

Descending Rational Points on Elliptic Curves to Smaller Fields

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Abstract. In this paper, we study the Mordell-Weil group of an elliptic curve as a Galois module. We consider an elliptic curve E defined over a number field K whose Mordell-Weil rank over a Galois extension F is 1, 2 or 3. We show that E acquires a point (points) of infinite order over a field whose Galois group is one of $C_n \times C_m$ ($n = 1, 2, 3, 4, 6, m = 1, 2$), $D_n \times C_m$ ($n = 2, 3, 4, 6, m = 1, 2$), $A_4 \times C_m$ ($m = 1, 2$), $S_4 \times C_m$ ($m = 1, 2$). Next, we consider the case where E has complex multiplication by the ring of integers \mathcal{O} of an imaginary quadratic field \mathfrak{K} contained in K . Suppose that the \mathcal{O} -rank over a Galois extension F is 1 or 2. If $\mathfrak{K} \neq \mathbb{Q}(\sqrt{-1})$ and $\mathbb{Q}(\sqrt{-3})$ and $h_{\mathfrak{K}}$ (class number of \mathfrak{K}) is odd, we show that E acquires positive \mathcal{O} -rank over a cyclic extension of K or over a field whose Galois group is one of $\mathrm{SL}_2(\mathbb{Z}/3\mathbb{Z})$, an extension of $\mathrm{SL}_2(\mathbb{Z}/3\mathbb{Z})$ by $\mathbb{Z}/2\mathbb{Z}$, or a central extension by the dihedral group. Finally, we discuss the relation of the above results to the vanishing of L -functions.

1 Introduction

Let E be an elliptic curve defined over a number field K . By the Mordell-Weil theorem, the group $E(K)$ of points of E with coordinates in K is finitely generated. We write $\mathrm{rank}(E(K))$ for the rank of $E(K)$ modulo torsion. Let F be a finite Galois extension of K with group G . In this paper, we consider the Mordell-Weil group $E(F)$ as a $\mathbb{Z}[G]$ -module. Since the torsion subgroup $E(F)_{\mathrm{tors}}$ has been extensively studied (see for example, Serre [21]), we shall restrict ourselves to the free part of $E(F)$. The question of studying this as a Galois module was raised in the works of Mazur [10], Mazur and Swinnerton-Dyer [11], Coates and Wiles [3] Rohrlich [17], and [18], to name a few.

Philosophically, it is of interest to note one basic difference between the free part and the torsion part as Galois modules. For example, consider the Galois module of ℓ -torsion points $E[\ell]$. The field $K(E[\ell])$ obtained by adjoining the coordinates of points in $E[\ell]$ has Galois group contained in $\mathrm{Aut}(E[\ell]) \simeq \mathrm{GL}_2(\mathbb{Z}/\ell)$. Serre's theorem tells us that if E is without complex multiplication, then for large ℓ , it is in fact equal to $\mathrm{GL}_2(\mathbb{Z}/\ell)$. On the other hand, let $K(E(F)_{\mathrm{free}})$ be the field generated by adjoining the coordinates of any free $\mathbb{Z}[\mathrm{Gal}(F/K)]$ -submodule of $E(F) \otimes \mathbb{Q}$ to K and suppose that $\mathrm{rank}(E(F)) = r$, then $\mathrm{Gal}(K(E(F)_{\mathrm{free}})/K)$ is conjugate to a subgroup of $\mathrm{GL}_r(\mathbb{Z})$. This imposes two restrictions on this Galois group. Firstly, by Jordan's theorem (see for example, [6], Theorem 14.12), a finite subgroup of $\mathrm{GL}_r(\mathbb{C})$ has a normal Abelian subgroup of index bounded by a function of r alone. Secondly, this is an integral

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representation. By the work of Nori [15], there are many restrictions on the finite subgroups of $GL_r(\mathbb{Z})$. Another restriction imposed on these Galois groups arises from the fact that the height pairing on the Mordell-Weil group is respected by the action of Galois.

In another direction, there is the connection with the L function of the elliptic curve. A well known theorem of Coates and Wiles [3] for CM elliptic curves asserts that if $E(K)$ is infinite, then the L -function $L(E/K, s)$ vanishes at $s = 1$. From the work of Kolyvagin [8], a similar result is known for (modular) elliptic curves over \mathbb{Q} . This is in accordance with the general conjecture of Birch and Swinnerton-Dyer. Here, we shall discuss the following:

Problem 1 Let F/K be a finite Galois extension. If $E(F)$ is infinite, does $L(E/F, s)$ vanish at $s = 1$?

Since the extensions of Coates-Wiles and Kolyvagin theorems to Abelian extensions are known (due respectively to Arthaud [1], and Rubin [19] in the CM-case and Kato (unpublished) in the modular case), we will show that the existence of an Abelian subextension M of F/K with $E(M)$ infinite implies a positive answer to Problem 1 (see Theorem 4). So we shall consider the following related problem.

Problem 2 Let F/K be a finite Galois extension. If $E(F)$ is infinite, then under what conditions can we produce an Abelian subextension M of K ($K \subseteq M \subseteq F$) such that $E(M)$ is infinite?

We wish to draw the analogy of this question with a result of Stark [23] for Artin L -functions. He shows that if F/K is Galois and the Dedekind zeta function $\zeta_F(s)$ has a simple zero at a point $s = s_0$, then there is a subextension $K \subseteq M \subseteq F$ with the property that $\zeta_M(s_0) = 0$ and M/K is Abelian (in fact, cyclic). Moreover, if N is any other subfield satisfying $\zeta_N(s_0) = 0$, we must have $M \subseteq N$.

In Section 4, we consider an elliptic curve E defined over K whose Mordell-Weil rank over a Galois extension F is 1 or 2. If the rank of $E(F)$ is one, we observe (Theorem 1, (i)) that a Stark type result holds here. If the rank of $E(F)$ is two, we show that E acquires two points of infinite order over a cyclic extension of K with Galois group C_n ($n = 1, 2, 3, 4, 6$) contained in F or over a dihedral extension with Galois group D_n ($n = 2, 3, 4, 6$). Then we establish a similar result in the rank three case (Theorem 1 (iii)). In the case that E has complex multiplication, we can also study the Mordell-Weil group $E(F)$ as an $\mathcal{O}[G]$ -module. Here E has complex multiplication by the ring of integers \mathcal{O} of an imaginary quadratic field \mathfrak{K} contained in K . We are able to establish the analogues of the above results in the case that $E(F)$ has \mathcal{O} -rank 1 or 2 (Theorems 2 and 3).

In the final section, by considering the order of vanishing of the L -function of E at a point $s = \omega$, we investigate some analytic analogues of our results in Section 4. In the case of a simple zero, we prove an analogue of Stark's theorem for a certain class of elliptic curves (Corollary 1). Also, by analogy with [14], we formulate a statement for higher order zeros but it would depend on the holomorphy of the L -functions obtained by twisting the L -function of E with certain Artin characters (see Proposition 6).

It is clear that much work remains to be done to elucidate the Galois module

structure of the Mordell-Weil group. We hope that the explicit results of this paper may help in this effort.

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2 The Minimal Subfield

Definition Let E be an elliptic curve defined over K and let F/K be an extension (not necessarily Galois) of number fields. Suppose that $\text{rank}(E(F)) = r$, then the minimal subfield F_r is a subfield with $K \subseteq F_r \subseteq F$, such that

- (i) $\text{rank}(E(F_r)) = \text{rank}(E(F))$.
- (ii) If $K \subseteq M \subseteq F$ and $\text{rank}(E(M)) = \text{rank}(E(F))$, then $F_r \subseteq M$.

Proposition 1 For any finite extension F/K and elliptic curve E defined over K with $\text{rank}(E(F)) = r$, F_r exists and is unique. Also, if F/K is Galois then F_r/K is Galois.

Proof We need only prove that if $K \subseteq M_1, M_2 \subseteq F$ are subfields such that

$$\text{rank}(E(M_1)) = \text{rank}(E(M_2)) = r$$

then

$$\text{rank}(E(M_1 \cap M_2)) = r.$$

Indeed, $E(M_1) \otimes \mathbb{Q} = E(M_2) \otimes \mathbb{Q}$. Hence, there is a lattice L contained in $E(M_1) \cap E(M_2)$ which is of finite index in both $E(M_1)$ and $E(M_2)$. But then L is fixed by $\text{Gal}(\bar{F}/M_1)$ and by $\text{Gal}(\bar{F}/M_2)$ where \bar{F} is the normal closure of F/K . Thus, it is fixed by $\text{Gal}(\bar{F}/(M_1 \cap M_2))$ and so it is contained in $E(M_1 \cap M_2)$. Thus the rank of $E(M_1 \cap M_2)$ is r as claimed.

If F/K is Galois, we can apply this argument to M and a conjugate of M , and from this, we see that the minimal subfield is necessarily Galois over K . ■

Now we give another description of the minimal subfield. Let F/K be a finite Galois extension, then since $\text{Gal}(F/K)$ acts on $E(F) \otimes \mathbb{Q}$, we have a representation

$$\rho: \text{Gal}(F/K) \rightarrow \text{Aut}(E(F) \otimes \mathbb{Q}) \simeq \text{GL}_r(\mathbb{Q})$$

where $\text{rank}(E(F)) = r$. Then, there exists a free submodule of $E(F) \otimes \mathbb{Q}$ of rank r on which $\text{Gal}(F/K)$ acts. For example, if $m = |E(F)_{\text{tors}}|$, then we can take $mE(F)$. Each such submodule X (say) gives a representation

$$\rho_X: \text{Gal}(F/K) \rightarrow \text{Aut}(X) \simeq \text{GL}_r(\mathbb{Z}).$$

Moreover, different choices of X yield representations isomorphic over \mathbb{Q} . In particular, $\text{Ker}(\rho_X)$ is equal to $\text{Ker}(\rho)$ and is independent of X . Thus, the field $K(X)$ obtained by adjoining the coordinates of points in X to K is independent of the choice of X . We denote this field by $K(E(F)_{\text{free}})$.

Proposition 2 *Let F/K be a finite Galois extension. If $\text{rank}(E(F)) = r \geq 1$, then*

- (i) *there is a subextension M , Galois over K such that $E(M) \otimes \mathbb{Q} = E(F) \otimes \mathbb{Q}$ and the representation*

$$\rho_f: \text{Gal}(M/K) \rightarrow \text{Aut}(E(M) \otimes \mathbb{Q})$$

- is faithful. Moreover, $\text{Im}(\rho_f)$ is conjugate to a finite subgroup of $\text{GL}_r(\mathbb{Z})$.*
- (ii) $M = K(E(F)_{\text{free}})$.
- (iii) M is the minimal subfield defined in the beginning of the section.

Proof (i) Suppose that ρ is the representation of $\text{Gal}(F/K)$ in $E(F) \otimes \mathbb{Q}$. Let M be the fixed field of $\ker \rho$. Since

$$(E(F) \otimes \mathbb{Q})^{\text{Ker } \rho} = (E(F) \otimes \mathbb{Q})^{\text{Gal}(F/M)} = E(M) \otimes \mathbb{Q}$$

(see [17], p. 126) and since M is the fixed field of $\ker \rho$, $\text{Gal}(F/M)$ acts trivially on $E(F) \otimes \mathbb{Q}$. This shows that $E(F) \otimes \mathbb{Q} = E(M) \otimes \mathbb{Q}$ and ρ_f is faithful. The argument before the proposition shows that $\text{Im}(\rho_f)$ is conjugate to a finite subgroup of $\text{GL}_r(\mathbb{Z})$.

- (ii) This is clear from the argument before the proposition.
- (iii) Let $K \subseteq L \subseteq F$ and $\text{rank}(E(L)) = \text{rank}(E(F))$, then from the proof of Proposition 1, we know that $\text{rank}(E(L \cap M)) = \text{rank}(E(M))$ and $E(M) \otimes \mathbb{Q} = E(L \cap M) \otimes \mathbb{Q}$. This shows that $\text{Gal}(M/(L \cap M))$ acts trivially on $E(M) \otimes \mathbb{Q}$ and therefore it is contained in the kernel of the representation ρ_f . But $\ker \rho_f = \{\text{id}\}$, which implies that $\text{Gal}(M/(L \cap M)) = \{\text{id}\}$. Thus $L \cap M = M$ and therefore $M \subseteq L$. This proves that M is the minimal subfield. ■

Proposition 3 *Let F/K be a finite Galois extension, then the degree of the minimal subfield F_r over K is bounded as a function of r alone.*

Proof By Proposition 2, we can consider $\text{Gal}(F_r/K)$ as a finite subgroup of $\text{GL}_r(\mathbb{Z})$ (and therefore $\text{GL}_r(\mathbb{C})$). By Jordan’s theorem a finite subgroup of $\text{GL}_r(\mathbb{C})$ has a normal Abelian subgroup G_1 whose index is bounded by a function of r alone. So it is enough to prove that the order of G_1 is bounded by a function of r alone.

Now, let L be the fixed field of G_1 in F_r/K , and let ρ_1 be the restriction of the representation ρ_f (defined in Proposition 2) to $G_1 = \text{Gal}(F_r/L)$. Then

$$\rho_1 = \psi_1 \oplus \psi_2 \oplus \cdots \oplus \psi_r$$

where ψ_i ’s are one dimensional characters of G_1 . Since the values of the ψ_i satisfy a degree r polynomial over \mathbb{Q} , if ψ_i takes values in $\mathbb{Q}(\zeta_{m_i})$, we must have $\phi(m_i) \leq r$. Since ρ_1 is faithful, this implies that the order of G_1 is bounded by a function of r alone. ■

3 Group Theoretic Lemmas

In this section, we collect some group theoretic results which will be needed in the sequel.

Lemma 1 *Let the representation $\rho: G \rightarrow \text{GL}_2(\mathbb{Z})$ be faithful, then*

- (i) *if ρ is reducible, G is cyclic C_n ($n = 1, 2, 3, 4, 6$) or $G \simeq \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z} \simeq D_2$.*
- (ii) *if ρ is irreducible, G is dihedral D_n ($n = 3, 4, 6$).*

Proof (i) Suppose that ρ is reducible. Let χ be the character of ρ . Then $\chi = \psi_1 + \psi_2$ over \mathbb{C} , where ψ_1 and ψ_2 are one dimensional characters of G . As the characteristic polynomial of ρ has coefficients in \mathbb{Z} , we must have $\psi_1 = \overline{\psi_2}$ or ψ_1 and ψ_2 characters of order 2. Since ρ is faithful, in the latter case, $G \simeq \mathbb{Z}/2$ or $G \simeq \mathbb{Z}/2 \oplus \mathbb{Z}/2 \simeq D_2$ and in the former case, G is cyclic.

Now if r is a generator of the cyclic group G and $\text{ord}(r) = n$, then $\rho(r)$ is conjugate to a diagonal matrix over \mathbb{C} like

$$\begin{pmatrix} e^{\frac{2\pi ih}{n}} & 0 \\ 0 & e^{-\frac{2\pi ih}{n}} \end{pmatrix}$$

where $0 \leq h < n$ and $(h, n) = 1$. Here, $e^{\frac{2\pi ih}{n}}$ is a primitive n -th root of unity which is also a root of a quadratic polynomial over \mathbb{Z} (i.e. the characteristic polynomial of the above matrix). Therefore $\phi(n) = [\mathbb{Q}(e^{\frac{2\pi ih}{n}}) : \mathbb{Q}] \leq 2$ and so $n = 1, 2, 3, 4, 6$.

(ii) Since ρ is faithful, we can consider G as a finite subgroup of $\text{GL}_2(\mathbb{R})$. We know that a finite subgroup of $\text{GL}_2(\mathbb{R})$ is conjugate to a subgroup of $\text{O}_2(\mathbb{R})$ and is therefore cyclic or dihedral (see [16], p. 22, Theorem 9). As ρ is irreducible, $G \simeq D_n = \langle r, s; r^n = 1, s^2 = 1, srs = r^{-1} \rangle$. Let $H = \langle r \rangle$, then $\chi|_H = \psi_1 + \psi_2$ over \mathbb{C} , where $\psi_1(r) = e^{\frac{2\pi ih}{n}}$ and $\psi_2(r) = e^{-\frac{2\pi ih}{n}}$ (see [20], p. 37), so by reasoning similar to part (i), $\text{ord}(H) = n = 1, 2, 3, 4, 6$. Moreover, $n \neq 1, 2$ since in these cases D_n is Abelian. ■

Let H_1 and H_2 be subgroups of a group G and let $x \in G$. Set

$$J(H_1, H_2, x) = H_2 \cup \{xg \mid g \in H_1, g \notin H_2\}.$$

Lemma 2 *Let H_1 and H_2 be subgroups of a group G such that $H_2 \subset H_1$ and $[H_1 : H_2] = 2$. Let $x \in G - H_2$ be an element of order 2 which commutes with all elements of H_1 . Then*

- (i) *$J(H_1, H_2, x)$ is a subgroup of G .*
- (ii) *$H_1 \simeq H_2 \times C_2$ if $x \in H_1$.*
- (iii) *$H_1 \simeq J(H_1, H_2, x)$ if $x \notin H_1$.*

Proof It is straightforward. ■

Lemma 3 *Let the representation $\rho: G \rightarrow \text{GL}_3(\mathbb{Z})$ be faithful, then G is isomorphic to one of the following:*

$$C_n \times C_m, \quad D_p \times C_m, \quad A_4 \times C_m, \quad S_4 \times C_m$$

where $n = 1, 2, 3, 4, 6$, $p = 2, 3, 4, 6$ and $m = 1, 2$.

Proof Since ρ is faithful we consider G as a finite subgroup of $\text{O}_3(\mathbb{R})$. First suppose that $G \subset \text{SO}_3(\mathbb{R})$. Then it is known that G is either cyclic, dihedral, A_4 , S_4 or A_5 (see [16], p. 35, Theorem 11). Note that in this case if $A \in G$, then there is an orthonormal matrix P such that

$$P^{-1}AP = \begin{pmatrix} \cos \alpha & -\sin \alpha & 0 \\ \sin \alpha & \cos \alpha & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

(see [16], p. 35, Corollary 1), with $\text{tr}(P^{-1}AP) \in \mathbb{Z}$. Therefore $2 \cos \alpha \in \mathbb{Z}$. It is easily seen from here that if $G \subset \text{SO}_3(\mathbb{R})$, the order of any element of G must be 2, 3, 4 or 6, and therefore G must be one of the following

$$(*) \quad C_n (n = 1, 2, 3, 4, 6), \quad D_p (p = 2, 3, 4, 6), \quad A_4, \quad S_4.$$

Now suppose that $G \not\subset \text{SO}_3(\mathbb{R})$. Let $G_s = G \cap \text{SO}_3(\mathbb{R})$ and note that $-I$ (I is the identity matrix) is an element of order 2 in $\text{O}_3(\mathbb{R})$ which is not in G_s and it commutes with all elements of G . Therefore, by Lemma 2, either $G \simeq G_s \times C_2$ or $G \simeq J(G, G_s, -I)$. G_s and $J(G, G_s, -I)$ are finite subgroups of $\text{SO}_3(\mathbb{R})$ and therefore they are in the list given in (*). This completes the proof. ■

Now let \mathcal{O} denote the ring of integers of an imaginary quadratic field \mathfrak{K} . We fix an embedding $\mathfrak{K} \hookrightarrow \mathbb{C}$.

Notation We denote the center of a group G by $\text{Cent}(G)$.

Lemma 4 *Let G be a group with a normal subgroup H of prime index. Let $\rho: G \rightarrow \text{GL}_2(\mathcal{O})$ be a faithful and irreducible representation of G , and let χ be the character of ρ . Then*

- (i) *either $\chi = \text{Ind}_H^G \psi$, $\psi(1) = 1$ or $\chi|_H$ is irreducible. In the case that $\chi = \text{Ind}_H^G \psi$, $\psi(1) = 1$, let us set $N = \text{Ker } \psi$.*
- (ii) *If $N = \{\text{id}\}$, then $H \simeq C_n$ ($n = 2, 3, 4, 6, 8, 12$).*
- (iii) *If $N \neq \{\text{id}\}$ and $[G : H] = 2$ then for all $\sigma \in G - H$ we have $N \cap \sigma^{-1}N\sigma = \{\text{id}\}$.*

Proof (i) By Proposition 24 of [20] (p. 61), there exists a subgroup J of G , unequal to G and containing H such that either $\chi = \text{Ind}_J^G \psi$, $\psi(1) = 1$ or $\chi|_J$ is isotypic. Since H has prime index in G then $J = H$.

If $\chi|_H$ is isotypic and reducible then $H \subset \text{Cent}(G)$. But G/H is cyclic and therefore $G/\text{Cent}(G)$ is also cyclic. This implies that G is Abelian which is a contradiction

since G has a two dimensional irreducible representation. The only other possibility is that $\chi|_H$ is irreducible.

(ii) Since ψ is faithful, H is isomorphic to a finite subgroup of C^\times and therefore is cyclic. A characteristic polynomial argument similar to the one in Lemma 1 shows that the order n , say, of this group can only be 2, 3, 4, 5, 6, 8, 10 or 12 ($n \neq 1$, since G cannot be Abelian). Since H is cyclic, $\chi|_H = \psi + \psi'$.

Now if $n = 5$, ψ and ψ' take values in the group of 5-th roots of unity, and therefore $\chi|_H$ takes values in $\mathbb{Q}(\zeta_5) \cap \mathfrak{R} = \mathbb{Q}$. The characteristic polynomial of $\rho|_H$ has real coefficients and so either ψ and ψ' are both real or ψ' is the complex conjugate of ψ . Since ψ has order 5, the first case cannot occur. Hence, we are in the second case, and this implies that the character $\chi|_H$ takes values in $\mathbb{Q}(\zeta_5)^+$ which is not \mathbb{Q} and this is a contradiction. Therefore, $n \neq 5$. In a similar way, we can show that $n \neq 10$.

(iii) If $N \neq \{id\}$ then N cannot be normal in G . Indeed, if $N \triangleleft G$ then $N \subset \text{Ker } \chi$ and this is not possible as ρ is faithful. Now $[G : H] = 2$ and therefore there exists exactly one conjugate of N , say $N' = \sigma^{-1}N\sigma$. Then $N \cap N' = \{id\}$ because $N \cap N' \subset \text{Ker } \chi, N \cap N' \triangleleft G$ and ρ is faithful. ■

Remark 1 If $\mathfrak{R} \neq \mathbb{Q}(\sqrt{-1})$ and $\mathbb{Q}(\sqrt{-3})$, in part (ii) of Lemma 2, we can prove that n is not equal to 8 and 12. This is true since in these cases $\chi|_H$ takes values in $\mathbb{Q}(\zeta_8)^+$ or $\mathbb{Q}(\zeta_{12})^+$ which are not \mathbb{Q} .

Lemma 5 Let $5 \nmid d_{\mathfrak{R}}$ (discriminant of \mathfrak{R}). Then, the order of any finite subgroup of $GL_2(\mathcal{O})$ is not divisible by 5.

Proof Let G be a finite subgroup of $GL_2(\mathcal{O})$. By Dirichlet’s theorem on primes in arithmetic progressions, there are infinitely many primes $q \equiv 2 \pmod{5}$ such that q splits completely in \mathcal{O} . Let $q = q_1q_2$ in \mathcal{O} . We choose q large enough such that the restriction of the reduction map

$$GL_2(\mathcal{O}) \rightarrow GL_2(\mathcal{O}/q_1\mathcal{O})$$

to G is injective. But $\text{Card}(GL_2(\mathcal{O}/q_1\mathcal{O})) = \text{Card}(GL_2(\mathbb{Z}/q\mathbb{Z})) = (q^2-1)(q^2-q) \equiv 1 \pmod{5}$. This proves the lemma. ■

Lemma 6 Let G be a subgroup of $GL_2(\mathcal{O})$, then either G is Abelian or $\text{Cent}(G) \simeq \{id\}, \mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/3\mathbb{Z}, \mathbb{Z}/4\mathbb{Z}, \mathbb{Z}/6\mathbb{Z}$.

Proof We consider G as a subgroup of $GL_2(\mathfrak{R})$. Let

$$C(G) = \{\alpha \in GL_2(\mathfrak{R}) : \alpha\gamma = \gamma\alpha \text{ for all } \gamma \in G\}.$$

Then, G is either Abelian or

$$C(G) = \left\{ \begin{pmatrix} c & 0 \\ 0 & c \end{pmatrix} : c \in \mathfrak{R}^* \right\}$$

(see [22], p. 179, Problem 2.6(a)). Now the lemma follows from the facts that

$$\text{Cent}(G) = C(G) \cap G$$

and $\mathcal{O}^* \simeq \{\text{id}\}, \mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/4\mathbb{Z}, \mathbb{Z}/6\mathbb{Z}$. ■

4 $E(F)$ of \mathbb{Z} -rank 1, 2, 3 or \mathcal{O} -rank 1 or 2

In this section, we assume that $E(F)$ is infinite of either \mathbb{Z} -rank ≤ 3 or \mathcal{O} -rank ≤ 2 . We apply the results of the previous section to determine the minimal subfield in the case that $E(F)$ has \mathbb{Z} -rank 1, 2 or 3. We also consider the case that E has multiplication by the ring of integers \mathcal{O} of an imaginary quadratic field \mathfrak{K} and $E(F)$ has \mathcal{O} -rank 1 or 2. In the latter situation, we are able to determine the minimal subfield in all cases but one.

Theorem 1 *Let E be an elliptic curve defined over K and let F be a finite Galois extension of K . Let M be the minimal subfield.*

- (i) *If $\text{rank}(E(F)) = 1$, then M is a cyclic subextension of K and $[M : K] = 1$ or 2 .*
- (ii) *If $\text{rank}(E(F)) = 2$, then M is either a cyclic subextension of K and $[M : K] = 1, 2, 3, 4, 6$ or a dihedral subextension of K and $[M : K] = 4, 6, 8, 12$.*
- (iii) *If $\text{rank}(E(F)) = 3$, then $\text{Gal}(M/K)$ is one of the following:*

$$C_n \times C_m, \quad D_p \times C_m, \quad A_4 \times C_m, \quad S_4 \times C_m$$

where $n = 1, 2, 3, 4, 6$, $p = 2, 3, 4, 6$ and $m = 1, 2$.

Proof (i) M/K is the subextension given in Proposition 2. It is clear that since ρ_f is faithful, $\text{Gal}(M/K)$ is isomorphic to a subgroup of $\text{GL}_1(\mathbb{Z}) \simeq \mathbb{Z}^* = \{\pm 1\}$ which is cyclic and has order 1 or 2.

(ii), (iii) Let ρ_f be the faithful representation given in Proposition 2. Applying Lemmas 1 and 3 on ρ_f imply the results. ■

Now we show that in part (ii) of Theorem 1, M cannot be a dihedral extension of degree 12 of K , if we assume the Birch and Swinnerton-Dyer conjecture and some other assumptions.

Let M be a dihedral extension of \mathbb{Q} and let C be the fixed field of the cyclic subgroup H of the dihedral Galois group in M/\mathbb{Q} . So $[C : \mathbb{Q}] = 2$ and $[M : C] = n$ (say) ($n \geq 3$). We have

$$L(E/M, s) = L(E/C, s) \prod_i L(E/\mathbb{Q} \otimes \text{Ind}_H^G \psi_i, s)^2$$

where ψ_i are characters of $H = \text{Gal}(M/C)$. Since G is dihedral, the twisted L -function $L(E/\mathbb{Q} \otimes \text{Ind}_H^G \psi_i, s)$ has root number ± 1 , depending on the parity of the order of vanishing of the twisted L -function at $s = 1$.

Now assume that the Birch and Swinnerton-Dyer conjecture is true. Then the assumption that $\text{rank}(E(M)) = 2$, and the above factorization of L -functions implies that we have the following possibilities:

- (i) $L(E/C, 1) = 0$.
- (ii) exactly one of the factors $L(E/\mathbb{Q} \otimes \text{Ind}_H^G \psi_i, s)$ has a simple zero at $s = 1$.

In the first case, we must have $L(E/C, s)$ vanishing to order 2 at $s = 1$ and none of the two-dimensional twists vanishes. In particular, all the root numbers must satisfy

$$w(E/\mathbb{Q} \otimes \text{Ind}_H^G \psi_i) = 1$$

for all i . In the second case, $L(E/C, 1) \neq 0$ and there is a unique i such that $L(E/\mathbb{Q} \otimes \text{Ind}_H^G \psi_i, 1) = 0$. Since this zero is simple

$$w(E/\mathbb{Q} \otimes \text{Ind}_H^G \psi_i) = -1.$$

Moreover, as none of the others vanish, all of the other root numbers are equal to +1.

Now it is clear that if M is the minimal subfield then (i) cannot be true and thus we are in the situation (ii).

Proposition 4 *Let E be a modular elliptic curve of conductor N defined over \mathbb{Q} and suppose that the Birch and Swinnerton-Dyer conjecture is true. Also with the above notation assume that N and conductor of $\text{Ind}_H^G \psi_i$'s are relatively prime and for all i , $\chi_i = \det(\text{Ind}_H^G \psi_i)$ is even. Then, in part (ii) of Theorem 1 (for $K = \mathbb{Q}$) the minimal subfield M cannot be a dihedral extension of degree 12.*

Proof Let M be the minimal subfield in Theorem 1 and follow the notations before the proposition. By a result of Rohrlich (see [17], p. 125, Proposition 1), the root number can be calculated as follows. Let χ_i be the determinant of $\text{Ind}_H^G \psi_i$. If χ_i is even, then

$$w(E/\mathbb{Q} \otimes \text{Ind}_H^G \psi_i) = \chi_i(N).$$

Now, χ_i is a quadratic character which can be computed by the following formula:

$$\chi_i = \epsilon \psi_i \circ \text{Ver}$$

where ϵ is the character of C/\mathbb{Q} and Ver is the transfer map (Verlagerung) given by

$$\text{Ver}(g) = \begin{cases} g^2 & \text{if } g \notin H \\ g \cdot \delta g \delta^{-1} & \text{if } g \in H. \end{cases}$$

Here, δ is a fixed element of $G - H$ of order 2. Now, $\psi(\delta g \delta^{-1}) = \overline{\psi(g)}$ and so $\psi \circ \text{Ver}$ is trivial on H . Moreover, $\text{Ver}(\delta) = 1$. Hence, $\psi_i \circ \text{Ver} = 1$ and $\chi_i = \epsilon$ is a quadratic character independent of ψ_i . Thus, the root numbers $w(E/\mathbb{Q} \otimes \text{Ind}_H^G \psi_i)$'s are all equal. But from the argument before the proposition, we know that there is a unique i such that $w(E/\mathbb{Q} \otimes \text{Ind}_H^G \psi_i) = -1$ and all of the others are +1. Now since the number of irreducible two dimensional characters of D_n is $\frac{n-1}{2}$ if n is odd and $\frac{n-2}{2}$ if n is even, we have $\epsilon(N) = -1$ and $n = 3$ or 4 . ■

Now let E be an elliptic curve defined over a number field K which has complex multiplication by \mathcal{O} , the ring of integers of an imaginary quadratic number field \mathfrak{K}

contained in K ($\mathfrak{R} \subseteq K$), and let F be a finite Galois extension of K . (We fix once and for all an embedding $\mathfrak{R} \hookrightarrow \mathbb{C}$.) Since E has complex multiplication by \mathcal{O} and E is defined over K , we can fix an isomorphism between the ring of endomorphisms of E and \mathcal{O} and equip $E(F)$ with an \mathcal{O} action. (Note that all the endomorphisms of E are defined over K .)

We consider the submodule $mE(F)$ of the \mathcal{O} -module $E(F)$, where m is the order of the \mathcal{O} -torsion submodule of $E(F)$, then $mE(F)$ is a finitely generated torsion free module over \mathcal{O} which is projective since \mathcal{O} is a Dedekind domain. Moreover, there exist free \mathcal{O} -modules M_1 and M_2 , such that

$$M_1 \subset mE(F) \subset M_2$$

and M_1 and M_2 have the same rank. We call this common rank, the \mathcal{O} -rank of $E(F)$. (For the above algebraic facts, see [9], p. 168, Problems 11 and 13.) Note that $2 \operatorname{rank}_{\mathcal{O}}(E(F)) = \operatorname{rank}(E(F))$.

Remark 2 If the field of complex multiplication \mathfrak{R} is not contained in K , still we can consider $E(F)$ as an \mathcal{O} -module if we assume that $\mathfrak{R}K \subset F$. Also, we want to mention that the upcoming results in this section are also valid for elliptic curves with complex multiplication by a non-maximal order in \mathfrak{R} .

Now we can consider the \mathfrak{R} -module $mE(F) \otimes_{\mathcal{O}} \mathfrak{R} = E(F) \otimes_{\mathcal{O}} \mathfrak{R}$ as a representation space for $\operatorname{Gal}(F/K)$ to get the following representation:

$$\rho: \operatorname{Gal}(F/K) \rightarrow \operatorname{Aut}(E(F) \otimes_{\mathcal{O}} \mathfrak{R}) \simeq \operatorname{GL}_r(\mathfrak{R})$$

where $r = \operatorname{rank}_{\mathcal{O}}(E(F))$. It is clear that we can define an \mathcal{O} -analogue of the minimal subfield and establish an \mathcal{O} -analogue of Propositions 1, 2 and 3. Note that in the \mathcal{O} -analogue of Proposition 2, we have to assume that r and $h_{\mathfrak{R}}$ (the class number of \mathfrak{R}) are relatively prime to make sure that $\operatorname{Im}(\rho_f)$ is conjugate to a finite subgroup of $\operatorname{GL}_r(\mathcal{O})$. (For more explanation about this condition see [4], Theorem 23.17, p. 530.) Also note that if $\operatorname{rank}_{\mathcal{O}}(E(F)) = r$ then the \mathcal{O} -minimal subfield is the same as the minimal subfield F_{2r} defined in the beginning of Section 2.

Proposition 5 *If $\operatorname{rank}_{\mathcal{O}}(E(F)) = 1$, then the minimal subfield is a cyclic subextension M of K and $[M : K] = 1, 2, 3, 4$ or 6 .*

Proof Since $(h_{\mathfrak{R}}, 1) = 1$, the argument before the proposition implies that $\operatorname{Im}(\rho_f)$ can be considered as a subgroup of $\operatorname{GL}_1(\mathcal{O})$. Now the proof is exactly the \mathcal{O} -analogue of part (i) of Theorem 1. Note that $\operatorname{GL}_1(\mathcal{O}) \simeq \mathcal{O}^*$ which is cyclic and has order 1, 2, 4 or 6. ■

If $\operatorname{rank}_{\mathcal{O}}(E(F)) = 2$ and $h_{\mathfrak{R}}$ is odd, then $\rho(\operatorname{Gal}(F/K))$ is isomorphic to a finite subgroup of $\operatorname{GL}_2(\mathcal{O})$. We apply the group theoretic lemmas of the previous section to obtain some useful information about the representation ρ and the group $\operatorname{Gal}(F/K)$.

Theorem 2 Suppose that $h_{\mathfrak{R}}$ is odd and $\text{rank}_{\mathcal{O}}(E(F)) = 2$. Then there is a Galois subextension $K \subseteq S \subseteq F$ with $\text{rank}_{\mathcal{O}}(E(S)) > 0$ such that $G = \text{Gal}(S/K)$ is one of the following:

- (i) G is cyclic of order 1, 2, 3, 4, 6, 8, or 12.
- (ii) $G/\text{Cent}(G) \simeq D_n$. More precisely G satisfies one of the following:
 - (a) $G \simeq D_3$.
 - (b) $\text{Cent}(G) \simeq \mathbb{Z}/2\mathbb{Z}$ and $G/\text{Cent}(G) \simeq D_n$ ($n = 2, 3, 4, 6, 8$).
 - (c) $\text{Cent}(G) \simeq \mathbb{Z}/3\mathbb{Z}$ and $G/\text{Cent}(G) \simeq D_n$ ($n = 2, 3, 4, 6$).
 - (d) $\text{Cent}(G) \simeq \mathbb{Z}/4\mathbb{Z}$ and $G/\text{Cent}(G) \simeq D_n$ ($n = 2, 3, 4$).
 - (e) $\text{Cent}(G) \simeq \mathbb{Z}/6\mathbb{Z}$ and $G/\text{Cent}(G) \simeq D_n$ ($n = 2, 3, 6$).
- (iii) $\text{Cent}(G) \neq \{id\}$ and $G/\text{Cent}(G) \simeq A_4$ or S_4 .

In (ii) and (iii), $\text{rank}_{\mathcal{O}}(E(S)) = 2$. In fact, S is the minimal subfield in these cases.

Proof Let $\rho: \text{Gal}(F/K) \rightarrow GL_2(\mathcal{O})$ be the representation of $\text{Gal}(F/K)$ in $E(F) \otimes_{\mathcal{O}} \mathfrak{R}$ and χ be its character. By the \mathcal{O} -analogue of Proposition 2, we can assume that ρ is faithful. Also we know that $G/\text{Cent}(G)$ is isomorphic to a finite subgroup of $PGL_2(\mathbb{C})$ and therefore (see [21]) is isomorphic to C_n, D_n, A_4, S_4 or A_5 . By Lemma 5, $G/\text{Cent}(G)$ cannot be isomorphic to A_5 . Note that since $h_{\mathfrak{R}}$ is odd, $\mathfrak{R} = \mathbb{Q}(\sqrt{-p})$ for prime p with $-p \equiv 1 \pmod{4}$ or $\mathfrak{R} = \mathbb{Q}(\sqrt{-1}), \mathbb{Q}(\sqrt{-2})$, and therefore $5 \nmid d_{\mathfrak{R}}$.

If ρ is reducible, let χ be the character of ρ . We have $\chi = \psi_1 + \psi_2$ over \mathbb{C} , where ψ_1 and ψ_2 are one dimensional characters of G . Let S be the fixed field of $\text{Ker } \psi_1$ in F/K . Then ψ_1 is a faithful and irreducible character of $\text{Gal}(S/K)$, which implies that $\text{Gal}(S/K)$ is cyclic and $\text{rank}_{\mathcal{O}}(E(S)) \neq 0$. Indeed, (see [17], p. 126)

$$(E(F) \otimes_{\mathcal{O}} \mathbb{C})^{\text{Gal}(F/S)} = E(S) \otimes_{\mathcal{O}} \mathbb{C}.$$

Now a characteristic polynomial argument similar to the one in Lemma 1 implies that $[S : K] = 1, 2, 3, 4, 6, 8$ or 12 .

Thus, we may suppose that ρ is irreducible. Then, since G is not Abelian, $G/\text{Cent}(G)$ cannot be cyclic. Suppose that $G/\text{Cent}(G)$ is isomorphic to A_4 or S_4 . In this case, we must have $\text{Cent}(G) \neq \{1\}$. Indeed, G is not isomorphic to A_4 , since A_4 does not have any 2-dimensional irreducible representation. This also implies that if $G \simeq S_4$, and χ is the character of ρ then $\chi = \text{Ind}_{A_4}^{S_4} \psi, \psi(1) = 1$ (see part (i) of Lemma 4). But it is known that any 1-dimensional representation of A_4 is trivial on the Klein 4-group V_4 (see [20], p. 42). Since $V_4 \triangleleft S_4$, we have

$$V_4 \subset \text{Ker}(\text{Ind}_{A_4}^{S_4} \psi) = \text{Ker } \chi.$$

However, χ is the character of the faithful representation ρ . This is a contradiction. Therefore, G is not isomorphic to S_4 .

It remains to analyze the possibility $G/\text{Cent}(G) \simeq D_n$. Let A be the cyclic subgroup of order n in D_n . Let L be the fixed field of $\text{Cent}(G)$ in F/K and M be the fixed

$[R : M]$	$[R \cap R^\sigma : M]$	$[F : M]$
2	1	4
3	1	9
4	1, 2	8, 16
6	1, 2, 3	12, 18, 36.

Table 1

field of A in L/K . If $H = \text{Gal}(F/M)$ then $H/\text{Cent}(G) \simeq A$ is cyclic and therefore H is Abelian. Clearly H has index 2 in G , thus by part (i) of Lemma 4, $\chi = \text{Ind}_H^G \psi$, $\psi(1) = 1$. Let $N = \text{Ker } \psi$.

By part (ii) of Lemma 4 if $N = \{\text{id}\}$, then $H \simeq C_n$ ($n = 2, 3, 4, 6, 8, 12$). By Lemma 6, $\text{Cent}(G) \simeq \{\text{id}\}, \mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/3\mathbb{Z}, \mathbb{Z}/4\mathbb{Z}$ or $\mathbb{Z}/6\mathbb{Z}$. As $\text{Cent}(G) \subseteq H$, we have the following possibilities. If $\text{Cent}(G) \simeq \{\text{id}\}$ then $G \simeq D_n$. In this case n must be odd, since $\text{Cent}(D_n) \neq \{\text{id}\}$ for n even. This proves that $G \simeq D_3$. If $\text{Cent}(G) \simeq \mathbb{Z}/2\mathbb{Z}$ then $G/\text{Cent}(G) \simeq D_n$ ($n = 1, 2, 3, 4, 6$). But $n \neq 1$ since in that case G is Abelian. Similarly, if $\text{Cent}(G) \simeq \mathbb{Z}/3\mathbb{Z}$ then $G/\text{Cent}(G) \simeq D_n$ ($n = 2, 4$), if $\text{Cent}(G) \simeq \mathbb{Z}/4\mathbb{Z}$ then $G/\text{Cent}(G) \simeq D_n$ ($n = 2, 3$) and if $\text{Cent}(G) \simeq \mathbb{Z}/6\mathbb{Z}$ then $G/\text{Cent}(G) \simeq D_n$ ($n = 2$).

Now suppose that $N \neq \{\text{id}\}$. First note that since $\chi = \text{Ind}_H^G \psi$, $\psi(1) = 1$, then $\chi|_H = \psi + \psi^\sigma$ where $\sigma \in G - H$ and $\psi^\sigma(x) = \psi(\sigma^{-1}x\sigma)$ for $x \in H$ (See [20], Proposition 22, p. 58). This shows that $\text{Ker } \psi^\sigma = \sigma^{-1}N\sigma \neq \{\text{id}\}$. Let R be the fixed field of N in F/M , since F is the minimal subfield and $K \subset R \subsetneq F$, it is clear that $\text{rank}_\mathbb{Q}(E(R)) = 1$. In a similar way, we can show that $\text{rank}_\mathbb{Q}(E(R^\sigma)) = 1$ (R^σ is the fixed field of $\sigma^{-1}N\sigma$ in F/M).

Now since $\text{rank}_\mathbb{Q}(E(R)) = 1$, the action of $\text{Gal}(R/M)$ on $E(R) \otimes_\mathbb{Q} \mathfrak{K}$ is given by ψ . This shows that R is the minimal subfield and therefore it is cyclic of degree 1, 2, 3, 4, 6 (Proposition 5). A similar statement holds for R^σ .

By part (iii) of Lemma 4,

$$\text{Ker } \psi \cap \text{Ker } \psi^\sigma = N \cap \sigma^{-1}N\sigma = \{\text{id}\}.$$

This implies that $F = RR^\sigma$. Hence,

$$|H| = [F : M] = \frac{[R : M][R^\sigma : M]}{[R \cap R^\sigma : M]} = \frac{[R : M]^2}{[R \cap R^\sigma : M]}.$$

An easy calculation implies that $[F : M] = 4, 8, 9, 12, 16, 18, 36$, which can be checked from Table 1.

Note that $[R : M] \neq 1$, since otherwise $R = R^\sigma = M$.

By Lemma 6, $\text{Cent}(G) \simeq \{\text{id}\}, \mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/3\mathbb{Z}, \mathbb{Z}/4\mathbb{Z}$ or $\mathbb{Z}/6\mathbb{Z}$. If $|\text{Cent}(G)| = 1$ then $G \simeq D_n$, this implies that $N \triangleleft G$ and therefore $N \subset \text{Ker } \chi$ which is a contradiction since $N \neq \{\text{id}\}$ and χ is faithful. If $|\text{Cent}(G)| = 4$ and $N \neq \{\text{id}\}$, then the proof of Lemma 6 shows that $\mathfrak{K} = \mathbb{Q}(\sqrt{-1})$ and therefore $[R : M] = 2, 4$, thus $[F : M] = 8, 16$ and so $G/\text{Cent}(G) \simeq D_n$ ($n = 2, 4$). If $|\text{Cent}(G)| = 6$ and $N \neq \{\text{id}\}$, then $[F : M] = 12, 18, 36$ and so $G/\text{Cent}(G) \simeq D_n$ ($n = 4, 6, 12$).

$[R : M_2]$	$[R \cap R^\sigma : M_2]$	$[M_2 : M]$	$[F : M]$
2	1	1, 3	4, 12
4	1, 2	1	8, 16.

Table 2

If $|\text{Cent}(G)| = 2$, we can refine the above argument to show that $[F : M]$ cannot be 9, 18 or 36. Since $H = \text{Gal}(F/M)$ contains $\text{Cent}(G)$, the order of H is even and so $[F : M] \neq 9$. To show that $[F : M] \neq 18$ or 36, recall that $N \neq \{\text{id}\}$ and $|\text{Cent}(G)| = 2$. We first claim that N is a 2-group (in fact, it is a cyclic¹ 2-group). This is true, because as N and H are Abelian, they can be written as a direct sum of their Sylow subgroups

$$N = N_2 \oplus N_{\text{odd}}, \quad H = H_2 \oplus H_{\text{odd}}$$

where N_2 (respectively H_2) is the 2-primary part of N (respectively H). Since $H/\text{Cent}(G)$ is cyclic and $|\text{Cent}(G)| = 2$, it follows that H_{odd} is cyclic. Moreover, $H_{\text{odd}} \triangleleft G$, and since $N_{\text{odd}} \subset H_{\text{odd}}$ and H_{odd} is cyclic, $N_{\text{odd}} \triangleleft G$. This shows that for $\sigma \in G - H$

$$N_{\text{odd}} \subset N \cap \sigma^{-1}N\sigma = \{\text{id}\}$$

and therefore $N = N_2$.

Now let M_2 be the fixed field of H_2 in F/M . Since N is a subgroup of H_2 , it is clear that R (the fixed field of N in F/M) is a Galois extension of M_2 , and since R/M is cyclic with $[R : M] = 1, 2, 3, 4, 6$, R is a cyclic extension of M_2 and $[R : M_2] = 1, 2, 4$. A similar statement holds for R^σ/M_2 . We have

$$|H| = [F : M_2][M_2 : M] = \frac{[R : M_2]^2}{[R \cap R^\sigma : M_2]} [M_2 : M].$$

Table 2 summarizes the possibilities for $[F : M]$ in this case.

So if $|\text{Cent}(G)| = 2$ and $N \neq \{\text{id}\}$, then $[F : M] = 4, 8, 12, 16$ and so $G/\text{Cent}(G) \simeq D_n$ ($n = 2, 4, 6, 8$). Similarly, if $|\text{Cent}(G)| = 3$ and $N \neq \{\text{id}\}$, we can prove that $N = N_{\text{odd}}$, and $[F : M] = 9, 18$ and so $G/\text{Cent}(G) \simeq D_n$ ($n = 3, 6$).

Now it is easy to verify the list given in part (ii) of the statement of the theorem. This completes the proof. ■

Remark 3 It might be of interest to note that a group G with cyclic center $\text{Cent}(G)$ having the property that $G/\text{Cent}(G) \simeq D_n$ is necessarily a product HK with H and K Abelian, with $H \cap K = \text{Cent}(G)$. Moreover, if $\text{Cent}(G)$ has order m , then H has order mn and K has order $2m$. In some cases, we can say more. For example, if $n = 3$ and $m = 2, 3, 4$, then $G \simeq \text{Cent}(G) \times D_n$.

¹Note that $N \cap \text{Cent}(G) = \{\text{id}\}$ and $N \simeq N/N \cap \text{Cent}(G) \simeq N\text{Cent}(G)/\text{Cent}(G) \subset H/\text{Cent}(G) \simeq A$, where A is the cyclic subgroup of order n in D_n .

Definition The generalized quaternion group Q_{4n} is defined with the following presentations:

$$Q_{4n} = \langle x, y : x^{2n} = 1, x^n = y^2, yxy^{-1} = x^{-1} \rangle.$$

Theorem 3 Suppose that $h_{\mathfrak{R}}$ is odd and $\text{rank}_{\mathcal{O}}(E(F)) = 2$ and $\mathfrak{R} \neq \mathbb{Q}(\sqrt{-1}), \mathbb{Q}(\sqrt{-3})$. Then there is a Galois subextension S with $K \subseteq S \subseteq F$ and $\text{rank}_{\mathcal{O}}(E(S)) > 0$ such that $G = \text{Gal}(S/K)$ is one of the following:

- (i) G is cyclic of order 1, 2, 3, 4 or 6.
- (ii) G is isomorphic to D_n ($n = 3, 4, 6$) or Q_{4n} ($n = 2, 3$).
- (iii) $G \simeq \text{SL}_2(\mathbb{Z}/3\mathbb{Z})$ or G is isomorphic to an extension of $\text{SL}_2(\mathbb{Z}/3\mathbb{Z})$ by $\mathbb{Z}/2\mathbb{Z}$ with $\text{Cent}(G) \simeq \mathbb{Z}/2\mathbb{Z}$. This can occur only if $d_{\mathfrak{R}} \not\equiv 1 \pmod{8}$.

In (ii) and (iii), $\text{rank}_{\mathcal{O}}(E(S)) = 2$. In fact, S is the minimal subfield in these cases.

Proof First note that since $\mathfrak{R} \neq \mathbb{Q}(\sqrt{-1}), \mathbb{Q}(\sqrt{-3})$ in part (ii) of Lemma 4, $n \neq 8, 12$ (see Remark 1). Applying this fact in the proof of Theorem 2 implies (i) if ρ (defined in the proof of Theorem 2) is reducible. In the case that ρ is irreducible and $G/\text{Cent}(G) \simeq D_n$, from the assumptions of the theorem, we conclude that $G \simeq D_3$ or $\text{Cent}(G) \simeq \mathbb{Z}/2\mathbb{Z}$ and $G/\text{Cent}(G) \simeq D_n (n = 2, 3)^2$. Now it is easy to verify the list given in part (ii) of the statement of the theorem, by referring to the list of non-Abelian groups of order 8 and 12 (see for example [5], Appendix B, p. 238).

So, we may suppose that ρ is irreducible and $G/\text{Cent}(G)$ is isomorphic to either A_4 or S_4 and that $\text{Cent}(G) \simeq \mathbb{Z}/2\mathbb{Z}$.

Let $G/\text{Cent}(G) \simeq A_4$. Suppose that L is the fixed field of $\text{Cent}(G)$ in F/K and M is the fixed field of V_4 (Klein's 4-group) in L/K . Set $H \simeq \text{Gal}(F/M)$. Since $H/\text{Cent}(G) \cong V_4$ and $V_4 \triangleleft A_4$, it follows that $H \triangleleft G$, also it is clear that $[G : H] = 3$. Suppose that $\chi|_H$ is reducible. Then, by part (i) of Lemma 4, $\chi = \text{Ind}_H^G \psi$, $\psi(1) = 1$. This can never happen because $[G : H] = 3$ and χ is 2 dimensional.

Thus $\chi|_H$ is irreducible. Note that H is the 2-Sylow subgroup of G and it is of order 8. As it is necessarily non-Abelian, it is isomorphic to either D_4 or Q_8 (the quaternion group of order 8). In either case, G is the semidirect product of H and $\mathbb{Z}/3\mathbb{Z}$.

If $H \simeq Q_8$, then $G \simeq \text{SL}_2(\mathbb{Z}/3\mathbb{Z})$. This group has three 2-dimensional irreducible representations. For two of these, the character takes values in $\mathbb{Q}(\sqrt{-3})$ (see for example [13], p. 61) and hence we can exclude these. The remaining representation has character values in \mathbb{Z} . If the restriction of this representation to Q_8 is irreducible (as we are assuming), it is a representation of Schur index 2 (see [20], p. 94, Exercise 12.3) and it is realizable over \mathfrak{R} if and only if \mathfrak{R} can be embedded in the quaternion algebra \mathbb{D} over \mathbb{Q} which is ramified at 2 and ∞ . But if $d_{\mathfrak{R}} \equiv 1 \pmod{8}$, then \mathfrak{R} cannot be embedded in \mathbb{D} as the prime 2 splits in this field. Thus, if $d_{\mathfrak{R}} \equiv 1 \pmod{8}$ this case cannot occur.

If $H \simeq D_4$, then let J be the cyclic subgroup of order 4. Let A be a 3-Sylow subgroup of G . It acts by conjugation on J (as J contains all elements of order 4 in

²Note that $\text{Cent}(G) \simeq \mathbb{Z}/2\mathbb{Z}$, however, $n = 4, 6, 8$ never occur. This is true since $\mathfrak{R} \neq \mathbb{Q}(\sqrt{-1}), \mathbb{Q}(\sqrt{-3})$ and therefore in the proof of Theorem 2 if $N = \{\text{id}\}$, then $H \simeq C_n (n = 2, 3, 4, 6)$ and if $N \neq \{\text{id}\}$, then in Table 1, $[R : M] = 2$.

D_4). Moreover, it must act trivially as $\text{Aut}(J)$ is cyclic of order 2. Hence, AJ is cyclic of order 12. Let P be the quadratic extension of K which is fixed by AJ . Restricting our representation ρ to AJ , we find it is reducible and given by two characters ψ_1 and ψ_2 (say). ψ_1 and ψ_2 take values in the group of 12-th roots of unity. The character of ρ on H thus takes values in $\mathbb{Q}(\zeta_{12}) \cap \mathfrak{K} = \mathbb{Q}$ (as $\mathfrak{K} \neq \mathbb{Q}(\sqrt{-1}), \mathbb{Q}(\sqrt{-3})$). In particular, it is real and so either ψ_1 and ψ_2 are both real or ψ_2 is the complex conjugate of ψ_1 . Since $\rho|_H$ is faithful, the first case cannot occur as it would imply that H has order at most 4. Hence, we are in the second case, and this implies that ψ_1 is of order 12. But then, the character takes values in $\mathbb{Q}(\zeta_{12})^+$ which is not \mathbb{Q} and this is a contradiction. Thus, this case also cannot occur.

Let $G/\text{Cent}(G) \simeq S_4$. Again let L be the fixed field of $\text{Cent}(G)$ in F/K , M be the fixed field of A_4 in L/K and $H = \text{Gal}(F/M)$. Suppose first that $\chi|_H$ is reducible. Then by part (i) of Lemma 4, $\chi = \text{Ind}_H^G \psi$, $\psi(1) = 1$. Let $N = \text{Ker } \psi$. It is clear that $N \neq \{\text{id}\}$, since otherwise by part (ii) of Lemma 4, H is cyclic which is impossible. Let R be the fixed field of N , then $\text{rank}_{\mathfrak{O}}(E(R)) > 0$. Since ρ is faithful, we must have $\text{rank}_{\mathfrak{O}}(E(R)) = 1$. This implies that R is the minimal subfield and therefore it is cyclic of order 1 or 2 (Proposition 5). Since $N \cap \sigma^{-1}N\sigma = \{\text{id}\}$, we have $F = RR^\sigma$ and then by a calculation similar to one used in the proof of Theorem 2, we deduce $[F : M] = 4$ and hence $[F : K] = 8$, contradicting our assumption that $G/\text{Cent}(G) \simeq S_4$.

Now consider the case $\chi_1 = \chi|_H$ is irreducible. We argue as in the A_4 case. Let us set H_1 to be the 2-Sylow subgroup of H . Note that it is a normal subgroup. Now, if we have $\chi_1|_{H_1}$ reducible, this would force ρ_1 to be the induction of a character from H_1 to H (by part (i) of Lemma 4) contradicting the fact that ρ_1 is a 2-dimensional representation. On the other hand, if $\chi_1|_{H_1}$ is irreducible, then H_1 is either the quaternion group of order 8 or the dihedral group of order 8 and both of these cases are dealt with as in the A_4 case using the fact that our representation has to be realizable over \mathfrak{K} . This shows that if $d_{\mathfrak{K}} \not\equiv 1 \pmod{8}$, then $H \simeq \text{SL}_2(\mathbb{Z}/3\mathbb{Z})$ and therefore G is an extension of $\text{SL}_2(\mathbb{Z}/3\mathbb{Z})$ by $\mathbb{Z}/2\mathbb{Z}$. This completes the proof of the theorem. ■

5 Vanishing of L-Functions

5.1 Non-CM Case

Let E be an elliptic curve defined over \mathbb{Q} and let $L(E/\mathbb{Q}, s)$ be the L -function of E over \mathbb{Q} . Kolyvagin [8] proved that for a (modular) elliptic curve E if $\text{rank}(E(\mathbb{Q})) \geq 1$ then $L(E/\mathbb{Q}, 1) = 0$ (see [7], p. 356, Theorem 20.5.2.(b)). This result is generalized to any finite Abelian extension of \mathbb{Q} by Kato (unpublished).

Theorem 4 *Let E be a modular elliptic curve defined over \mathbb{Q} and let F be a finite solvable extension of \mathbb{Q} . Suppose that $\text{rank}(E(F)) \geq 1$.*

- (i) *If $E(F) \otimes \mathbb{Q}$ is an Abelian $\text{Gal}(F/\mathbb{Q})$ module then $L(E/F, 1) = 0$.*
- (ii) *If $\text{rank}(E(F)) = 1$ then $L(E/F, 1) = 0$.*
- (iii) *If $\text{rank}(E(F)) = 2$ then either $L(E/F, 1) = 0$ or the minimal subfield is a dihedral extension of \mathbb{Q} of degree 6, 8 or 12.*

(iv) If $\text{rank}(E(F)) = 3$ then either $L(E/F, 1) = 0$ or $\text{Gal}(M/K)$ (M is the minimal subfield) is one of the following:

$$A_4, S_4, A_4 \times C_2, S_4 \times C_2.$$

Proof (i) Since $E(F) \otimes \mathbb{Q}$ is an Abelian Galois module, by Proposition 2, there is an Abelian subextension M of \mathbb{Q} such that $\text{rank}(E(M)) \geq 1$. Now Kato's generalization of Kolyvagin's theorem implies the vanishing of $L(E/M, s)$ at $s = 1$. By Theorem 2 of [12], $L(E/F, s)$ is divisible by $L(E/M, s)$. Hence, $L(E/F, s)$ also vanishes at $s = 1$. This completes the proof.

(ii) By part (i) of Theorem 1, $E(F) \otimes \mathbb{Q}$ is a cyclic Galois module, and the result follows from part (i).

(iii) It follows from part (ii) of Theorem 1 and (i).

(iv) Let $\rho_f: \text{Gal}(M/K) \rightarrow \text{GL}_3(\mathbb{Z})$ be the faithful representation given in Proposition 2. We prove that if ρ_f is reducible then $L(E/F, 1) = 0$. Let ρ_f be reducible, then since its degree is 3, ρ_f has a one dimensional representation ψ of $\text{Gal}(M/K)$ as a direct summand. Let M_1 be the fixed field of $\ker \psi$ in M/K . It is clear that E has a point of infinite order on M_1 and M_1 is at most quadratic over \mathbb{Q} . As in (i), we conclude that $L(E/M_1) = 0$ which implies $L(E/F, 1) = 0$.

Now note that in part (iii) of Theorem 1, the only groups with a possible three dimensional irreducible representation, are those given in the statement of the theorem. This completes the proof. ■

Remark 4 If M/\mathbb{Q} is a dihedral extension of degree $2n$ such that the fixed field C of the cyclic subgroup of order n of $\text{Gal}(M/\mathbb{Q})$ is imaginary quadratic and of discriminant prime to the conductor of E , and $(E(M) \otimes \mathbb{C})^\chi \neq 0$ is infinite (χ is a two dimensional character of $\text{Gal}(M/\mathbb{Q})$), then by recent work of Bertolini and Darmon [2], $L(E/\mathbb{Q} \otimes \chi, 1) = 0$. Applying this with the factorization of the L -function of E over M (see the paragraph before Proposition 4) and part (ii) of Theorem 1, we deduce that if F is a finite solvable extension of \mathbb{Q} such that any quadratic subfield is imaginary and of discriminant prime to the conductor of E , and $\text{rank}(E(F)) = 2$ then $L(E/F, 1) = 0$.

5.2 CM Case

Let E be an elliptic curve defined over an imaginary quadratic field K and having complex multiplication by \mathcal{O} , the ring of integers of K . Let $L(E/K, s)$ be the L -function of E over K . It is known that $L(E/K, s)$ is the product of two Hecke L -series of K (see [22], p. 175, Theorem 10.5) and therefore it is defined on the whole complex plane. Coates and Wiles [3] proved that if $\text{rank}(E(K)) \geq 1$ then $L(E/K, 1) = 0$. Arthaud [1] generalized this result to any finite Abelian extension of K . She proved that if F is a finite Abelian extension of K such that $\text{rank}(E(F)) \geq 1$ then $L(E/F, 1) = 0$. The work of Rubin [19] established this under some conditions even if E is not defined over K .

Theorem 5 Let E be an elliptic curve defined over an imaginary quadratic field K and

having complex multiplication by \mathcal{O} , the ring of integers of K . Let F/K be a finite Galois extension and let $\text{rank}_{\mathcal{O}}(E(F)) \geq 1$.

- (i) If $E(F) \otimes_{\mathcal{O}} K$ is an Abelian $K[G]$ -module then $L(E/F, 1) = 0$.
- (ii) If $\text{rank}_{\mathcal{O}}(E(F)) = 1$ then $L(E/F, 1) = 0$.
- (iii) If $\text{rank}_{\mathcal{O}}(E(F)) = 2$ and $K \neq \mathbb{Q}(\sqrt{-1}), \mathbb{Q}(\sqrt{-3})$, then either $L(E/F, 1) = 0$ or the Galois group of the minimal subfield over K is isomorphic to one of the following:
 - a) D_n ($n = 3, 4, 6$), Q_{4n} ($n = 2, 3$).
 - b) $SL_2(\mathbb{Z}/3\mathbb{Z})$ or an extension of $SL_2(\mathbb{Z}/2\mathbb{Z})$ by $\mathbb{Z}/2\mathbb{Z}$ with $\text{Cent}(G) \simeq \mathbb{Z}/2\mathbb{Z}$. This can occur only if $K \neq \mathbb{Q}(\sqrt{-7})$.

Proof (i) By the \mathcal{O} -analogue of Proposition 2, there is an Abelian subextension M of K such that $\text{rank}_{\mathcal{O}}(E(M)) \geq 1$. Now by Arthaud’s theorem [1], $L(E/M, 1) = 0$. By Theorem 1 of [12], $L(E/F, s)$ is divisible by $L(E/M, s)$. Hence $L(E/F, 1) = 0$.

(ii) By Proposition 5, $E(F) \otimes_{\mathcal{O}} K$ is a cyclic $K[G]$ -module, and the result follows from part (i).

(iii) It follows from Theorem 3 and (i). Note that since the j -invariant $j(E) \in K$ then $h_K = 1$, and $K = \mathbb{Q}(\sqrt{-7})$ is the only imaginary quadratic number field with $h_K = 1$ that for it $d_K \equiv 1 \pmod{8}$. ■

6 Elliptic Analogue of Stark’s Theorem

In this section, we investigate the analytic analogue of the minimal subfield. In this, we are guided by the results of Stark [23] about simple zeros of Dedekind zeta functions.

Definition Let E be an elliptic curve defined over K and let F be an extension of K . For each zero ω of $L(E/F, s)$, the *analytic minimal subfield* F_{ω} is a subfield over K with $K \subseteq F_{\omega} \subseteq F$ such that

- (i) $\text{ord}_{s=\omega} L(E/F_{\omega}, s) = \text{ord}_{s=\omega} L(E/F, s)$.
- (ii) If $K \subseteq M \subseteq F$ and $\text{ord}_{s=\omega} L(E/M, s) = \text{ord}_{s=\omega} L(E/F, s)$, then $F_{\omega} \subseteq M$.

Proposition 6 Let F/K be a Galois extension with Galois group G , and suppose that $L(E/K \otimes \chi, s)$ is holomorphic at $s = \omega$ for any irreducible character χ of G . Then the analytic minimal subfield F_{ω} exists and it is Galois over K .

Proof We have the factorization

$$L(E/F, s) = \prod_{\chi \in \text{Irr}(G)} L(E/K \otimes \chi, s)^{\chi(1)}$$

where $\text{Irr}(G)$ is the set of irreducible characters of G . Consider the set

$$Z_{\omega} = \{\chi \mid L(E/K \otimes \chi, \omega) = 0\}.$$

Define

$$H_\omega = \bigcap_{\chi \in Z_\omega} \text{Ker } \chi.$$

Then H_ω is a normal subgroup of G and we let F_ω denote its fixed field, which is Galois over K . Using the holomorphy of $L(E/K \otimes \chi, s)$, it is easy to see that $\text{ord}_{s=\omega} L(E/F, s) = \text{ord}_{s=\omega} L(E/F_\omega, s)$.

Now let M be any field between F and K . Put $H = \text{Gal}(F/M)$ and let 1_H be the identity character of H . We have

$$\text{Ind}_H^G 1_H = \sum_{\chi \in \text{Irr}(G)} a_\chi \chi, \quad 0 \leq a_\chi \leq \chi(1), \quad a_\chi \in \mathbb{Z}.$$

Thus,

$$L(E/M, s) = L(E/K \otimes \text{Ind}_H^G 1_H, s) = \prod_{\chi \in \text{Irr}(G)} L(E/K \otimes \chi, s)^{a_\chi}.$$

This shows that if $\text{ord}_{s=\omega} L(E/M, s) = \text{ord}_{s=\omega} L(E/F, s)$, then

$$\sum a_\chi n_\chi = \sum \chi(1) n_\chi$$

where n_χ denotes the order of $L(E/K \otimes \chi, s)$ at $s = \omega$. Hence, $a_\chi = \chi(1)$ for all $\chi \in Z_\omega$. We have

$$a_\chi = \langle \text{Ind}_H^G 1_H, \chi \rangle_G = \langle 1_H, \chi|_H \rangle_H = \frac{1}{|H|} \sum_{g \in H} \chi(g).$$

Now if $a_\chi = \chi(1)$, then as $|\chi(g)| \leq \chi(1)$, we must have $\chi(g) = \chi(1)$ for all $g \in H$ and therefore $H \subset \text{Ker } \chi$ and this holds for all $\chi \in Z_\omega$. In other words $H \subset H_\omega$. This proves that $F_\omega \subseteq M$. ■

Definition We say that E satisfies the Taniyama conjecture over a field K if the L -function $L(E/K, s)$ is the L -function $L(\pi, s)$ of an automorphic representation of $\text{GL}_2(\mathbb{A}_K)$, where \mathbb{A}_K is the adèle ring of K .

Proposition 7 Suppose that E satisfies the Taniyama conjecture over K . Let F be a solvable extension of K and let χ be a character of $G = \text{Gal}(F/K)$. Then, $L(E/K \otimes \chi, s)$ is holomorphic at $s = \omega$ if ω is a simple zero of $L(E/F, s)$.

Proof Let H be a subgroup of G and let χ and ψ denote irreducible characters of G and H . Set

$$\theta_G = \sum_{\chi} n_\chi \chi, \quad \theta_H = \sum_{\psi} n_\psi \psi$$

where n_χ and n_ψ denote the orders of zeros of $L(E/K \otimes \chi, s)$ and $L(E/F^H \otimes \psi, s)$ at $s = \omega$ respectively (F^H is the fixed field of H in F/K). By Proposition 1 of [12]

$$(*) \quad \theta_G|_H = \theta_H.$$

Suppose g is an element of G and let $H = \langle g \rangle$ be the cyclic group generated by g . Then, $L(E/F^H \otimes \psi, s)$ is analytic (see [12], p. 492, Proof of Theorem 2) and since

$$L(E/F, s) = \prod_{\psi} L(E/F^H \otimes \psi, s)^{\psi(1)}$$

and $\text{ord}_{s=\omega} L(E/F, s) = 1$, then $\theta_H = \psi$ for some irreducible character ψ of H . From (*), $\theta_G(g)$ is a root of unity and therefore

$$\begin{aligned} \sum_{\chi} n_{\chi}^2 &= \left\langle \sum_{\chi} n_{\chi} \chi, \sum_{\chi} n_{\chi} \chi \right\rangle \\ &= \frac{1}{|G|} \sum_{g \in G} |\theta_G(g)|^2 = 1. \end{aligned}$$

This shows that all n_{χ} 's except one are 0. By taking $H = \langle 1 \rangle$, we have $\theta_G(1) = 1$ and thus the remaining n_{χ} is 1. This proves that $L(E/K \otimes \chi, s)$ is analytic at $s = \omega$. ■

Corollary 1 Under the assumptions of the above proposition F_{ω} exists. Moreover, F_{ω} is a cyclic extension of K . If ω is real, $[F_{\omega} : K] \leq 2$.

Proof By the previous proposition $L(E/K \otimes \chi, s)$ is holomorphic at $s = \omega$, thus if $\text{ord}_{s=\omega} L(E/F, s) = 1$ then there is a $\chi \in \text{Irr}(G)$ such that $\text{ord}_{s=\omega} L(E/K \otimes \chi, s) = 1$ and $\chi(1) = 1$. Now by Proposition 6, F_{ω} is the fixed field of $\text{Ker } \chi$. Since χ is one dimensional F_{ω} is a cyclic extension of K . Moreover, if ω is real

$$\text{ord}_{s=\omega} L(E/K \otimes \bar{\chi}, s) = \text{ord}_{s=\omega} L(E/K \otimes \chi, s).$$

Hence, $\chi = \bar{\chi}$. ■

Remark 5 Let F be a Galois extension of K , then Corollary 1 is still true if E is an elliptic curve with complex multiplication. Note that in this case, we can remove the hypothesis that F/K is solvable, as E satisfies the Taniyama conjecture over any Galois extension of K (see [12], p. 488, Lemma 2).

Corollary 2 Let E be an elliptic curve defined over a number field K . Suppose that E has complex multiplication by an order in an imaginary quadratic field contained in K . Let F be a Galois extension of K and let χ be a character of $G = \text{Gal}(F/K)$. Then, $L(E/K \otimes \chi, s)$ is holomorphic at $s = \omega$ if ω is a double zero of $L(E/F, s)$, and ω is real. Moreover, F_{ω} exists and F_{ω} is a cyclic extension of K .

Proof We have the factorization

$$L(E/K, s) = L(\psi_K, s)L(\bar{\psi}_K, s)$$

where ψ_K is a Hecke character of K . Over F ,

$$L(E/F, s) = L(\psi_F, s)L(\bar{\psi}_F, s)$$

where ψ_F denotes the restriction of ψ_K to $\text{Gal}(\bar{F}/F)$. As ω is real, both factors on the right vanish at $s = \omega$. As $\text{ord}_{s=\omega} L(E/F, s) = 2$, it follows that

$$\text{ord}_{s=\omega} L(\psi_F, s) = \text{ord}_{s=\omega} L(\overline{\psi_F}, s) = 1.$$

Now the argument of Proposition 7 implies that all $L(\psi_K \otimes \chi, s)$ are holomorphic at $s = \omega$ and that F_ω exists and is a cyclic extension of K . ■

Finally, we show that we can replace the assumption of holomorphy in the statement of Proposition 6, with a milder condition if we assume that E has complex multiplication and F is contained in a solvable extension of K (F/K is not necessarily Galois).

Proposition 8 *Suppose that F/K has solvable normal closure, and let E be an elliptic curve defined over K which has complex multiplication. Suppose that for any two subfields M_1 and M_2 with the property that*

$$\text{ord}_{s=\omega} L(E/M_1, s) = \text{ord}_{s=\omega} L(E/M_2, s) = \text{ord}_{s=\omega} L(E/F, s)$$

the quotient

$$\frac{L(E/M_1M_2, s)L(E/M_1 \cap M_2, s)}{L(E/M_1, s)L(E/M_2, s)}$$

is holomorphic at $s = \omega$. Then the analytic minimal subfield F_ω exists.

Proof Let \mathcal{S} be the set of subfields M of F with

$$\text{ord}_{s=\omega} L(E/M, s) = \text{ord}_{s=\omega} L(E/F, s).$$

We prove that \mathcal{S} is closed under intersections and thus has a minimal element. Let M_1 and M_2 be in \mathcal{S} , then by the hypothesis

$$\frac{L(E/M_1M_2, s)L(E/M_1 \cap M_2, s)}{L(E/M_1, s)L(E/M_2, s)}$$

is holomorphic at ω . Moreover, by the main result of [12] (see Theorem 1), $L(E/M_1, s)$ divides $L(E/M_1M_2, s)$ and $L(E/M_1M_2, s)$ divides $L(E/F, s)$. Thus,

$$\text{ord}_{s=\omega} L(E/M_1, s) \leq \text{ord}_{s=\omega} L(E/M_1M_2, s) \leq \text{ord}_{s=\omega} L(E/F, s)$$

and therefore we have equality throughout. Hence,

$$\text{ord}_{s=\omega} L(E/M_1 \cap M_2, s) \geq \text{ord}_{s=\omega} L(E/F, s).$$

The reverse inequality also holds (as $L(E/M_1 \cap M_2, s)$ divides $L(E/F, s)$). This proves that \mathcal{S} has a minimal element F_ω . ■

Remark 6 Note that the assumption of holomorphy in the previous proposition is implied by the holomorphy of $L(E/K \otimes \chi, s)$ at $s = \omega$ (see [23], p. 151, Lemma 12).

Remark 7 Proposition 8 is also true, in the case that E satisfies the Taniyama conjecture over K and F is a solvable extension of K .

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