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# Betti Numbers and Flat Dimensions of Local Cohomology Modules

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Abstract. Assume that *R* is a commutative Noetherian ring with non-zero identity,  $\mathfrak{a}$  is an ideal of *R*, and *X* is an *R*-module. In this paper, we first study the finiteness of Betti numbers of local cohomology modules  $\mathrm{H}^{i}_{\mathfrak{a}}(X)$ . Then we give some inequalities between the Betti numbers of *X* and those of its local cohomology modules. Finally, we present many upper bounds for the flat dimension of *X* in terms of the flat dimensions of its local cohomology modules and an upper bound for the flat dimension of  $\mathrm{H}^{i}_{\mathfrak{a}}(X)$  in terms of the flat dimensions of the modules  $\mathrm{H}^{j}_{\mathfrak{a}}(X)$ ,  $j \neq i$ , and that of *X*.

## 1 Introduction

Throughout this paper, *R* is a commutative Noetherian ring with non-zero identity and *s*, *t* are two non-negative integers. We use the symbols  $\mathfrak{a}$ , *X*, and *M* as follows:  $\mathfrak{a}$  denotes an ideal of *R*; *X* is an arbitrary *R*-module that is not necessarily finite (*i.e.*, finitely generated), and *M* is used for a finite *R*-module. The *i*-th local cohomology module of *X* with respect to  $\mathfrak{a}$  is denoted by  $H^{\mathfrak{a}}_{\mathfrak{a}}(X)$ . For a prime ideal  $\mathfrak{p}$  of *R*, the numbers

 $\beta_i(\mathfrak{p}, X) = \dim_{\kappa(\mathfrak{p})} (\operatorname{Tor}_i^{R_\mathfrak{p}}(\kappa(\mathfrak{p}), X_\mathfrak{p})) \text{ and } \mu^i(\mathfrak{p}, X) = \dim_{\kappa(\mathfrak{p})} (\operatorname{Ext}_{R_\mathfrak{p}}^i(\kappa(\mathfrak{p}), X_\mathfrak{p}))$ 

are known as the *i*-th Betti number and the *i*-th Bass number, respectively, of *X* with respect to  $\mathfrak{p}$ , where  $\kappa(\mathfrak{p}) = R_{\mathfrak{p}}/\mathfrak{p}R_{\mathfrak{p}}$ . When *R* is local with maximal ideal  $\mathfrak{m}$ , we write  $\beta_i(X) = \beta_i(\mathfrak{m}, X), \mu^i(X) = \mu^i(\mathfrak{m}, X)$  and  $k = R/\mathfrak{m}$ . For basic results, notation, and terminology not given in this paper, the reader is referred to [2, 3, 11].

Section 2 is devoted to the study of finiteness of Betti numbers of local cohomology modules. We first discuss the Artinianness of local cohomology modules  $H_{\mathfrak{a}}^{i}(X)$ , extension modules  $\operatorname{Ext}_{R}^{i}(R/\mathfrak{a}, X)$ , and torsion modules  $\operatorname{Tor}_{i}^{R}(R/\mathfrak{a}, X)$ . In Theorem 2.1 (resp. Corollary 2.2), we observe that if  $H_{\mathfrak{a}}^{i}(X)$  (resp.  $\operatorname{Ext}_{R}^{i}(R/\mathfrak{a}, X)$ ) is Artinian for all *i*, then  $\operatorname{Tor}_{i}^{R}(R/\mathfrak{a}, X)$  is also Artinian for all *i*. In Corollary 2.3, we conclude that for a prime ideal  $\mathfrak{p}$  of *R*, that  $\beta_{i}(\mathfrak{p}, X)$  is finite for all *i* whenever  $\mu^{i}(\mathfrak{p}, X)$  is finite for all *i*. As applications, we prove that if  $\mathfrak{a}$  is principal or satisfies dim  $R/\mathfrak{a} \leq 1$ , then all Betti numbers of the modules  $H_{\mathfrak{a}}^{i}(M)$  with respect to any prime ideal of *R* are finite (Corollaries 2.4 and 2.5).

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The main ideas of Section 3 come from the article [7] by Dibaei and Yassemi, in which it is shown that there are some inequalities between the Bass numbers of a module and its local cohomology modules. Although one may expect some consistency for the Betti numbers, the similarities are far from obvious. For the local case, it was shown in [7, Theorem 2.1] that

(1.1) 
$$\mu^{t}(X) \leq \sum_{i=0}^{t} \mu^{t-i}(\mathrm{H}_{\mathfrak{a}}^{i}(X)),$$

while we show in Theorem 3.1 that

(1.2) 
$$\beta_t(X) \leq \sum_{i=0}^{\operatorname{ara}(\mathfrak{a})} \beta_{t+i}(\operatorname{H}^i_{\mathfrak{a}}(X))$$

where ara( $\mathfrak{a}$ ) denotes the arithmetic rank of the ideal  $\mathfrak{a}$ . Formula (1.1) about Bass numbers is of some interest, because Kawasaki [10, Corollary 3] and Delfino and Marley [4, Corollary 2] proved that all Bass numbers of the modules  $H^i_{\mathfrak{a}}(M)$  with respect to any prime ideal are finite, where  $\mathfrak{a}$  is principal or satisfies dim  $R/\mathfrak{a} \leq 1$ . Similarly, by our Corollaries 2.4 and 2.5, formula (1.2) is also interesting in the same situation. In [7, Theorem 2.6], it was also proved that

$$\mu^{s}(\mathbf{H}_{\mathfrak{a}}^{t}(X)) \leq \sum_{i=0}^{t-1} \mu^{s+t-i+1}(\mathbf{H}_{\mathfrak{a}}^{i}(X)) + \mu^{s+t}(X) + \sum_{i=t+1}^{s+t-1} \mu^{s+t-i-1}(\mathbf{H}_{\mathfrak{a}}^{i}(X))$$

We prove, in Theorem 3.2, that

$$\beta_s(\mathrm{H}^t_\mathfrak{a}(X)) \leq \sum_{i=0}^{t-1} \beta_{s-t+i-1}(\mathrm{H}^i_\mathfrak{a}(X)) + \beta_{s-t}(X) + \sum_{i=t+1}^{\operatorname{ara}(\mathfrak{a})} \beta_{s-t+i+1}(\mathrm{H}^i_\mathfrak{a}(X)).$$

As an application, we find in Corollary 3.3 that if *R* is a local ring and *X* is an *R*-module such that  $H^i_{\mathfrak{a}}(X) = 0$  for all  $i \neq n$ , *e.g.*, *X* is finite and  $\mathfrak{a}$  is generated by an *X*-regular sequence of length *n*, then  $\beta_i(H^n_{\mathfrak{a}}(X)) = \beta_{i-n}(X)$  for all *i*.

In Section 4, we study the flat dimensions of local cohomology modules. In Corollary 4.1, we present many upper bounds for the projective dimension of *M* in terms of the flat dimensions of its local cohomology modules and, in Corollary 4.3, an upper bound for the flat dimension of  $H^t_{\mathfrak{a}}(X)$  in terms of the flat dimensions of the modules  $H^i_{\mathfrak{a}}(X)$ ,  $i \neq t$ , and that of *X*. In Corollary 4.6, we conclude that  $fd_R(H^{\dim_R(M)}_{\mathfrak{m}}(M)) =$  $fd_R(M) + \dim_R(M)$  (resp.  $fd_R(H^{\dim_R(M)}_{\mathfrak{m}}(M)) = depth(R)$ ,  $fd_R(H^{\dim(R)}_{\mathfrak{m}}(R)) =$  $\dim(R)$ ,  $fd_R(E(k)) = \dim(R)$ ) if *R* is local with maximal ideal  $\mathfrak{m}$  and *M* is Cohen-Macaulay (resp. *M* is Cohen-Macaulay with finite projective dimension, *R* is Cohen-Macaulay, *R* is Gorenstein).

## 2 Finiteness of Betti Numbers of Local Cohomology Modules

Since  $\operatorname{Ext}_{R}^{i}(R/\mathfrak{a}, -)$ ,  $\operatorname{Tor}_{i}^{R}(R/\mathfrak{a}, -)$ , and  $\operatorname{H}_{\mathfrak{a}}^{i}(-)$  are the most important functors in homological algebra, we first find out the relations between Artinianness of modules  $\operatorname{Ext}_{R}^{i}(R/\mathfrak{a}, X)$ ,  $\operatorname{Tor}_{i}^{R}(R/\mathfrak{a}, X)$ , and  $\operatorname{H}_{\mathfrak{a}}^{i}(X)$ , and use them in the study of finiteness of Betti numbers of local cohomology modules.

**Theorem 2.1** Suppose that X is an arbitrary R-module such that  $H^i_{\mathfrak{a}}(X)$  is Artinian for all *i*. Then  $\operatorname{Tor}_i^R(R/\mathfrak{a}, X)$  is also Artinian for all *i*.

**Proof** Set c = ara(a) and n = i + c where *i* is a non-negative integer. By [6, Lemma 2.1], there is a first quadrant spectral sequence

$$E_{p,q}^{2} \coloneqq \operatorname{Tor}_{p}^{R}(R/\mathfrak{a}, \operatorname{H}_{\mathfrak{a}}^{c-q}(X)) \Longrightarrow_{p} \operatorname{Tor}_{p+q-c}^{R}(R/\mathfrak{a}, X).$$

For all  $r, 0 \le r \le n$ , we have  $E_{r,n-r}^{\infty} = E_{r,n-r}^{n+2}$ , since  $E_{r+j,n-r-j+1}^{j} = 0 = E_{r-j,n-r+j-1}^{j}$  for all  $j \ge n+2$ ; so that  $E_{r,n-r}^{\infty}$  is Artinian from the fact that  $E_{r,n-r}^{n+2}$  is a subquotient of the Artinian *R*-module  $E_{r,n-r}^{2} = \operatorname{Tor}_{r}^{R}(R/\mathfrak{a}, \operatorname{H}_{\mathfrak{a}}^{r-i}(X))$ .

There exists a finite filtration

$$0 = \phi^{-1}H_n \subseteq \phi^0 H_n \subseteq \cdots \subseteq \phi^{n-1}H_n \subseteq \phi^n H_n = \operatorname{Tor}_i^R(R/\mathfrak{a}, X)$$

such that  $E_{r,n-r}^{\infty} = \phi^r H_n / \phi^{r-1} H_n$  for all  $r, 0 \le r \le n$ . Now the short exact sequences

 $0 \longrightarrow \phi^{r-1}H_n \longrightarrow \phi^r H_n \longrightarrow E_{r,n-r}^{\infty} \longrightarrow 0,$ 

for all  $r, 0 \le r \le n$ , show that  $\operatorname{Tor}_i^R(R/\mathfrak{a}, X)$  is Artinian.

**Corollary 2.2** Suppose that X is an arbitrary R-module such that  $\operatorname{Ext}_{R}^{i}(R/\mathfrak{a}, X)$  is Artinian for all *i*. Then  $\operatorname{Tor}_{i}^{R}(R/\mathfrak{a}, X)$  is also Artinian for all *i*.

**Proof** This follows from [9, Proposition 2.6] (or [1, Proposition 3.3]) and Theorem 2.1.

The following corollary is our first result about the finiteness of Betti numbers of an *R*-module with respect to a prime ideal of *R*.

**Corollary 2.3** Suppose that X is an arbitrary R-module and that  $\mathfrak{p}$  is a prime ideal of R such that  $\mu^i(\mathfrak{p}, X)$  is finite for all i. Then  $\beta_i(\mathfrak{p}, X)$  is also finite for all i.

**Proof** It follows from Corollary 2.2.

In the following corollaries, for a finite *R*-module *M*, we find out when the Betti numbers of local cohomology modules  $H^j_{\mathfrak{a}}(M)$  with respect to all prime ideals of *R* are finite.

**Corollary 2.4** Let  $\mathfrak{a}$  be a principal ideal of R and let M be a finite R-module. Then  $\beta_i(\mathfrak{p}, H^j_\mathfrak{a}(M))$  is finite for all integers i, j, and all prime ideals  $\mathfrak{p}$ .

**Proof** Follows from [10, Corollary 3] and Corollary 2.3.

**Corollary 2.5** Let  $\mathfrak{a}$  be an ideal of R with  $\dim(R/\mathfrak{a}) \leq 1$  and let M be a finite R-module. Then  $\beta_i(\mathfrak{p}, H^j_\mathfrak{a}(M))$  is finite for all integers i, j, and all prime ideals  $\mathfrak{p}$ .

**Proof** This follows from [4, Corollary 2] and Corollary 2.3.

Let *R* be a local ring, let *M* be a finite *R*-module, and let *r* be a non-negative integer. In [5, Definition 4.4], we denoted  $\mathcal{L}^r(M)$  as the set of ideals

 $\{\mathfrak{b}: \mathrm{H}^{j}_{\mathfrak{h}}(M) \text{ is not Artinian for some } j \geq r\}$ 

which is empty for all  $r \ge \dim_R(M)$  and is non-empty for all  $r < \dim_R(M)$  by [5, Corollary 4.2]. Note that from [5, Theorem 4.7 (ii)], each maximal element  $\mathfrak{p}$  of the non-empty set  $\mathcal{L}^r(M)$  is a prime ideal.

**Corollary 2.6** Assume that R is a local ring, M is a finite R-module, and r is a nonnegative integer such that  $r < \dim_R(M)$ . Then for each maximal element  $\mathfrak{p}$  of the nonempty set  $\mathcal{L}^r(M)$ ,  $\beta_i(\operatorname{H}^j_{\mathfrak{p}}(M))$  is finite for all i and all  $j \ge r$ .

**Proof** It follows from [5, Theorem 4.7 (i)] and Corollary 2.3.

## 

### 3 Relations Between Betti Numbers of Local Cohomology Modules

In the following theorem, we compare the Betti numbers of *X* with those of its local cohomology modules.

*Theorem 3.1* (cf. [7, Theorem 2.1]) Let *R* be a local ring, let *X* be an *R*-module, and let *t* be a non-negative integer. Then

$$\beta_t(X) \leq \sum_{i=0}^{\operatorname{ara}(\mathfrak{a})} \beta_{t+i}(\operatorname{H}^i_{\mathfrak{a}}(X)).$$

**Proof** We may assume that the right-hand side number is finite. Set c = ara(a) and n = t + c. By [6, Lemma 2.1], there is a first quadrant spectral sequence

(3.1) 
$$E_{p,q}^{2} \coloneqq \operatorname{Tor}_{p}^{R}(k, \operatorname{H}_{\mathfrak{a}}^{c-q}(X)) \Longrightarrow \operatorname{Tor}_{p+q-c}^{R}(k, X).$$

For all  $r, 0 \le r \le n$ , we have  $E_{r,n-r}^{\infty} = E_{r,n-r}^{n+2}$ , since  $E_{r+i,n-r-i+1}^{i} = 0 = E_{r-i,n-r+i-1}^{i}$ for all  $i \ge n+2$ ; so that  $\dim_k(E_{r,n-r}^{\infty}) \le \dim_k(\operatorname{Tor}_r^R(k, \operatorname{H}_{\mathfrak{a}}^{r-t}(X)))$  from the fact that  $E_{r,n-r}^{n+2}$  is a subquotient of  $E_{r,n-r}^2 = \operatorname{Tor}_r^R(k, \operatorname{H}_{\mathfrak{a}}^{r-t}(X))$ .

There exists a finite filtration

$$0 = \phi^{-1}H_n \subseteq \phi^0 H_n \subseteq \cdots \subseteq \phi^{n-1}H_n \subseteq \phi^n H_n = \operatorname{Tor}_t^R(k, X)$$

such that  $E_{r,n-r}^{\infty} = \phi^r H_n / \phi^{r-1} H_n$  for all  $r, 0 \le r \le n$ . Now the exact sequences

$$0\longrightarrow \phi^{r-1}H_n\longrightarrow \phi^rH_n\longrightarrow E_{r,n-r}^{\infty}\longrightarrow 0,$$

for all  $r, 0 \le r \le n$ , show that  $\dim_k(\operatorname{Tor}_t^R(k, X)) = \sum_{r=0}^n \dim_k(E_{r,n-r}^{\infty})$ . Thus we get

$$\beta_t(X) = \sum_{r=0}^n \dim_k(E_{r,n-r}^{\infty}) \le \sum_{r=0}^n \dim_k(\operatorname{Tor}_r^R(k, \operatorname{H}_{\mathfrak{a}}^{r-t}(X))) = \sum_{i=0}^c \beta_{t+i}(\operatorname{H}_{\mathfrak{a}}^i(X)),$$

which completes the proof.

In the following theorem, for a given non-negative integer *t*, we compare the Betti numbers of local cohomology module  $H^t_a(X)$  with the Betti numbers of *X* and those of some other local cohomology modules  $H^i_a(X)$  where  $i \neq t$ .

*Theorem 3.2* (cf. [7, Theorem 2.6]) Let *R* be a local ring, let *X* be an *R*-module, and let *s*, *t* be non-negative integers. Then

$$\beta_s(\mathrm{H}^t_\mathfrak{a}(X)) \leq \sum_{i=0}^{t-1} \beta_{s-t+i-1}(\mathrm{H}^i_\mathfrak{a}(X)) + \beta_{s-t}(X) + \sum_{i=t+1}^{\operatorname{ara}(\mathfrak{a})} \beta_{s-t+i+1}(\mathrm{H}^i_\mathfrak{a}(X)).$$

**Proof** We may assume that the right-hand side number is finite. Set  $c = ara(\mathfrak{a})$ , u = c - t and n = s + u, and consider the first quadrant spectral sequence (3.1). For all  $r \ge 2$ , let  $Z_{s,u}^r = \ker(E_{s,u}^r \longrightarrow E_{s-r,u+r-1}^r)$  and  $B_{s,u}^r = \operatorname{Im}(E_{s+r,u-r+1}^r \longrightarrow E_{s,u}^r)$ . Thus, we have the exact sequences:

$$0 \longrightarrow Z_{s,u}^r \longrightarrow E_{s,u}^r \longrightarrow E_{s,u}^r / Z_{s,u}^r \longrightarrow 0,$$
  
$$0 \longrightarrow B_{s,u}^r \longrightarrow Z_{s,u}^r \longrightarrow E_{s,u}^{r+1} \longrightarrow 0,$$

which show that

$$\dim_{k}(E_{s,u}^{r}) = \dim_{k}(E_{s,u}^{r}/Z_{s,u}^{r}) + \dim_{k}(E_{s,u}^{r+1}) + \dim_{k}(B_{s,u}^{r})$$
  
$$\leq \dim_{k}(E_{s-r,u+r-1}^{r}) + \dim_{k}(E_{s,u}^{r+1}) + \dim_{k}(E_{s+r,u-r+1}^{r})$$
  
$$\leq \dim_{k}(E_{s-r,u+r-1}^{2}) + \dim_{k}(E_{s,u}^{r+1}) + \dim_{k}(E_{s+r,u-r+1}^{2}).$$

As we have  $E_{s+r,u-r+1}^r = 0 = E_{s-r,u+r-1}^r$  for all  $r \ge s + u + 2$ , we obtain  $E_{s,u}^{\infty} = E_{s,u}^{s+u+2}$ . To complete the proof, it is enough to show that  $\dim_k(E_{s,u}^{\infty}) \le \beta_{s-t}(X)$ , because we have

$$\begin{split} \beta_{s}(\mathrm{H}_{\mathfrak{a}}^{t}(X)) &= \dim_{k}(E_{s,u}^{2}) \\ &\leq \dim_{k}(E_{s-2,u+1}^{2}) + \dim_{k}(E_{s,u}^{3}) + \dim_{k}(E_{s+2,u-1}^{2}) \\ &\leq \sum_{i=t-2}^{t-1} \dim_{k}(E_{s-t+i-1,c-i}^{2}) + \dim_{k}(E_{s,u}^{4}) + \sum_{i=t+1}^{t-2} \dim_{k}(E_{s-t+i+1,c-i}^{2}) \\ &\leq \cdots \\ &\leq \sum_{i=0}^{t-1} \dim_{k}(E_{s-t+i-1,c-i}^{2}) + \dim_{k}(E_{s,u}^{s+u+2}) + \sum_{i=t+1}^{c} \dim_{k}(E_{s-t+i+1,c-i}^{2}) \\ &= \sum_{i=0}^{t-1} \beta_{s-t+i-1}(\mathrm{H}_{\mathfrak{a}}^{i}(X)) + \dim_{k}(E_{s,u}^{s+u+2}) + \sum_{i=t+1}^{c} \beta_{s-t+i+1}(\mathrm{H}_{\mathfrak{a}}^{i}(X)). \end{split}$$

There exists a finite filtration

$$0 = \phi^{-1}H_n \subseteq \phi^0 H_n \subseteq \cdots \subseteq \phi^{n-1}H_n \subseteq \phi^n H_n = \operatorname{Tor}_{s-t}^R(k, X)$$

such that  $E_{r,n-r}^{\infty} = \phi^r H_n / \phi^{r-1} H_n$  for all  $r, 0 \le r \le n$ . Thus,  $E_{s,u}^{\infty} = \phi^s H_n / \phi^{s-1} H_n$  and so

$$\dim_k(E_{s,u}^{\infty}) \leq \dim_k(\phi^s H_n) \leq \dim_k(\operatorname{Tor}_{s-t}^R(k,X)) = \beta_{s-t}(X),$$

as we desired.

A straightforward application of Theorems 3.1 and 3.2 is to find the Betti numbers of local cohomology modules for certain cases.

**Corollary 3.3** Let R be a local ring, let X be an R-module, and let n be a non-negative integer such that  $H^i_{\mathfrak{a}}(X) = 0$  for all  $i, i \neq n$  (e.g., X is finite and  $\mathfrak{a}$  may be generated by an X-regular sequence of length n). Then, for all  $i \geq 0$ ,  $\beta_i(H^n_{\mathfrak{a}}(X)) = \beta_{i-n}(X)$ .

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**Proof** For all  $i \ge 0$ , apply Theorem 3.1 with t = i - n (resp. Theorem 3.2 with s = i and t = n) to get  $\beta_{i-n}(X) \le \beta_i(\operatorname{H}^n_{\mathfrak{a}}(X))$  (resp.  $\beta_i(\operatorname{H}^n_{\mathfrak{a}}(X)) \le \beta_{i-n}(X)$ ).

We close this section by identifying the Betti numbers of the non-zero top local cohomology modules of *X* with respect to an ideal  $\mathfrak{a}$  when  $cd(\mathfrak{a}, X) \leq 2$ . Recall that cohomological dimension of *X* with respect to  $\mathfrak{a}$ , denoted by  $cd(\mathfrak{a}, X)$ , is the largest integer *i* in which  $H^i_\mathfrak{a}(X)$  is not zero (see [8]).

*Corollary 3.4* Let *R* be a local ring and let *X* be an *R*-module.

(i) If cd(a, X) = 0, then  $\beta_i(\Gamma_a(X)) = \beta_i(X)$  for all *i*.

(ii) If  $\operatorname{cd}(\mathfrak{a}, X) = 1$ , then  $\beta_i(\operatorname{H}^1_\mathfrak{a}(X)) = \beta_{i-1}(X/\Gamma_\mathfrak{a}(X))$  for all *i*.

(iii) If  $\operatorname{cd}(\mathfrak{a}, X) = 2$ , then  $\beta_i(\operatorname{H}^2_{\mathfrak{a}}(X)) = \beta_{i-2}(\operatorname{D}_{\mathfrak{a}}(X))$  for all *i*.

**Proof** (i) This is clear from Corollary 3.3.

(ii) For all  $i \neq 1$ ,  $H^i_{\mathfrak{a}}(X/\Gamma_{\mathfrak{a}}(X)) = 0$  by assumption. Again, use Corollary 3.3. (iii) By [2, Corollary 2.2.8],  $H^i_{\mathfrak{a}}(D_{\mathfrak{a}}(X)) = 0$  for all  $i \neq 2$ . Now, the assertion follows from Corollary 3.3.

## 4 Flat Dimensions of Local Cohomology Modules

In the course of the remaining parts of the paper, for an arbitrary *R*-module *X*, we denote by  $pd_R(X)$  and  $fd_R(X)$  the projective dimension and the flat dimension of *X*, respectively.

As an application of Theorem 3.1, the following corollary gives us many upper bounds for the projective dimension of a finite *R*-module *M*.

*Corollary 4.1* Let R be a local ring and let M be a finite R-module. Then the inequality

(4.1) 
$$\operatorname{pd}_{R}(M) \leq \sup \left\{ \operatorname{fd}_{R}(\operatorname{H}_{\mathfrak{a}}^{i}(M)) - i : 0 \leq i \leq \operatorname{ara}(\mathfrak{a}) \right\}$$

holds for every ideal  $\mathfrak{a}$  of R.

**Proof** We may assume that the right-hand side number is finite and that *t* is an integer such that  $t > \sup \{ \operatorname{fd}_R(\operatorname{H}^i_{\mathfrak{a}}(M)) - i : 0 \le i \le \operatorname{ara}(\mathfrak{a}) \}$ . Then  $\beta_{t+i}(\operatorname{H}^i_{\mathfrak{a}}(M)) = 0$  for all  $i, 0 \le i \le \operatorname{ara}(\mathfrak{a})$ . The result follows by Theorem 3.1.

Assume that *R* is a local ring, *X* is an arbitrary *R*-module, and *t* is a non-negative integer such that  $H^t_{\mathfrak{a}}(X)$  is finite. Then we can use Theorem 3.2, with a similar argument as in the proof of Corollary 4.1, to find an upper bound for the projective dimension of  $H^t_{\mathfrak{a}}(X)$  in terms of the flat dimensions of modules  $H^i_{\mathfrak{a}}(X)$ ,  $i \neq t$ , and that of *X*. More precisely, we get

$$(4.2) \quad \mathrm{pd}_{R}(\mathrm{H}^{t}_{\mathfrak{a}}(X)) \leq \sup\{\mathrm{fd}_{R}(\mathrm{H}^{i}_{\mathfrak{a}}(X)) + t - i + 1 : i < t\} \cup \{\mathrm{fd}_{R}(X) + t\} \\ \cup \{\mathrm{fd}_{R}(\mathrm{H}^{i}_{\mathfrak{a}}(X)) + t - i - 1 : i > t\}.$$

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Since the above inequality holds in the strong conditions, our next aim is to prove the inequality

$$(4.3) \quad \mathrm{fd}_{R}(\mathrm{H}^{t}_{\mathfrak{a}}(X)) \leq \sup\{\mathrm{fd}_{R}(\mathrm{H}^{i}_{\mathfrak{a}}(X)) + t - i + 1 : i < t\} \cup \{\mathrm{fd}_{R}(X) + t\} \\ \cup \{\mathrm{fd}_{R}(\mathrm{H}^{i}_{\mathfrak{a}}(X)) + t - i - 1 : i > t\}$$

with no restrictions on *R* or  $H^t_{\mathfrak{a}}(X)$ . We need the following useful lemma for this purpose.

*Lemma 4.2* For two arbitrary *R*-modules *N* and *X*, there are first quadrant spectral sequences

(i) 
$${}^{I}E_{p,q}^{2} := \operatorname{Tor}_{p}^{R}(N, \operatorname{H}_{\mathfrak{a}}^{\operatorname{ara}(\mathfrak{a})-q}(X)) \Longrightarrow_{p} H_{n} and$$
  
(ii)  ${}^{II}E_{p,q}^{2} := \operatorname{H}_{\mathfrak{a}}^{\operatorname{ara}(\mathfrak{a})-p}(\operatorname{Tor}_{q}^{R}(N, X)) \Longrightarrow_{p} H_{n}.$ 

**Proof** By assuming  $c = ara(\mathfrak{a})$ , there exist elements  $x_1, \ldots, x_c$  of R such that  $\sqrt{\mathfrak{a}} = (x_1, \ldots, x_c)$ . Let  $F_{\bullet}$  be a free resolution of N and consider the first quadrant bicomplex  $\mathfrak{T} = \{C(F_p \otimes_R X)^{c-q}\}$ , where  $C(F_p \otimes_R X)^{\bullet}$  is the Čech complex of  $F_p \otimes_R X$  with respect to  $x_1, \ldots, x_c$ . We denote the total complex of  $\mathfrak{T}$  by  $Tot(\mathfrak{T})$ .

(i) The first filtration has  ${}^{I}E^{2}$  term the iterated homology  $H'_{p}H''_{p,q}(\mathcal{T})$ . By [2, Theorem 5.1.19], we have

$$H_{p,q}''(\mathfrak{T}) = H^{c-q}(C(F_p \otimes_R X)^{\bullet}) = H_{\mathfrak{a}}^{c-q}(F_p \otimes_R X) = F_p \otimes_R H_{\mathfrak{a}}^{c-q}(X).$$

Hence,

$${}^{I}E_{p,q}^{2} = H_{p}(F_{\bullet} \otimes_{\mathbb{R}} \operatorname{H}_{\mathfrak{a}}^{c-q}(X)) = \operatorname{Tor}_{p}^{\mathbb{R}}(N, \operatorname{H}_{\mathfrak{a}}^{c-q}(X)),$$

which yields the first quadrant spectral sequence

$${}^{I}E^{2}_{p,q} \coloneqq \operatorname{Tor}^{R}_{p}(N, \operatorname{H}^{c-q}_{\mathfrak{a}}(X)) \Longrightarrow P H_{n}(\operatorname{Tot}(\mathfrak{T})).$$

(ii) The second filtration has  ${}^{II}E^2$  term the iterated homology  $H_p''H_{q,p}'(\mathbb{T}).$  We have

$$H'_{q,p}(\mathcal{T}) = H_q(C(R)^{c-p} \otimes_R F_{\bullet} \otimes_R X) = C(R)^{c-p} \otimes_R H_q(F_{\bullet} \otimes_R X)$$
$$= C(\operatorname{Tor}_a^R(N, X))^{c-p}.$$

Thus, again by [2, Theorem 5.1.19],

$${}^{II}E^2_{p,q} = H^{c-p}(C(\operatorname{Tor}_q^R(N,X))^{\bullet}) = \operatorname{H}_{\mathfrak{a}}^{c-p}(\operatorname{Tor}_q^R(N,X))$$

which gives the first quadrant spectral sequence

$${}^{II}E^2_{p,q} \coloneqq \mathrm{H}^{c-p}_{\mathfrak{a}}(\mathrm{Tor}^R_q(N,X)) \Longrightarrow_p H_n(\mathrm{Tot}(\mathfrak{T})).$$

**Corollary 4.3** Let X be an arbitrary R-module and let t be a non-negative integer. Then the inequality (4.3) holds true.

**Proof** Assume that  $t \le ara(\mathfrak{a})$  and that the right-hand side number is finite. Assume also that *N* is an arbitrary *R*-module and that *s* is an integer that is bigger than the right hand side number. Set  $c = ara(\mathfrak{a})$ , u = c - t and n = s + u, and consider the

first quadrant spectral sequence Lemma 4.2(i). For all  $r \ge 2$ , let  ${}^{I}Z_{s,u}^{r} = \ker({}^{I}E_{s,u}^{r} \longrightarrow {}^{I}E_{s-r,u+r-1}^{r})$  and  ${}^{I}B_{s,u}^{r} = \operatorname{Im}({}^{I}E_{s+r,u-r+1}^{r} \longrightarrow {}^{I}E_{s,u}^{r})$ , so that we have the exact sequences:

$$0 \longrightarrow {}^{I}Z_{s,u}^{r} \longrightarrow {}^{I}E_{s,u}^{r} \longrightarrow {}^{I}E_{s,u}^{r}/{}^{I}Z_{s,u}^{r} \longrightarrow 0$$
$$0 \longrightarrow {}^{I}B_{s,u}^{r} \longrightarrow {}^{I}Z_{s,u}^{r} \longrightarrow {}^{I}E_{s,u}^{r+1} \longrightarrow 0.$$

Since  ${}^{I}E_{s-r,u+r-1}^{2} = 0 = {}^{I}E_{s+r,u-r+1}^{2}$ ,  ${}^{I}E_{s-r,u+r-1}^{r} = 0 = {}^{I}E_{s+r,u-r+1}^{r}$ . Thus,  ${}^{I}E_{s,u}^{r}/{}^{I}Z_{s,u}^{r} = 0 = {}^{I}B_{s,u}^{r}$ , which shows that  ${}^{I}E_{s,u}^{r} = {}^{I}E_{s,u}^{r+1}$  and so

$$\operatorname{Tor}_{s}^{R}(N,\operatorname{H}_{\mathfrak{a}}^{t}(X)) = {}^{I}E_{s,u}^{2} = {}^{I}E_{s,u}^{3} = \cdots = {}^{I}E_{s,u}^{\infty}.$$

To complete the proof, it is enough to show that  ${}^{I}E_{s,u}^{\infty} = 0$ .

From the first quadrant spectral sequence Lemma 4.2(ii), there is a finite filtration

$$0 = \phi^{-1}H_n \subseteq \phi^0 H_n \subseteq \dots \subseteq \phi^{n-1}H_n \subseteq \phi^n H_n = H_n$$

such that  ${}^{II}E_{r,n-r}^{\infty} = \phi^r H_n/\phi^{r-1}H_n$  for all  $r, 0 \le r \le n$ . Note that, for all  $r, 0 \le r \le n$ , we have  ${}^{II}E_{r,n-r}^{\infty} = {}^{II}E_{r,n-r}^{n+2}$ , since  ${}^{II}E_{r+i,n-r-i+1}^{i} = 0 = {}^{II}E_{r-i,n-r+i-1}^{i}$  for all  $i \ge n+2$ . Thus  ${}^{II}E_{r,n-r}^{\infty} = 0$  because  ${}^{II}E_{r,n-r}^{n+2}$  is a subquotient of  ${}^{II}E_{r,n-r}^{2} = H_a^{c-r}(\operatorname{Tor}_{n-r}^R(N,X)) = 0$ . Hence,  $\phi^r H_n/\phi^{r-1}H_n = 0$  for all  $r, 0 \le r \le n$ , and so we get

$$0 = \phi^{-1}H_n = \phi^0 H_n = \dots = \phi^{n-1}H_n = \phi^n H_n = H_n.$$

Again from the first quadrant spectral sequence Lemma 4.2(i), there exists a finite filtration

$$0 = \psi^{-1}H_n \subseteq \psi^0 H_n \subseteq \cdots \subseteq \psi^{n-1}H_n \subseteq \psi^n H_n = H_n$$

such that  ${}^{I}E_{r,n-r}^{\infty} = \psi^{r}H_{n}/\psi^{r-1}H_{n}$  for all  $r, 0 \le r \le n$ . Since  $H_{n} = 0, \psi^{s}H_{n} = 0$  and so  $\psi^{s}H_{n}/\psi^{s-1}H_{n} = 0$ . Thus,  ${}^{I}E_{s,u}^{\infty} = 0$ , which yields the assertion.

By considering the inequalities (4.1), (4.2), and (4.3), it is natural to raise the following question.

*Question 4.4* Let X be an arbitrary R-module. Does the inequality

 $\operatorname{fd}_R(X) \leq \sup \left\{ \operatorname{fd}_R(\operatorname{H}^i_{\mathfrak{a}}(X)) - i : 0 \leq i \leq \operatorname{ara}(\mathfrak{a}) \right\}$ 

hold true for every ideal a of R?

**Corollary 4.5** Let R be a local ring, let M be a finite R-module, and let n be a nonnegative integer such that  $H^i_{\mathfrak{a}}(M) = 0$  for all i,  $i \neq n$  (e.g.,  $\mathfrak{a}$  may be generated by an M-regular sequence of length n). Then we have

$$\operatorname{fd}_R(\operatorname{H}^n_\mathfrak{a}(M)) = \operatorname{fd}_R(M) + n.$$

**Proof** By Corollaries 4.3 and 4.1, we get

$$\operatorname{fd}_R(\operatorname{H}^n_\mathfrak{a}(M)) \leq \operatorname{fd}_R(M) + n \quad \text{and} \quad \operatorname{pd}_R(M) + n \leq \operatorname{fd}_R(\operatorname{H}^n_\mathfrak{a}(M)),$$

which yield the assertion.

*Corollary 4.6* Let R be a local ring with maximal ideal m. Then the following statements hold true.

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- $fd_R(H_m^{\dim_R(M)}(M)) = fd_R(M) + \dim_R(M)$  whenever M is a Cohen-Macaulay (i)
- *R-module.* (ii)  $\operatorname{fd}_{R}(\operatorname{H}_{\mathfrak{m}}^{\dim_{R}(M)}(M)) = \operatorname{pd}_{R}(M) + \operatorname{depth}_{R}(M)$  whenever *M* is a Cohen–Macaulay
- *R-module.* (iii)  $\operatorname{fd}_R(\operatorname{H}^{\dim_R(M)}_{\mathfrak{m}}(M)) = \operatorname{depth}(R)$  whenever M is a Cohen–Macaulay R-module with  $\operatorname{pd}_{R}(M) < \infty$ .
- (iv)  $\operatorname{fd}_R(\operatorname{H}_{\mathfrak{m}}^{\dim(R)}(R)) = \dim(R)$  whenever R is Cohen-Macaulay.
- (v)  $\operatorname{fd}_R(E(k)) = \dim(R)$  whenever R is Gorenstein.

**Proof** This follows from Corollary 4.5 and the Auslander–Buchsbaum formula.

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