### INTERSECTION THEOREMS FOR SYSTEMS OF SETS (III)

Dedicated to the memory of Hanna Neumann

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### 1. Introduction

A system or family  $(A_{\gamma}: \gamma \in N)$  of sets  $A_{\gamma}$ , indexed by the elements of a set N, is called an (a, b)-system if |N| = a and  $|A_{\gamma}| = b$  for  $\gamma \in N$ . Expressions such as "(a, < b)-system" are self-explanatory. The system  $(A_{\gamma}: \gamma \in N)$  is called a  $\Delta$ -system [1] if  $A_{\mu} \cap A_{\gamma} = A_{\rho} \cap A_{\sigma}$  whenever  $\mu, \gamma, \rho, \sigma \in N$ ;  $\mu \neq \gamma$ ;  $\rho \neq \sigma$ . If we want to indicate the cardinality |N| of the index set N then we speak of a  $\Delta(|N|)$ system. In [1] conditions on cardinals a, b, c were obtained which imply that every (a, b)-system contains a  $\Delta(c)$ -subsystem. In [2], for every choice of cardinals b, c such that

 $b \ge 2$ ;  $c \ge 3$ ;  $b + c \ge \aleph_0$ 

the least cardinal  $a = f_{A}(b, c)$  was determined which has the property that

every (a, < b)-system contains a  $\Delta(c)$ -subsystem.

Let  $b^+$  be the least cardinal greater than b. It is easy to see that the following two statements are equivalent:

every  $(a, < b^+)$ -system contains a  $\Delta(c)$ -subsystem,

every (a, b)-system contains a  $\Delta(c)$ -subsystem.

In the present note we prove a best possible theorem (Theorem 1) on the size of the largest  $\Delta$ -subsystem that can be found in every  $(m^+, m)$ -system  $(A_{\gamma}: \gamma \in N)$  which satisfies  $|A_{\mu} \cap A_{\gamma}| < n$  for  $\mu, \gamma \in N$ ;  $\mu \neq \gamma$ . Here  $m \geq \aleph_0$ , and n is a given cardinal, n < m. In proving this theorem the authors have received valuable help from A. Hajnal.

We now introduce a condition on systems of sets which is less exacting than that of being a  $\Delta$ -system. The system  $(A_{\gamma}: \gamma \in N)$  is called a *weak*  $\Delta$ -system (wk  $\Delta$ -system) if

$$\left|A_{\mu} \cap A_{\gamma}\right| = \left|A_{\rho} \cap A_{\sigma}\right|$$

whenever  $\mu, \gamma, \rho, \sigma \in N$ ;  $\mu \neq \gamma$ ;  $\rho \neq \sigma$ .

To avoid misunderstandings we shall henceforth replace the term " $\Delta$ -system" by "strong  $\Delta$ -system (st  $\Delta$ -system). Clearly, every st  $\Delta$ -system is also a wk  $\Delta$ -system, and the system ({1,2}, {1,3}, {2,3}) is weak but not strong. In Theorem 2 we give an implication in the opposite direction. For cardinals *a*, *b*, *c*, let the relation

(1) 
$$(a, b) \rightarrow \text{wk} \Delta(c)$$

mean that every (a, b)-system contains a wk $\Delta(c)$ -subsystem, and similarly for the relation

(2) 
$$(a, b) \rightarrow \operatorname{st} \Delta(c)$$

The negation of a relation involving an arrow  $\rightarrow$  is obtained by writing  $\rightarrow$  instead of  $\rightarrow$ . The symbol wk $\Delta$  by itself denotes the class of all wk $\Delta$ -systems, and similarly in other cases, such as st  $\Delta(c)$ .

In Section 5 we prove a number of results on  $\Delta$ -systems. In Section 7 we give a complete discussion of the relation (1) for  $a, b \geq \aleph_0$ . In this discussion, as well as in some of our theorems, we shall assume the generalised continuum hypothesis (GCH).

# 2. Terminology and notation

Roman capitals denote sets, and  $A \subset B$  denotes inclusion in the wide sense. For every system  $(A_{\gamma}: \gamma \in N)$  and  $M \subset N$ , we put  $A_M = \bigcup (\gamma \in M)A_{\gamma}$ . The system  $(A_{\gamma}: \gamma \in N)$  is called an (a, b)-system if |N| = a and  $|A_{\gamma}| = b$  for all  $\gamma \in N$ . The class of all (a, b)-systems is denoted by  $\Omega(a, b)$ . For every set A and every cardinal r we put

$$[A]^r = \{X \subset A \colon |X| = r\}.$$

For cardinals a, c, d, r the partition relation

$$a \rightarrow (c)_d^r$$

means that whenever A and D are sets; |A| = a; |D| = d;  $[A]^r = \bigcup (\lambda \in D)I_{\lambda}$ then there is a set  $A' \in [A]^c$  and an element  $\lambda$  of D such that  $[A']^r \subset I_{\lambda}$ . For every cardinal m we put  $m^+ = \min\{n: n > m\}$ . If m has the form  $p^+$  then we put  $m^- = p$ , and in all other cases  $m^- = m$ . By  $\omega(m)$  we denote the least ordinal  $\lambda$  such that  $|\lambda| = m$ . For every ordinal  $\alpha$ , put  $\underline{\alpha} = \{\lambda: \lambda < \alpha\}$ , and for every cardinal m put  $\underline{m} = \underline{\omega}(\underline{m})$ . If  $\underline{m} \ge \aleph_0$ , then the symbol cf(m) denotes the least cardinal c such that  $\overline{m} = \sum (\gamma \in \underline{c})m_{\gamma}$  for some cardinals  $m_{\gamma} < m$ . The function cf is the cofinality function. Instead of  $(cf(m))^+$  we write cf<sup>+</sup>(m), and similarly

[2]

in other cases. For objects x, y the symbol  $\{x, y\}_{\neq}$  denotes the set  $\{x, y\}$  and at the same time expresses the condition that  $x \neq y$ . If d is a cardinal then the symbol  $(A_{\gamma}: \gamma \in N)_d$  denotes the system  $(A_{\gamma}: \gamma \in N)$  and expresses the condition that  $|A_{\mu} \cap A_{\gamma}| = d$  for  $\{\mu, \gamma\}_{\neq} \subset N$ . Symbols like  $(A_{\gamma}: \gamma \in N)_{< d}$  have the obvious meaning.

We use the obliterator  $\uparrow$ ; its effect consists in deleting from a well-ordered sequence the element above which it is placed. Other uses of  $\uparrow$  will be self-explanatory. If  $x = (x_0, \dots, \hat{x}_k)$  and  $y = (y_0, \dots, \hat{y}_k)$  are sequences of the same length k, and  $x \neq y$ , then there is an ordinal i < k, denoted by  $x \circ y$ , such that  $x_j = y_j$  (j < i);  $x_i \neq y_i$ . We shall occasionally use that

$$\{j < k : (x_0, \dots, \hat{x}_j) = (y_0, \dots, \hat{y}_j)\} = \underline{x \circ y + 1}, \\\{j < k : (x_0, \dots, x_j) = (y_0, \dots, y_j)\} = x \circ y.$$

If  $(S, \prec)$  is an ordered set and *n* is an ordinal;  $x_0, \dots, \hat{x}_n \in S$ , then the symbol  $\{x_0, \dots, \hat{x}_n\}_{\prec}$  denotes the set  $\{x_0, \dots, \hat{x}_n\}$  and expresses the condition that  $x_{\mu} \prec x_{\gamma}$  for  $\mu < \gamma < n$ . A set  $A \subset S$  is said to be cofinal in  $(S, \prec)$  if  $\bigcup (x \in A)$   $\{y \in S : y \leq x\} = S$ . It is well known that if  $a \geq \aleph_0$  and  $\operatorname{tp}(S, \prec) = \omega(a)$ , then  $\operatorname{cf}(a)$  is the minimum of the cardinals of the sets A which are cofinal in  $(S, \prec)$ . Finally, a symbol such as  $((A_{\gamma})_{\gamma \in N}, B)$  denotes the family  $(D_{\lambda} : \lambda \in L)$ , where  $L = N \cup \{\rho\}; \rho \notin N; D_{\lambda} = A_{\lambda}$  for  $\lambda \in N$ , and  $D_{\rho} = B$ .

3.

THEOREM 1. Let m, n be cardinals;  $m \ge \aleph_0$ ; n < m. Let  $\mathscr{F} = (A_{\gamma}; \gamma \in N)_{< n} \in \Omega$   $(m^+, m)$ .

(i) If  $m^n = m$  then the system  $\mathscr{F}$  has a st  $\Delta(m^+)$ -subsystem;

(ii) If  $m^n > m$  and GCH holds, then  $\mathscr{F}$  has a st  $\Delta(p)$ -subsystem for every p < m;

(iii) the proposition (ii) becomes false if the hypothesis p < m is replaced by  $p \leq m$ .

REMARKS. (a) A. Hajnal made valuable contributions towards proving Theorem 1.

(b) It is well known that, for every  $m \ge \aleph_0$ , the relation  $m^n = m$  holds if and only if  $1 \le n < cf(m)$  (assuming GCH).

# 4. Discretization sequences

Let  $\mathscr{F} = (A_{\gamma}; \gamma \in N)$  be a given system. A discretization sequence (d-sequence) of  $\mathscr{F}$  is any sequence  $(N_0, \dots, \hat{N}_k)$  such that  $k = \omega(|N|^+)$  and, for each  $\lambda < k$ , the set  $N_{\lambda}$  is maximal with the properties

$$N_{\lambda} \subset N - N_{\lambda}; \ (A_{\gamma} - A_{N_{\lambda}}; \ \gamma \in N_{\lambda})_{0}.$$

Thus  $N_0$  is maximal such that  $N_0 \subset N$ ;  $(A_\gamma; \gamma \in N_0)_0$ . Next,

 $N_1$  is maximal such that  $N_1 \subset N - N_0$ ;  $(A_\gamma - A_{N_0}; \gamma \in N_1)_0$ ;

 $N_2$  is maximal such that  $N_2 \subset N - (N_0 \cup N_1)$ ;  $(A_\gamma - A_{N_0} \cup N_1; \gamma \in N_2)_0$ ,

and so on. Let us put  $A_{N\underline{\lambda}} = S_{\lambda}$  for every ordinal  $\lambda < k$ , and  $A_{N\underline{p}} = S_p$  for every cardinal p < |k|.

LEMMA 1. Let  $(N_0, \dots, \hat{N}_k)$  be a d-sequence of  $(A_\gamma; \gamma \in N)$ .

(3) There is 
$$k_0 < k$$
 such that  $\{\lambda < k : N_\lambda \neq \emptyset\} = \underline{k}_0$ ;

(4) if 
$$\lambda < k$$
;  $\{\mu, \gamma\}_{\neq} \subset N_{\lambda}$ , then  $A_{\mu} \cap A_{\gamma} \subset S_{\lambda}$ ;

(5) if 
$$\lambda < k$$
;  $\mu \in N - N_{\lambda+1}$ , then  $A_{N_{\lambda}} \cap A_{\mu} \notin S_{\lambda}$ ;

(6) if 
$$\lambda < k$$
;  $\mu \in N - N_{\underline{\lambda}}$ , then  $|S_{\lambda} \cap A_{\mu}| \geq |\lambda|$ 

PROOF OF (3). Let  $\lambda < \mu < k$ ;  $N_{\lambda} = \emptyset$ . Then, by definition of  $N_{\mu}$ , we have  $N_{\mu} = \emptyset$ . Also, |k| > |N|.

PROOF OF (4).  $A_{\mu} \cap A_{\gamma} - S_{\lambda} = (A_{\mu} - S_{\lambda}) \cap (A_{\gamma} - S_{\lambda}) = \emptyset$  by definition of  $N_{\lambda}$ .

PROOF OF (5). The relation  $(A_{\gamma} - S_{\lambda}: \gamma \in N_{\lambda} \cup \{\mu\})_0$  is false by the maximality of  $N_{\lambda}$ . Hence there is  $\gamma \in N_{\lambda}$  such that  $(A_{\mu} - S_{\lambda}) \cap (A_{\gamma} - S_{\lambda}) \neq \emptyset$ . Then  $A_{\mu} \cap A_{\gamma} \notin S_{\lambda}$ ;  $A_{\mu} \cap A_{N\lambda} \supset A_{\mu} \cap A_{\gamma} \notin S_{\lambda}$ .

PROOF OF (6). Let  $\kappa < \lambda$ . Then  $\mu \in N - N_{\lambda} \subset N - N_{\kappa+1}$  and, by (5), there is  $x_{\kappa} \in A_{N_{\kappa}} \cap A_{\mu} - S_{\kappa}$ . If  $\kappa' < \kappa$  then  $x_{\kappa} \in A_N - \overline{A_{N_{\kappa}'}} \subset A_N - \overline{\{x_{\kappa'}\}}$ . Hence  $|S_{\lambda} \cap A_{\mu}| \ge |\{x_0, \dots, \hat{x}_{\lambda}\}_{\neq}| = |\lambda|$ . This proves Lemma 1.

PROOF OF THEOREM 1.

*Proof of* (i). Let  $(N_0, \dots, \hat{N}_k)$  be a *d*-sequence of  $\mathscr{F}$ . Then  $k = \omega(m^{++})$ .

CASE 1. There is  $\kappa \in \underline{n}$  with  $|N_{\kappa}| = m^+$ . Then there is  $\kappa_0 = \min\{\kappa \in n : |N_{\kappa}| = m^+\}$ . Then  $|S_{\kappa_0}| \leq nmm = m$ . Put  $P = \{\gamma \in N_{\kappa_0} : |A_{\gamma} \cap S_{\kappa_0}| \geq n\}; Q = N_{\kappa_0} - P$ .

CASE 1a.  $|P| = m^+$ . Then, for  $\gamma \in P$ , there is  $B_{\gamma} \in [A_{\gamma} \cap S_{\kappa_0}]^n$ . Then  $|\{B_{\gamma}: \gamma \in P\}| \leq |[S_{\kappa_0}]^n| \leq m^n = m < |P|$ , and there is  $\{\mu, \gamma\}_{\neq} \subset P$  such that  $B_{\mu} = B_{\gamma}$ . Then  $|A_{\mu} \cap A_{\gamma}| \geq |B_{\mu} \cap B_{\gamma}| = |B_{\mu}| = n > |A_{\mu} \cap A_{\gamma}|$  which is a contradiction.

CASE 1b.  $|P| \leq m$ . Then  $|Q| = m^+$ ;  $|A_{\gamma} \cap S_{\kappa_0}| < n \ (\gamma \in Q)$ . Since  $|[S_{\kappa_0}]^{<n}| \leq \sum (t < n)m^t \leq nm^n = m$ , there is  $D \in [S_{\kappa_0}]^{<n}$  and  $Q' \in [Q]^{m+}$  such that  $A_{\gamma} \cap S_{\kappa_0} = D$  for all  $\gamma \in Q'$ . Then, by Lemma 1(4),  $A_{\mu} \cap A_{\gamma} = D$  for  $\{\mu, \gamma\}_{\neq} \subset Q'$  and so

$$(A_{\gamma}: \gamma \in Q') \in \operatorname{st} \Delta(m^+).$$

CASE 2.  $|N_{\kappa}| \leq m$   $(\kappa \in n)$ . Then  $|N_{\underline{n}}| \leq nm = m$ ;  $|N - N_{\underline{n}}| = m^+$ . By Lemma 1(6),  $|A_{\gamma} \cap S_n| \geq n$   $(\overline{\gamma} \in N - N_{\underline{n}})$ . Choose  $B_{\gamma} \in [A_{\gamma} \cap S_n]^n$  for  $\gamma \in N - N_{\underline{n}}$ . Then

$$\left|\left\{B_{\gamma}: \gamma \in N - N_{\underline{n}}\right\}\right| \leq \left|\left[S_{\underline{n}}\right]^{n}\right| \leq (mm)^{n} = m < \left|N - N_{\underline{n}}\right|,$$

and there is  $\{\mu, \gamma\}_{\neq} \subset N - N_n$  such that  $B_{\mu} = B_{\gamma}$ . Then

$$|A_{\mu} \cap A_{\gamma}| \geq |B_{\mu} \cap B_{\gamma}| = |B_{\mu}| = n > |A_{\mu} \cap A_{\gamma}|$$

which is a contradiction. This proves (i).

Before proving (ii) we establish a lemma.

LEMMA 2. Let

$$n < m \ge \aleph_0; \ m^n > m; \ \left| S \right| = m; \ \left| N \right| = m^+;$$
  
 $X_{\gamma} \in [S]^m \ (\gamma \in N).$ 

Assume GCH. Then there is  $\{\mu, \gamma\}_{\neq} \subset N$  such that  $|X_{\mu} \cap X_{\gamma}| > n$ .

PROOF OF LEMMA 2.  $n \ge cf(m)$ . There is a respresentation  $S = T_0 \cup \cdots \cup \hat{T}_t$ such that  $t = \omega(cf(m)); |T_{\lambda}| = m_{\lambda} < m (\lambda < t)$ . Let  $\gamma \in N$ . Then there is  $\lambda_{\gamma} < t$ such that  $|X_{\gamma} \cap T_{\lambda_{\gamma}}| > n$ . For otherwise we obtain the contradiction

$$m = |X_{\gamma}| \leq \Sigma (\lambda < t) |X_{\gamma} \cap T_{\lambda}| \leq |t| n < m.$$

Now there is  $M \in [N]^{m^+}$  and  $\lambda'$  such that  $\lambda_{\gamma} = \lambda' \ (\gamma \in M)$ . Then

$$\left|X_{\gamma} \cap T_{\lambda'}\right| > n \ (\gamma \in M).$$

Since  $|[T_{\lambda'}]^{>n}| \leq 2^{m\lambda'} < m^+$ , there is  $\{\mu, \gamma\}_{\neq} \subset M$  with  $X_{\mu} \cap T_{\lambda'} = X_{\gamma} \cap T_{\lambda'}$ . Then  $|X_{\mu} \cap X_{\gamma}| \geq |X_{\mu} \cap X_{\gamma} \cap T_{\lambda'}| = |X_{\mu} \cap T_{\lambda'}| > n$ .

PROOF OF THEOREM 1 (ii). Let  $(N_0, \dots, \hat{N}_k)$  be a *d*-sequence of  $(A_\gamma; \gamma \in N)$ . Then  $k = \omega(m^{++})$ . Let  $S_\lambda$  and  $S_p$  have their previous meaning.

CASE 1.  $|N_{\underline{m}}| \leq m$ . Then  $|N - N_{\underline{m}}| = m^+$ ;  $|S_{\underline{m}}| \leq m$ . By Lemma 1(6),  $|S_{\underline{m}} \cap A_{\gamma}| \geq m$   $(\gamma \in N - N_{\underline{m}})$ . By Lemma 2, there is  $\{\mu, \gamma\}_{\neq} \subset N - N_{\underline{m}}$  such that

$$|A_{\mu} \cap A_{\gamma}| \ge |(S_{m} \cap A_{\mu}) \cap (S_{m} \cap A_{\gamma})| > n > |A_{\mu} \cap A_{\gamma}|$$

which is false.

CASE 2. 
$$|N_{\underline{m}}| = m^+$$
. Then there is  $\lambda_0 = \min\{\lambda \in \underline{m} : |N_{\lambda}| = m^+\}$ . Then  $|A_{\gamma} \cap S_{\lambda_0}| \leq |S_{\lambda_0}| \leq m \ (\gamma \in N)$ .

CASE 2*a*. There is  $M \in [N_{\lambda_0}]^{m^+}$  such that  $|A_{\gamma} \cap S_{\lambda_0}| = m$  ( $\gamma \in M$ ). Then, by Lemma 2, there is  $\{\mu, \gamma\}_{\neq} \subset M$  such that

$$\left| (A_{\mu} \cap S_{\lambda_0}) \cap (A_{\gamma} \cap S_{\lambda_0}) \right| > n > \left| A_{\mu} \cap A_{\gamma} \right|.$$

This is a contradiction.

CASE 2b. There is  $M \in [N_{\lambda_0}]^{m^+}$  such that  $|A_{\gamma} \cap S_{\lambda_0}| < m \ (\gamma \in M)$ .

Then there is  $M' \in [M]^{m^+}$  such that the cardinal  $|A_{\gamma} \cap S_{\lambda_0}|$  is constant for  $\gamma \in M'$ , say  $|A_{\gamma} \cap S_{\lambda_0}| = q$  ( $\gamma \in M'$ ). There are sets  $X_{\gamma}, B_{\gamma}$  such that  $((X_{\gamma})_{\gamma \in M'}, A_{N})_{0}$  and  $|B_{\gamma}| = p + q = p_{0}$ , say ( $\gamma \in M'$ ), where  $B_{\gamma} = (A_{\gamma} \cap S_{\lambda_0}) \cup X_{\gamma}$  ( $\gamma \in M'$ ). Then  $(B_{\gamma}: \gamma \in M') \in \Omega(\geq p_{0}^{++}, p_{0})$ , and by [1], Theorem I, there is  $M'' \subset M'$  such that  $(B_{\gamma}: \gamma \in M'') \in \operatorname{st} \Delta(p_{0}^{++})$ . Then  $(A_{\gamma} \cap S_{\lambda_{0}}: \gamma \in M'') \in \operatorname{st} \Delta(p_{0}^{++})$  and, by Lemma 1,  $(A_{\gamma}: \gamma \in M'') \in \operatorname{st} \Delta(p_{0}^{++})$ . This proves Theorem 1 (ii).

PROOF OF THEOREM 1 (iii). It suffices to find a system

$$(A_{\gamma}: \gamma \in N)_{\leq cf(m)} \in \Omega(m^+, m)$$

which has no st  $\Delta(m)$ -subsystem. Put  $k = \omega(cf(m))$ . There are cardinals  $m_{\lambda}$  such that  $m_0, \dots, \hat{m}_k < m = m_0 + \dots + \hat{m}_k$ . Put

$$N = \{ \gamma = (\gamma_0, \cdots, \hat{\gamma}_k) \colon \gamma_{\lambda} \in \underline{m}_{\lambda}(\lambda < k) \},$$
$$B_{\gamma} = \{ (\gamma_0, \cdots, \hat{\gamma}_k) \colon \lambda < k \} \ (\gamma = (\gamma_0, \cdots, \hat{\gamma}_k) \in N) .$$

Then  $(B_{\gamma}: \gamma \in N) \in \Omega(\Pi m_{\lambda}, |k|)$ . We have  $\Pi m_{\lambda} = m^{+}$ ; |k| = cf(m) < m. Let  $|X_{\gamma}| = m \ (\gamma \in N)$  and  $((X_{\gamma})_{\gamma \in N}, B_{N})_{0}$ , and put  $A_{\gamma} = B_{\gamma} \cup X_{\gamma} \ (\gamma \in N)$ . Then  $(A_{\gamma}: \gamma \in N) \in \Omega(m^{+}, m)$ . Let  $\{\mu, \gamma\}_{\neq} \subset N$ . Then there is  $\lambda_{0} = \mu \circ \gamma$ , and we have

$$\left|A_{\mu} \cap A_{\gamma}\right| = \left|(B_{\mu} \cup X_{\mu}) \cap (B_{\gamma} \cup X_{\gamma})\right| = \left|B_{\mu} \cap B_{\gamma}\right| = \left|\lambda_{0}\right| < \left|k\right| = \mathrm{cf}(m).$$

Now let  $M \subset N$  and  $(A_{\gamma}; \gamma \in M) \in \text{st } \Delta$ . Then  $(B_{\gamma}; \gamma \in M) \in \text{st } \Delta$ . But then there is  $\lambda_1 < k$  such that  $\mu \circ \gamma = \lambda_1$  and  $B_{\mu} \cap B_{\gamma} = \{(\rho_0, \dots, \hat{\rho}_{\lambda}): \lambda \leq \lambda_1\}$  for all  $\{\mu, \gamma\}_{\neq} \subset M$ . Here  $\rho_{\lambda} \in \underline{m}_{\lambda}(\lambda < \lambda_1)$ , and  $\rho_0, \dots, \hat{\rho}_{\lambda_1}$  are independent of  $\mu, \gamma$ . Therefore

$$|M| = |\{\gamma_{\lambda_1}: (\gamma_0, \cdots, \hat{\gamma}_k) \in M\}| \leq m_{\lambda_1} < m$$

and the proof of Theorem 1 is completed.

# 5. Some special Theorems

**THEOREM 2.** Let  $(A_{\gamma}: \gamma \in N) \in \text{wk } \Delta$ . Assume that (i)  $|A_{\gamma}| \leq n < \aleph_0$  for  $\gamma \in N$ , (ii)  $|A_{\mu} \cap A_{\gamma}| = k$  for  $\{\mu, \gamma\}_{\neq} \subset N$ , (iii)  $|N| > 1 + n\binom{n}{k}$ . Then  $(A_{\gamma}: \gamma \in N) \in \text{st } \Delta$ .

**PROOF.** Let  $\gamma_0 \in N$ . By (i) and (ii),

$$\left| \left\{ A_{\gamma} \cap A_{\gamma_0} \colon \gamma \in N - \{\gamma_0\} \right\} \right| \leq {n \choose k}.$$

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[6]

Hence, by (iii), there are sets M, D with  $M \in [N - {\gamma_0}]^{n+1}$  and  $D \in [A_{\gamma_0}]^k$  such that  $A_{\mu} \cap A_{\gamma_0} = D$  for  $\mu \in M$ .

CASE 1. There is  $\gamma_1 \in N - \{\gamma_0\}$  with  $D \notin A_{\gamma_1}$ . Then, for every  $\mu \in M$ , we have  $A_{\mu} \cap A_{\gamma_1} \neq D$ , and there is  $x_{\mu} \in A_{\mu} \cap A_{\gamma_1} - D$ . Then

$$|\{x_{\mu}: \mu \in M\}| \leq |A_{\gamma_1}| \leq n < |M|,$$

and there is  $\{\rho, \sigma\}_{\neq} \subset M$  with  $x_{\rho} = x_{\sigma}$ . Then  $x_{\rho} \in A_{\rho} \cap A_{\sigma} = D$  which is a contradiction.

CASE 2.  $D \subset A_{\gamma}$  for all  $\gamma \in N - \{\gamma_0\}$ . Then  $A_{\mu} \cap A_{\gamma} = D$  for  $\{\mu, \gamma\}_{\neq} \subset N$  and the theorem follows.

Definitions:  $(A_{\gamma}: \gamma \in N)$  is called a system without repetition if  $A_{\mu} \neq A_{\gamma}$  for  $\{\mu, \gamma\}_{\neq} \subset N$ . For  $n < \aleph_0$ , denote by g(n) the largest integer such that there exists a (g(n), n)-system without repetition which has no wk  $\Delta(3)$ -subsystem. Let h(n) be defined similarly but with repetitions allowed.

It is easy to see that g(1) = 1; g(2) = 5;  $g(3) \ge 10$ . D. Hanson proved that g(3) = 10.

THEOREM 3. For all n with  $0 < n < \aleph_0$ ,

(i) 
$$h(n) = 2g(n)$$
, (ii)  $g(n+1) \ge 2g(n)$ .

COROLLARY.  $g(n) \ge 5.2^{n-2}$  for  $n \ge 2$ .

PROOF OF (i). If  $(A_1, A_2, \dots, A_x)$  is a (g(n), n)-system without repetition which has no wk  $\Delta(3)$ -subsystem, then  $(A_1, \dots, A_x, A_1, \dots, A_x)$  is a (2g(n), n)-system, with repetition, and again without wk  $\Delta(3)$ -subsystem. Hence  $h(n) \ge 2g(n)$ . If, for some *n*, we have h(n) > 2g(n) then there is a (> 2g(n), n)-system without wk  $\Delta(3)$ subsystem. Such a system contains at least g(n) + 1 distinct members, and these form a system whose existence contradicts the definition of g(n). Hence (i).

PROOF OF (ii). There is a (g(n), n)-system  $(A_{\gamma}: \gamma \in N)$  without repetition and without wk  $\Delta(3)$ -subsystem. Let  $x_{\gamma\lambda}$  be any 2g(n) distinct objects, for  $\gamma \in N$  and  $\lambda \in 2$  which do not belong to  $A_N$ . Then it is easily verified that

$$(A_{\gamma} \cup \{x_{\gamma\lambda}\}: \gamma \in N; \lambda \in \underline{2})$$

is a (2g(n), n + 1)-system without repetition and without wk  $\Delta(3)$ -subsystem. This proves (ii).

THEOREM 4. Let a > 0 and  $1 \le n \le \aleph_0$ . Then there is an  $(a^n, n)$ -system  $(A_x: x \in X)_{\le n}$  which has no wk  $\Delta(a^+)$ -subsystem.

PROOF. Put  $X = \{x = (x_0, \dots, \hat{x}_n) \colon x_0, \dots, \hat{x}_n \in \underline{a}\};$  $A_x = \{(x_0, \dots, x_n) \colon y \in n\} \quad (x \in X).$  Then  $(A_x: x \in X)_{< n} \in \Omega(a^n, n)$ . If  $\{x, y\}_{\neq} \subset X$  then

$$\left|A_{x} \cap A_{y}\right| = \left|\left\{(x_{0}, \cdots, x_{\gamma}): \gamma < x \circ y\right\}\right| = x \circ y < n.$$

Let  $X' \subset X$  and  $(A_x: x \in X') \in \text{wk } \Delta$ . Then there is m < n such that  $x \circ y = m$  for  $\{x, y\}_{\neq} \subset X'$ , and hence  $|X'| = |\{x_m: x \in X'\}| \leq a$ . The theorem follows.

THEOREM 5. Let  $\alpha$  be a non-zero ordinal, and put  $d_{\alpha} = 2^{|\alpha|}$ . Then there is a  $(d_{\alpha}, \aleph_{\alpha})$ -system  $(A_{\gamma}; \gamma \in N)_{<\aleph_{\alpha}}$  without wk  $\Delta(3)$ -subsystem. In particular, we have  $(d_{\alpha}, \aleph_{\alpha}) \mapsto \text{wk } \Delta(3)$ . If (i)  $2^{|\beta|} \leq \aleph_{\alpha}$  for  $\beta < \alpha$ , (ii)  $\aleph_{\alpha} = |\alpha|$ , then we can stipulate that, in addition,  $|A_N| = \aleph_{\alpha}$ .

REMARK. The condition (i) is a weak version of the generalized continuum hypothesis, and the condition (ii) is equivalent to  $\omega_{\alpha} = \alpha$  and is known to hold for some  $\alpha$ .

PROOF. Let the letter  $\lambda$  denote elements of 2, and the letters  $\beta$ ,  $\gamma$ ,  $\delta$  elements of  $\underline{\alpha}$ . Let  $|X(\lambda_0, \dots, \lambda_{\beta})| = \aleph_{\beta+1}$  for all  $\beta$ ,  $\lambda_0, \dots, \lambda_{\beta}$ , and

$$(X(\lambda_0,\cdots,\lambda_\beta):\beta\in\underline{\alpha};\ \lambda_0,\cdots,\lambda_\beta\in\underline{2})_0.$$

Put  $N = \{(\lambda_0, \dots, \hat{\lambda}_{\alpha}) : \lambda_0, \dots, \hat{\lambda}_{\alpha} \in \underline{2}\}$  and  $A(\lambda_0, \dots, \hat{\lambda}_{\alpha}) = \bigcup (\beta < \alpha) X(\lambda_0, \dots, \lambda_{\beta})$ for  $(\lambda_0, \dots, \hat{\lambda}_{\alpha}) \in N$ . Then  $|N| = 2^{|\alpha|}; |A(\lambda_0, \dots, \hat{\lambda}_{\alpha})| = \sum (\beta < \alpha)\aleph_{\beta+1} = \aleph_{\alpha}$ . Now suppose that  $\{(\lambda_0, \dots, \hat{\lambda}_{\alpha}), (\lambda'_0, \dots, \hat{\lambda}'_{\alpha}), (\lambda''_0, \dots, \hat{\lambda}''_{\alpha})\}_{\neq} \subset N$ . Put  $\rho = \lambda \circ \lambda'$ . Then  $|A(\lambda) \cap A(\lambda')| = \sum (\gamma < \rho)\aleph_{\gamma+1} \leq \aleph_{\rho} < \aleph_{\alpha}$ . Put  $\sigma = \lambda \circ \lambda''; \tau = \lambda' \circ \lambda''$ . Change the notation, if necessary, so that  $\rho \leq \sigma \leq \tau$ . Then

$$\rho < \tau; \left| A(\lambda) \cap A(\lambda') \right| \leq \aleph_{\rho} < \aleph_{\rho+1} \leq \aleph_{\tau} = \sum (\gamma < \tau) \aleph_{\gamma+1} = \left| A(\lambda') \cap A(\lambda'') \right|.$$

Hence the  $(2^{|\alpha|}, \aleph_{\alpha})$ -system  $(A(\lambda): \lambda \in N)_{<\aleph_{\alpha}}$  has no wk  $\Delta(3)$ -subsystem. Now suppose that (i) and (ii) hold. Then

$$\begin{aligned} \left| \bigcup \left(\lambda \in N\right) A(\lambda) \right| &= \left| \bigcup \left(\beta < \alpha; \lambda_0, \cdots, \lambda_\beta \in \underline{2}\right) X(\lambda_0, \cdots, \lambda_\beta) \right| \\ &= \sum \left(\beta < \alpha\right) 2^{|\beta+1|} \aleph_{\beta+1} = \aleph_{\alpha}; \left| N \right| = 2^{|\alpha|} = 2^{\aleph_{\alpha}}. \end{aligned}$$

Hence, on changing the notation slightly, we obtain a  $(2^{\aleph_{\alpha}}, \aleph_{\alpha})$ -system  $(A_{\mu}: \mu \in M)$  without wk  $\Delta(3)$ -subsystem, and now  $|A_{M}| = \aleph_{\alpha}$ .

THEOREM 6. Let  $a = \aleph_{\omega}$ . Then (i) assuming GCH, there is an  $(a^+, \aleph_0)$ -system  $(A_{\lambda}: \lambda \in L)_{<\aleph_0}$  with  $|A_L| \leq a$ ; (ii) no  $(a^+, \aleph_0)$ -system  $(B_{\lambda}: \lambda \in L)_{<\aleph_0}$  with  $|B_L| \leq a$  has a wk  $\Delta(a^+)$ -subsystem; (iii) if GCH holds then

$$(\aleph_{\omega+1}, \aleph_0) \leftrightarrow \mathrm{wk} \Delta(\aleph_{\omega+1}).$$

REMARKS. The result (i) is due to A. Tarski. For the convenience of the reader we give a proof. In Section 7, Case 1 b2a1, we prove  $(\aleph_{\omega+1}, \aleph_0) \leftrightarrow wk\Delta(\aleph_{\omega})$ , a relation which is stronger than (iii).

PROOF OF (i). Let L be the set of all sequences  $\lambda = (l_0, \dots, \hat{l}_{\omega})$  such that  $l_{\gamma} \in \underline{\omega}_{\gamma}$ for  $\gamma < \omega$ . Put  $A_{\lambda} = \{(l_0, \dots, \hat{l}_{\mu}) : \mu < \omega\}$  for  $\lambda \in L$ . Then  $(A_{\lambda} : \lambda \in L) \in \Omega(a^+, \aleph_0)$ ;

$$|A_L| = |\{(l_0, \dots, \hat{l}_{\mu}): \mu < \omega; l_{\gamma} \in \underline{\omega}_{\gamma} \text{ for } \gamma < \mu\}| = \sum (\mu < \omega) \prod (\gamma < \mu)\aleph_{\gamma} = a.$$
  
If  $\{\lambda, \lambda'\}_{\neq} \subset L$  then there is  $\gamma_0 = \lambda \circ \lambda'$ , and we have  $|A_{\lambda} \cap A_{\lambda'}| = \gamma_0 + 1 < \aleph_0.$ 

PROOF OF (ii). Let the  $(a^+, \aleph_0)$ -system  $(B_{\lambda}: \lambda \in L)_{<\aleph_0}$  satisfy  $|B_L| \leq a$ . Let  $(B_{\lambda}: \lambda \in L') \in \text{wk } \Delta$  for some  $L' \in [L]^{a^+}$ . Choose  $\{\lambda', \lambda''\}_{\neq} \subset L'$ . Then  $|B_{\lambda'} \cap B_{\lambda''}| = p < \aleph_0$ . Choose  $D_{\lambda} \in [B_{\lambda}]^{p+1}$  for  $\lambda \in L'$ . Then  $|\{D_{\lambda}: \lambda \in L'\}| \leq |B_L| < |L'|$  and therefore there is  $\{\rho, \sigma\}_{\neq} \subset L'$  such that  $D_{\rho} = D_{\sigma}$ . Then

$$p = |B_{\rho} \cap B_{\sigma}| \ge |D_{\rho}| = p+1$$

which is the required contradiction.

#### 6. Some Lemmas

It is convenient to use the function  $\psi(a) = |\{x : x \leq a\}|$ , where a ranges over cardinals. Thus,  $\psi(\aleph_{\alpha}) = \aleph_0 + |\alpha|$ .

Throughout the rest of this paper we use the following notation for two fixed cardinals:

$$a = \aleph_a; \quad b = \aleph_{\theta}.$$

Furthermore, GCH is assumed without reference being made to this fact.

LEMMA 3. Let a > cf(a). Then  $(a, b) \leftrightarrow wk \Delta(a)$ .

**PROOF.** If  $n = \omega(cf(a))$  then there are cardinals  $a_{y}$  with

 $a_0, \cdots, \hat{a}_n < a = a_0 + \cdots + \hat{a}_n.$ 

Choose sets  $B_{\gamma}$  with  $|B_{\gamma}| = b (\gamma < n)$  and  $(B_0, \dots, \hat{B}_n)_0$ , and put  $D_{\gamma\lambda} = B_{\gamma}$  for  $\gamma < n$  and  $\lambda \in \underline{a}_{\gamma}$ . Then  $(D_{\gamma\lambda}: \gamma < n; \lambda \in \underline{a}_{\gamma}) \in \Omega(a, b)$ . Let  $D_{\gamma} \subset \underline{a}_{\gamma} (\gamma < n)$ ;

$$(D_{\gamma\lambda}: \gamma < n; \lambda \in D_{\gamma}) \in \mathrm{wk} \Delta(c)$$

CASE 1. There is  $\gamma_0 < n$  such that  $|D_{\gamma_0}| \ge 2$ . Choose  $\{\sigma, \tau\}_{\neq} \subset D_{\gamma_0}$ . Then  $|D_{\gamma_0\sigma} \cap D_{\gamma_0\tau}| = b > 0$ . Hence  $D_{\gamma} = \emptyset$  for  $\gamma \in \underline{n} - \{\gamma_0\}$ , and so

$$c = \Sigma (\gamma < n) |D_{\gamma}| = |D_{\gamma_0}| \leq a_{\gamma_0} < a.$$

CASE 2. 
$$|D_{\gamma}| < 2$$
 for  $\gamma < n$ . Then  $\sum (\gamma < n) |D_{\gamma}| \leq |n| = cf(a) < a$ .

LEMMA 4. Let b < cf(c). Then  $(c^+, b) \rightarrow st \Delta(c^+)$ .

**PROOF.** In [2], p. 471, the function s(x, y) was defined for all cardinals x, y such that  $x \ge 2$ ;  $y \ge 3$ ;  $x + y \ge \aleph_0$ , by putting

$$s(x, y) = \sup \{ \sum (\gamma \in \underline{x}) y_0 \cdots \hat{y}_{\gamma} \colon y_0, \cdots, \hat{y}_{\omega(x)} < y \}.$$

We have

$$s(b^+,c^+) = \Sigma (\gamma \in \underline{b}^+) c^{|\gamma|} \leq \Sigma (\gamma \in \underline{b}^+) c = b^+ c = c \leq s(b^+,c^+).$$

Here, the first inequality follows from  $|\gamma| \leq b < cf(c)$ , and the second inequality from b > 0. By [2], Theorem IV,

$$f_{\Delta}(b^+,c^+) = s^+(b^+,c^+),$$

and therefore

$$(s^+(b^+, c^+), \leq b) \to \operatorname{st} \Delta(c^+); (c^+, \leq b) \to \operatorname{st} \Delta(c^+);$$
$$(c^+, b) \to \operatorname{st} \Delta(c^+).$$

LEMMA 5. Let 
$$a = a^- = cf(a) > b$$
. Then  $(a, b) \rightarrow st \Delta(a)$ .

PROOF. 
$$s(b^+, a) \leq \Sigma (\gamma \in \underline{b}^+) a^{|\gamma|} \leq \Sigma (\gamma \in \underline{b}^+) a = b^+ a = a;$$
  
 $s(b^+, a) \geq \sup \{a_0 : a_0 < a\} = a.$ 

Hence  $s(b^+, a) = a$ . We now prove  $f_{\Delta}(b^+, a) = s(b^+, a)$ . We want to apply [2] Theorem IV (a) (iii). To do this we must prove

(i)  $\aleph_0 \leq b^+ < cf(a) \leq a^- = a$ ; (ii) if  $\sup \{a_0^b: a_0 < a\} = d$  then  $d = cf(d) > a_1^b$  for  $a_1 < a$ .

Now, (i) is true. Also,

$$\sup \{a_0^b : a_0 < a\} \leq \sup \{a_0^b b^+ : a_0 < a\} \leq a$$
$$\leq \sup \{a_0^b : a_0 < a\}; \sup \{a_0^b : a_0 < a\} = a = cf(a).$$

Finally, let  $a_1 < a$ . Then  $a_1^b \leq a_1^+ b^+ < a$ . This proves (ii), and we have, by [2],  $f_{\Delta}(b^+, a) = s(b^+, a) = a$ ;  $(a, < b^+) \rightarrow \text{st } \Delta(a)$ ;  $(a, b) \rightarrow \text{st } \Delta(a)$ .

LEMMA 6. Let a = cf(a);  $f(\mu, \gamma) \in \underline{2}$  for  $\mu < \gamma \in \underline{a}^+$ . Then there is an  $(a^+, a)$ -system  $(F_{\gamma}: \gamma \in \underline{a}^+)$  such that, for  $\mu < \gamma \in \underline{a}^+$ ,

$$\begin{aligned} \left| F_{\mu} \cap F_{\gamma} \right| &< a \quad \text{if } f(\mu, \gamma) = 0 \\ &= a \quad \text{if } f(\mu, \gamma) = 1 \,. \end{aligned}$$

**PROOF.** 1. We begin by showing that, given any (a, a)-system  $(A_{\gamma}: \gamma \in N)_{<a}$ , there is a set T (called a (< a)-transversal of the system) such that

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$$T\in [A_N]^a; \ 1\leq \left|T\cap A_{\mu}\right|$$

We may assume  $N = \underline{a}$ . Then there are elements  $x_{\gamma}$ , for  $\gamma \in \underline{a}$ , such that  $x_{\gamma} \in A_{\gamma} - (A_{\gamma} \cup \{x_{0}, \dots, \hat{x}_{\gamma}\}) \ (\gamma \in \underline{a})$ . We may put  $T = \{x_{\gamma} : \gamma \in \underline{a}\}_{\neq}$ . For, let  $\mu \in \underline{a}$ . If  $\xi \in T \cap A_{\mu}$ , then there is  $\gamma \in \underline{a}$  such that  $\xi = x_{\gamma} \in A_{\gamma} - A_{\gamma}$ . Also,  $\xi \in A_{\mu}$ . Hence  $\mu \notin \underline{\gamma}$ ;  $\mu \ge \gamma$ , so that  $1 \le |T \cap A_{\mu}| \le |\{x_{0}, \dots, x_{\mu}\}| = |\mu + 1| < a$ .

2. Choose a system  $(S_{\alpha\beta}: \alpha \in \underline{a}^+: \beta \in \underline{a})_0 \in \Omega(a^+, a)$ . We now choose sets  $B_{\mu}$ , for  $\mu \in \underline{a}^+$ , by the following procedure. Let  $\mu_0 \in \underline{a}^+$ , and suppose that  $B_0, \dots, \hat{B}_{\mu_0}$  have already been defined in such a way that

(\*) 
$$\begin{cases} B_{\mu} \text{ is a } (< a) \text{-transversal of the family} \\ ((S_{\alpha\beta}: \alpha \leq \mu; \beta \in \underline{a}), B_{0}, \cdots, \hat{B}_{\mu})_{< a} \text{ for } \mu < \mu_{0} \end{cases}$$

We show that

(\*\*) 
$$((S_{\alpha\beta}: \alpha \leq \mu_0; \beta \in \underline{a}), B_0, \cdots, \underline{B}_{\mu_0})_{< a}$$

Let  $\mu < \mu_0$ . Then

$$B_{\mu} \subset \bigcup (\alpha \leq \mu; \beta \in \underline{a}) \ S_{\alpha\beta} \cup B_{\underline{\mu}} = S_{\underline{\mu+1},\underline{a}} \cup B_{\underline{\mu}}, \text{ say.}$$

By induction over  $\mu$ , we deduce that  $B_{\mu} \subset S_{\mu+1,a}$  ( $\mu < \mu_0$ ).

(i) Let  $\alpha \leq \mu_0$ ;  $\beta \in a$ ;  $\gamma < \mu_0$ . If  $\alpha \leq \gamma$ , then  $|S_{\alpha\beta} \cap B_{\gamma}| < a$  by (\*) with  $\mu = \gamma$ . If  $\alpha > \gamma$ , then  $|S_{\alpha\beta} \cap B_{\gamma}| \leq |S_{\alpha\beta} \cap S_{\gamma+1,\alpha}| = 0$  since  $\alpha \notin \gamma + 1$ .

(ii) Let  $\rho < \sigma < \mu_0$ . Then  $|B_{\rho} \cap B_{\sigma}| < a$  by (\*) with  $\mu = \sigma$ . This proves (\*\*). Now let  $B_{\mu_0}$  be a (< a)-transversal of the family (\*\*). Put  $S_{\alpha} = \bigcup (\beta \in \underline{a})S_{\alpha\beta}$  $(\alpha \in \underline{a}^+)$ ;

$$A_{\alpha\mu} = S_{\alpha} \cap B_{\mu} \ (\alpha \leq \mu \in \underline{a}^+).$$

Then it follows, by induction on  $\mu$ , that

$$B_{\mu} \subset \bigcup (\alpha \leq \mu; \beta \in \underline{a}) \ S_{\alpha\beta} = \bigcup (\alpha \leq \mu) S_{\alpha};$$

 $B_{\mu} = \bigcup (\alpha \leq \mu) S_{\alpha} \cap B_{\mu} = \bigcup (\alpha \leq \mu) A_{\alpha\mu} (\mu \in \underline{a}^{+}). \text{ Since } |S_{\alpha\beta} \cap B_{\mu}| \geq 1 \ (\alpha \leq \mu \in \underline{a}^{+}; \ \beta \in \underline{a}), \text{ we have } |A_{\alpha\mu}| = a \ (\alpha \leq \mu \in \underline{a}^{+}). \text{ Put } F_{\gamma} = S_{\gamma} \cup \bigcup (\mu < \gamma; \ f(\mu, \gamma) = 1) A_{\mu\gamma} \ (\gamma \in \underline{a}^{+}). \text{ Then } S_{\gamma} \subset F_{\gamma} \subset S_{\underline{\gamma+1}} \ (\gamma \in \underline{a}^{+});$ 

$$(F_{\gamma}: \gamma \in \underline{a}^+) \in \Omega(a^+, a).$$

Now let  $\mu < \gamma \in \underline{a}^+$ . If  $f(\mu, \gamma) = 1$ , then  $A_{\mu\gamma} \subset F_{\gamma}$ ;  $A_{\mu\gamma} \subset S_{\mu} \subset F_{\mu}$ ;  $|F_{\mu} \cap F_{\gamma}| \ge |A_{\mu\gamma}| = a$ . Now suppose  $f(\mu, \gamma) = 0$ . Then  $F_{\mu} \cap F_{\gamma} = (S_{\mu} \cup \bigcup (\alpha < \mu; f(\alpha, \mu) = 1)A_{\alpha\mu}) \cap (S_{\gamma} \cup \bigcup (\beta < \gamma; f(\beta, \gamma) = 1)A_{\beta\gamma})$ . We note that  $S_{\mu} \cap S_{\gamma} = \emptyset$ ; if

 $f(\beta,\gamma) = 1$  then  $\beta \neq \mu$  and hence  $S_{\mu} \cap A_{\beta\gamma} \subset S_{\mu} \cap S_{\beta} = \emptyset$ . If  $\alpha < \mu$ , then  $A_{\alpha\mu} \cap S_{\gamma} \subset S_{\alpha} \cap S_{\gamma} = \emptyset$ ; if  $\alpha \neq \beta$ , then  $A_{\alpha\mu} \cap A_{\beta\gamma} \subset S_{\alpha} \cap S_{\beta} = \emptyset$ . All this shows that  $F_{\mu} \cap F_{\gamma} \subset \bigcup (\alpha < \mu)A_{\alpha\mu} \cap A_{\alpha\gamma} \subset B_{\mu} \cap B_{\gamma}$ ;  $|F_{\mu} \cap F_{\gamma}| \leq |B_{\mu} \cap B_{\gamma}| < \alpha$ . This proves Lemma 6.

LEMMA 7. Let 
$$a = cf(a)$$
. Then  $(a^+, a) \leftrightarrow wk \Delta(a^+)$ .

PROOF. By [3],  $a^+ \leftrightarrow (a^+)_2^2$ . Hence there is a function  $f: [a^+]^2 \leftrightarrow 2$  such that, whenever  $M \subset \underline{a}^+$  and f is constant on  $[M]^2$ , then  $|M| < a^+$ . By Lemma 6, there are sets  $F_{\gamma}$  such that  $|F_{\gamma}| = a$  for  $\gamma \in \underline{a}^+$  and, for  $\mu < \gamma \in \underline{a}^+$ ,  $|F_{\mu} \cap F_{\gamma}| < a$  if  $f(\mu, \gamma) = 0$ ;  $|F_{\mu} \cap F_{\gamma}| = a$  if  $f(\mu, \gamma) = 1$ . Then the  $(a^+, a)$ -system  $(F_{\gamma}: \gamma \in \underline{a}^+)$  has no wk  $\Delta(a^+)$ -subsystem.

LEMMA 8. Let  $a \to (c)^2_{\psi(b)}$ . Then  $(a, b) \to \text{wk } \Delta(c)$ .

**PROOF.** Let  $(A_{\gamma}: \gamma \in N) \in \Omega(a, b)$ . Then

$$[N]^{2} = \bigcup (b_{0} \leq b) \{ \{\mu, \gamma\}_{\neq} \subset N \colon |A_{\mu} \cap A_{\gamma}| = b_{0} \}.$$

By Hypothesis there are M and  $b_0$  such that  $M \in [N]^c$ ;  $b_0 \leq b$ ;  $|A_{\mu} \cap A_{\gamma}| = b_0$  for  $\{\mu, \gamma\}_{\neq} \subset M$ . Then

$$(A_{\gamma}: \gamma \in M)_{b_0} \in \mathrm{wk} \Delta(c).$$

LEMMA 9. Let  $a > a^-$ . Then  $(a^+, a) \rightarrow \text{wk} \Delta(a)$ .

PROOF.  $\psi(a) = \psi(a^{-}) \leq a^{-} < a$ . Hence, clearly,  $a \to (a)^{1}_{\psi(a)}$  and therefore, by the "stepping-up lemma" of [3],  $a^{+} \to (a)^{2}_{\psi(a)}$ . Now Lemma 8 yields  $(a^{+}, a) \to wk \Delta(a)$ .

LEMMA 10. Let  $(a, b) \leftrightarrow \text{wk } \Delta(c)$ . Then  $(a', b') \leftrightarrow \text{wk } \Delta(c')$  if  $a \ge a'$ ;  $b \le b'$ ;  $c \le c'$ .

REMARK. This lemma will be applied without reference.

**PROOF.** There is an (a, b)-system  $(A_{\gamma}: \gamma \in N)$  without wk  $\Delta(c)$ -subsystem. Choose sets  $B_{\gamma}$  such that  $A_{\gamma} \subset B_{\gamma}$  and  $|B_{\gamma}| = b'$  for  $\gamma \in N$ , and  $((B_{\gamma} - A_{\gamma})_{\gamma \in N}, A_{N})_{\alpha}$ . Let  $N' \in [N]^{\alpha'}$ . Then the (a', b')-system  $(B_{\gamma}: \gamma \in N')$  has no wk  $\Delta(c')$ -subsystem.

LEMMA 11.  $(\psi(b), b) \leftrightarrow \text{wk} \Delta(3)$ .

**PROOF.** Put  $N = \omega \cup \{\omega_0, \cdots, \hat{\omega}_{\beta}\};$ 

$$A_{\gamma} = \gamma \cup \{\xi \colon \omega_{\beta}\gamma \leq \xi < \omega_{\beta}(\gamma+1)\} \ (\gamma \in N).$$

Then the  $(\psi(b), b)$ -system  $(A_{\gamma}; \gamma \in N)$  has no wk  $\Delta(3)$ -subsystem. For if  $\{\mu, \gamma, \lambda\}_{<} \subset N$  then

$$|A_{\mu} \cap A_{\gamma}| = |\mu| < |\gamma| = |A_{\gamma} \cap A_{\lambda}|.$$

LEMMA 12. Let  $b = b^-$ . Then  $(b^+, b) \leftrightarrow wk \Delta(b)$ .

PROOF. Put 
$$N = \{ \gamma = (\gamma_0, \dots, \hat{\gamma}_{\omega_\beta}) \colon \gamma_0, \dots, \hat{\gamma}_{\omega_\beta} \in \underline{2} \};$$
  
$$A_{\gamma} = \{ (\gamma_0, \dots, \gamma_\lambda) \colon \lambda \in \underline{b} \} \ (\gamma \in N) .$$

Then  $(A_{\gamma}: \gamma \in N) \in \Omega(b^+, b)$ . Assume that there is  $M \in [N]^b$  such that  $(A_{\gamma}: \gamma \in M)_p$  for some p. Let  $\{\mu, \gamma\}_{\neq} \subset M$ . Then  $p = |A_{\mu} \cap A_{\gamma}| = |\mu \circ \gamma| < b$ ;  $\mu \circ \gamma \in p^+$ . Put  $\sigma = \omega(p^+)$ . Then  $|\{(\gamma_0, \dots, \hat{\gamma}_{\sigma}): (\gamma_0, \dots, \hat{\gamma}_{\omega_{\beta}}) \in M \text{ for some } \gamma_{\sigma}, \dots, \hat{\gamma}_{\omega_{\beta}}\}| \leq 2^{|\sigma|} = p^{++} < b = |M|$ , and there is  $\{\mu, \gamma\}_{\neq} \subset M$  such that  $(\mu_0, \dots, \hat{\mu}_{\sigma}) = (\gamma_0, \dots, \hat{\gamma}_{\sigma})$ . On the other hand, if  $\lambda = \mu \circ \gamma$  then  $\lambda < \sigma$ ;  $\mu_{\lambda} \neq \gamma_{\lambda}$ , which is a contradiction.

LEMMA 13. Let  $b = \psi(b)$ . Then  $(b^+, b) \leftrightarrow \text{wk} \Delta(3)$ .

PROOF. CASE 1.  $\beta = 0$ . The conclusion follows from the case a = 2;  $n = \aleph_0$  of Theorem 4.

CASE 2.  $\beta > 0$ . For  $\lambda < \beta$  and  $\gamma_0, \dots, \hat{\gamma}_{\lambda} \in \underline{2}$ , choose a set  $X(\gamma_0, \dots, \hat{\gamma}_{\lambda})$  with  $|X(\gamma_0, \dots, \hat{\gamma}_{\lambda})| = \aleph_{\lambda+1}$ , such that  $(X(\gamma_0, \dots, \hat{\gamma}_{\lambda}): \lambda < \beta; \gamma_0, \dots, \hat{\gamma}_{\lambda} \in \underline{2})_0$ . Put  $A_{\gamma} = \bigcup (\lambda < \beta) X(\gamma_0, \dots, \hat{\gamma}_{\lambda})$  for  $\gamma = (\gamma_0, \dots, \hat{\gamma}_{\beta}); \gamma_0, \dots, \hat{\gamma}_{\beta} \in \underline{2}$ . Then  $|A_{\gamma}| = \Sigma(\lambda < \beta) \aleph_{\lambda+1} = \aleph_{\beta} = b$ . We have  $|\{\gamma_0, \dots, \hat{\gamma}_{\beta}\}: \gamma_0, \dots, \hat{\gamma}_{\beta} \in \underline{2}\}| = 2^{|\beta|} = |\beta|^+ = b^+$ . Let  $(\mu, \gamma, \rho)_{\neq}$  and  $(A_{\mu}, A_{\gamma}, A_{\rho}) \in \text{wk } \Delta(3)$ . Put  $\mu \circ \gamma = \tau$ .

We note that  $\{\lambda: (\mu_0, \dots, \hat{\mu}_{\lambda}) = (\gamma_0, \dots, \hat{\gamma}_{\lambda})\} = \underline{\tau} + 1$ . Hence  $|A_{\mu} \cap A_{\gamma}| = |\bigcup (\lambda < \tau + 1)X(\gamma_0, \dots, \hat{\gamma}_{\lambda})| = \Sigma(\lambda < \tau + 1)\aleph_{\lambda+1} = \aleph_{\tau+1} = \aleph_{\mu\circ\gamma+1}$ . Therefore  $\tau = \mu \circ \gamma = \mu \circ \rho = \gamma \circ \rho$ , and  $(\mu_{\tau}, \gamma_{\tau}, \rho_{\tau})_{\neq}$  which is impossible. This proves Lemma 13.

LEMMA 14. Let  $cf(d) = \aleph_0$ . Then  $(d^+, \aleph_0) \leftrightarrow wk \Delta(d)$ .

PROOF. There are cardinals  $d_{\lambda}$  such that  $d_0, \dots, \hat{d}_{\omega} < d = d_0 + \dots + \hat{d}_{\omega}$ . Put

$$X = \{x = (x_0, \cdots, \hat{x}_{\omega}) \colon x_{\lambda} \in \underline{d}_{\lambda} \ (\lambda < \omega)\};$$

 $\begin{aligned} \mathcal{A}_{\mathbf{x}} &= \{ (x_0, \cdots, \hat{x}_{\lambda}) \colon \lambda < \omega \} \ (x \in X) \text{. Then } (\mathcal{A}_{\mathbf{x}} \colon x \in X) \in \Omega(d^+, \aleph_0) \text{. Let } L \subset X \text{ and} \\ (\mathcal{A}_{\mathbf{x}} \colon x \in L) \in \text{wk } \Delta \text{. Then there is } \sigma < \omega \text{ such that } \left| \mathcal{A}_{\mathbf{x}} \cap \mathcal{A}_{\mathbf{y}} \right| = \sigma + 1; \ x \circ y = \sigma \\ \text{for } \{x, y\}_{\neq} \subset L \text{. Then } \left| L \right| = \left| \{x_{\sigma} \colon x \in L\} \right| \leq d_{\sigma} < d \text{ which proves Lemma 14.} \end{aligned}$ 

LEMMA 15. Let  $cf(d) = \aleph_{\delta}$ . Then  $(d^+, \aleph_{\omega_{\delta}}) \leftrightarrow wk \Delta(d)$ .

PROOF. There are cardinals  $d_{\lambda}$  such that  $d_{0\lambda} \cdots, \dot{d}_{\omega\delta} < d = d_0 + \cdots + \dot{d}_{\omega\delta}$ . Let

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 $X = \{x = (x_0, \cdots, \hat{x}_{\omega_s}) \colon x_{\gamma} \in \underline{d}_{\gamma} \ (\gamma < \omega_s)\}. \text{ For } x \in X \text{ and } \lambda < \omega_s, \text{ let } | B(x_0, \omega_s) \in \underline{d}_{\gamma} \ (\gamma < \omega_s)\}.$  $|\cdots, \hat{x}_{\lambda}\rangle = \aleph_{\lambda+1}$ , and  $(B(x_0, \cdots, \hat{x}_{\lambda}): \lambda < \omega_{\lambda}; x_{\nu} \in d_{\nu} (\nu < \lambda))_0$ . Put

$$A_x = \bigcup (\lambda < \omega_s) B(x_0, \cdots, \hat{x}_{\lambda})$$

for  $x \in X$ . Then  $|X| = d_0 \cdots \hat{d}_{\omega_\delta} = d^+$ ;  $|A_x| = \sum (\lambda < \omega_\delta) \aleph_{\lambda+1} = \aleph_{\omega_\delta}$ , so that  $(A_x: x \in X) \in \Omega(d^+, \aleph_{\omega_\delta})$ . Let  $L \subset X$  and  $(A_x: x \in L) \in \text{wk} \Delta$ . Then there is  $\sigma < \omega_x$ such that  $x \circ y = \sigma$  for  $\{x, y\}_{\neq} \subset L$ . Hence  $|L| = |\{x_{\sigma} : \sigma \in L\}| \leq d_{\sigma} < d$ , which completes the proof.

LEMMA 16. Let  $0 < d = d^- < \aleph_{\omega_n}$ . Then  $cf(d) < \aleph_n$ .

**PROOF.** We have  $d = \aleph_s$  for some  $\delta < \omega_n$ . Since  $d = d^-$  we conclude that  $d = \sum (\pi < \delta) \aleph_{\pi}; \ \mathrm{cf}(d) \leq \left| \delta \right| < \aleph_{n}.$ 

For the last two lemmas we need the following definitions: Consider a system  $\mathscr{F} = (A_{\gamma}: \gamma \in N)$ . We call  $\mathscr{F}$  an  $(a, b, \leq d)$ -system if  $\mathscr{F} \in \Omega(a, b)$  and  $(A_{\gamma}:$  $\gamma \in N$ )<sub> $\leq d$ </sub>. An (a, b, < d)-system is defined similarly. For every set A and every cardinal d we put

$$\mathscr{F}(A,d) = \{ \gamma \in N \colon \left| A \cap A_{\gamma} \right| = d \}.$$

LEMMA 17. Let  $\mathscr{F}$  be an  $(a,b,\leq d)$ -system;  $a = cf(a) > b^d$ ; |A| = b;  $|\mathscr{F}(A,d)| = a$ . Then  $\mathscr{F}$  has a wk $\Delta(a)$ -subsystem.

**PROOF.** We have  $|[A]^d| = b^d < a = cf(a)$ . Hence there is an (a, b)-subsystem  $\mathscr{F}' = (A_y; y \in N')$  of  $\mathscr{F}$  and a set X such that |X| = d and  $A \cap A_y = X (y \in N')$ . Then, for  $\{\mu, \gamma\}_{\neq} \subset N'$ , we have  $d = |X| \leq |A_{\mu} \cap A_{\gamma}| \leq d$ , and  $\mathscr{F}'$  is a wk $\Delta(a)$ system.

LEMMA 18. Let  $\mathscr{F} = (A_{\gamma}: \gamma \in N)$  be an  $(a, b, \leq d)$ -system, such that

$$|\mathscr{F}(A_{\gamma},d)| < a$$

for every  $\gamma \in N$ . Suppose that a = cf(a). Then  $\mathscr{F}$  has an (a, b, < d)-subsystem.

**PROOF.** Assume N = a. We can construct inductively ordinals  $\gamma_{a}$  for  $\rho \in a$ such that, for each  $\rho \in \underline{a}$ ,  $\gamma_{\rho} \in (N - \bigcup (\sigma < \rho) \mathscr{F}(A_{\gamma_{\sigma}}, d)) - \{\gamma_{0}, \dots, \gamma_{\rho}\}$ . Then  $(A_{y_a}: \rho \in \underline{a})$  is an (a, b, < d)-system.

## 7. Discussion of the wk $\Delta$ -relation

We consider two fixed infinite cardinals a, b, where

$$a = \aleph_{\alpha}; b = \aleph_{\beta},$$

and we shall determine all cardinals c such that the wk  $\Delta$ -relation

(7) 
$$(a,b) \to \operatorname{wk} \Delta(c)$$

is true. There is a least cardinal  $\phi(a, b)$  in  $3 \leq \phi(a, b) \leq a^+$  such that (7) holds if and only if  $c < \phi(a, b)$ . We shall determine  $\phi(a, b)$ . If  $\phi(a, b) = 3$  then (7) only holds completely trivially, i.e. for  $c \leq 2$ , whereas  $\phi(a, b) = a^+$  means that (7) holds for all values of c which are at all admissible, which are the cardinals  $c \leq a$ .

Our results show that, for all a, b.

$$\phi(a, b) \in \{3, a^-, a, a^+\}.$$

In our discussion we shall write  $\phi$  instead of  $\phi(a, b)$ . We remind the reader that throughout this section we assume GCH.

CASE 1.  $a > b^+$ .

CASE 1a.  $a > a^- > a^{--}$ . We prove that  $\phi = a^+$ . We can write  $a = a_0^{++}$ , and then we have  $a_0^{++} = a \ge b^{++}$ ;  $a_0 \ge b$ . By [2], Theorem 1 (ii), with a, b in [2] replaced by  $a_0^+$ ,  $a_0$  respectively, we have  $(a_0^{++}, a_0) \rightarrow \operatorname{st} \Delta(a_0^{++})$ . Hence  $(a, b) \rightarrow \operatorname{st} \Delta(a)$ .

CASE 1b.  $a > a^- = a^{--}$ .

CASE 1b1.  $b < cf(a^{-})$ . Then  $\phi = a^{+}$ . Indeed, by Lemma 4,  $(a, b) \rightarrow st \Delta(a)$ .

CASE 1b2.  $b \ge cf(a^{-})$ . Let  $a_0 < a^{-}$ . Put  $a_1 = \max\{a_0, b\}$ . Then  $(a_1^{++}, a_1) \rightarrow st \Delta(a_1^{++})$  by [2]. Hence  $(a, b) \rightarrow st \Delta(a_0) (a_0 < a^{-})$ .

CASE 1b2a.  $cf(a^{-}) = cf^{-}(a^{-})$ .

CASE 1b2a1.  $cf(a^-) = \aleph_0$ . Then  $\phi = a^-$ . For, by Lemma 14,  $(a, \aleph_0)$  $+ wk \Delta(a^-)$  and therefore  $(a, b) + wk \Delta(a^-)$ .

CASE 1b2a2.  $cf(a^-) > \aleph_0$ . Then  $\phi = a^-$ . For, we have, by Lemma 15,  $(a, cf(a^-)) \mapsto wk \Delta(a^-)$ .

To see this, put  $cf(a^-) = \aleph_{\delta}$ . Then  $\delta$  is a positive limit ordinal;  $\aleph_{\delta} = cf(\aleph_{\delta})$ . If  $\delta < \omega_{\delta}$  then  $\aleph_{\delta} = \sum (\delta_0 < \delta) \aleph_{\delta_0}$ ;  $cf(\aleph_{\delta}) \leq |\delta| < \aleph_{\delta}$ , which is false. Hence  $\delta = \omega_{\delta}$ . By Lemma 15, with  $d = a^-$ , we have  $(a, \aleph_{\omega_{\delta}}) \leftrightarrow wk \Delta(a^-)$ , i.e.  $(a, cf(a^-))$  $\leftrightarrow wk \Delta(a^-)$ . This implies  $(a, b) \leftrightarrow wk \Delta(a^-)$ .

CASE 1b2b.  $cf(a^-) > cf^-(a^-)$ . Then  $cf(a^-)$  has the form  $\aleph_{\lambda+1}$ .

CASE 1b2b1.  $\aleph_{\omega_{\lambda+1}} \leq b$ . Then  $\phi = a^-$ . For, by Lemma 15,  $(a, \aleph_{\omega_{\lambda+1}}) \leftrightarrow \operatorname{wk} \Delta(a^-)$ , which implies  $(a, b) \leftrightarrow \operatorname{wk} \Delta(a^-)$ .

CASE 1b2b2.  $\aleph_{\omega_{\lambda+1}} > b$ . We show that  $\phi = a^+$ . We use the notation  $\mathscr{F}(A, d)$  introduced before the statement of Lemma 17. We assume that the (a, b)-system  $\mathscr{F}$  has no wk  $\Delta(a)$ -subsystem, and we have to deduce a contradiction. Since  $\mathscr{F}$  is an  $(a, b, \leq b)$ -system, it follows that there is a least cardinal d such that  $\mathscr{F}$  has an  $(a, b, \leq d)$ -subsystem. We have  $0 < d \leq b$ . We may assume that  $\mathscr{F}$  itself is an  $(a, b, \leq d)$ -subsystem. Then  $\mathscr{F}$  has no  $(a, b, \leq e)$ -subsystem, for every e < d. Let  $\mathscr{F} = (A_{\gamma}: \gamma \in N)_{\leq d}$ . Let  $\gamma_0 \in N$  and  $|\mathscr{F}(A_{\gamma \alpha}, d)| = a$ . Since  $b^d \leq b^b = b^+ < a$ , it follows from Lemma 17 that  $\mathscr{F}$  has a wk  $\Delta(a)$ -subsystem, which is a contradiction. Hence  $|\mathscr{F}(A_{\gamma}, d)| < a$  for  $\gamma \in N$ . Then, by Lemma 18,  $\mathscr{F}$  has an (a, b, < d)-subsystem. We may assume that  $\mathscr{F} = (A_{\gamma}: \gamma \in N)_{< d}$  is itself an (a, b, < d)-system. If  $d = e^+$ , then  $\mathscr{F}$  is an  $(a, b, \leq e)$ -system, which contradicts the minimality of d. Hence  $0 < d = d^- \leq b < \aleph_{\omega_{d+1}}$  and, by Lemma 16, cf $(d) < \aleph_{\lambda+1}$ .

We shall now construct a modified d-sequence. There is a maximal set  $N_0 \subset N$ such that  $(A_{\gamma}: \gamma \in N_0)_0$ . Then  $0 < |N_0| < a$ . Let  $0 < \sigma \in a$ . Suppose that, for each  $\rho < \sigma$ , we have already defined a set  $N_{\rho} \in [N]^{<a}$ , where  $N_{\rho} \neq \emptyset$ , such that, putting  $S_{\rho} = A_{N_{\rho}}$ , we have  $|A_{\gamma} \cap S_{\rho}| < d$  for  $\gamma \in N_{\rho}$ ;  $A_{\mu} \cap A_{\gamma} \subset S_{\rho}$  for  $\{\mu, \gamma\}_{\neq} \subset N_{\rho}$ . Suppose, furthermore, that, for each  $\rho < \sigma$ , the set  $N_{\rho}$  is maximal such that the above stated conditions hold, i.e.: if  $\gamma \in N - N_{\rho}$ , then either  $A_{\gamma} \subset S_{\rho}$ , or there is  $\mu \in N_{\rho} - \{\gamma\}$  with  $A_{\mu} \cap A_{\gamma} \notin S_{\rho}$ . We shall now define  $N_{\sigma}$ , and in such a way that all these conditions hold for  $\rho = \sigma$ . Put  $S_{\sigma} = A_{N_{\sigma}}$ . Then  $|S_{\sigma}| \leq |\sigma| a^{-} b \sigma$  $= a^-$ . Well-order  $S_{\sigma}$  by a relation  $\prec$ , so that  $\operatorname{tp}(\bar{S}_{\sigma}, \prec) \leq \omega(a^-)$ . Put  $N^*$  $= \{ \gamma \in N : |A_{\gamma} \cap S_{\sigma}| \ge d \}$ . We now prove  $|N^*| < a$ . Assume  $|N^*| = a$ . For each  $\gamma \in N^*$ , denote by  $g(\gamma)$  the initial section of  $(A_{\gamma} \cap S_{\sigma}, \prec)$  of type  $\omega(d)$ . If  $\{\mu, \gamma\}_{\neq} \subset N^*$  then, by  $(A_{\gamma}; \gamma \in N)_{< d}$ , we have  $|A_{\mu} \cap A_{\gamma}| < d$ , and hence  $g(\mu)$  $\neq g(y)$ . There is an initial section T of  $(S_{\sigma}, \prec)$  such that  $|T| < a^{-}$  and  $|\{y \in N^*:$  $g(\gamma) \subset T\} = a$ . For: if  $|S_{\sigma}| < a^{-}$  then we put  $T = S_{\sigma}$ . Now let  $|S_{\sigma}| = a^{-}$ . We have  $cf(d) < \aleph_{\lambda+1} = cf(a^-)$ . For each  $\gamma \in N^*$ , the set  $(g(\gamma), \prec)$  has a cofinal subset of cardinal cf(d). This subset is not cofinal in  $(S_{\sigma}, \prec)$ . Hence  $g(\gamma)$  is not cofinal in  $(S_{\sigma}, \prec)$ , and there is  $x_{\gamma} \in S_{\sigma}$  such that  $g(\gamma) \subset \{x \in S_{\sigma} : x \prec x_{\gamma}\}$ . In view of a = cf(a), there is  $x^* \in S_{\sigma}$  such that  $|\{y \in N^* : x_y = x^*\}| = a$ . Then we may put  $T = \{x \in S_{\sigma} : x \rightarrow x^*\}$ . This completes the definition of T. Now we have  $|[T]^d| \leq 2^{|T|} \leq a^-$ . Hence there is  $X \subset T$  such that  $|\{y \in N^* : g(y) = X\}| = a$ . But then  $(A_{\gamma}: \gamma \in N^*; g(\gamma) = X)_{\geq d}$ , which contradicts the relation  $(A_{\gamma}: \gamma \in N)_{< d}$ .

We have thus proved  $|N^*| < a$ . Let  $\gamma \in N - N^*$ . If  $A_{\gamma} \subset S_{\sigma}$  then we have  $b = |A_{\gamma}| = |A_{\gamma} \cap S_{\sigma}| < d \leq b$  which is false. Hence  $\gamma \in N - N^*$  implies  $A_{\gamma} \notin S_{\sigma}$ . Let  $N_{\sigma}$  be maximal such that  $N_{\sigma} \subset N - N^*$  and  $(A_{\gamma} - S_{\sigma}; \gamma \in N_{\sigma})_0$ . Then  $N_{\sigma} \neq \emptyset$ It follows that if  $\gamma \in N_{\sigma}$  then  $A_{\gamma} \notin S_{\sigma}$ , and if  $\{\mu, \gamma\}_{\neq} \subset N_{\sigma}$  then  $A_{\mu} \cap A_{\gamma} \subset S_{\sigma}$ . Also, if  $\gamma \in N - N_{\sigma}$  and  $|A_{\gamma} \cap S_{\rho}| < d$ , then there is  $\mu \in N_{\sigma}$  with  $A_{\mu} \cap A_{\gamma} \notin S_{\sigma}$ . In order to complete the inductive definition of  $N_0, N_1, \cdots$  we must now show that  $|N_{\sigma}| < a$ . Assume that  $|N_{\sigma}| = a$ . Corresponding to every  $\gamma \in N_{\sigma}$ , there is  $e_{\gamma} < d$  such that  $|A_{\gamma} \cap S_{\sigma}| = e_{\gamma}$ . Then there is e < d such that  $|\{\gamma \in N_{\sigma}: e_{\gamma} = e\}| = a$ . For we

[16]

have  $|\{e_{\gamma}: \gamma \in N_{\sigma}\}| \leq d \leq b < a^{-}$ . Put  $N' = \{\gamma \in N_{\sigma}: |A_{\gamma} \cap S_{\sigma}| = e\}$ , so that |N'| = a. If  $\{\mu, \gamma\}_{\neq} \subset N'$ , then  $|A_{\mu} \cap A_{\gamma}| = |A_{\mu} \cap A_{\gamma} \cap S_{\sigma}| \leq |A_{\mu} \cap S_{\sigma}| = e$ . Hence  $(A_{\gamma}: \gamma \in N')_{\leq e} \in \Omega(a,b)$  which contradicts the minimum property of d. This proves  $|N_{\sigma}| < a$ , and the inductive definition of  $N_{\rho}$  for  $\rho \in a$  is accomplished. We have  $b^{+} < a$ , and therefore we can choose  $\gamma \in N_{\underline{\omega}(b_{+})}$ . For each  $\rho \in \underline{b}^{+}$  there is  $\mu_{\rho} \in N_{\rho}$  such that  $A_{\mu_{\rho}} \cap A_{\gamma} \notin S_{\rho} = A_{N_{\rho}}$ . We can choose  $z_{\rho} \in A_{\mu} \cap A_{\gamma} - A_{N_{\rho}}$ . If  $\tau < \rho$  then  $z_{\tau} \in A_{\mu_{\tau}} \cap A_{\gamma} \subset A_{\mu_{\tau}} \subset A_{N_{\rho}}$ . Hence  $z_{\rho} \neq z_{\tau}$  for  $\tau < \rho \in \underline{b}^{+}$ ;

$$|A_{\gamma}| \geq |\{z_{\rho}: \rho \in \underline{b}^{+}\}_{\neq}| = b^{+} > b = |A_{\gamma}|.$$

which is the required contradiction.

CASE 1c.  $a = a^{-}$ .

CASE 1c1. a = cf(a). Then  $\phi = a^+$ . For, by Lemma 5,  $(a,b) \rightarrow st \Delta(a)$ .

CASE 1c2. a > cf(a). Then  $\phi = a$ . For, by Lemma 3,  $(a, b) \leftrightarrow wk \Delta(a)$ . Let  $a_0 < a$  and put  $a_1 = \max\{a_0, b\}$ . Then, by [2],  $(a_1^{++}, a_1) \rightarrow st \Delta(a_1^{++})$ . Hence  $(a, b) \rightarrow st \Delta(a_0)$   $(a_0 < a)$ .

CASE 2.  $a = b^+$ .

CASE 2a.  $b = |\beta|$ . Then  $\phi = 3$ . For, by Theorem 5,  $(2^{|\beta|}, b) \leftrightarrow \text{wk} \Delta(3)$ . Hence  $(a, b) \leftrightarrow \text{wk} \Delta(3)$ .

CASE 2b.  $b > |\beta|$ .

CASE 2b1.  $b > b^-$ . Then  $\phi = a$ . For, by Lemma 7,  $(a, b) \leftrightarrow \text{wk} \Delta(a)$ . Also, by Lemma 9,  $(a, b) \rightarrow \text{wk} \Delta(b)$ .

CASE 2b2.  $b = b^-$ . Then  $\phi = a^-$ . For, by Lemma 12,  $(a, b) \leftrightarrow \text{wk} \Delta(b)$ . Now, let  $b_0 < b$ . Then, by [3],  $b \rightarrow (b_0)^2_{\psi(b)}$ , and Lemma 8 gives  $(b, b) \rightarrow \text{wk} \Delta(b_0)$ . Hence  $(a, b) \rightarrow \text{wk} \Delta(b_0)$   $(b_0 < b)$ .

CASE 3. a = b.

CASE 3a.  $b = |\beta|$ . Then  $\phi = 3$ . For, by Lemma 11,  $(a, b) \leftrightarrow \text{wk} \Delta(3)$ .

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CASE 3b. b > |\beta|.
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CASE 3b1.  $b > b^-$ . If  $b^- = cf(b^-)$  then, by Lemma 7,  $(b, b^-) \leftrightarrow wk \Delta(b)$ , and if  $b^- > cf(b^-)$  then, by Lemma 12,  $(b, b^-) \leftrightarrow wk \Delta(b^-)$ . Thus, in either case,  $(a, b) \leftrightarrow wk \Delta(b)$ .

CASE 3b1a.  $b^- > b^{--}$ . Then  $\phi = a$ . For we have  $\beta = \beta_0 + 1 = \beta_1 + 2$  for some  $\beta_0, \beta_1; \psi(b) = \aleph_0 + |\beta_1|; \aleph_{\beta_1+1} \rightarrow (\aleph_{\beta_1+1})^1_{\psi(b)}$  and, by [3],  $\aleph_{\beta_1+2} \rightarrow (\aleph_{\beta_1+1})^2_{\psi(b)}$ . Now Lemma 8 gives  $(a, b) \rightarrow \text{wk } \Delta(b^-)$ .

CASE 3b1b.  $b^- = b^{--}$ . Then, by Lemma 12,  $(b, b^-) \leftrightarrow \text{wk} \Delta(b^-)$  and hence  $(a, b) \leftrightarrow \text{wk} \Delta(b^-)$ .

CASE 3b1b1.  $\psi(b^-) = b^-$ . Then  $\phi = 3$ . For, by Lemma 13,  $(b, b^-) \leftrightarrow wk \Delta(3)$ . Hence  $(a, b) \leftrightarrow wk \Delta(3)$ .

CASE 3b1b2.  $\psi(b^-) < b^-$ . Then  $\phi = a^-$ . For, let  $b_0 < b^-$ . Then  $b \rightarrow (b_0)^2_{\psi(b)}$  and, by Lemma 8,

$$(a, b) \rightarrow \text{wk} \Delta(b_0) \ (b_0 < b^-).$$

CASE 3b2.  $b = b^-$ . Then  $\phi = a$ . For, by Lemma 12,  $(b^+, b) \leftrightarrow \text{wk }\Delta(b)$ , and hence  $(a, b) \leftrightarrow \text{wk }\Delta(b)$ . Let  $b_0 < b$ . Then  $b \rightarrow (b_0)^2_{\psi(b)}$  and, by Lemma 8,

 $(a, b) \rightarrow \operatorname{wk} \Delta(b_0) \ (b_0 < b).$ 

Case 4. a < b.

CASE 4a.  $b = |\beta|$ . Then  $\phi = 3$ . For, by Lemma 11,  $(\psi(b), b) \leftrightarrow \text{wk } \Delta(3)$  and hence  $(a, b) \leftrightarrow \text{wk } \Delta(3)$ .

CASE 4b.  $b > |\beta|$ .

CASE 4b1.  $a \leq 2^{|\beta|}$ . Then  $\phi = 3$ . For, by Theorem 5,  $(2^{|\beta|}, b) \leftrightarrow \text{wk } \Delta(3)$  and therefore  $(a, b) \leftrightarrow \text{wk } \Delta(3)$ .

CASE 4b2.  $a > 2^{\aleph_0 + |\beta|}$ . Then  $|\beta| < 2^{|\beta|} < a$ .

CASE 4b2a.  $a = a^-$ . Then  $\phi = a$ . For, by Lemma 12,  $(a^+, a) \to \text{wk }\Delta(a)$ , and therefore  $(a, b) \mapsto \text{wk }\Delta(a)$ . Let  $a_0 < a$ . Then  $a \to (a_0)^2_{\aleph_0 + |\beta|}$ , and Lemma 8 gives  $(a, b) \to \text{wk }\Delta(a_0)$   $(a_0 < a)$ .

CASE 4b2b.  $a > a^{-}$ .

CASE 4b2b1.  $a^- > a^{--}$ . Then  $\phi = a$ . For:  $|\beta| < 2^{|\beta|} < a$ ;  $a^- \leftrightarrow (a^-)^1_{\aleph_0 + |\beta|}$ ;  $a \rightarrow (a^-)^2_{\psi(b)}$ ;  $(a,b) \rightarrow \text{wk}\Delta(a^-)$ . By Lemma 7,  $(a,a^-) \leftrightarrow \text{wk}\Delta(a)$ . Since  $a^- < a < b$ , we deduce  $(a, b) \leftrightarrow \text{wk}\Delta(a)$ .

CASE 4b2b2.  $a^- = a^{--}$ . Then  $\phi = a^-$ . For, Lemma 12 yields  $(a, a^-) \leftrightarrow wk \Delta(a^-)$ , and hence  $(a, b) \leftrightarrow wk \Delta(a^-)$ . Let  $a_0 < a^-$ . Then  $a^- \rightarrow (a_0)^1_{\aleph_0 + |\beta|}$ ;  $a \rightarrow (a_0)^2_{\psi(b)}$ ;  $(a, b) \rightarrow wk \Delta(a_0)$   $(a_0 < a^-)$ .

CASE 4b3.  $2^{|\beta|} < a \leq 2^{\aleph_0 + |\beta|}$ . Then  $\phi = 3$ . For, we have  $\beta < \omega$  and  $a \leq \aleph_1$ . By Lemma 13,  $(\aleph_1, \aleph_0) \leftrightarrow \text{wk } \Delta(3)$ . Hence  $(a, b) \leftrightarrow \text{wk } \Delta(3)$ .

This concludes the dissussion of the relation  $(a, b) \rightarrow \text{wk } \Delta(c)$  for infinite cardinals a, b.

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