

**ANALYTIC DISCS IN THE POLYNOMIAL HULL OF A DISC  
 FIBRATION OVER THE SPHERE**

MIRAN ČERNE

It is shown that for each point  $p$  in the interior of the polynomial hull of a disc fibration  $X$  over the unit sphere  $\partial\mathbb{B}^n$  there exists an  $H^\infty$  analytic disc with boundary in  $X$  and passing through  $p$ .

1. INTRODUCTION

Let  $\mathbb{B}^n = \{z \in \mathbb{C}^n; |z| < 1\}$  be the open unit ball centred at the point 0 in the  $n$  dimensional complex space  $\mathbb{C}^n$ . Let  $\varphi$  be a continuous function on the unit sphere  $\partial\mathbb{B}^n$ . In this short note we investigate the presence of analytic discs in the polynomial hull of the compact set

$$X = \{(z, w) \in \partial\mathbb{B}^n \times \mathbb{C}; |w| \leq e^{-\varphi(z)}\}$$

fibred over  $\partial\mathbb{B}^n$ . Recall that the polynomial hull  $\widehat{K}$  of a compact set  $K \subseteq \mathbb{C}^m$  is defined as

$$\widehat{K} = \left\{ z \in \mathbb{C}^m; |p(z)| \leq \max_K |p| \text{ for every polynomial } p \text{ in } m \text{ variables} \right\}$$

and that by the maximum principle the image  $F(\Delta)$  of every  $H^\infty$  holomorphic mapping  $F: \Delta \rightarrow \mathbb{C}^m$  with boundary in  $K$ , that is,  $F^*(e^{i\theta}) \in K$  for almost every  $\theta$ , belongs to the polynomial hull  $\widehat{K}$  of  $K$ . For a bounded holomorphic mapping  $F$  on  $\Delta$  the notation  $F^*$  is used to denote its almost everywhere defined boundary values.

Let  $\Phi$  be the maximal plurisubharmonic function on  $\mathbb{B}^n$ , continuous on  $\overline{\mathbb{B}^n}$ , such that  $\Phi|_{\partial\mathbb{B}^n} = \varphi$ , that is,  $\Phi$  is the unique solution on  $\mathbb{B}^n$  of the Dirichlet problem for the Monge-Ampère operator [5]

$$(1.1) \quad \begin{cases} \Phi \in \text{PSH}(\mathbb{B}^n) \cap L_{loc}^\infty(\mathbb{B}^n) \\ (dd^c \Phi)^n = 0 \text{ on } \mathbb{B}^n \\ \Phi|_{\partial\mathbb{B}^n} = \varphi \text{ on } \partial\mathbb{B}^n. \end{cases}$$

It is a classical result [4, p.99] that the polynomial hull of the set  $X$  is

$$\widehat{X} = \{(z, w) \in \overline{\mathbb{B}^n} \times \mathbb{C}; |w| \leq e^{-\Phi(z)}\}.$$

In this note we show that the interior of the polynomial hull  $\widehat{X}$  contains a lot of analytic discs with boundaries in  $X$ . More precisely, we prove the following statement:

---

Received 10th February, 2000

This work was supported in part by a grant from the Ministry of Science of the Republic of Slovenia.

---

Copyright Clearance Centre, Inc. Serial-fee code: 0004-9727/00 \$A2.00+0.00.

**PROPOSITION 1.1.** *For each point  $(z_0, w_0) \in \text{Int}(\widehat{X})$  there exists an  $H^\infty$  analytic disc  $F : \Delta \rightarrow \mathbb{C}^n \times \mathbb{C}$  with boundary in  $X$  such that  $F(0) = (z_0, w_0)$ .*

Some remarks are in order. In the case  $n = 1$ , much more was proved in the series of papers [1, 3, 9, 10]. Using the graphs of analytic functions on  $\Delta = \mathbb{B}^1$  with boundaries in  $X$ , a complete description of the polynomial hull of a fibration over the unit circle was given for geometrically much more complicated fibres, for example, in [10] it was only assumed that each fibre over the unit circle is a simply connected continuum.

In higher dimensions related results were proved by Whittlesey in [11] for disc fibrations over  $\partial\mathbb{B}^2$  of the form

$$X = \left\{ (z, w) \in \partial\mathbb{B}^2 \times \mathbb{C}; |w - \alpha(z)| \leq R(z) \right\},$$

where  $\alpha$  is a continuous complex valued function on  $\partial\mathbb{B}^2$  and  $R \in C^2(\partial\mathbb{B}^2)$  a positive real function such that  $|\alpha(z)| \leq R(z)$ ,  $z \in \partial\mathbb{B}^2$ . Working with the assumption that  $(\mathbb{B}^2 \times \mathbb{C}) \setminus \widehat{X}$  is a pseudoconvex domain, it was proved in [11] that the polynomial hull of  $X$  can be foliated by the graphs of analytic balls. On the other hand, there are examples of maximal plurisubharmonic functions on  $\mathbb{B}^n$  for which for certain points  $z \in \mathbb{B}^n$  there is no germ  $V$  of an analytic variety containing  $z$  and such that  $\Phi|_V$  is harmonic, for example, Sibony's example [2, p.73]. Therefore, in view of these examples and our result, one can not, in general, expect to get a foliation of the whole  $\widehat{X}$  with analytic discs. Namely, if (in the case  $\alpha = 0$ ) there exists a nontrivial analytic disc  $F = (f, g) : \Delta \rightarrow \widehat{X} \subseteq \mathbb{B}^n \times \mathbb{C}$  such that its image  $F(\Delta)$  touches  $\partial\widehat{X} \cap (\mathbb{B}^n \times \mathbb{C})$ , that is, if there exists  $\xi_0 \in \Delta$  such that  $F(\xi_0) \in \partial\widehat{X} \cap (\mathbb{B}^n \times \mathbb{C})$ , then, by the maximum principle for the subharmonic function

$$\xi \mapsto |g(\xi)|e^{\Phi(f(\xi))}$$

on  $\Delta$ , we actually have  $F(\Delta) \subseteq \partial\widehat{X} \cap (\mathbb{B}^n \times \mathbb{C})$ . Hence  $g(\xi) \neq 0$  and

$$\Phi(f(\xi)) = -\log|g(\xi)|$$

on  $\Delta$ . Therefore  $\Phi|_{f(\Delta)}$  is harmonic.

We also observe that in the case  $\alpha = 0$  the complement  $(\mathbb{B}^n \times \mathbb{C}) \setminus \widehat{X}$  is pseudoconvex if and only if the function  $\Phi$  is pluriharmonic on  $\mathbb{B}^n$ . In this case a foliation of  $\widehat{X}$  by the graphs of analytic balls is obvious: since  $\Phi$  is pluriharmonic on  $\mathbb{B}^n$ , there exists an analytic function  $H : \mathbb{B}^n \rightarrow \mathbb{C}$  such that  $\Phi = \text{Re}(H)$ . Then the graphs of the family of analytic functions on the ball  $H_\xi(z) := \xi e^{H(z)}$ ,  $\xi \in \overline{\Delta}$ , form a foliation of  $\widehat{X}$ .

## 2. PROOF OF THE PROPOSITION

The proof of the proposition uses Poletsky's characterisation of the maximal plurisubharmonic function with the given continuous boundary data. It was proved in [6, 7]

that for  $z \in \mathbb{B}^n$  (one may replace  $\mathbb{B}^n$  by any smoothly bounded strongly pseudoconvex domain  $\Omega \subseteq \mathbb{C}^n$ ) the value  $\Phi(z)$  of the solution  $\Phi$  of the problem (1.1) is given by

$$(2.1) \quad \Phi(z) = \inf_f \frac{1}{2\pi} \int_0^{2\pi} \varphi(f^*(e^{i\theta})) d\theta,$$

where the infimum is taken over all holomorphic mappings of the unit disc  $f : \Delta \rightarrow \mathbb{B}^n$  with  $f(0) = z$  and whose boundary values  $f^*$  satisfy  $f^*(e^{i\theta}) \in \partial\mathbb{B}^n$  for almost every  $\theta$ .

Recall that

$$\widehat{X} = \{(z, w) \in \overline{\mathbb{B}^n} \times \mathbb{C}; |w| \leq e^{-\Phi(z)}\}$$

and let  $(z_0, w_0) \in \text{Int}(\widehat{X})$ . Because each fibre

$$\widehat{X}_z = \{w \in \mathbb{C}; |w| \leq e^{-\Phi(z)}\}$$

is a disc in the complex plane with centre at 0, we have that  $w_0 = \eta e^{-\Phi(z_0)}$  for some  $\eta \in \Delta$ . By using a rotation in  $\mathbb{C}$ , we may assume that

$$(2.2) \quad w_0 = t e^{-\Phi(z_0)} \in \mathbb{R}$$

for some  $t \in [0, 1)$ .

Let  $\varepsilon > 0$  be so small that

$$t e^{-\varphi(z)} + |w_0|(1 - e^{-\varepsilon}) < e^{-\varphi(z)}$$

for every  $z \in \partial\mathbb{B}^n$ . By Poletsky's theorem (2.1) there exists a holomorphic mapping  $f : \Delta \rightarrow \mathbb{B}^n$  such that  $f(0) = z_0$ ,  $f^*(e^{i\theta}) \in \partial\mathbb{B}^n$  for almost every  $\theta$  and

$$(2.3) \quad \Phi(z_0) \leq \frac{1}{2\pi} \int_0^{2\pi} \varphi(f^*(e^{i\theta})) d\theta \leq \Phi(z_0) + \varepsilon.$$

Let  $u(e^{i\theta}) := \varphi(f^*(e^{i\theta}))$  and let  $P[u]$  denote its Poisson integral

$$P[u](\xi) = \frac{1}{2\pi} \int_0^{2\pi} \text{Re} \left( \frac{e^{i\theta} + \xi}{e^{i\theta} - \xi} \right) u(e^{i\theta}) d\theta.$$

Since  $\varphi$  is a continuous function on  $\partial\mathbb{B}^n$ ,  $u$  is a bounded measurable function on  $\partial\Delta$  and hence  $P[u]$  has the nontangential limit  $u(e^{i\theta})$  for almost every  $\theta$ , [8]. Let  $H[u]$  be the harmonic conjugate of  $P[u]$  on  $\Delta$  such that  $H[u](0) = 0$ . Although the function  $H[u]$  is not necessarily bounded on  $\Delta$ , this is the case for the function

$$(2.4) \quad g(\xi) = t e^{-(P[u](\xi) + iH[u](\xi))},$$

which is holomorphic on  $\Delta$ . For this function we have  $g(0) = t e^{-P[u](0)}$  and the value  $P[u](0)$  is given as the integral average of  $u$  over  $\partial\Delta$ . Thus

$$P[u](0) = \frac{1}{2\pi} \int_0^{2\pi} u(e^{i\theta}) d\theta = \frac{1}{2\pi} \int_0^{2\pi} \varphi(f^*(e^{i\theta})) d\theta.$$

The inequalities (2.3) and assumption (2.2) imply

$$w_0 e^{-\varepsilon} \leq g(0) \leq w_0$$

and so

$$|w_0 - g(0)| \leq |w_0|(1 - e^{-\varepsilon}).$$

Hence for the boundary values  $g^*$  we have

$$\left| g^*(e^{i\theta}) + (w_0 - g(0)) \right| \leq t e^{-u(e^{i\theta})} + |w_0|(1 - e^{-\varepsilon}) < e^{-\varphi(f^*(e^{i\theta}))}$$

for almost every  $\theta$  and the holomorphic disc

$$\xi \in \Delta \mapsto F(\xi) = (f(\xi), g(\xi) + (w_0 - g(0)))$$

is such that  $F^*(e^{i\theta}) \in X$  for almost every  $\theta$  and  $F(0) = (z_0, w_0)$ .

#### REFERENCES

- [1] H. Alexander and J. Wermer, 'Polynomial hulls with convex fibers', *Math. Ann.* **266** (1981), 243–257.
- [2] E. Bedford, 'Survey of pluri-potential theory', in *Several Complex Variables: Proceedings of the Mittag-Leffler Institute, 1987-1988*, Mathematical Notes **38** (Princeton University Press, Princeton, N.J., 1993), pp. 48–97.
- [3] F. Forstnerič, 'Polynomial hulls of sets fibered over the circle', *Indiana Univ. Math. J.* **37** (1988), 869–889.
- [4] T.W. Gamelin, *Uniform algebras and Jensen measures*, London Mathematical Society, Lecture Notes Series **32** (Cambridge University Press, Cambridge, 1978).
- [5] M. Klimek, *Pluripotential theory*, London Mathematical Society Monographs, New Series **6** (Oxford University Press, 1991).
- [6] E.A. Poletsky, 'Plurisubharmonic functions as solutions of variational problems', in *Several complex variables and complex geometry (Santa Cruz, CA, 1989)*, Proc. Sympos. Pure Math., Part 1 **52** (American Mathematical Society, 1991), pp. 163–171.
- [7] E.A. Poletsky, 'Holomorphic currents', *Indiana Univ. Math. J.* **42** (1993), 85–144.
- [8] W. Rudin, *Real and complex analysis* (McGraw-Hill, New York, 1987).
- [9] Z. Slodkowski, 'Polynomial hulls with convex fibers and complex geodesics', *J. Func. Anal.* **94** (1990), 156–176.
- [10] Z. Slodkowski, 'Polynomial hulls in  $\mathbb{C}^2$  and quasicircles', *Ann. Scuola Norm. Sup. Pisa Cl. Sci.* **16** (1989), 367–391.
- [11] M.A. Whittlesey, 'Polynomial hulls with disk fibers over the ball in  $\mathbb{C}^2$ ', *Michigan Math. J.* **44** (1997), 475–494.

Department of Mathematics  
 University of Ljubljana  
 Jadranska 19  
 1111 Ljubljana  
 Slovenia  
 e-mail: miran.cerne@mf.uni-lj.si