

AREA AND LENGTH MAXIMA FOR UNIVALENT FUNCTIONS

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Let  $S$  be the family of functions  $f(z) = z + a_2 z^2 + \dots$  which are analytic and univalent in  $|z| < 1$ . We find the value

$$\max_{f \in S} \iint_{|z| < r} |(z/f(z))'|^2 dx dy$$

as a function of  $r$ ,  $0 < r < 1$ . The known lower estimate of

$$\sup_{f \in S} \int_{|z|=r} |f'(z)| |dz|$$

is improved. Relations with the growth theorem are considered and the radius of univalence of  $f(z)/z$  is discussed.

For  $g$  analytic in  $D = \{|z| < 1\}$ , we set

$$\Delta(r, g) = \iint_{|z| < r} |g'(z)|^2 dx dy, \quad 0 < r \leq 1, \quad z = x + iy.$$

We call  $g$  Dirichlet-finite if  $\Delta(1, g) < \infty$ . Let  $S$  be the family of functions

$$(1) \quad f(z) = z + \sum_{n=2}^{\infty} a_n z^n$$

which are analytic and univalent in  $D$  and set

$$F_f(z) = f(z)/z, \quad z \in D, \quad f \in S.$$

As a consequence of the celebrated de Branges theorem:  $|a_n| \leq n$  ( $n \geq 2$ ) for  $f \in S$ , (see [1]) we have immediately

$$\pi^{-1} \Delta(r, F_f) = \sum_{n=1}^{\infty} n |a_{n+1}|^2 r^{2n} \leq \sum_{n=1}^{\infty} n(n+1)^2 r^{2n} = \pi^{-1} \Delta(r, F_K),$$

where  $K(z) = z/(1-z)^2$  is the Koebe function. Therefore

$$\max_{f \in S} \Delta(r, F_f) = 2\pi r^2 (r^2 + 2)(1 - r^2)^{-4}$$

for  $0 < r < 1$ . For each  $r$ ,  $0 < r < 1$ , the maximum is attained only by the rotations of the Koebe function:  $K_{\theta}(z) = e^{-i\theta} K(e^{i\theta} z)$ , where  $\theta$  is real. We first prove:

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**THEOREM 1.** *We have*

$$\max_{f \in S} \Delta(r, 1/F_f) = 2\pi r^2(r^2 + 2) \quad \text{for } 0 < r \leq 1.$$

For each  $r$ ,  $0 < r \leq 1$ , the maximum is attained only by  $K_\theta$ 's.

**PROOF:** Given  $f \in S$ , we can apply the area theorem [3, p.29] to

$$f(1/z)^{-1} = z - a_2 + \sum_{n=1}^{\infty} b_n z^{-n} \quad (|z| > 1)$$

to obtain

$$(2) \quad \sum_{n=1}^{\infty} n |b_n|^2 \leq 1.$$

Since 
$$1/F_f(z) = 1 - a_2 z + \sum_{n=1}^{\infty} b_n z^{n+1}, \quad z \in D,$$

it follows from (2), together with  $|a_2| \leq 2$ , that

$$\begin{aligned} \pi^{-1} \Delta(r, 1/F_f) &= |a_2|^2 r^2 + 2r^4 \sum_{n=1}^{\infty} 2^{-1}(n+1) |b_n|^2 r^{2n-2} \\ &\leq 4r^2 + 2r^4 \sum_{n=1}^{\infty} n |b_n|^2 \leq 2r^2(r^2 + 2). \end{aligned}$$

Since  $\Delta(r, 1/F_{K_\theta}) = 2\pi r^2(r^2 + 2)$ , we now have the identity. If the maximum is attained by  $f$ , then  $|a_2| = 2$ , so that  $f = K_\theta$  for some  $\theta$ . □

It follows that  $\Delta(1, 1/F_f) \leq 6\pi$ . This shows that each function  $f \in S$  is the quotient of two functions,  $z$  and  $1/F_f(z)$ , both of which are bounded and Dirichlet-finite in  $D$ ; see estimate (6) for the bound  $|1/F_f| \leq 4$ .

Each  $f \in S$  maps  $\{|z| = r\}$  onto a curve of length

$$L(r, f) = r \int_0^{2\pi} |f'(re^{it})| dt \quad (0 < r < 1).$$

It is known that, for  $0 < r < 1$ ,

$$(3) \quad 2^{-1}\pi r(1+r)(1-r)^{-2} < L(r, K) \leq \sup_{f \in S} L(r, f);$$

see [2, Theorem 2] and [3, p.39]. Now, as another application of the de Branges theorem we have

$$(4) \quad \max_{f \in S} \Delta(r, f) = \Delta(r, K) = \pi r^2(r^4 + 4r^2 + 1)(1 - r^2)^{-4},$$

for  $0 < r < 1$ . The maximum is attained only by  $K_\theta$ 's.

We improve (3) in

**THEOREM 2.** For  $0 < r < 1$  we have

$$(5) \quad 2\pi r(r^4 + 4r^2 + 1)^{1/2}(1 - r^2)^{-2} \leq L(r, K) \leq \sup_{f \in S} L(r, f).$$

**PROOF:** This is a consequence of the expression of  $\Delta(r, K)$  in (4), without appealing to the expression of  $L(r, K)$  in terms of elliptic integrals (see [2]). We only apply to  $K$  the isoperimetric inequality:

$$\Delta(r, f) \leq \pi\{L(r, f)/(2\pi)\}^2 \text{ for } f \in S,$$

which says that, of all rectifiable Jordan curves with the given perimeter  $L(r, f)$ , ( $0 < r < 1$ ), the circle has interior of maximum area.  $\square$

Since

$$\inf_{0 < r < 1} (r^4 + 4r^2 + 1)^{1/2}(1 + r)^{-3} = \sqrt{6}/8 > 1/4,$$

estimate (5) is better than (3).

We recall that

$$L(r, f) \leq 2\pi r(1 - r)^{-2} \equiv \gamma(r)$$

for  $f \in S$  and  $0 < r < 1$  [3, p.40]. Estimate (5) now yields

$$\left(\frac{\sqrt{6}}{4}\right)\gamma(r) \leq \sup_{f \in S} L(r, f) \leq \gamma(r).$$

Note that  $\gamma(r)$  is the length of the boundary circle of  $\delta_r = \{|z| < r(1 - r)^{-2}\}$ .

We recall the growth theorem for  $f \in S$ :

$$(6) \quad (1 + |z|)^{-2} \leq |F_f(z)| \leq (1 - |z|)^{-2}, \quad z \in D;$$

see [3, p.33]. The image  $f(\{|z| < r\})$  ( $f \in S$ ) is contained in the disc  $\delta_r$  with area  $\pi r^2(1 - r)^{-4}$  and

$$\Delta(r, f)/\{\pi r^2(1 - r)^{-4}\}$$

is at most:

$$(r^4 + 4r^2 + 1)(1 + r)^{-4}, \quad 0 < r < 1,$$

which decreases from 1 to  $3/8$  as  $r$  increases from 0 to 1. Therefore, one may say that the upper estimate of (6) becomes "worse" as  $r$  increases because  $f(\{|z| < r\})$  occupies only a small part of  $\delta_r$  in area. We next assume that  $F_f$  is nonconstant. The Riemann surface  $\Phi_r$  ( $\Phi_r^*$ , respectively) which is the image of  $\{|z| < r\}$  by  $F_f$  ( $1/F_f$ , respectively), by (6), has projection contained in the disc with centre 0 and

radius  $(1 - r)^{-2}$  ( $(1 + r)^2$ , respectively). The “sheet-number” of the covering surface  $\Phi_r$  ( $\Phi_r^*$ , respectively) over this disc:

$$\Delta(r, F_f)/\{\pi(1 - r)^{-4}\} \left( \Delta(r, 1/F_f)/\{\pi(1 + r)^4\}, \text{ respectively} \right)$$

is at most  $2r^2(r^2 + 2)(1 + r)^{-4}$  which increases from 0 to 3/8 as  $r$  increases from 0 to 1. In this sense (6) yields little information on the distribution of the values of  $F_f(z)$  ( $1/F_f(z)$ , respectively), for  $|z| < r$ .

Let  $C$  be the family of all  $f \in S$  such that  $f(D)$  is convex. With the aid of the coefficient estimate [3, p.45, Corollary] we have

$$\max_{f \in C} \Delta(r, F_f) = \pi r^2(1 - r)^{-2}, \quad 0 < r < 1.$$

For each  $r$ ,  $0 < r < 1$ , the maximum is attained only by  $J_\theta(z) \equiv z/(1 - e^{i\theta}z)$ ,  $z \in D$ ,  $\theta$  real. A natural conjecture is that

$$\max_{f \in C} \Delta(r, 1/F_f) = \pi r^2, \quad 0 < r \leq 1,$$

where the maximum is attained only by  $J_\theta$ 's.

REMARK. If  $a_2 = 0$  in (1) for  $f \in S$ , then  $F'_f(0) = a_2 = 0$ , so that  $F_f$  is not univalent in any disc with centre 0. To consider the case  $a_2 \neq 0$ , we first note that the function

$$\varphi(x) = -\log(1 - x^2) + (3x^2 - 2x^4)(1 - x^2)^{-2}$$

increases from 0 to  $+\infty$  as  $x$  increases from 0 to 1. Therefore there exists a number  $R \equiv R(a_2)$ ,  $0 < R < 1$ , such that  $\varphi(R) = |a_2|^2$ . We shall show that  $F_f$  is univalent in  $\{|z| < R(a_2)\}$ . The expression for  $1/F_f$  in the proof of Theorem 1 shows that

$$g(z) \equiv \{1 - 1/F_f(z)\}/a_2 = z - a_2^{-1} \sum_{n=2}^{\infty} b_{n-1}z^n \text{ in } D.$$

The Schwarz inequality, together with (2), yields that

$$\begin{aligned} & |a_2|^{-1} \sum_{n=2}^{\infty} n |b_{n-1}| R^{n-1} \\ & \leq |a_2|^{-1} \left\{ \sum_{n=2}^{\infty} (n-1) |b_{n-1}|^2 \right\}^{1/2} \left\{ \sum_{n=2}^{\infty} n^2 (n-1)^{-1} (R^2)^{n-1} \right\}^{1/2} \\ & \leq |a_2|^{-1} \varphi(R)^{1/2} = 1. \end{aligned}$$

By [3, p.73, Problem 24 (b)] we have that  $R^{-1}g(Rz)$  is univalent and starlike in  $D$ . Thus  $g$ , and hence  $F_f$ , are univalent in  $\{|z| < R\}$  as we wished. We note that the image of  $\{|z| < R\}$  under  $1/F_f$  is starlike with respect to 1 also. Unfortunately we cannot claim that  $R(a_2)$  is sharp. In fact, for the Koebe function  $K$  with  $a_2 = 2$  we have

$$R(2) = 0.6823\dots\dots,$$

while  $F_K$  is univalent in  $D$ . Finally, since  $|a_2| \leq 2$  for  $f \in S$ , we have  $R(a_2) \leq R(2)$ .

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