REFLEXIVE REPRESENTATIONS AND BANACH C*-MODULES

DON HADWIN AND MEHMET ORHON

ABSTRACT. Suppose A is a unital C^* -algebra and $m: A \to B(X)$ is unital bounded algebra homomorphism where B(X) is the algebra of all operators on a Banach space X. When X is a Hilbert space, a problem of Kadison [9] asks whether m is similar to a *-homomorphism. Haagerup [5] has shown that the answer is positive when m(A) has a cyclic vector or whenever m is completely bounded. We use this to show m(A) is reflexive (Alg Lat $m(A) = m(A)^{-sol}$) whenever X is a Hilbert space. Our main result is that whenever A is a separable GCR C^* -algebra and X is a reflexive Banach space, then m(A) is reflexive.

Suppose *S* is a unital subalgebra of *B*(*X*), the algebra of all operators on a Banach space *X*. The *commutant S'* of *S* is the set of all operators in *B*(*X*) that commute with every element of *S*. Also Lat *S* is the set of invariant (closed linear) subspaces of *S*, and AlgLat $S = \{T \in B(X) : \text{Lat } S \subset \text{Lat } T\}$.

Suppose *A* is a unital *C**-algebra and *m*: $A \to B(X)$ is a unital bounded homomorphism. If *X* is a Hilbert space and *m* is a *-homomorphism, then *m*(*A*) is a unital *C**-algebra of operators and the von Newmann double commutant theorem [11] implies

(1)
$$\operatorname{AlgLat} m(A) = m(A)^{-sol}$$

(2)
$$m(A)'' = m(A)^{-sot}.$$

A problem of R. Kadison [9] asks whether every bounded homorphism from a C^* algebra into B(X) is similar to a *-homomorphism when X is a Hilbert space. An affirmative answer to Kadison's similarity problem would imply that (1) and (2) above hold whenever X is a Hilbert space, without the assumption that m is a *-homomorphism. Hence the failure of (1) or (2) when X is a Hilbert space would yield a negative answer to Kadison's similarity problem.

U. Haagerup [5] has shown that Kadison's similarity problem has an affirmative answer when m(A) has a cyclic vector or whenever m is completely bounded, and we use this to show that (1) holds whenever X is a Hilbert space.

In the case that A is commutative, W. G. Bade [3] showed that (1) holds when the maximal ideal space of A is Stonian and $m(\{a \in A : a = a^2\})$ is Bade complete. It was shown by the second author [10] that (1) holds when A is commutative and m has *weakly compact action (i.e.,* for every x in X, the mapping $a \mapsto m(a)x$ is weakly compact from A to X). Later, the authors proved [7] (see also [1]) that (1) holds whenever

Received by the editors October 17, 1995.

AMS subject classification: Primary 47D30, Secondary 46L99.

[©] Canadian Mathematical Society 1997.

⁴⁴³

A is commutative and *X* is an arbitrary Banach space. Also J. Dieudonné [4] gave an example in which *A* is commutative, *m* has weakly compact action, and (2) fails, *i.e.*, $m(A)'' \neq m(A)^{-sot}$.

Our main result in this paper is that when A is a separable GCR C^* -algebra and X is a reflexive Banach space (*i.e.*, the natural embedding of X into its second dual $X^{\#\#}$ is surjective), then (1) holds.

We begin by showing that Haagerup's results [5] on the similarity problem imply that (1) holds when *X* is a Hilbert space.

THEOREM 1. If X is a Hilbert space, then (1) holds.

PROOF. Suppose $T \in \text{AlgLat}(m(A))$. To show that $T \in m(A)^{-sot}$, we need to show that every strong-operator neighbourhood of T intersects m(A). Suppose $\varepsilon > 0$ and $\{x_1, \ldots, x_n\} \subset X$. Let M be the norm closure of $m(A)x_1 + \cdots + m(A)x_n$. Define the mapping $\rho: A \to B(M)$ by $\rho(a) = m(a)|M$. It follows that ρ is a bounded unital homomorphism. Let H denote a direct sum of n copies of M. We can identify B(H) with $\mathfrak{M}_n(B(M))$. Define a bounded unital homomorphism $\rho_n: \mathfrak{M}_n(A) \to B(H)$ by $\rho_n((a_{ij})) = (\rho(a_{ij}))$. Then $x = (x_1, \ldots, x_n)$ is a cyclic vector for $\rho_n(\mathfrak{M}_n(A))$. Hence, by Haagerup's result, ρ_n is similar to a *-homomorphism, which imples that ρ is completely bounded. It follows from Haagerup [5] that ρ is similar to a *-homomorphism. Hence $\text{AlgLat}(\rho(A)) = \rho(A)^{-sot}$. However, $M \in \text{Lat}(m(A))$ and $T \in \text{AlgLat}(m(A))$; thus $T|M \in \text{AlgLat}(\rho(A))$. Therefore there is an element b in A such that $\|[T - m(b)]x_k\| = \|T|M - \rho(b)]x_k\| < \varepsilon$ for $1 \le k \le n$. This shows that $T \in m(A)^{-sot}$. Hence (1) holds.

We call a unital C^* -algebra A strongly reflexive if (1) holds for every Banach space X and every bounded unital homomorphism m. The results in [7] say that every commutative C^* -algebra is strongly reflexive.

LEMMA 2. The following are true.

- i. If A is a C*-algebra and n is a positive integer, then A is strongly reflexive if and only if $\mathfrak{M}_n(A)$ is strongly reflexive.
- *ii.* A finite direct sum of C*-algebras is strongly reflexive if and only if each summand is strongly reflexive.

PROOF. (i). Suppose $m: \mathfrak{M}_n(A) \to B(X)$ is a bounded unital homomorphism. We can assume that m is an isometry. Let $\{e_{ij}\}$ be the standard matrix units in $\mathfrak{M}_n(A)$. Let $X_i = m(e_{ii})(X)$ for $1 \le i \le n$. Then $m(e_{ij})$ maps X_j isometrically onto X_i for $1 \le i, j \le n$. Hence we can assume that X is a direct sum of n copies of a Banach space Y, and we can identify B(X) with $\mathfrak{M}_n((B(Y))$ in such a way that $m(e_{ij}) = e_{ij}$ for $1 \le i, j \le n$. Define $\rho: A \to B(Y)$ by $\rho(a)e_{11} = m(ae_{11})$. It follows that $m((a_{ij})) = (\rho(a_{ij}))$ for every matrix (a_{ij}) in $\mathfrak{M}_n(A)$. Next suppose that $M \in \operatorname{Lat} m(\mathfrak{M}_n(A))$. Then $M = m(e_{11})(M) + m(e_{22})(M) + \cdots + m(e_{nn})(M)$. Furthermore, since $m(\mathfrak{M}_n(A))$ contains the matrix units, it follows that $m(e_{11})(M), m(e_{22})(M), \ldots, m(e_{nn})(M)$ are all the same subspace N of Y. Thus M is a direct sum of n copies of N. It is clear that $N \in \operatorname{Lat} \rho(A)$. Conversely, if

444

 $N \in \text{Lat } \rho(A)$, and M is a direct sum of n copies of N, then $M \in \text{Lat } m(\mathfrak{M}_n(A))$. Hence Lat $m(\mathfrak{M}_n(A))$ is precisely $\{N \oplus N \oplus \cdots \oplus N : N \in \text{Lat } \rho(A)\}$.

It follows that AlgLat $m(\mathfrak{M}_n(A)) = \mathfrak{M}_n(AlgLat(\rho(A)))$. Therefore AlgLat $\rho(A) = \rho(A)^{-sot}$ if and only if AlgLat $m(\mathfrak{M}_n(A)) = m(\mathfrak{M}_n(A))^{-sot}$. It is clear that (i) holds. The proof of (ii) is an elementary exercise left to the reader.

The next result yields analogues of the preceding lemma for certain infinite direct sums and infinite matrix algebras.

LEMMA 3. Suppose X is a Banach space, D is a unital subalgebra of B(X), and $\{P_{\lambda}\}$ is a bounded net of idempotents in D converging to 1 in the strong operator topology. If, for each λ , AlgLat $(P_{\lambda}DP_{\lambda}|P_{\lambda}(X)) = (P_{\lambda}DP_{\lambda}|P_{\lambda}(X))^{-sot}$, then AlgLat $D = D^{-sot}$.

PROOF. Suppose $T \in \operatorname{AlgLat} D$, $\varepsilon > 0$ and F is a finite subset of X. We can choose λ so that $||[P_{\lambda}TP_{\lambda} - T]x|| < \varepsilon/2$ for every x in F. Since, for every y in $P_{\lambda}(X)$, $TP_{\lambda}y \in [DP_{\lambda}y]^{-}$, it follows that $P_{\lambda}TP_{\lambda}|P_{\lambda}(X) \in \operatorname{AlgLat} P_{\lambda}DP_{\lambda}|P_{\lambda}(X) = (P_{\lambda}DP_{\lambda}|P_{\lambda}(X))^{-sot}$. Thus there is a D in D such that $||[P_{\lambda}TP_{\lambda} - P_{\lambda}DP_{\lambda}]x|| < \varepsilon/2$ for every x in F. Thus $||[T - P_{\lambda}DP_{\lambda}]x|| < \varepsilon$ for every x in F. Since $P_{\lambda}DP_{\lambda} \in D$, it follows that $T \in D^{-sot}$.

COROLLARY 4. For each positive integer n, suppose A_n is a strongly reflexive C^* algebra with identity e_n , and suppose A is a unital C^* -algebra such that $\Sigma^{\oplus} A_n \subset A \subset \Pi^{\oplus} A_n$. If X is a Banach space and m: $A \to B(X)$ is a unital bounded homomorphism such that $m(e_1 + \cdots + e_n)$ converges strongly to the identity operator, then

AlgLat
$$m(A) = m(A)^{-sot}$$

Let $\mathfrak{M}_{\infty,0}$ denote the algebra of all the infinite complex matrices with only finitely many non-zero entries. Then $\mathfrak{M}_{\infty,0}(A) = \mathfrak{M}_{\infty,0} \otimes A$ can be viewed as the algebra of infinite matrices with elements in A such that only finitely many entries are non-zero. Let $\mathfrak{M}_{\infty}(A)$ denote the set of all infinite matrices over A such that the supremum over $n \geq 1$ of the norms of the $n \times n$ upper left-hand corners is finite. Then $\mathfrak{M}_{\infty}(A)$ is an $\mathfrak{M}_{\infty,0}(A)$ -module. The C^* -completion of $\mathfrak{M}_{\infty,0}(A)$ is $A \otimes K$, where K denotes the algebra of compact operators of ℓ^2 .

COROLLARY 5. Suppose A is a strongly reflexive C^* -algebra, and B is a unital C^* algebra such that $\mathfrak{M}_{\infty,0}(A) \subset B \subset \mathfrak{M}_{\infty}(A)$. If X is a Banach space and $m: B \to B(X)$ is a unital bounded homomorphism such that $P_n = m(e_{11} + \cdots + e_{nn})$ converges strongly to identity operator, then

$$\operatorname{AlgLat}(m(B)) = m(B)^{-sot}$$

We now turn to the case in which X is reflexive, A is separable and GCR (type I).

THEOREM 6. Suppose X is a reflexive Banach space and A is a separable GCR C*-algebra and m: $A \rightarrow B(X)$ is bounded unital. Then $m(A)^{-sot} = \text{AlgLat}(m(A))$.

PROOF. Following [8], we can assume that *m* is an isometry. Since *X* is reflexive, we can uniquely extend *m* to a homomorphism $\hat{m}: A^{\#\#} \to B(X)$ that is weak*-*wot* continuous. By [11,3.7], we can represent $A^{\#\#}$ as a von Neumann algebra on a separable Hilbert space so that the weak operator topology and the weak*-topology coincide. Hence there is a projection *P* in the center of $A^{\#\#}$ such that ker $\hat{m} = (1-P)A^{\#\#}$. Let $H = \operatorname{ran} P$, and let $B = A^{\#\#}|H$. Then *B* is a von Neumann algebra isomorphic to $A^{\#\#}/\ker \hat{m}$. Hence we can assume that $A \subset B \subset B(H)$, and that there is a unital, isometric, *wot* – *wot* continuous homomorphism $\tilde{m}: B \to B(X)$ extending *m*, and that the unit ball of *A* is wot-dense in the unit ball of *B*.

Since A is GCR, B must be a type I von Neumann algebra acting on a separable Hilbert space [11]. Hence, ignoring multiplicities, B is isomorphic (not unitarily equivalent) to a direct sum of von Neumann algebras B_n , $1 \le n \le \infty$, such that, for some compact Hausdorff space K_n , B_n is isomorphic to $\mathfrak{M}_n(C(K_n))$ for $1 \le n < \infty$ and B_∞ is isomorphic to $\mathfrak{M}_\infty(C(K_\infty))$ so that $e_{11} + e_{22} + \cdots + e_{nn} \to 1$ in the weak *-topology. Write $B = B_\infty \oplus B_1 \oplus B_2 \oplus \cdots$, and define a sequence $\{Q_n\}$ of projections by $Q_1 =$ $(e_{11}, 1, 0, 0, 0, \ldots), Q_2 = (e_{11} + e_{22}, 1, 1, 0, 0, \ldots), Q_3 = (e_{11} + e_{22} + e_{33}, 1, 1, 1, 0, 0, 0, \ldots),$ \ldots

It follows from [7] and Lemma 2 that $Q_n B_n Q_n$ is strongly reflexive for $1 \le n < \infty$. Hence, by Lemma 3 (using $P_n = \tilde{m}(Q_n)$), we conclude that AlgLat $\tilde{m}(B) = \tilde{m}(B)^{-sot}$. However, the continuity of \tilde{m} implies that $\tilde{m}(B) \subset \tilde{m}(A)^{-sot} = m(A)^{-sot}$. Since $m(A) \subset \tilde{m}(B)$ implies AlgLat $m(A) \subset$ AlgLat $\tilde{m}(B)$, we conclude that AlgLat $m(A) = m(A)^{-sot}$.

REMARKS. 1. In the preceding theorem we can replace the reflexivity of X with the assumption that m has weakly compact action, since this is what is needed to conclude the existence of the extension \hat{m} . Note that C. Akemann, P. G. Dodds, and J. L. B. Gamlen [2], extending the result of A. Pełczynski [12], proved that if a Banach space X does not contain a copy of c_0 , then m has weakly compact action for every C^* -algebra A. In particular, when X is a reflexive Banach space, m always has weakly compact action. 2. The first author [6] proved an asymptotic version of the von Neumann double commutant theorem (1), and the authors proved [7] that this asymptotic version holds for general Banach spaces when A is commutative. It would be interesting to know if the asymptotic version of Theorem 6 is true.

ACKNOWLEDGEMENT. The first author expresses his gratitude to the National Science Foundation for its support while this research was undertaken.

REFERENCES

1. Y. A. Abramovitch, E. L. Arenson, and A. K. Kitover, *Banach C(K)-modules and operators preserving disjointness*. Pitman Research Notes, 277, Wiley, 1992, New York.

- 2. C. Akemann, P. G. Dodds, and J. L. B. Gamlen, Weak compactness in the dual space of a C*-algebra. J. Funct. Anal. 10(1972), 446–450.
- **3.** W. G. Bade, *On Boolean algebras of projections and algebras of operators*. Trans. Amer. Math. Soc. **80**(1955), 345–360.
- 4. J. Dieudonné, Sur la bicommutante d'un algèbre d'operateurs. Portugaliae Math. 14(1955), 35-38.
- 5. U. Haagerup, Solution of the similarity problem for cyclic representations of C*-algebras. Ann. of Math. 118(1983), 215–240.
- 6. D. W. Hadwin, An asymptotic double commutant theorem for C*-algebras. Trans. Amer. Math. Soc. 244(1978), 273–297.
- 7. D. W. Hadwin and M. Orhon, *Reflexivity and approximate reflexivity for Boolean algebras of projections*. J. Funct. Anal. **87**(1989), 348–358.

8. _____, A noncommutative theory of Bade functionals. Glasgow Math. J, 33(1991), 73-81.

9. R. Kadison, On the orthogonalization of operator representations. Amer. J. Math. 77(1955), 600-620.

- 10. M. Orhon, Boolean algebras of commuting projections. Math. Z. 183(1983), 531-537.
- 11. G. Pedersen, C*-algebras and their automorphism groups. London Math. Soc. Monographs 14(1979), London.
- 12. A. Pełczynski, Projections in certain Banach spaces. Stud. Math. 19(1960), 209-228.

Mathematics Department University of New Hampshire Durham, NH 03824 email: don@math.unh.edu RR2 Box 5465 Union, ME 04862