

# CONSTRUCTION OF PRINCIPAL FUNCTIONS BY ORTHOGONAL PROJECTION

MITSURU NAKAI AND LEO SARIO

**1. Normal operators.** Given a point set  $E$  on an open Riemann surface  $V$  we denote by  $H(E)$  the space of functions  $u$  harmonic in open sets  $O(u)$  containing  $E$ . Let  $V_0$  be a regular region of  $V$  with border  $\alpha$ , and consider restrictions  $f$  to  $\alpha$  of functions in  $H(\alpha)$ . For  $V_1 = V - \bar{V}_0$ , an operator  $L$  from  $H(\alpha)$  to  $H(\bar{V}_1)$  is, by definition, *normal* if

- (1)  $Lf = f$  on  $\alpha$ ,
- (2)  $L(c_1 f_1 + c_2 f_2) = c_1 L(f_1) + c_2 L(f_2)$ ,
- (3)  $L1 = 1$ ,
- (4)  $Lf \geq 0$  for  $f \geq 0$ ,
- (5)  $\int_{\alpha} *dLf = 0$ .

For general properties of normal operators we refer to Ahlfors **(1)**, Ahlfors and Sario **(2)**, Oikawa **(5, 6)**, Rodin **(7)**, Sario **(8, 9, 10)**, Sario, Schiffer, and Glasner **(11)**, Sario and Weill **(12)**, and Weill **(13)**.

Let  $\Omega$  be a regular region with border  $\beta_{\Omega}$  such that  $\bar{V}_0 \subset \Omega$ . For a given  $f$  denote by  $u_{\Omega}$  the harmonic function in  $\bar{\Omega} \cap \bar{V}_1$  with  $u_{\Omega}|_{\alpha} = f$ ,  $u_{\Omega}|_{\beta_{\Omega}} = \text{const}$ ,  $\int_{\alpha} *du_{\Omega} = 0$ . As  $\Omega$  exhausts  $V$ ,  $u_{\Omega}$  tends to a harmonic limit  $u = L_1 f$  on  $\bar{V}_1$ , where  $L_1$  is a normal operator. Using Royden's compactification we shall first show (Theorem 1) that a normal operator  $L$  is  $L_1$  if and only if, in a sense,  $Lf$  is constant on the ideal boundary of  $V$ .

**2. Principal functions.** The *principal function problem* consists in constructing, for a given  $s \in H(\bar{V}_1)$  and given  $L$ , a function  $p \in H(V)$  such that

$$(6) \quad p|_{\bar{V}_1} = s + L(p - s|_{\alpha}).$$

It is known that the condition

$$(7) \quad \int_{\alpha} *ds = 0$$

is necessary and sufficient for the solvability of the problem **(9)**. The solution, called the *principal function*, is unique up to an additive constant. The function  $s$  is interpreted as having a singularity on the ideal boundary of  $V$  and is called the *singularity function*.

---

Received January 17, 1966. This work was sponsored by the U.S. Army Research Office—Durham, Grant DA-AROD-31-124-G 742, University of California, Los Angeles.

We shall show that for any given  $V$  and  $s$  with (7) the existence of the principal function corresponding to  $L_1$  can be proved by the method of orthogonal projection (Theorem 2). It should be noted here that an inequality of the Poincaré type (Lemma 3) takes the place of the Harnack inequality in the existence proof. It is hoped that our study, methodological in nature, will also pave the road to the solution of the main problem, the construction of principal forms in Riemannian spaces.

Reference is made here to the recent interesting Research Announcement by Browder (3). Although there were some technical difficulties in applying his approach to prove earlier results or to extend them in the original direction, his Announcement threw new light on the entire principal function problem and was indeed the immediate incentive to the present study.

**3. Weyl’s lemma.** We denote by  $\Gamma = \Gamma(V)$  the space of real measurable 1-forms  $\omega$  on  $V$  with

$$\int_V \omega \wedge *\omega < \infty.$$

With the inner product

$$(\omega_1, \omega_2) = \int_V \omega_1 \wedge *\omega_2$$

and the norm  $\|\omega\| = \sqrt{(\omega, \omega)}$ ,  $\Gamma$  becomes a Hilbert space. Let  $\Gamma_e^1$  be the subspace of  $\Gamma$  of continuous exact differentials:

$$\Gamma_e^1 = \{df|f \in C^1(V), df \in \Gamma\}.$$

The closure of  $\Gamma_e^1$  in  $\Gamma$  is denoted by  $\Gamma_e$ . We also consider the space  $\Gamma_{e0}^1$  of continuous exact differentials with compact supports in  $V$ , i.e.

$$\Gamma_{e0}^1 = \{df|f \in C_0^1(V)\}.$$

Then  $\Gamma_{e0}^1 \subset \Gamma_e$ , and we denote by  $\Gamma_{e0}$  the closure of  $\Gamma_{e0}^1$  in  $\Gamma$ . We shall use Weyl’s lemma in the following form (2):

LEMMA 1. *If an element  $\alpha$  in  $\Gamma_e$  is orthogonal to  $\Gamma_{e0}^1$ , then there exists a function  $u$  in  $HD(V)$  such that  $\alpha = du$ , and vice versa.*

**4. Royden’s boundary.** A real-valued continuous function  $f$  on  $V$  is said to be a *continuous Dirichlet function* if there exists an  $\omega$  in  $\Gamma(V)$  such that

$$\int_V \omega \wedge \omega_0 = -\int_V f d\omega_0$$

for any  $C^2$ -form  $\omega_0$  on  $V$  with compact support; we set  $\omega = df$ . Denote by  $R(V)$  the family of continuous Dirichlet functions on  $V$  and by  $R_0(V)$  the subfamily of functions with compact supports in  $V$ . For  $f, g \in R(V)$  we set

$$\rho(f, g) = \|df - dg\| + \sum_{n=1}^{\infty} 2^{-n} \sup_{K_n} |f - g| \cdot (1 + |f - g|)^{-1},$$

where  $\{K_n\}$  is an exhaustion of  $V$ . Endowed with  $\rho$ ,  $R(V)$  is a complete metric space. Let  $R_\delta(V)$  be the closure of  $R_0(V)$  in  $R(V)$  in terms of this metric  $\rho$ .

The *Royden compactification*  $V^*$  of  $V$  is the compact Hausdorff space with the following two properties: (a) it contains  $V$  as its open and dense subspace such that every function in  $R(V)$  can be extended continuously to  $V^*$  with infinite values admitted; (b)  $R(V)$ , considered as a family of functions on  $V^*$ , separates points in  $V^*$ . The compact set  $\beta = V^* - V$  is the *Royden boundary* of  $V$ . The set

$$\delta = \{p \in V^* | f(p) = 0 \text{ for every } f \in R_\delta(V)\}$$

is a compact subset of  $\beta$ . We call  $\delta$  the *Royden harmonic boundary* of  $V$ . For details and fundamental properties of these concepts we refer to **(4)**.

LEMMA 2. *Let  $u$  be a function in  $HD(V_1)$  such that  $du$  can be continued to all of  $V$  so as to be a 1-form  $\omega$  in  $\Gamma_{e_0}(V)$ . Then  $u$  is finitely continuous on*

$$V_1 \cup \beta = V^* - \bar{V}_0$$

and a constant on  $\delta$ .

*Proof.* We take a smaller boundary neighbourhood  $V'_1$  with  $\bar{V}'_1 \subset V_1$ , if necessary, to assume that  $u \in HD(\bar{V}'_1)$ . Then we can continue  $u$  to  $V$  as a function  $u_0$  in  $C^1(V)$ . Clearly  $u_0 \in R(V)$ ; thus  $u_0$  is continuous on  $V^*$  and a fortiori  $u$  is continuous on  $V_1 \cup \beta$ , with infinite values admitted. If  $V \in O_G$ , then  $\beta = \emptyset$ ,  $HD(\bar{V}'_1) = HB(\bar{V}'_1)$ , and the assertion is trivial. Therefore we may assume that  $V \notin O_G$ .

Since  $R(V) = HD(V) + R_\delta(V)$ , there exists a function  $v \in HD(V)$  such that  $u_0 - v \in R_\delta(V)$ . Then  $d(u_0 - v) \in \Gamma_{e_0}$  and consequently  $dv \in \Gamma_{e_0}$ . By Lemma 1,  $dv$  is orthogonal to  $\Gamma_{e_0}^1$  and hence to  $\Gamma_{e_0}$ . In particular,

$$\|dv\|^2 = (dv, dv) = 0,$$

which means that  $u_0 - \text{const} \in R_\delta(V)$ , or  $u_0 = u = \text{const}$  on  $\delta$ . By the maximum principle,  $u$  is finitely continuous at  $\beta$ .

**5. A characterization of the  $L_1$ -operator.** We can now give a characterization of the operator  $L_1$  in terms of the Royden compactification: it is a normal operator such that  $L_1 f$  is finitely continuous at the Royden boundary  $\beta$  and a constant  $c_f$  on the Royden harmonic boundary  $\delta$  for every  $f$  in  $H(\alpha)$ . Explicitly, we have for a given  $u \in H(\bar{V}'_1)$ :

THEOREM 1. *Necessary and sufficient for  $L_1 u = u$  is that  $u$  satisfies the following conditions:*

(8)  $u$  is finitely continuous on  $V_1 \cup \beta$ ,

(9)  $u$  is a constant on  $\delta$ ,

(10)  $\int_\alpha^* du = 0$ .

*Proof.* If  $V \in O_G$ , the properties  $L_1 u = u$  and (8) are each equivalent to  $u \in HB(\bar{V}_1) = HD(\bar{V}_1)$ , which includes (10). Condition (9) is trivially satisfied by every  $u$  in  $H(\bar{V}_1)$  because  $\delta = \emptyset$ . Thus, we have only to consider the case  $V \notin O_G$ .

First assume that  $L_1 u = u$ . Let  $\{U_n\}_{n=0}^\infty$  be an exhaustion of  $V$  by regular regions, with  $U_0 = V_0$ . For  $f$  in  $H(\alpha)$ , let  $S_n f$  be the harmonic function in  $\bar{U}_n - U_0$  with continuous boundary value 0 on  $\partial U_n$  and  $f$  on  $\alpha = \partial V_0 = \partial U_0$ . Let  $f_0 \in C^1(\bar{U}_0)$  such that  $f_0 = f$  on  $\alpha$ . We set  $S'_n f = S_n f$  on  $\bar{U}_n - U_0$ ,  $S'_n f = f_0$  on  $\bar{U}_0$ , and  $S'_n f = 0$  on  $V - U_n$ . Then  $S'_n f \in R_0(V)$  and since

$$\|d(S'_n f) - d(S'_{n+m} f)\|^2 = \|d(S'_n f)\|^2 - \|d(S'_{n+m} f)\|^2,$$

we see that  $\{S'_n f\}_{n=1}^\infty$  converges to a function, say  $S'f$ , on  $V$  in the  $\rho$ -metric. Hence  $S'f \in HD(\bar{V}_1)$ ,  $S'f|_\alpha = f$ ,  $S'f$  is continuous on  $V_1 \cup \beta$ , and  $S'f = 0$  on  $\delta$ . Moreover  $\|d(S'_n f) - d(S'f)\|_{V_1} \rightarrow 0$  as  $n \rightarrow \infty$ , and  $S'_n f$  converges to  $S'f$  uniformly in compact sets of  $\bar{V}_1 = V_1 \cup \alpha$ . It follows that

$$(11) \quad \lim_{n \rightarrow \infty} \int_\alpha *d(S_n f) = \int_\alpha *d(S'f).$$

Let  $w_n$  be harmonic in  $\bar{U}_n - U_0$  with  $w_n|_{\partial U_0} = 1$ ,  $w_n|_\alpha = 0$ . Similarly let  $w$  be continuous on  $V_1 \cup \beta$  and harmonic in  $V_1$  with boundary value 1 at  $\delta$  and 0 at  $\alpha$ . By the same argument as above,  $\|dw'_n - dw\|_{V_1} \rightarrow 0$  as  $n \rightarrow \infty$ , and  $w'_n$  converges to  $w$  uniformly in compact sets of  $\bar{V}_1 = V_1 \cup \alpha$ ; here we set  $w'_n = w_n$  on  $\bar{U}_n - U_0$  and  $w'_n = 1$  on  $V - U_n$ . We have

$$\int_\alpha *dw_n = - \int_{\partial U_n} *dw_n = -\|dw'_n\|_{V_1}^2.$$

Hence

$$(12) \quad \lim_{n \rightarrow \infty} \int_\alpha *dw_n = \int_\alpha *dw = -\lim_{n \rightarrow \infty} \|dw'_n\|_{V_1}^2 = -\|dw\|^2 < 0.$$

If we put

$$L_1^{(n)} f = S_n f - \left( \int_\alpha *d(S_n f) / \int_\alpha *dw_n \right) w_n,$$

then  $L_1^{(n)} f$  is constant on  $\partial U_n$  and

$$\int_\alpha *d(L_1^{(n)} f) = 0.$$

Thus by the definition of  $L_1 f$ ,  $L_1 f = \lim_n L_1^{(n)} f$  on  $V$ . Using (11) and (12), we obtain the representation

$$(13) \quad L_1 f = S'f - \left( \int_\alpha *d(S'f) / \int_\alpha *dw \right) w.$$

By the properties of  $S'f$  and  $w$ ,  $L_1 f$  is continuous on  $V_1 \cup \beta$  and a constant on  $\delta$ . Thus, in particular,  $u = L_1 u$  satisfies (8), (9), and (10).

Conversely assume that  $u$  satisfies these three conditions. As above, the same is true of  $L_1 u$  and of  $v = u - L_1 u$ . Let  $v = c$  on  $\delta$ . Then  $v = cw$  and, by (12), we must have  $c = 0$ . Therefore  $v \equiv 0$  on  $V_1$ .

These arguments are based on the fact that every function in  $HD(\bar{V}_1)$  takes its maximum and minimum on  $\alpha \cup \delta$ .

**6. Fundamental inequality.** Before embarking on the existence proof by the method of orthogonal projection we need the following lemma; it plays a role in our proof of equal importance to the  $q$ -lemma in (9).

LEMMA 3. *Let  $\omega$  be a fixed continuous 1-form defined on  $\alpha$  such that*

$$\int_{\alpha} \omega = 0.$$

*Then there exists a constant  $c$  depending only on  $V_0$  and  $\omega$  such that*

$$(14) \quad \left| \int_{\alpha} \phi \omega \right|^2 \leq c \int_{V_0} d\phi \wedge *d\phi$$

*for every  $\phi \in C^1(V_0) \cap C(\bar{V}_0)$ .*

*Proof.* First we note that we have only to prove (14) for  $\phi$  in  $H(V_0) \cap C(\bar{V}_0)$ . In fact, for  $\phi \in C^1(\bar{V}_0)$  we let  $h_{\phi}$  be the harmonic function in  $V_0$  with continuous boundary value  $\phi$  at  $\alpha = \partial V_0$ . Since

$$\int_{V_0} dh_{\phi} \wedge *dh_{\phi} \leq \int_{V_0} d\phi \wedge *d\phi$$

and

$$\int_{\alpha} h_{\phi} \omega = \int_{\alpha} \phi \omega,$$

the validity of (14) for  $h_{\phi}$  gives that for  $\phi$ . We therefore may and will assume in the following that  $\phi \in H(V_0) \cap C(\bar{V}_0)$ .

Let  $z_0$  be a fixed point in  $V_0$  and let  $g_0(z, z_0)$  be Green's function on  $V_0$ . We put

$$r(z) = \exp(-g_0(z, z_0)), \quad d\theta(z) = -*dg_0(z, z_0),$$

and form Green's star domain  $V'_0$  of  $g_0(z, z_0)$  on  $V_0$ . Explicitly  $V'_0$  is obtained from  $V_0$  by removing all closures of Green's lines issuing from the branch point of  $g_0(z, z_0)$  in  $V_0$ . Then  $r(z)e^{i\theta(z)}$  maps  $V'_0$  onto a unit disk with a finite number of radial slits issuing from some point in the disk different from the origin and terminating at the unit circumference in a one-to-one and conformal fashion,  $\alpha$  corresponding to the unit circumference. Fix a positive number  $a$  such that  $0 < 2a < 1$  and  $\{z|z \in V_0, r(z) \leq 2a\}$  is the disk in  $V'_0$ . We write  $\phi_r(\theta) = \phi(re^{i\theta})$ , which can be considered as an element in  $L^2(0, 2\pi)$  with norm

$$|\phi_r|_2 = \sqrt{\int_0^{2\pi} |\phi_r(\theta)|^2 d\theta}.$$

First assume that  $\phi(z_0) = 0$ . Except for a finite number of values  $\theta$ ,

$$\phi(e^{i\theta}) - \phi(ae^{i\theta}) = \int_a^1 \frac{\partial}{\partial r} \phi(re^{i\theta}) dr.$$

By Schwarz's inequality,

$$|\phi_1(\theta) - \phi_a(\theta)|^2 \leq \int_a^1 \left| \frac{\partial}{\partial r} \phi(re^{i\theta}) \right|^2 r dr \cdot \int_a^1 r^{-1} dr.$$

Hence on integrating both sides over  $(0, 2\pi)$  and on observing that

$$\left| \frac{\partial}{\partial r} \phi(re^{i\theta}) \right|^2 \leq \left| \frac{\partial}{\partial r} \phi(re^{i\theta}) \right|^2 + r^{-2} \left| \frac{\partial}{\partial \theta} \phi(re^{i\theta}) \right|^2 = |\text{grad } \phi|^2,$$

we obtain

$$(15) \quad |\phi_1 - \phi_a|_2 \leq \sqrt{\log a^{-1}} \cdot \sqrt{\int_{V_0} d\phi \wedge *d\phi}.$$

Let  $*\phi(z)$  be the conjugate harmonic function of  $\phi(z)$  in  $|z - z_0| < 2a$  such that  $*\phi(z_0) = 0$ . Consider the analytic function  $f(z) = \phi(z) + i*\phi(z)$  in  $|z - z_0| < 2a$ . Since  $f(z_0) = 0$ , we have

$$f(z) = \int_{z_0}^z f'(z) dz$$

and thus

$$|\phi(z)| \leq |f(z)| \leq |z - z_0| \max_{|z-z_0| \leq a} |f'(z)|$$

for  $|z - z_0| \leq a$ . In particular

$$(16) \quad |\phi_a(\theta)|^2 \leq a^2 \max_{|z-z_0| \leq a} |f'(z)|^2.$$

As  $|f'(z)|^2$  is subharmonic in  $|z - z_0| < 2a$ ,

$$|f'(z)|^2 \leq (\pi a^2)^{-1} \int_{|\zeta-z| \leq a} |f'(\zeta)|^2 d\xi d\eta$$

for  $z$  in  $|z - z_0| \leq a$ , with  $\zeta = \xi + i\eta$ . Since  $|f'(\zeta)|^2 d\xi d\eta = d\phi \wedge *d\phi$  in  $|z - \zeta| \leq a$ , we conclude that

$$|f'(z)|^2 \leq (\pi a^2)^{-1} \int_{V_0} d\phi \wedge *d\phi.$$

This with (16) gives

$$|\phi_a(\theta)|^2 \leq \pi^{-1} \int_{V_0} d\phi \wedge *d\phi$$

and therefore

$$(17) \quad |\phi_a|_2 \leq \sqrt{2} \sqrt{\int_{V_0} d\phi \wedge *d\phi}.$$

Since  $|\phi_1|_2 \leq |\phi_1 - \phi_a|_2 + |\phi_a|_2$ , we infer from (15) and (17) that

$$(18) \quad \int_0^{2\pi} |\phi(e^{i\theta})|^2 d\theta \leq c_1 \int_{V_0} d\phi \wedge *d\phi$$

where  $c_1 = 2 + \log a^{-1}$  depends only on  $V_0$ . By a piecewise analytic representation of  $\alpha$  with parameter  $\theta$ ,  $\omega$  can be expressed as  $\omega = \Omega(\theta)d\theta$  on  $\alpha$ . Here  $\Omega(\theta)$  is bounded, say  $|\Omega(\theta)| \leq c_2$ , and piecewise continuous on  $\alpha$ , with  $c_2$  depending only on  $\omega$  on  $V_0$ . By Schwarz's inequality and (18) we obtain

$$\begin{aligned} \left| \int_\alpha \phi\omega \right|^2 &= \left| \int_0^{2\pi} \phi(e^{i\theta}) \cdot \Omega(\theta)d\theta \right|^2 \\ &\leq \int_0^{2\pi} |\phi(e^{i\theta})|^2 d\theta \cdot \int_0^{2\pi} |\Omega(\theta)|^2 d\theta \\ &\leq c \int_0^{2\pi} d\phi \wedge *d\phi \end{aligned}$$

where  $c = c_1 \cdot c_2^2$  depends on  $\omega$  and  $V_0$ .

Next consider  $\phi \in H(V_0) \cap C(\bar{V}_0)$ , with not necessarily  $\phi(z_0) = 0$ ; then  $\tilde{\phi} = \phi - \phi(z_0)$  satisfies  $\tilde{\phi}(z_0) = 0$ . By the above reasoning,

$$(19) \quad \left| \int_\alpha \tilde{\phi}\omega \right|^2 \leq c \int_{V_0} d\tilde{\phi} \wedge *d\tilde{\phi}.$$

In view of the assumption

$$\int_\alpha \omega = 0$$

we have

$$\int_\alpha \tilde{\phi}\omega = \int_\alpha \phi\omega - \phi(z_0) \int_\alpha \omega = \int_\alpha \phi.$$

Obviously  $d\tilde{\phi} = d\phi$  and substitution in (19) gives (14) for  $\phi$ .

**7. Existence proof by orthogonal projection.** We proceed to the proof by orthogonal projection of the existence of principal functions:

**THEOREM 2.** *Let  $s$  be a harmonic function on  $V_1 \cup \alpha$  with property (7). Then there exists a harmonic function  $p$  on  $V$  which satisfies equation (6).*

*Proof.* First we extend  $s$  to all of the surface  $V$  as a function  $s_0 \in C^2(V)$ . For  $\omega \in \Gamma_{e_0^1}$  we put

$$(20) \quad T(\omega) = - \int_V \omega \wedge *ds_0 = - \int_V ds_0 \wedge *\omega.$$

This is well-defined because  $\omega$  has compact support and  $\omega \wedge *ds_0 = ds_0 \wedge *\omega$  is a continuous 2-form with compact support in  $V$ . Clearly  $T$  gives rise to a linear operator on  $\Gamma_{e_0^1}$ .

We shall show next that  $T$  is a bounded linear operator on  $\Gamma_{e0^1}$ . Take a regular region  $W \subset V$  which contains  $\bar{V}_0$  and the support of  $\omega \in \Gamma_{e0^1}$ . Then

$$(21) \quad -T(\omega) = \int_{\bar{V}_0} \omega \wedge *ds_0 + \int_{W-\bar{V}_0} \omega \wedge *ds_0.$$

By Schwarz's inequality

$$(22) \quad \left| \int_{\bar{V}_0} \omega \wedge *ds_0 \right| \leq \sqrt{\int_{\bar{V}_0} ds_0 \wedge *ds_0} \cdot \|\omega\|.$$

Since  $\omega \in \Gamma_{e0^1}$ , there exists a function  $\phi$  in  $C^1(V)$  with its support in  $W$  and such that  $\omega = d\phi$  on  $W$ . As

$$\omega \wedge *ds_0 = d\phi \wedge *ds_0 = d(\phi *ds_0) - \phi d *ds_0 = d(\phi *ds_0)$$

in  $V - \bar{V}_0$  and thus in  $W - \bar{V}_0$ , we have by Green's formula

$$(23) \quad \int_{W-\bar{V}_0} \omega \wedge *ds_0 = \int_{W-\bar{V}_0} d(\phi *ds_0) = \int_{\partial W+\alpha} \phi *ds_0 = \int_{\alpha} \phi *ds_0.$$

By virtue of

$$\int_{\alpha} *ds_0 = \int_{\alpha} *ds = 0$$

and  $\phi \in C^1(V_0) \cap C(\bar{V}_0)$ , we can apply Lemma 3 to

$$\int_{\alpha} \phi *ds_0$$

so as to obtain a constant  $c$  depending only on  $*ds_0$  and  $V_0$  and such that

$$\left| \int_{\alpha} \phi *ds_0 \right|^2 \leq c \int_{V_0} d\phi \wedge *d\phi.$$

Because of  $d\phi = \omega$  and (23) we infer that

$$(24) \quad \left| \int_{W-\bar{V}_0} \omega \wedge *ds_0 \right| \leq \sqrt{c} \|\omega\|.$$

From (21), (22), and (24), we obtain

$$(25) \quad |T(\omega)| \leq K \|\omega\|$$

for  $\omega \in \Gamma_{e0^1}$ , where

$$K = \sqrt{c} + \int_{\bar{V}_0} ds_0 \wedge *ds_0$$

depends only on  $s_0$  and  $V_0$ .

By the general Hilbert space theory,  $T$  can be extended to

$$\Gamma_{e0} = \overline{\Gamma_{e0^1}}$$



so as to satisfy (25) again on  $\Gamma_{e0}$ . Since  $\Gamma_{e0}$  is self-adjoint, there exists a unique element  $\lambda$  in  $\Gamma_{e0}$  such that

$$(26) \quad T(\omega) = (\omega, \lambda)$$

for  $\omega \in \Gamma_{e0}$ . In particular, by (20) and (26),

$$(27) \quad \int_V (\lambda + ds_0) \wedge *\omega = 0$$

for  $\omega \in \Gamma_{e0^1}$ .

Again let  $\{U_n\}_{n=0}^\infty$  be an exhaustion of  $V$  with  $U_0 = V_0$ . Although  $\lambda + ds_0$  is not an element of  $\Gamma_e(V)$  in general, we can conclude that

$$\lambda + ds_0 \in \Gamma_e(U_n),$$

because

$$\lambda \in \Gamma_{e0}(V) \subset \Gamma_e(V) \subset \Gamma_e(U_n)$$

and  $s_0 \in C^2(\bar{U}_n)$  and hence  $ds_0 \in \Gamma_e(U_n)$ . Since (27) holds for

$$\omega \in \Gamma_{e0^1}(U_n) \subset \Gamma_{e0^1}(V),$$

there exists by Lemma 1 a  $q_n \in HD(U_n)$  such that  $dq_n = \lambda + ds_0$  on  $U_n$ . Clearly  $dq_{n+m} = dq_n$  on  $U_n$  and therefore  $q_{n+m} = q_n + \text{const}$  on  $U_n$ . Let  $c_n$  be a constant such that  $q_{n+1} = q_n + c_n$  on  $U_n$  and set  $p_1 = q_1$  on  $U_1$ ,  $p_n = q_n - c_{n-1}$  on  $U_n$  with  $n > 1$ . Then  $p_n \in HD(U_n)$  and  $dp_n = \lambda + ds_0$  on  $U_n$  and  $p_{n+m} = p_n$  on  $U_n$ . Thus if we put

$$(28) \quad p(z) = p_n(z)$$

for  $z$  in  $U_n$ , then  $p(z)$  does not depend on the choice of  $U_n$  to which  $z$  belongs. Therefore  $p \in H(V)$  and

$$(29) \quad dp = \lambda + ds_0.$$

The function  $u = p - s_0$  belongs to  $C^2(V)$  and clearly

$$(30) \quad u \in H(\bar{V}_1)$$

together with  $p$  and  $s_0$ . Since

$$\int_\alpha *dp = \int_{V_0} d*dp = \int_{V_0} \Delta p = 0,$$

we have by (7)

$$(31) \quad \int_\alpha *du = 0.$$

From  $du = \lambda \in \Gamma_{e0}$ ,  $u \in HD(V_1)$ , and Lemma 2, it follows that

$$(32) \quad u \in C(V_1 \cup \beta), \quad u = \text{const on } \delta.$$

On applying Theorem 1 to this  $u$ , we conclude by (30), (32), and (31) that  $L_1 u = u$  on  $\bar{V}_1 = V_1 \cup \alpha$ . In view of  $u = p - s_0 = p - s$  on  $\alpha \cup V_1$ , we have  $L_1(p - s) = p - s$  on  $V_1$ , i.e.  $p$  satisfies (6).

## REFERENCES

1. L. V. Ahlfors, *Remarks on Riemann surfaces, Lectures on functions of a complex variable* (Ann Arbor, 1955), pp. 45–48.
2. L. V. Ahlfors and L. Sario, *Riemann surfaces* (Princeton, N.J., 1960).
3. F. E. Browder, *Principal functions for elliptic systems of differential equations*, Bull. Amer. Math. Soc., *71* (1965), 342–344.
4. C. Constantinescu and A. Cornea, *Ideale Ränder Riemannscher Flächen* (Berlin, 1963).
5. K. Oikawa, *A remark to Sario's lemma on harmonic functions*, Proc. Amer. Math. Soc., *11* (1960), 425–428.
6. ——— *A constant related to harmonic functions*, Japan. J. Math., *29* (1959), 111–113.
7. B. Rodin, *Reproducing kernels and principal functions*, Proc. Amer. Math. Soc., *13* (1962), 982–992.
8. L. Sario, *Existence des fonctions d'allure donnée sur une surface de Riemann arbitraire*, C. R. Acad. Sci. Paris, *229* (1949), 1293–1295.
9. ——— *A linear operator method on arbitrary Riemann surfaces*, Trans. Amer. Math. Soc., *72* (1952), 281–295.
10. ——— *An integral equation and a general existence theorem for harmonic functions*, Comment. Math. Helv., *38* (1964), 284–292.
11. L. Sario, M. Schiffer, and M. Glasner, *The span and principal functions in Riemannian spaces*, J. Analyse Math., *15* (1965), 115–134.
12. L. Sario and G. Weill, *Normal operators and uniformly elliptic self-adjoint partial differential equations*, Trans. Amer. Math. Soc., *120* (1965), 225–235.
13. G. Weill, *Capacity differentials on open Riemann surfaces*, Pacific J. Math., *12* (1962), 769–776.

*Nagoya University and  
University of California, Los Angeles*