Canad. Math. Bull. Vol. 46 (3), 2003 pp. 441-456

# An Inductive Limit Model for the *K*-Theory of the Generator-Interchanging Antiautomorphism of an Irrational Rotation Algebra

P. J. Stacey

Abstract. Let  $A_{\theta}$  be the universal  $C^*$ -algebra generated by two unitaries U, V satisfying  $VU = e^{2\pi i \theta} UV$  and let  $\Phi$  be the antiautomorphism of  $A_{\theta}$  interchanging U and V. The K-theory of  $R_{\theta} = \{a \in A_{\theta} : \Phi(a) = a^*\}$  is computed. When  $\theta$  is irrational, an inductive limit of algebras of the form  $M_q(C(\mathbb{T})) \oplus M_{q'}(\mathbb{R}) \oplus M_q(\mathbb{R})$  is constructed which has complexification  $A_{\theta}$  and the same K-theory as  $R_{\theta}$ .

# 1 Introduction

It was shown in [6] and later, with a simplified proof, in [7] that the irrational rotation algebra  $A_{\theta}$ , generated by unitaries U, V with  $VU = e^{2\pi i \theta} UV$ , can be written as an inductive limit of algebras of the form  $M_q(C(\mathbb{T})) \oplus M_{q'}(C(\mathbb{T}))$ , where  $C(\mathbb{T})$ denotes the algebra of continuous complex-valued functions on the unit circle  $\mathbb{T}$  and  $M_q(C(\mathbb{T}))$  denotes the algebra of  $q \times q$  matrices with entries in  $C(\mathbb{T})$ . It was subsequently shown by Walters in [14], with a simplified proof given by Boca in [2], that the algebras  $M_q(C(\mathbb{T})) \oplus M_{q'}(C(\mathbb{T}))$  can be chosen to be invariant under the flip given by  $U \to U^*, V \mapsto V^*$ . Similar results were obtained in [13] for the antiautomorphisms given by  $U \mapsto U, V \mapsto V^*$  and  $U \mapsto -U, V \mapsto V^*$ , but it was shown that the other naturally occurring antiautomorphism  $\Phi$ , given by  $\Phi(U) = V$  and  $\Phi(V) = U$ , does not admit such a decomposition.

A similar situation obtains for the period 4 (Fourier) automorphism given by  $U \mapsto V$  and  $V \mapsto U^*$ . It was shown in [12] that there is no inductive limit decomposition of Elliott-Evans type which is invariant under this automorphism. However in [16] Walters raised the possibility of an invariant inductive limit decomposition using algebras of the form  $M_q(C(\mathbb{T})) \oplus M_q(C(\mathbb{T})) \oplus M_{q'} \oplus M_q$ . He produced an inductive limit decomposition of  $A_\theta$  using such algebras and an order 4 automorphism  $\sigma$  of  $A_\theta$  compatible with the decomposition and with the same induced map on  $K_1(A_\theta)$  as the Fourier automorphism.

In this paper the construction of [16] is slightly modified to obtain an inductive limit decomposition invariant under an antiautomorphism of period 2 with the same effect on  $K_1(A_\theta)$  as  $\Phi$ . In this setting it is possible to obtain a more detailed agreement between the two antiautomorphisms by showing that the *K*-theories of the

Received by the editors December 10, 2001; revised October 29, 2002.

AMS subject classification: 46L35, 46L80.

<sup>©</sup>Canadian Mathematical Society 2003.

associated real algebras are identical. It is straightforward to calculate the *K*-theory of the inductive limit, but not immediately clear how to compute the *K*-theory of  $R_{\theta} = \{a \in A_{\theta} : \Phi(a) = a^*\}$  since it has no (obvious) cross product structure. The calculation, which occupies most of this paper, is achieved by combining a standard exact sequence for real  $C^*$ -algebras with the exact sequence for real  $C^*$ -algebras produced in [11]. Walters, in [15], has calculated, for a dense  $G_{\delta}$  set of real parameters  $\theta$ , the *K*-theory of the analogous fixed point algebra of the Fourier automorphism, but his methods are different from (and more difficult than) those employed here.

# **2** Computing the *K*-Theory of $R_{\theta}$

As a first step in the calculation of  $K_0(R_\theta)$ , it will be shown that Boca's construction from [3] produces a projection p in  $R_\theta$  with trace  $\theta$ . The features of this construction which are required to show this will now be described.

For each  $r \in \mathbb{R}$  let  $e(r) = e^{2\pi i r}$  and let  $\beta$  be the Heisenberg cocycle on  $\mathbb{R}^2$ , defined by  $\beta((x, y), (x', y')) = e(xy')$ . Let D be the lattice  $\{\sqrt{\theta}(n_1, n_2) : n_1, n_2 \in \mathbb{Z}\}$ and let  $D^{\perp} = \{\frac{1}{\sqrt{\theta}}(m_1, m_2) : m_1, m_2 \in \mathbb{Z}\}$  (defined so that  $D^{\perp} = \{z \in \mathbb{R}^2 : \beta(z, w) = \beta(w, z) \text{ for all } w \in D\}$ ). In accordance with page 278 of [9], choose the Haar measures on  $D, D^{\perp}$  to assign each point the masses  $\sqrt{\theta}$ , 1 respectively. Then define the twisted group algebras  $C^*(D, \beta)$  and  $C^*(D^{\perp}, \overline{\beta})$  as the  $C^*$ -completions of  $L_1(D, \beta)$  and  $L_1(D^{\perp}, \overline{\beta})$  with the multiplications

$$(fg)(w) = \int_D f(w')g(w - w')\beta(w', w - w') \, dw' \quad \text{for } w \in D$$
$$(fg)(z) = \int_{D^\perp} f(z')g(z - z')\overline{\beta(z', z - z')} \, dz' \quad \text{for } z \in D^\perp$$

and the involutions  $f^*(w) = \beta(w, w)\overline{f(-w)}$  for  $w \in D$  and  $f^*(z) = \overline{\beta(z, z)f(-z)}$  for  $z \in D^{\perp}$ .

The Schwartz space  $S(\mathbb{R})$  is a  $C^*(D, \beta) - C^*(D^{\perp}, \overline{\beta})$  bimodule under the actions defined, for  $a \in S(D)$ ,  $b \in S(D^{\perp})$  and  $h \in S(\mathbb{R})$ , by

$$(ah)(s) = \sqrt{\theta} \sum_{(x,y)\in D} a(x,y)h(s+x)e(sy)$$
$$(hb)(s) = \sum_{(x,y)\in D^{\perp}} b(x,y)h(s-x)e(y(x-s))$$

Furthermore it becomes a  $C^*(D,\beta) - C^*(D^{\perp},\bar{\beta})$  equivalence bimodule under the  $C^*(D,\beta)$  and  $C^*(D^{\perp},\bar{\beta})$  valued inner products  $\langle , \rangle_D$  and  $\langle , \rangle_{D^{\perp}}$  defined for  $f,g \in S(\mathbb{R})$  by

$$\langle f,g\rangle_D(x,y) = \int_{\mathbb{R}} f(s)\overline{g(s+x)}e(-sy) \, ds$$
$$\langle f,g\rangle_{D^{\perp}}(x,y) = \int_{\mathbb{R}} \overline{f(s)}g(s+x)e(sy) \, ds.$$

If  $f \in S(\mathbb{R})$  is defined by  $f(s) = e^{-\pi s^2}$  and  $0 < \theta < 0.948$ , then  $\langle f, f \rangle_{D^{\perp}}$  is invertible and

$$p = \left\langle f\langle f, f \rangle_{D^{\perp}}^{-1/2}, f\langle f, f \rangle_{D^{\perp}}^{-1/2} \right\rangle_{D}$$

defines a projection p in  $C^*(D, \beta)$  with  $\tau_D(p) = \theta$ , where  $\tau_D$  is the unique normalised trace on  $C^*(D, \beta) \cong A_{\theta}$ . Using the isomorphism between  $A_{\theta}$  and  $A_{1-\theta}$  it follows that for all  $\theta$  either p or 1 - p is a projection in  $A_{\theta}$  with trace  $\theta$ .

Let  $J, \mathcal{F}$  be the bounded invertible operators on  $L_2(\mathbb{R})$  defined for  $f \in S(\mathbb{R})$  by  $(Jf)(s) = \overline{f(s)}$  and  $(\mathcal{F}f)(s) = \int_{\mathbb{R}} f(x)e(-xs) dx$  and let  $F = J\mathcal{F}$ , so  $(Ff)(s) = \int_{\mathbb{R}} \overline{f(x)}e(xs) dx$ . *F* is an invertible antilinear operator on  $L_2(\mathbb{R})$  and therefore  $\Phi(a) = F^{-1}a^*F$  defines an antiautomorphism of  $B(L_2(\mathbb{R}))$ .

**Lemma 2.1**  $\Phi$  restricts to the involutory antiautomorphism of  $C^*(D, \beta)$  which interchanges the canonical unitary generators.

**Proof** It suffices to show that  $\Phi(\chi_{(\sqrt{\theta},0)}) = \chi_{(0,\sqrt{\theta})}$  and  $\Phi(\chi_{(0,\sqrt{\theta})}) = \chi_{(\sqrt{\theta},0)}$ , where  $\chi_d$  is the characteristic function of  $\{d\}$  for  $d \in D$ . Let  $h \in S(\mathbb{R})$  and  $s \in \mathbb{R}$ . Then

$$\left(F\Phi(\chi_{(\sqrt{\theta},0)})h\right)(s) = (\chi_{(\sqrt{\theta},0)}^*Fh)(s) = (\chi_{(-\sqrt{\theta},0)}Fh)(s)$$
$$= \sqrt{\theta}(Fh)(s - \sqrt{\theta}) = \sqrt{\theta} \int_{\mathbb{R}} \overline{h(x)}e(x(s - \sqrt{\theta})) dx$$

whereas

$$(F\chi_{(0,\sqrt{\theta})}h)(s) = \int_{\mathbb{R}} \overline{(\chi_{(0,\sqrt{\theta})}h)(x)}e(xs) \, dx$$
$$= \sqrt{\theta} \int_{\mathbb{R}} e(-\sqrt{\theta}x)\overline{h(x)}e(xs) \, dx$$

Thus  $F\chi_{(0,\sqrt{\theta})} = F\Phi(\chi_{(\sqrt{\theta},0)})$ , so  $\chi_{(0,\sqrt{\theta})} = \Phi(\chi_{(\sqrt{\theta},0)})$ . A similar calculation gives  $\chi_{(\sqrt{\theta},0)} = \Phi(\chi_{(0,\sqrt{\theta})})$ .

**Proposition 2.2** If  $0 < \theta < 1$  then  $R_{\theta}$  contains a projection p with trace  $\theta$ .

**Proof** By Lemma 2.1 and the preceding remarks it suffices to show that pF = Fp where  $p = \langle f \langle f, f \rangle_{D^{\perp}}^{-1/2}$ ,  $f \langle f, f \rangle_{D^{\perp}}^{-1/2} \rangle_D$  and  $f(s) = e^{-\pi s^2}$ . It is shown in [3] that  $\mathcal{F}p = p\mathcal{F}$ , so it suffices to show that Jp = pJ.

For  $h \in S(\mathbb{R})$  and  $s \in \mathbb{R}$ ,

$$(h\langle f, f \rangle_{D^{\perp}})(s) = \sum_{(x,y) \in D^{\perp}} \langle f, f \rangle_{D^{\perp}}(x, y)h(s - x)e(y(x - s))$$
$$= \sum_{(x,y) \in D^{\perp}} \int_{\mathbb{R}} f(t)f(t + x)e(ty) dt h(s - x)e(y(x - s))$$

Thus

$$(h\langle f, f \rangle_{D^{\perp}} J)(s) = \sum_{(x,y) \in D^{\perp}} \int_{\mathbb{R}} f(t) f(t+x) e(-ty) dt \overline{h(s-x)} e(-y(x-s))$$
$$= (hJ\langle f, f \rangle_{D^{\perp}})(s).$$

It follows in turn that  $J\langle f, f \rangle_{D^{\perp}} = \langle f, f \rangle_{D^{\perp}} J$ ,  $J\langle f, f \rangle_{D^{\perp}}^{-1/2} = \langle f, f \rangle_{D^{\perp}}^{-1/2} J$  and  $f\langle f, f \rangle_{D^{\perp}}^{-1/2} J = f J \langle f, f \rangle_{D^{\perp}}^{-1/2} = f \langle f, f \rangle_{D^{\perp}}^{-1/2}$ . Putting  $g = f \langle f, f \rangle_{D^{\perp}}^{-1/2}$ , a calculation for  $\langle g, g \rangle_D$  similar to that given above for  $\langle f, f \rangle_{D^{\perp}}$  then shows that Jp = pJ, as required.

The principal tool used to calculate the *K*-theory of  $R_{\theta}$  will be two exact sequences, which both rely on the *K*-theoretic maps  $\alpha_i : K_i(A_{\theta}) \to K_i(A_{\theta})$ , where  $\alpha$  is the antilinear automorphism defined by  $\alpha(x) = \Phi(x^*)$ . The proof of Proposition 2.7 in III of [8] shows that, when  $r_i : K_i(A_{\theta}) \to K_i(R_{\theta})$  and  $c_i : K_i(R_{\theta}) \to K_i(A_{\theta})$  arise from the maps  $r(x + iy) = \begin{pmatrix} x & -y \\ y & x \end{pmatrix}$  and the inclusion c(x) = x, then  $r_i \circ c_i = 2$  id and  $c_i \circ r_i = id + \alpha_i$ .

Although the principal interest of this paper is in the case of irrational  $\theta$ , the calculation of the *K* theory of  $R_{\theta}$  can be carried out for both rational and irrational  $\theta$  simultaneously if the complexification map  $c_0: K_0(R_{\theta}) \to K_0(A_{\theta})$  is shown to be a surjection.

**Proposition 2.3** The complexification map  $c_0: K_0(R_\theta) \to K_0(A_\theta)$  is a surjection.

**Proof** When  $\theta$  is irrational, then  $K_0(A_\theta)$  is generated by [1] and [*p*] for any projection *p* in  $A_\theta$  with trace  $\theta$ . Thus the result follows from Proposition 2.2.

When  $\theta = p/q$  with (p,q) = 1 then, as shown for example in [4],  $A_{\theta}$  is isomorphic to

$$\left\{ \begin{array}{l} f \in C([0,1]^2, M_q) : f(\lambda, 1) = W_1 f(\lambda, 0) W_1^* \text{ for all } 0 \le \lambda \le 1, \\ f(1, \mu) = W_2 f(0, \mu) W_2^* \text{ for all } 0 \le \mu \le 1 \end{array} \right\},\$$

where  $M_q$  denotes the algebra of  $q \times q$  complex matrices (with q = 1 when  $\theta = 1$ ) and  $W_1$  and  $W_2$  are two particular  $q \times q$  matrices. Let  $e \in R_{\theta}$  be the Boca projection with trace  $\frac{1}{q}$  and note that, by continuity, the usual normalised trace of  $e(\lambda, \mu)$  is equal to  $\frac{1}{q}$  for each  $(\lambda, \mu) \in [0, 1]^2$ . Thus *e* is a full projection in  $R_{\theta}$ , so that  $eR_{\theta}e$  is stably isomorphic (as a real  $C^*$ -algebra) to  $R_{\theta}$ . Since  $eR_{\theta}e$  is isomorphic to

$$R_1 = \{ f \in C([0,1]^2, \mathbb{C}) : f(\lambda, 1) = f(\lambda, 0), f(1,\mu) = f(0,\mu),$$
$$f(\lambda,\mu) = \overline{f(\mu,\lambda)} \text{ for all } \lambda, \mu \},$$

it suffices to prove the result when  $\theta$  has any fixed value, such as  $\frac{1}{2}$ .

As observed in [17], the arguments for the irrational case apply also when  $\theta = \frac{p}{q}$  to show that  $K_0(R_\theta)$  is generated by [1] and [f] where f is a Rieffel projection with trace  $\frac{1}{q}$ . Thus, if  $\theta = \frac{1}{2}$  and e is a Boca projection with trace  $\frac{1}{2}$  then [e] = a[1] + (1 - 2a)[f] for some  $a \in \mathbb{Z}$ , from which it follows that  $c_0(K_0(R_\theta)) \supseteq \mathbb{Z} \times (1 - 2a)\mathbb{Z}$  and  $c_0r_0(K_0(A_\theta)) \supseteq 2\mathbb{Z} \times 2(1 - 2a)\mathbb{Z}$ , so det(id  $+\alpha_0) = \det(c_0r_0) \neq 0$ . The only possibilities for an order 2 automorphism  $\alpha_0$  of  $\mathbb{Z}^2$  are  $\pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \pm \begin{pmatrix} 1 & 0 & -1 \\ 0 & -1 \end{pmatrix}$  and  $\begin{pmatrix} a & b \\ c & -a \end{pmatrix}$  with  $a^2 + bc = 1$ . The only one of these for which det(id  $+\alpha_0) \neq 0$  is id. Hence  $c_0r_0 = 2$  id and  $c_0(K_0(R_\theta)) \supseteq 2\mathbb{Z}^2$ . When combined with  $c_0(K_0(R_\theta)) \supseteq \mathbb{Z} \times (1 - 2a)\mathbb{Z}$ , this gives  $c_0(K_0(R_\theta)) = \mathbb{Z}^2$ , as required.

**Proposition 2.4** For any  $\theta \leq \theta \leq 1$ , the maps  $\alpha_i \colon K_i(A_\theta) \to K_i(A_\theta)$  are periodic of period 4. The matrices defining the corresponding automorphisms of  $\mathbb{Z}^2$  are

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad when \ i \equiv 0 \pmod{4}$$
$$\begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix} \quad when \ i \equiv 1 \pmod{4}$$
$$\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} \quad when \ i \equiv 2 \pmod{4}$$
$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad when \ i \equiv 3 \pmod{4}$$

**Proof** For any complex  $C^*$ -algebra A let  $SA = C_0(\mathbb{R}, A)$  and let  $\theta_A : K_1(A) \to K_0(SA)$ and  $\beta_A : K_0(A) \to K_1(SA)$  be the isomorphisms defined in Theorem 8.2.2 and Definition 9.1.1 of [1]. The isomorphism  $\theta_A$  commutes with the maps produced by either a linear or antilinear automorphism of A. When  $\alpha$  is an antilinear automorphism, let  $\tilde{\alpha}$  be the associated antilinear automorphism of  $C(S^1, \operatorname{GL}_n(A^+))$  and note that when  $f_e: z \mapsto ze+(1-e)$  (where e is a projection in A) then  $\tilde{\alpha}(f_e): z \mapsto \bar{z}\alpha(e) + (1-\alpha(e))$ . Thus  $\tilde{\alpha}(f_e) = f_{\alpha(e)}^{-1}$  and so, when  $\tau$  is the inverse map in  $K_1(SA)$ , the following diagram commutes.

$$\begin{array}{ccc} K_0(A) & & & & \\ & & & \\ \beta_A & & & & \\ K_1(SA) & & & \\ & & & \\ & & & \\ \hline & & & \\ & &$$

It follows that, under the Bott isomorphism  $\theta_{SA}\beta_A$  between  $K_0(A)$  and  $K_2(A)$ , the following diagram commutes, where  $\tau$  is the inverse map.

$$\begin{array}{ccc} K_0(A) & & & & & \\ & & & & \\ \theta_{SA}\beta_A & & & & & \\ K_2(A) & & & & \\ & & & & \\ & & & & \\ \end{array} \xrightarrow{\alpha_2 \circ \tau} & K_2(A) \end{array}$$

It remains to establish the matrices for  $\alpha_0: K_0(A_\theta) \to K_0(A_\theta)$  and  $\alpha_1: K_1(A_\theta) \to K_1(A_\theta)$ . The second is immediate from  $\alpha(U) = V^*$  and  $\alpha(V) = U^*$ , where U, V are the unitary generators of  $A_\theta$ . In the first case it has already been shown in the rational case that  $\alpha_0 = \text{id}$ . When  $\theta$  is irrational, let p be a projection in  $R_\theta$  given by Proposition 2.3. Then [1] and [p] generate  $K_0(A_\theta)$  and  $(cr)(1) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ ,  $(cr)(p) = \begin{pmatrix} p & 0 \\ 0 & p \end{pmatrix}$ , so id  $+\alpha_0 = 2$  id on  $K_0(A_\theta)$  and hence  $\alpha_0 = \text{id}$ .

The first exact sequence used to determine the *K*-theory of  $R_{\theta}$  will be based on the results of [11]. The first step is to compute the *K*-theory of the real  $C^*$ -algebra  $C_{\theta} = A_{\theta} \times_{\alpha} \mathbb{Z}$  using the real Pimsner-Voiculescu sequence.

**Proposition 2.5** For any  $0 \le \theta \le 1$ , let  $C_{\theta} = A_{\theta} \times_{\alpha} \mathbb{Z}$  where  $\alpha(x) = \Phi(x^*)$  for each  $x \in A_{\theta}$ . Then

$$K_i(C_{\theta}) \cong \mathbb{Z}^3 \quad when \ i \equiv 0, \ 1 \pmod{4},$$
  
 $K_i(C_{\theta}) \cong \mathbb{Z} \quad when \ i \equiv 3 \pmod{4},$ 

and

$$K_i(C_\theta) \cong \mathbb{Z}_2^2 \times \mathbb{Z} \quad when \ i \equiv 2 \pmod{4}$$

**Proof** The real Pimsner-Voiculescu sequence in this case is

$$\cdots \longrightarrow K_0(A_{\theta}) \underset{\mathrm{id} - \alpha_0}{\longrightarrow} K_0(A_{\theta}) \longrightarrow K_0(C_{\theta}) \longrightarrow K_7(A_{\theta}) \longrightarrow \cdots$$

From Proposition 2.4, id =  $\alpha_i$  when  $i \equiv 0 \pmod{4}$  so, starting with  $K_0(A_\theta)$  we obtain

$$0 \longrightarrow \mathbb{Z}^{2} \longrightarrow K_{0}(C_{\theta}) \longrightarrow \mathbb{Z}^{2} \xrightarrow[\left(1 \ -1 \ 1\right)]{} \mathbb{Z}^{2} \longrightarrow K_{7}(C_{\theta}) \longrightarrow \mathbb{Z}^{2}$$
$$\xrightarrow{2 \text{ id}} \mathbb{Z}^{2} \longrightarrow K_{6}(C_{\theta}) \longrightarrow \mathbb{Z}^{2} \xrightarrow[\left(1 \ 1 \ 1\right)]{} \mathbb{Z}^{2} \longrightarrow K_{5}(C_{\theta}) \longrightarrow \mathbb{Z}^{2} \longrightarrow 0$$

The initial portion gives  $0 \to \mathbb{Z}^2 \to K_0(C_\theta) \to \{(n, n) : n \in \mathbb{Z}\} \to 0$ , so  $K_0(C_\theta) \cong \mathbb{Z}^3$ . The next part gives  $0 \to \mathbb{Z}^2/\{(n, -n) : n \in \mathbb{Z}\} \to K_7(C_\theta) \to \ker(2 \operatorname{id}) \to 0$ , yielding  $K_7(C_\theta) \cong \mathbb{Z}$ .

Finally, the portions  $0 \to \mathbb{Z}^2 \xrightarrow{2 \text{ id}} \mathbb{Z}^2 \longrightarrow K_6(C_\theta) \longrightarrow \{(n, -n) : n \in \mathbb{Z}\} \to 0$ and  $0 \to \mathbb{Z}^2/\{(n, n) : n \in \mathbb{Z}\} \to K_5(C_\theta) \to \mathbb{Z}^2 \to 0$  yield  $K_6(C_\theta) \cong \mathbb{Z}_2^2 \times \mathbb{Z}$  and  $K_5(C_\theta) \cong \mathbb{Z}^3$ . The periodicity of period 4 established in Proposition 2.4 completes the proof.

It follows from Propositions 2.2(ii) and 2.3 of [11] that  $C_{\theta}$  is isomorphic to

$$C_{\theta} = \left\{ f \in C([0,1], M_2(A_{\theta})) : f(1) = \hat{\alpha}(f(0)), \\ f(t) = (\Psi \hat{\alpha}) (f(1-t)^*) \text{ for each } 0 \le t \le 1 \right\}$$

where

$$\hat{\alpha} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a & -b \\ -c & d \end{pmatrix}$$

and

$$\Psi\begin{pmatrix}a&b\\c&d\end{pmatrix} = \begin{pmatrix}\Phi(d)&\Phi(b)\\\Phi(c)&\Phi(a)\end{pmatrix}.$$

For each  $f \in C_{\theta}$ ,  $f(0) = (\Psi \hat{\alpha}) (f(1)^*) = \Psi (f(0))^*$  and  $f(\frac{1}{2}) = (\Psi \hat{\alpha}) (f(\frac{1}{2}))^*$ . By Proposition 2.4 of [11] it follows that the evaluation map at  $\frac{1}{2}$  has image isomorphic to  $R_{\theta} \otimes \mathbb{H}$  and the evaluation map at 0 has image isomorphic to  $R_{\theta} \otimes M_2(\mathbb{R}) \cong$  $M_2(R_{\theta})$ . Thus, using *I* to denote [0, 1], there is an exact sequence

$$0 \to C_0(I, M_2(A_\theta)) \to C_\theta \to M_2(R_\theta) \times (R_\theta \otimes \mathbb{H}) \to 0.$$

The associated K-theoretic long exact sequence

$$(2.1) \qquad \cdots \to K_{n+1}(A_{\theta}) \to K_n(C_{\theta}) \to K_n(R_{\theta}) \times K_{n+4}(R_{\theta}) \to K_n(A_{\theta}) \to \cdots$$

is one of the tools which will be used to calculate the *K*-theory of  $R_{\theta}$ . The other is the sequence, described in Theorem 1.4.7 of [10],

(2.2)

$$\cdots \longrightarrow K_n(R_\theta) \xrightarrow[c_n]{} K_n(A_\theta) \longrightarrow K_{n-2}(A_\theta) \xrightarrow[r_{n-2}]{} K_{n-2}(R_\theta) \longrightarrow K_{n-1}(R_\theta) \longrightarrow \cdots$$

in which the middle map from  $K_n(A_\theta)$  to  $K_{n-2}(A_\theta)$  is the Bott isomorphism.

It follows from (2.1) and Proposition 2.5 that each group  $K_n(R_\theta)$  is finitely generated. The following lemma gives some more detailed information.

*Lemma 2.6* For any  $0 \le \theta \le 1$ , there exist  $a_1, \ldots, a_7 \in \mathbb{N} \cup \{0\}$  such that

$$\begin{split} & K_0(R_\theta) \cong \mathbb{Z}^2 \times \mathbb{Z}_2^{a_0}, \qquad K_1(R_\theta) \cong \mathbb{Z} \times \mathbb{Z}_2^{a_1}, \\ & K_2(R_\theta) \cong \mathbb{Z}_2^{a_2}, \qquad K_3(R_\theta) \cong \mathbb{Z} \times \mathbb{Z}_2^{a_3}, \\ & K_4(R_\theta) \cong \mathbb{Z}^2 \times \mathbb{Z}_2^{a_4}, \qquad K_5(R_\theta) \cong \mathbb{Z} \times \mathbb{Z}_2^{a_5}, \\ & K_6(R_\theta) \cong \mathbb{Z}_2^{a_6}, \qquad K_7(R_\theta) \cong \mathbb{Z} \times \mathbb{Z}_2^{a_7}. \end{split}$$

**Proof** For i = 2, 6 then, by Proposition 2.4,  $c_i r_i = id + \alpha_i = 0$ . Using  $r_i c_i = 2$  id it follows that  $2r_i(\mathbb{Z}^2) = r_i c_i r_i(\mathbb{Z}^2) = 0$  and therefore  $4K_i(R_\theta) = 2r_i c_i K_i(R_\theta) \subseteq 2r_i(\mathbb{Z}^2) = 0$ . Hence  $c_i : K_i(R_\theta) \to \mathbb{Z}^2$  is the zero map and so  $2K_i(R_\theta) = r_i c_i K_i(R_\theta) = 0$ , showing that  $K_i(R_\theta)$  is a 2-torsion group and, being finitely generated, it is therefore of the required form.

From (2.2) there is an exact sequence

$$\cdots \longrightarrow K_0(R_\theta) \xrightarrow[c_0]{} \mathbb{Z}^2 \xrightarrow[r_6]{} K_6(R_\theta)$$
$$\longrightarrow K_7(R_\theta) \xrightarrow[c_7]{} \mathbb{Z}^2 \xrightarrow[r_5]{} K_5(R_\theta) \longrightarrow K_6(R_\theta) \xrightarrow[c_6]{} \mathbb{Z}^2 \longrightarrow \cdots$$

## P. J. Stacey

Part of this gives  $\mathbb{Z}_{2}^{a_{6}} \longrightarrow K_{7}(R_{\theta}) \longrightarrow \mathbb{Z}^{2} \longrightarrow K_{5}(R_{\theta}) \longrightarrow \mathbb{Z}_{2}^{a_{6}} \longrightarrow 0$ . From Proposition 2.4  $c_{5}r_{5} = \operatorname{id} + \alpha_{5} = \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}$  so either ker $(r_{5}) = \{0\}$  or ker $(r_{5}) \cong \mathbb{Z}$ . If ker $(r_{5}) = \{0\}$  then Im $(c_{7}) = 0$  contradicting  $c_{7}r_{7} = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$ . Thus ker $(r_{5}) =$  Im $(c_{7}) \cong \mathbb{Z}$ , from which it follows that both  $K_{5}(R_{\theta})$  and  $K_{7}(R_{\theta})$  are of the form  $\mathbb{Z} \times F_{i}$  for some finite groups  $F_{5}, F_{7}$ . Then  $c_{5}(F_{5}) = c_{7}(F_{7}) = 0$  and hence  $2F_{5} = r_{5}c_{5}F_{5} = 0$  and  $2F_{7} = r_{7}c_{7}F_{7} = 0$ , showing that both  $K_{5}(R_{\theta})$  and  $K_{7}(R_{\theta})$  have the required forms. A similar argument applies to  $\mathbb{Z}_{2}^{a_{2}} \longrightarrow K_{3}(R_{\theta}) \longrightarrow \mathbb{Z}_{2}^{a_{2}} \longrightarrow 0$ , producing the result for  $K_{1}(R_{0}) \longrightarrow \mathbb{Z}_{2}^{a_{2}} \longrightarrow 0$ ,

producing the result for  $K_1(R_\theta)$  and  $K_3(R_\theta)$ .

The portion  $\mathbb{Z}_{2}^{a_{6}} \xrightarrow{\mathbb{Z}^{2}} \mathbb{Z}^{2} \xrightarrow{r_{4}} K_{4}(R_{\theta}) \xrightarrow{} K_{5}(R_{\theta}) \xrightarrow{} \mathbb{Z}^{2} \xrightarrow{r_{3}} K_{3}(R_{\theta})$  has  $\operatorname{Im}(c_{5}) = \operatorname{ker}(r_{3}) \cong \mathbb{Z}$  since  $\operatorname{ker}(r_{3}) \cong \mathbb{Z}^{2}$  contradicts  $c_{3}r_{3} = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$  and  $\operatorname{Im}(c_{5}) = \{0\}$  contradicts  $c_{5}r_{5} = \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}$ . Thus  $0 \to \mathbb{Z}^{2} \to K_{4}(R_{\theta}) \to \mathbb{Z} \times \mathbb{Z}_{2}^{a_{5}} \to \mathbb{Z} \to 0$  and so  $0 \to \mathbb{Z}^{2} \to K_{4}(R_{\theta}) \to \mathbb{Z}_{2}^{a_{5}} \to 0$  from which it follows that  $K_{4}(R_{\theta})$  has the required form. A similar argument works for  $K_{0}(R_{\theta})$ .

The exact sequence (2.1) will next be used to limit the size of  $a_0, \ldots, a_7$ .

**Lemma 2.7** Let  $a_0, \ldots, a_7$  be as defined in Lemma 2.6. Then  $a_0 + a_4 \in \{0, 1\}, a_1 + a_5 \in \{0, 1, 2\}, a_2 + a_6 \in \{1, 2, 3\}, a_3 + a_7 = 0.$ 

**Proof** The part of the sequence (2.1) starting at  $K_7(C_\theta)$  gives

$$\cdots \longrightarrow \mathbb{Z} \xrightarrow{\beta_7} \mathbb{Z}^2 \times \mathbb{Z}_2^{a_3 + a_7} \xrightarrow{\gamma_7} \mathbb{Z}^2 \xrightarrow{\alpha_6} \mathbb{Z}_2^2 \times \mathbb{Z} \xrightarrow{\beta_6} \mathbb{Z}_2^{a_2 + a_6} \xrightarrow{0} \mathbb{Z}^2.$$

If  $\operatorname{Im}(\gamma_7) \cong \mathbb{Z}^2$  then  $\operatorname{ker}(\beta_6) = \operatorname{Im}(\alpha_6)$  is a torsion group, giving a contradiction to the final part of the sequence.  $\operatorname{Im}(\gamma_7) = 0$  is also impossible because  $\alpha_6$  cannot be injective. Hence  $\operatorname{Im}(\gamma_7) \cong \mathbb{Z}$  and so  $\operatorname{Im}(\beta_7) = \operatorname{ker}(\gamma_7) \cong \mathbb{Z} \times \mathbb{Z}_2^{a_3+a_7}$ , which forces  $a_3 + a_7 = 0$ . The previous part of the sequence (2.1) gives

$$\longrightarrow \mathbb{Z}^3 \xrightarrow[\beta_0]{} \mathbb{Z}^4 \times \mathbb{Z}_2^{a_0+a_4} \xrightarrow[\gamma_0]{} \mathbb{Z}^2 \xrightarrow[\alpha_7]{} \mathbb{Z} \xrightarrow[\beta_7]{} \mathbb{Z}^2$$

and, from  $\operatorname{Im}(\alpha_7) = \ker \beta_7 = 0$  it follows that  $\operatorname{Im}(\gamma_0) = \mathbb{Z}^2$  and hence  $\operatorname{Im}(\beta_0) = \ker(\gamma_0) \cong \mathbb{Z}^2 \times \mathbb{Z}_2^{a_0+a_4}$ , from which it follows that  $a_0 + a_4 \in \{0, 1\}$ . Both possibilities  $\operatorname{Im}(\beta_0) \cong \mathbb{Z}^2$  and  $\operatorname{Im}(\beta_0) \cong \mathbb{Z}^2 \times \mathbb{Z}_2$  imply that  $\ker(\beta_0) \cong \mathbb{Z}$ . The part of sequence (2.1) finishing at  $\beta_0$  is

$$\mathbb{Z}^3 \xrightarrow[\beta_1]{} \mathbb{Z}^2 \times \mathbb{Z}_2^{a_1 + a_5} \xrightarrow[\gamma_1]{} \mathbb{Z}^2 \xrightarrow[\alpha_0]{} \mathbb{Z}^3 \xrightarrow[\beta_0]{}$$

and it follows from  $\operatorname{Im}(\alpha_0) = \ker(\beta_0) \cong \mathbb{Z}$  that  $\operatorname{Im}(\gamma_1) = \ker(\alpha_0) \cong \mathbb{Z}$ . Thus  $\operatorname{Im}(\beta_1) \cong \mathbb{Z} \times \mathbb{Z}_2^{a_1+a_5}$  from which it follows that  $a_1 + a_5 \in \{0, 1, 2\}$ . Finally, the part of sequence (2.1) used at the start of the proof has  $\ker(\alpha_6) = \operatorname{Im}(\gamma_7) \cong \mathbb{Z}$  so  $\operatorname{Im}(\alpha_6) \cong \mathbb{Z} \times \mathbb{Z}_2$  or  $\operatorname{Im}(\alpha_6) \cong \mathbb{Z}$ . The first possibility leads to  $a_2 + a_6 \in \{1, 2\}$  and the second to  $a_2 + a_6 \in \{2, 3\}$ .

The *K*-theory of  $R_{\theta}$  can now be calculated.

**Theorem 2.8** The K groups of  $R_{\theta}$  are given by the following table.

**Proof** From Proposition 2.3 the complexification map  $c_0: \mathbb{Z}^2 \times \mathbb{Z}_2^{a_0} \to \mathbb{Z}^2$  is a surjection. Thus, from  $r_0c_0 = 2$  id,  $r_0(\mathbb{Z}^2) = 2\mathbb{Z}^2$ . The exact sequence (2.2) contains the portion

$$\cdots \longrightarrow \mathbb{Z}^2 \xrightarrow[r_0]{} K_0(R_\theta) \longrightarrow K_1(R_\theta) \xrightarrow[c_1]{} \mathbb{Z}^2 \longrightarrow \cdots$$

which is known to be of the form

. .

$$\cdots \longrightarrow \mathbb{Z}^2 \xrightarrow[r_0]{} \mathbb{Z}^2 \times \mathbb{Z}_2^{a_0} \xrightarrow[\delta]{} \mathbb{Z} \times \mathbb{Z}_2^{a_1} \xrightarrow[c_1]{} \mathbb{Z}^2 \longrightarrow \cdots$$

From  $r_0(\mathbb{Z}^2) = 2\mathbb{Z}^2$  it follows that  $\operatorname{Im}(\delta) \cong \mathbb{Z}_2^{2+a_0}$  and thus that  $a_1 \ge 2+a_0$ . However, by Lemma 2.7,  $a_1 \le 2$  so  $a_1 = 2$  and  $a_0 = 0$ . Then, since  $a_1 + a_5 \le 2$ ,  $a_5 = 0$ . Another portion of the sequence (2.2) is

$$\longrightarrow K_0(R_\theta) = \mathbb{Z}^2 \xrightarrow[c_0]{} \mathbb{Z}^2 \xrightarrow[r_6]{} K_6(R_\theta) \longrightarrow K_7(R_\theta) = \mathbb{Z},$$

and, since  $c_0$  is surjective and  $K_6(R_\theta) = \mathbb{Z}_2^{a_6}$ ,  $K_6(R_\theta) = 0$ . To calculate  $K_4(R_\theta)$  and  $K_2(R_\theta)$  note that for  $x \in R_\theta$ ,

$$\begin{pmatrix} x & 0 \\ 0 & 0 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} x & 0 \\ 0 & x \end{pmatrix} + \frac{i}{2} \begin{pmatrix} -ix & 0 \\ 0 & ix \end{pmatrix}$$
$$= \frac{1}{2} (x \otimes 1_{\mathrm{H}}) + \frac{i}{2} (x \otimes i_{\mathrm{H}})$$
$$\in (R_{\theta} \otimes \mathbb{H}) + i(R_{\theta} \otimes \mathbb{H}).$$

Thus  $r\begin{pmatrix} x & 0 \\ 0 & 0 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} x \otimes 1_{H} & -x \otimes i_{H} \\ x \otimes i_{H} & x \otimes 1_{H} \end{pmatrix} = A \begin{pmatrix} x \otimes 1_{H} & 0 \\ 0 & 0 \end{pmatrix} A^{*}$  where  $\sqrt{2}A = \begin{pmatrix} 1 \otimes 1_{H} & 1 \otimes 1_{H} \\ 1 \otimes i_{H} & -1 \otimes i_{H} \end{pmatrix}$ , showing that  $r([1]) = [1 \otimes 1_{H}]$  and  $r([p]) = [p \otimes 1_{H}]$ , whereas  $c[1 \otimes 1_{H}] = 2[1]$ and  $c[p \otimes 1_{\mathbb{H}}] = 2[p]$ . Thus

$$\cdots \longrightarrow \mathbb{Z}^2 \xrightarrow[r_4]{} \mathbb{Z}^2 \times \mathbb{Z}_2^{a_4} \xrightarrow[\gamma]{} \mathbb{Z} = K_5(R_\theta) \longrightarrow \cdots$$

with  $r_4(\mathbb{Z}^2) = \mathbb{Z}^2$ , showing that  $a_4 = 0$ , and

$$\longrightarrow K_4(R_\theta) = \mathbb{Z}^2 \xrightarrow[c_4]{} \mathbb{Z}^2 \xrightarrow[r_2]{} K_2(R_\theta) \longrightarrow K_3(R_\theta) = \mathbb{Z}$$

with  $c_4(\mathbb{Z}^2) = 2\mathbb{Z}^2$ , showing that  $K_2(R_\theta) \cong \mathbb{Z}_2^2$ .

Having established the group structure of  $K_n(R_\theta)$  it is possible to specify generators explicitly, though this will not be needed in the sequel. This has already been done for  $K_0(R_{\theta})$ . By the identification  $K_4(R_{\theta}) \cong K_0(R_{\theta} \otimes \mathbb{H})$ , the generators for

 $K_4(R_\theta)$  are  $[1 \otimes 1_H]$  and  $[p \otimes 1_H]$  where [1] and [p] are generators for  $K_0(R_\theta)$ . The element  $[e^{-\pi i \theta} UV^*]$  is a generator of the summand  $\mathbb{Z}$  of  $K_1(R_\theta)$  and the elements  $\left[\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}\right]$  and  $\left[\begin{pmatrix} 1-p & p \\ p & 1-p \end{pmatrix}\right]$ , where [p] is a generator of  $K_0(R_\theta)$ , are generators of the two  $\mathbb{Z}_2$  summands. These can be obtained in the following way from the generators [1] and [p] of  $K_0(A_\theta)$ . Note that the relevant portion of the exact sequence (2.1) arising from

$$0 o C_0ig(I, M_2(A_ heta)ig) o C_ heta o M_2(R_ heta) imes (R_ heta \otimes \mathbb{H}) o 0$$

$$\cdots \xrightarrow{}_{0} K_1(C_0(I, M_2(A_{\theta})) \cong \mathbb{Z}^2 \longrightarrow K_1(C_{\theta}) \cong \mathbb{Z}^3 \longrightarrow (\mathbb{Z} \times \mathbb{Z}_2^2) \times \mathbb{Z} \longrightarrow \mathbb{Z}^2 \longrightarrow \cdots,$$

and thus that the two generators of  $\mathbb{Z}_2^2$  arise from evaluation at 0 of the elements of  $C_\theta$  generating the summands containing the image of  $K_1(C_0(I, M_2(A_\theta)))$ .

The two generators [1] and [*p*] of  $K_0(A_\theta)$  give rise to the elements  $f_1$  and  $f_p$  of  $C_0(I, A_\theta)^+$  defined by  $f_1(t) = I + (e^{2\pi i t} - 1)1$  and  $f_p(t) = I + (e^{2\pi i t} - 1)p$ , where *I* is the identity adjoined to  $C_0(I, A_\theta)$ . The corresponding elements of  $C_\theta$  are defined by

$$f_p(t) = \begin{cases} \begin{pmatrix} 1 + (e^{4\pi i t} - 1)p & 0\\ 0 & 1 \end{pmatrix} & \text{if } 0 \le t \le \frac{1}{2} \\ \\ \begin{pmatrix} 1 & 0\\ 0 & 1 + (e^{4\pi i t} - 1)p \end{pmatrix} & \text{if } \frac{1}{2} \le t \le 1, \end{cases}$$

with a corresponding definition of  $f_1$ . These formulae arise from using  $f(t) = (\Psi \hat{\alpha}) (f(1-t)^*)$  for  $\frac{1}{2} \le t \le 1$ . Note that  $[f_p] = [g_p]$  and  $[f_1] = [g_1]$  where

$$g_p(t) = \begin{pmatrix} 1 + (e^{2\pi i t} - 1)p & 0\\ 0 & 1 + (e^{2\pi i t} - 1)p \end{pmatrix}$$

for all  $0 \le t \le 1$ , with a similar formula for  $g_1$ . Let  $h_p(t) = \begin{pmatrix} 1-p & pe^{\pi i t} \\ pe^{\pi i t} & 1-p \end{pmatrix}$  for  $0 \le t \le 1$  with a similar definition of  $h_1$ . Then  $h_p^2 = g_p$ ,  $h_1^2 = g_1$ ,  $h_p \in C_\theta$  and  $h_1 \in C_\theta$ . Evaluating at 0 gives the generators  $\left[\begin{pmatrix} 1-p & p \\ p & 1-p \end{pmatrix}\right]$  and  $\left[\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}\right]$  of the two  $\mathbb{Z}_2$  summands of  $K_1(R_\theta)$ .

Regarding  $K_5(R_\theta)$  as  $K_1(R_\theta \otimes \mathbb{H})$ , a generator is  $[e^{-\pi i \theta}UV^* \otimes 1_{\mathbb{H}}]$ . The generators of  $K_2(R_\theta)$ , viewed as  $K_1(C_0(I, R_\theta))$  are obtained, via the exact sequence (2.2), as the images of the generators of  $K_1(C_0(I, A_\theta))$  under the realification map. These are given by

$$t \mapsto \begin{pmatrix} 1 + (\cos(2\pi t) - 1) p & p \sin(2\pi t) \\ -p \sin(2\pi t) & 1 + (\cos(2\pi t) - 1) p \end{pmatrix}$$

and

$$t \mapsto \begin{pmatrix} \cos(2\pi t)1 & \sin(2\pi t)1\\ -\sin(2\pi t)1 & \cos(2\pi t)1 \end{pmatrix}.$$

The latter can also be viewed as the image of the generator of  $K_2(\mathbb{R})$  under the map from  $K_2(\mathbb{R})$  into  $K_2(R_\theta)$  resulting from  $\lambda \mapsto \lambda 1$ .

The most cumbersome generators to describe are those for  $K_3(R_\theta)$  and  $K_7(R_\theta)$ . To obtain a generator for  $K_7(R_\theta)$  note that the exact sequence (2.2) includes the portion

$$\longrightarrow K_1(R_\theta) \underset{c_1}{\longrightarrow} \mathbb{Z}^2 = K_1(A_\theta) \longrightarrow \mathbb{Z}^2 = K_7(A_\theta)$$
$$\longrightarrow K_7(R_\theta) = \mathbb{Z} \longrightarrow K_0(R_\theta) \underset{c_0}{\longrightarrow} K_0(A_\theta)$$

where  $c_0$  is an isomorphism and the image of  $c_1$  is  $[e^{-\pi i\theta}UV^*] = [UV^*]$ . It follows that, for either generator [U] or [V] of  $K_1(A_\theta)$ , the image under  $r_7$  of the corresponding element of  $K_7(A_\theta)$  generates  $K_7(R_\theta)$ . One description of this generator can be obtained by using the results of [5] to identify  $K_n(R_\theta)$  with  $K_{n+1}(D_\theta)$  where  $D_\theta = \{ f \in C_0(\mathbb{R}, A_\theta) : f(-x) = \Phi(f(x)^*) \}$  (=  $C_0^{\mathbb{R}}(i\mathbb{R}) \otimes R_\theta$  in the language of [5]).

The complexification of  $D_{\theta}$  is just  $C_0(\mathbb{R}, A_{\theta})$  and the element of  $K_0(C_0(\mathbb{R}, A_{\theta}))$ corresponding to the element [*U*] of  $K_1(A_{\theta})$  is, as described in Theorem 8.2.2 of [1],  $[p_U] - \left[ \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \right]$  where  $p_U \in C_0(I, M_2(A_{\theta}))$  is defined by

$$p_U(t) = \begin{pmatrix} 1 + s_t^2 c_t^2 (U + U^* - 2) & c_t s_t (U - 1) (1 + s_t^2 (U - 1)) \\ c_t s_t (U^* - 1) (1 + s_t^2 (U^* - 1)) & c_t^2 s_t^2 (2 - U^* - U) \end{pmatrix}$$

in which  $s_t = \sin(\frac{\pi}{2}t)$  and  $c_t = \cos(\frac{\pi}{2}t)$  for  $0 \le t \le 1$ . The corresponding generator of  $K_0(D_\theta)$  is then given by  $[P_U] - \left[ \begin{pmatrix} e & 0 \\ 0 & e \end{pmatrix} \right]$  where

$$P_U = \frac{1}{2} \begin{pmatrix} p_U + \Psi(p_U)^* & -i\Psi(p_U)^* + ip_U \\ i\Psi(p_U)^* - ip_U & p_U + \Psi(p_U)^* \end{pmatrix} \text{ and } e = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}.$$

A similar generator can be obtained for  $K_3(R_\theta) \cong K_0(D_\theta \otimes \mathbb{H})$  by tensoring with  $1_{\mathbb{H}}$ .

## **3** An Inductive Limit Sharing the *K*-Theory of $R_{\theta}$

In [16] Walters constructed an inductive limit decomposition of  $A_{\theta}$ , when  $\theta$  is irrational, and a period 4 automorphism of  $A_{\theta}$  compatible with the decomposition, producing the same map on  $K_1(A_{\theta})$  as the Fourier automorphism  $\alpha$  given by  $\alpha(U) = V$ ,  $\alpha(V) = U^*$ . In this section a minor modification of Walters's construction will be used to produce an involutory antiautomorphism  $\Psi$  of  $A_{\theta}$  compatible with the decomposition and producing the same map on  $K_1(A_{\theta})$  as the antiautomorphism  $\Phi$  defined by  $\Phi(U) = V$ ,  $\Phi(V) = U$ . Furthermore it will be shown that the real inductive limit algebra associated with  $\Psi$  has the same *K*-theory as  $R_{\theta}$ , suggesting that  $R_{\theta}$  may well be isomorphic to this inductive limit.

Following [16] let  $\theta$  have continued fraction expansion  $[a_0, a_1, ...]$  where  $a_n \ge 1$  for  $n \ge 1$  and  $a_0 = 0$  and let

$$P_n = \begin{pmatrix} a_{5n} & 1\\ 1 & 0 \end{pmatrix} \begin{pmatrix} a_{5n-1} & 1\\ 1 & 0 \end{pmatrix} \begin{pmatrix} a_{5n-2} & 1\\ 1 & 0 \end{pmatrix} \begin{pmatrix} a_{5n-3} & 1\\ 1 & 0 \end{pmatrix} \begin{pmatrix} a_{5n-4} & 1\\ 1 & 0 \end{pmatrix}$$
$$= \begin{pmatrix} \alpha_n & \beta_n\\ \gamma_n & \delta_n \end{pmatrix},$$

so that  $det(P_n) = -1$ . The *n*-th convergent  $p_n/q_n$  of  $\theta$  is determined by

$$q_0 = 1, \quad q_1 = a_1, \quad q_n = a_n q_{n-1} + q_{n-2}$$
  
 $p_0 = 0, \quad p_1 = 1, \quad p_n = a_n p_{n-1} + p_{n-2}$ 

and therefore

$$q_{5n+5} = \alpha_{n+1}q_{5n} + \beta_{n+1}q_{5n-1}, \qquad q_{5n+4} = \gamma_{n+1}q_{5n} + \delta_{n+1}q_{5n-1}$$
$$p_{5n+5} = \alpha_{n+1}p_{5n} + \beta_{n+1}p_{5n-1}, \qquad p_{5n+4} = \gamma_{n+1}p_{5n} + \delta_{n+1}p_{5n-1}$$

As noted in [16],  $\alpha_n \ge 5$  and  $\gamma_n \ge 5$  for each *n*, so we can write

$$\alpha_n = 2\alpha'_n + \alpha''_n, \quad \gamma_n = 2\gamma'_n + \gamma''_n$$

where  $\alpha''_n, \gamma''_n \in \{1, 2\}$  and  $\alpha'_n, \gamma'_n \ge 2$ . Then, as in [16], let

$$A_n = M_{q_{5n}}ig(C(\mathbb{T})ig) \oplus M_{q_{5n}}ig(C(\mathbb{T})ig) \oplus M_{q_{5n-1}} \oplus M_{q_{5n}}$$

and equip this with the involutory antiautomorphism  $\Psi_n$  defined by

$$\Psi_n(f, g, A, B) = (g^{\mathrm{tr}}, f^{\mathrm{tr}}, A^{\mathrm{tr}}, B^{\mathrm{tr}}),$$

which has the associated real algebra  $R_n = \{ (f, \overline{f}, A, B) : f \in M_{q_{5n}}(C(\mathbb{T})) \}$ ,  $A \in M_{q_{5n-1}}(\mathbb{R}), B \in M_{q_{5n-1}}(\mathbb{R}) \}$ .

For any  $\ell \times \ell$  matrix M, let  $I_k \otimes M$  denote the  $k\ell \times k\ell$  matrix with K copies of M down the main diagonal and let  $M \otimes I_k$  denote the  $k\ell \times k\ell$  matrix consisting of  $k \times k$  blocks  $m_{ij}I_k$  in the obvious way. As in [16] let  $S_k$  and  $S_k(id)$  be the  $k \times k$  matrices with entries in  $C(\mathbb{T})$  defined by

$$S_k = \begin{pmatrix} 0 & 1 \\ I_{k-1} & 0 \end{pmatrix}$$
 and  $S_k(\mathrm{id}) = \begin{pmatrix} 0 & \mathrm{id} \\ I_{k-1} & 0 \end{pmatrix}$ 

where id is the identity function on  $\mathbb{T} \subseteq \mathbb{C}$ . Let  $\rho_n \colon A_n \to A_{n+1}$  be defined, for constant  $X, Y \in M_{q_{5n}}(C(\mathbb{T}))$ , for  $Z \in M_{q_{5n-1}}$  and  $Z' \in M_{q_{5n}}$  by

$$\begin{split} \rho_n(\text{id } I_{q_{5n}}, 0, 0, 0) \\ &= \left( [I_{q_{5n}} \otimes S_{\alpha'_{n+1}}(\text{id})] 000, [I_{q_{5n}} \otimes S_{\alpha'_{n+1}}] 000, [I_{q_{5n}} \otimes S_{\gamma'_{n+1}}] 000, [I_{q_{5n}} \otimes S_{\alpha'_{n+1}}] 000 \right), \\ \rho_n(0, \text{id } I_{q_{5n}}, 0, 0) \\ &= \left( 0[I_{q_{5n}} \otimes S_{\alpha'_{n+1}}^{\text{tr}}] 00, 0[I_{q_{5n}} \otimes S_{\alpha'_{n+1}}^{\text{tr}}(\text{id})] 00, 0[I_{q_{5n}} \otimes S_{\gamma'_{n+1}}^{\text{tr}}] 00, 0[I_{q_{5n}} \otimes S_{\alpha'_{n+1}}^{\text{tr}}] 00 \right), \\ \rho_n(X, Y, Z, Z') = (A, A, B, A), \end{split}$$

where

$$A = [X \otimes I_{\alpha'_{n+1}}][Y \otimes I_{\alpha'_{n+1}}][Z \otimes I_{\beta_{n+1}}][Z' \otimes I_{\alpha''_{n+1}}]$$

and

$$B = [X \otimes I_{\gamma'_{n+1}}][Y \otimes I_{\gamma'_{n+1}}][Z \otimes I_{\delta_{n+1}}][Z' \otimes I_{\gamma''_{n+1}}].$$

Here, as in [16], the matrices in square brackets are diagonal blocks in the appropriate matrix of size  $q_{5n+5}$  or  $q_{5n+4}$ . (The only difference from the map  $\rho_n$  defined in [16] is in the third and fourth components of the image of (0, id  $I_{q_{5n}}$ , 0, 0), where  $S^{tr}$  replaces  $\Lambda S\Lambda^*$ .)

For each  $k \in \mathbb{N}$  let  $W_{2k}$  be the  $2k \times 2k$  unitary matrix

$$W_{2k} = \frac{1}{\sqrt{2}} \begin{pmatrix} iI_k & -iI_k \\ I_k & I_k \end{pmatrix}$$

and for each  $n \in \mathbb{N}$  let  $V_{n+1}$  be the matrix in  $M_{q_{5n+5}}(C(\mathbb{T})) \oplus M_{q_{5n+5}}(C(\mathbb{T})) \oplus M_{q_{5n+4}} \oplus M_{q_{5n+5}}$  defined by

$$V_{n+1} = \left( [W_{2q_{5n}\alpha'_{n+1}}]II, [W_{2q_{5n}\alpha'_{n+1}}]II, [W_{2q_{5n}\gamma'_{n+1}}]II, [W_{2q_{5n}\alpha'_{n+1}}]II \right)$$

Then let  $\psi_n \colon A_n \to A_{n+1}$  be defined by  $\psi_n = (\text{Ad } V_{n+1}) \circ \rho_n$ .

*Lemma 3.1* For each n,  $\Psi_{n+1}\psi_n = \psi_n\Psi_n$ .

**Proof** Note that for  $k \times k$  matrices A, B

$$W_{2k}\begin{pmatrix} A & 0\\ 0 & B \end{pmatrix} W_{2k}^* = \frac{1}{2} \begin{pmatrix} A+B & i(A-B)\\ i(B-A) & A+B \end{pmatrix} = \begin{bmatrix} W_{2k}\begin{pmatrix} B^{\text{tr}} & 0\\ 0 & A^{\text{tr}} \end{pmatrix} W_{2k}^* \end{bmatrix}^{\text{tr}}.$$

It follows that  $\Psi_{n+1}\psi_n(\text{id }I_{q_{5n}},0,0,0) = \psi_n(0,\text{id }I_{q_{5n}},0,0) = \psi_n\Psi_n(\text{id }I_{q_{5n}},0,0,0),$ that  $\Psi_{n+1}\psi_n(0,\text{id }I_{q_{5n}},0,0) = \psi_n(\text{id }I_{q_{5n}},0,0,0) = \psi_n\Psi_n(0,\text{id }I_{q_{5n}},0,0)$  and that  $\Psi_{n+1}\psi_n(X,Y,Z,Z') = \psi_n(Y^{\text{tr}},X^{\text{tr}},Z^{\text{tr}},Z^{\text{tr}}) = \psi_n\Psi_n(X,Y,Z,Z').$ 

It follows from Lemma 3.1 that  $\psi_n \colon R_n \to R_{n+1}$  where

$$egin{aligned} R_n &= \{ a \in A_n : \Psi_n(a) = a^* \} \ &= \left\{ (A, ar{A}, B, C) : A \in M_{q_{5n}}ig(C(\mathbb{T})ig), B \in M_{q_{5n-1}}(\mathbb{R}), C \in M_{q_{5n}}(\mathbb{R}) 
ight\}. \end{aligned}$$

The elements of  $R_n$  will henceforth be identified with triples (A, B, C) where  $A \in M_{q_{5n}}(C(\mathbb{T}))$ ,  $B \in M_{q_{5n-1}}(\mathbb{R})$ ,  $C \in M_{q_{5n}}(\mathbb{R})$ . In this context, for constant  $X \in M_{q_{5n}}(C(\mathbb{T}))$ , for  $Z \in M_{q_{5n-1}}(\mathbb{R})$  and for  $Z' \in M_{q_{5n}}(\mathbb{R})$ ,

$$\psi_n(\text{id } I_{q_{5n}}, 0, 0) = \left( [T_n] 00, [I_{2q_{5n}} \otimes S_{\gamma'_{n+1}}] 00, [I_{2q_{5n}} \otimes S_{\alpha'_{n+1}}] 00 \right),$$
  
$$\psi_n(X, Z, Z') = (A, B, A),$$

P. J. Stacey

where

$$\begin{split} A &= [r(X \otimes I_{\alpha'_{n+1}})][Z \otimes I_{\beta_{n+1}}][Z' \otimes I_{\alpha''_{n+1}}], \\ B &= [r(X \otimes I_{\gamma'_{n+1}})][Z \otimes I_{\delta_{n+1}}][Z' \otimes I_{\gamma''_{n+1}}], \\ T_n &= \operatorname{Ad} W_{2q_{5n}\alpha'_{n+1}} \left( [I_{q_{5n}} \otimes S_{\alpha'_{n+1}}(\operatorname{id})][I_{q_{5n}} \otimes S_{\alpha'_{n+1}}] \right) \\ &= \frac{1}{2} \begin{pmatrix} I_{q_{5n}} \otimes \left(S_{\alpha'_{n+1}} + S_{\alpha'_{n+1}}(\operatorname{id})\right) & iI_{q_{5n}} \otimes \left(S_{\alpha'_{n+1}}(\operatorname{id}) - S_{\alpha'_{n+1}}\right) \\ iI_{q_{5n}} \otimes \left(S_{\alpha'_{n+1}} - S_{\alpha'_{n+1}}(\operatorname{id})\right) & I_{q_{5n}} \otimes \left(S_{\alpha'_{n+1}} + S_{\alpha'_{n+1}}(\operatorname{id})\right) \end{pmatrix} \right), \\ r(X \otimes I_{\alpha'_{n+1}}) &= \operatorname{Ad} W_{2q_{5n}\alpha'_{n+1}} ([X \otimes I_{\alpha'_{n+1}}]][\bar{X} \otimes I_{\alpha'_{n+1}}]) \\ &= \begin{pmatrix} \operatorname{Re}(X) \otimes I_{\alpha'_{n+1}} & -\operatorname{Im}(X) \otimes I_{\alpha'_{n+1}} \\ \operatorname{Im}(X) \otimes I_{\alpha'_{n+1}} & \operatorname{Re}(X) \otimes I_{\alpha'_{n+1}} \end{pmatrix}. \end{split}$$

These formulae enable the *K*-theory of  $R = \lim R_n$  to be computed.

**Theorem 3.2** Let  $0 < \theta < 1$  be irrational and let  $R = \lim(R_n, \psi_n)$  where  $R_n = M_{q_{5n}}(C(\mathbb{T})) \oplus M_{q_{5n-1}}(\mathbb{R}) \oplus M_{q_{5n}}(\mathbb{R})$  and where  $\psi_n$  is defined above. Then the complexification of R is isomorphic to  $A_{\theta}$  and the K groups of R are given by the following table.

**Proof** Recall that the *K* groups of  $\mathbb{R}$  and  $C(\mathbb{T})$  are as given in the following table.

i	0	1	2	3	4	5	6	7
$K_i(C(\mathbb{T}))$	$\mathbb{Z}$	$\mathbb{Z}$	Z	$\mathbb{Z}$	$\mathbb{Z}$	$\mathbb{Z}$	$\mathbb{Z}$	$\mathbb{Z}$
$K_i(\mathbb{R})$	$\mathbb{Z}$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	0	$\mathbb{Z}$	0	0	0
$K_i(R_n)$	$\mathbb{Z}^3$	$\mathbb{Z}  imes \mathbb{Z}_2^2$	$\mathbb{Z}  imes \mathbb{Z}_2^2$	$\mathbb{Z}$	$\mathbb{Z}^3$	$\mathbb{Z}$	$\mathbb{Z}$	$\mathbb{Z}$

All cases other than i = 0, 4 can be handled by considering separately the effect on the  $M_q(C(\mathbb{T}))$  and  $M_{q'}(\mathbb{R}) \oplus M_q(\mathbb{R})$  summands. On the  $M_q(C(\mathbb{T}))$  summands the map  $\psi_n$  is specified by

$$\operatorname{id} I_{q_{5n}} \mapsto \operatorname{Ad} W_{2q_{5n}\alpha'_{n+1}} \big( [I_{q_{5n}} \otimes S_{\alpha'_{n+1}}(\operatorname{id})] [I_{q_{5n}} \otimes S_{\alpha'_{n+1}}] \big) 00$$
$$X \mapsto \operatorname{Ad} W_{2q_{5n}\alpha'_{n+1}} \big( [X \otimes I_{\alpha'_{n+1}}] [\bar{X} \otimes I_{\alpha'_{n+1}}] \big) 00.$$

Since the *K*-theory is not affected by the inner automorphism,  $\psi_n$  can be replaced by the sum of a linear and antilinear map specified by

$$\mathrm{id}\,I_{q_{5n}}\mapsto I_{q_{5n}}\otimes S_{\alpha'_{n+1}}(\mathrm{id}),\quad X\mapsto X\otimes I_{\alpha'_{n+1}}$$

and

id 
$$I_{q_{5n}} \mapsto I_{q_{5n}} \otimes S_{\alpha'_{n+1}}, \quad X \mapsto \bar{X} \otimes I_{\alpha'_{n+1}}$$

It follows that  $\psi_n$  induces the identity map from  $K_1(C(\mathbb{T})) \cong \mathbb{Z}$  to  $K_1(C(\mathbb{T})) \cong \mathbb{Z}$ . Furthermore, since only the linear component of the map has a non-zero effect on

 $K_1$ , usual complex Bott periodicity shows that  $\psi_n$  also induces the identity map from  $K_i(C(\mathbb{T})) \cong \mathbb{Z}$  to  $K_i(C(\mathbb{T})) \cong \mathbb{Z}$  when i = 3, 5, 7. In the cases i = 3, 5, 7, for which  $K_i(\mathbb{R}) = 0$ ,  $\psi_n$  therefore induces the identity map from  $K_i(R_n) \cong \mathbb{Z}$  to  $K_i(R_{n+1}) \cong \mathbb{Z}$ .

On  $K_0(C(\mathbb{T})) \cong \mathbb{Z}$  both linear and antilinear parts correspond to multiplication by  $\alpha'_{n+1}$  on  $\mathbb{Z}$ . Thus, using the discussion in the proof of Proposition 2.4, the same is true on  $K_4$ , but in  $K_2$  and  $K_6$  the antilinear part corresponds to multiplication by  $-\alpha'_{n+1}$ . Thus, when i = 2 or i = 6,  $\psi_n$  induces the zero map from  $K_i(C(\mathbb{T}))$  to  $K_i(C(\mathbb{T}))$ . When i = 6, for which  $K_i(\mathbb{R}) = 0$ , it follows that  $\psi_n$  gives the zero map from  $K_i(R_n)$  to  $K_i(R_{n+1})$ .

Turning to the  $M_{q'}(\mathbb{R}) \oplus M_q(\mathbb{R})$  summands,  $\psi_n$  is given by

$$(Z,Z')\mapsto \left(00[Z\otimes I_{\delta_{n+1}}][Z'\otimes I_{\gamma_{n+1}''}],00[Z\otimes I_{\beta_{n+1}}][Z'\otimes I_{\alpha_{n+1}''}]\right)$$

It follows that, for any *i*, the effect on  $K_i(M_{q_{5n-1}}(\mathbb{R}) \oplus M_{q_{5n}}(\mathbb{R}))$  is given by the matrix

$$\begin{pmatrix} \delta_{n+1} & \beta_{n+1} \\ \gamma_{n+1}^{\prime\prime} & \alpha_{n+1}^{\prime\prime} \end{pmatrix}.$$

Recall that  $\alpha_{n+1}\delta_{n+1} - \beta_{n+1}\gamma_{n+1} = -1$  and that  $\alpha_{n+1}'' \equiv \alpha_{n+1} \pmod{2}$ ,  $\gamma_{n+1}'' \equiv \gamma_{n+1} \pmod{2}$ , so that for  $i = 1, 2, \psi_n$  induces an isomorphism from  $\mathbb{Z}_2^2$  to  $\mathbb{Z}_2^2$ . Combining this with the earlier results on the  $M_q(C(\mathbb{T}))$  summands, it follows that  $\psi_n$  induces an isomorphism from  $K_1(R_n) \cong \mathbb{Z} \times \mathbb{Z}_2^2$  onto  $K_1(R_{n+1}) \cong \mathbb{Z} \times \mathbb{Z}_2^2$  and a homomorphism with range  $\mathbb{Z}_2^2$  from  $K_2(R_n) \cong \mathbb{Z} \times \mathbb{Z}_2^2$  onto  $\mathbb{Z}_2^2 \subseteq K_2(R_{n+1})$ , with  $\psi_{n+1}$  then mapping this image isomorphically onto  $\mathbb{Z}_2^2 \subseteq K_2(R_{n+2})$ .

This leaves  $K_0$  and  $K_4$  to be considered. As in [16] the corresponding map from  $\mathbb{Z}^3$  to  $\mathbb{Z}^3$  is in each case given by the matrix

$$\begin{pmatrix} \alpha'_{n+1} & \beta_{n+1} & \alpha''_{n+1} \\ \gamma'_{n+1} & \delta_{n+1} & \gamma''_{n+1} \\ \alpha'_{n+1} & \beta_{n+1} & \alpha''_{n+1} \end{pmatrix}$$

(where exactly the same  $4 \times 4$  matrix as in [16] is obtained after embedding  $R_n$  in  $A_n$ ). The arguments given in the proof of Proposition 2 of [16] show that the limit algebra has  $K_i(R)$  isomorphic to  $\mathbb{Z}^2$  and that the complexification of R, namely  $\lim(A_n, \psi_n)$ , is isomorphic to  $A_\theta$ .

## References

- [1] B. Blackadar, K-theory for operator algebras. Springer-Verlag, New York, 1986.
- F. P. Boca, On the flip fixed point algebra in certain noncommutative tori. Indiana Univ. Math. J. 45(1996), 253–273.
- [3] \_\_\_\_\_, Projections in rotation algebras and theta functions. Comm. Math. Phys. 202(1999), 325–357.
- [4] O. Bratteli, G. A. Elliott, D. E. Evans and A. Kishimoto, Non-commutative spheres II: rational rotations. J. Operator Theory 27(1992), 53–85.
- J. Cuntz, K-theory and C\*-algebras. In: Springer Lecture Notes in Math. 1046, 55–79, Springer-Verlag, Berlin, 1984.
- [6] G. A. Elliott and D. Evans, The structure of the irrational rotation C\*-algebra. Ann. of Math. 138(1993), 477–501.

### P. J. Stacey

- G. A. Eliott and Q. Lin, *Cut-down method in the inductive limit decomposition of non-commutative tori*. J. London Math. Soc. (2) 54(1996), 121–134.
- [8] M. Karoubi, *K-theory: an introduction*. Springer-Verlag, New York, Berlin, Heidelberg, 1978.
- [9] M. A. Rieffel, *Projective modules over higher-dimensional non-commutative tori*. Canad. J. Math. XL(1988), 257–338.
- [10] H. Schröder, K-theory for real C\*-algebras and applications. Longman, Harlow, 1993.
- [11] P. J. Stacey, *Stability of involutory \*-antiautomorphisms in UHF-algebras.* J. Operator Theory **24**(1990), 57–74.
- [12] \_\_\_\_\_, Inductive limit toral automorphisms of irrational rotation algebras. Canad. Math. Bull. 44(2001), 335–336.
- [13] \_\_\_\_\_, Inductive limit decompositions of real structures in irrational rotation algebras. Indiana Univ. Math. J. 51(2002), 1511–1540.
- S. Walters, *Inductive limit automorphisms of the irrational rotation algebra*. Comm. Math. Phys. 171(1995), 365–381.
- [15] \_\_\_\_\_, *K*-theory of non-commutative spheres arising from the Fourier automorphism. Canad. J. Math. (3) **53**(2001), 631–672.
- [16] \_\_\_\_\_, On the inductive limit structure of order four automorphisms of the irrational rotation algebra. Internat. J. Math., to appear.
- [17] H.-S. Yin, A simple proof of the classification of rational rotation C\*-algebras. Proc. Amer. Math. Soc. 98(1986), 469–470.

Department of Mathematics La Trobe University Victoria 3086 Australia e-mail: P.Stacey@latrobe.edu.au