occurring IRMs, to establish educational content, and to prioritize targeted infection prevention strategies.

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Source and Setting	Vector	Endpoint	Frequency <sup>a</sup>	Density <sup>b</sup>
Intensive care unit				
Environment	Gloves	Critical site	36	3.51
Example: An HCW wearing g	loves touches the trol	ley next to the patient's bed then, wit	hout changing gloves, verifies	the patient's mechanic
ventilator, the gloves come	into contact with the	patient's mouth.		
Environment	Hands	Noncritical site	34	3.31
Example: An HCW handles the on the patient's upper limb	· ·	al records) of a sedated patient then,	vithout hand hygiene, proceed	ls to touch the intact sk
Medical devices	Gloves	Critical site	28	2.73
Example: An HCW wearing g verifies the insertion site of		e interface of an infusion pump to pr catheter.	ogram the delivery rate then,	without changing glove
Medical ward				
Environment	Hands	Noncritical site	91	8.78
F 1 4 C 4 1 1	environment outside a	of the patient's room, an HCW enter	s a patient's room and, witho	ut doing hand hygiene
shakes the patient's hand.			-	
shakes the patient's hand.	Hands	Noncritical site	40	3.86
shakes the patient's hand. Healthcare worker Example: An HCW stands wit	Hands th arms crossed, his ha		40 e professional clothing then, v	
shakes the patient's hand. Healthcare worker Example: An HCW stands wir hygiene, proceeds to exami Environment	Hands th arms crossed, his ha ine the patient, touchi Gloves	Noncritical site ands come into contact with his whit ing intact skin on the patient's stoma Critical site	40 e professional clothing then, v ch. 35	vithout performing har 3.38
shakes the patient's hand. Healthcare worker Example: An HCW stands with hygiene, proceeds to exami Environment Example: While changing a w materials, then with the sam	Hands th arms crossed, his ha ine the patient, touchi Gloves round dressing, an HO	Noncritical site ands come into contact with his whit ing intact skin on the patient's stoma	40 e professional clothing then, v ch. 35	vithout performing har 3.38
shakes the patient's hand. Healthcare worker Example: An HCW stands wir hygiene, proceeds to exami Environment Example: While changing a w materials, then with the sam <b>Emergency ward</b>	Hands th arms crossed, his ha ine the patient, touchi Gloves yound dressing, an HC me gloves make conta	Noncritical site ands come into contact with his whit ing intact skin on the patient's stoma Critical site CW wearing gloves touches the surfac ct with the patient's open wound.	40 e professional clothing then, v ch. 35 ce and drawers of the trolley o	vithout performing har 3.38 containing dressing
shakes the patient's hand. Healthcare worker Example: An HCW stands wir hygiene, proceeds to exami Environment Example: While changing a w materials, then with the sam Emergency ward Environment	Hands th arms crossed, his ha ine the patient, touchi Gloves yound dressing, an HC me gloves make conta Hands environment outside o	Noncritical site ands come into contact with his whit ing intact skin on the patient's stoma Critical site CW wearing gloves touches the surfac	40 e professional clothing then, v ch. 35 ce and drawers of the trolley o 104	vithout performing har 3.38 containing dressing 9.7
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#### TABLE 3. Three Most Frequently Occurring Infectious Risk Moments (IRM) per Clinical Setting

<sup>a</sup>Number of times the IRM was observed in the indicated setting.

<sup>b</sup>Frequency per hour of active patient care in the indicated setting.

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## SUPPLEMENTARY MATERIAL

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